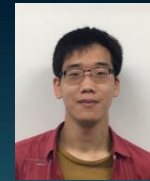


Half-integer thermal quantum Hall effect in a Kitaev quantum spin liquid



T. Yokoi, S. Ma, T. Ohnishi, Y. Kasahara,
Y. Matsuda,

Department of Physics, Kyoto Univ., Japan



K. Sugii

ISSP, Univ. of Tokyo, Japan



Y. Mizukami, O. Tanaka, T. Shibauchi

Department of Adv. Materials Science, Univ. of Tokyo, Japan



N. Kurita, H. Tanaka

Single crystals

Department of Physics, Tokyo Institute of Technology, Japan



J. Nasu

Theory

Department of Physics, Yokohama National University, Japan



Y. Motome

Theory

Department of Applied Physics, Univ. of Tokyo, Japan

C. Hickey, S. Trebst

Theory

Department of Physics, Univ. of Cologne, Germany



Half-integer thermal quantum Hall effect in a Kitaev quantum spin liquid

1. Kitaev quantum spin liquid

2. Half-integer thermal quantum Hall effect in α -RuCl₃

Topologically protected chiral edge current of Majorana fermions

3. Additional test of the Kitaev physics in α -RuCl₃

Influence of non-Kitaev interactions on the quantization

Comparison of topological Chern number of α -RuCl₃ with that of Majorana band of the Kitaev model

Y. Kasahara *et al.*, Phys. Rev. Lett. **120**, 217205 (2018).

Y. Kasahara *et al.*, Nature **559**, 227 (2018).

T. Yokoi *et al.* a preprint.



Kitaev quantum spin liquid

$S = 1/2$ spin on honeycomb lattice with three inequivalent bonds

A. Kitaev, Ann. Phys. **321**, 2 (2006).

$$\mathcal{H} = -J_x \sum_{\langle ij \rangle_x} S_i^x S_j^x - J_y \sum_{\langle ij \rangle_y} S_i^y S_j^y - J_z \sum_{\langle ij \rangle_z} S_i^z S_j^z$$

Kitaev interaction

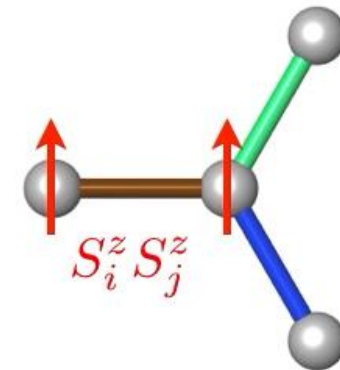
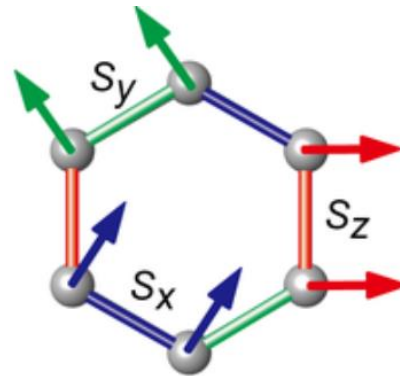
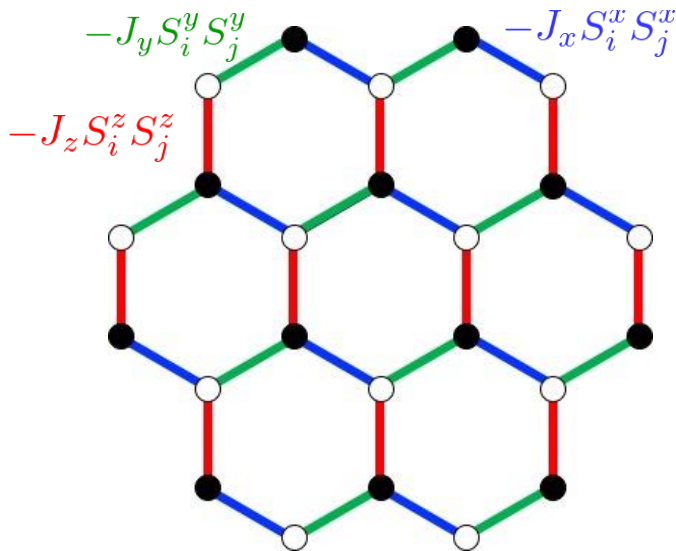
Bond-dependent Ising-like

Each bond favors different directions of x, y and z .



Exchange frustration

2D Honeycomb



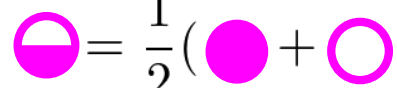
Exactly solvable ground state of a quantum spin liquid

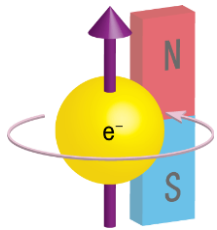
Why is the Kitaev quantum spin liquid interesting?

Topological order with emergent fractionalized particles

Majorana fermions

A particle is its own antiparticle $\gamma^\dagger = \gamma$

Half of conventional fermion $\gamma = \frac{1}{2}(f^\dagger + f)$ 

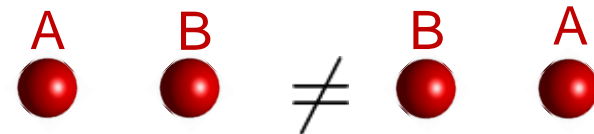


Fractionalization of electron *spin*

Fractionalization of *charge* in fractional QHE

Non-Abelian anyons

Neither boson nor fermion



Identical to those of

$p_x + ip_y$ superconductor

N. Read and D. Green, Phys. Rev. B 61, 10267 (2000).

5/2 fractional quantum Hall state

G. Moore and N. Read,
Nucl. Phys. B 360, 362 (1991).

Fractionalization of spin into Majorana fermions

Spin-1/2
particle



$$|\uparrow\rangle = |00\rangle$$

$$|\downarrow\rangle = |11\rangle$$

$$|11\rangle = f_1^\dagger f_2^\dagger |00\rangle$$



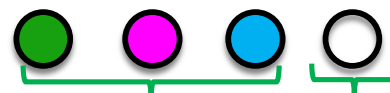
Fermions

f_1 f_2



Majorana fermions

b^x b^y b^z c



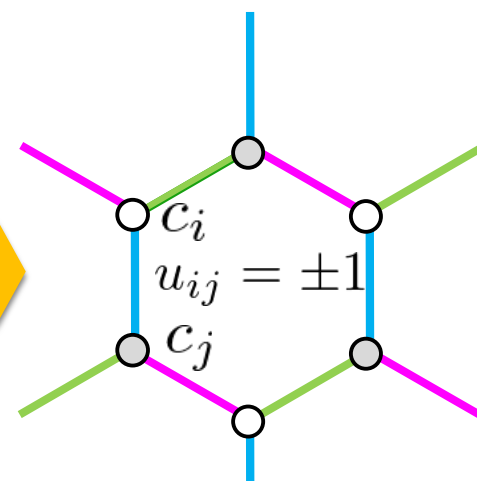
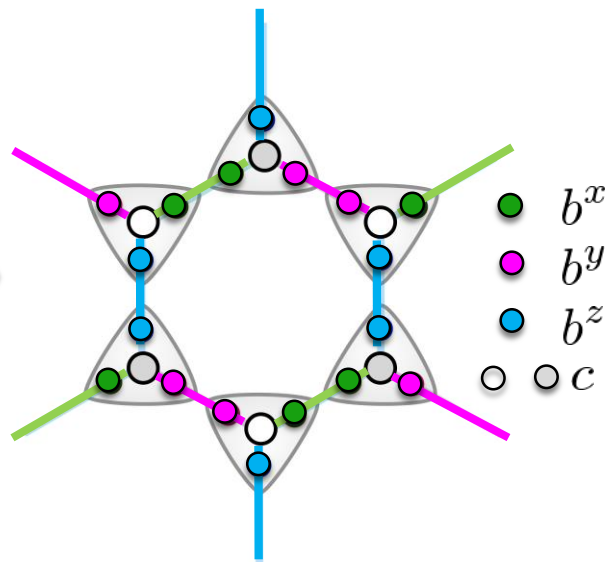
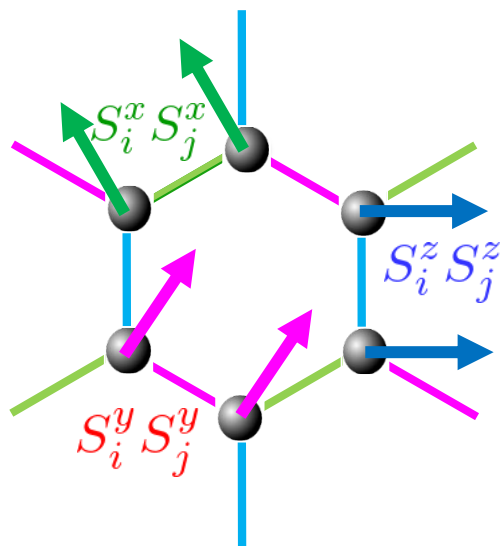
localized itinerant

$\{b^x, b^y, b^z, c\}$

anticommuting

$$b^{\alpha\dagger} = b^\alpha \quad c^\dagger = c$$

They are their own particles



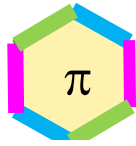
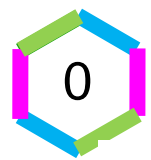
Non-interacting c-Majorana

Two types of Majorana fermions

Spin 1/2

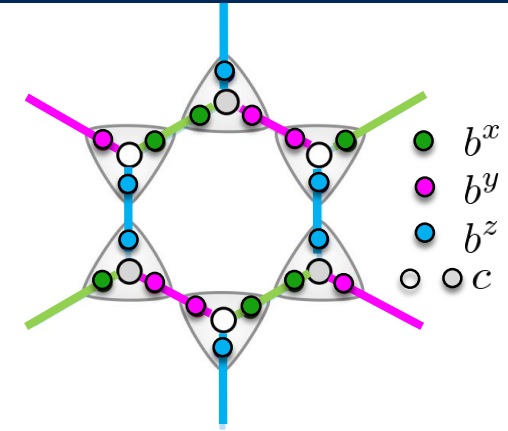
Itinerant Majorana c

Localized Majorana b^x, b^y, b^z



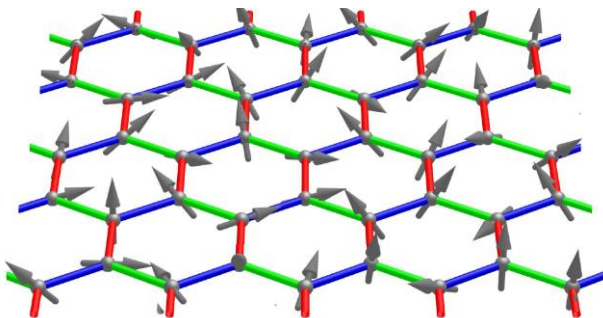
Z_2 vortex (Vison)

Energy gap: $\Delta_F \sim 0.1J_K$



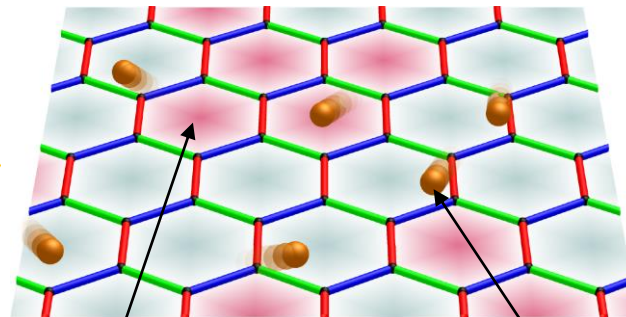
$T > J_K$

Paramagnetic



$T < J_K$

Spin fractionalization

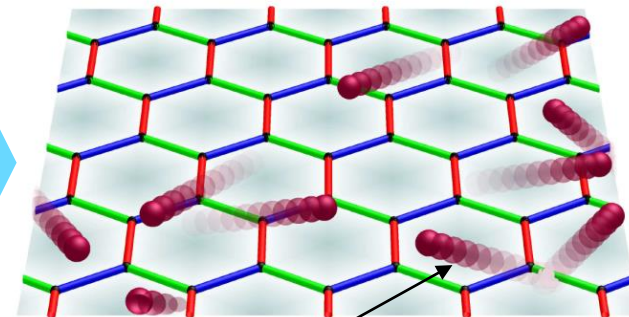


Z_2 vortex

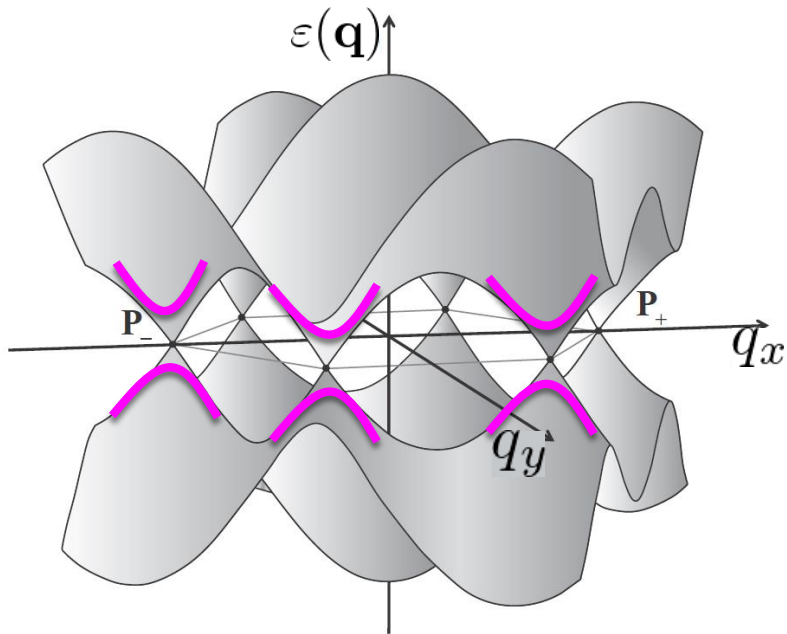
Itinerant Majorana fermions

$T \ll J_K$

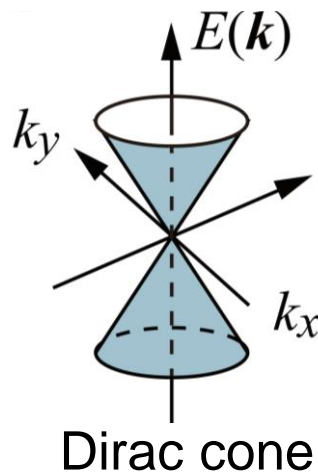
Quantum spin liquid



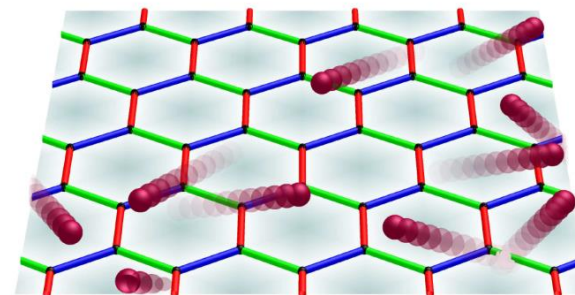
Energy dispersion of itinerant Majorana



$H = 0$



Itinerant Majorana



Semimetal

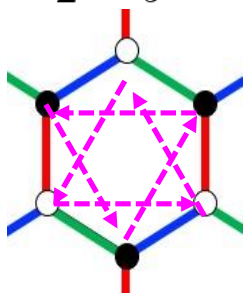
Third perturbation of Zeeman energy

$$\mathcal{H}_h^{3rd} \sim -\frac{h_x h_y h_z}{J^2} \sum_{j,k,l} \sigma_j^x \sigma_k^y \sigma_l^z$$

Three body spin correlation

$$\sim -\frac{i h_x h_y h_z}{2 J^2} \sum_{j,k} c_j c_k$$

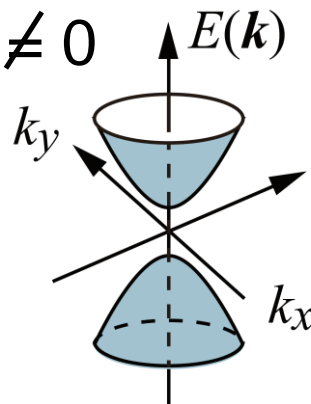
2nd nearest neighbor hopping of Majorana



QHE without Landau level

cf. F.D.M. Haldane, PRL 61, 2015 (1988).

$H \neq 0$



Topologically non-trivial state

Chern number $C_h = \frac{\Delta}{|\Delta|} = \pm 1$

Majorana Chern insulator

How to detect Majorana

Majorana fermions: **Charge neutral**.
do not respond to electric field but can carry the heat (entropy).

➔ Thermal transport measurements

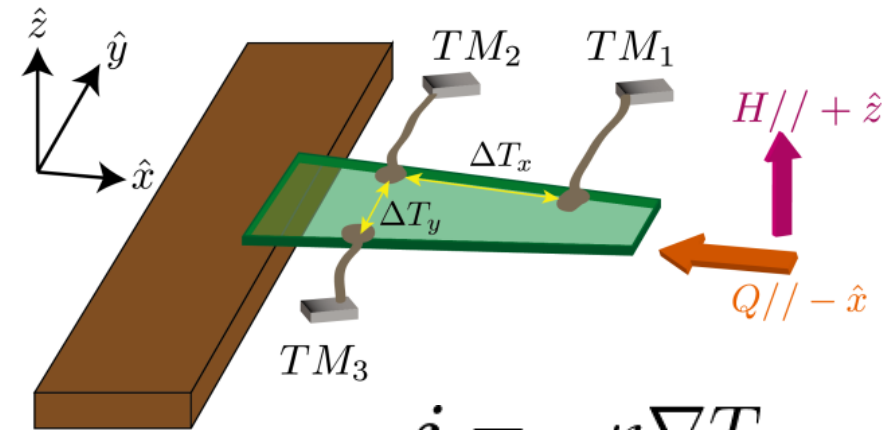
Thermal Hall conductivity

Thermal analog of the electronic Hall effect

Phonon usually does not contribute to the thermal Hall effect

Thermal Hall effect is absent in conventional insulating magnets.

J.H. Han and H. Lee, J.Phys. Soc.Jpn **86**, 011007 (2017).



$$\mathbf{j} = -\kappa \nabla T$$

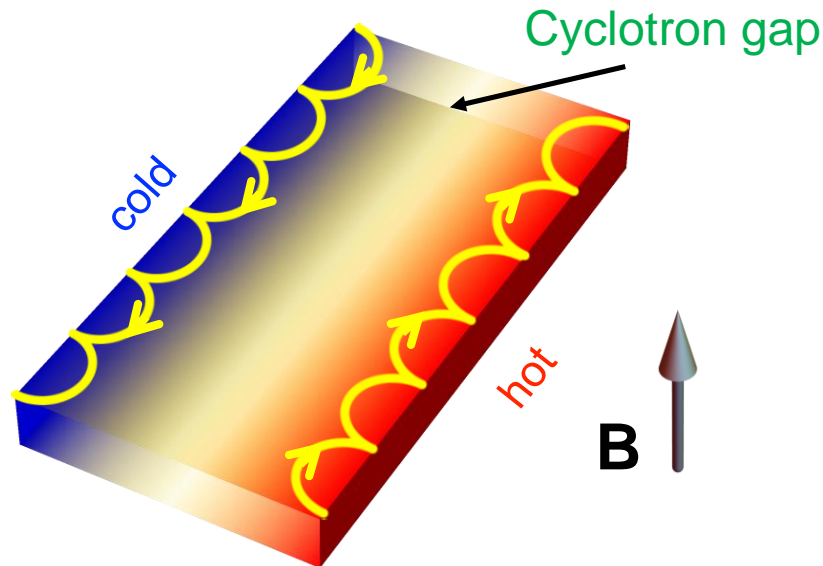
$$\begin{pmatrix} j_x \\ 0 \end{pmatrix} = \begin{pmatrix} \kappa_{xx} & \kappa_{xy} \\ -\kappa_{xy} & \kappa_{xx} \end{pmatrix} \begin{pmatrix} -\nabla_x T \\ -\nabla_y T \end{pmatrix}$$

**Thermal Hall conductance is quantized
in Kitaev spin liquid.**

A. Kitaev, Ann. Phys. **321**, 2 (2006).

2D electron gas and Kitaev spin liquid

Integer quantum Hall state



$$\sigma_{xy}^{2D} = \nu \frac{G_0}{2} \quad G_0 = \frac{2e^2}{h} \quad \nu = 1, 2, 3 \dots$$

Quantum conductance

$$\kappa_{xy}^{2D} = \nu K_0 \quad K_0 = \frac{\pi^2 k_B^2 T}{3h}$$

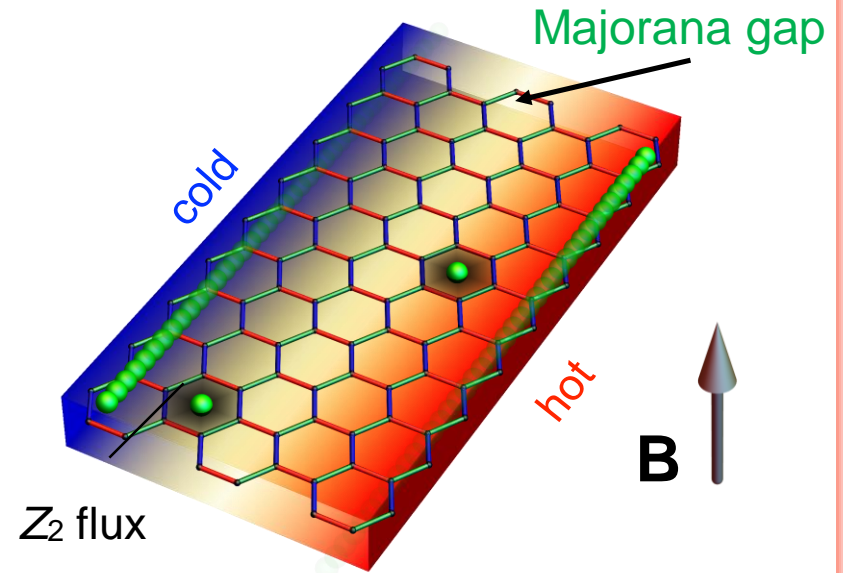
Quantum thermal conductance

M. Banerjee *et al.* Nature **545**, 75 (17).

C.L. Kane and M.P.A. Fisher, PRB **55**, 15832 (97)

Chiral edge currents of electrons

Kitaev quantum spin liquid state



Chiral edge currents of Majorana fermions

$$\kappa_{xy}^{2D} = \frac{1}{2} K_0$$

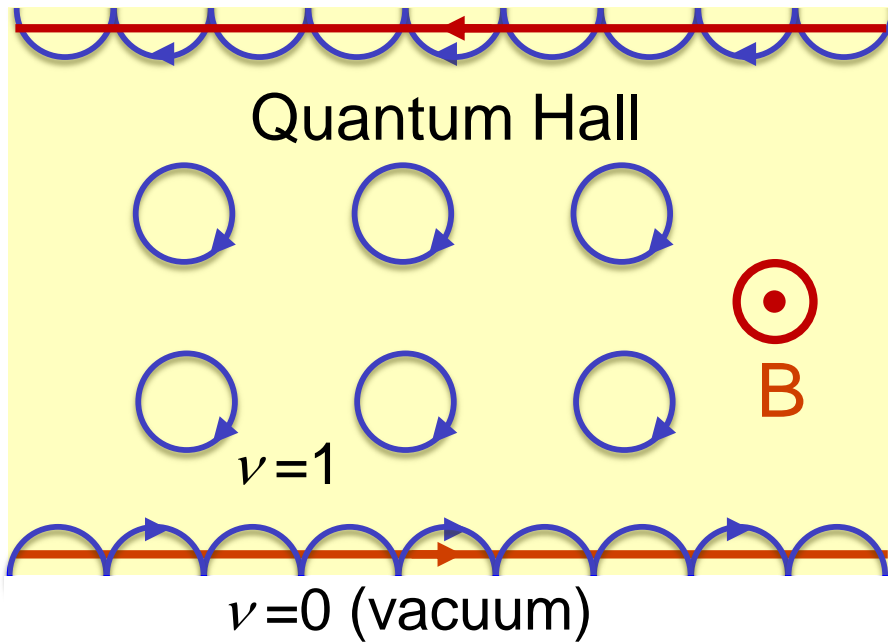
Half integer thermal QHE

One Majorana = "half" of a fermion

$$\gamma = \frac{1}{2} (f^\dagger + f) \quad \ominus = \frac{1}{2} (\bullet + \circ)$$

Chiral edge currents

2D electron gas



Skipping motion of electron

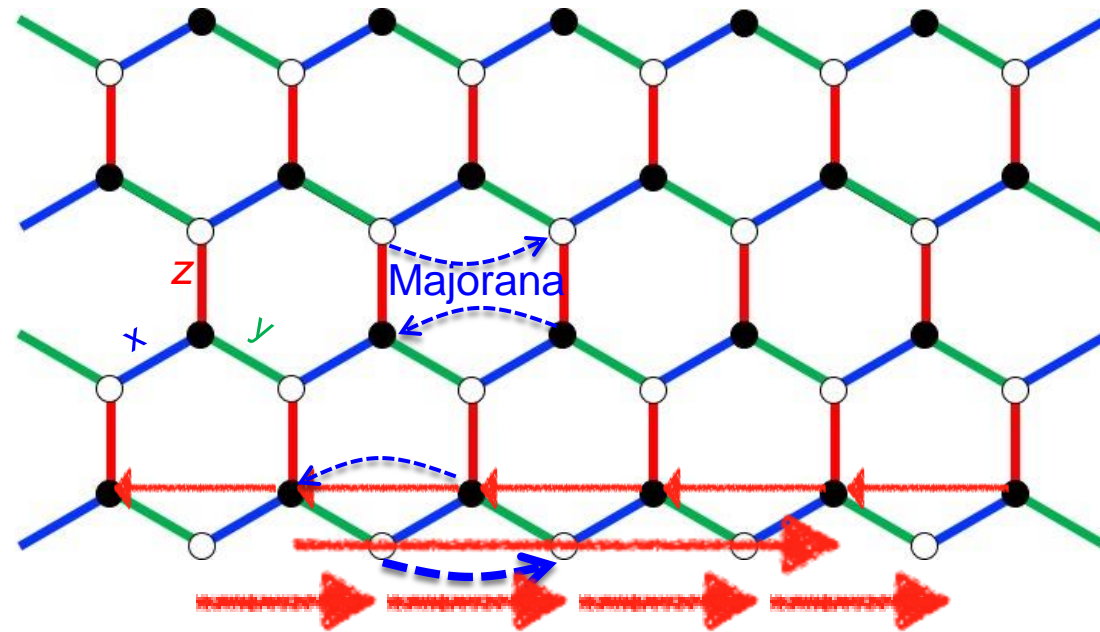
Lorentz force

Kitaev quantum spin liquid

$$\mathcal{H}_h^{3rd} \sim -\frac{h_x h_y h_z}{J^2} \sum_{j,k,l} \sigma_j^x \sigma_k^y \sigma_l^z = -\frac{i}{2} \frac{h_x h_y h_z}{J^2} \sum_{j,k} c_j c_k$$

Three body spin correlation

2nd NN hopping of Majorana



Two contributions do not cancel out.

Zeeman

1. Kitaev quantum spin liquid

2. Half-integer thermal quantum Hall effect in α - RuCl_3

Topologically protected chiral edge current of Majorana fermions

3. Additional test of the Kitaev physics in α - RuCl_3

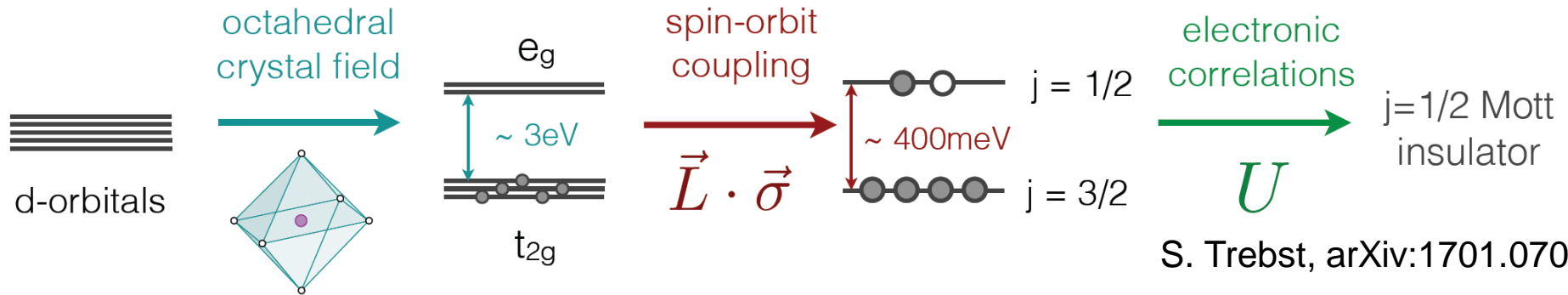
Influence of non-Kitaev interactions on the quantization

Comparison of topological Chern number of α - RuCl_3
with that of Majorana band of the Kitaev model



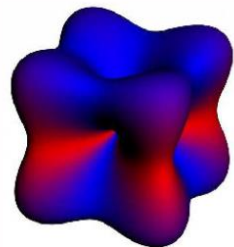
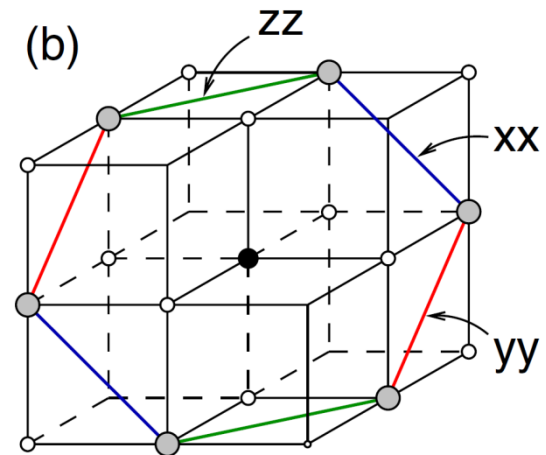
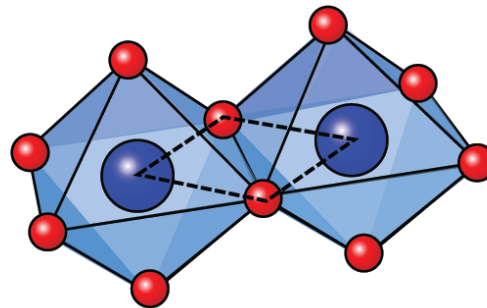
Kitaev-type interactions in materials

Half filled d^5 orbital + t_{2g} orbitals + Strong spin-orbit coupling + Strong el-el interaction



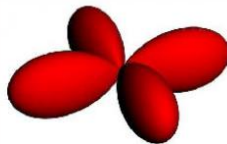
+

90 deg bond by edge-sharing octahedra



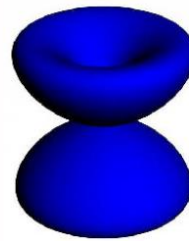
isospin up

=



spin up, $l_z=0$

+



spin down, $l_z=1$

Heisenberg \rightarrow Ising

G.Jackeli & G.Khaliullin,
PRL**102**, 017205 (2006).

A prime candidate for hosting an approximate Kitaev QSL

Strongly spin-orbit coupled $j=1/2$ Mott insulator α -RuCl₃

$$\mathcal{H} = \sum [\underbrace{J \vec{S}_i \cdot \vec{S}_j}_{\text{Heisenberg}} + \underbrace{J_K S_i^\gamma S_j^\gamma}_{\text{Kitaev}} + \underbrace{\Gamma (S_i^\alpha S_j^\beta + S_i^\beta S_j^\alpha)}_{\text{off-diagonal}}]$$

$J_K = -6.7 \text{ meV}$ Ferromagnetic

$J = -1.4 \text{ meV}$

$\Gamma = 3.5 \text{ meV}$

Significant Kitaev term

Seung-Hwan Do, Sungdae Ji *et al.*

S. M. Winter *et al.*, PRB **93**, 214431 (2016).

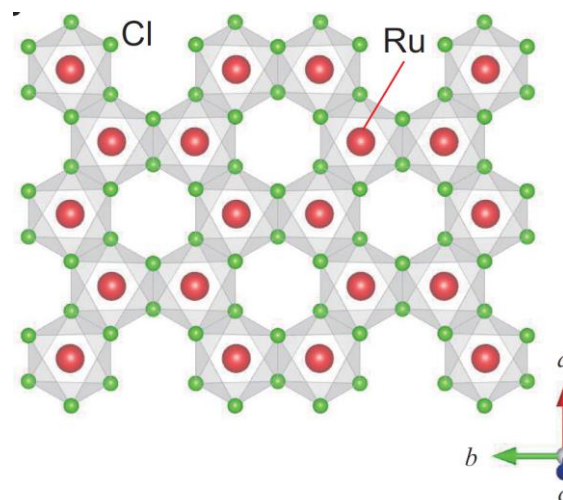
Non-Kitaev interaction

AFM order with zigzag spin structure at $T_N \sim 7.5 \text{ K}$

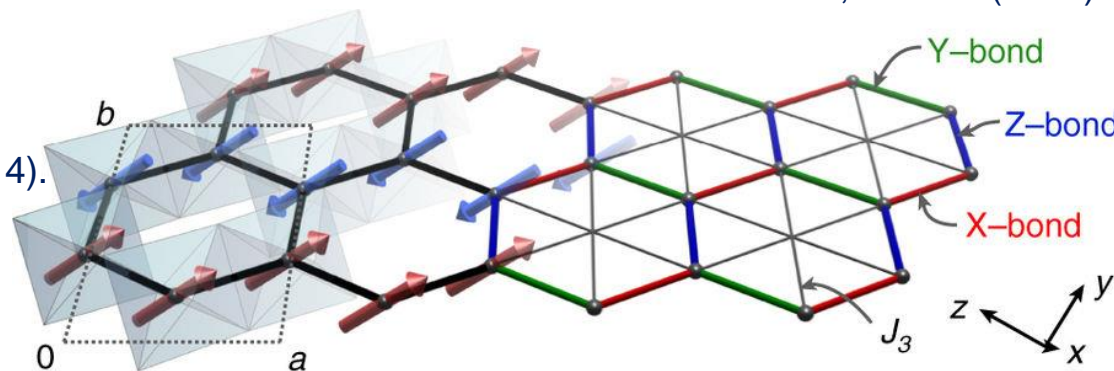
J.G. Rau, E. K-H Lee and H-Y Kee, PRL **112**, 077204 (2014).

A. Catuneanu *et al.* npj Quantum Materials **3**,23 (2018)

Z-X. Liu and B. Normand, PRL **120**, 187201 (2018).

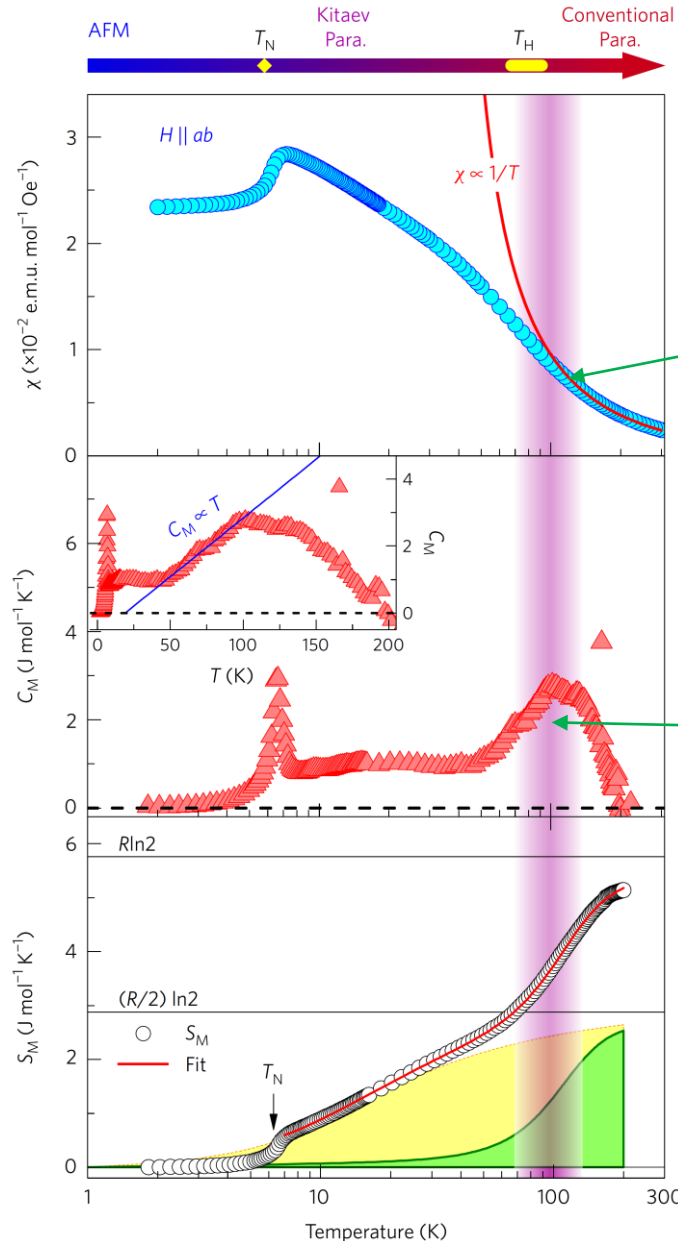


K.W. Plumb *et al.* PRB **90**, 041112 (2014).

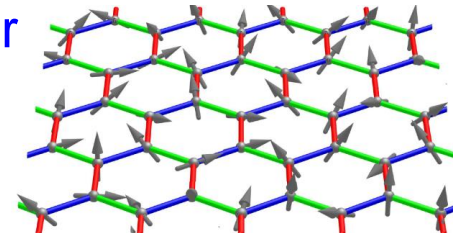


S. M. Winter *et al.*, Nature Commun. **8**, 1152 (2017).

Signature of fractionalization in α -RuCl₃

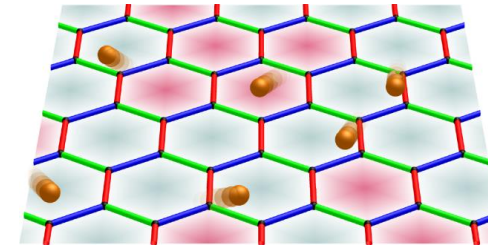


Curie-like behavior



Phonon reference ScCl₃ $C_M \propto T$

Fermi degeneracy



Half of $R \ln 2$ in $S=1/2$ spin system

Entropy release due to itinerant Majorana fermions

Fingerprint of itinerant Majorana

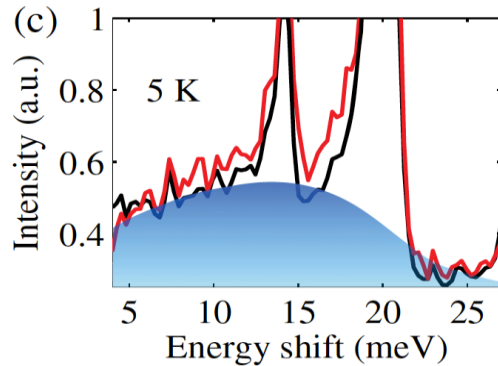
S-H.Do *et al.* Nature Phys. **13**, 1079 (2017).

Phonon reference RhCl₃+*ab initio* calculation of phonon

S. Widmann *et al.* Phys. Rev. B **99**, 094415 (2019).

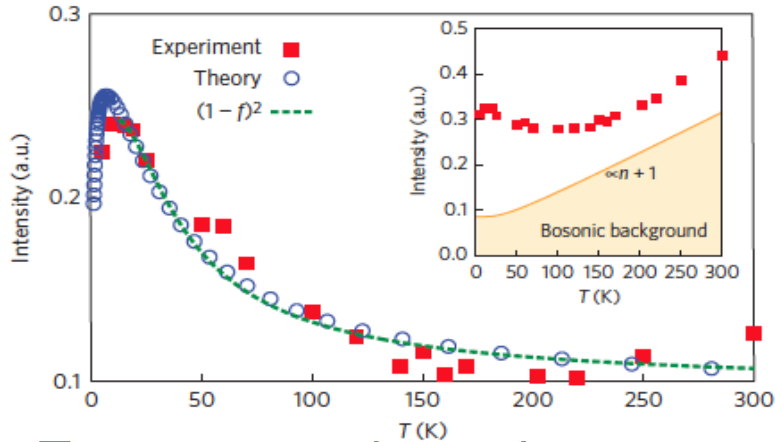
Signature of fractionalization in α -RuCl₃

Raman scattering



Broad magnetic continuum

L. J. Sandilands *et al.*, PRL **114**, 147201 (2015).



Temperature dependence

J. Nasu *et al.*, Nat. Phys. **12**, 912 (2016).

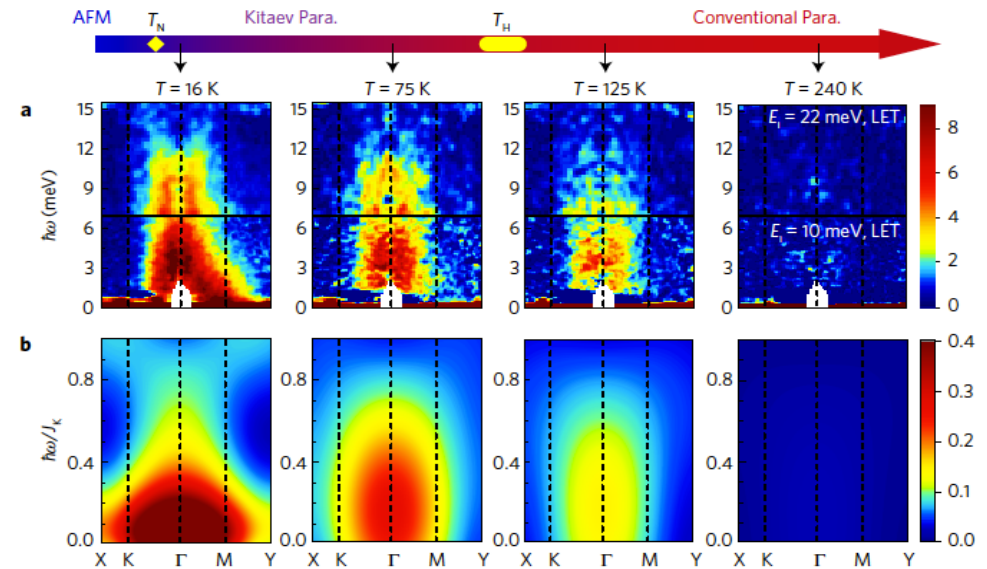
Energy dependence

Y, Wang, *et al.* arXiv:1809.07782

Inconsistent with bosonic magnons

Fermionic excitations \longrightarrow reflect the proximity to the Kitaev model

Inelastic neutron scattering



Magnetic continuum below $\sim J_K/k_B$

Seung-Hwan Do, Sungdae Ji *et al.*, Nat. Phys. (2017).

A. Banerjee *et al.*, Nat. Mater. **15**, 733 (2016).

A. Banerjee *et al.*, Science **356**, 1055 (2017).

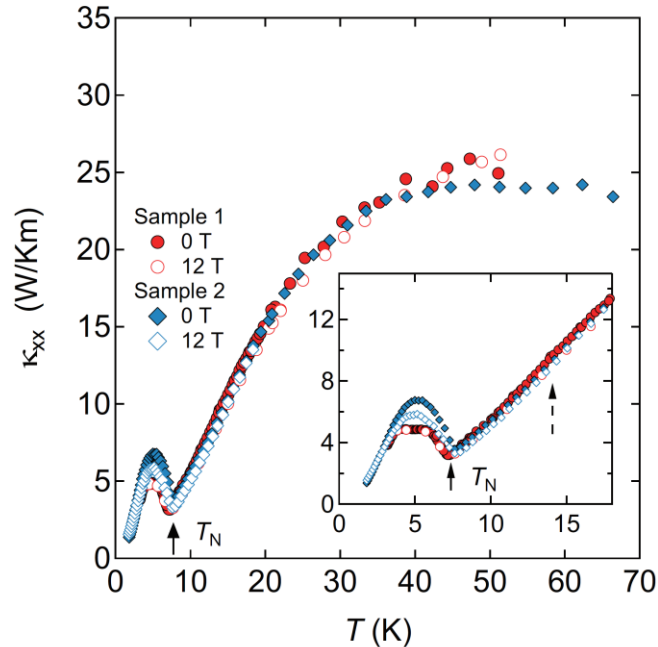
Measurements

Thermal conductivity

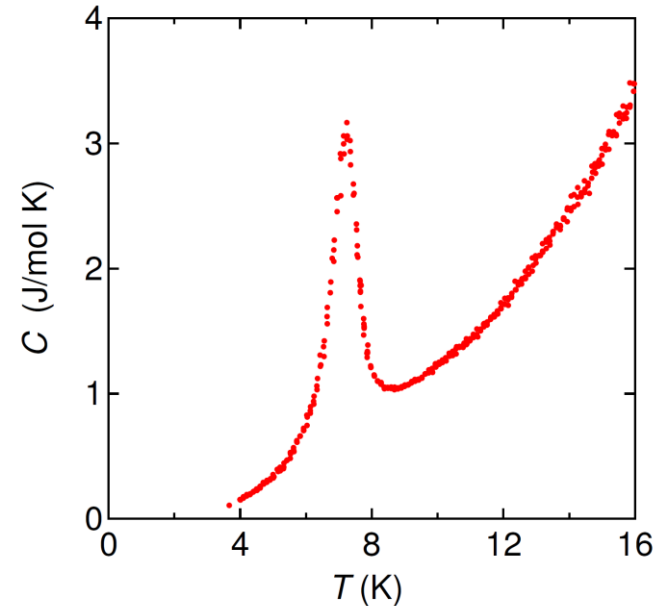
I.A. Lehy *et al.* PRL **118**, 187203 (2017).

D. Hirobe *et al.* PRB **95**, 241112(R) (2017).

Y. J. Yu *et al.* PRL **120**, 067202 (2018).



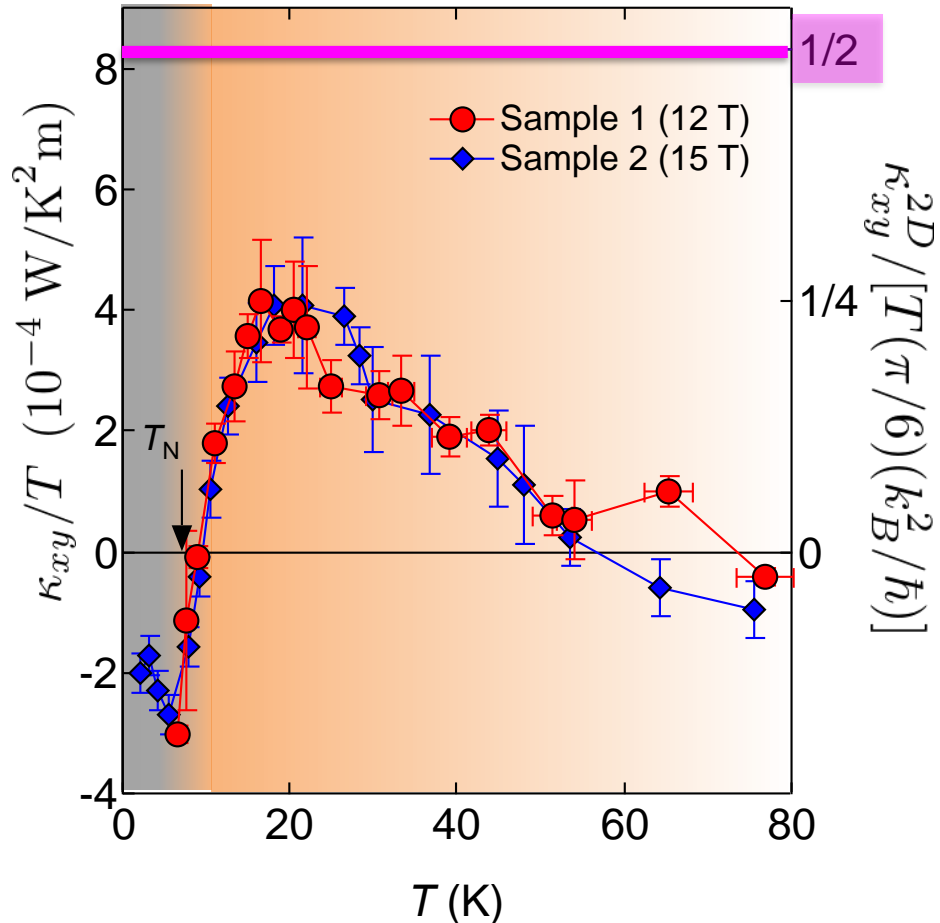
Specific heat



- Clear anomaly at $T_N \sim 7.5$ K
- No discernible anomaly at $T_{N2} \sim 14$ K

$k=(1/2,0.3/2)$ order
Staking every 2 layers

Thermal Hall conductivity κ_{xy} of α -RuCl₃



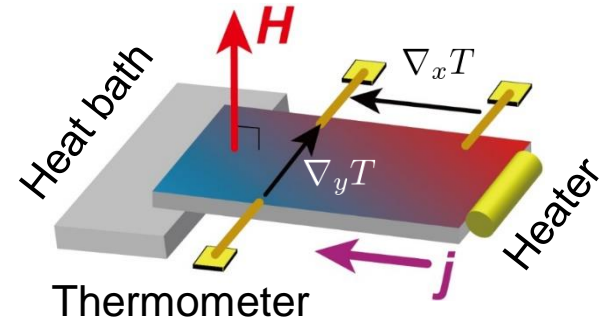
Non zero κ_{xy} below $T \sim J_K$!

Thermal Hall effect is usually absent in disordered insulating magnets.

➡ **Non-trivial excitations**

Y. Kasahara, Y.M. *et al.*, PRL **120**, 217205 (2018).

See also R. Hentrich *et al.*, Phys. Rev. B **99**, 085136 (2018).



κ_{xy}/T appears to approach

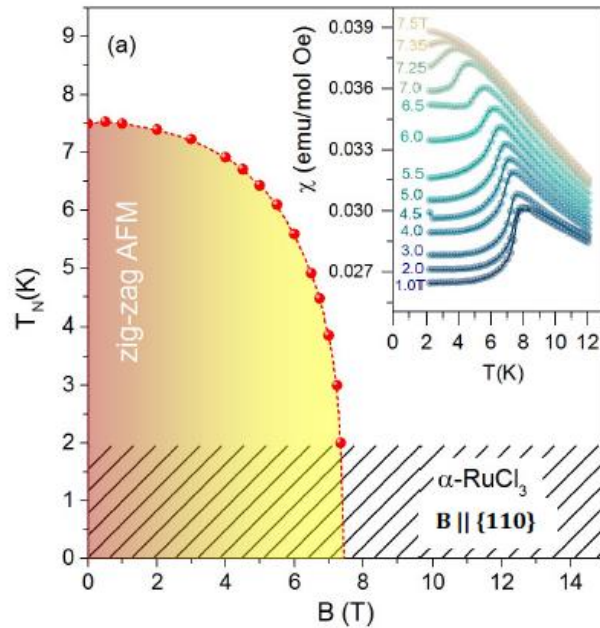
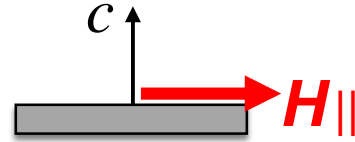
half of the quantized value!

But.....

quantization is not attained.

Low-temperature properties are masked by the magnetic order.

Suppression of AFM order by in-plane field

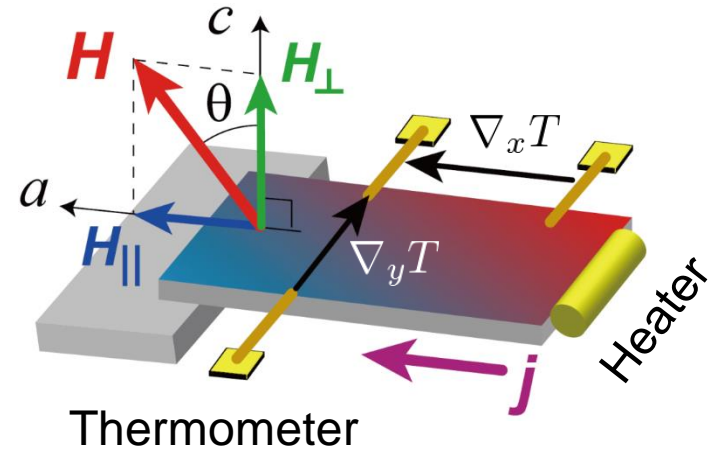


$$H_{||}^* \sim 7-8 \text{ T}$$

A. Banerjee *et al.*, npj Quantum Materials **3**, 8 (2018)

M. Majumder *et al.*, PRB **91**, 180401(R) (2015).

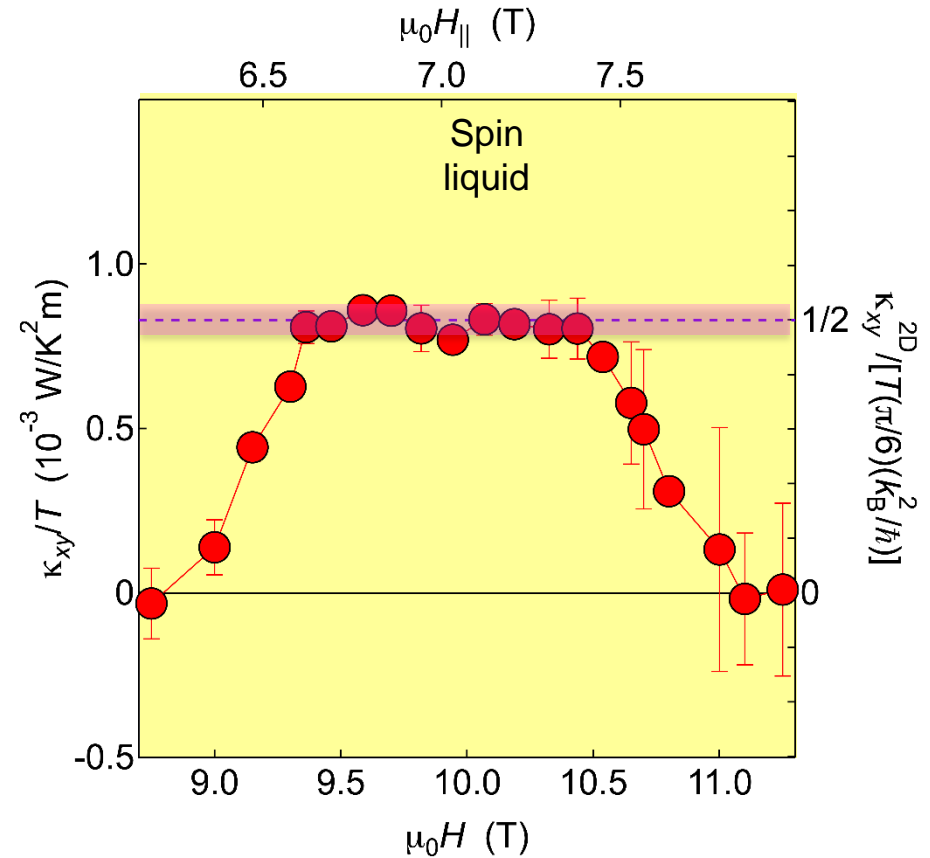
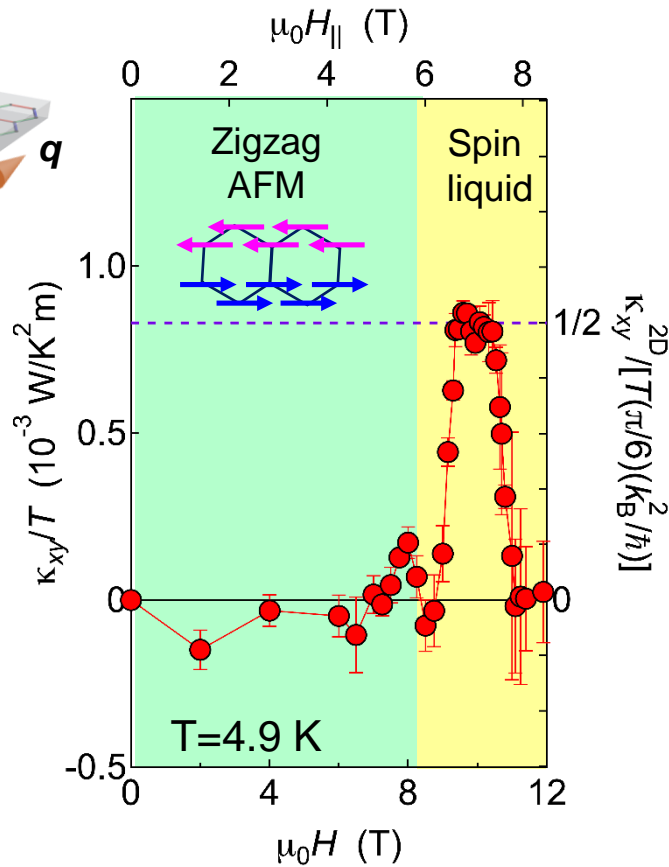
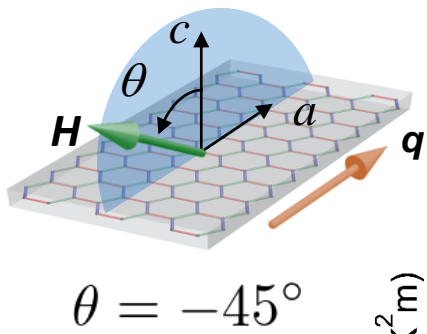
$H_{||}$ suppresses the AFM ordering



Thermal Hall effect in tilted fields

AFM order is suppressed by $H_{||}$

Half-integer quantized thermal Hall conductance plateau



Thermal Hall plateau in the spin liquid state

Half of the quantized value for $\nu=1$ of QHE

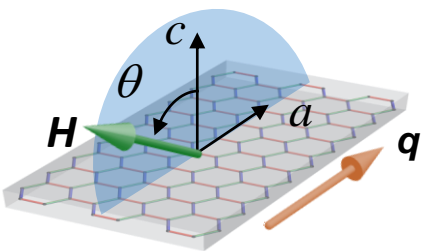
$$\kappa_{xy}^{2D} = \frac{1}{2} K_0$$

$$\kappa_{xy}^{2D} = K_0$$

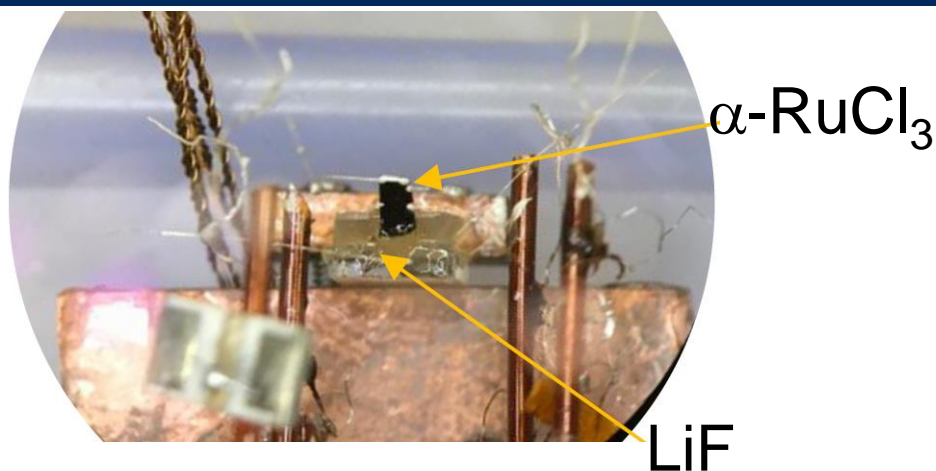
$$K_0 = \frac{\pi^2 k_B^2 T}{3h}$$

Quantum Thermal Conductance

Half-integer quantized thermal Hall conductance plateau

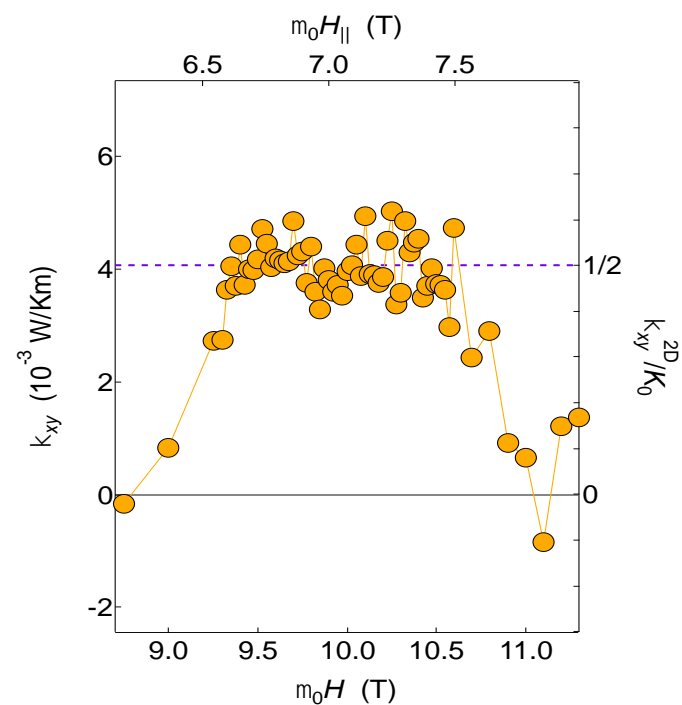


$$\theta = -45^\circ$$

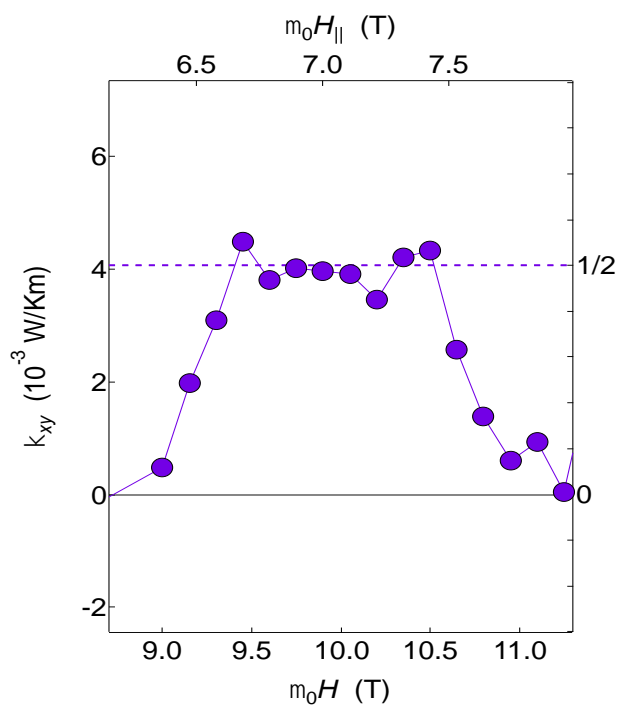


$\alpha\text{-RuCl}_3$

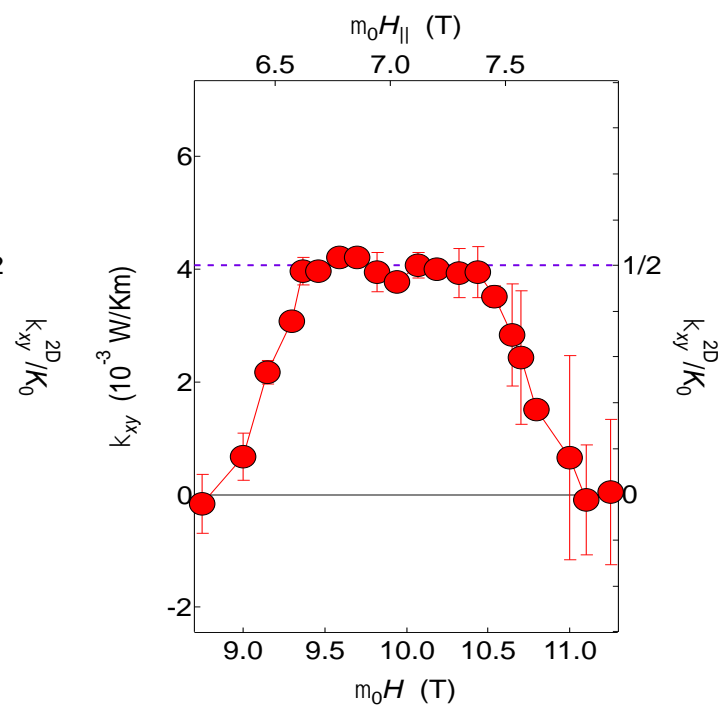
LiF



Raw data 1

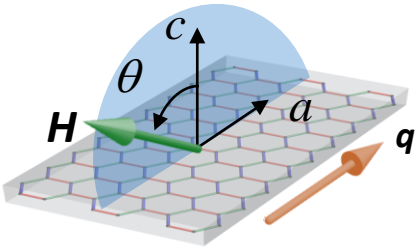


Raw data 2



Average for 5 measurements

Half-integer quantized thermal Hall conductance plateau

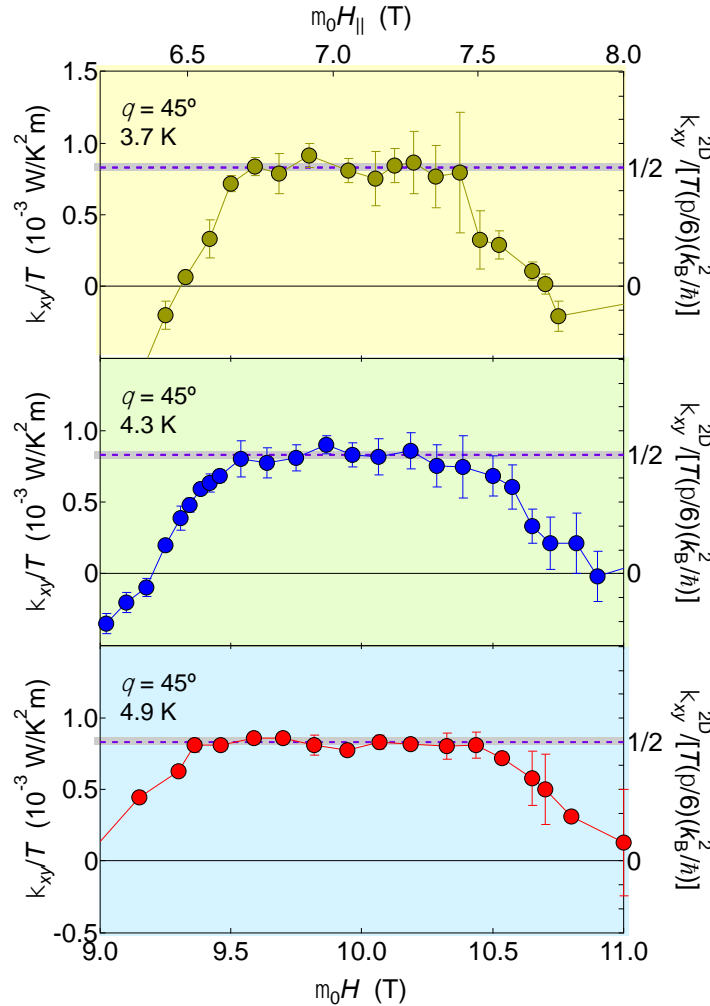


$$\kappa_{xy}^{2D} = \frac{1}{2} K_0$$

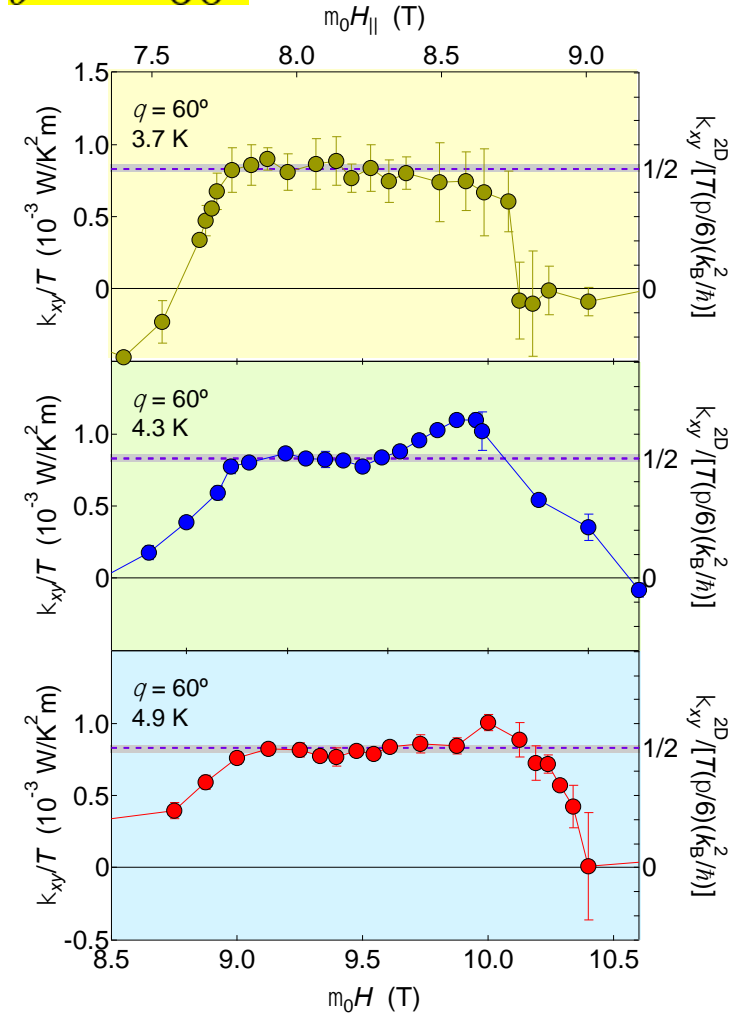
$$K_0 = \frac{\pi^2 k_B^2}{3h} T$$

Quantum Thermal Conductance

$\theta = -45^\circ$



$\theta = -60^\circ$



Insulator: Neutral quasiparticles

Quantized plateau: Topologically protected

Degrees of freedom: Half of conventional fermions

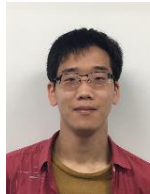
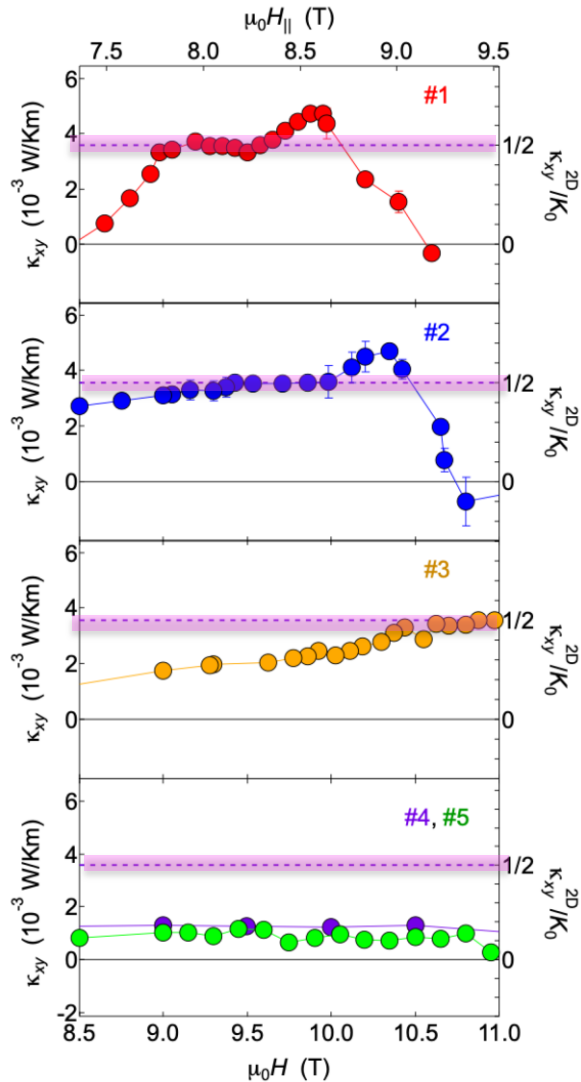


Majorana fermion

Reproducibility

Sample dependence $\theta = -60^\circ$

Kyoto Univ.



Independent measurements
by a different group

ISSP, Univ. of Tokyo



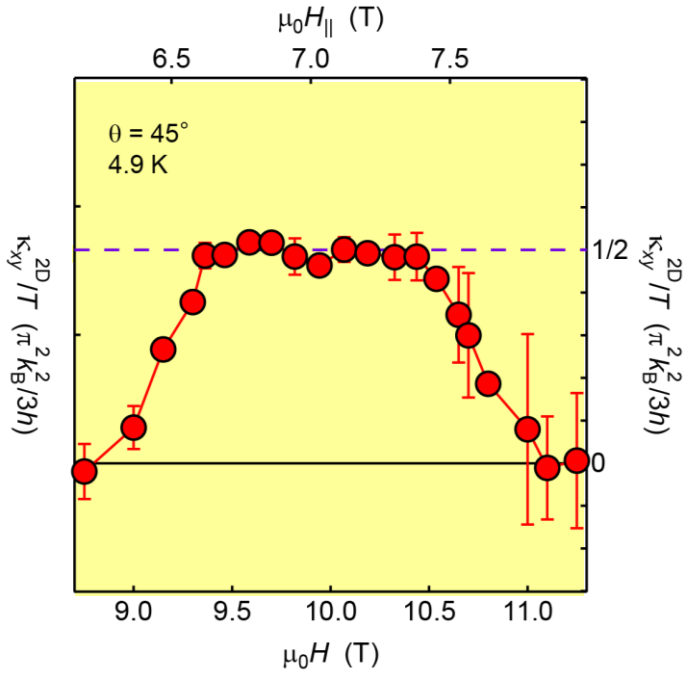
M. Yamashita *et al.*
in preparation.

Crystal grown by N Kurita and H. Tanaka
(Tokyo Institute of Technology)

The $\frac{1}{2}$ quantization appears to be reproduced.

Crystal having large κ_{xx} is required to
observe the $\frac{1}{2}$ thermal QHE

Non-Abelian anyon



$$\kappa_{xy}^{2D} = cK_0 \quad K_0 = \frac{\pi^2 k_B^2}{3h} T$$

Quantum Thermal Conductance
c: central charge

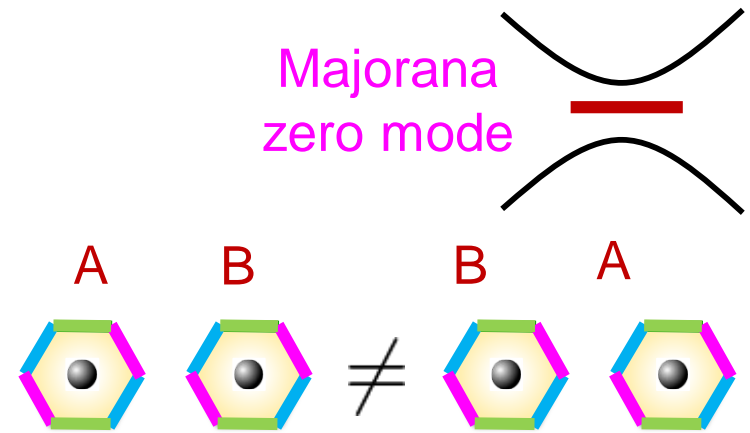
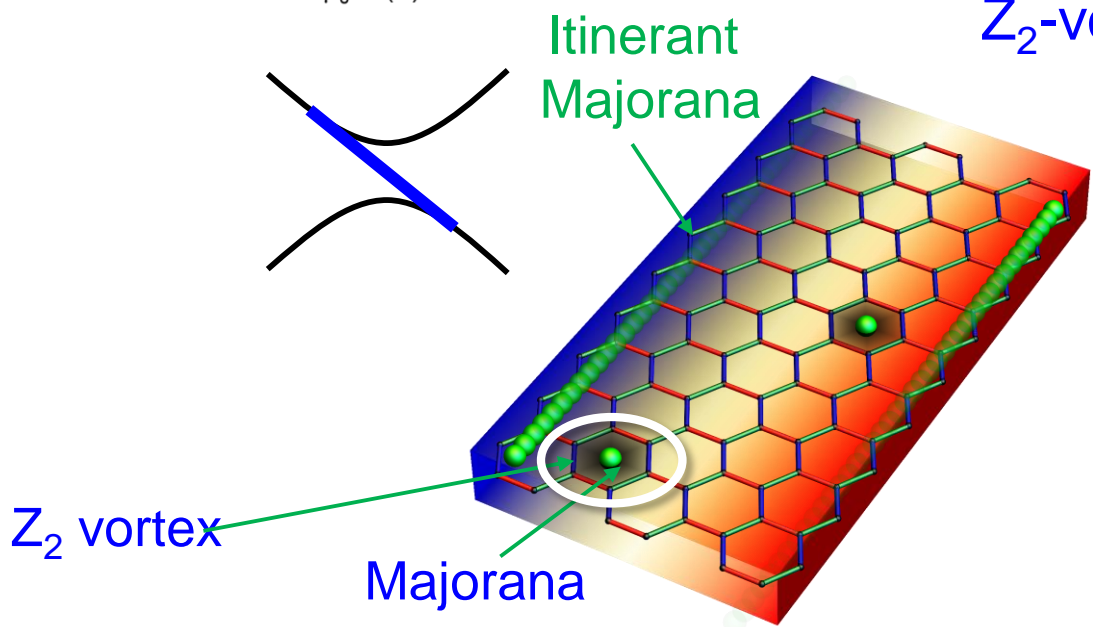
Quantization with $c < 1$



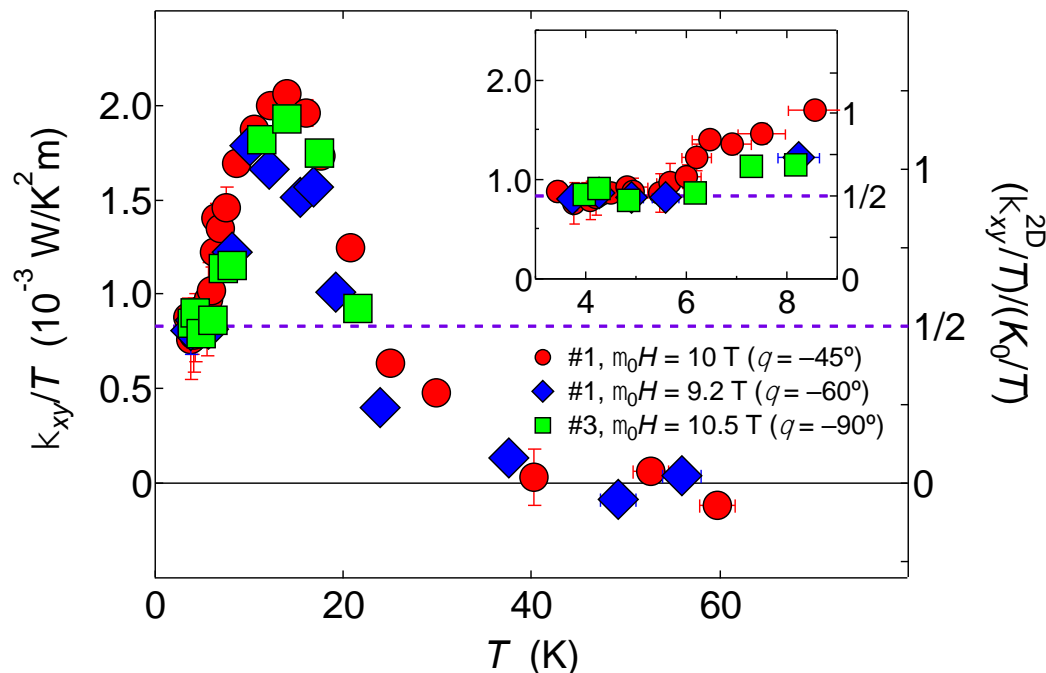
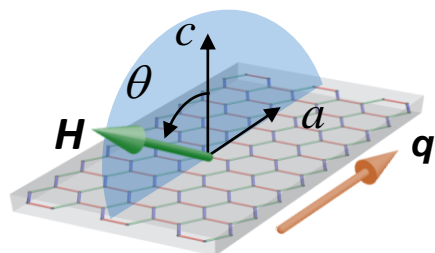
Non-Abelian anyon

N. Read and D. Green, Phys. Rev. B **61**, 10267 (2000).

For non-trivial Chern numbers, Z_2 -vortices bind Majorana modes.



Half-integer quantized thermal Hall conductance



Quantization preserved up to ~ 5 K

NMR $\Delta \sim 30$ K , Neutron $\Delta \sim 15$ K at 9 T

Large gap

N. Jansa *et al.*, Nature Phys. (2018).
S.H. Baek *et al.* PRL **119**, 037201 (2017).

Quantization persists even in the presence of parallel phonon conduction.

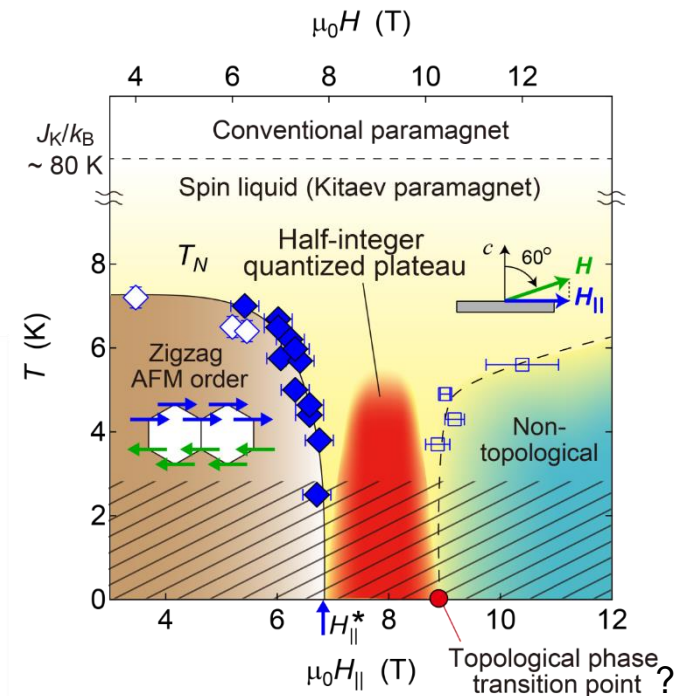
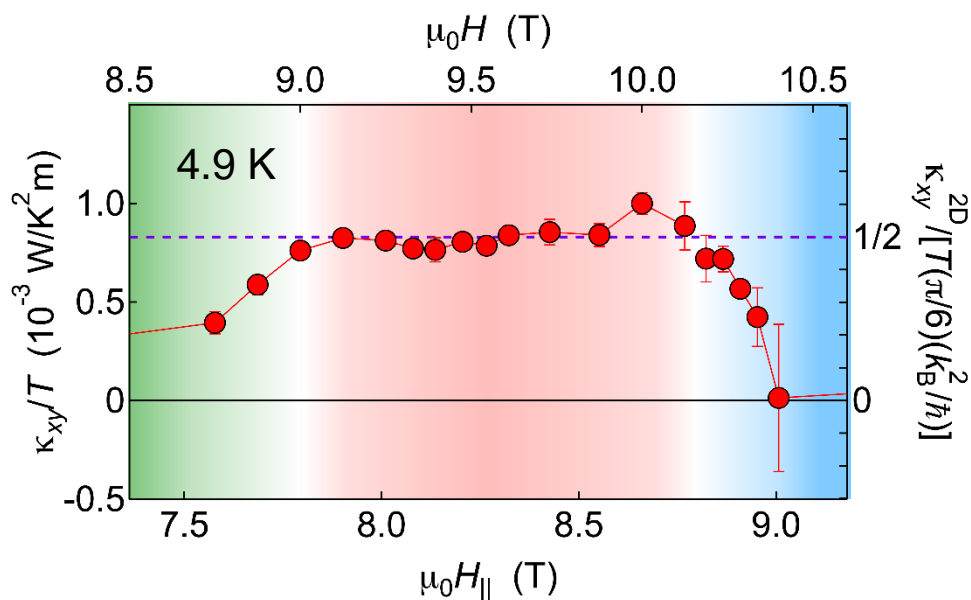
Y. Vinkler-Aviv and A. Rosch, Phys. Rev. X **8**, 021032 (2018).
M.Ye, G. B. Halász, L. Savary and L. Balents, Phys. Rev. Lett. **121**, 147201 (2018).

Enhancement from the quantized value above ~ 5 K

Signature of topological phase transition

A. Go.J. Jung and E-G. Moon, Phys. Rev. Lett. **122**, 147203 (2019).

Phase transition



Y. Kasahara et al. Nature 559, 227 (2018).

(I) $H_{||} < 4.5$ T (Magnetic order) :

κ_{xy} is small.

(II) Half-integer plateau

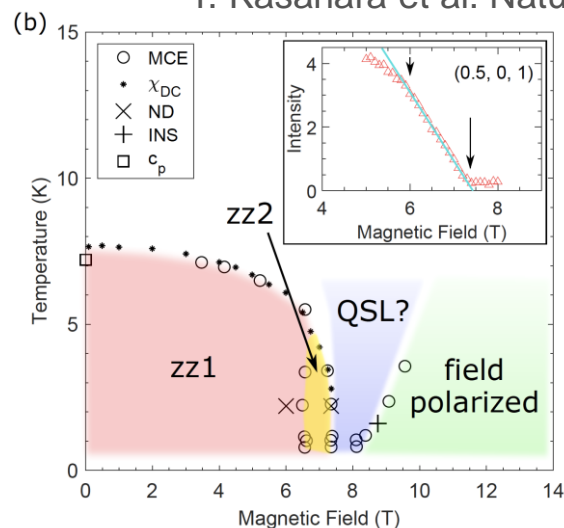
$$\kappa_{xy}^{2D} = \frac{1}{2} K_0 \quad K_0 = \frac{\pi^2 k_B^2}{3h} T$$

Topological phase transition?

(III) $H_{||} > 9$ T : $\kappa_{xy} = 0$

Forced ferromagnetic phase?

A new QSL state?



C. Balz et al. PRB 100 060405(R) (2019).

1. Kitaev quantum spin liquid

2. Half-integer thermal quantum Hall effect in α - RuCl_3

Topologically protected chiral edge current of Majorana fermions

3. A big question; “Is the Kitaev model really relevant to α - RuCl_3 ?”

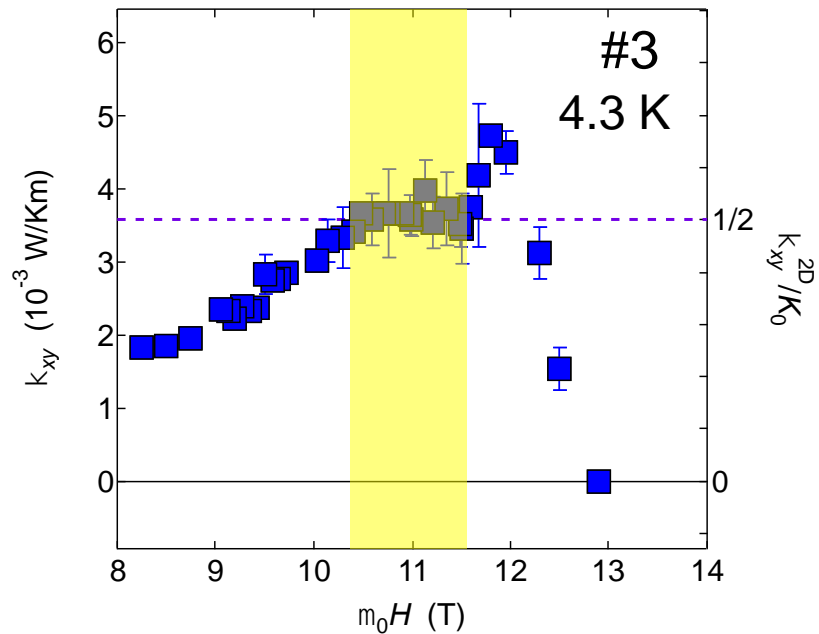
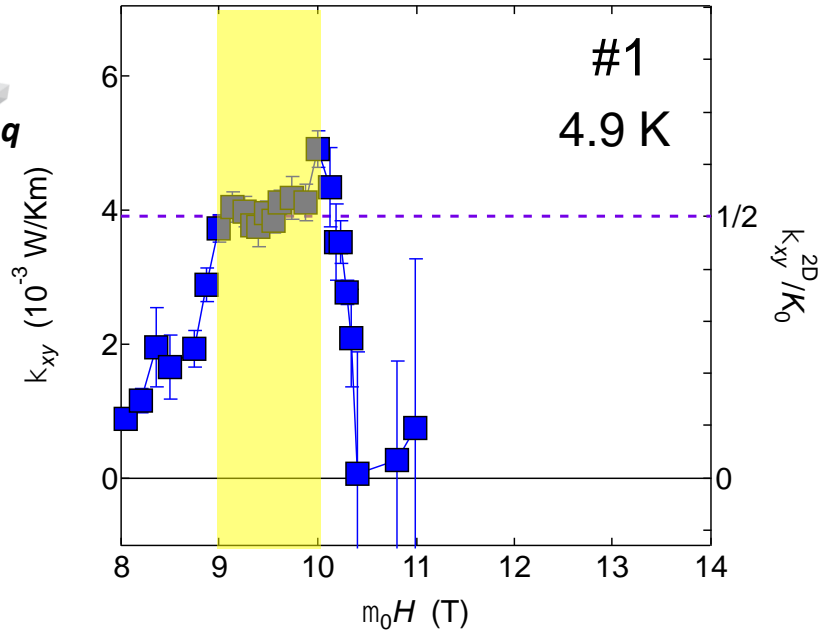
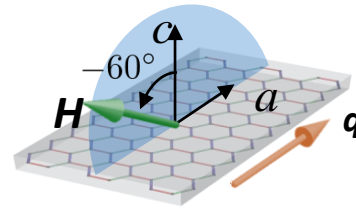
Additional test of the Kitaev physics in α - RuCl_3

Influence of non-Kitaev interactions on the quantization.

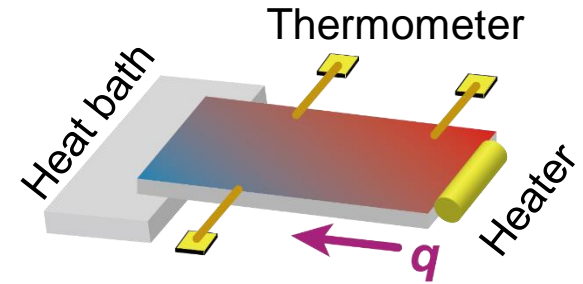
Topological Chern number of Majorana band
determined by field-angle variation of thermal QHE



Sample dependence of the quantized plateau field

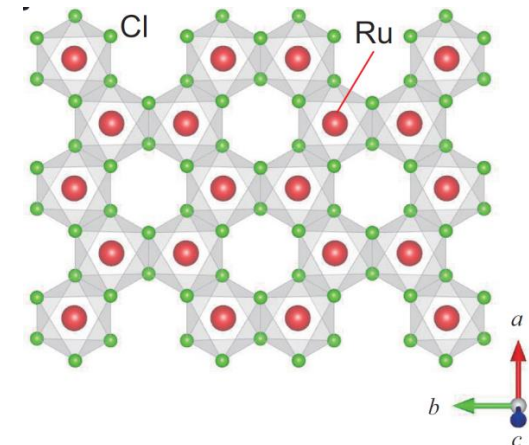


Sample variation of plateau location



Quantized plateau may be very sensitive to the strain.

Non-Kitaev terms sensitive to the distortion of octahedra.



Topological Chern number of Kitaev spin liquid

$$\mathcal{H}_h^{3rd} \sim -\frac{h_x h_y h_z}{J^2} \sum_{j,k,l} \sigma_j^x \sigma_k^y \sigma_l^z = -\frac{i h_x h_y h_z}{2 J^2} \sum_{j,k} c_j c_k$$

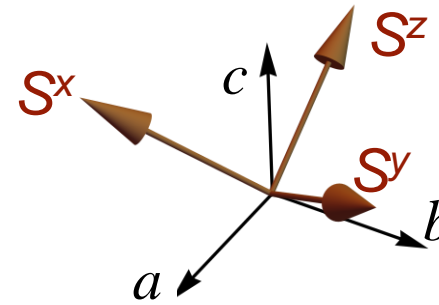
Three body spin correlation

$$\kappa_{xy}^{2D} = C_h \frac{1}{2} K_0 \quad K_0 = \frac{\pi^2 k_B^2 T}{3h}$$

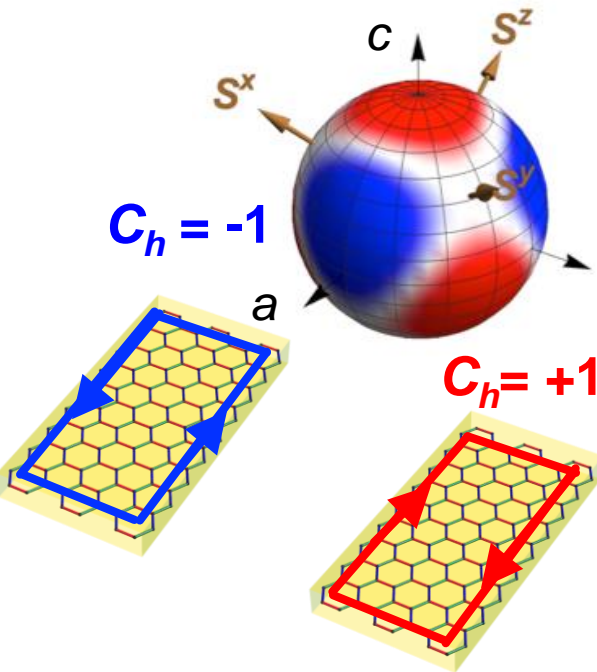
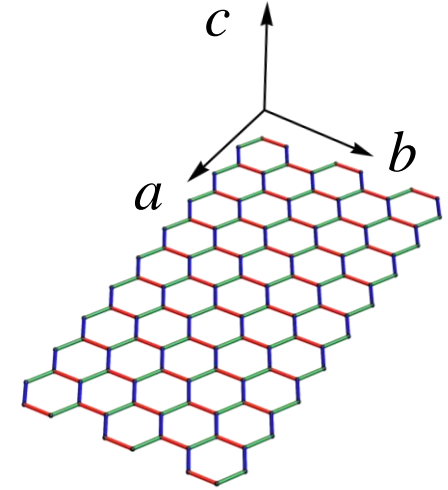
Chern number

$$C_h = \text{sgn}(h_x h_y h_z) = \pm 1$$

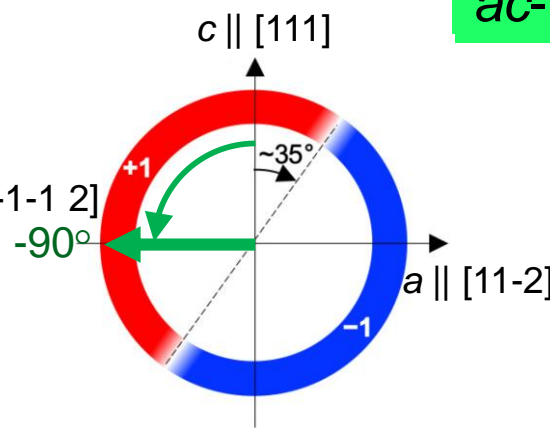
H -component with respect to the spin axis



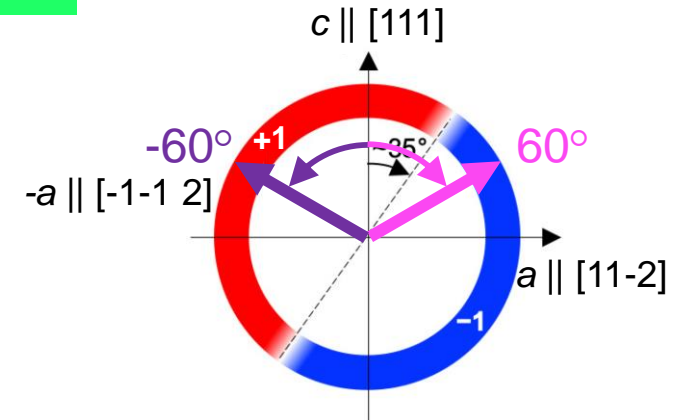
Spin axis is different from crystal axis



ac-plane



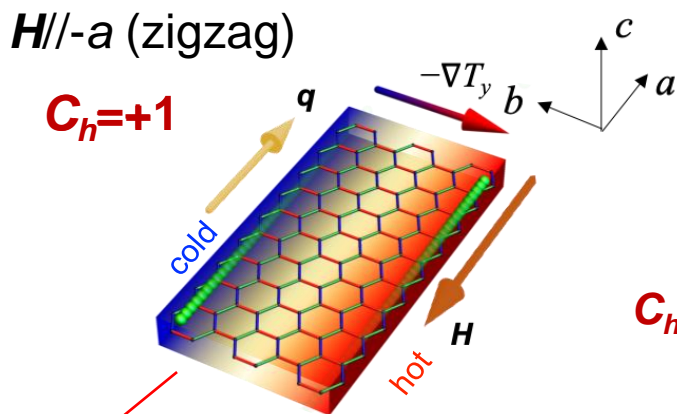
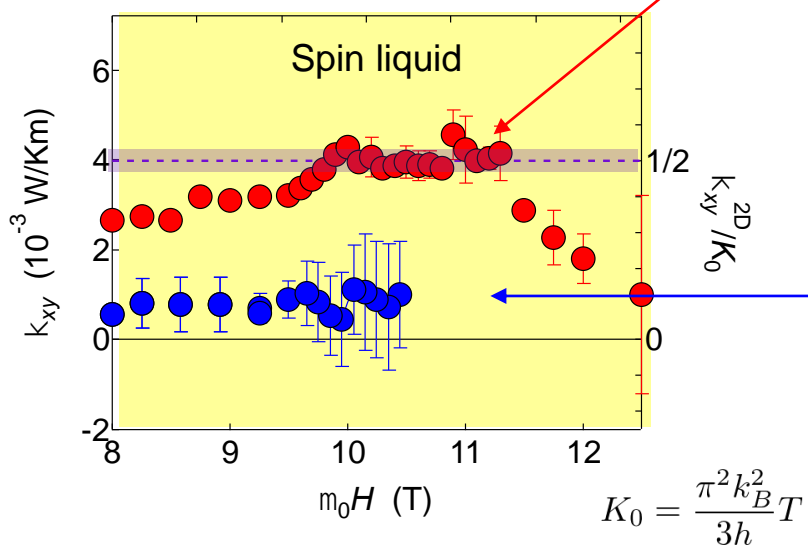
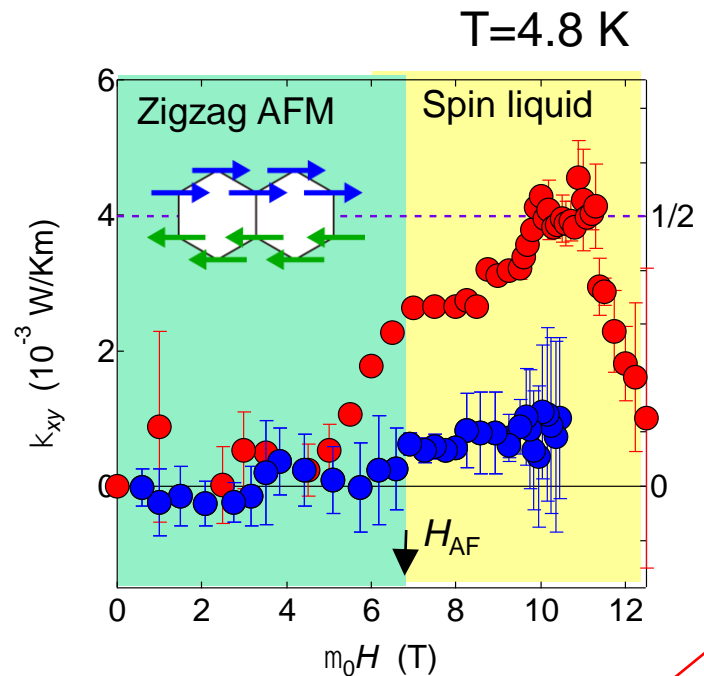
Half integer thermal QHE in parallel field



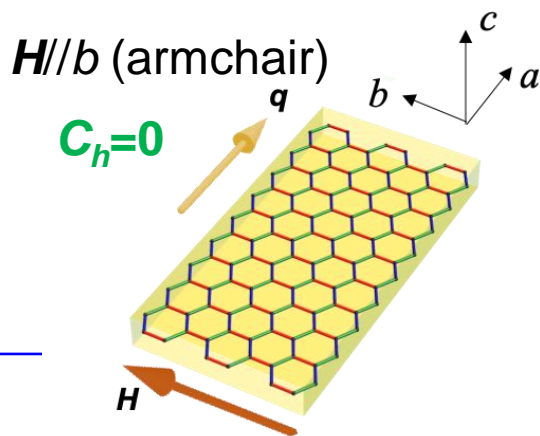
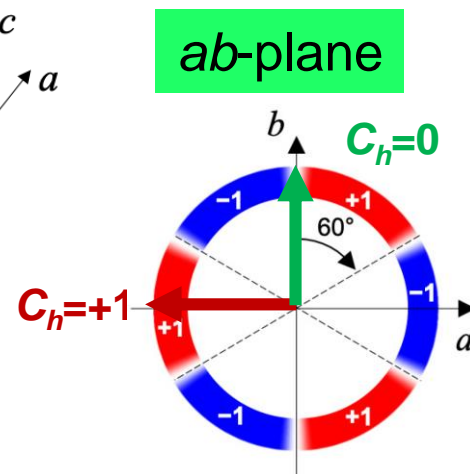
Hall sign change between $\theta = 60^\circ$ and -60°

Essentially different from the Hall effect of electron gas

Half-integer thermal QHE without out-of-plane magnetic field



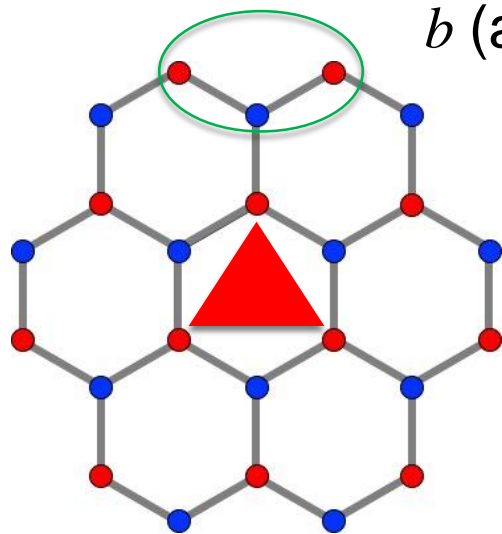
Majorana edge current



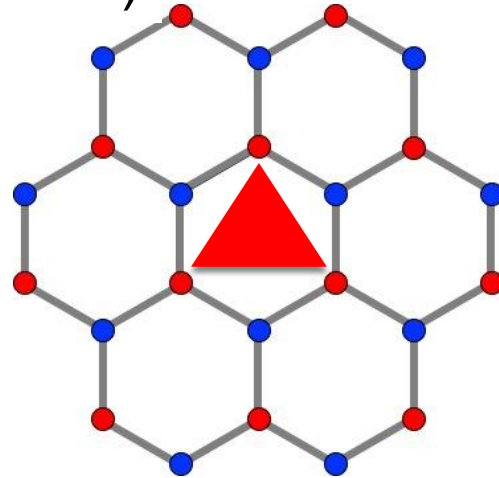
No Majorana edge current

Quantum thermal conductance

Chirality of the edge states



b (armchair)



$H//b$ (armchair)

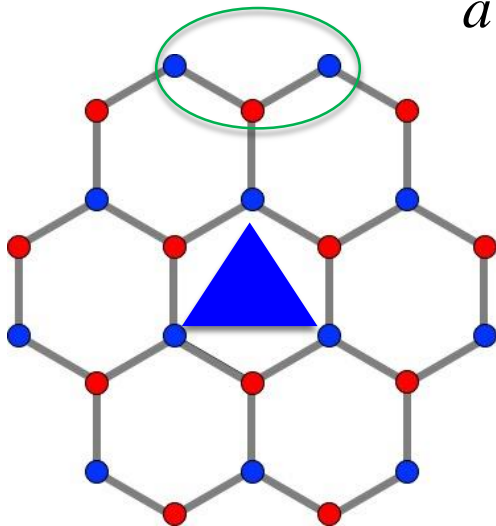
$$\kappa_{xy} = 0$$

Twofold rotation along b -axis

The same states
unable to distinguish



a (zigzag)



$H//a$ (zigzag)

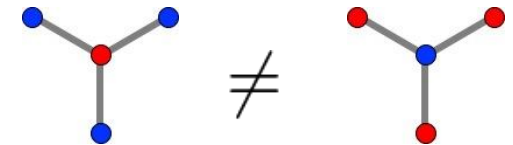
$$\kappa_{xy} \neq 0$$

Twofold rotation along a -axis

swaps red- and blue- sublattices

In the Kataev spin liquid state,

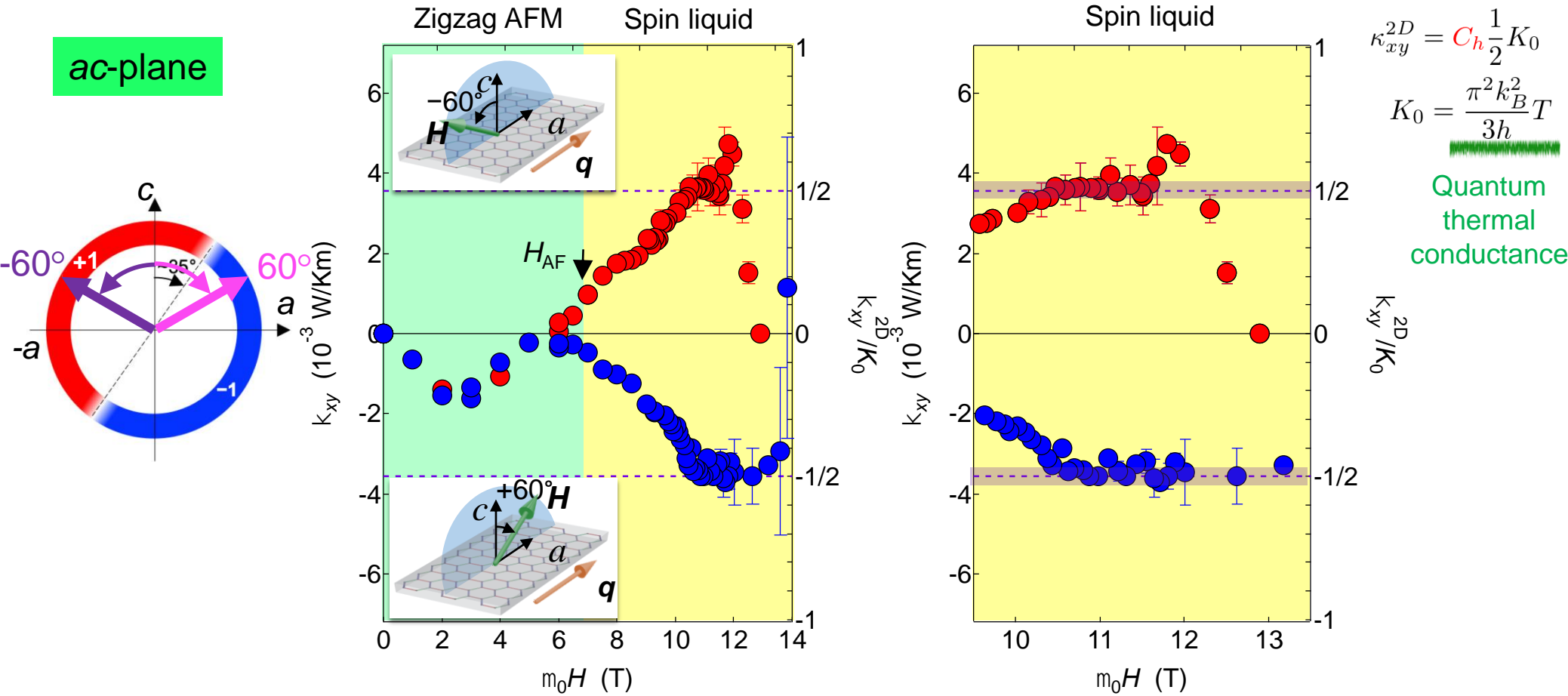
Three spin correlation for
(NNN hopping of MF)



Chirality of the edge states is not reversed

Net chirality $\neq 0$

Sign change of thermal Hall conductance



Same thermal Hall sign well inside the AFM state (Magnon Hall effect)

J. Cookmeyer and J. Moore, Phys Rev. B **98**, 060412 (2018).

Sign of half-integer thermal QHE at $\theta = -60^\circ$ is positive while negative at $+60^\circ$

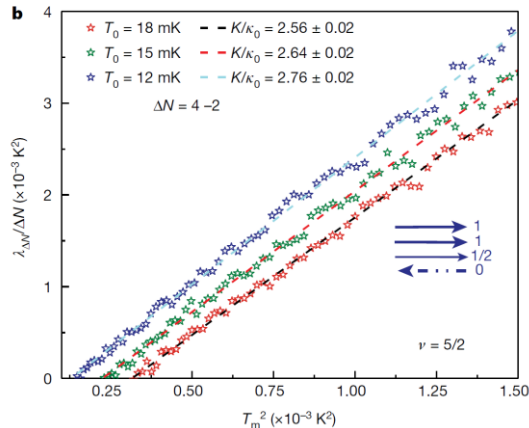
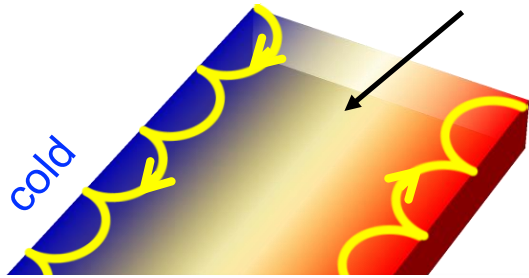
Hall sign is consistent with the Chern number of Majorana band.

T. Yokoi *et al.* a preprint.

2D electron gas and Kitaev spin liquid

Fractional quantum Hall state

Electron correlation gap



$$\sigma_{xy}^{2D}$$

$$\kappa_{xy}^{2D}$$

Inter

$$\sigma_{xy}^{2D} = \nu \frac{e^2}{h} \quad \nu = \frac{5}{2}$$

$$\kappa_{xy}^{2D} = \frac{5}{2} K_0$$

Non-Abelian anyon ($\nu=5/2$)

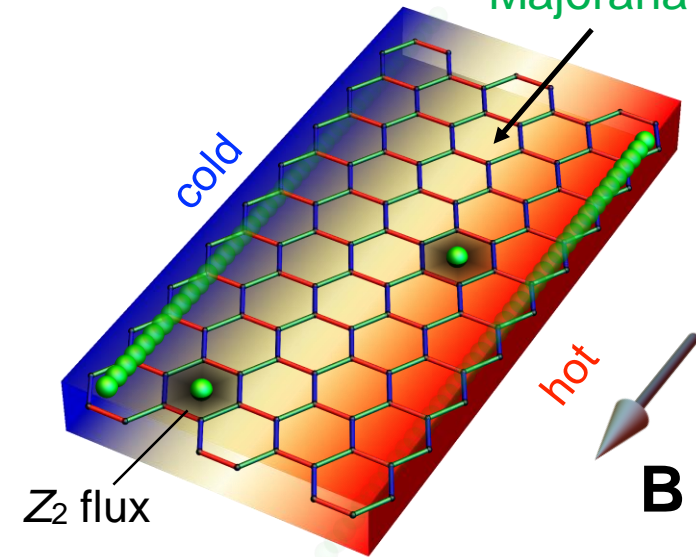
C.L

M.

M. Banerjee *et al.* Nature **559**, 205 (2018).

Kitaev quantum spin liquid state

Majorana gap



Chiral edge currents of Majorana fermions

$$\kappa_{xy}^{2D} = \frac{1}{2} K_0$$

Half integer thermal QHE

NonAbelian anyon

Summary : Half-integer thermal QHE in α -RuCl₃

Thermal Hall conductance plateau at one-half of K_0

$$\kappa_{xy}^{2D} = C_h \frac{1}{2} K_0$$

$$C_h = \pm 1$$

Chern number

$$K_0 = \frac{\pi^2 k_B^2 T}{3h}$$

Quantum thermal conductance

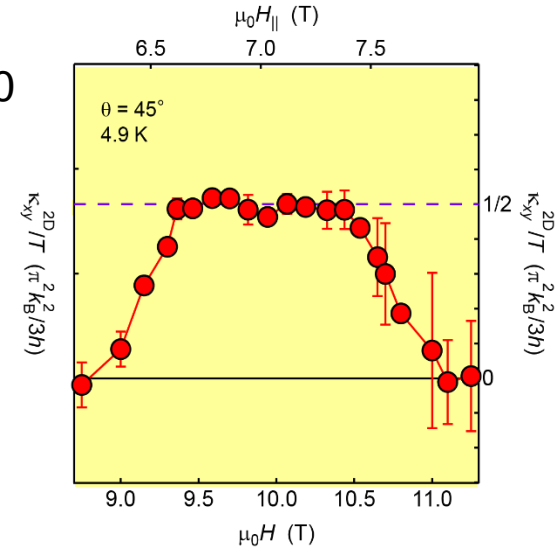
Majorana fermion and non-Abelian anyon
Fractionalization of quantum spin

Plateau field location may be sensitive to strain

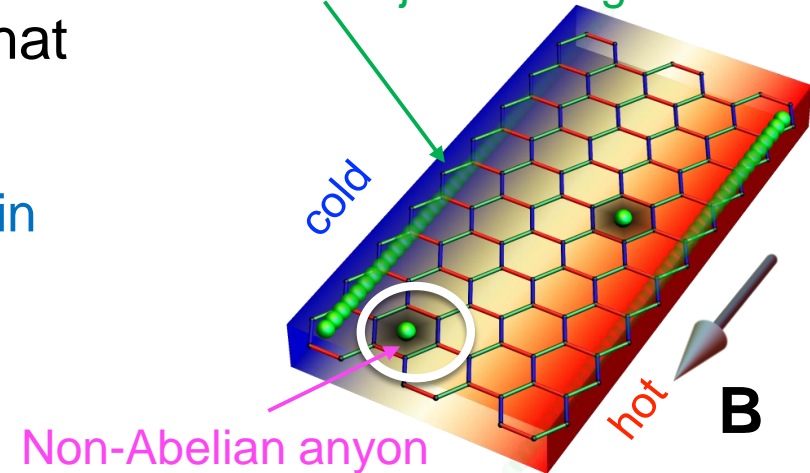
Importance of the non-Kitaev interaction ?

Chern number of α -RuCl₃ in agreement with that of Majorana band of pure Kitaev model

Non-Abelian topological order persists even in the presence of non-Kitaev interactions



Chiral Majorana edge current



Non-Abelian anyon

Y. Kasahara *et al.* PRL **120**, 217205 (2018).

Y. Kasahara *et al.* Nature **559**, 227 (2018).

T. Yokoi *et al.* a preprint.