

Thermal transport in Kitaev magnets

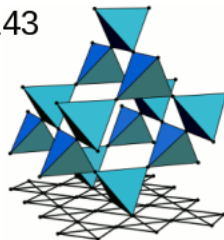
... fermions, fluxes, Heisenberg exchange, and (some) phonons

PRB **96**, 041115(R) (2017), PRB **96**, 205121 (2017),
PRB **99**, 075141 (2019), PRB **99**, 205129 (2019),
arXiv:1909.09360

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SFB 1143
DFG



Outline

- Why thermal transport
- Models, Quantities, and Methods
- Thermal current dynamics
 - Kitaev ladder
 - Honeycomb Kitaev
 - Kitaev-Heisenberg ladder
- Phonons in the Kitaev QSL



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Bulk thermal transport in insulating quantum magnets ...

... can corroborate exotic quasi particles

$$\kappa = \sum_{\mathbf{k}} C_{V,\mathbf{k}} \nabla_{\mathbf{k}} \epsilon_{\mathbf{k}} \mathbf{l}_{\mathbf{k}}$$

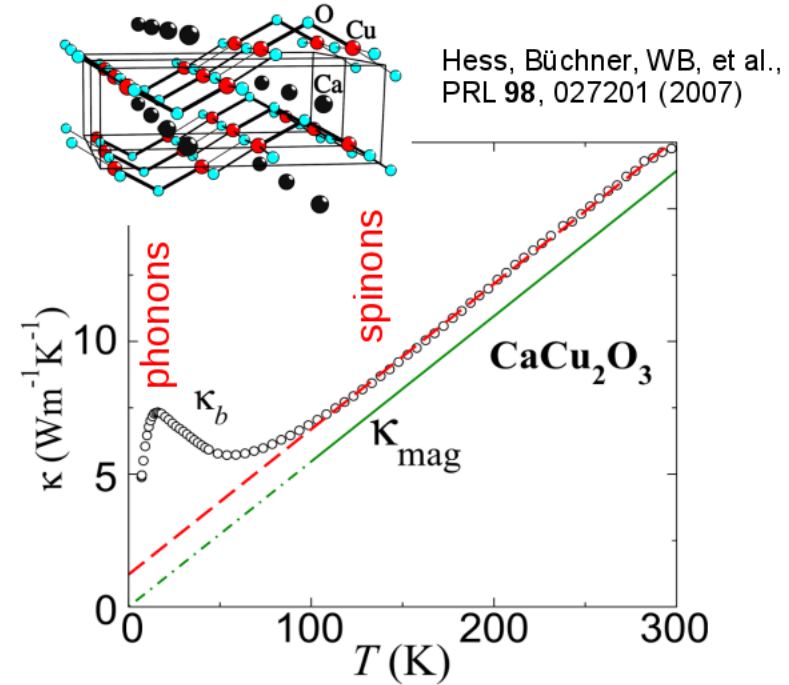
- triplons $\text{Sr}_{14}\text{Cu}_{24}\text{O}_{41}$

Hess, Büchner, WB, et al.,
PRB **64**, 184305 (2001)

- monopoles $\text{Ho}_2\text{Ti}_2\text{O}_7$

Toews, Zhang, Ross, et al.,
PRL **110**, 217209 (2013)

- ...

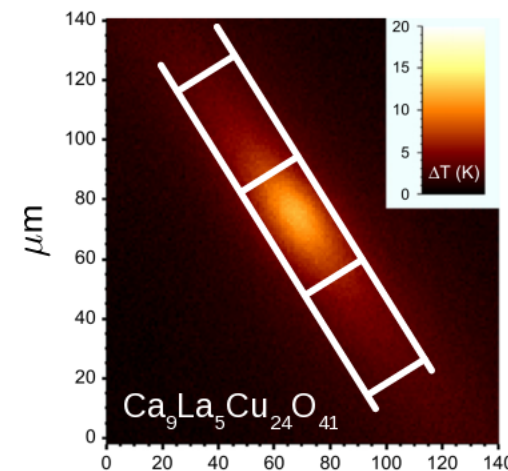


... can be measured dynamically

e.g. **Flourescent Flash Method**, t-domain \gtrsim ms

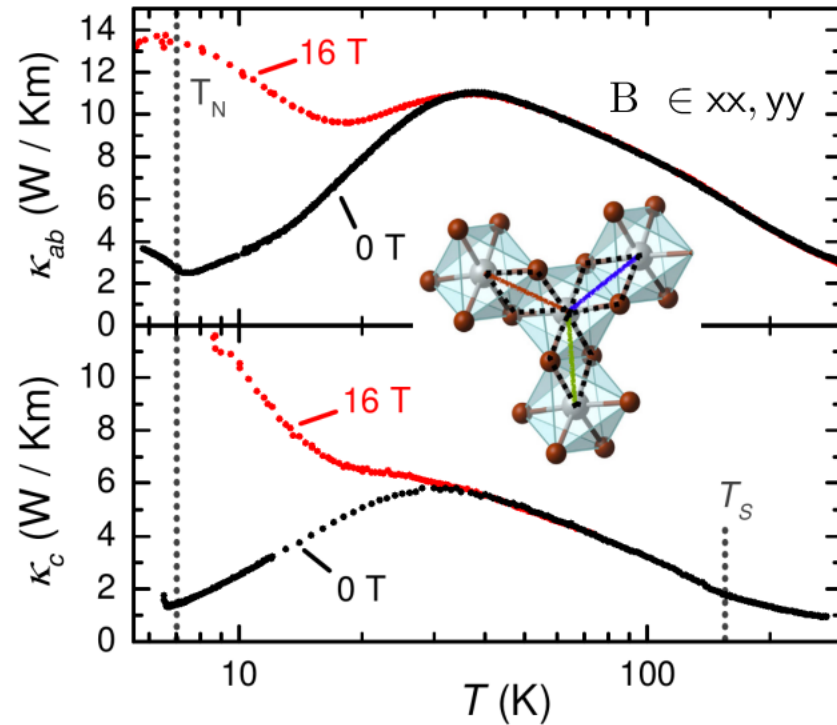
Otter, Loosdrecht, et al., JMMM **321**, 796 (2009)
Montagnese, et al., PRL **110**, 147206 (2013).

spinons SrCuO_2 , triplons $\text{Ca}_9\text{La}_5\text{Cu}_{24}\text{O}_{41}$



Longitudinal thermal conductivity κ_{xx} of α -RuCl₃

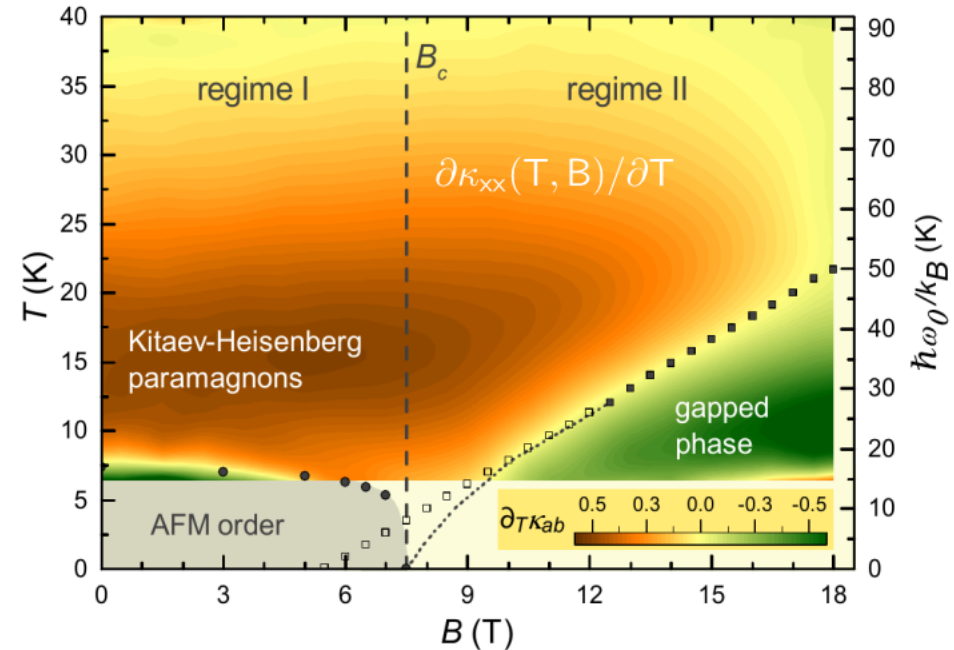
Hentrich, et al. PRL **120**, 117204 (2018)



$\kappa_{ab} \sim \kappa_c$: primarily phononic transport

dissipation by magnetic excitations: critical scattering at T_N ,
double peak in gapped phase, isothermals $\kappa_{ab}(B, T=\text{const})$

magnetic field dependence



Wolter, et al. PRB **96**, 041405(R) (17) C_V ✓

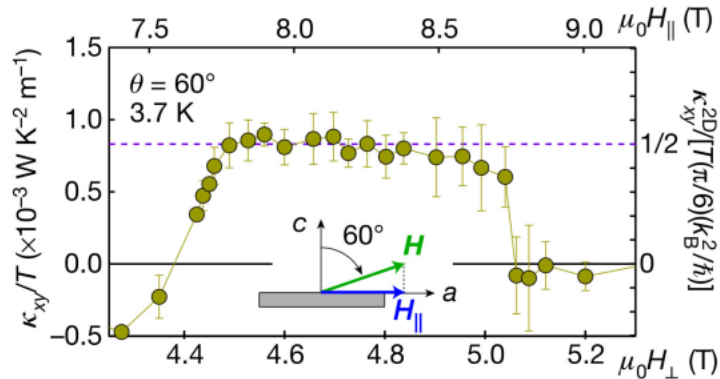
Wellm, et al., Phys. Rev. B **98**, 184408 (2018) ESR ✓

ab-only Leahy, et al. PRL **118**, 187203 (2017)
Hirobe, et al., PRB **95**, 241112 (2017)
Yu, et al. PRL **120**, 067202 (2018)

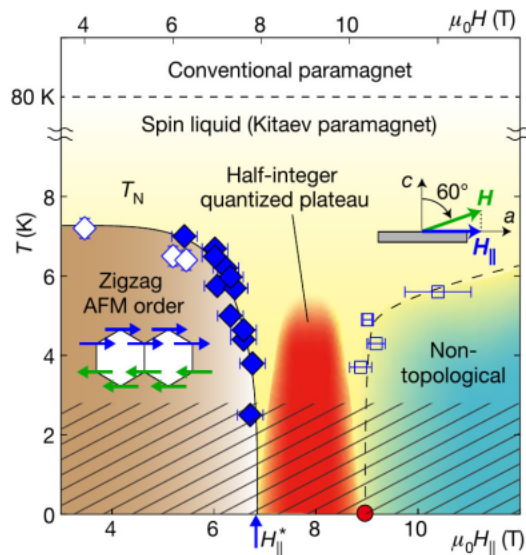
κ_{xy} : thermal Hall effect in α -RuCl₃

Majorana edge mode: κ_{xy}/T quantized

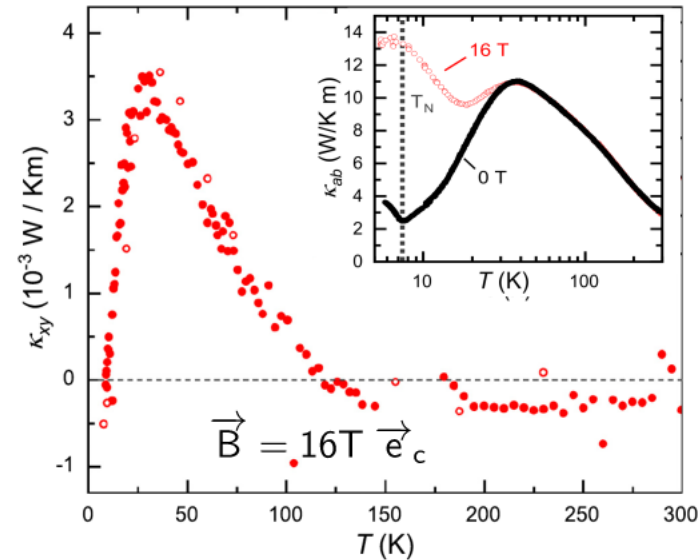
Kitaev, Ann. Phys. (N.Y.) **321**, 2 (06)



Kasahara, et al., Nature **559**, 227 (2018)

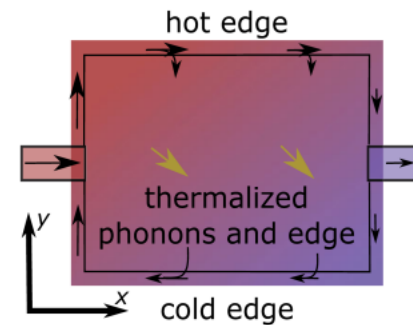


Hall angle: $\sim 10^{-4}$ = conventional



Hentrich, et al., PRB **99**, 085136 (2019)

strong spin-phonon coupling required



Ye, et al., PRL **121**, 147201 (2018)

Vinkler-Aviv, Rosch, PRX **8**, 031032 (2018)



- What are signatures of magnetic bulk thermal transport in Kitaev spin systems?
- How do phonons dissipate in Kitaev spin systems?



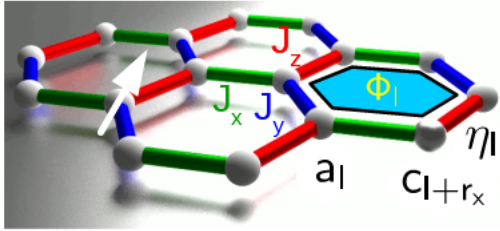
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Models

(1) 2D Kitaev $H_K = \sum_{l,\alpha} J_\alpha S_l^\alpha S_{l+r_\alpha}^\alpha = -\frac{i}{2} \sum_{l,\alpha} J_\alpha \eta_{l,\alpha} a_l c_{l+r_\alpha} = \sum_{\{\eta\}} \sum_{\mu}^{2^{N/2} N/2} \epsilon_\mu(\{\eta\}) (2d_\mu^\dagger d_\mu - 1)$



$2^{N/2}$ spin liquids

Majoranas $\{a_l, a_{l'}\} = \delta_{ll'}, \dots$

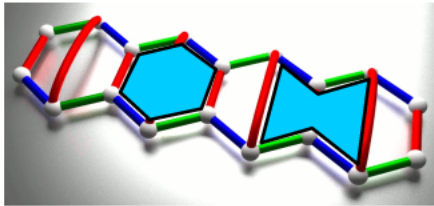
Z_2 gauge fields $\eta_{l,z} = \eta_l = \pm 1$ $\eta_{l,x(y)} = 1$

$N/2$ conserved fluxes $\Phi_l = \prod_j^6 \sigma_j^{\alpha_j} = \eta_l \eta_{l+xy}$

Majorana $(a_l, c_l) \rightarrow$ Dirac $f_\mu^{(\dagger)} \rightarrow$ Bogoliubov QP $d_\mu^{(\dagger)}$

Kitaev, Ann. Phys. (N.Y.) **321**, 2 (06), Feng, et al., PRL **98**, 087204 (07), Nussinov and Ortiz, PRB **79**, 214440 (09)

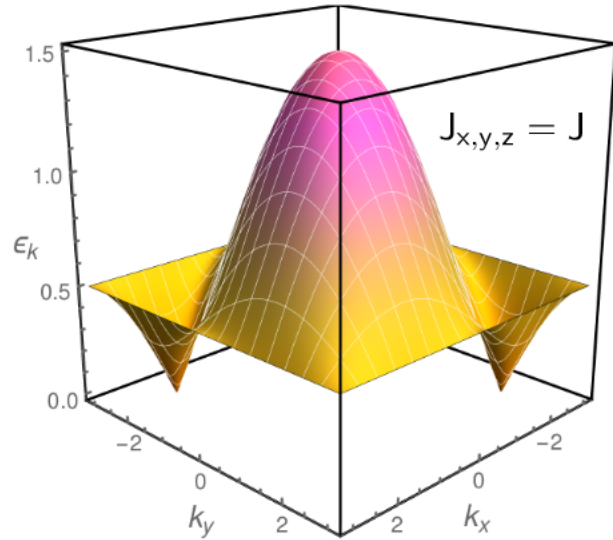
(2) Kitaev ladder



(3) Kitaev-Heisenberg ladder

$$H_{KH} = H_K + \sum_{\langle l,m \rangle} J_{lm} \mathbf{S}_l \cdot \mathbf{S}_m$$

Ground state gauge sector & qp dispersion: honeycomb vs. ladder



gauge field

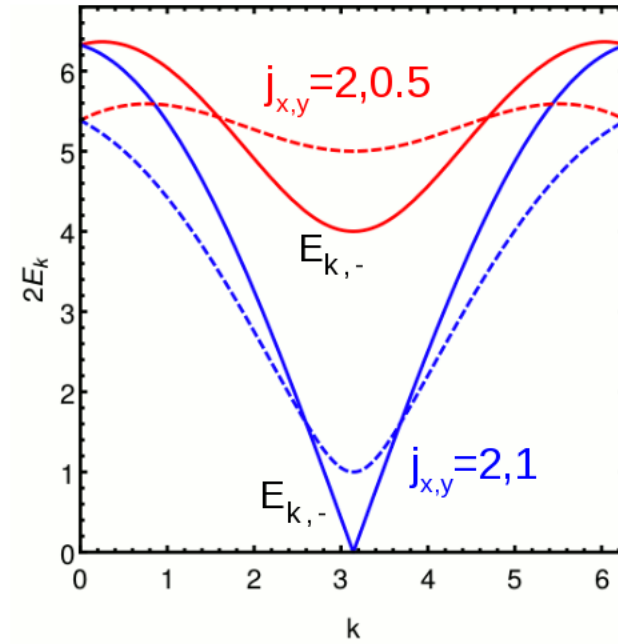
$$\eta_l = 1$$

$$[\eta_1, \eta_2] =$$

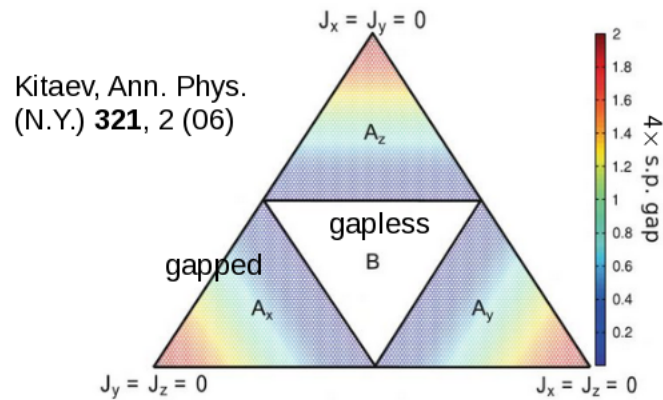
$$[\pm 1, \mp 1]$$

2x deg.
(all)

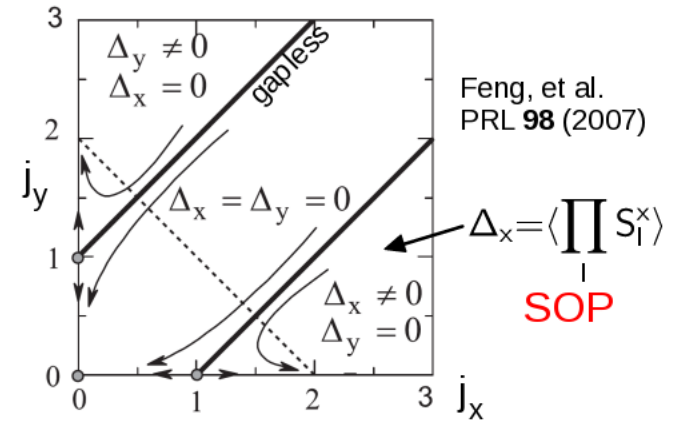
qp dispersion



phase diagram



Kitaev, Ann. Phys. (N.Y.) **321**, 2 (06)



Correlation functions (pure Kitaev)

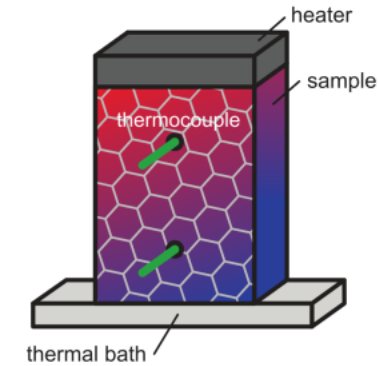
(1) Thermal current \mathcal{J} : r-space continuity eqn.
with 'energy polarization' $\forall\{\eta_l\}$

$$\mathcal{J} = [H, \sum_l \mathbf{1} h_l] = \eta\text{-diagonal}, \quad H = \sum_l h_l$$

dynamical current correlation function

$$C(t) = \langle \mathcal{J}(t) \mathcal{J} \rangle = \frac{1}{Z} \text{Tr}_{\{\eta\}} \text{Tr}_{\{d\}} [e^{H/T} \mathcal{J}(t) \mathcal{J}]$$

gauge + fermion trace = Boltzmann $\text{Tr} 2^{N/2}$ fermion conductivities



Correlation functions

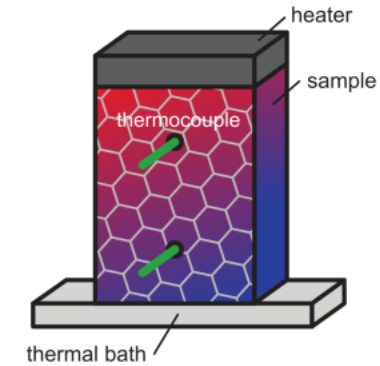
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Correlation functions (pure Kitaev)

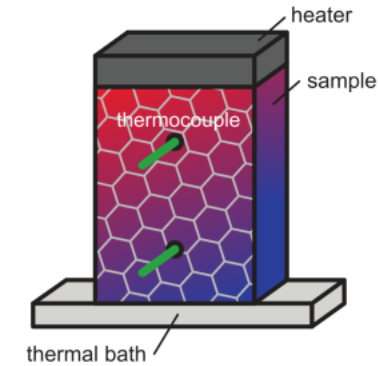
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\uparrow \uparrow
 gauge + fermion trace = Boltzmann $\text{Tr} 2^{N/2}$ fermion conductivities



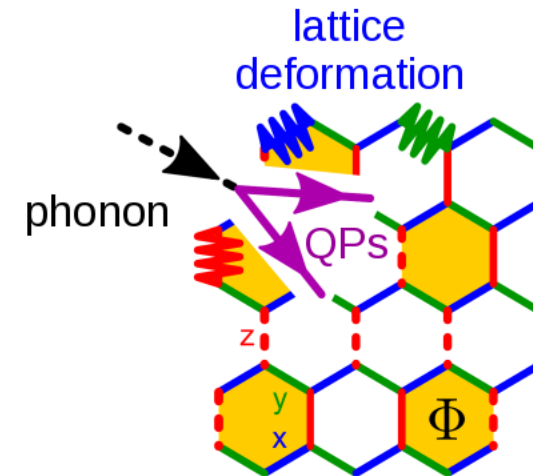
(2) Magnetoelastic spin-phonon coupling

$$H_{MP} = \sum_{\mathbf{q}\mu} (b_{\mathbf{q}\mu} + b_{-\mathbf{q}\mu}^\dagger) V_{\mathbf{q}\mu}$$

$$V_{\mathbf{q}\mu} = \sum_{\mathbf{l}, \alpha} g_{\mu, \mathbf{q}} e^{i\mathbf{q} \cdot \mathbf{l}} (e^{i\mathbf{q} \cdot \mathbf{r}_\alpha} - 1) \eta_{\mathbf{l}, \alpha} a_{\mathbf{l}} c_{\mathbf{l} + \mathbf{r}_\alpha} = \eta\text{-diagonal}$$

phonon self-energy

$$\Sigma_{\mu\nu}(\mathbf{q}, \tau) = \langle T_\tau [V_{\mathbf{q}, \mu}(\tau) V_{\mathbf{q}, \nu}^\dagger] \rangle_{\{\eta\}} = \text{Tr} 2^{N/2} \text{ self-energies}$$



Methods

PRB 96, 041115(R) (2017), PRB 96, 205121 (2017),
PRB 99, 075141 (2019), PRB 99, 205129 (2019),
arXiv:1909.09360

- (1) Analytic evaluation in zero flux sector (ZFS) = BCS type-of analysis. Kitaev-only
For reference also at $T \neq 0$. ⚡ Gauge excitations.
- (2) Exact diagonalization (ED): spin-basis ~24-32 sites Heisenberg-Kitaev
fermion basis ~72-88 sites Kitaev-only



QMC

Motome, Nasu, arXiv:1909.02234,
++

Methods

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Kitaev-only
- (3) Average gauge configuration approximation (AGC) Kitaev-only



Motome, Nasu, arXiv:1909.02234,
++

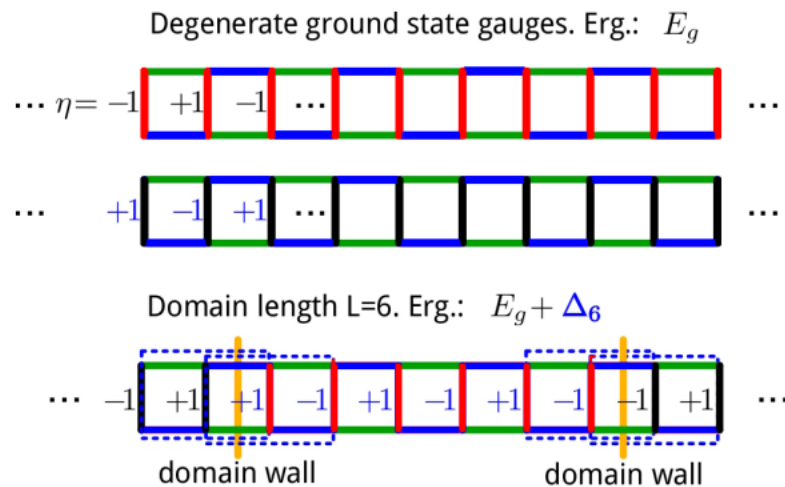
poor man's
evasion of
QMC



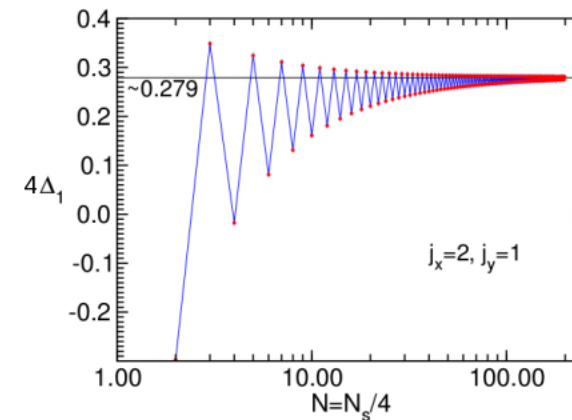
Average gauge configuration approximation: quasi 1D

PRB **96**, 041115(R)(2017)

$$C(t) = \frac{1}{Z} \text{Tr}_{\{\eta\}} \text{Tr}_{\{a\}} [e^{\beta H(\eta, a)} J(t) J]$$



- trace only 'mean' gauge configs.
- $T \ll J_{x,y,z}$: effect. gauge Hamiltonian from fermionic ground states
- any gauge config. = sequence of black-red ground state domains
- erg. of gauge domain $L=1$: gap Δ_1

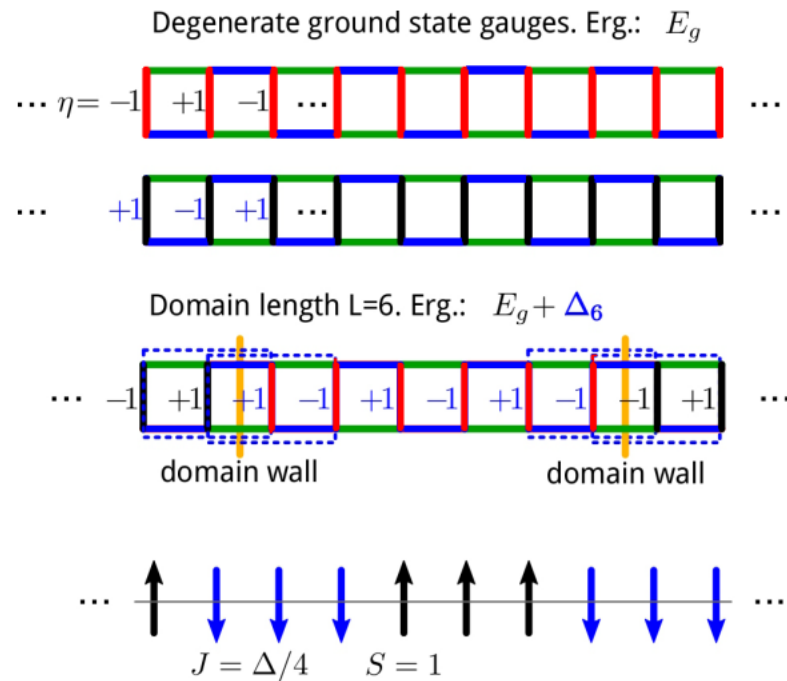


Average gauge configuration approximation: quasi 1D

PRB **96**, 041115(R)(2017)

$$C(t) = \frac{1}{Z} \text{Tr}_{\{\eta\}} \text{Tr}_{\{a\}} [e^{\beta H(\eta, a)} J(t) J]$$

$$\approx \langle \langle J(t) J \rangle_{\text{thermal } d(\eta)} \rangle_{\text{disorder } n(T)}$$

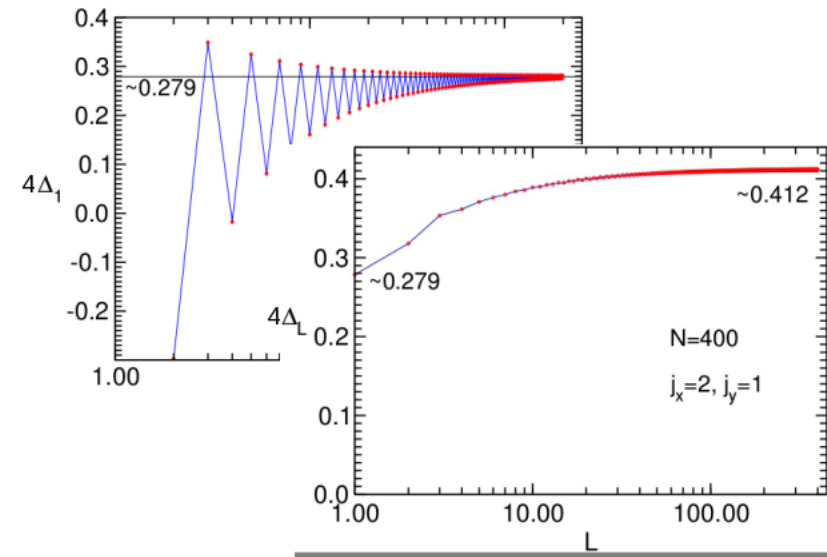


● eff. H_{gauge} pseudo- $S=1$ 1D Ising model $J=\Delta/4$

domain wall density $n(T)|_{\text{exact}} = \frac{1}{e^{\Delta/2T} + 1}$

● trace only 'mean' gauge configs.

- $T \ll J_{x,y,z}$: effect. gauge Hamiltonian from fermionic ground states
- any gauge config. = sequence of black-red ground state domains
- erg. of gauge domain $L=1$: gap Δ_1
- domain walls are deconfined: erg. of $L \rightarrow \infty$, $\Delta_\infty = \text{const} \sim O(\Delta_1)$
- No gauge LRO



Average gauge configuration approximation: honeycomb

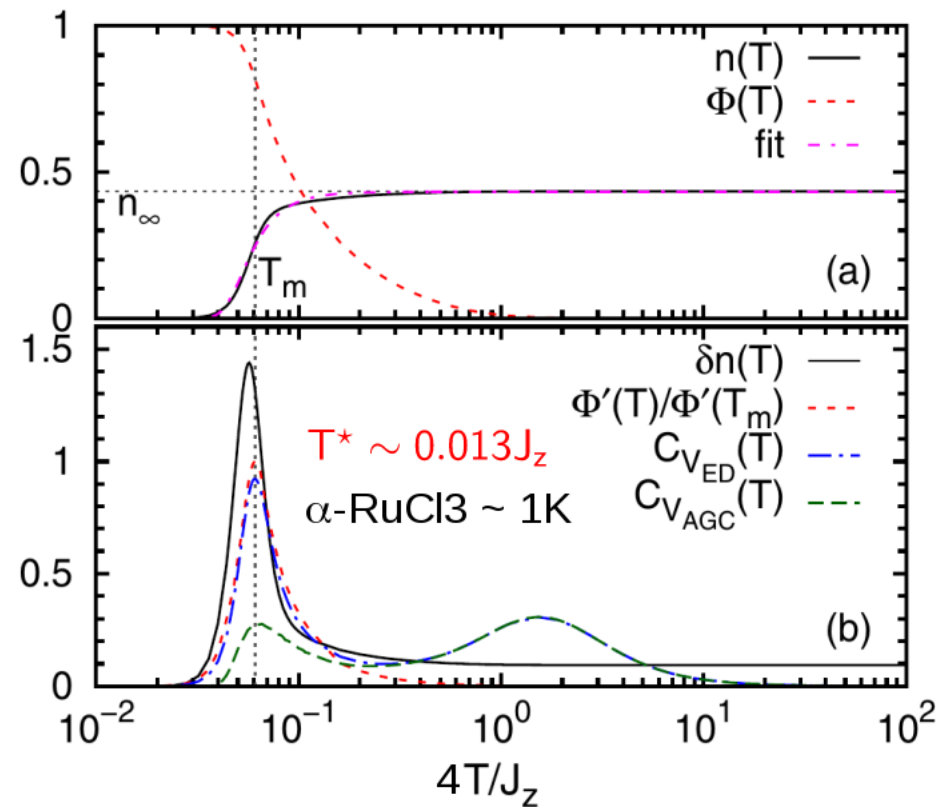
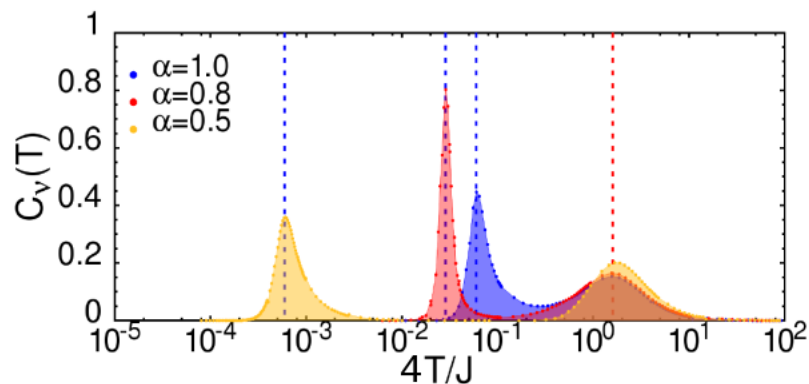
- no simple 1-to-1 mapping gauge \leftrightarrow flux: only use **fully disordered** Z_2 -field for $T > T^*$
- Fix T^* from ED-thermodynamics:

spec. heat $C_V(T)$

excit. gauges $n(T) = \frac{1}{ZN} \text{Tr}_\eta Z_{d(\eta)} n_\eta$

flux dens. $\Phi(T) = \frac{1}{ZN} \sum_{\{\eta_r\}} Z_{d(\eta)} \sum_r \Phi_r$
 $\Phi_r = \eta_r \eta_{r+e_x - e_y}$

- anisotropy reduces T^* (cf. toric code)



PRB **96**, 205121 (2017),
99, 075141 (2019),
99, 205129 (2019),
 arXiv:1909.09360

consistent with QMC

Nasu, Yoshitake, Motome
 PRL **119**, 127204 (2017);
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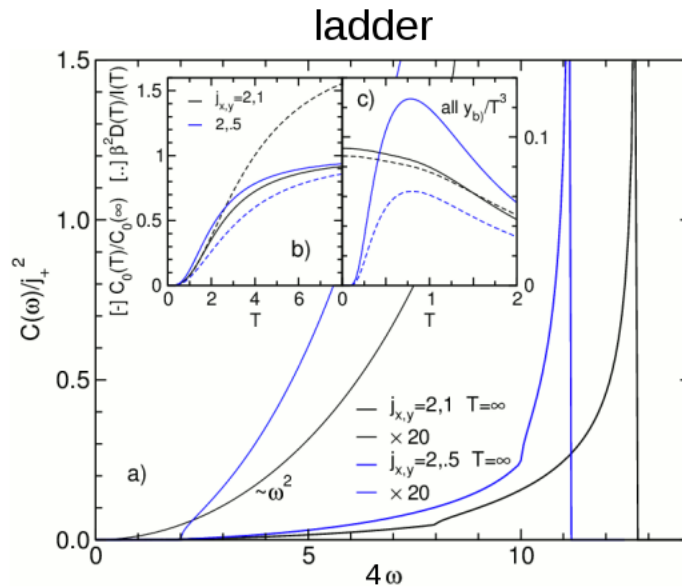
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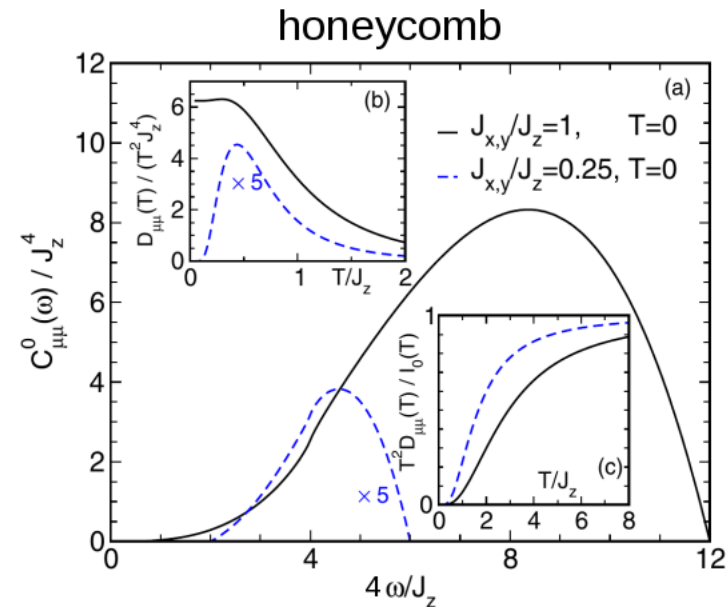
Zero flux sector

● ~nodal superconductor $C(\omega) = 4\pi T^2 D(T) \delta(\omega) + \sum_{\mathbf{k}, \mu} M_{\pm}(\mathbf{k}, \mu, T) \delta(\omega \mp 2E_{\mathbf{k}, \mu})$
qp fermion **Drude weight** $\sim (1-f)f$ pair breaking $\sim (1-f)^2$



- $D(T) \sim T$ in gapless case
 $\sim e^{T/\Delta}$ "gapped"

- $\beta^2 D(T)$ is substantial fraction of $I(T) = \int_0^\infty C(\omega) d\omega$



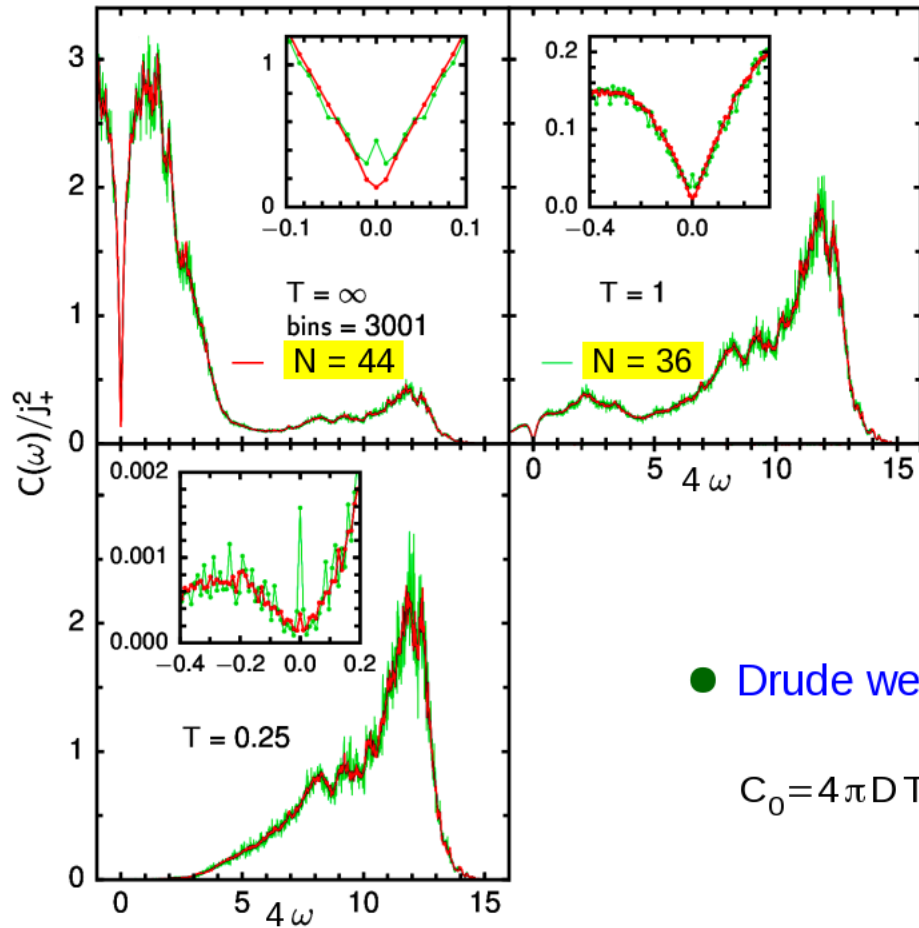
- $D(T) \sim T^2$ in gapless case
 $\sim e^{T/\Delta}$ "gapped"

Ballistic heat conductors



Ladder: all-gauge-sector-summation ED

• sum $2^{N/2}$ corr. functs. $C(t) = \text{Tr}_{\{a\}} [e^{\beta H(\eta, a)} J(t) J]_{\{ \eta \}} / Z$ of $N/2$ fermions \leftrightarrow N spins



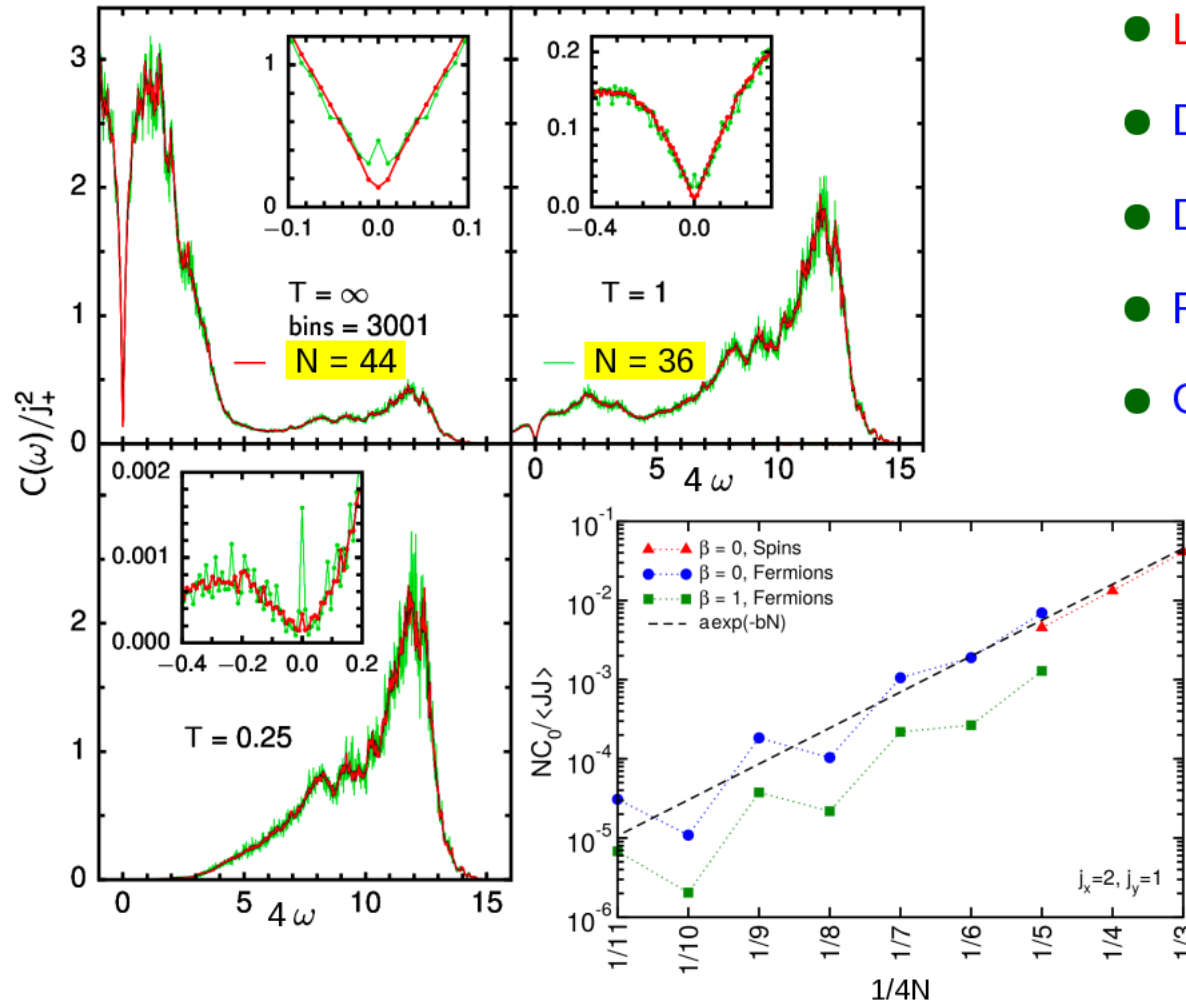
- Low- ω hump + mobility gap
- DC of $C(\omega) \sim 0$ at any T for $N \rightarrow \infty$
- Drude- $\delta(\omega) \sim 0$ at any T for $N \rightarrow \infty$
- Finite- ω resonances
- Ground state $C(\omega)$ for $T \rightarrow 0$

• Drude weight vs. N

$$C_0 = 4\pi D T^2 = \frac{1}{2Z} \sum_{E_l = E_m} e^{-E_l/T} \langle l | J | m \rangle \langle m | J | l \rangle$$

Ladder: all-gauge-sector-summation ED

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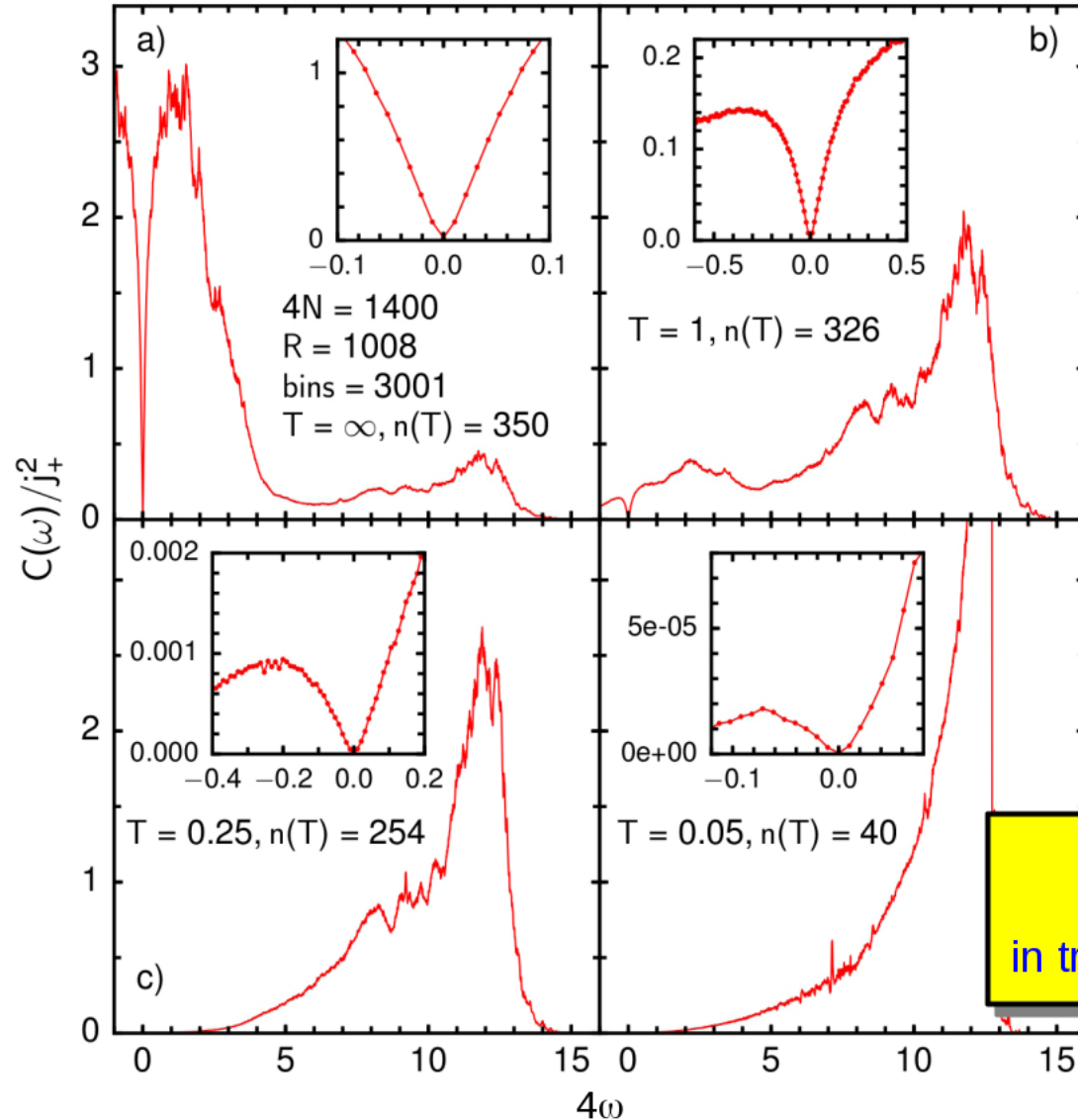


- Low- ω hump + mobility gap
- DC of $C(\omega) \sim 0$ at any T for $N \rightarrow \infty$
- Drude- $\delta(\omega) \sim 0$ at any T for $N \rightarrow \infty$
- Finite- ω resonances
- Ground state $C(\omega)$ for $T \rightarrow 0$

- Drude- $\delta(\omega)$ scales to zero exponentially with N

Heat insulator
translationally invariant
spin system

Ladder: average gauge configuration approximation



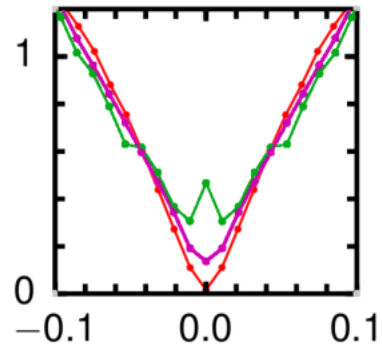
- Large systems $N \sim O(10^3)$
- Clear mobility gap
- No Drude- $\delta(\omega)$ at any T
D-weight shifted to finite ω
- Zero DC limit of $C(\omega)$ at any T
- Some finite- ω structure due to matter-(single/many)-gauge resonances
- $T \rightarrow 0$: ground state $C(\omega)$ resurfaces

Localization of heat due to
 T-induced emergent disorder
 in translationally invariant spin system

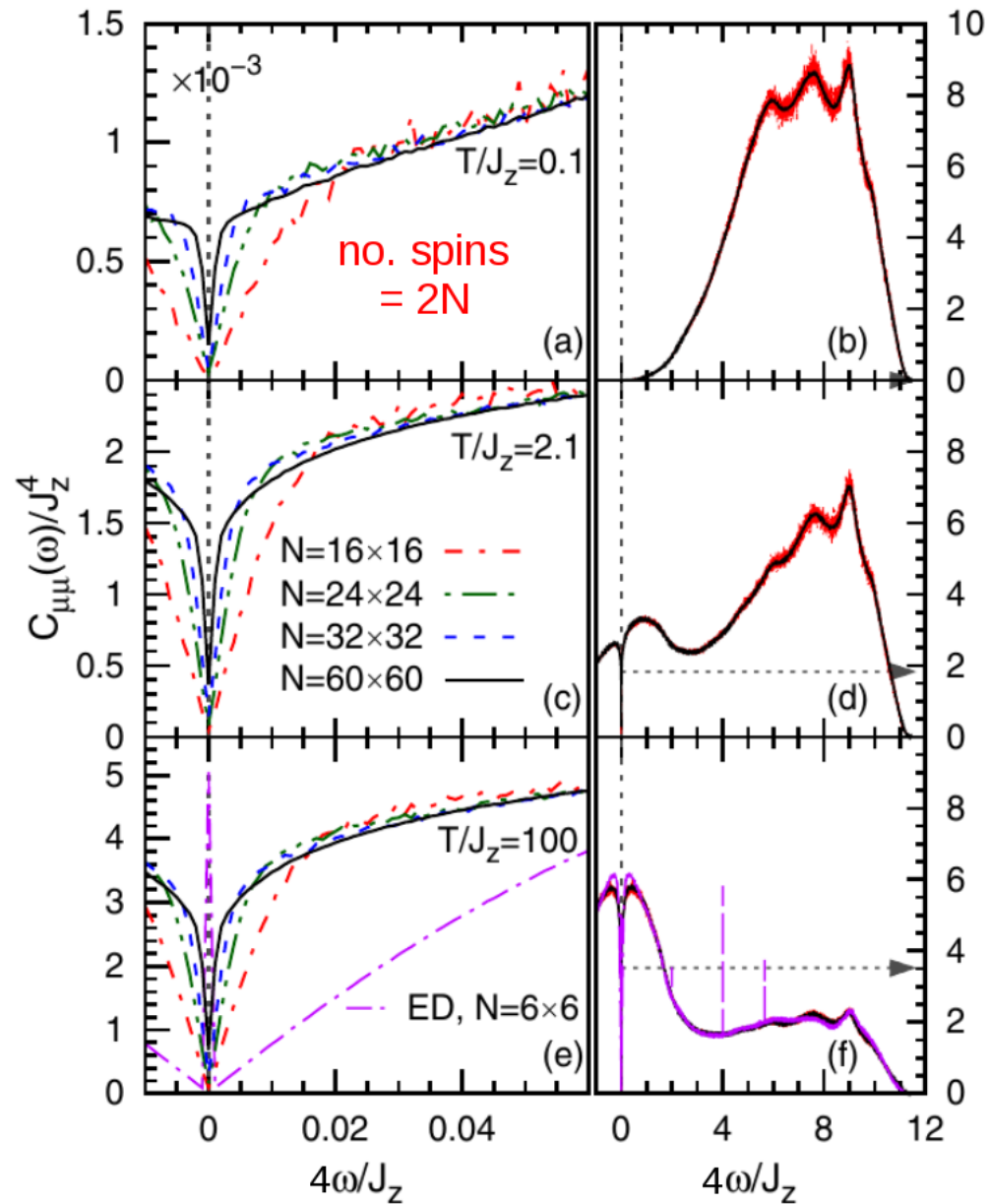
Honeycomb: average gauge configuration approximation

● AGC: pseudogap closes $N \rightarrow \infty$

● scaling of gap for Kitaev ladder

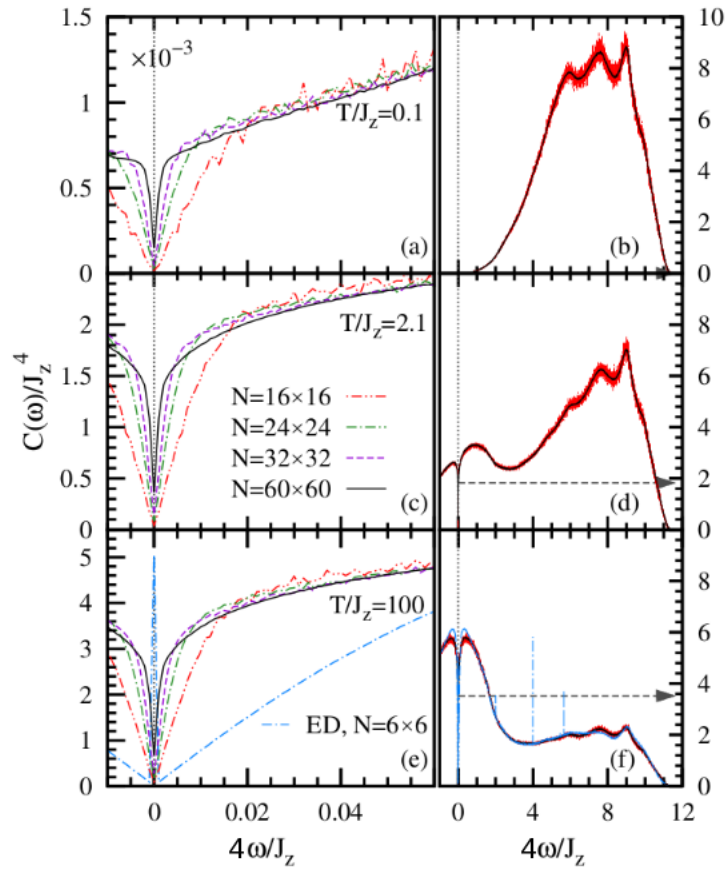


$N = 1400, 44, 36$

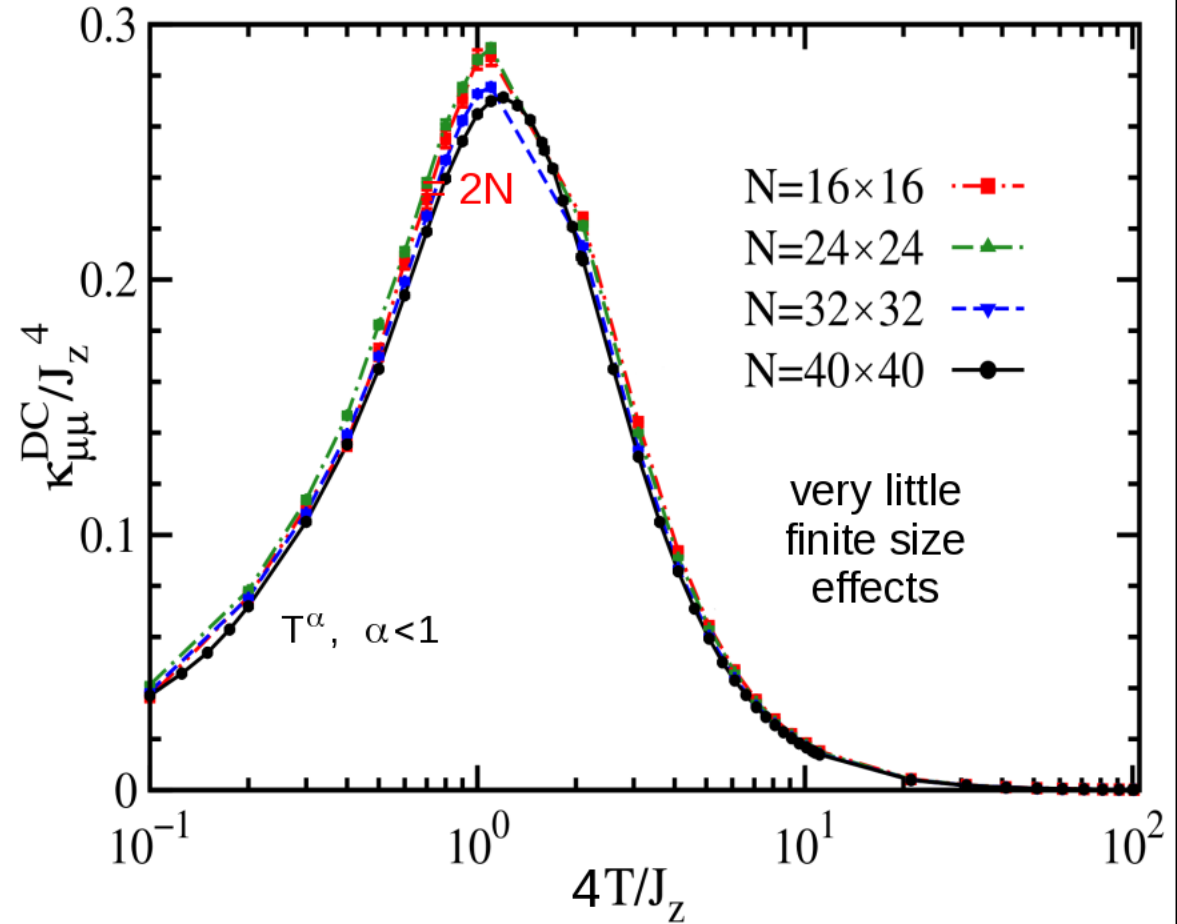


Honeycomb: average gauge configuration approximation

AGC: pseudogap closes $N \rightarrow \infty$

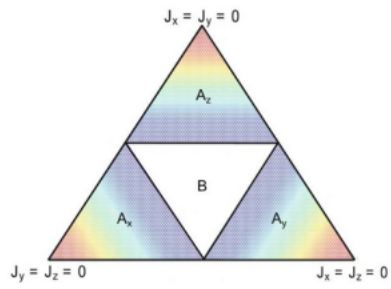


Matter-fermion dissipative thermal DC transport



Dimensionality matters

Anisotropy

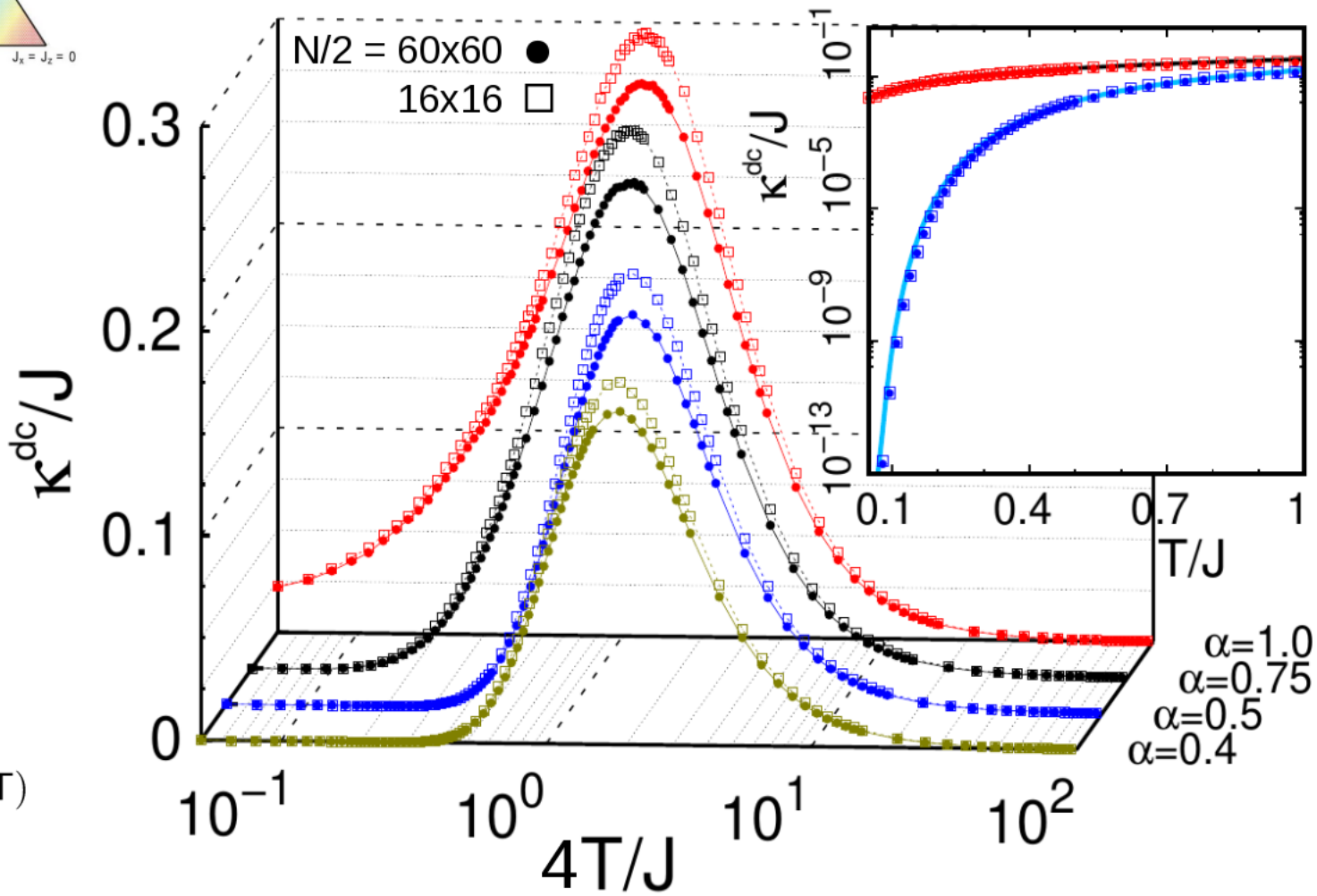


$$J_x = J_y = \alpha$$

$$J_z = 3 - 2\alpha$$

B-phase
 $\sim T^\gamma, \gamma < 1$

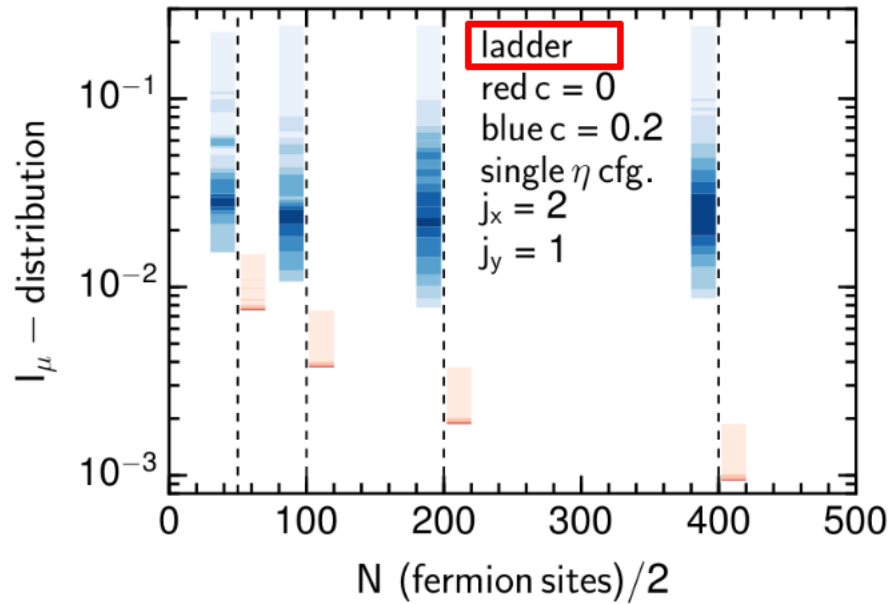
A-phase
 $\sim \exp(-\Delta/T)$



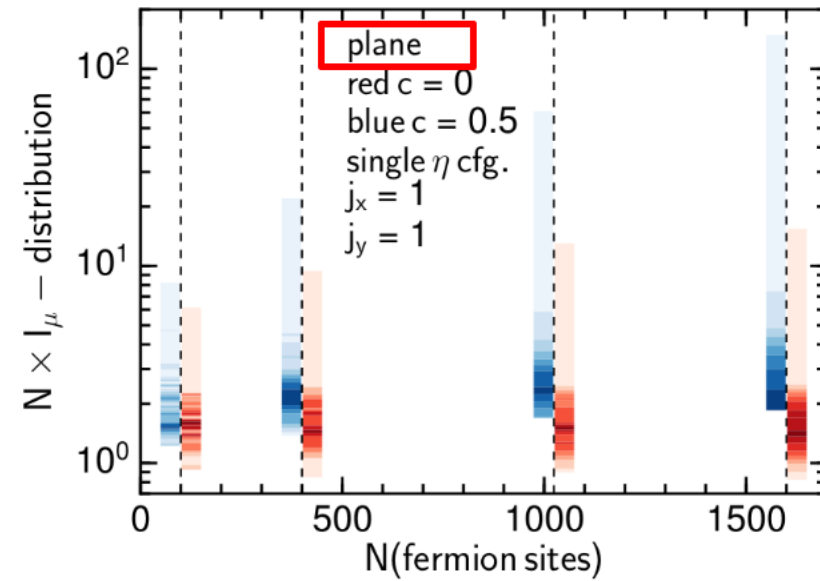
Why the difference

🌐 inverse participation ratio $I_\mu = \sum_i |\psi_\mu(i)|^4$

$$\lim_{N \rightarrow \infty} I_\mu = \begin{cases} 1/N & \rightarrow \text{extended} \\ \text{const.} & \rightarrow \text{localized} \end{cases}$$

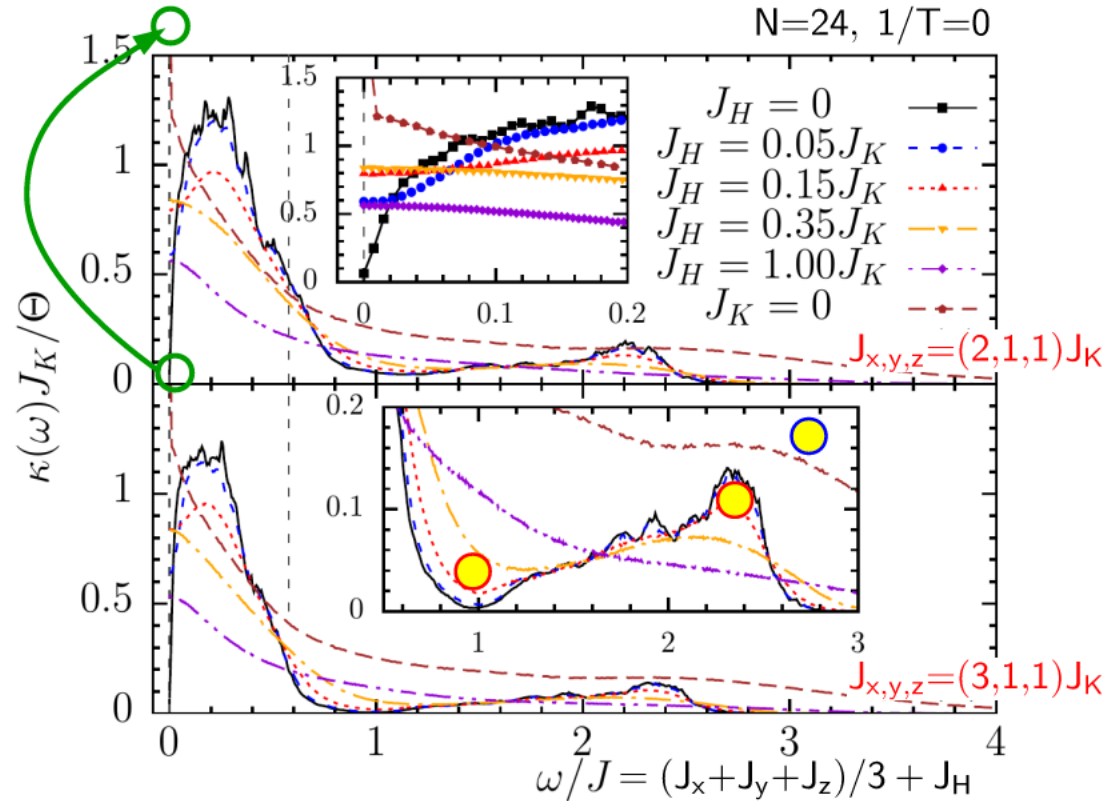


localized

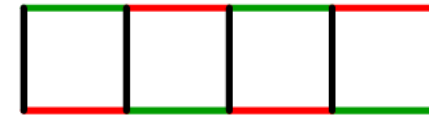


extended

● ED & Quantum typicality



Kitaev: Z_2 spin-liquid
dirac fermion
heat insulator



$$H = \sum_{\langle l,m \rangle} (J_\alpha S_l^\alpha S_m^\alpha + J_H \mathbf{S}_l \cdot \mathbf{S}_m)$$



Heisenberg: \sim VBC system
dissipative triplon
heat conductor

- $C(\omega=0)$ inc. vs. J_H/J_K , heat localization lost \Leftrightarrow flux mobility: insulator-conductor crossover
- dip & 2-fermion peak up to $J_K/J_h \sim 0.5$
- series expansions $J_H^{\text{leg}}/J_H^{\text{rung}}$: at $J_K=0$ weak 2-triplon continuum

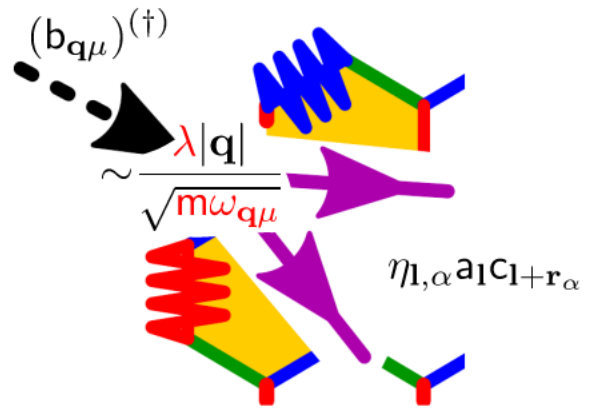
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 - Kitaev-Heisenberg ladder
- Phonons in the Kitaev QSL



Phonon self-energy: Zero flux sector

arXiv:1909.09360

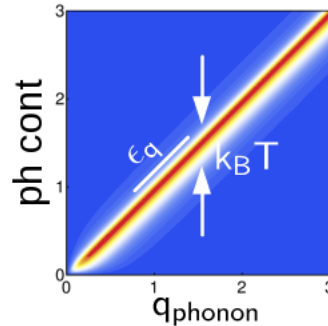
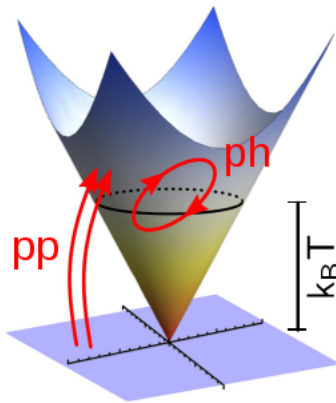


λ , "m" open issues

single spin procs.?

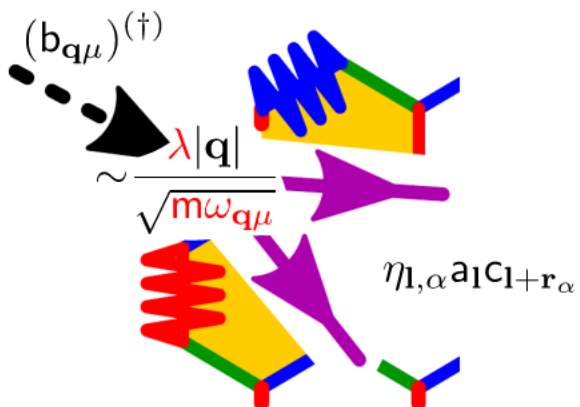
$$\Sigma_{\mu\nu}(\mathbf{q}, z) = \Sigma_{\mu\nu}^{\text{ph}}(\mathbf{q}, z) + \Sigma_{\mu\nu}^{\text{pp}}(\mathbf{q}, z)$$

$$\Sigma_{\mu\nu}^{\text{x}}(\mathbf{q}, z) = \sum_{\mathbf{k}} A_{\mathbf{k},\mathbf{q},\mu}^{\text{x}} A_{\mathbf{k},\mathbf{q},\nu}^{\text{x}*} \Pi^{\text{x}}(\mathbf{q}, z, \mathbf{k}, T)$$



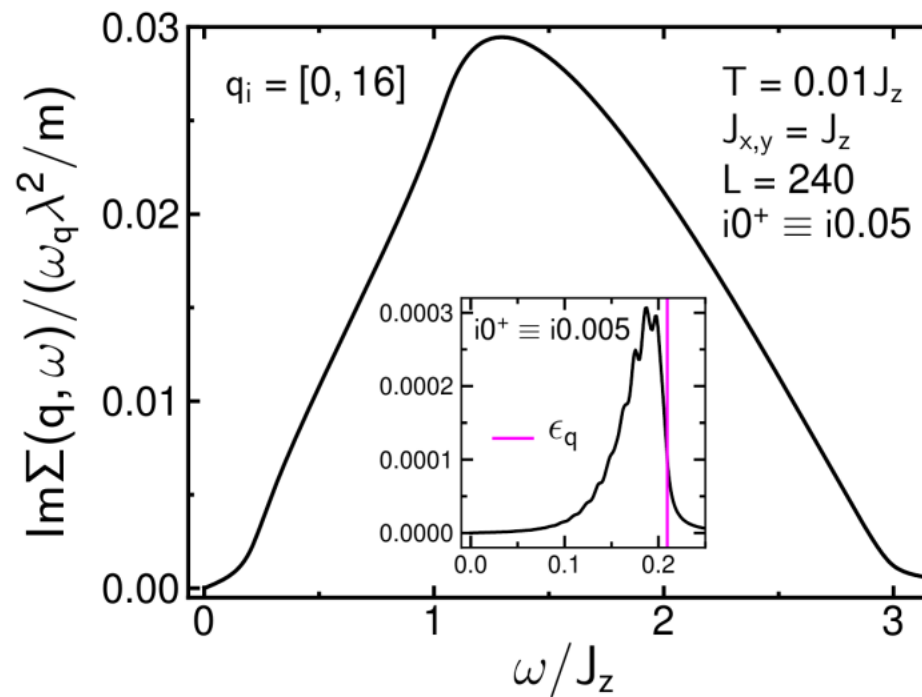
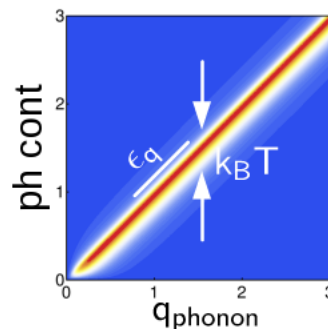
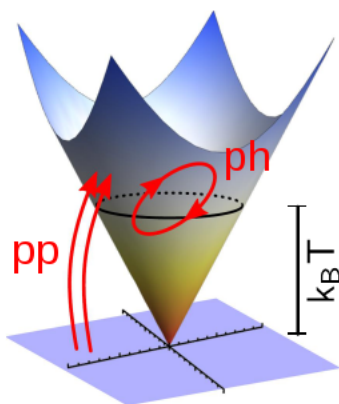
$T < T^*$: no. fermions small

pp channel dominant



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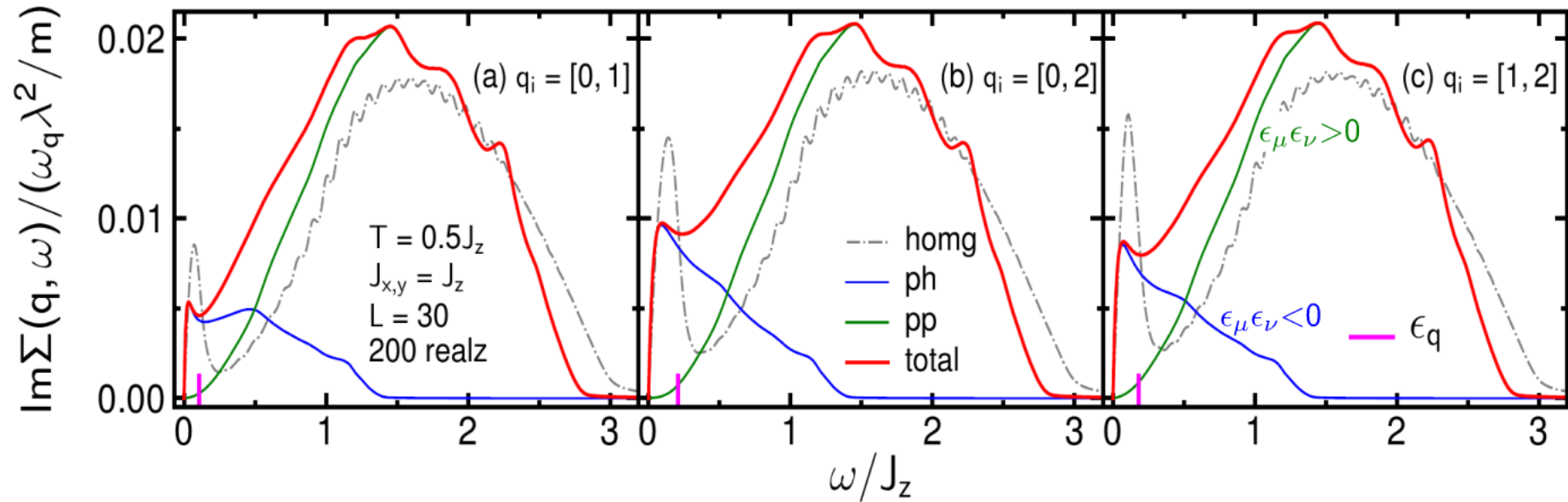
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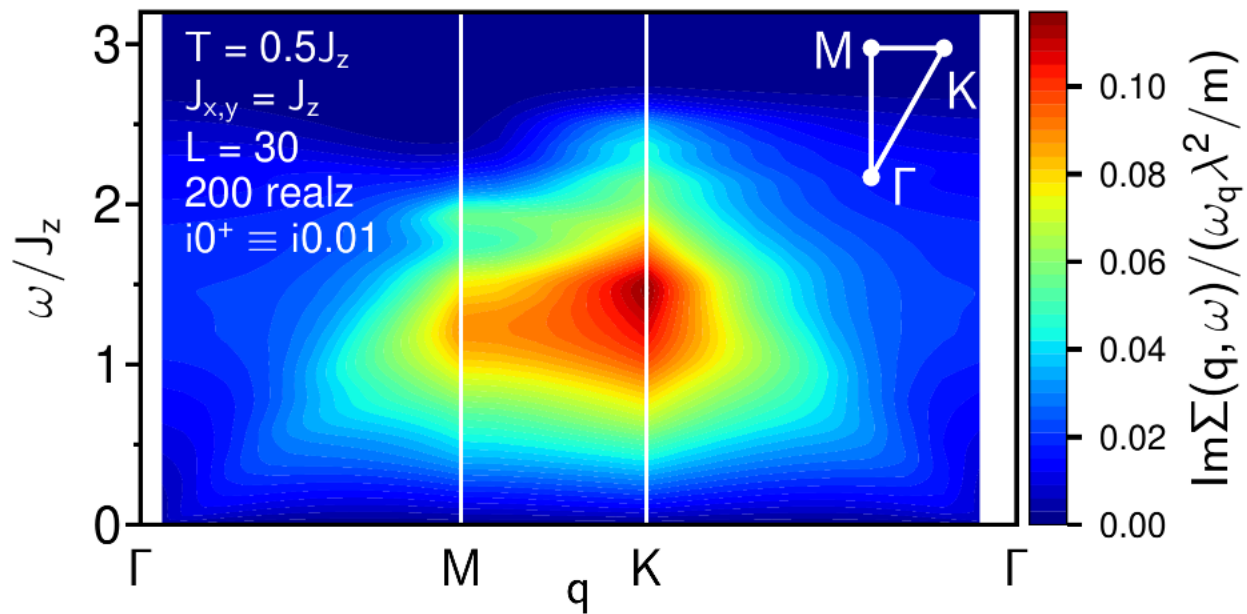
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Phonon self-energy: average gauge configuration approach

- Small q -regime $T > T^*$

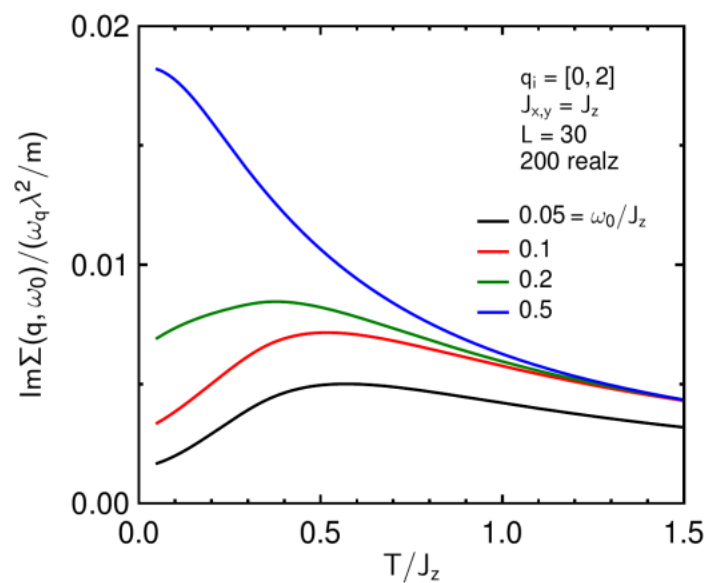


- Homogenous gauge: ph-continuum remains dispersive, weight inc. with T , shape remains narrow
- With flux excitations: ph-continuum spreads over $\sim O(J)$, \sim non-dispersive, shape modulated
- pp-continuum less sensitive
- Similar to thermal conductivity



$T > T^*$ phonon-damping vs. momentum on BZ-path

- featureless
- broad
- ph remnants



phonon-damping vs. T

- depends on details of $\omega_{\mathbf{q}\mu}$. RIP
- undressing for $T > J$
- higher order terms?
- $\alpha\text{-RuCl}_3$??

Conclusion

- **Fractionalization has a profound impact** on heat transport in Kitaev-Heisenberg models
 - static gauge fluxes serve as an emergent thermally activated disorder
 - in quasi 1D ladder case **localization** of heat results in pure Kitaev case
 - Heisenberg-Xchg induces **insulator** \leftrightarrow **conductor** crossover in ladder
 - 2D Kitaev magnet exhibits **dissipative Majorana matter heat transport**
 - **phonons** may dissipate within the Majorana continua
- **Consistent scenario** obtained from three complementary approaches: complete gauge trace, average gauge state, and ED of spin model

