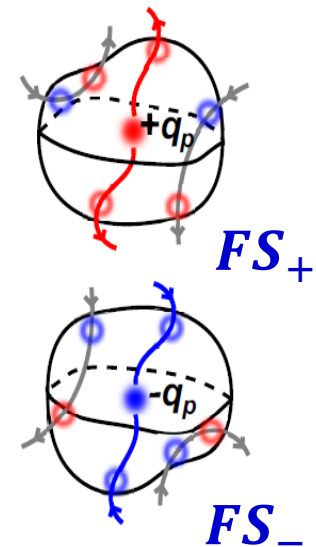
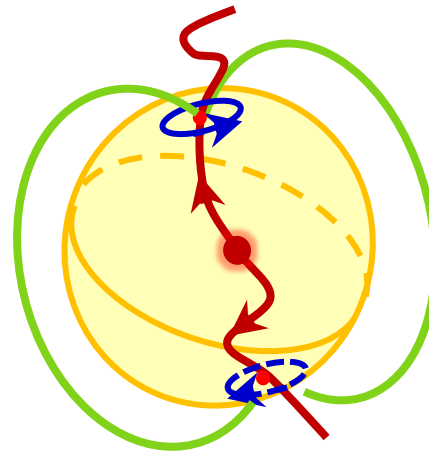
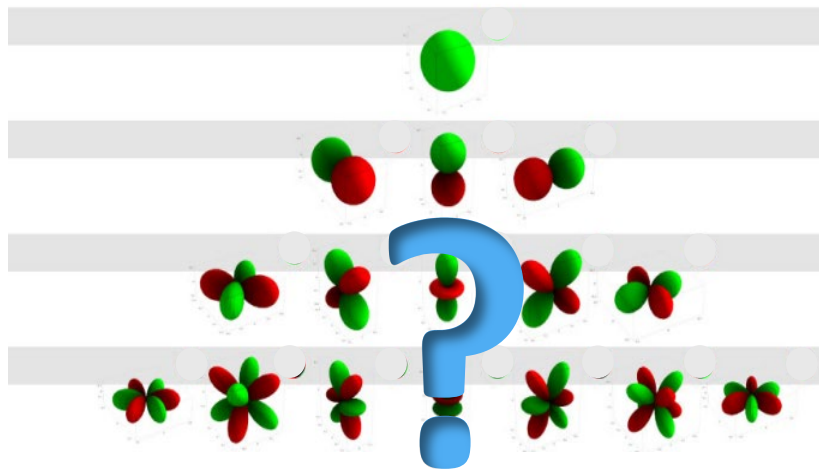


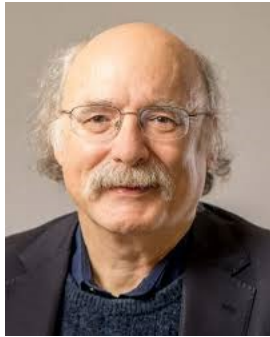
# Monopole Harmonic Ordering in Weyl Semi-metals



Yi Li

Johns Hopkins University

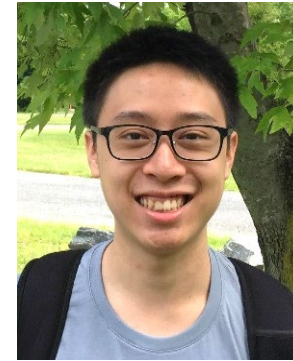
## Collaborators:



**F. D. M. Haldane**  
(Princeton)



**Eric Bobrow**  
(JHU, student)



**Canon Sun**  
(JHU, student)

## Acknowledgments:

**Peter Abbamonte (UIUC)**  
**Peter Armitage (JHU)**  
**Leon Balents (UCSB)**  
**Collin Broholm (JHU)**  
**Chia-Ling Chien (JHU)**  
**Natalia Drichko (JHU)**  
**Tyrel McQueen (JHU)**

**Phuan Ong (Princeton)**  
**Nitin Samarth (Penn State)**  
**Oleg Tchernyshyov (JHU)**  
**David Vanderbilt (Rutgers)**  
**Yuxuan Wang (U Florida)**  
**Congjun Wu (UCSD)**  
**Liang Wu (U Penn)**



Alfred P. Sloan  
FOUNDATION



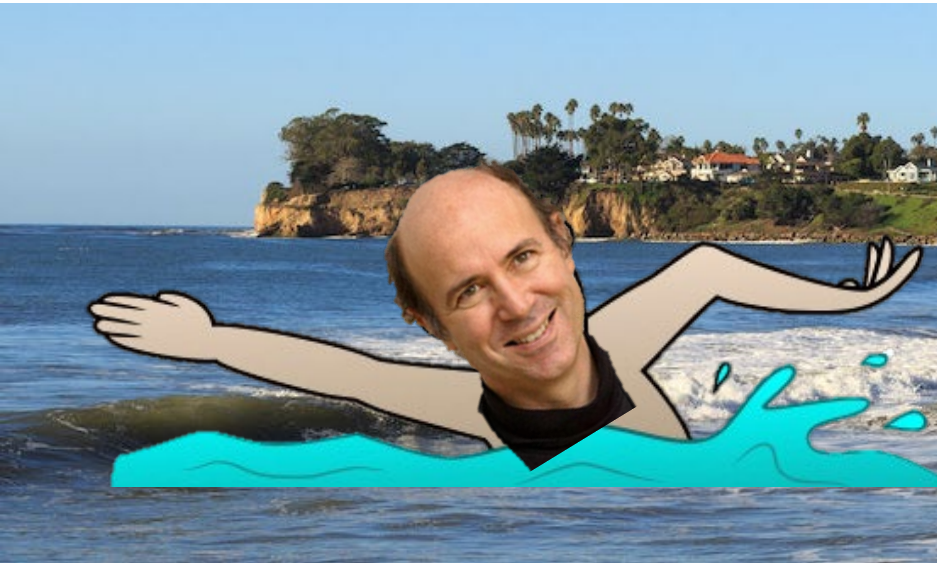
U.S. DEPARTMENT OF  
**ENERGY**

Office of  
Science

**EFRC**



# Geometric Phase – from Classical to Quantum



*J. Fluid Mech.* (1989), vol. 198, pp. 557–585  
 Printed in Great Britain

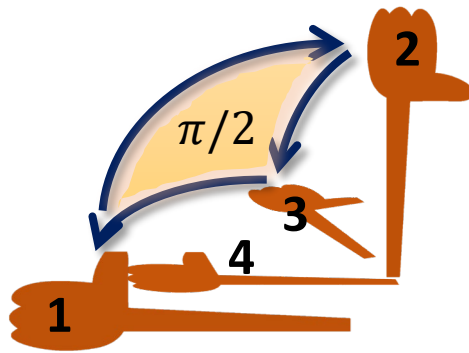
## Geometry of self-propulsion at low Reynolds number

By ALFRED SHAPER<sup>†</sup> AND FRANK WILCZEK<sup>‡</sup>

<sup>†</sup> Institute for Advanced Study, Princeton, NJ 08540, USA

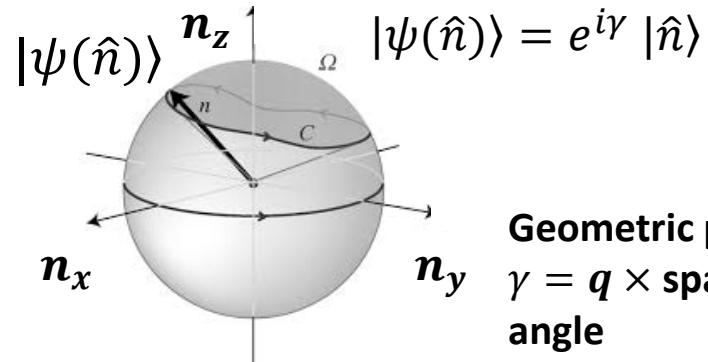
<sup>‡</sup> Institute for Theoretical Physics, University of California, Santa Barbara, CA 93106, USA

### A “Thumb Trick” in the classical world

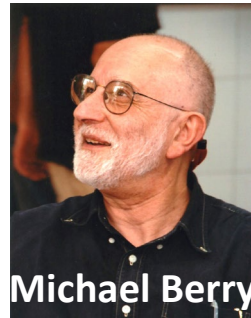


$\pi/2 = \text{solid angle spanned.}$

### A “Thumb Trick” played by a quantum state



**Geometric phase:**  
 $\gamma = q \times \text{spanned solid angle}$



Michael Berry

# Topological States from Many-body Geometric Phases

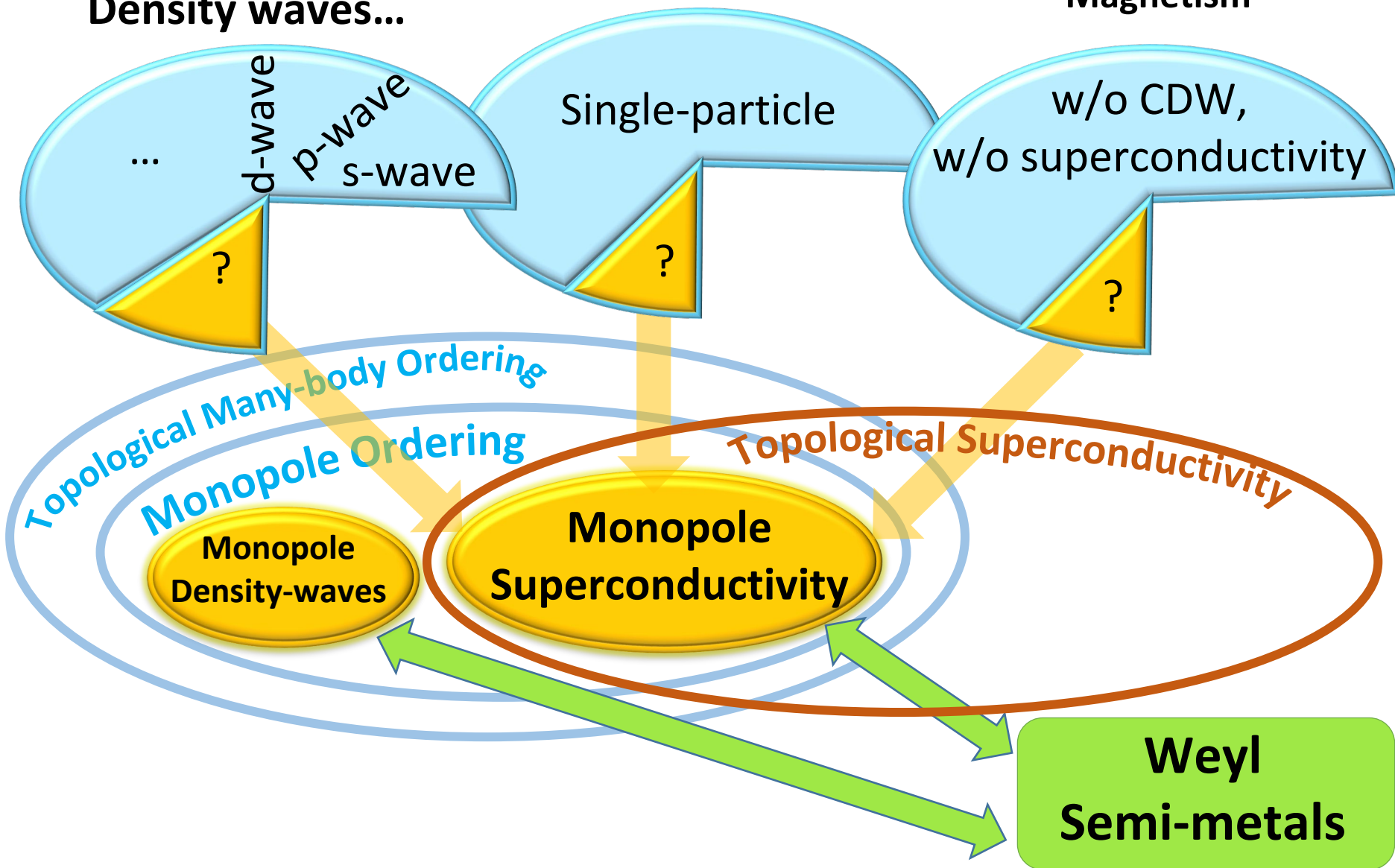


# Overview

**Superconductivity,  
Density waves...**

**Geometric Phase**

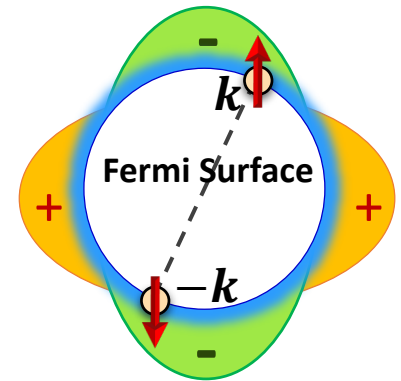
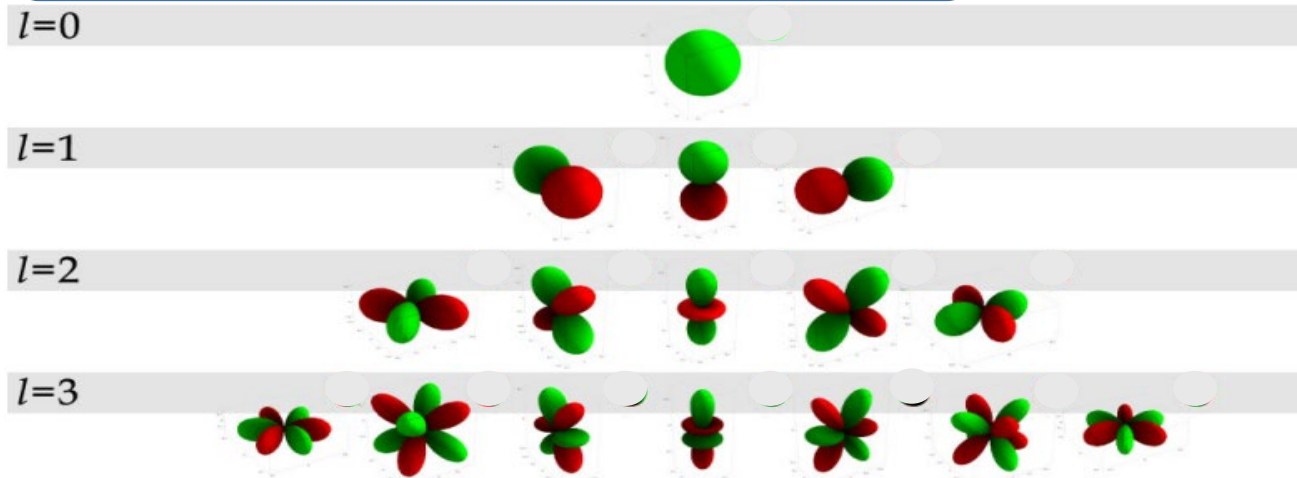
**Weyl Semi-metal &  
Magnetism**



# Superconducting/Superfluid pairing symmetries

$$H_P(\mathbf{k}) = \Delta(\mathbf{k})P^+(\mathbf{k}) + \Delta^*(\mathbf{k})P(\mathbf{k}); \quad P^+(\mathbf{k}) = c_{\uparrow}^+(\mathbf{k})c_{\downarrow}^+(-\mathbf{k}).$$

**Gap function:**  $\Delta(\mathbf{k}) = \sum_{l,m} \Delta_{lm} Y_{lm}(\Omega_{\mathbf{k}})$



$d_{x^2-y^2}$ -wave



Nobel prizes

$l$	Partial waves	Example		Year of Nobel prize
$l = 0$	(s-wave)	<b>Hg</b>	<b>Onnes</b>	<b>1913</b>
			<b>Bardeen, Cooper, Schrieffer</b>	<b>1972</b>
$l = 1$	(p-wave)	<b><math>^3\text{He}</math></b>	<b>Lee, Osheroff, Richardson</b>	<b>1996</b>
			<b>Leggett</b>	<b>2003</b>
$l = 2$	(d-wave)	<b>LaBaCuO<sub>4</sub></b>	<b>Bednorz, Mueller</b>	<b>1987</b>
$\vdots$		$\vdots$	$\vdots$	$\vdots$

# Classes of 3D Topological superconductivity

- 3D  $^3\text{He-A}$  type  $p_x + ip_y$

Time-reversal symmetry broken

Non-trivial phase winding around each gap nodes.

Volovik's book (1992), JETP (1999); Read, Green, PRB (2000), ... ..

2D: Ivanov, PRL (2001), Fu, Kane, PRL (2008), Lutchyn, Sau, Das Sarma, PRL  
Nayak, Simon, Stern, Freedman, Das Sarma, RMP (2008)...

- 3D  $^3\text{He-B}$  type  $p$ -wave

Time-reversal invariant.

Nontrivial real d-vector configuration over Fermi surface characterized by Pontryagin number.

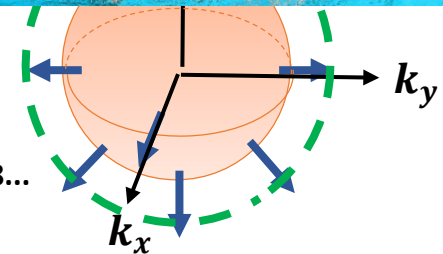
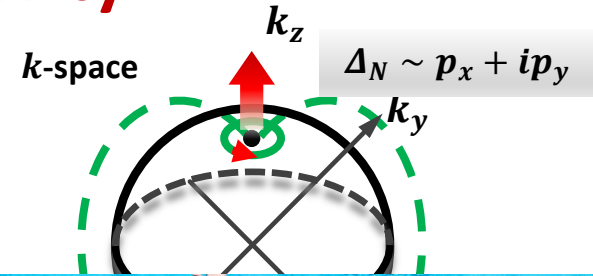
Volovik's book (1992), Schnyder, Ryu, Furusaki, Ludwig, PRB (2008);

Qi, Hughes, Raghu, Zhang, PRL (2009), Chung, Zhang, PRL (2009), R. Roy, arXiv:0803.2868...

- Monopole harmonic Cooper pairing:

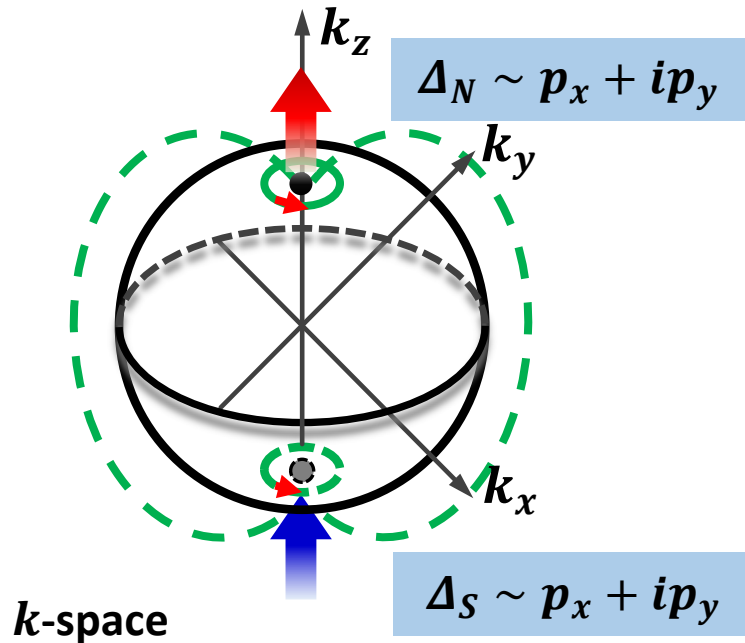
Time-reversal symmetry broken

Nodal pairing gap characterized monopole harmonics, indep. of pairing mechanisms. Non-trivial complex d-vector characterized by the Chern number.



# An overview of Monopole Harmonic Orderings

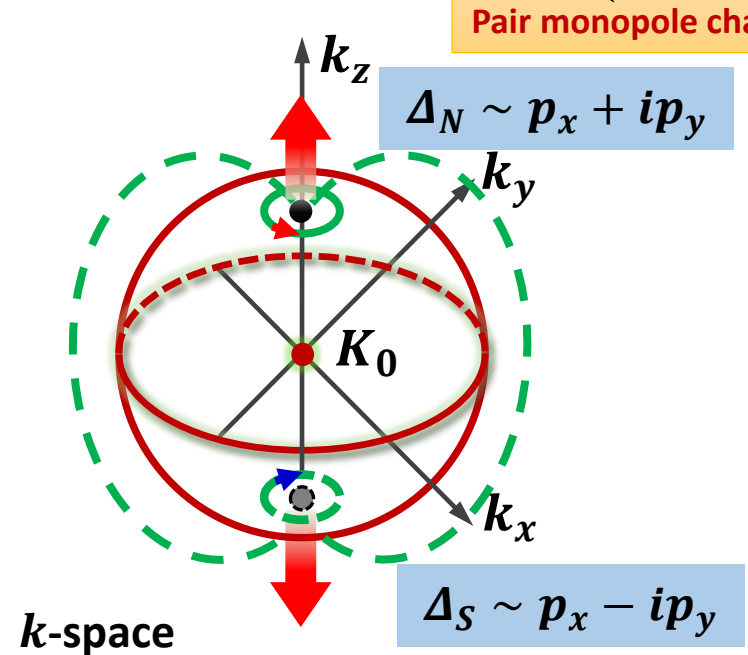
Known:  ${}^3\text{He-A}$ :  $Y_{11}(\hat{\Omega}_k)$



- Total vorticity over FS

$$1 - 1 = 0$$

Monopole Ordering:  $Y_{q=1,10}(\hat{\Omega}_k)$



- Total vorticity over FS

$$1 + 1 = 2$$

Monopole Superconductivity: Yi Li, F.D.M. Haldane,  
PRL 120, 067003 (2018).

Monopole Charge Density Waves: Eric Bobrow, Canon Sun, Yi Li,  
arXiv:1810.08715.



# Pair Berry phase from Fermi surface topology

- Inversion-related Fermi surfaces have opposite Chern #'s in TR broken Weyl.
- Inter-Fermi surface pairing is favored (common center-of-mass momentum)

$$\Psi_p(\vec{k}) = |k\rangle_+ \otimes |-k\rangle_-$$

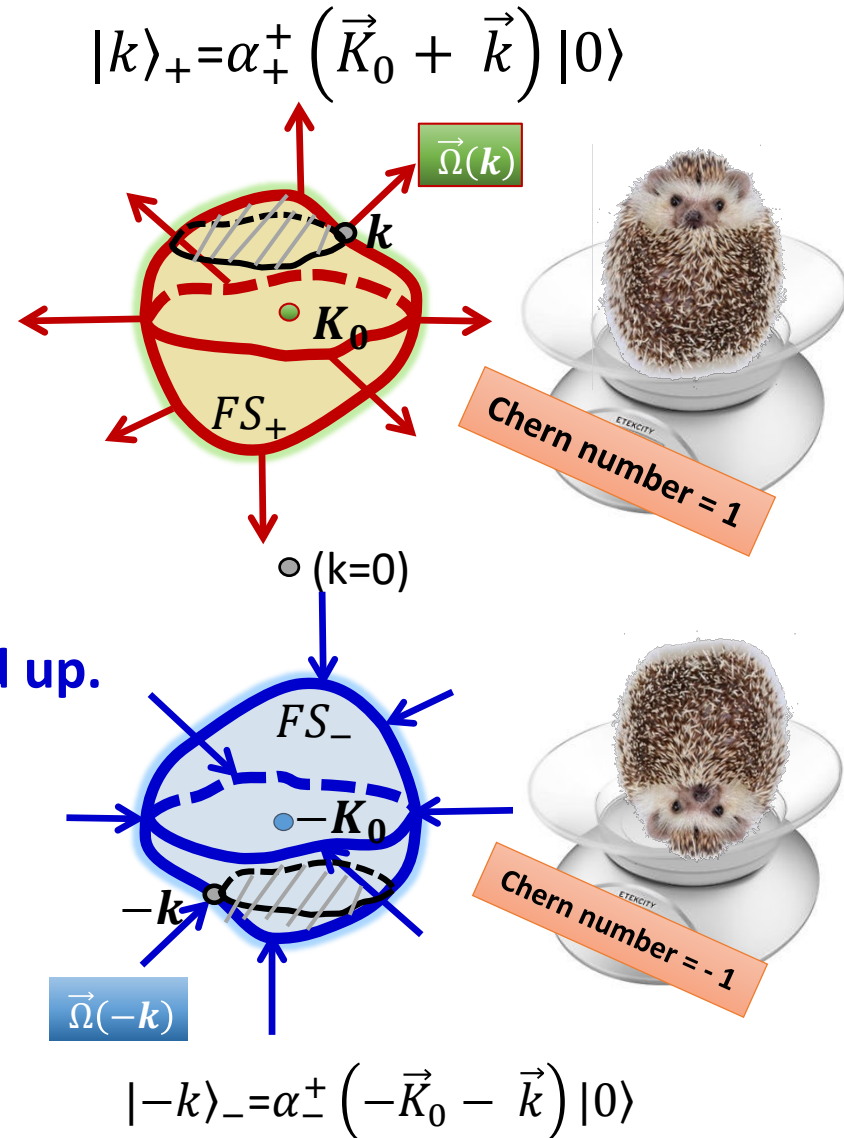
$$\vec{A}_p(\vec{k}) = i \langle \Psi_p(\vec{k}) | \vec{\partial}_k | \Psi_p(\vec{k}) \rangle$$

- Contributions from  $|k\rangle_+$  and  $|-k\rangle_-$  add up.

$$A_{pair}(\mathbf{k}) = A_+(\mathbf{k}) - A_-(-\mathbf{k}) = 2A_+(\mathbf{k})$$

- Pair monopole charge

$$q_{pair} = \frac{1}{4\pi} \oint_{S_+} dS_k \cdot \Omega_{pair}(\mathbf{k}) = 2q$$



# Pair Berry phase protected nodal vorticity

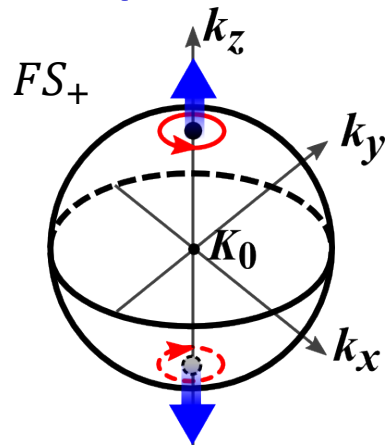
Yi Li, F.D.M. Haldane, PRL 120, 067003 (2018).

- The total vorticity over one Fermi surface

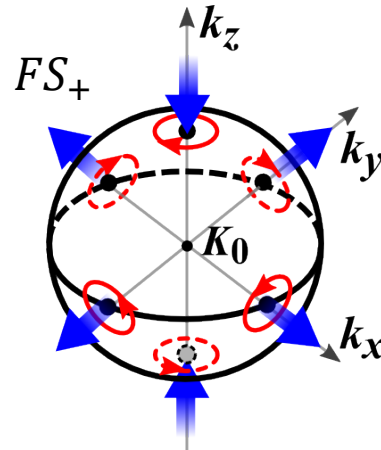
$$\text{Total vorticity} = \oint \frac{d\vec{k}}{2\pi} \cdot (\vec{V}_k \times \vec{A}_p) = 2q_p.$$

A related interesting work: Murakami, Nagaosa, PRL 90, 057002 (2003).

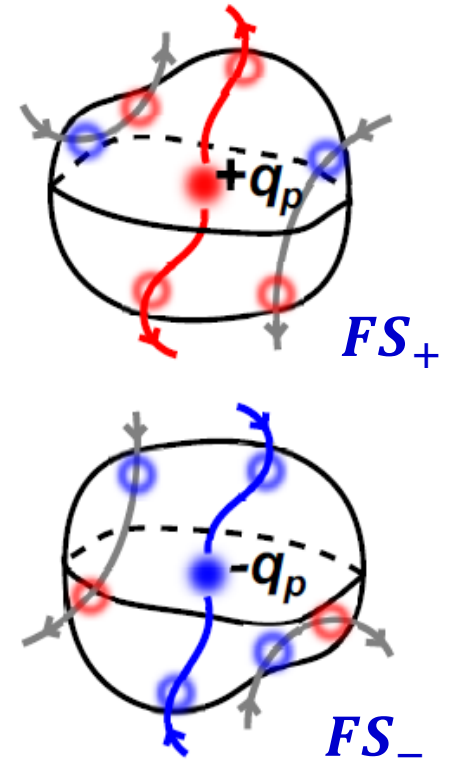
- Examples in a Weyl-semimetal models:



$1+1=2$  (fundamental nodes)



$-1-1+1+1+1+1=2$



- **Fundamental nodes** on  $FS_{\pm}$  contribute total vorticity  $\pm 2q_p$  (independent of pairing mechanism)

# Monopole harmonics functions

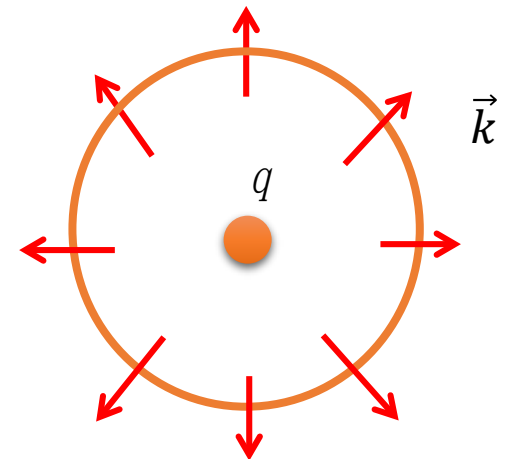
Poincaré 1896, Yang and Wu 1976, Haldane 1983.

- Angular momentum eigenstates  $Y_{q;jj_z}(\hat{k})$  in the presence of a monopole with charge  $q$ .

$$\vec{L} = \hbar \vec{k} \times \left( -i \vec{\partial}_k - \frac{1}{k} \vec{A}(\hat{k}) \right) - q \hbar \hat{k}$$

$$L^2 Y_{q;jj_z}(\hat{k}) = \hbar^2 j(j+1) Y_{q;jj_z}(\hat{k}),$$

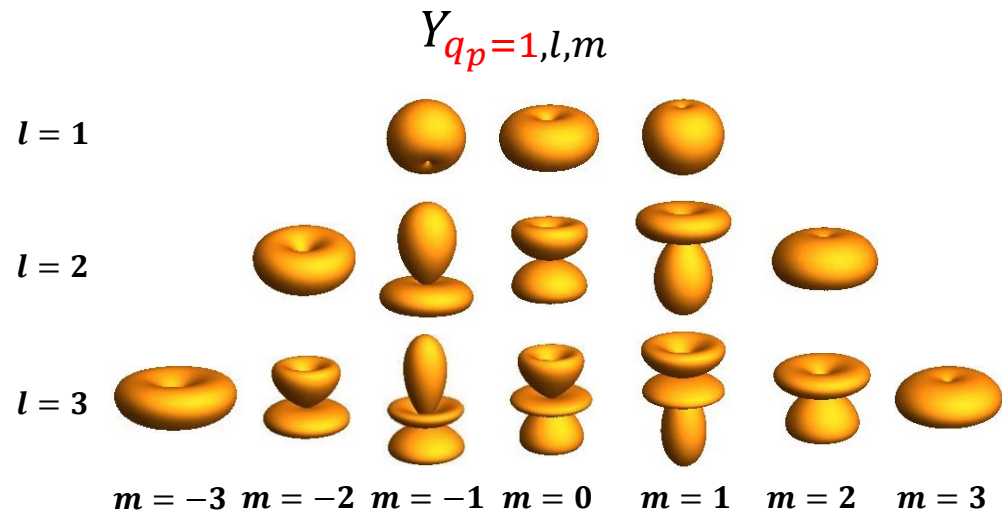
$$L_z Y_{q;jj_z}(\hat{k}) = \hbar j_z Y_{q;jj_z}(\hat{k}), j \geq |q|.$$



- Example:

$$Y_{q_p=1,l=1,m} = u^2, uv, v^2$$

$$\begin{pmatrix} u_k \\ v_k \end{pmatrix} = \begin{pmatrix} \cos \frac{\theta_k}{2} \\ \sin \frac{\theta_k}{2} e^{i\phi_k} \end{pmatrix}$$



# Monopole harmonic pairing

Yi Li, F.D.M. Haldane, PRL 120, 067003 (2018).

- If  $FS_{\pm}$  can be approximated by spheres, partial wave decomposition of bare scattering before projection.

$$V(\vec{k} \cdot \vec{k}') = \sum_{lm} 4\pi g_l Y_{lm}^*(\hat{\mathbf{k}}) Y_{lm}(\hat{\mathbf{k}}')$$

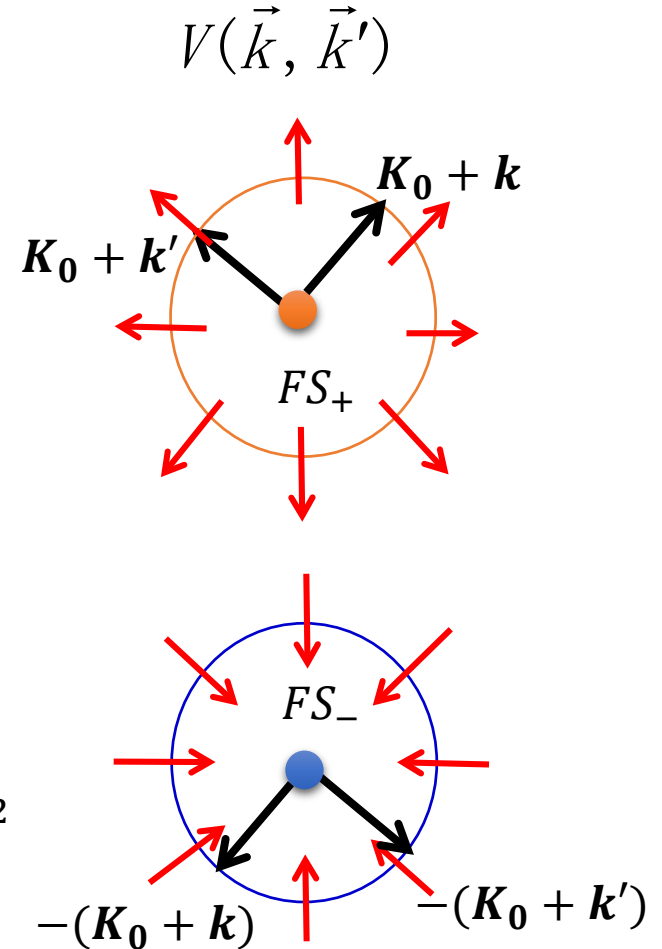
- After projection onto  $FS_{\pm}$

$$\tilde{H}_{pair} = \sum_{\vec{k}, \vec{k}'} \tilde{V}(\vec{k}, \vec{k}') P^\dagger(\vec{k}) P(\vec{k}') + h.c.$$

$$\tilde{V}(\vec{k}, \vec{k}') = \sum_{jm} 4\pi \tilde{g}_j Y_{-1, jm}^*(\hat{\mathbf{k}}) Y_{-1, jm}(\hat{\mathbf{k}}')$$

$$\tilde{g}_j = \frac{1}{2j+1} \sum_{l=j, j\pm 1} (2l+1) g_l |\langle l0; 11 | j1 \rangle|^2$$

- In general,  $\Delta(\mathbf{k}) = |\Delta(\vec{k})| \sum_{j \geq q_p, m} c_{jm} Y_{q_p; jm}(\hat{\mathbf{k}})$ .

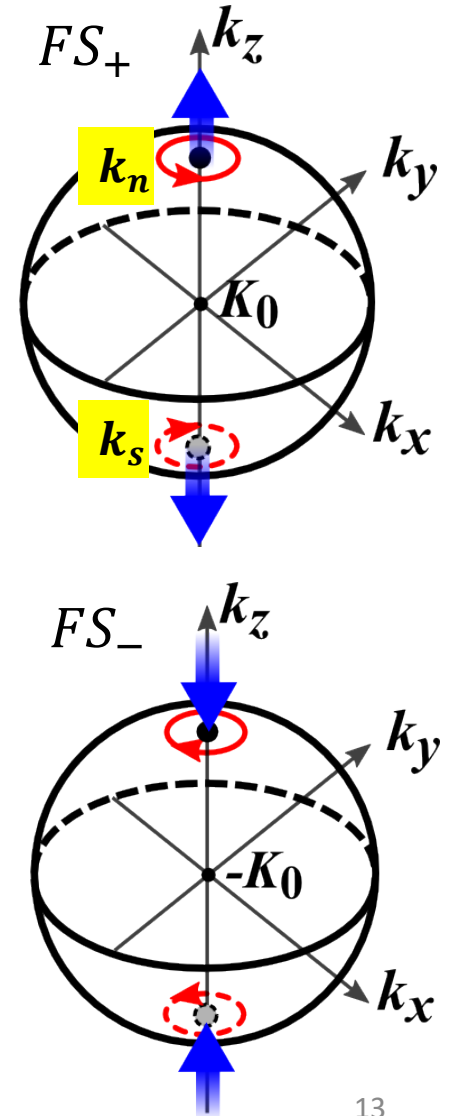


# Low-energy excitations determined by high-energy topology

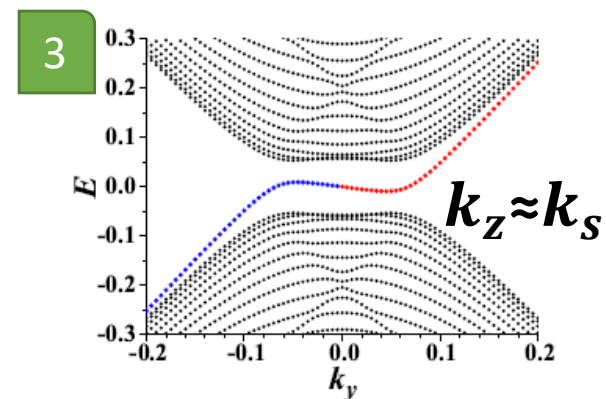
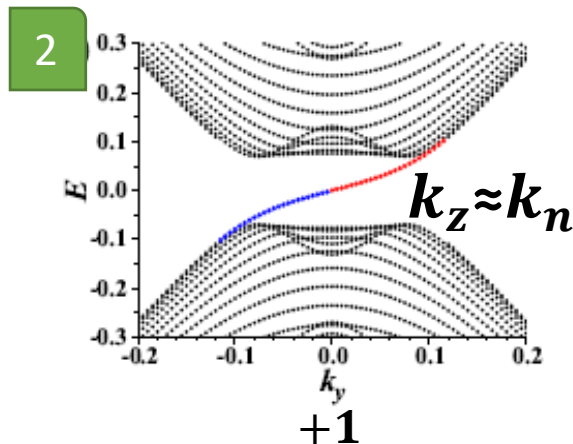
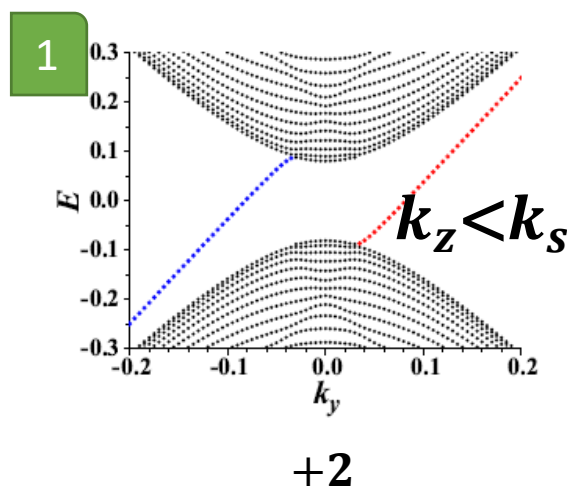
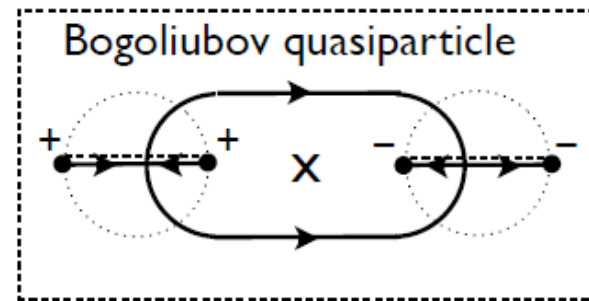
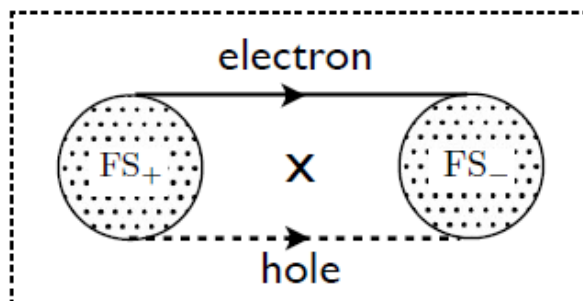
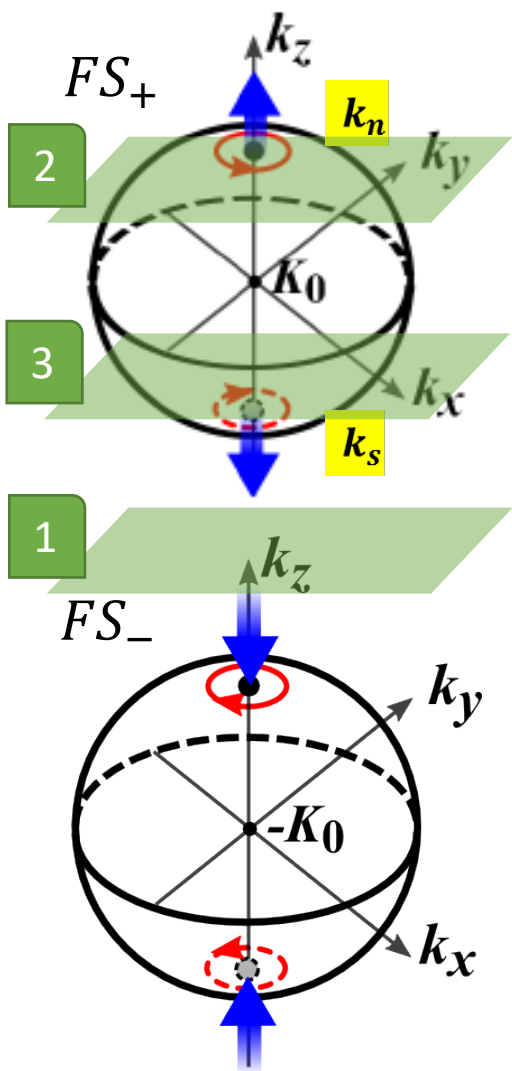
- High energy scale: band structure Weyl nodes.
- Low energy physics: emergent Majorana nodes on  $FS_{\pm}$  in the Nambu spinor Rep.

$$\text{Near } k_n: H_{\text{Bd,eff}} = \begin{bmatrix} v_F(k_z - k_n) & |\Delta|(k_x + ik_y) \\ |\Delta|(k_x - ik_y) & -v_F(k_z - k_n) \end{bmatrix}$$

- Vortex of  $\Delta(\vec{k})$  on FS  $\leftrightarrow$  BdG-Weyl monopole in the  $\vec{k}$ -space with a non-zero total monopole charge in a Fermi Surface.
- Topology threads all the energy scales



# Surface spectra: Majorana meets Weyl



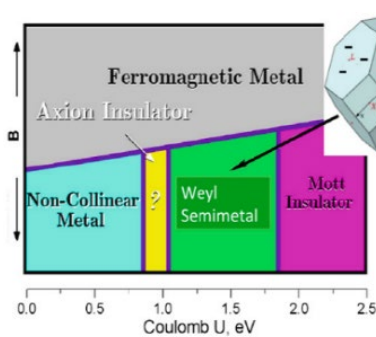
YL, FDM Haldane, PRL 120, 067003 (2018).

Related previous work based on mirror symmetry:

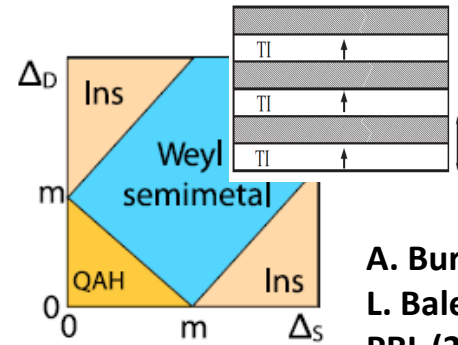
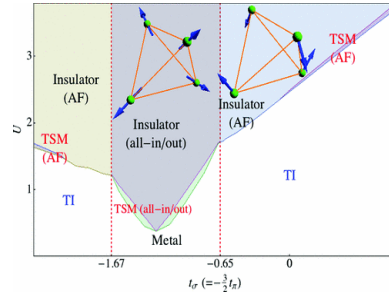
SA Yang, H. Pan, F. Zhang (2014); Lu, Yada, Sato, Tanaka (2015)

$$+1 - 1 + 1 = +1$$

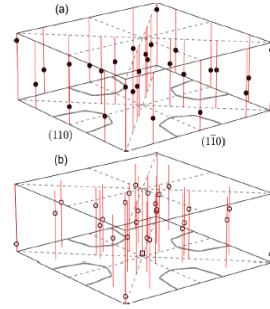
# TR breaking Weyl semi-metals



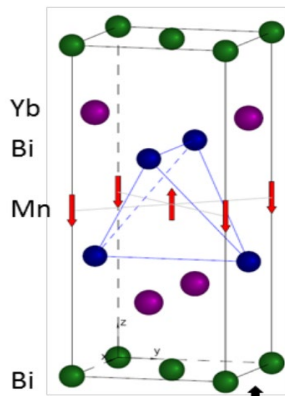
Wan, Turner, Vishwanath, Savrasov, PRB (2011),  
Witczak-Krempa, Kim, PRB (2012)...



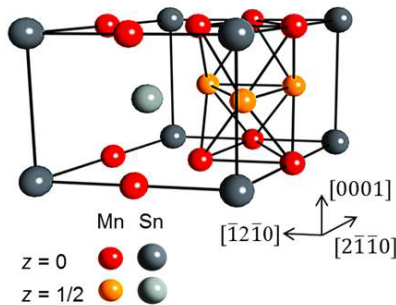
A. Burkov,  
L. Balents,  
PRL (2011)



bcc Fe  
Vanderbil's group,  
PRB (2015)



YbMnBi<sub>2</sub>  
Cava's group  
arXiv:1507.04847

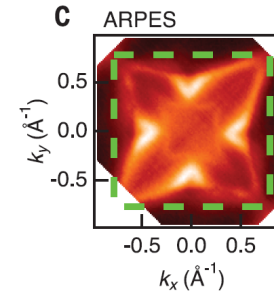
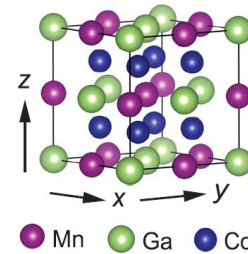


Mn<sub>3</sub>Sn  
S. Nakatsuji's group (2015)

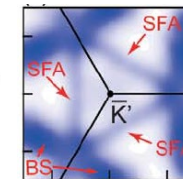
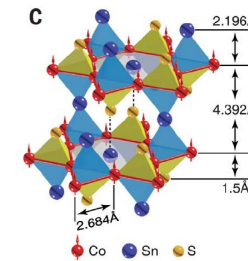
First principle:  
C. Felser's group (2013)  
B. Yan's group (2016)...

Other Theory:  
H. Chen, Q. Niu, A. H. MacDonald (2014)  
L. Balents' group (2017)...

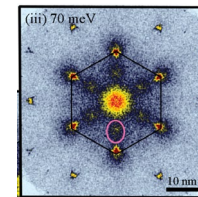
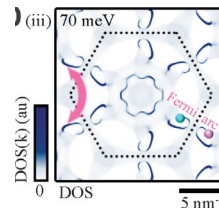
First-Principle: Z. Wang, Bernevig and collaborators, PRL (2016)...



Co<sub>2</sub>MnGa  
Hasan & collaborators,  
Science (2019).



Co<sub>3</sub>Sn<sub>2</sub>S<sub>2</sub>  
YL Chen & collaborators,  
Science (2019).



Co<sub>3</sub>Sn<sub>2</sub>S<sub>2</sub>  
Beidenkopf & collaborators,  
Science (2019) ...

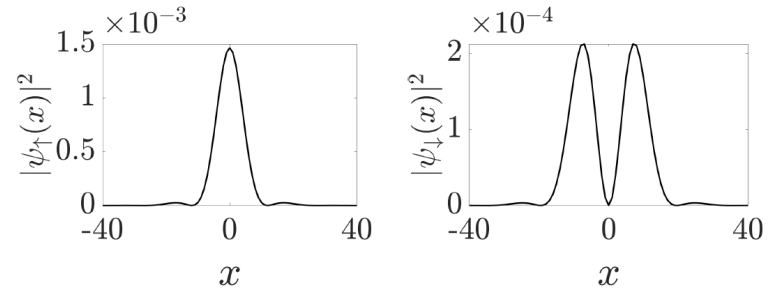
# Vortices and Josephson Junctions of Monopole Superconductors

- Monopole superconductors from time-reversal broken Weyl semi-metal with proximity-induced superconductivity.
- Zero-energy vortex bound states protected by the index theorem.

$$\psi_{0,k_z}(\rho, \phi) = e^{-\frac{1}{\hbar v_F} \int_0^\rho d\rho' \Delta(\rho')} \chi_{k_z}(\rho, \phi)$$

$$\chi_{K_0+q_z}(\rho, \phi) = \begin{bmatrix} Ae^{-i\frac{\pi}{4}} J_0(k\rho) \\ Be^{i\frac{\pi}{4}} e^{i\phi} J_1(k\rho) \\ Ae^{i\frac{\pi}{4}} J_0(k\rho) \\ Be^{-i\frac{\pi}{4}} e^{-i\phi} J_1(k\rho) \end{bmatrix}$$

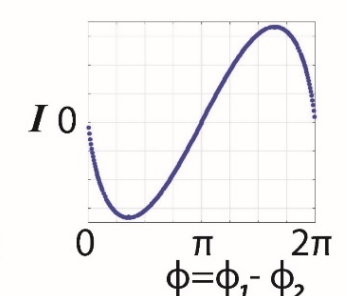
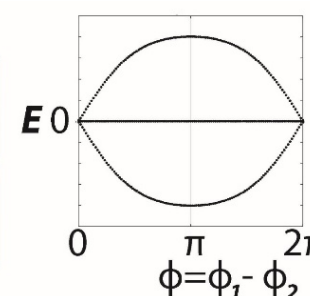
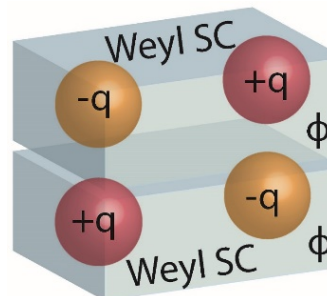
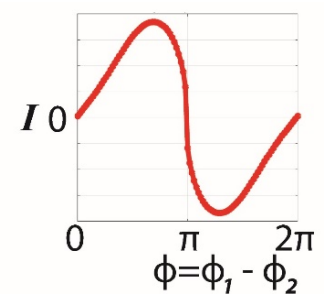
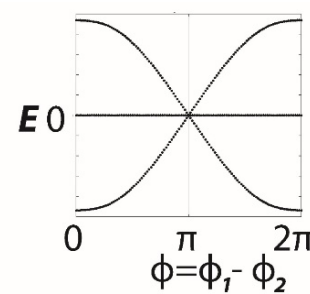
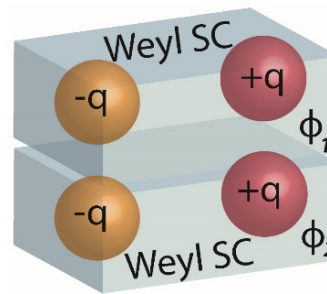
$$A = \sqrt{1+q_z/q_F} \quad B = \sqrt{1-q_z/q_F} \quad k = \sqrt{|q_F^2 - q_z^2|}$$



ArXiv: 1909.04179. Canon Sun, Shu-Ping Lee, Yi Li.

- Josephson junctions of Monopole superconductors

(Preliminary: Shu-Ping Lee, Yi Li)





# CDW Berry Phase

E. Bobrow, C. Sun, Y. Li, arXiv:1810.08715.

- **Charge/spin density wave: condensate in the particle-hole channel**

$$H_{density-wave}(\mathbf{k}) = \Delta(\mathbf{k})c_1^\dagger(\mathbf{k})c_2(\mathbf{k} + \mathbf{Q}) + h.c.$$

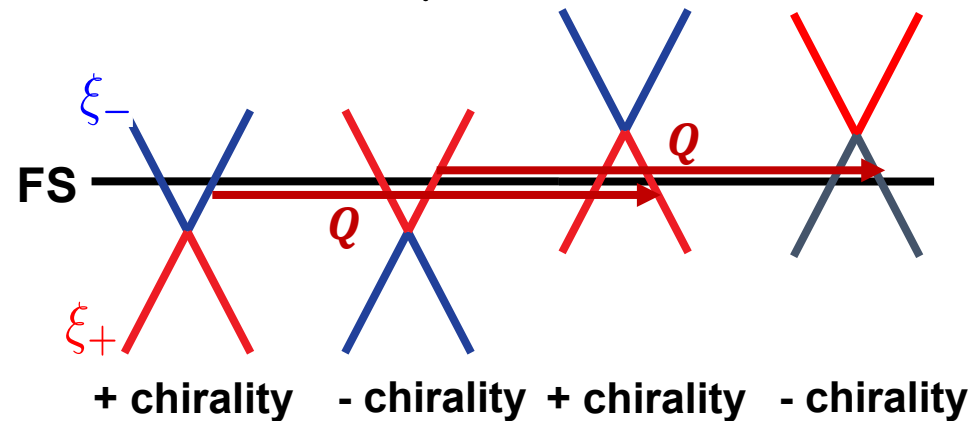
- **Particle-hole Berry phase**

$$\hat{\rho}_{CDW}^\dagger(\mathbf{k}) = \alpha_+^\dagger(\mathbf{k} + \mathbf{Q})\alpha_-(\mathbf{k}) \quad \alpha_\pm^\dagger(\mathbf{k}) = \sum_i \xi_{\pm,i}(\mathbf{k})c_i^\dagger(\mathbf{k})$$

- **CDW Berry connection difference of single-particle connections**

$$A_{CDW}(\mathbf{k}) = A_+(\mathbf{k} + \mathbf{Q}) - A_-(\mathbf{k})$$

$$\oint_{FS} dS_{\mathbf{k}} \cdot \Omega_{CDW} = 4\pi q_{CDW}$$

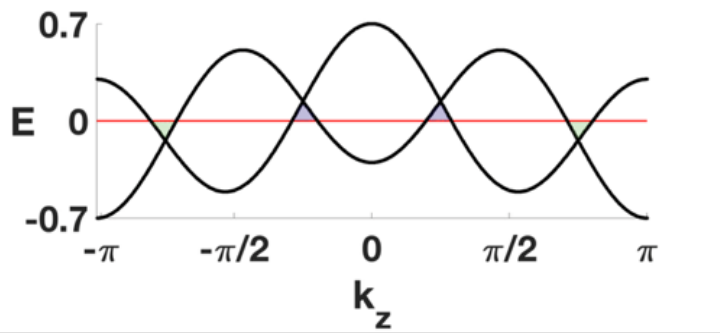


# Model Hamiltonian

## Weyl Hamiltonian

$$h_{\text{Weyl}}(\mathbf{k}) = \left( \frac{3}{2} - \cos k_x - \cos k_y + \cos^2 k_z \right) \tau_z + \sin k_x \tau_x + \sin k_y \tau_y + V_0 \cos k_z \mathbb{1}$$

Invariant under  $k_z \mapsto -k_z$



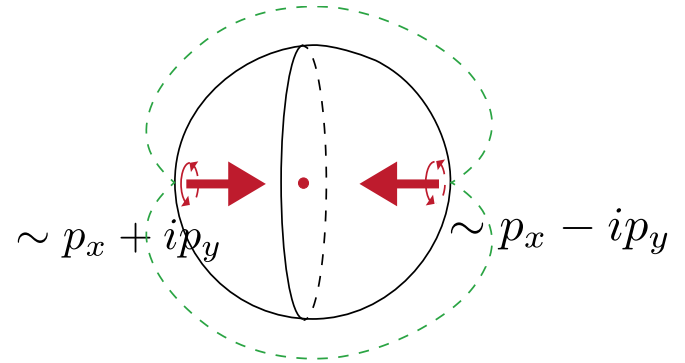
$$\mathbf{Q} = (0, 0, \pi)$$

Weyl Nodes	$k_z = -\frac{3\pi}{4}$	$k_z = -\frac{\pi}{4}$	$k_z = \frac{\pi}{4}$	$k_z = \frac{3\pi}{4}$
Chirality of Weyl Nodes	$\chi = +1$	$\chi = -1$	$\chi = +1$	$\chi = -1$
Electron/hole pockets	$e$	$h$	$h$	$e$
FS Chern #	$C = -1$	$C = -1$	$C = +1$	$C = +1$

$$H = \underbrace{\sum_{\mathbf{k}} c_{\mathbf{k}}^\dagger h_{\text{Weyl}}(\mathbf{k}) c_{\mathbf{k}}}_{\text{Weyl}} + \underbrace{\sum_{\mathbf{k}} \left( c_{\mathbf{k}+\mathbf{Q}}^\dagger \rho(\mathbf{k}) c_{\mathbf{k}} + \text{h.c.} \right)}_{\text{CDW}}$$

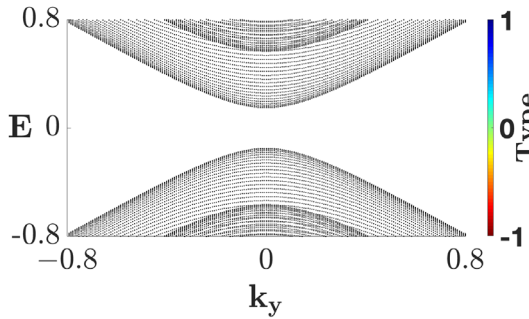
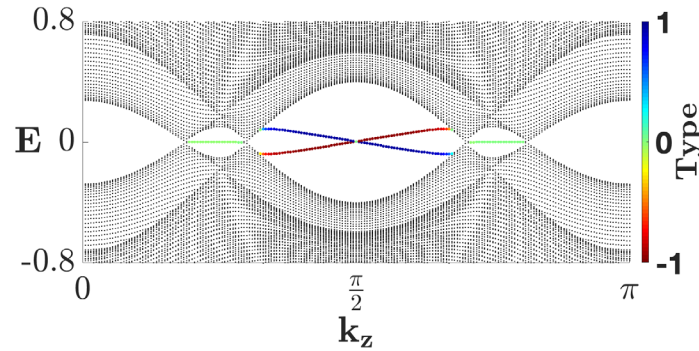
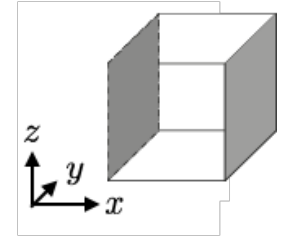
Density-wave order  
 $\rho(\mathbf{k}) = \rho_0 \tau_z$

## Monopole Density-wave State

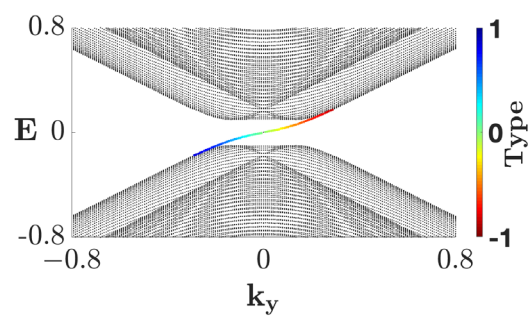


$$\tilde{\rho}(\hat{\mathbf{p}}) = \rho_0 \mathcal{Y}_{q_p=-1, l=1, m=0}(\theta_{\mathbf{p}}, \phi_{\mathbf{p}})$$

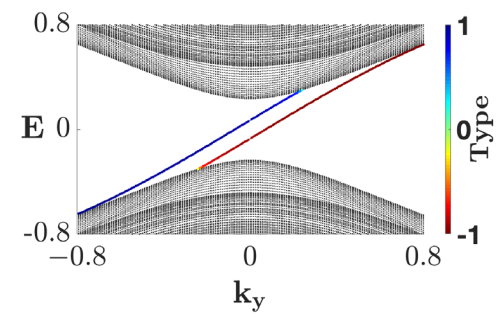
# Energy spectrum



Trivial insulator  
No surface states

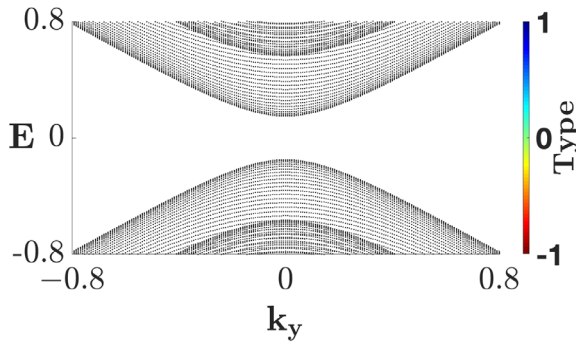
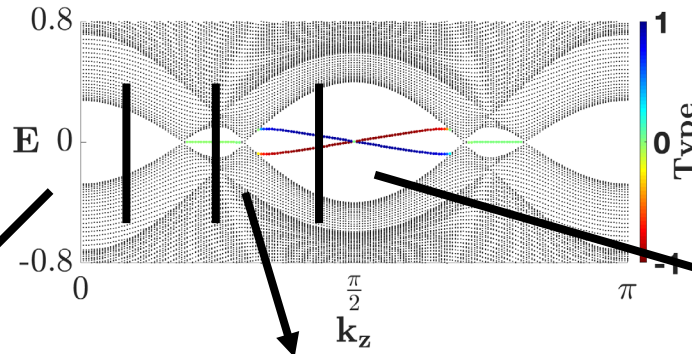
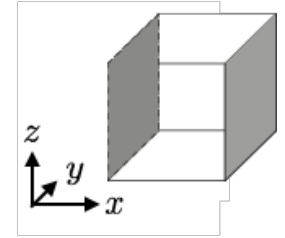


Topological CDW  
Quasiparticle surface states

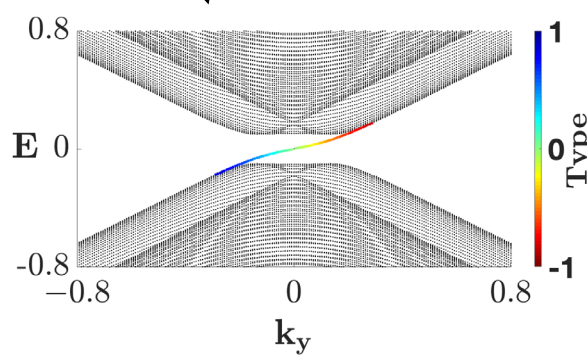


(CDW doubled)  
Chern insulator  
Fermi arc states

# Energy spectrum

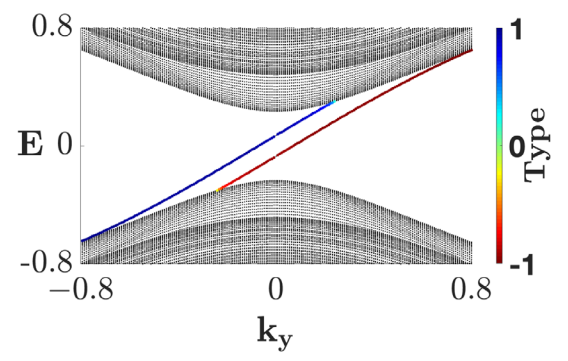


**Trivial insulator**  
No surface states



**Topological CDW**  
Quasiparticle surface states

$$\gamma_{\mathbf{k}} = u c_{\mathbf{k}} + v c_{\mathbf{k}+\mathbf{Q}}$$



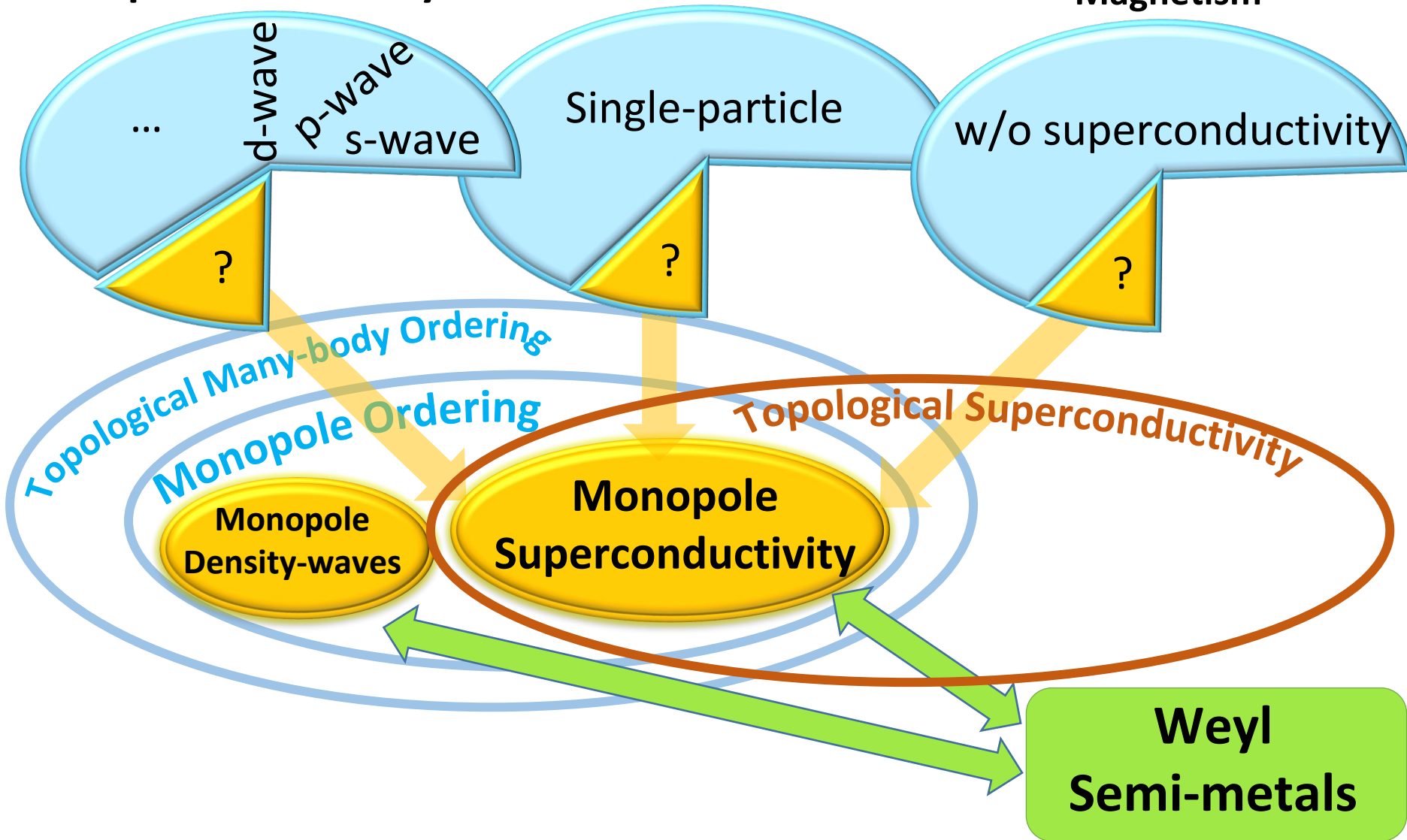
**(CDW doubled)**  
**Chern insulator**  
Fermi arc states

# Summary

**Superconductivity**

**Geometric Phase**

**Weyl Semi-metal & Magnetism**



Back up

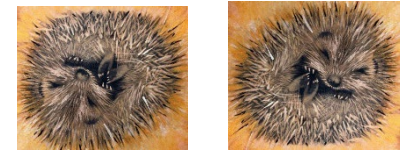
# Berry phase of Cooper pairs and topologically protected nodal pairing

$$\begin{aligned}
 |\text{BCS}\rangle &= e^{\sum_{\mathbf{k}} f_{\mathbf{k}} c_1^+(\vec{\mathbf{k}}) c_2^+(-\vec{\mathbf{k}})} |0\rangle \\
 &= \prod_{\mathbf{k}} \left( 1 + f_{\mathbf{k}} c_1^+(\vec{\mathbf{k}}) c_2^+(-\vec{\mathbf{k}}) \right) |0\rangle
 \end{aligned}$$

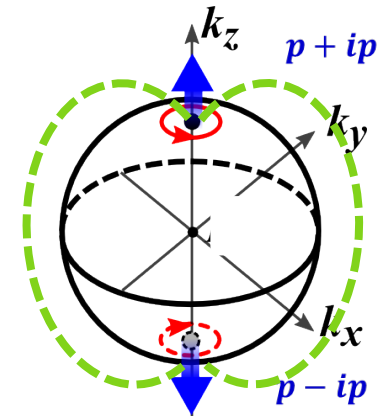
$$\frac{f_{\mathbf{k}}}{1 + |f_{\mathbf{k}}|^2} = \frac{\Delta_{\mathbf{k}}}{2E_{\mathbf{k}}} \quad \Delta_{\mathbf{k}}: \text{gap function}$$

$$\begin{aligned}
 \mathbf{A}_p(\mathbf{k}) &= i \langle \Psi_p(\mathbf{k}) | \nabla_{\mathbf{k}} | \Psi_p(\mathbf{k}) \rangle \neq 0 \\
 | \Psi_p(\mathbf{k}) \rangle &= c_1^+(\mathbf{k}) c_2^+(-\mathbf{k}) |0\rangle
 \end{aligned}$$

$$\frac{1}{2\pi} \oint d\hat{\mathbf{k}} \cdot \vec{\nabla}_{\hat{\mathbf{k}}} \times \vec{A}_p(\hat{\mathbf{k}}) = 2q_p$$



Chern # = -1      Chern # = 1  
 $q_1 = -1/2$        $q_2 = 1/2$



	Pair Berry phase	Phase of $\Delta(\vec{\mathbf{k}})$	Node protection
Spherical harmonics	trivial	well-defined	pairing mechanism total vorticity = 0
<b>Monopole harmonics</b>	<b>monopole charge <math>q_p</math></b>	<b>Not well-defined</b>	<b>topological protected</b> <b>total vorticity <math>2q_p</math></b>

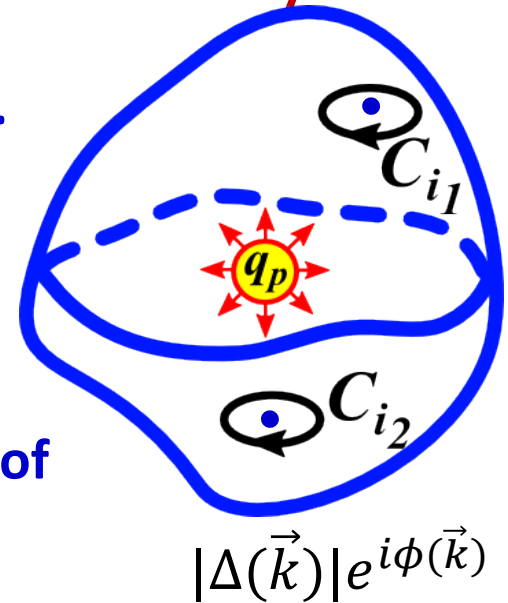
# Pair Berry phase protected nodal vorticity

- **General pairing Hamiltonian: smooth w.r.t.  $\vec{k}$ .**

$$H_P(\vec{k}) = \Delta(\vec{k}) P^+(\vec{k}) + \Delta^*(\vec{k}) P(\vec{k})$$

$$P^+(\vec{k}) = \alpha_+^+(\vec{K}_0 + \vec{k}) \alpha_-^+(-\vec{K}_0 - \vec{k})$$

- **The gap nodes of  $\Delta(\mathbf{k})$  possess total vorticity of  $2q_p$  on  $S_+$**



1) k-space vorticity from gauge invariant “circulation field”.

$$\vec{v}(\vec{k}) = \vec{\nabla}_k \phi(\vec{k}) - \vec{A}_p(\vec{k}) \quad \rightarrow \quad \frac{1}{2\pi} \oint_{C_i} d\vec{k} \cdot \vec{v} = g_i$$

2) Reverse the direction of each loop and apply Stokes theorem.

$$-\sum_i g_i = \frac{1}{2\pi} \sum_i \oint_{\bar{C}_i} d\vec{k} \cdot \vec{v} = - \oiint \frac{d\vec{k}}{2\pi} \cdot (\vec{\nabla}_k \times \vec{A}_p) = -2q_p.$$

YL, FDM Haldane, PRL 120, 067003 (2018).

Related previous work: Murakami, Nagaosa (2003);

H. Yao, private communication.



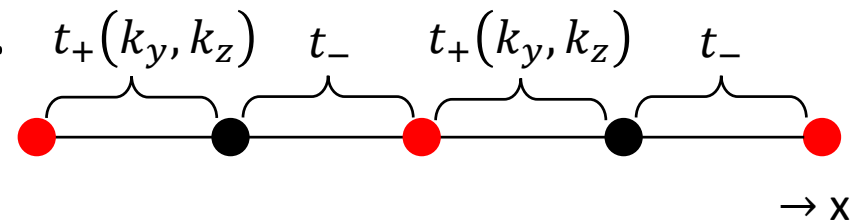
# Example: Weyl semi-metal of spinless fermions

$$H_K = \sum_{\vec{k}} c_a^\dagger(\vec{k}) \{V(k_y)\sigma_3 + [t_- \cos(2k_x) + t_+(k_y, k_z)]\sigma_1 + \sin(2k_x)\sigma_2 - \mu\}_{ab} c_b(\vec{k}) + h.c.$$

Modified Rice-Mele model:  
Pavan Hosur;  
FDM Haldane.

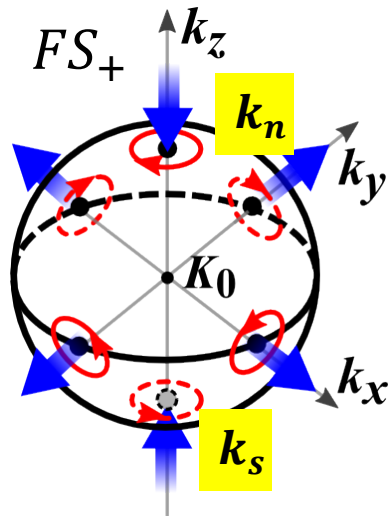
$$V(k_y) = 2k_y, \quad t_+(k_y, k_z) = -(k_y^2 + k_z^2), \quad t_- = 1.$$

- $\sigma_z$ -eigenstates refer to A-, B-sublattice.
- Inv. operation  $A \leftrightarrow B$  ( $\sigma_1 \rightarrow \sigma_1, \sigma_{2,3} \rightarrow -\sigma_{2,3}$ ) and  $k \rightarrow -k$ .
- TR ( $\sigma_{1,3} \rightarrow \sigma_{1,3}, \sigma_2 \rightarrow -\sigma_2$ ) and  $k \rightarrow -k$ .
- TR breaking but inversion invariant.
- Weyl points :  $\pm \vec{K}_0 = \pm (0,0,1)$ .



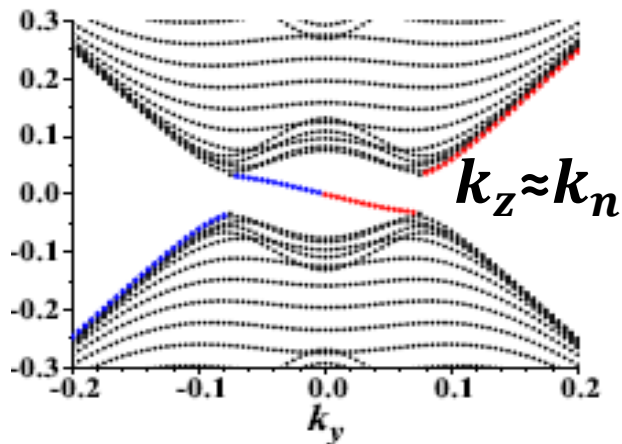
$$A(i, k_y, k_z) \quad B(i, k_y, k_z)$$

# Surface spectra: Majorana meets Weyl

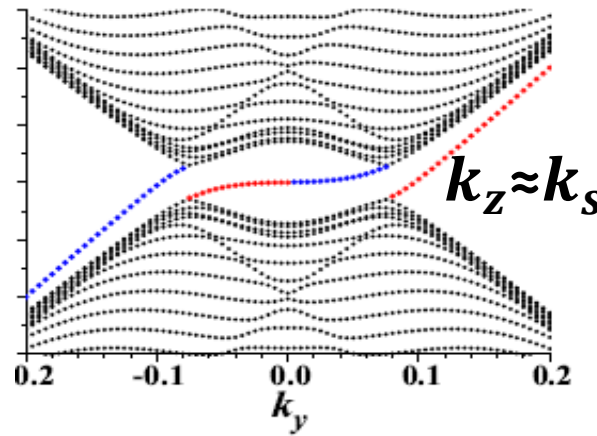


$$\Delta_y = i\Delta_x$$

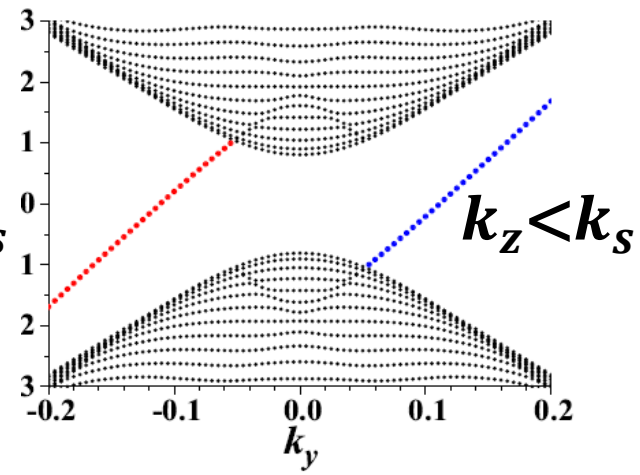
- Majorana modes: negative  $\rightarrow$  positive chirality as  $k_z$  from N  $\rightarrow$  S-hemisphere.
- Chirality : 0 ( $k_z > k_n$ )  $\rightarrow$  1 (north hem-sphere)  $\rightarrow$  3(south hemi-sphere)  $\rightarrow$  2 ( $k_z < k_s$ )



-1



-1 + 4 = +3



-2 + 4 = +2

# Weyl points as pairs of monopoles (k-space)

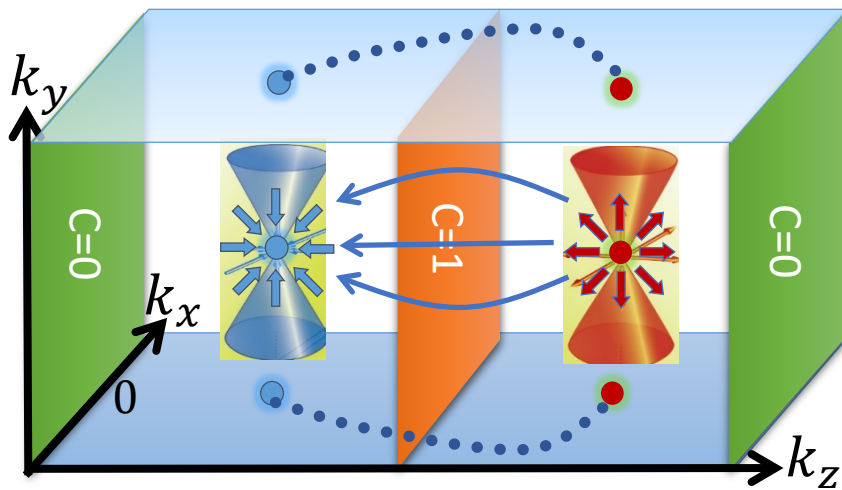
- Chern #  $C(k_z)$  defined for each 2D crossing section at a fixed  $k_z$

$$A(\mathbf{k}) = i \left\langle \psi_-(\mathbf{k}) \left| \vec{\partial}_k \right| \psi_-(\mathbf{k}) \right\rangle$$

$$C(k_z) = \oiint \frac{d^2 \vec{k}}{2\pi} \Omega_z(\vec{k})$$

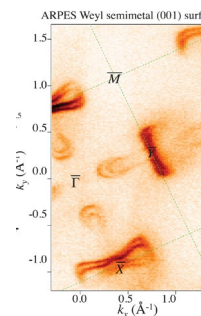
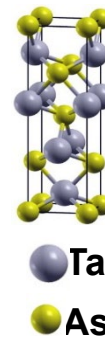
- Fermi arcs connect projections of Weyl point pairs on the surface

Observed in TR invariant Weyl semi-metals

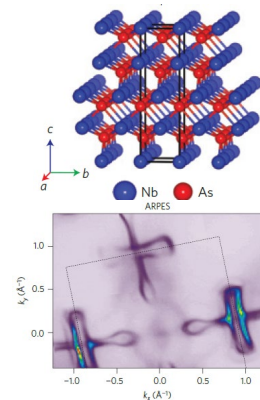


Theory: Wan, Turner, Vishwanath, Savrasov, PRB (2011); ...  
(Murakami 2007)

TaAs



NbAs



ARPES: Hasan's group, Ding's group (2015); ...