

# ***Shearing dry granular materials: What is the phase diagram?***

Bob Behringer,  
February 8, 2018

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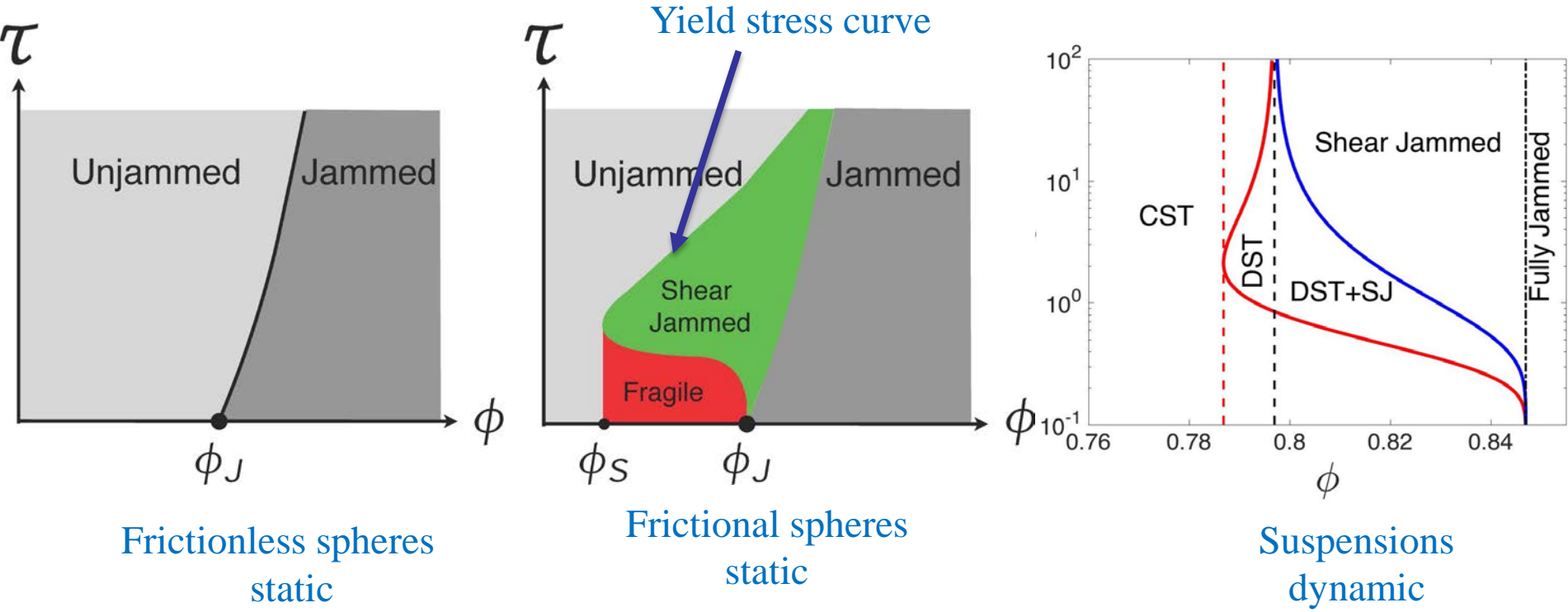
Bulbul Chakraborty, Eric Clément, Karin Dahmen, Karen Daniels, Olivier Dauchot, Isaac Goldhirsch, Heinrich Jaeger, Paul Johnson, Lou Kondic, Miro Kramer, Jackie Krim, Wolfgang Losert, Stefan Luding, Chris Marone, Guy Metcalfe, Konstantin Mischaikov, Sid Nagel, Corey O'Hern, David Schaeffer, Josh Socolar, Matthias Sperrl, Antoinette Tordesillas, Dengming Wang

Support: NSF, NASA, BSF, ARO, DTRA, IFPRI, William M Keck Foundation



# A Tale of Three Figures

## Shear stress $\tau$ vs. packing fraction $\phi$



# How do granular materials respond to shear?

- Background
  - Observations from shearing
  - Particles: elastic (soft) and frictional
  - Force networks and protocols
  - Experimental techniques
  - Results from isotropic compression
- Shear jamming—the ‘bottom’ of the jamming phase diagram
- At the Yield Stress Curve—the ‘top’ of the Jamming Phase Diagram

Shear strain applied to granular materials can jam an initially stress-free state. Continued shear drives the system to the yield stress curve

- What is the macroscopic state diagram? Includes fragile, shear jammed and dynamic states at the YSC
- The initial processes leading to shear jamming generate anisotropic networks, called force chains. How should one characterize/distinguish networks?
- What are the statistical properties at the YSC?
- At a yet smaller scale, what processes enable the formation of force chains under shear?
- Do these processes lead to memory? If so, how?
- Experiments to answer these questions require new techniques

***Granular Material: Dense Phases,  
particularly sheared, frictional***

Forces are carried preferentially  
on force chains (**Networks**)

→ multiscale

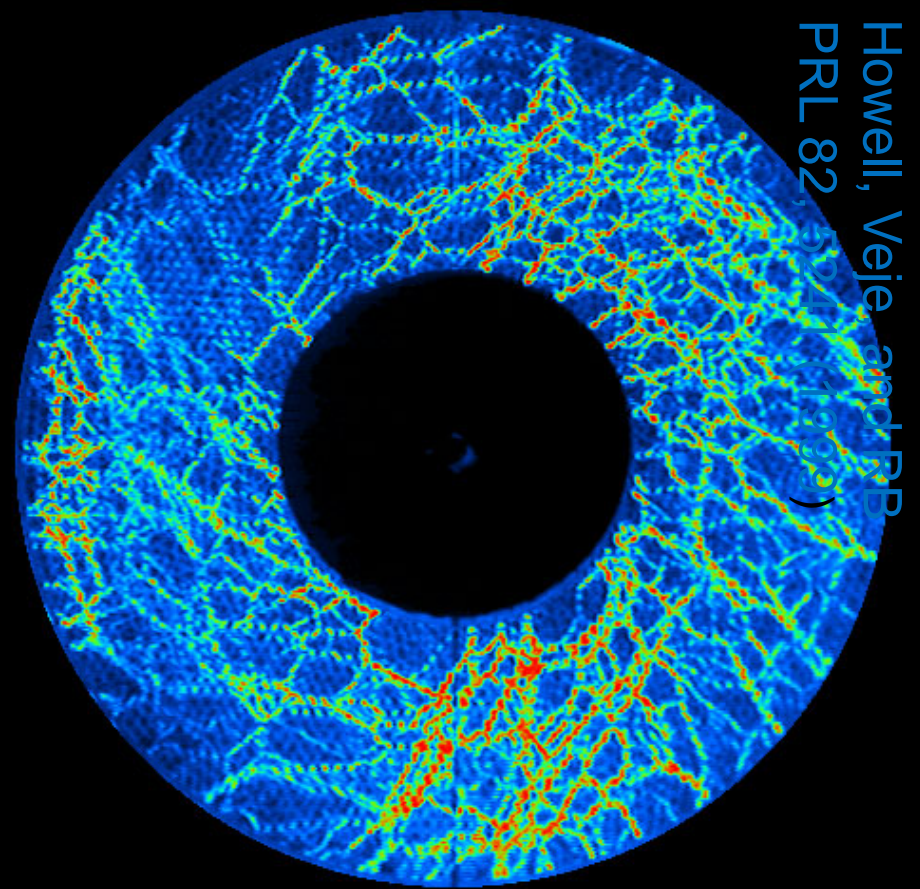
phenomena—grains to system

Deformation leads to large  
spatio-temporal fluctuations

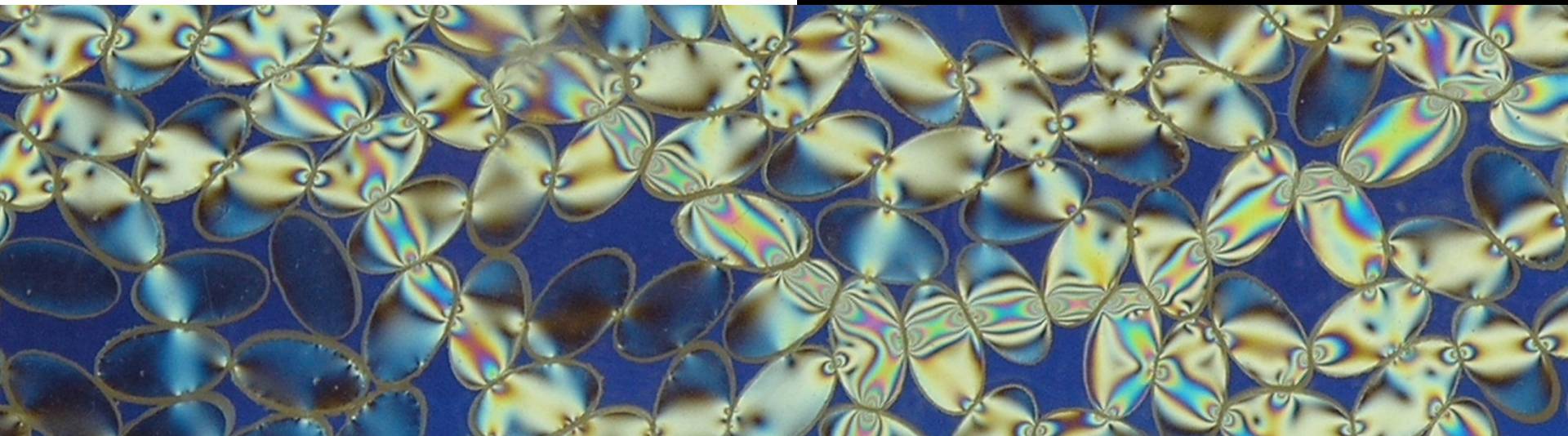
*Granular materials jam*

—fluid ← → solid transition

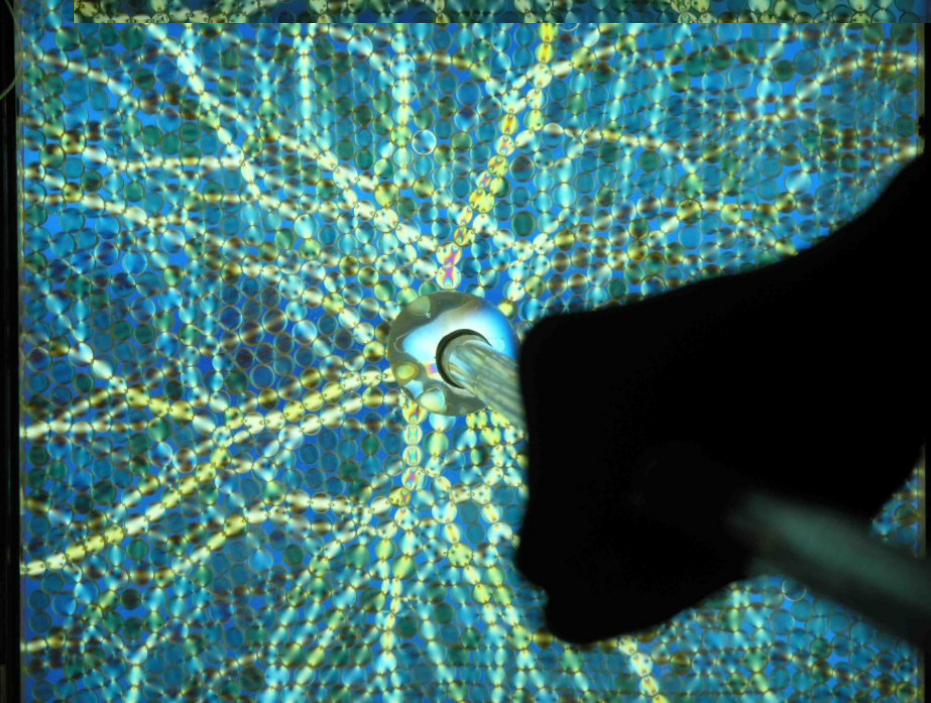
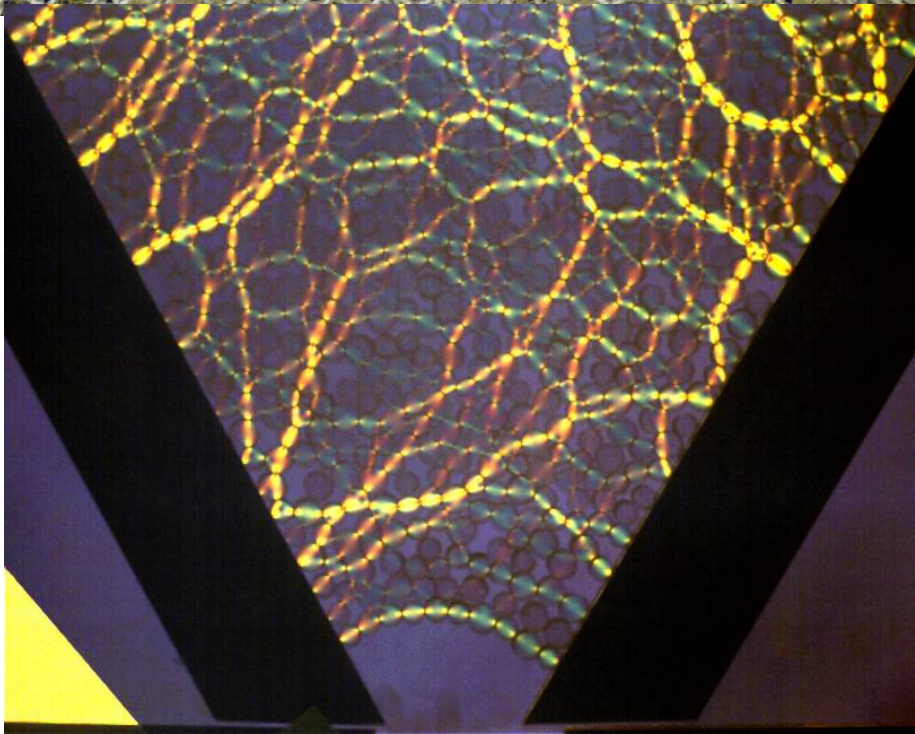
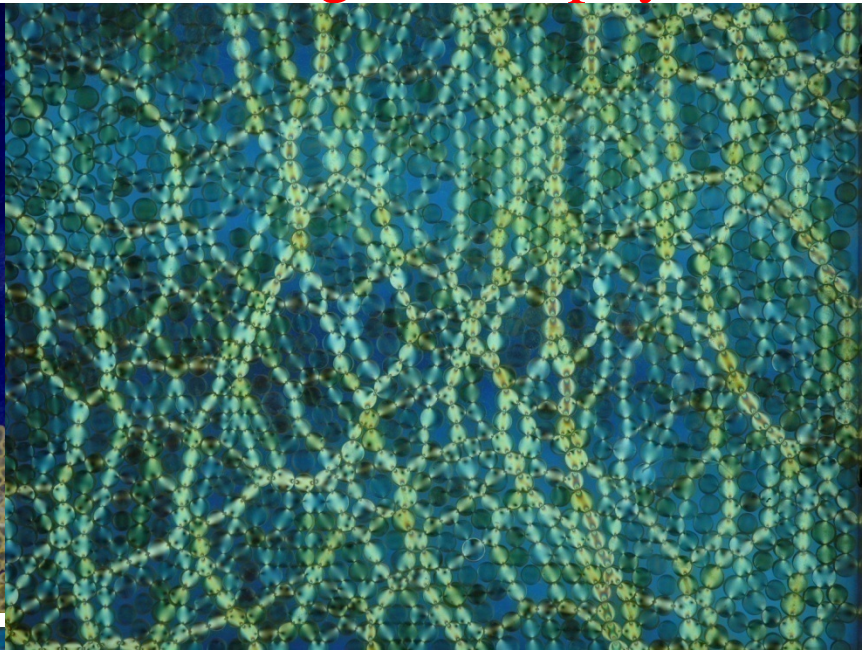
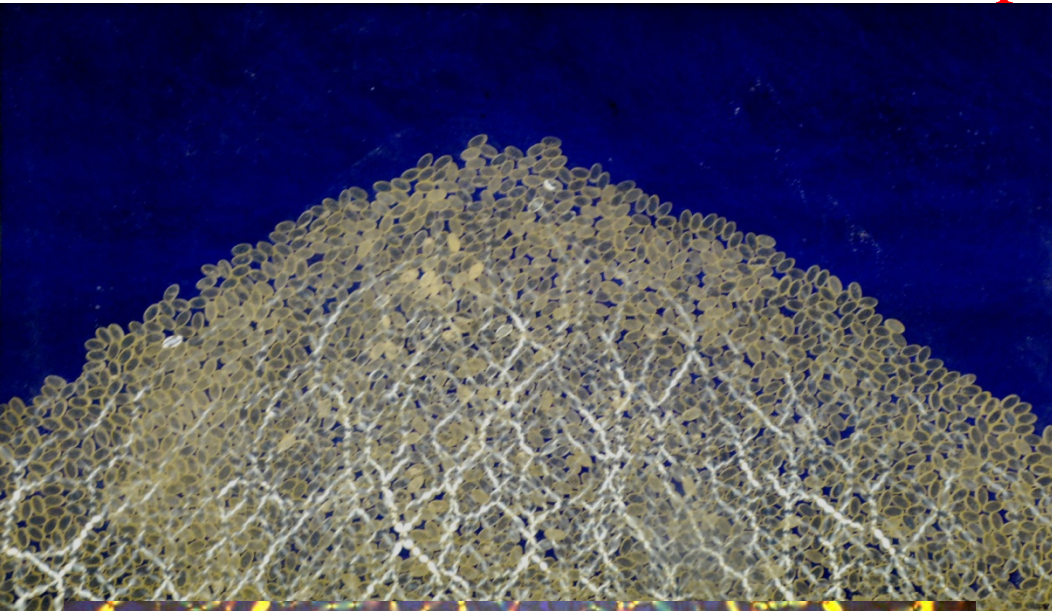
(Howell, P&G1997, PRL 1999)



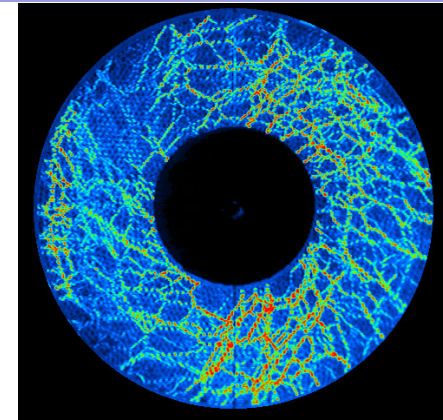
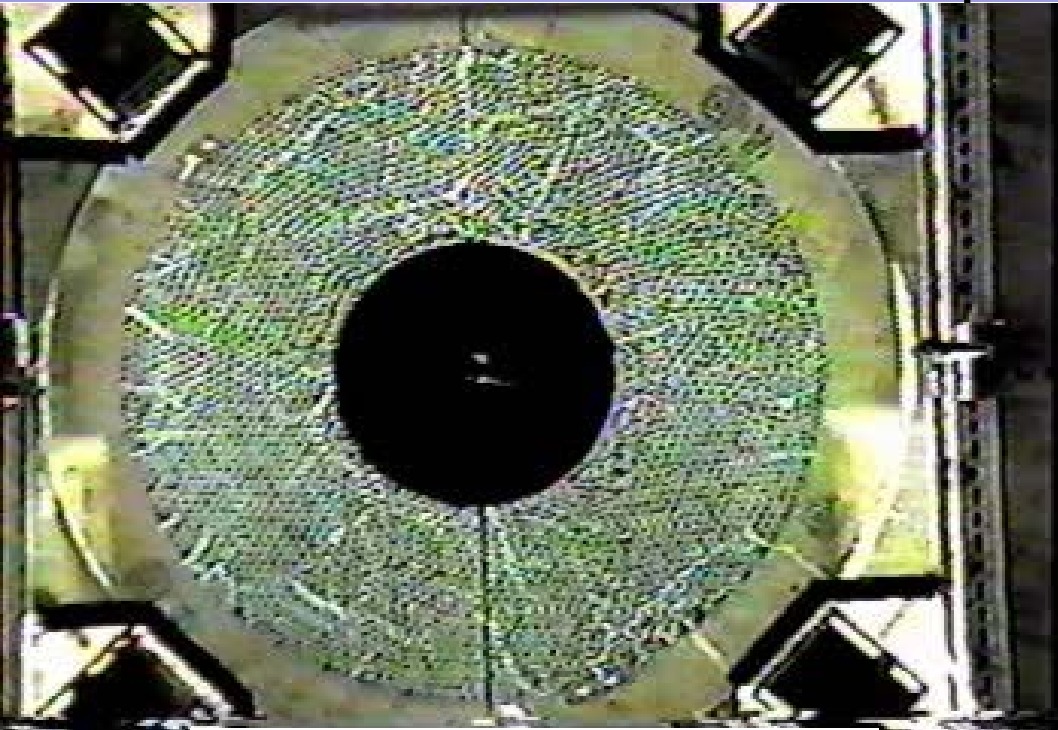
Howell, Veje, and PRL  
PRL 82 5911 (1999)



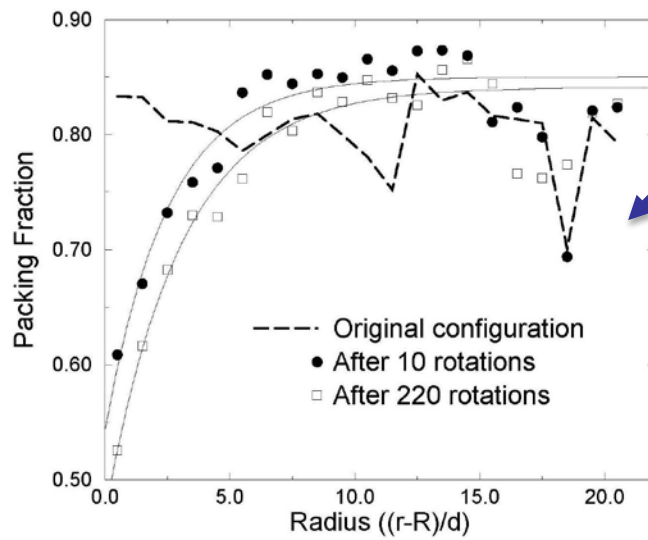
# Force networks are an essential part of dense granular physics



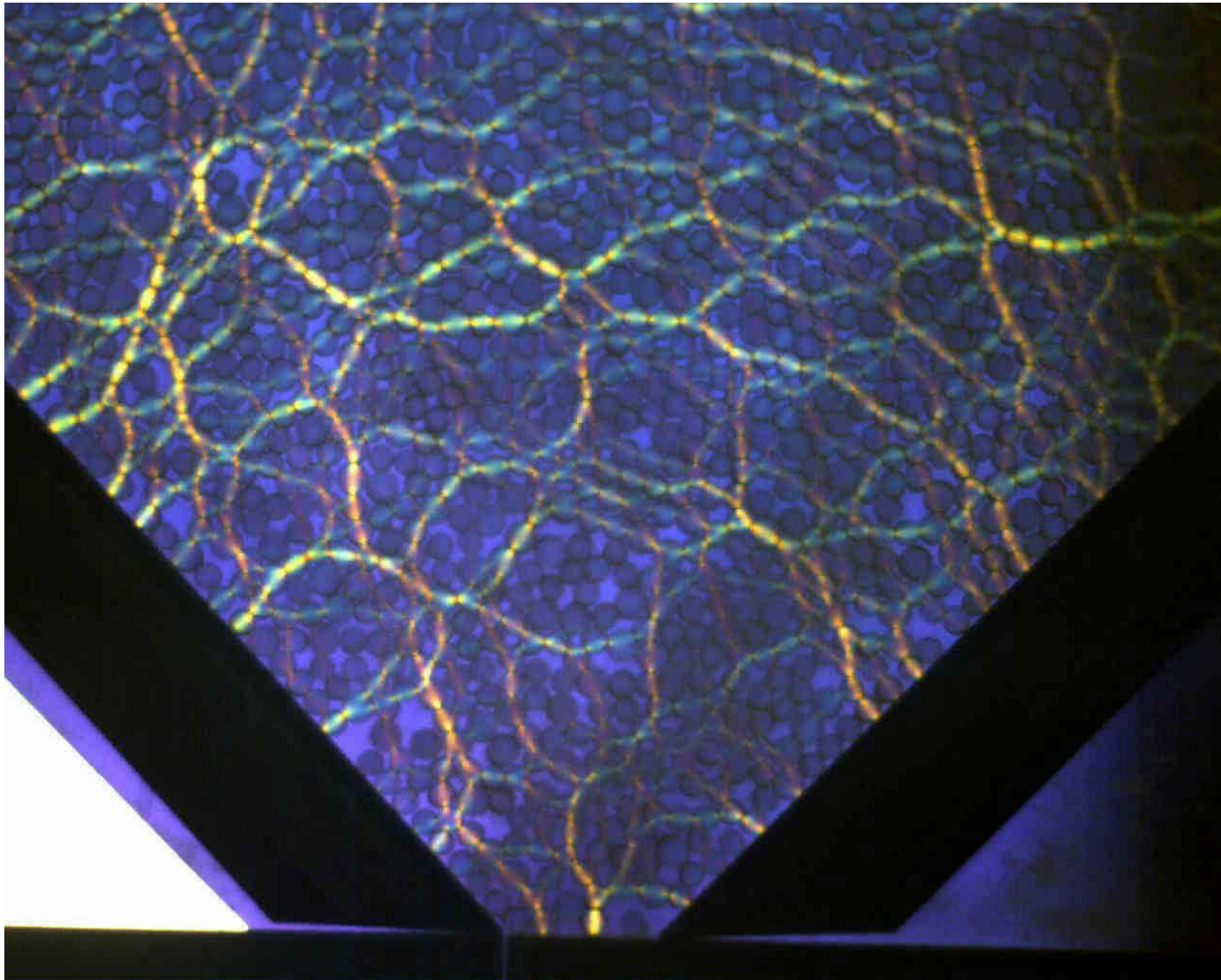
# Networks evolve in space-time—**are long range and complex** *Howell, PRL 1999, Veje PRE 1999*



A shear band is a narrow zone of intense shearing strain with reduced local packing fraction—common issue



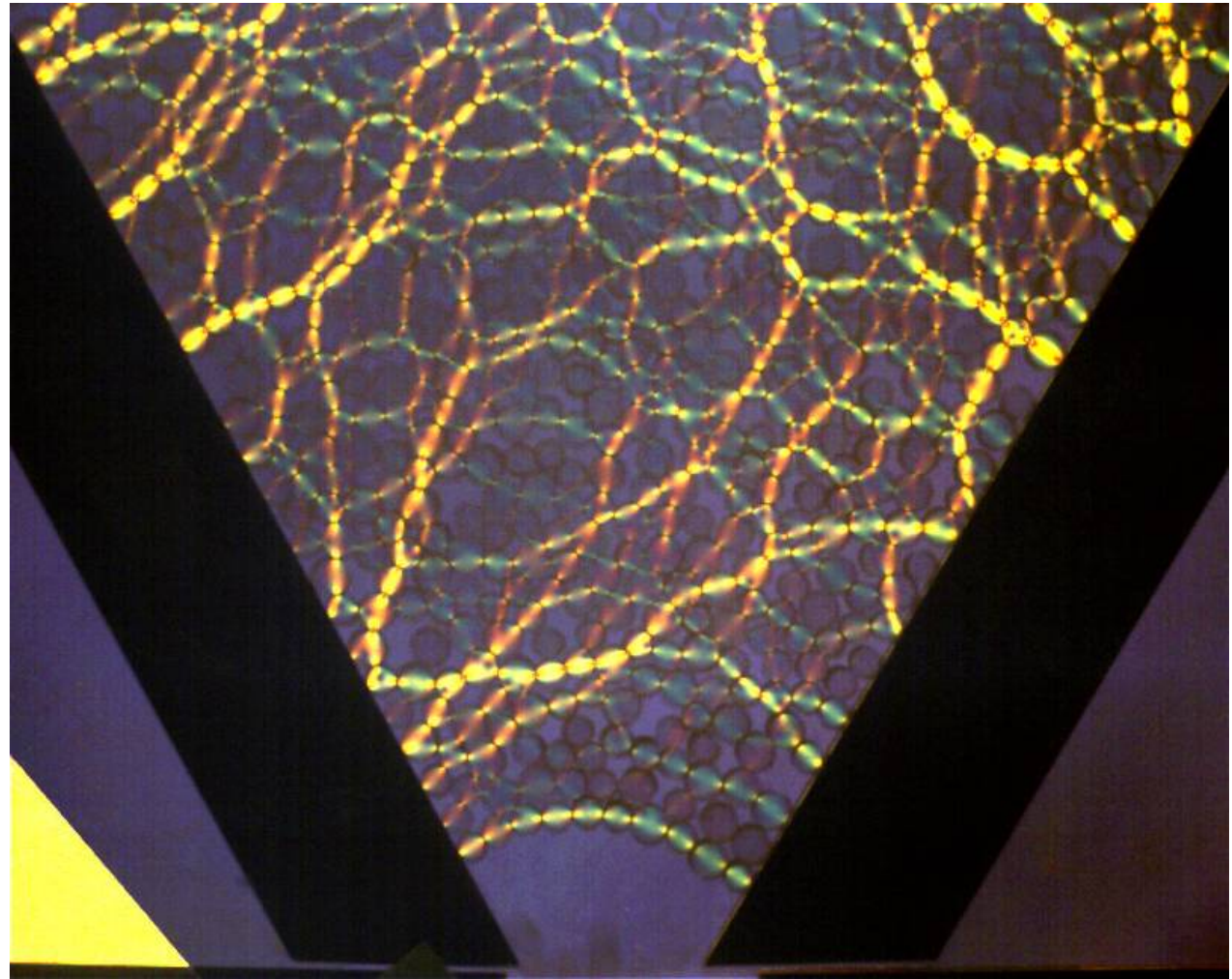
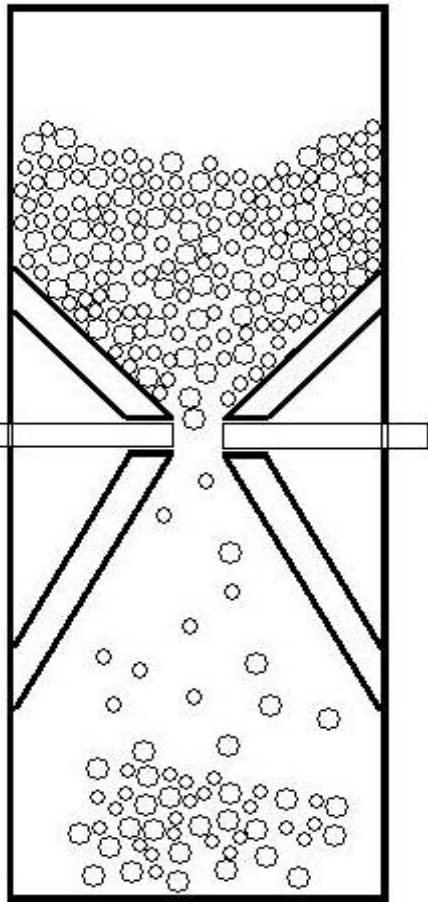
**Force networks appear dynamically:** formation of force chains arches at outlet leads to clogging (J. Tang & RB-EPL-2016)





# One frame, showing jam and force chain arch

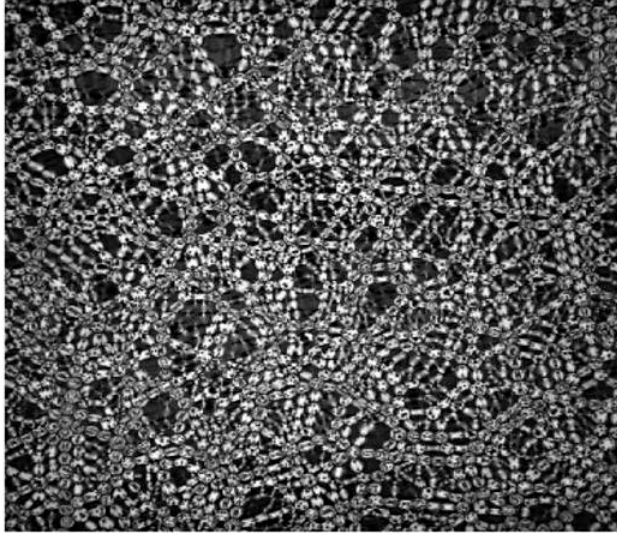
2D hopper flow



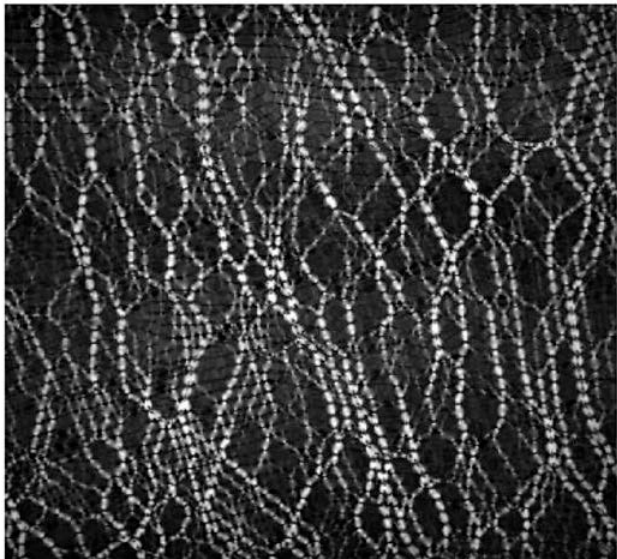
## Particle properties for this discussion

- Particles interact when they are in contact—no contact no force
- Particles interact by elastic normal forces and tangential frictional forces
- Normal force,  $F_n$  depends on the distance  $\delta$  by which two particles have been pushed together (overlap)— $F_n \sim \delta^\alpha \dots$   
 $\alpha = 1, 3/2$  for Hookean and Hertzian contacts resp.
- Grains typically have friction, coefficient  $\mu \dots$  friction forces do not depend on inter-grain positions  $\rightarrow$  no potential energy—large particle size  $\rightarrow$  athermal

# Relation of force networks to protocols—e.g. compression or shear



Isotropic Compression

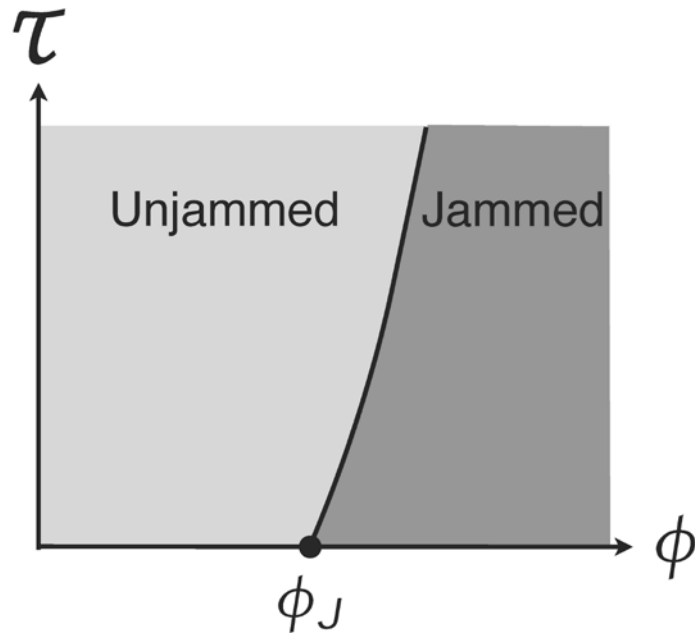


Pure Shear

T. Majmudar and BB, Nature 2005

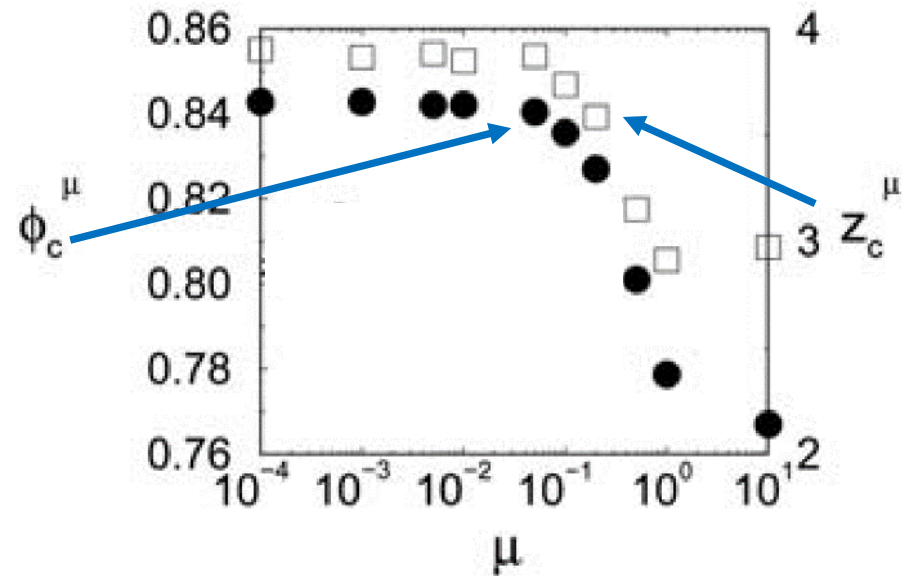
# Isotropic jamming of spheres/discs

Schematic of jamming diagram for frictionless spheres



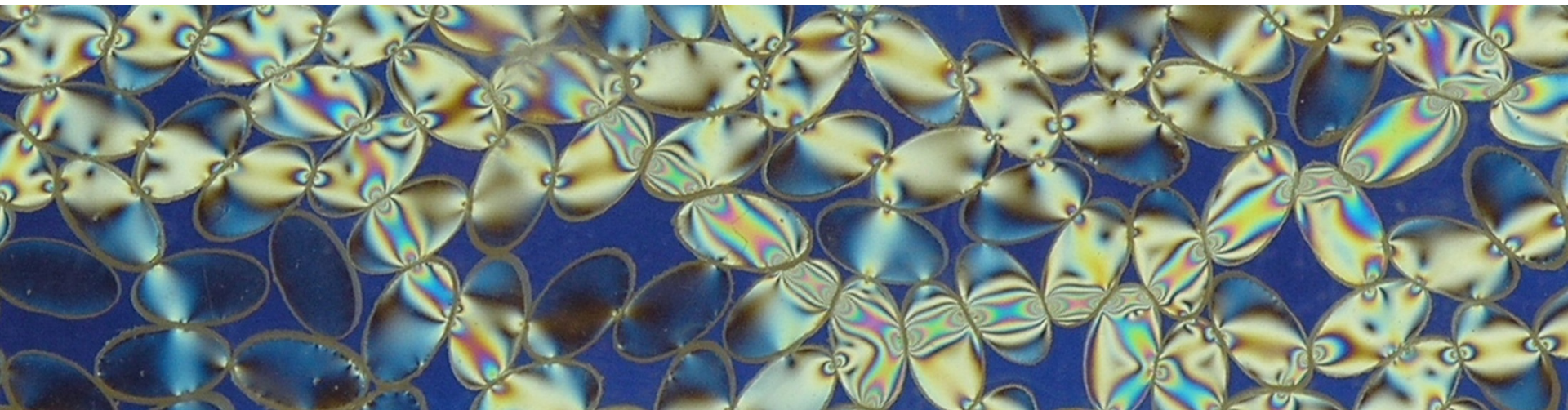
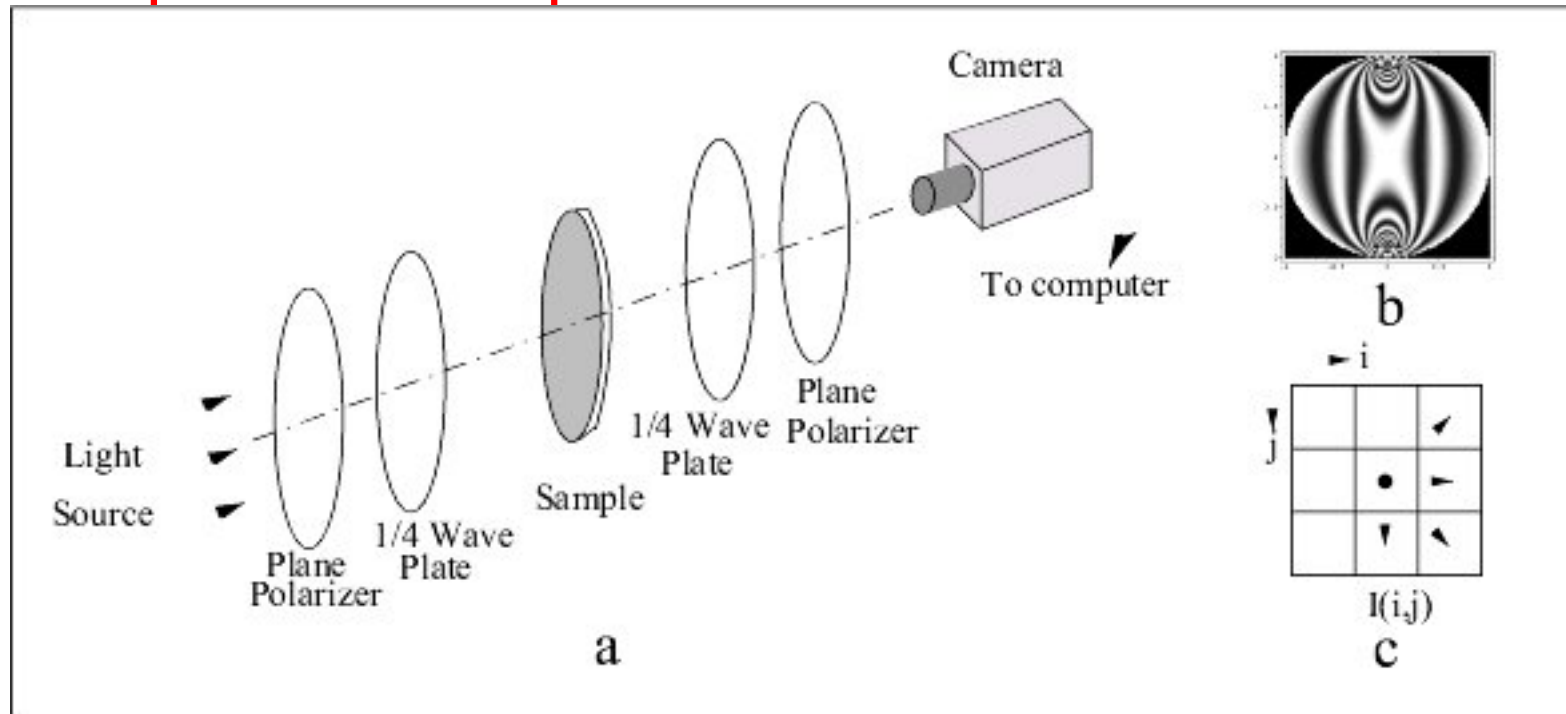
O'Hern et al. PRE 2003

Schematic of jamming diagram for frictional discs



O'Hern et al. PRE 2003

# Measuring contact forces by photoelasticity—2D quantitative experiments from smallest scales



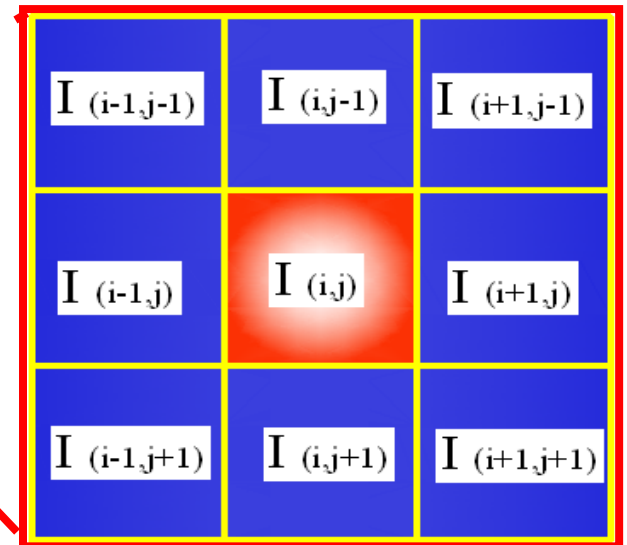
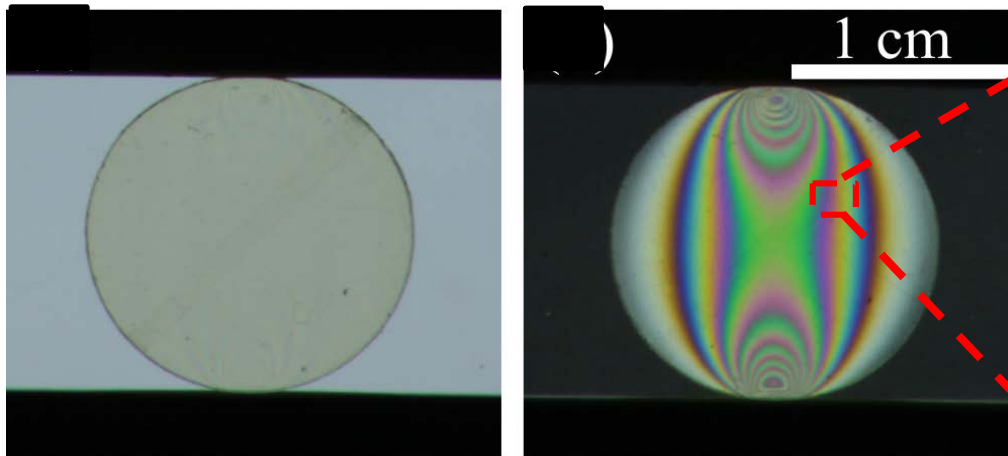
## Fun with photoelasticity\*



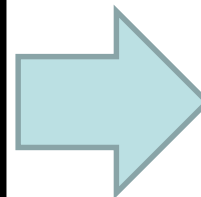
\*Joshua Dijkstra

# Experimental advances allow grain-scale force measurements--I

D. Howell, BB, PRL 1999, PRE 1999



$$\nabla I_{i,j}^2 = \frac{1}{4} \left[ \left( \frac{I_{i+1,j} - I_{i-1,j}}{2} \right)^2 + \left( \frac{I_{i,j+1} - I_{i,j-1}}{2} \right)^2 + \left( \frac{I_{i+1,j+1} - I_{i-1,j-1}}{2} \right)^2 + \left( \frac{I_{i+1,j-1} - I_{i-1,j+1}}{2} \right)^2 \right]$$



$$\langle G^2 \rangle = \frac{1}{N} \sum_{i,j} \nabla I_{i,j}^2$$

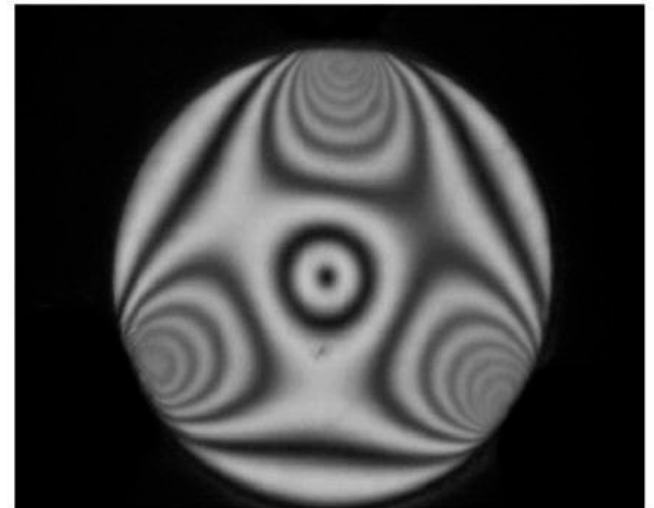
# Experimental Advances allow grain-scale contact force measurements--II

T. Majmudar and BB  
Nature, 2005

- Contact forces determine exact photoelastic pattern:
- Contact forces  $\rightarrow$  stresses within disk (linear elasticity)
- Planar stresses give pattern:

$$I = I_0 \sin^2[(\sigma_2 - \sigma_1)CT/\lambda]$$

**c**



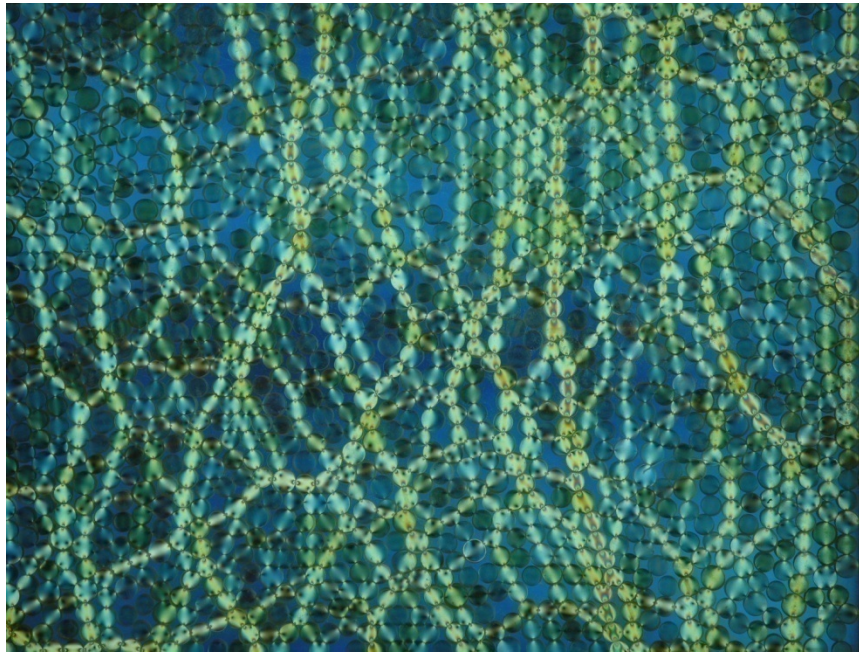


## Technique for finding 2D contact forces

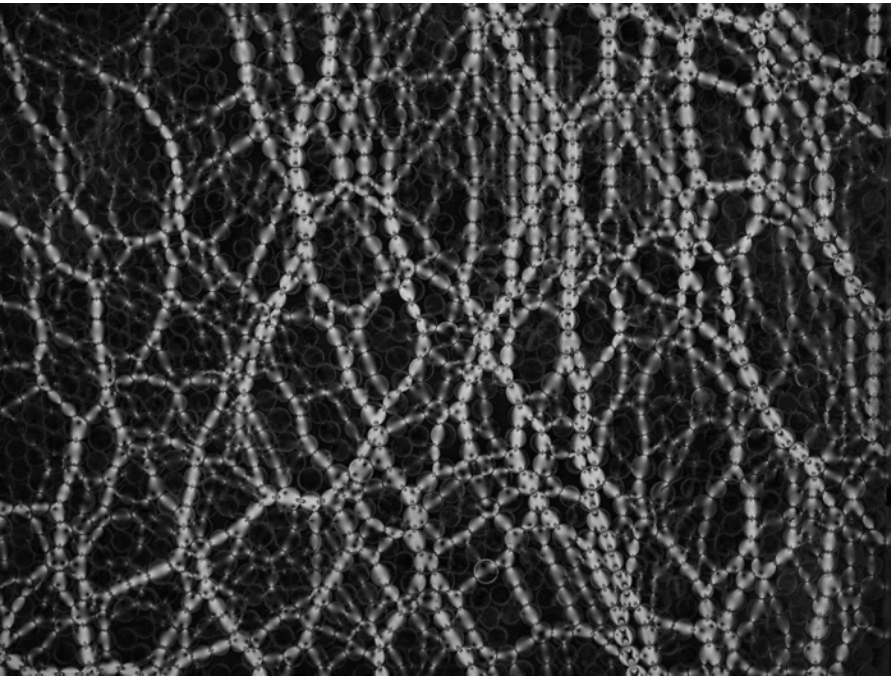
- Process images to obtain particle centers and contacts
- Exact solution for stresses (biharmonic equation) has contact forces as parameters
- Make a nonlinear fit to photoelastic pattern using contact forces as fit parameters
- $I = I_0 \sin^2[(\sigma_2 - \sigma_1)CT/\lambda]$
- In the previous step, invoke force and torque balance to reduce unknown contact forces
- Newton's 3d law provides error checking

# Key new approach: obtain grain contact forces

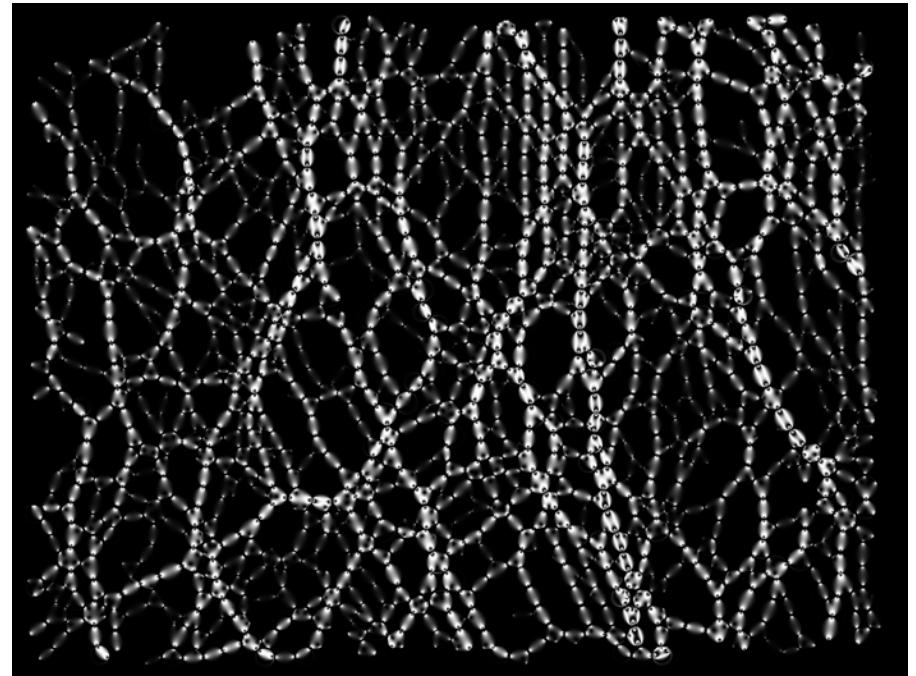
*Experiment--raw*



*Experiment  
Color filtered*

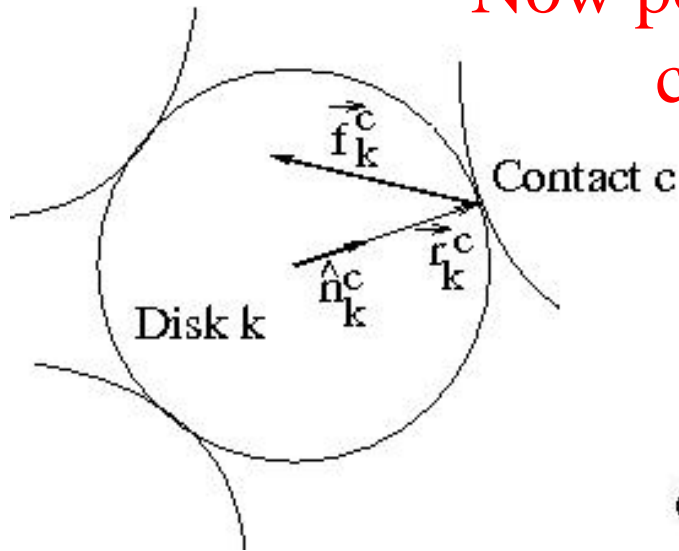


*Reconstruction  
From force  
inverse algorithm*



# Obtaining stresses and fabric from experimental data

Now possible to obtain direct experimental characterizations at grain scale



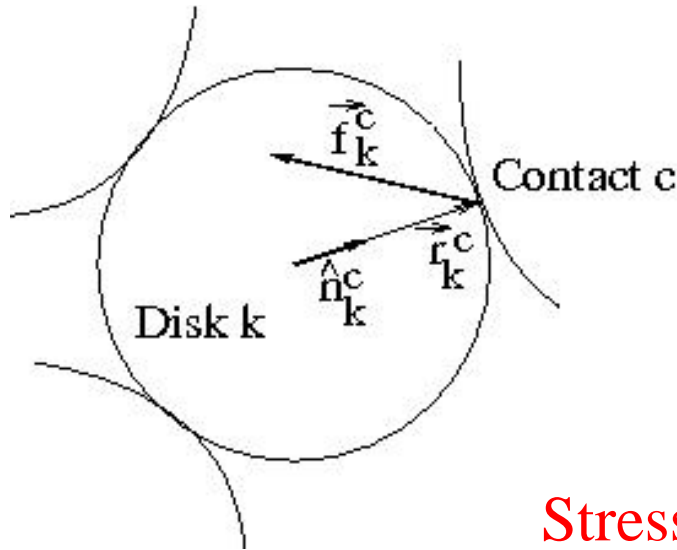
$$\hat{\sigma} = \frac{1}{V} \sum_{i \neq j} \vec{r}_{ij} \otimes \vec{f}_{ij}, \quad \text{Stress}$$

$$\hat{R} = \frac{1}{N} \sum_{i \neq j} \frac{\vec{r}_{ij}}{\|\vec{r}_{ij}\|} \otimes \frac{\vec{r}_{ij}}{\|\vec{r}_{ij}\|}, \quad \text{Fabric}$$

These quantities can be coarse-grained to produce continuum fields

# Stresses, fabric, force moment tensor—2D

evaluate across scales: particles, networks, system



## Fabric tensor

$$R_{ij} = \sum_{k,c} n_{ik}^c n_{jk}^c$$

$$Z = \text{trace}[R]$$

## Stress tensor, force moment tensor

$$\text{stress: } \sigma_{ij} = (1/A) \sum_{k,c} r_{ik}^c f_{jk}^c$$

$$\text{Force moment } \Sigma_{ij} = \sum_{k,c} r_{ik}^c f_{jk}^c = A \sigma_{ij}$$

A is particle/system area

Pressure, P and shear stress

$$P = \text{Tr}(\sigma)/2 = (\sigma_2 + \sigma_1)/2$$

$$\tau = (\sigma_2 - \sigma_1)/2$$

# Displacements and rotations of grains

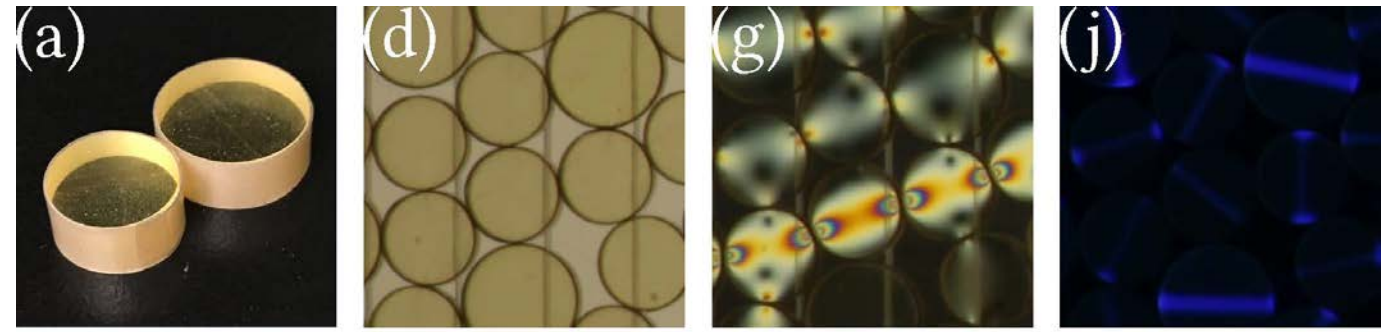
What about rotation?



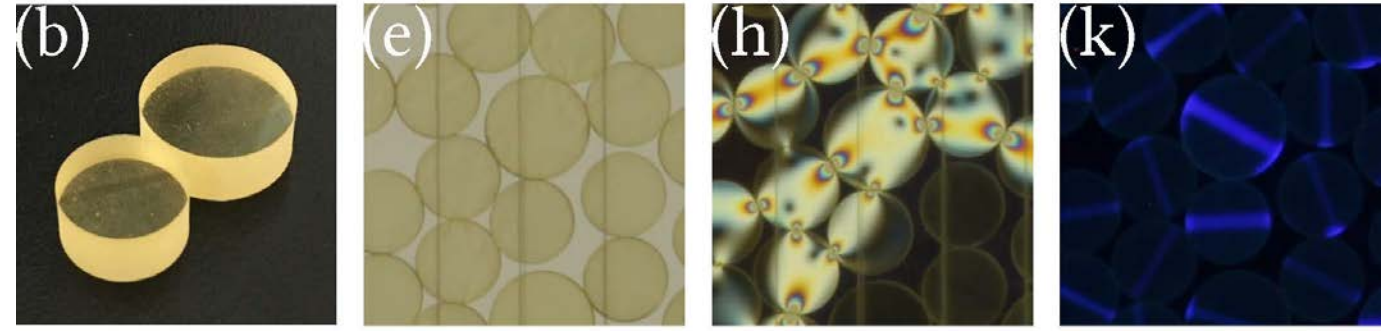
# Track Particle: Forces/Displacements/Rotations

Following a small strain step we track particle displacements

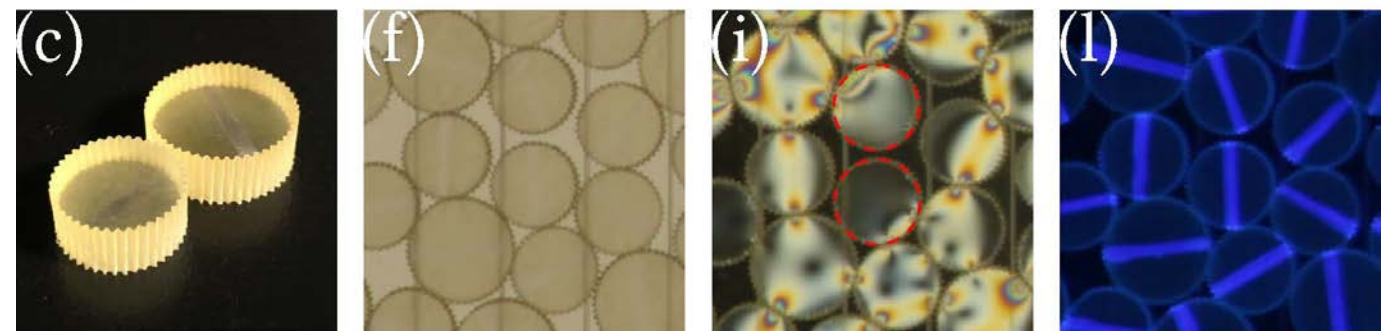
$\mu = 0.15$



$\mu = 0.65$



$\mu \gg 1$

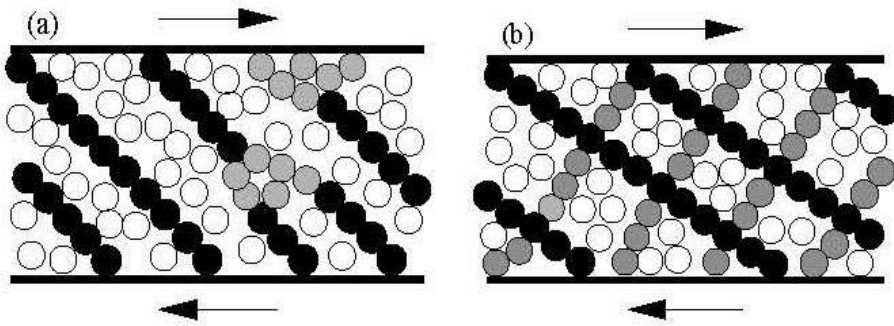


Under UV light bars  
Allow rotational tracking

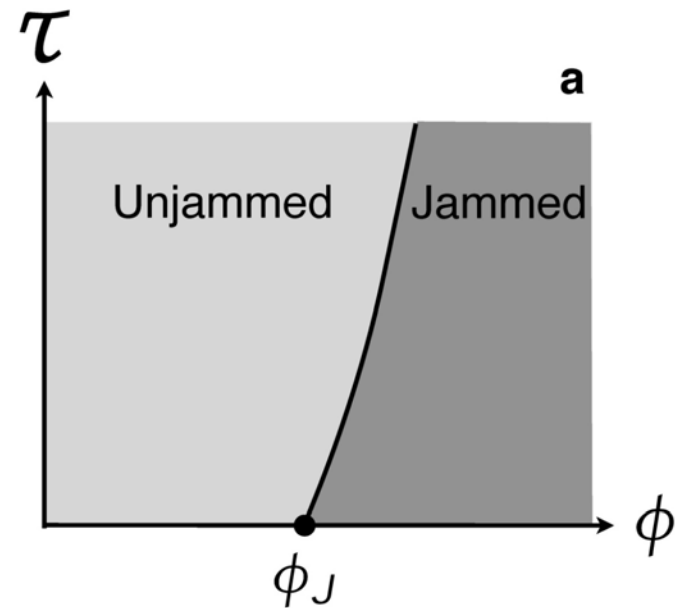
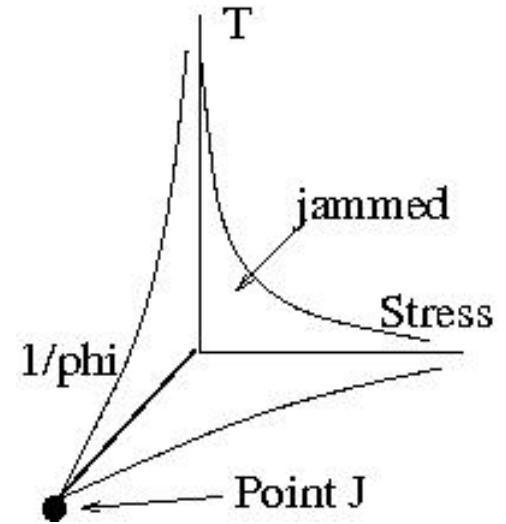
Majmudar and BB Nature, 2005; Majmudar et al. PRL 2007; Zhang et al. Gran.Matt2010; Bi, Zhang, Chacraborty, BB, Nature 2011, Ren et al. PRL 2013, Zheng et al. EPL 2014; Clark et al. PRL 2015; Cox et al. EPL 2016, Barés et al. PRE 2017, Wang et al. 2018

# Context: Jamming and Fragility— sheared granular materials

Fragile states: ability to resist strain:  
Strong in one direction but weak in reverse



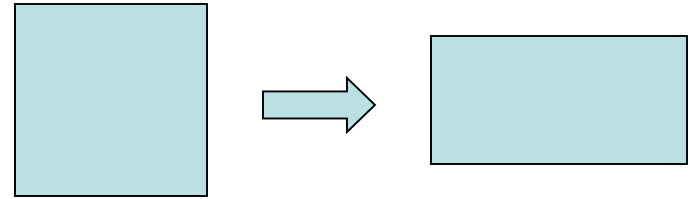
*Cates et al. PRL 1998*



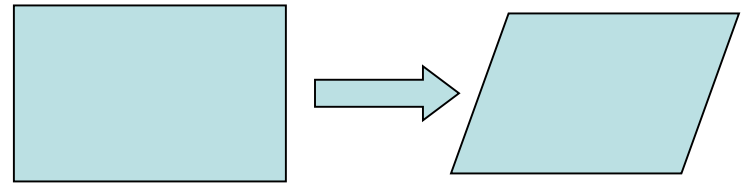
*After Liu and Nagel, Nature, 1998, O'Hern et al. PRE 2003*

# Investigate the response to shear—creation of **stable** anisotropic states

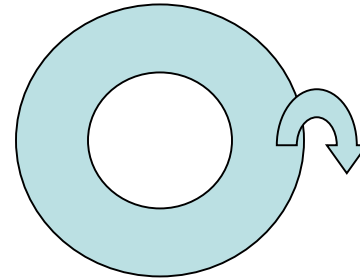
- Example 1: pure shear



- Example 2: simple shear



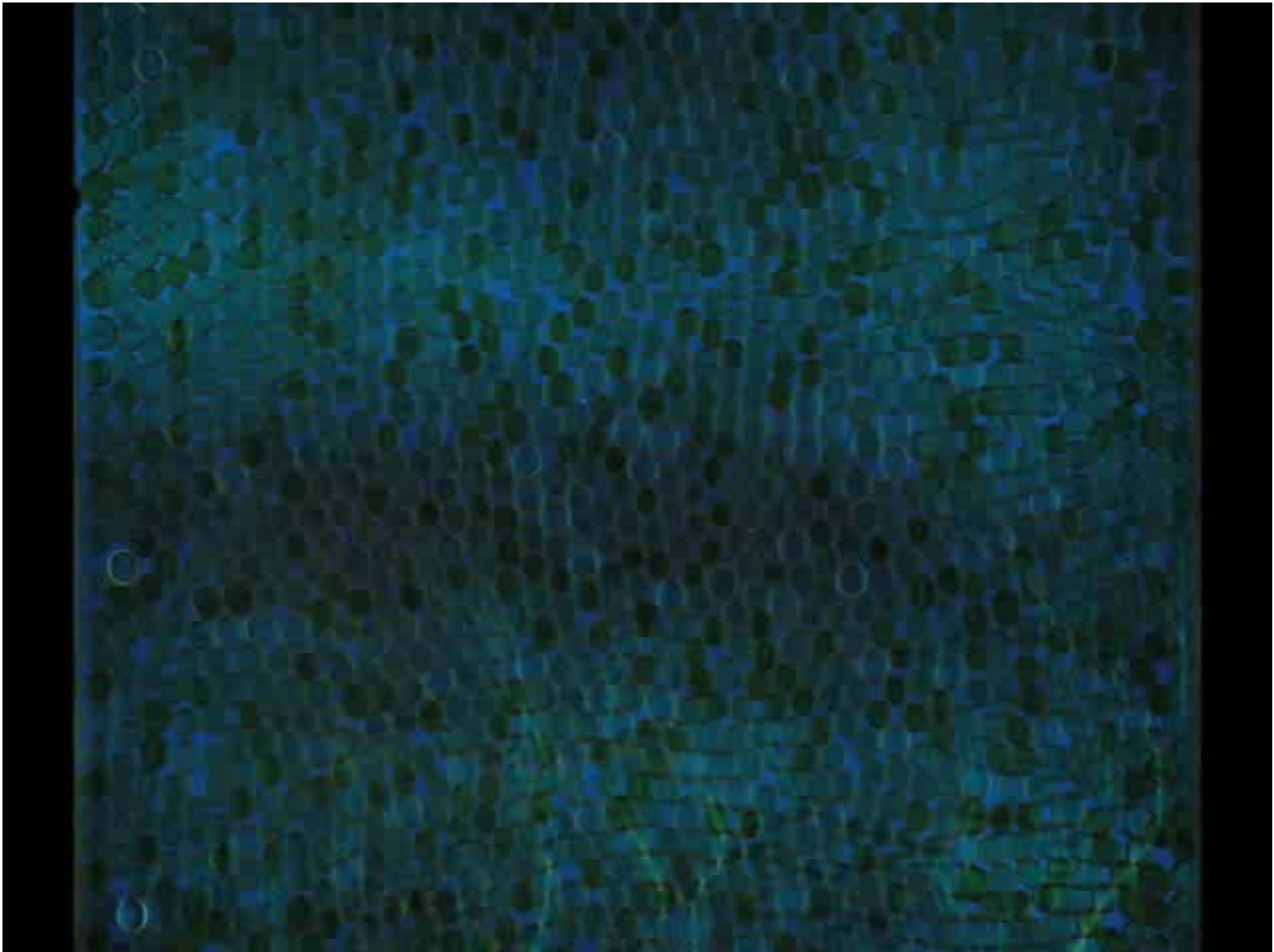
- Example 3: Couette shear

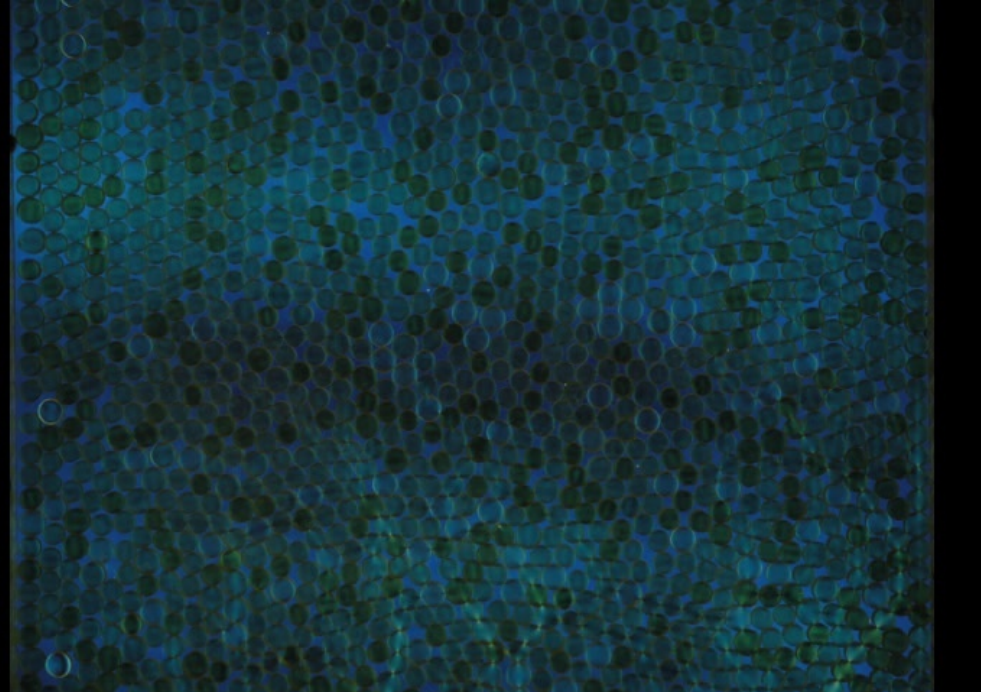


Series of experiments to map out phase diagram



Time-lapse video (one shear cycle) shows force network evolution—**Frictional Shear Jamming**—



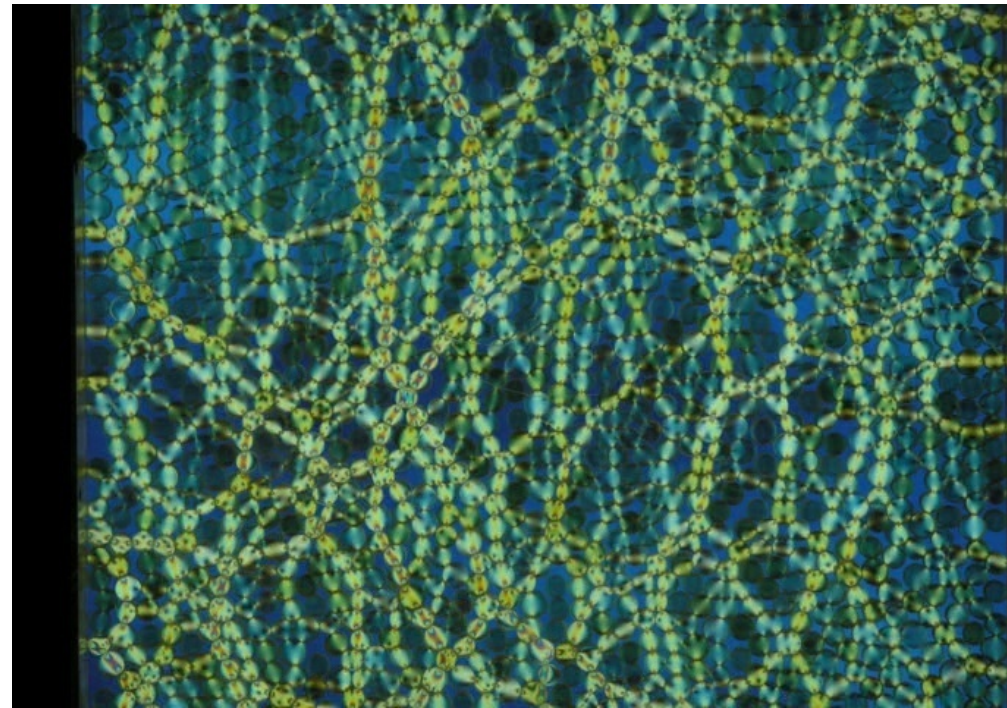


Initial and final states  
following a shear cycle—  
no change in area—  
Density cannot distinguish  
--but networks can

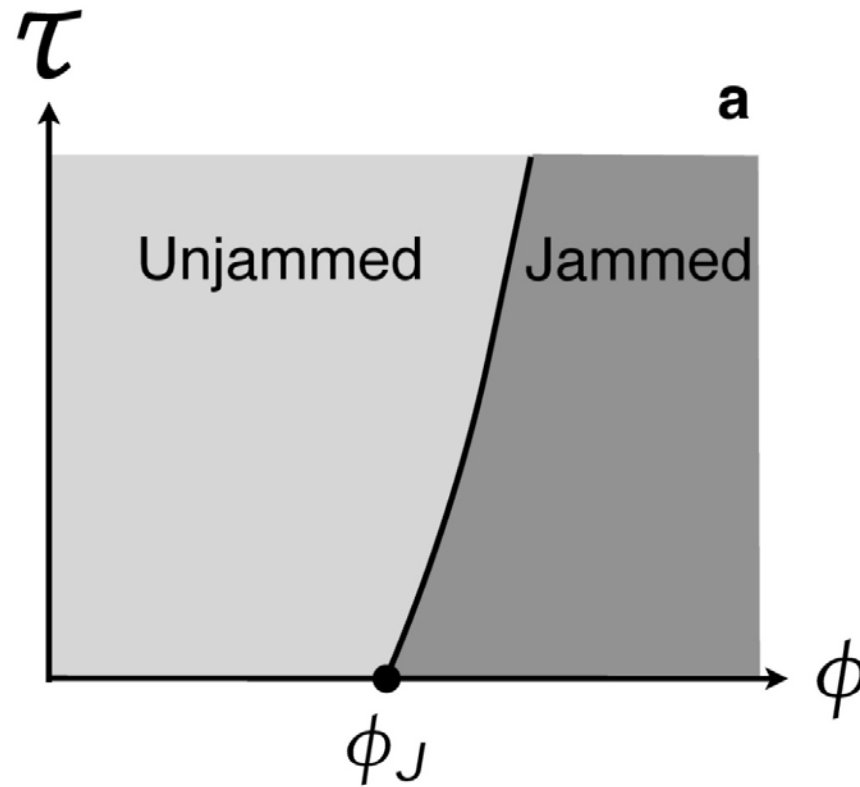
← Initial state, isotropic,  
no stress

Works between  $\varphi_S < \varphi < \varphi_J$

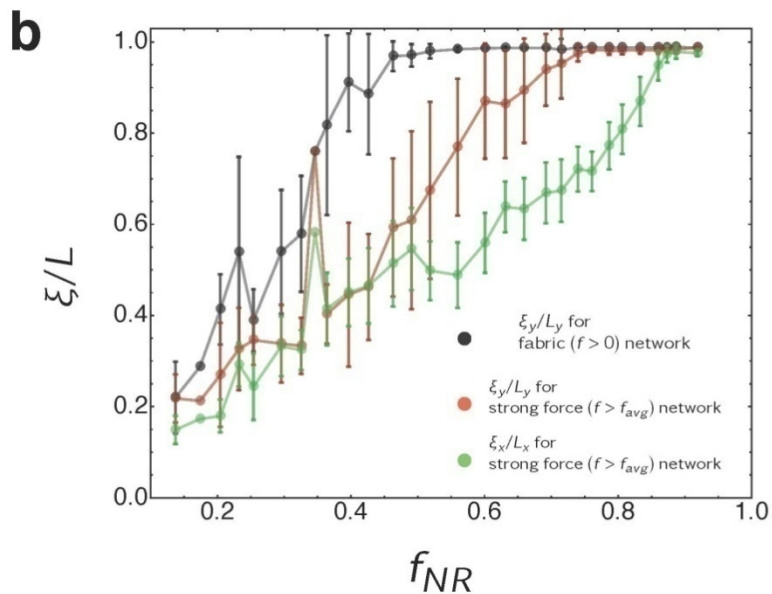
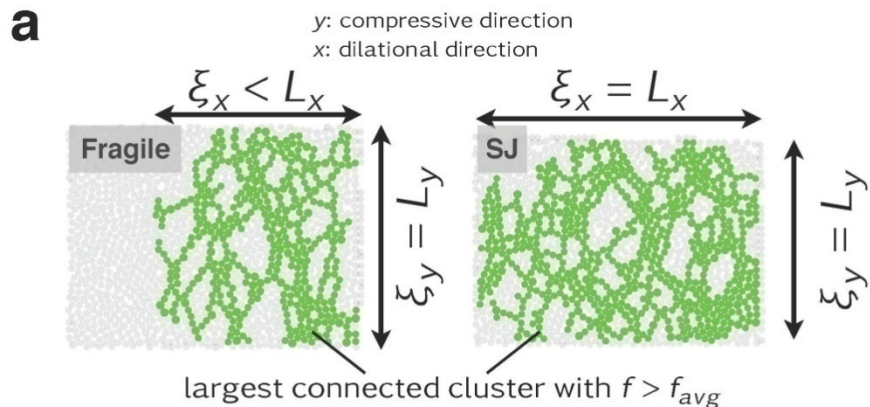
Final state →  
large stresses  
jammed



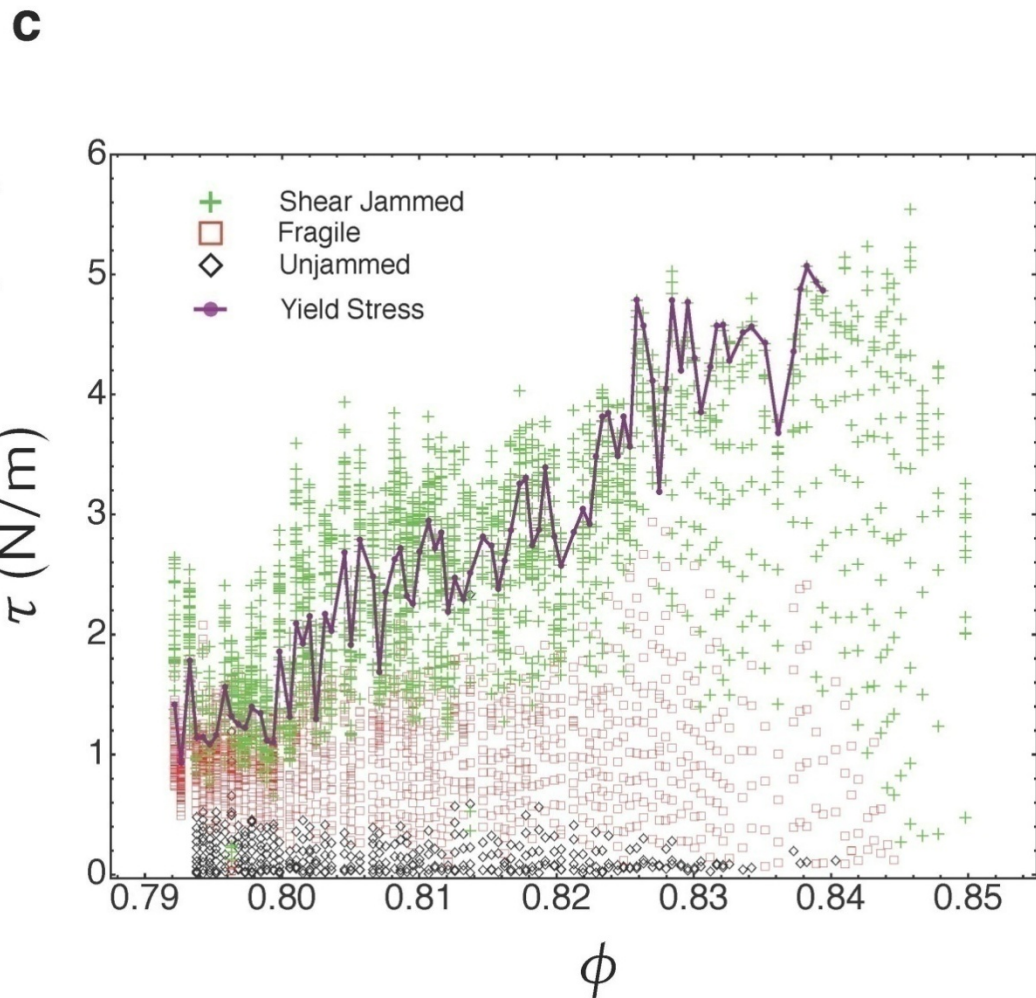
Does not fit frictionless jamming diagram  
(large-system limit)



# Some special properties of shear jammed states—start with Directional Percolation, Fragile and Shear-Jammed States (Bi et al. Nature, 2011)

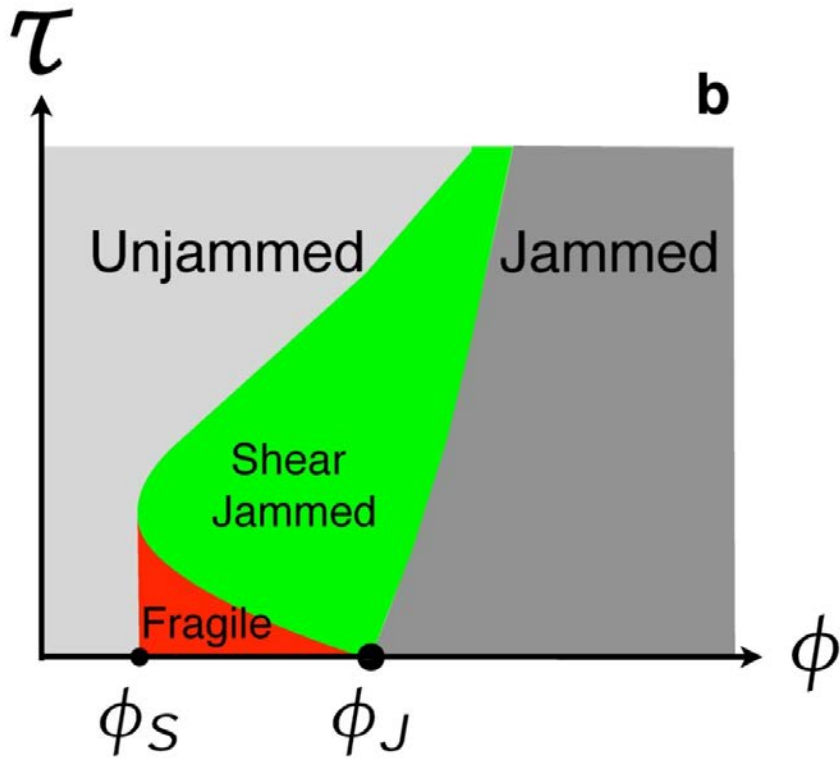


$f_{NR}$  = nonrattler fraction

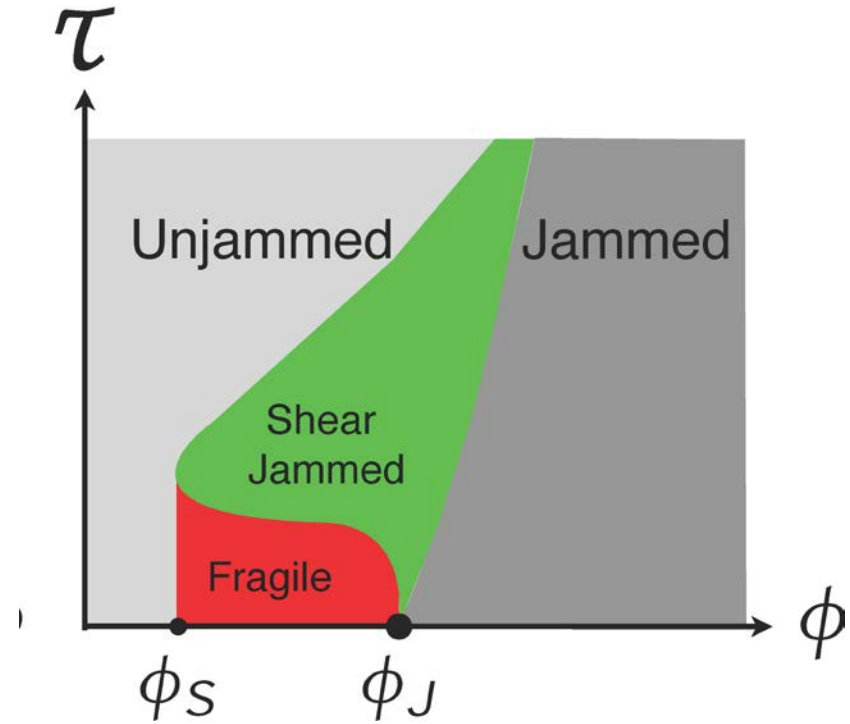


See Otsuki and Hayakawa, Phys. Rev. E **83**, 051301 (2011)

# Jamming diagram for frictional grains

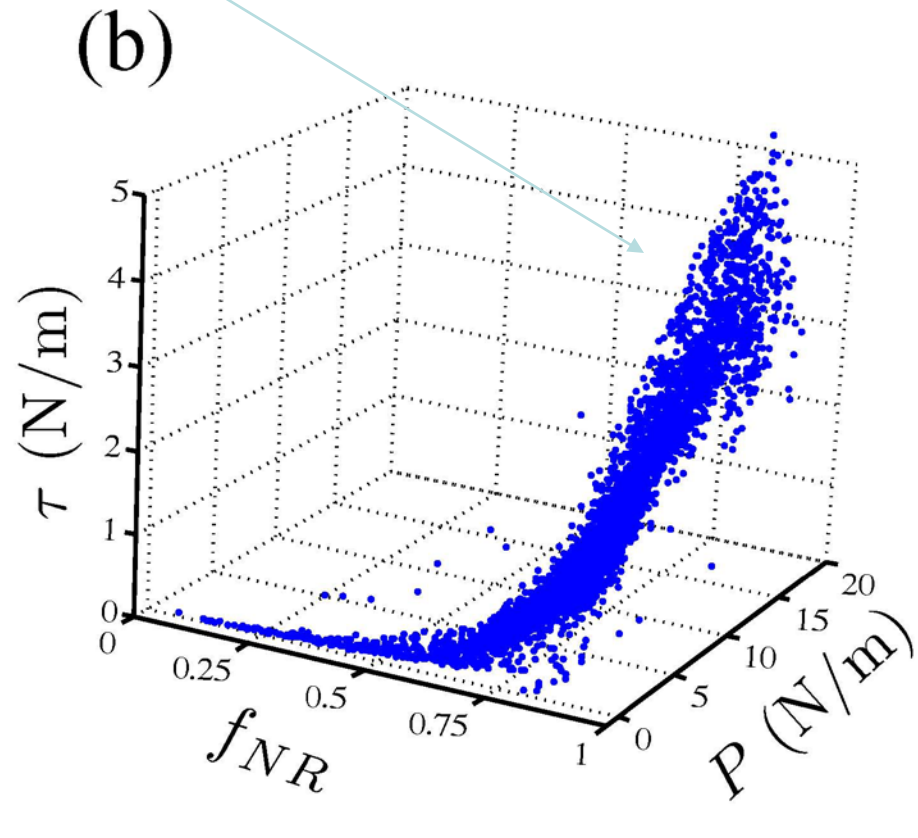
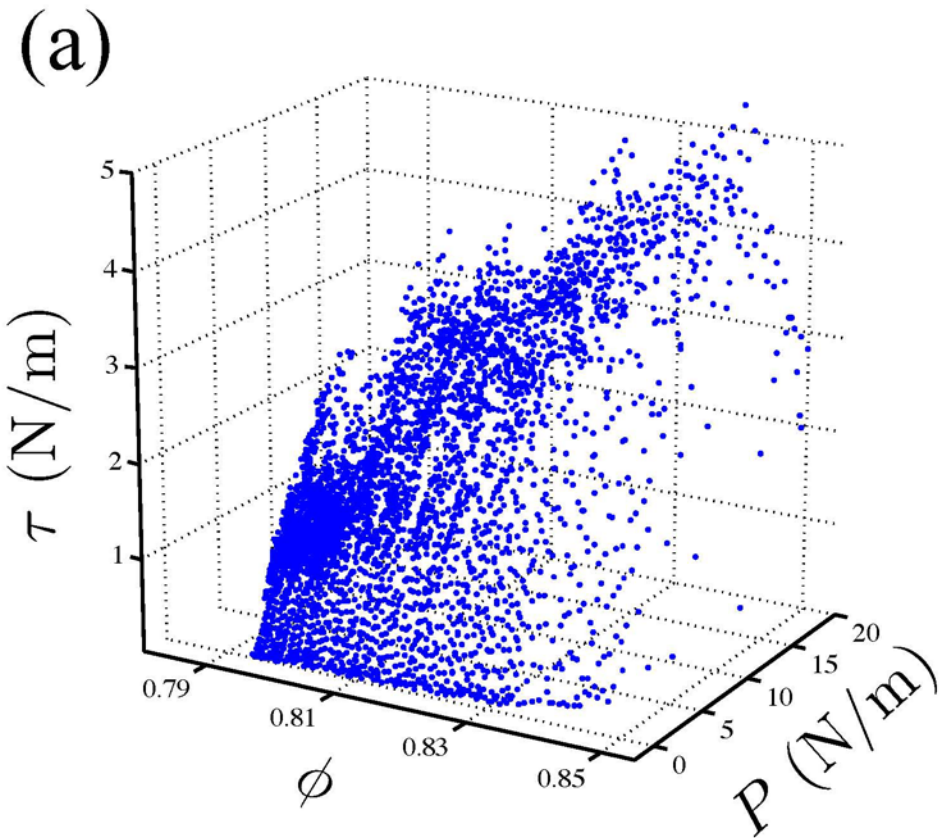


Original sketch, Bi et al. Nature 2011



More accurate representation

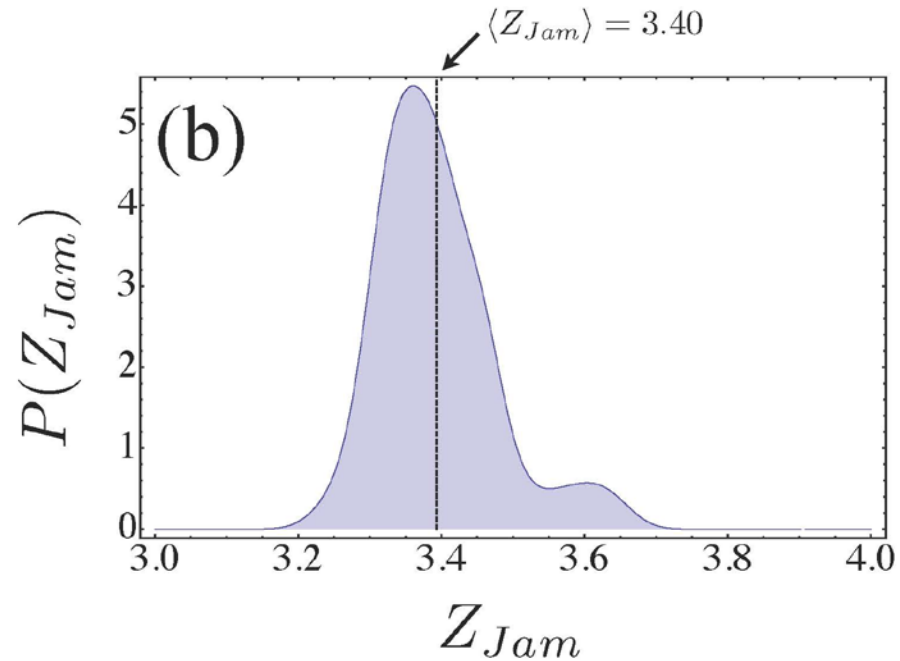
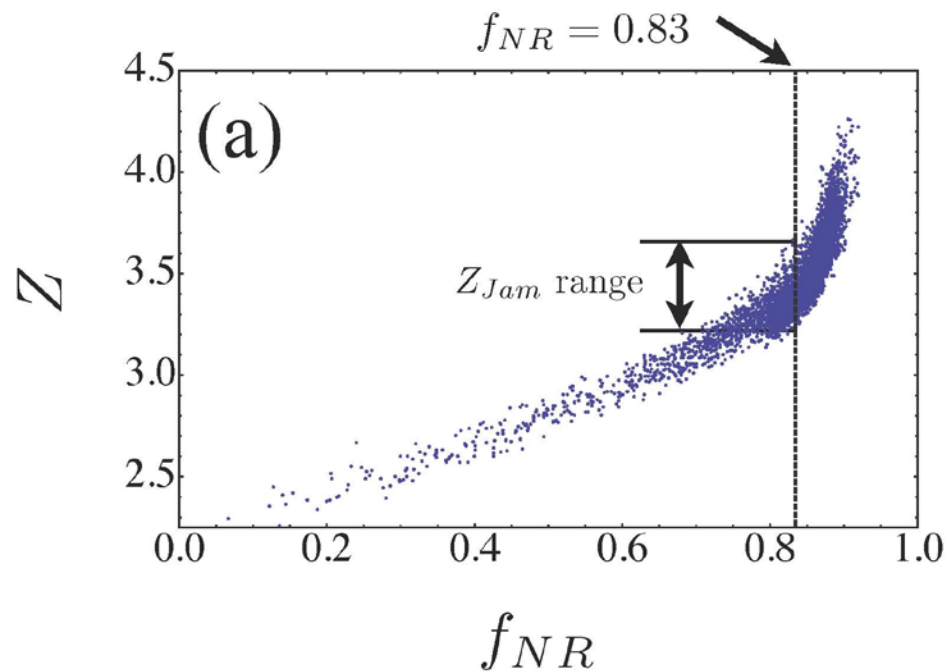
Other features of shear jamming  
Stresses vs. non-rattler fraction  $f_{NR}$   
Good collapse of ‘classical measures’



$f_{NR}$  = fraction of non-rattlers—a rattler  
has too few contacts to be mechanically stable

Ditto for contact network properties, e.g.  $Z$

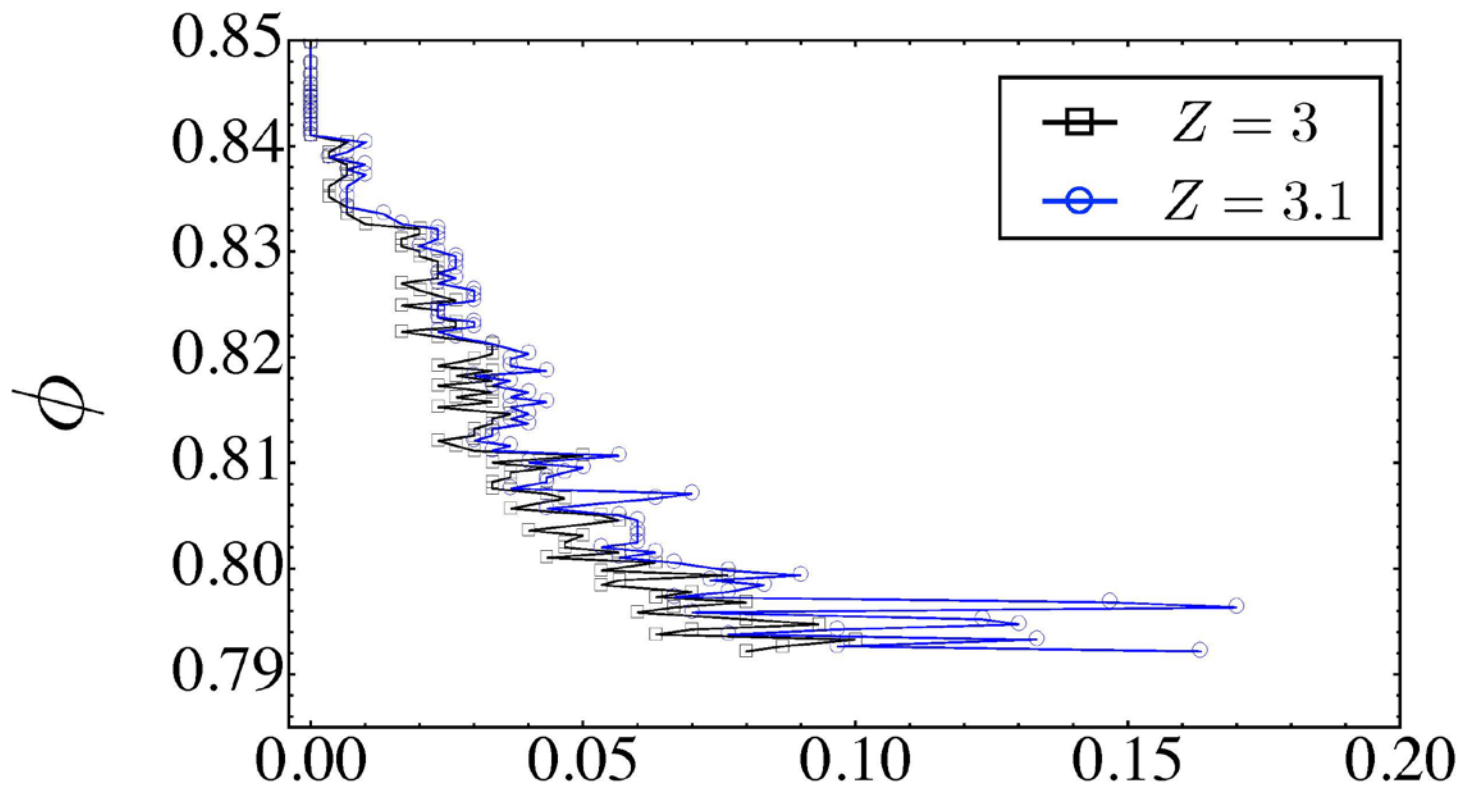
$Z$  is average number of contacts per particle



$f_{NR}$  = fraction of non-rattler particles  
non-rattlers need at least 2 contact

# Range of densities for which shear jamming can be achieved

Random dense



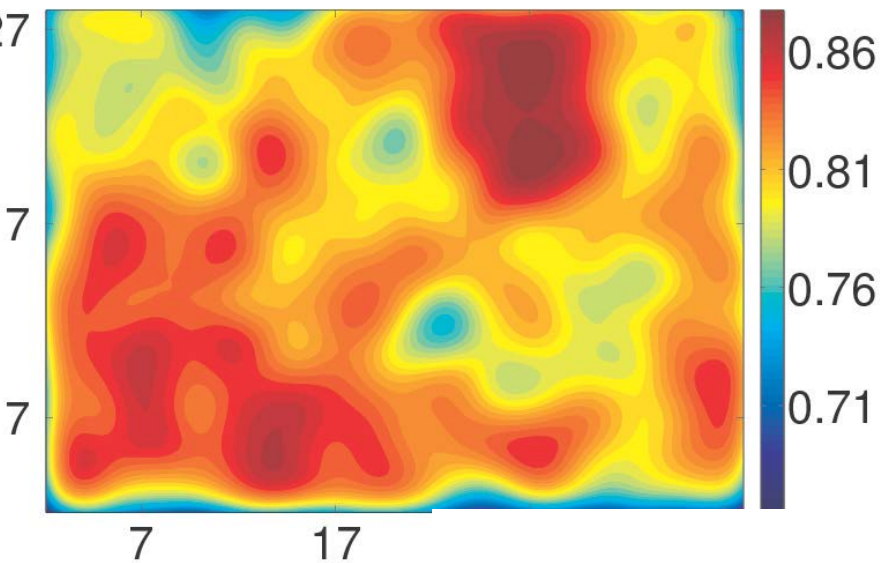
$$\gamma_J(\phi) = \min\{\gamma(Z \geq Z_{iso}^\infty; \phi)\}$$

Minimum strain to shear jam



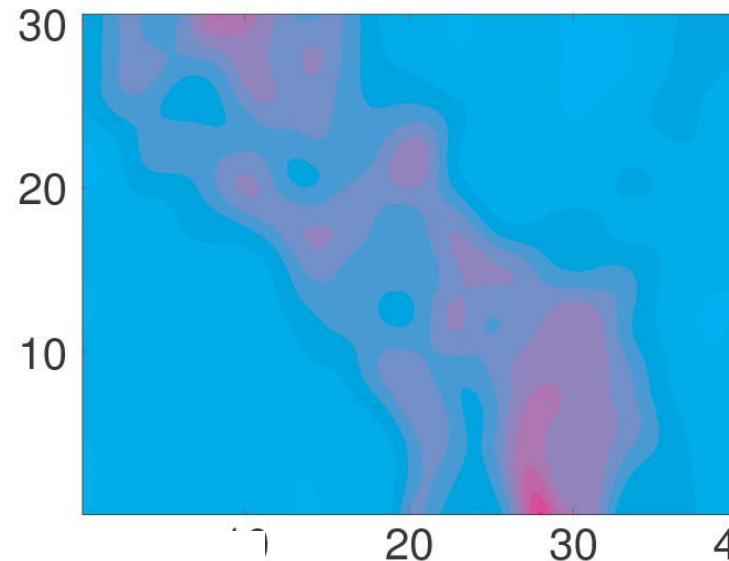
# Shear band forms: result of driving soft system from wall, base friction

Contour plots of coarse-grained local density and strain components,  
at a strain of  $\gamma=9.3\%$

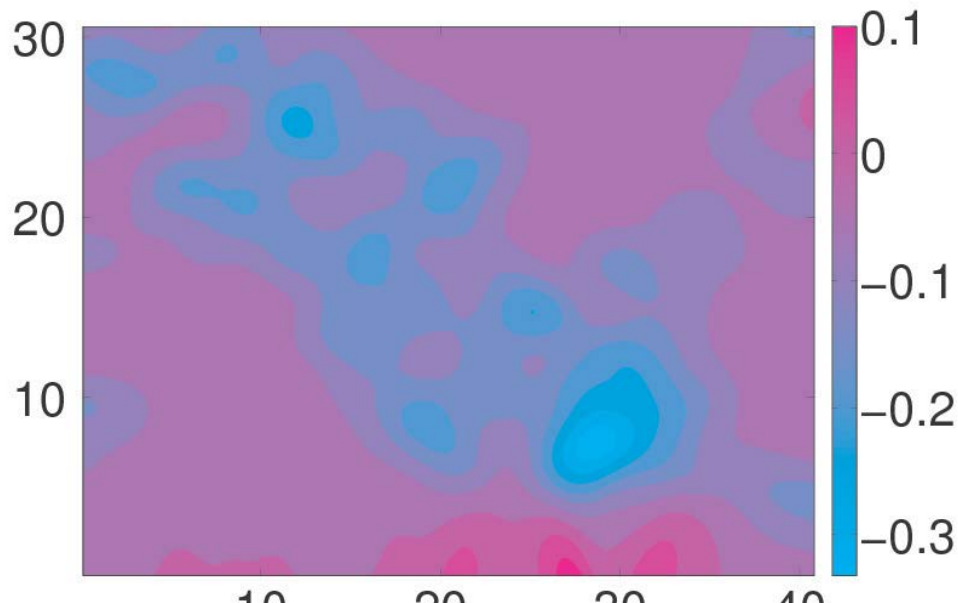


density

$\epsilon_{xx}$



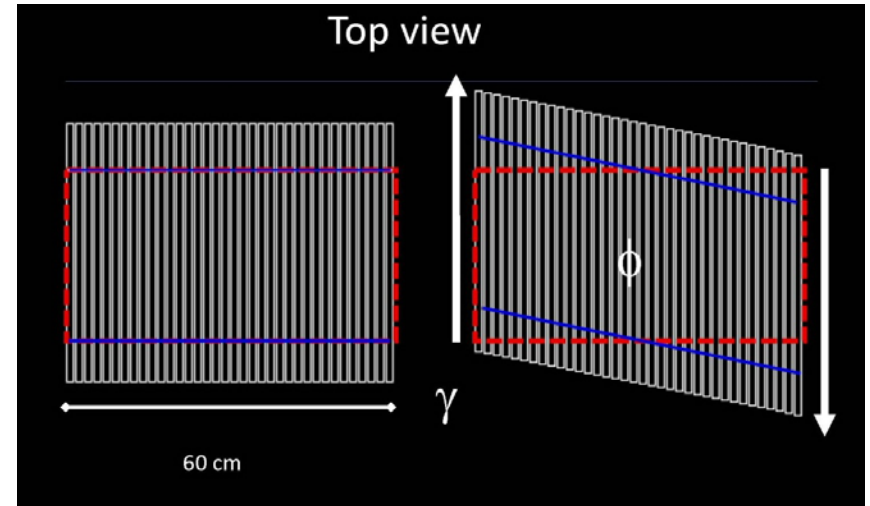
$\epsilon_{yy}$



Jie Zhang,  
I.Goldhirsch  
BB, Supp.Prog.  
Theor.Phys2010

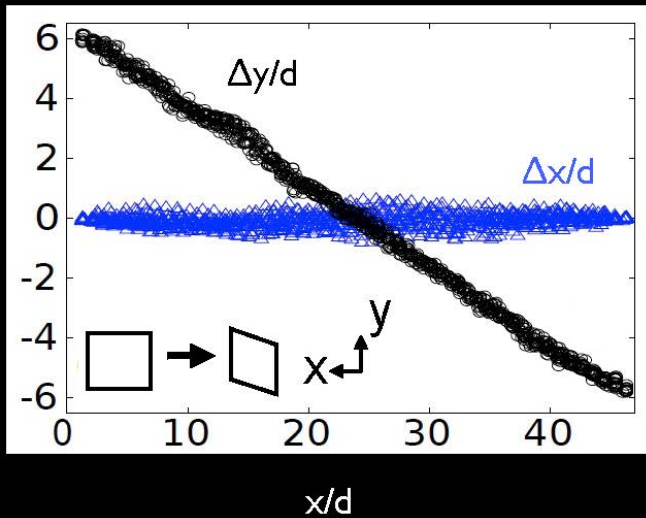
# 2<sup>nd</sup> apparatus: uniform simple shear throughout system

Joshua Dijksman, Jie Ren, Dong Wang  
BB, PRL 2013



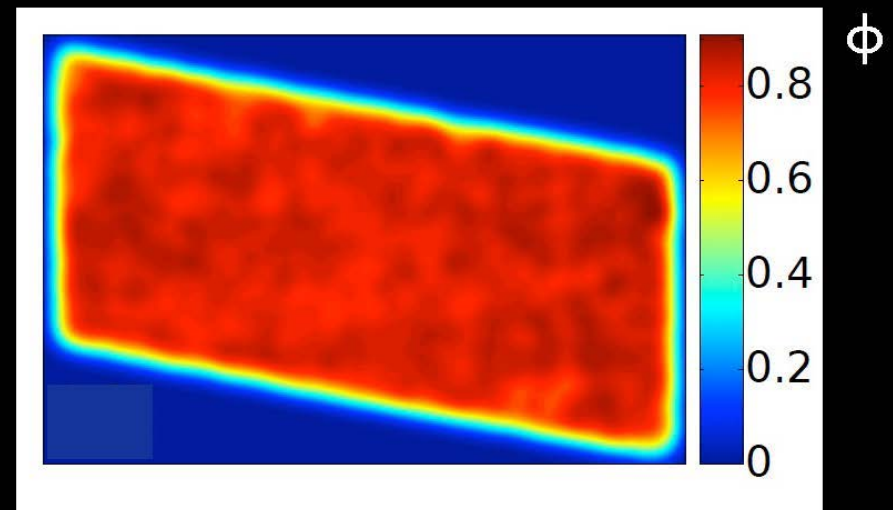
This new experimental approach supplies uniform shear—max strain  $\sim \gamma = 0.5$

Particle displacements after shear

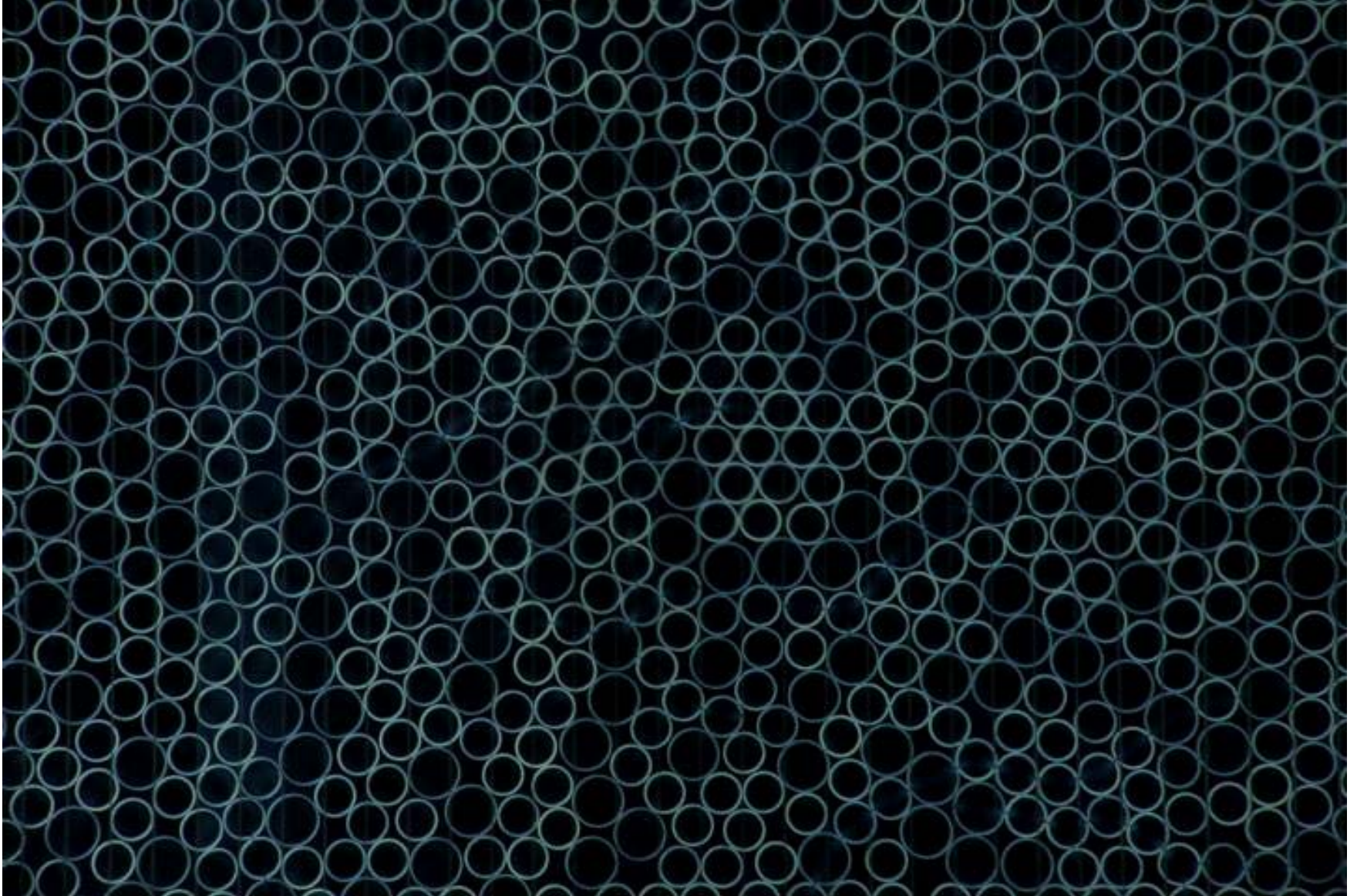


Bottom slats suppress inhomogeneities

Local packing fraction fluctuations are random



Shear-Jamming—clean experiment, constant  $\phi$ —states well characterized



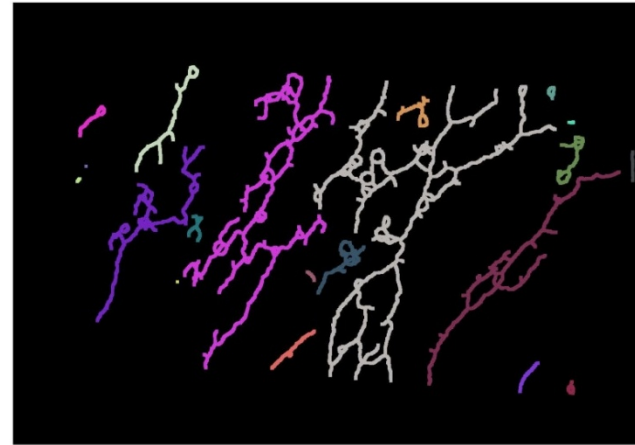
# Networks are key to shear jamming

Increasing shear strain—first unidirectional, then all-directional percolation of strong force network (e.g. Cates et al. PRL 1998)

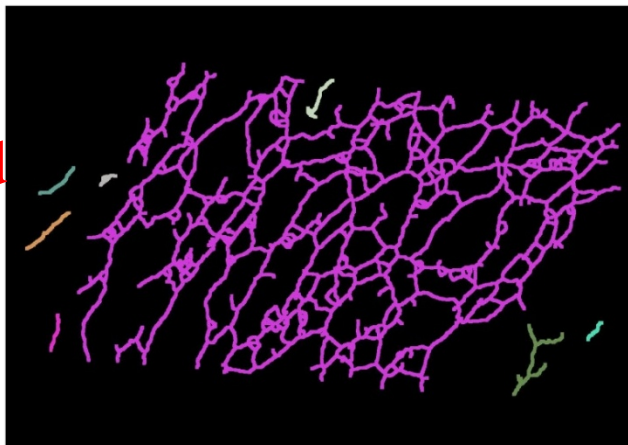
The force chains look differently at different stages of linear shear:



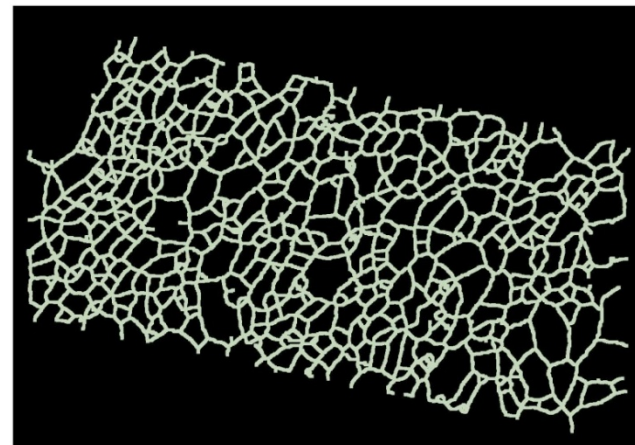
1. minimal force, unjammed



2. more force, multiple clusters; fragile



3. percolating cluster, onset of jamming



4. one large cluster, jammed

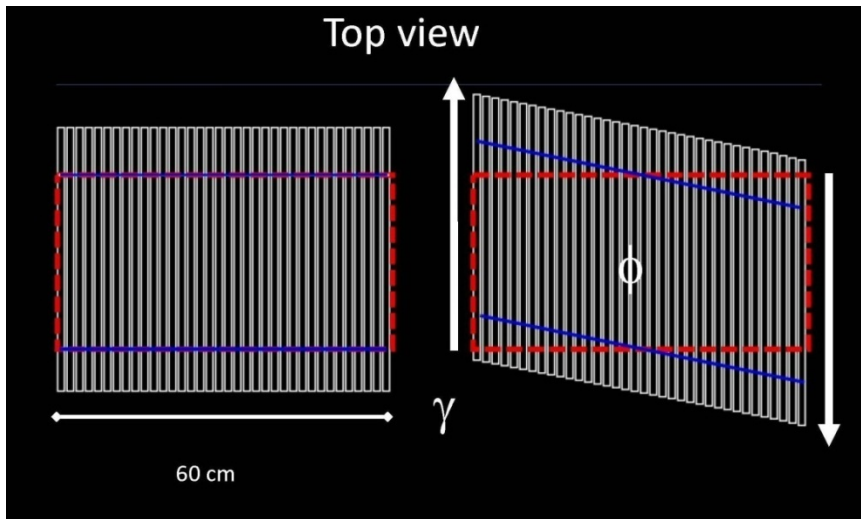
Unjammed  
not  
fragile

Fragile

Shear  
Jammed

Evolves  
towards  
more  
isotropic

# Nonlinear stress vs. strain below $\phi_J$

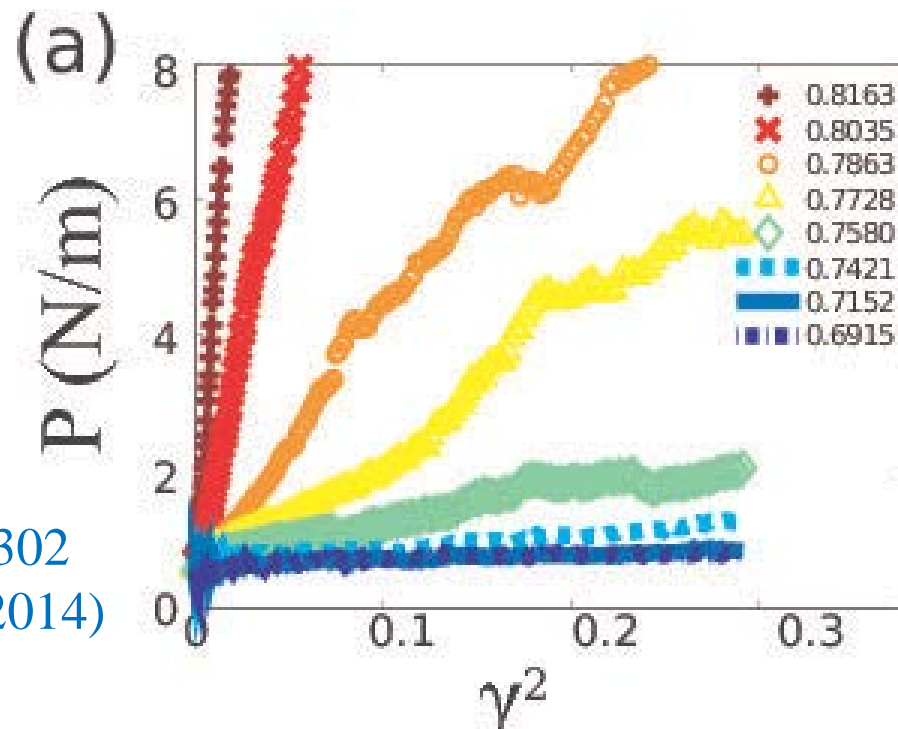


We introduce Reynolds coefficient

$$P \approx R\gamma^2$$

Manifestation of Reynolds dilatancy in fixed volume

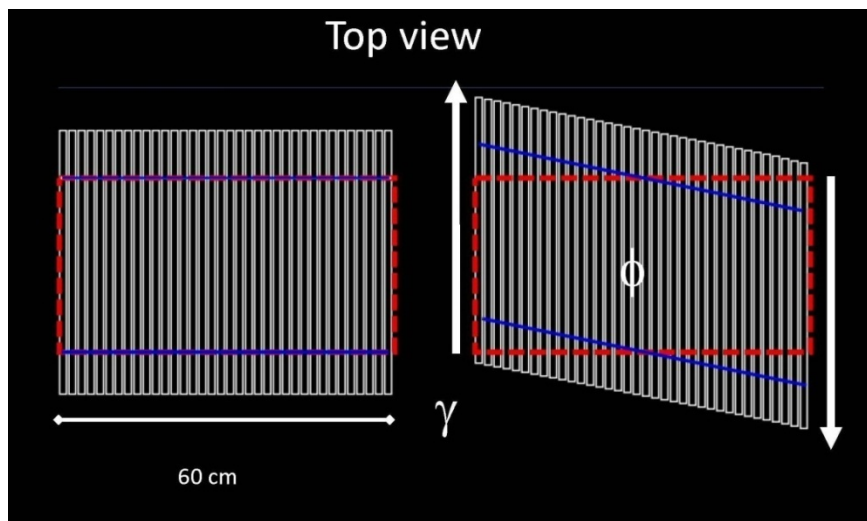
Define Reynolds coefficient, R



Tighe, Gran.  
Matter (2014)

Ren et al. PRL 110, 018302  
(2013), Hu et al. EPL (2014)

# Shear jamming dynamics below $\phi_J$

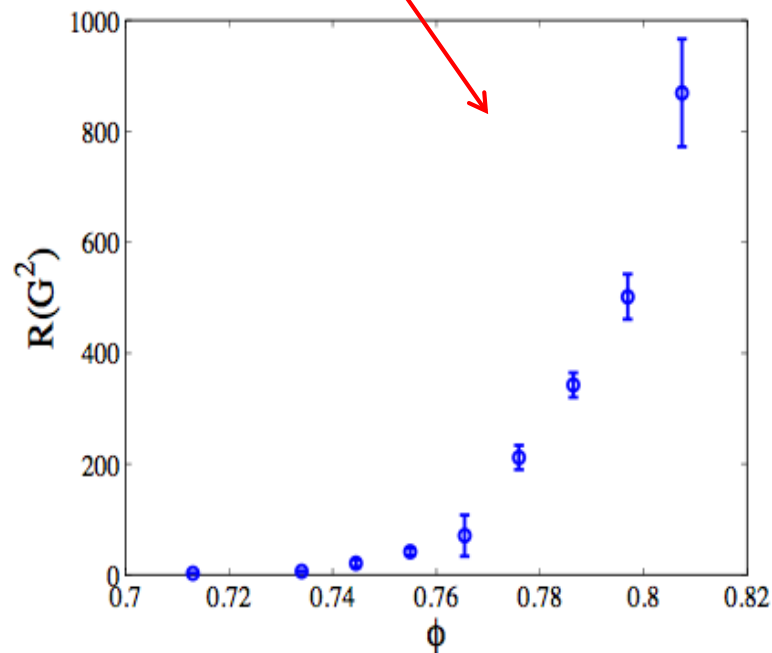
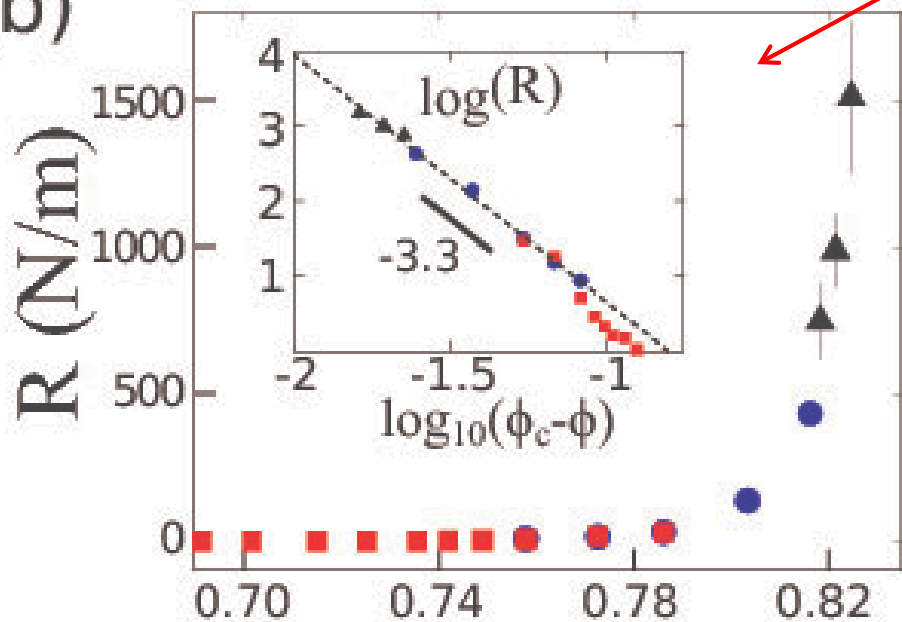


Introduce Reynolds coefficient,  $R$

$$P \approx R\gamma^2$$

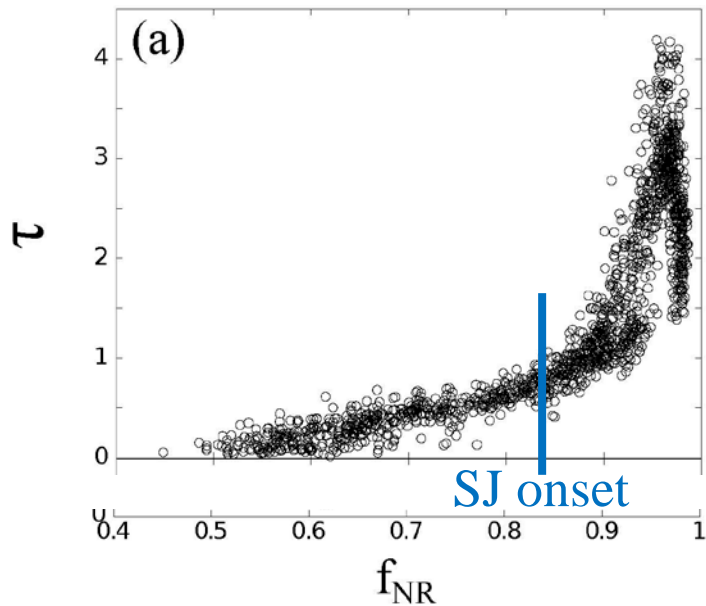
$$\mu = 0.65 \quad \mu \gg 1$$

(b)



$$R \sim (\phi_J - \phi)^3$$

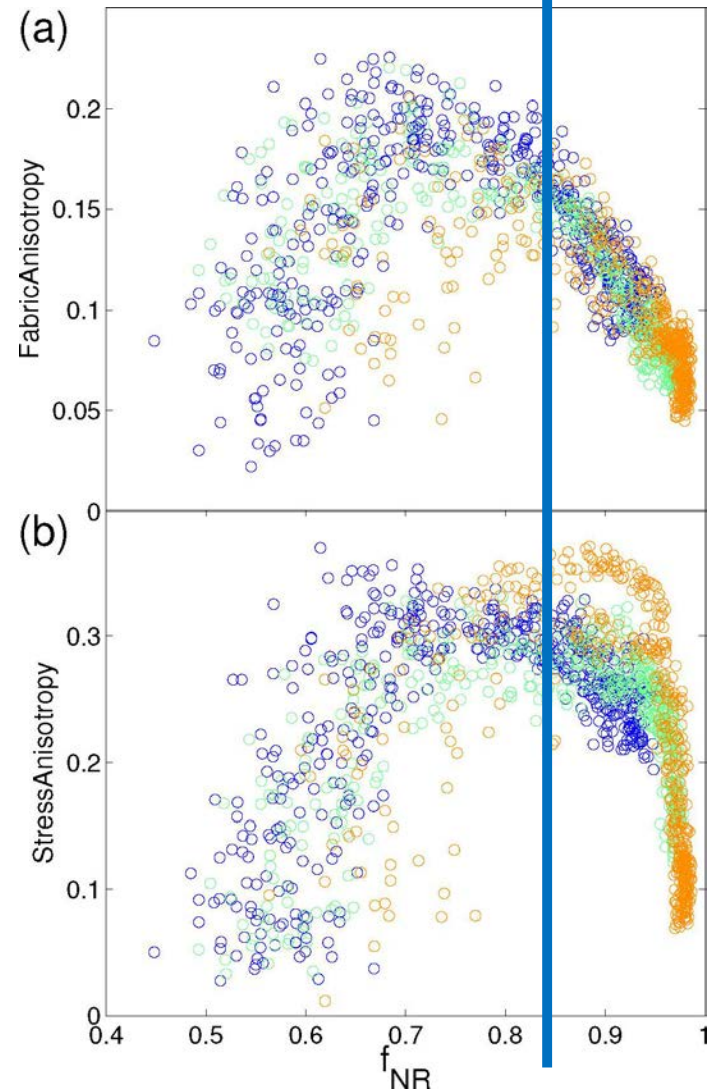
# Stress and fabric anisotropy



$$SA = (\sigma_2 - \sigma_1) / (\sigma_2 + \sigma_1) = \tau / P$$

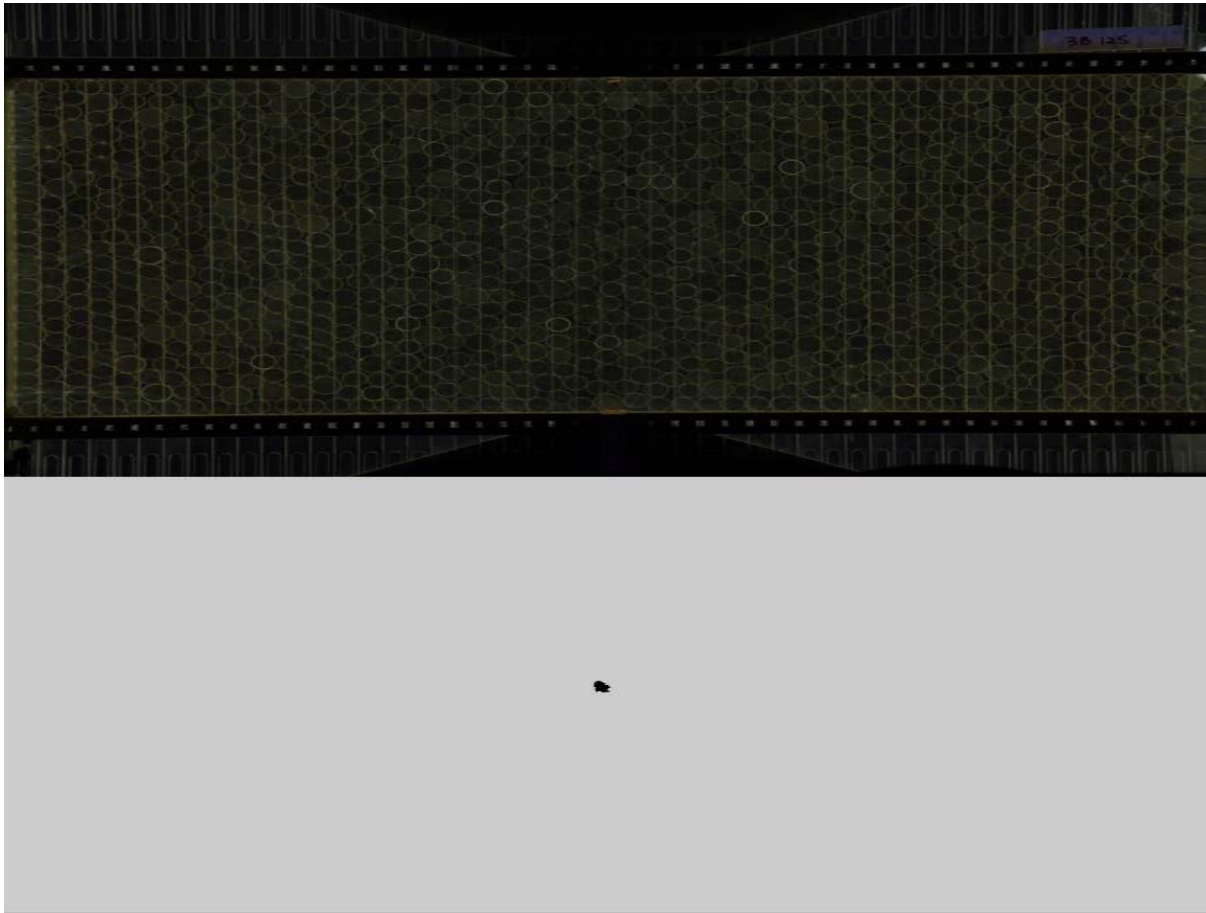
FA defined similarly

Anisotropy measures peak  
Then drop with increasing  $\gamma$

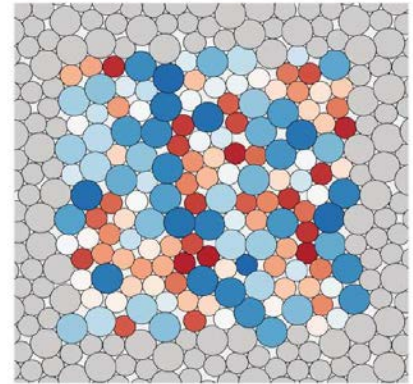




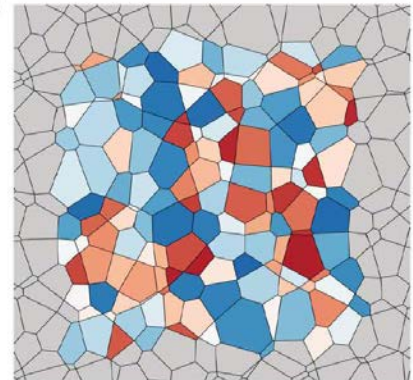
# Ordering in a space of force tiles



(a)



(f)

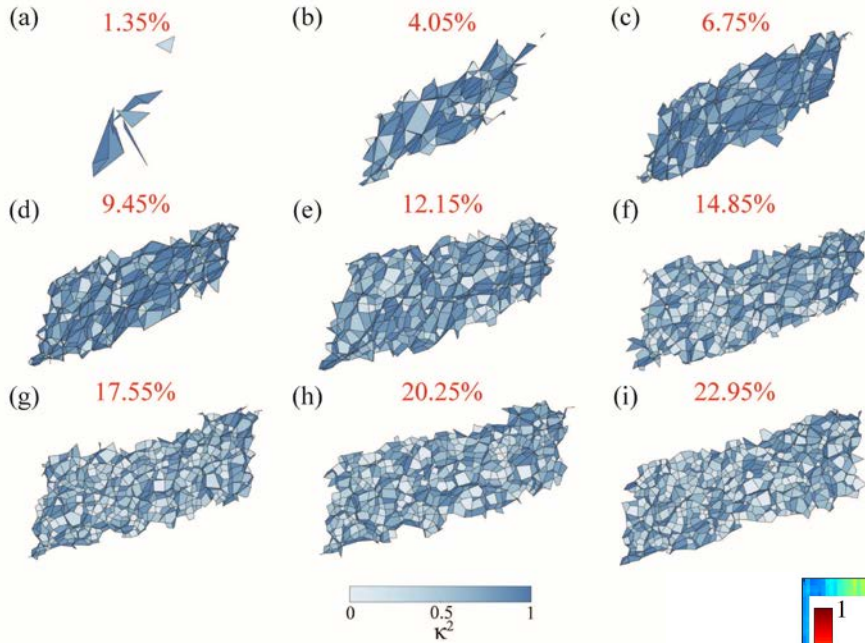


1) For one tile: align contact forces for particle  $i$   
head to tail—force balance  $\rightarrow$  closed polygon

2) Repeat for all particles—contacting particles share common edge

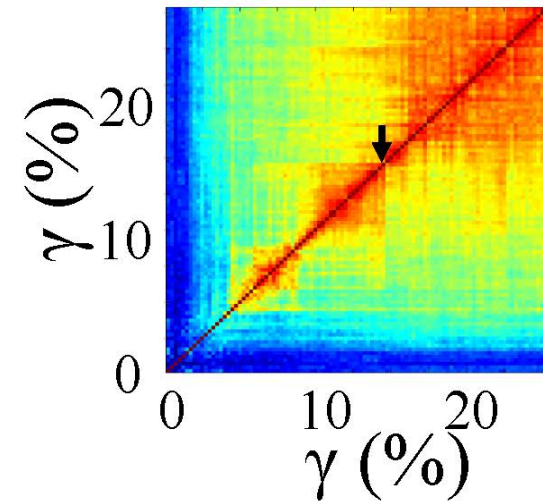
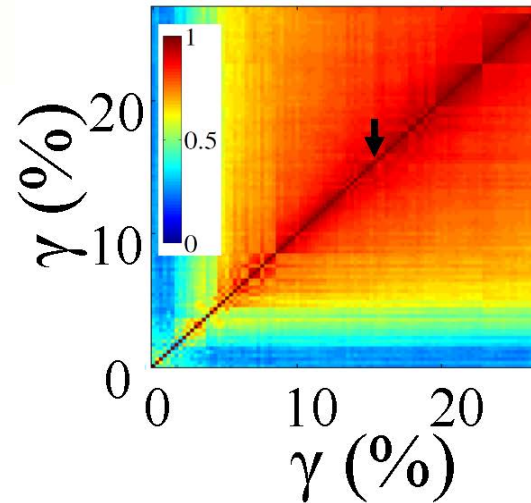
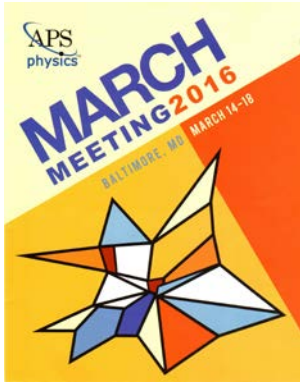
3) Polygons are space-filling in a space of forces

# Ordering in a space of force tiles



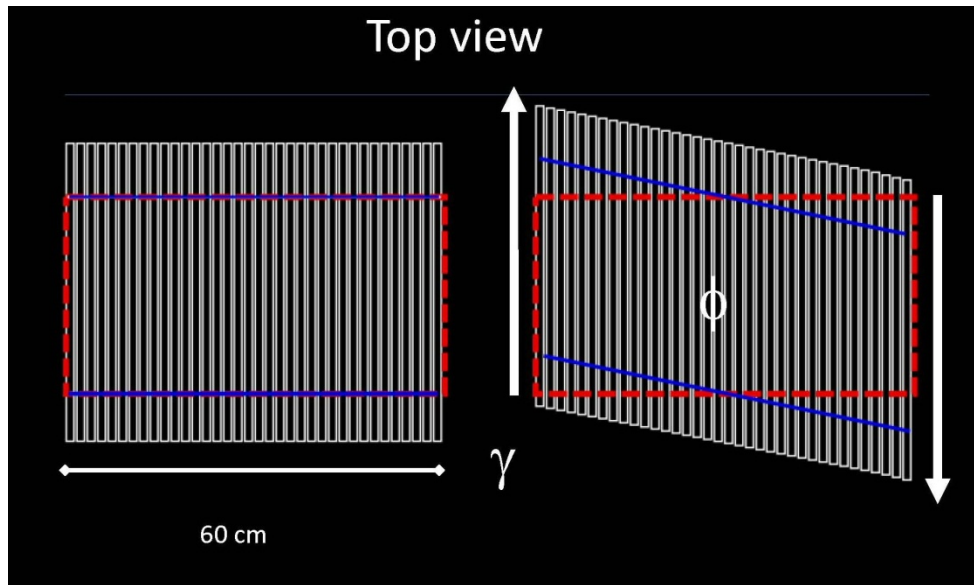
Evolution of force tiles under shear

Overlaps: position space—no ordering  
force tile space shows ordering at  
 $\gamma \approx 12\%$



S.Sarkar, D.Bi, J. Zhang, J.Ren, BB,  
B.Chakraborty, PRE 2016

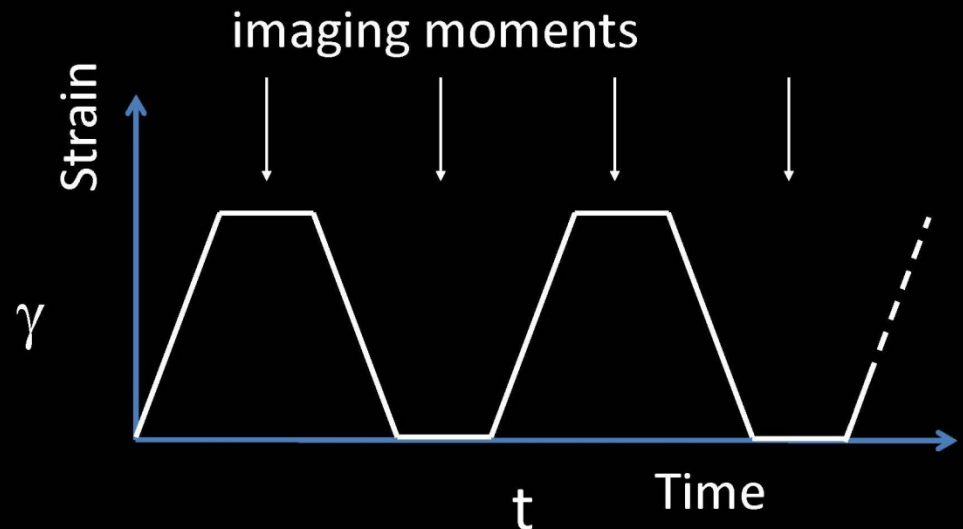
# Memory forms and evolves under cyclic shear



Granular analogue of dense suspension experiment

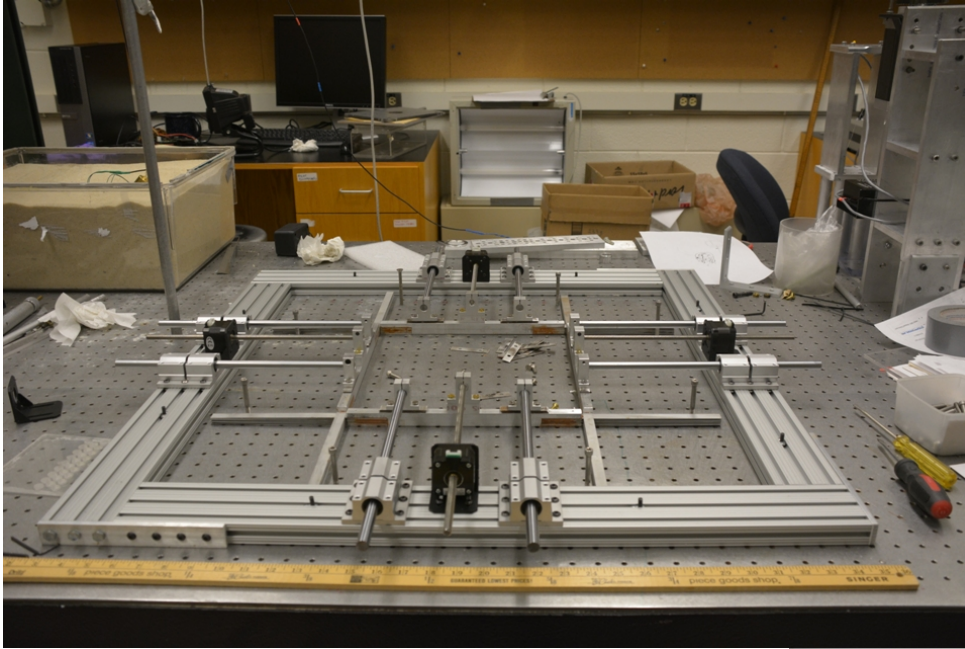
Example below is asymmetric shear

Also: symmetric cyclic shear



$\gamma \sim 0.5 - 10\%$   $t = 100-500$  cycles

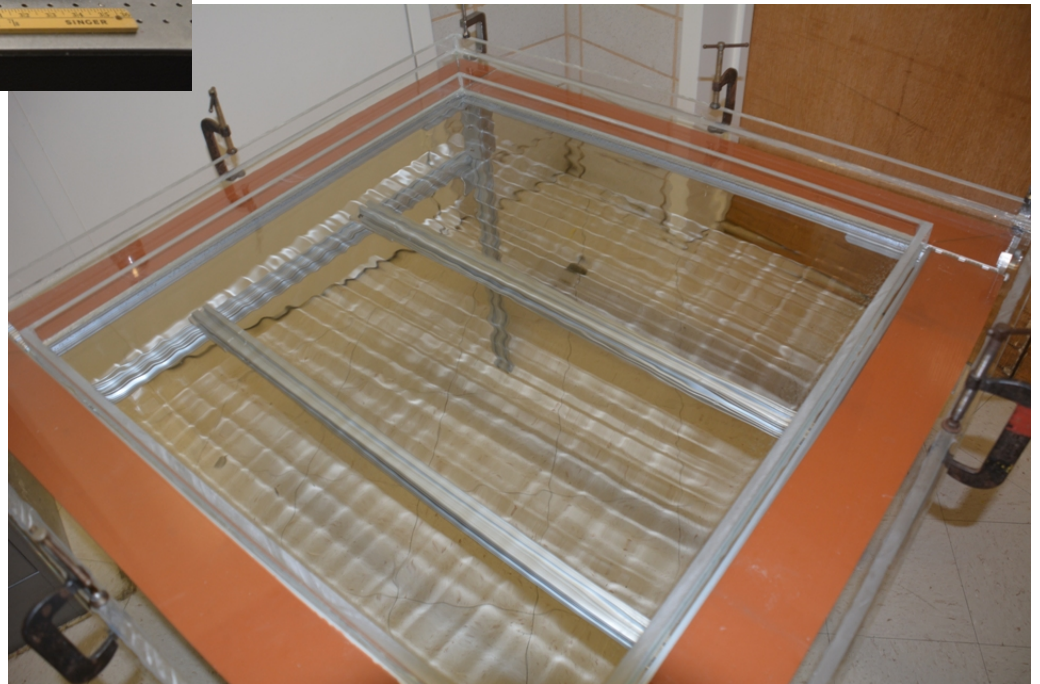
# Very new experiments



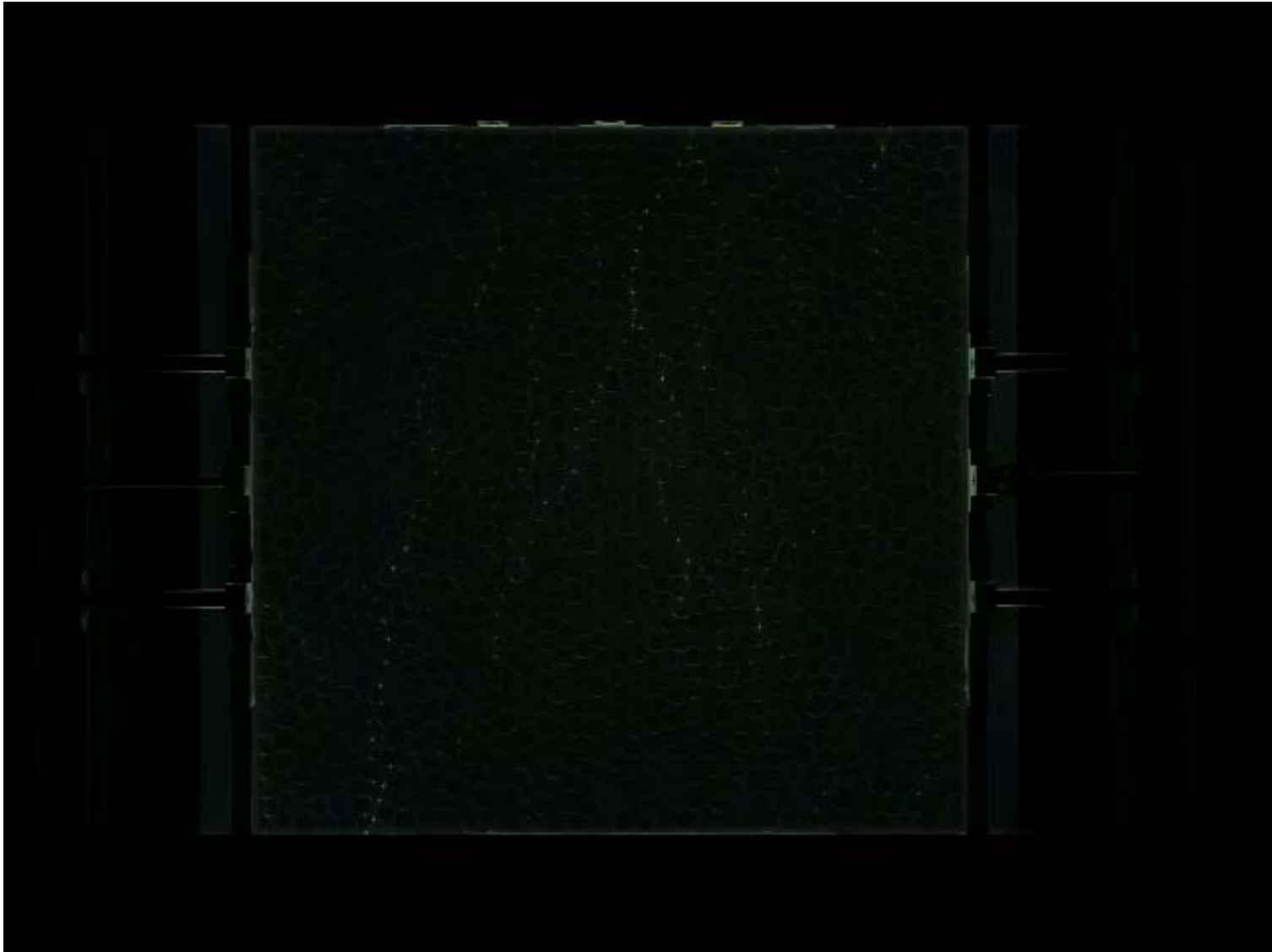
Special biaxial apparatus:  
particles float,  
four walls move independently

Hu Zheng, Dong Wang  
Meimei Wang, David Chen

See also Zheng et al. EPL 2014



How important is friction with the base?  
Remove it by floating grains—Pure Shear



Hu Zheng, Dong Wang, Cacey Stevens, David Chen

Alternative protocol: compress to just above jamming,  
then shear (floating grains)

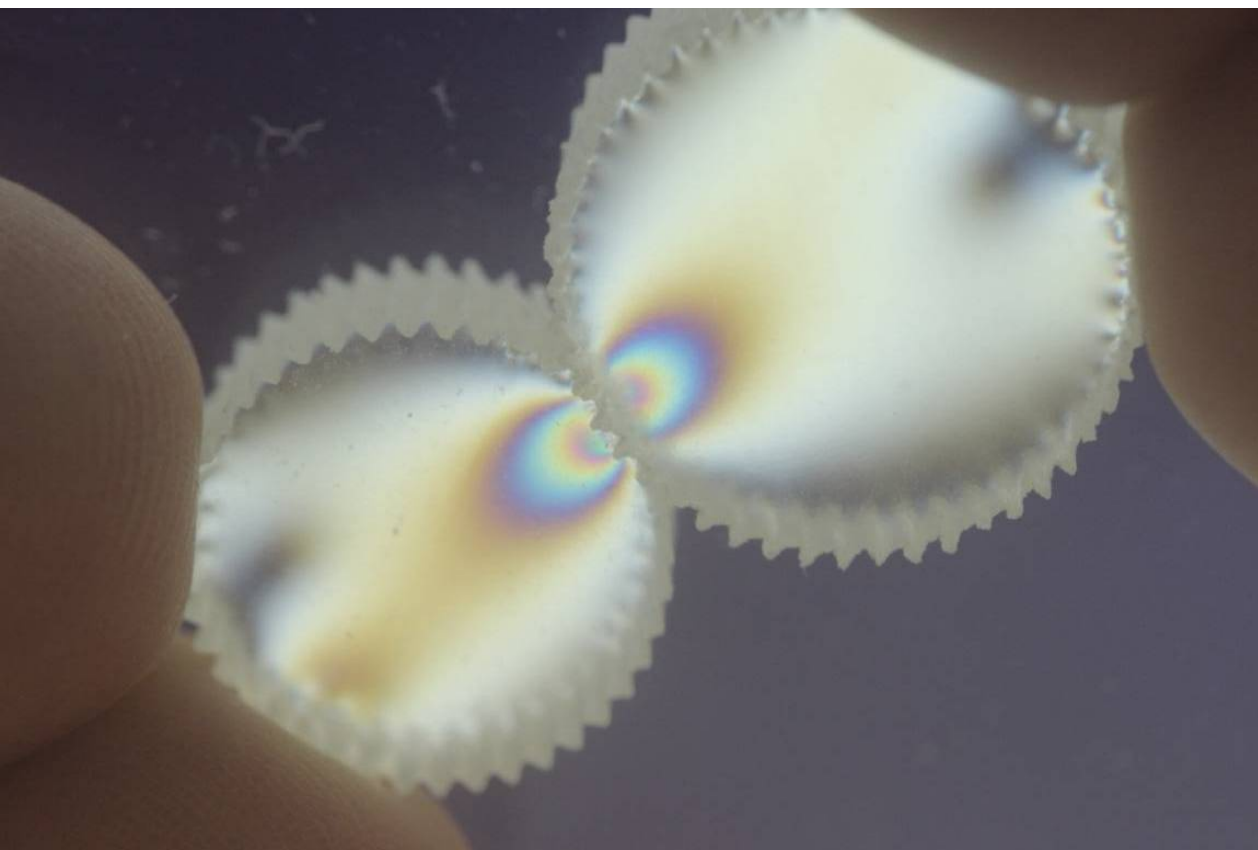
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Cumulated strain

Hu Zheng, Cacey Stevens-Bester, Dong Wang, David Chen

Changing friction: higher (lower)  $\mu$  gives lower (higher)  $\phi_s$

$\mu \gg 1$



Make gear particles with very high  $\mu$

Wrap particles with Teflon for low  $\mu$

# Compare effect of friction (Dong Wang, Jie Ren, Jonathan Barés, BB)

$\mu \gg 1$

$\mu = 0.65$

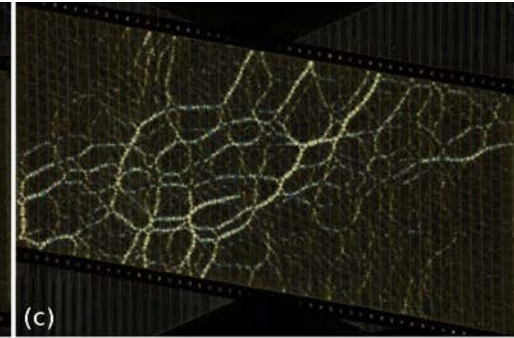
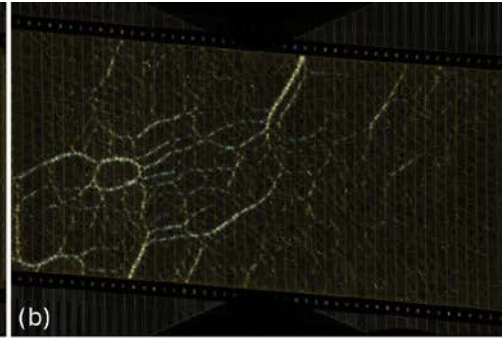
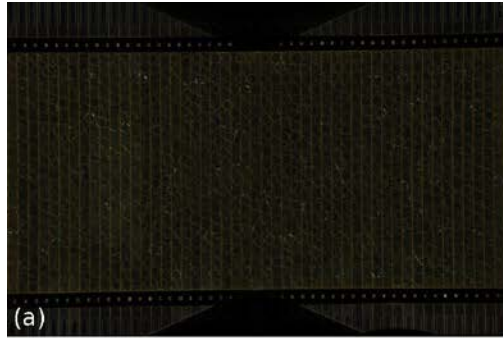
$\mu = 0.15$



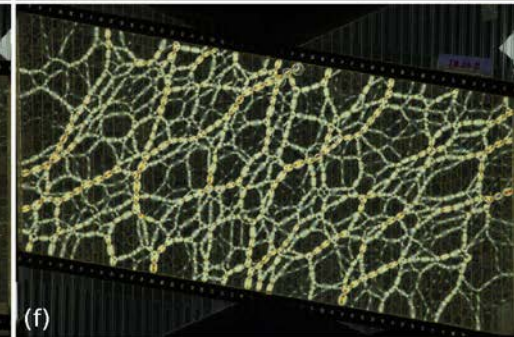
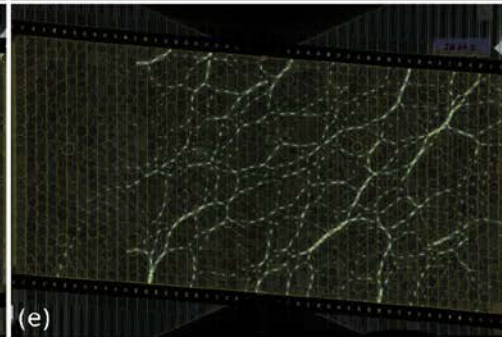
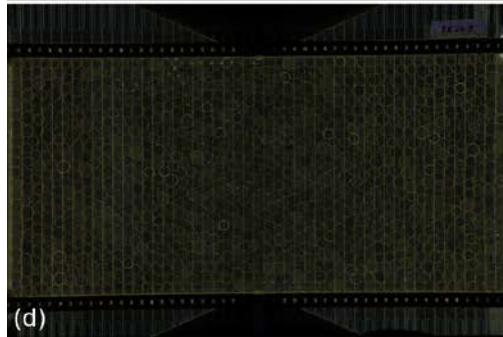


# Effect of friction (Dong Wang, Jie Ren, Jonathan Barés, BB)

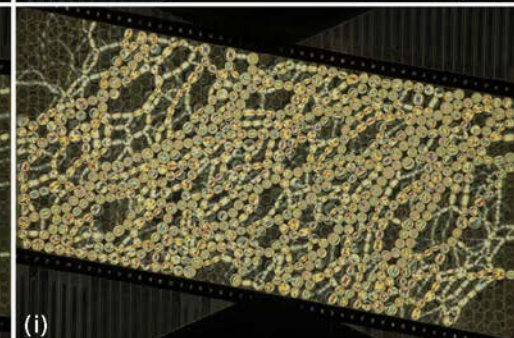
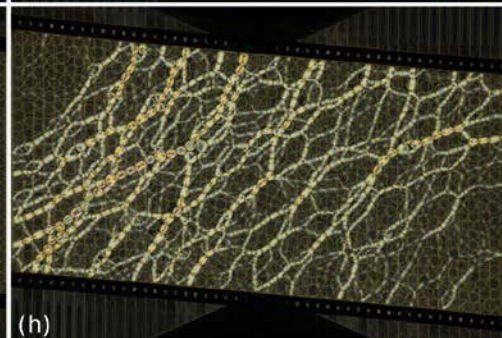
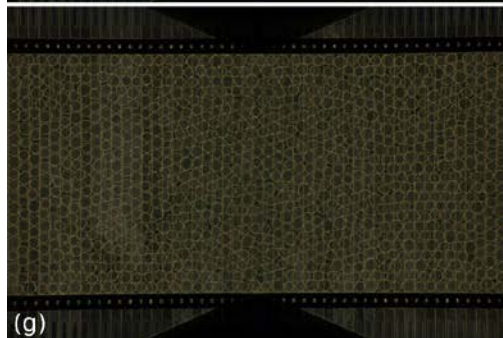
$\mu = 0.15$



$\mu = 0.65$



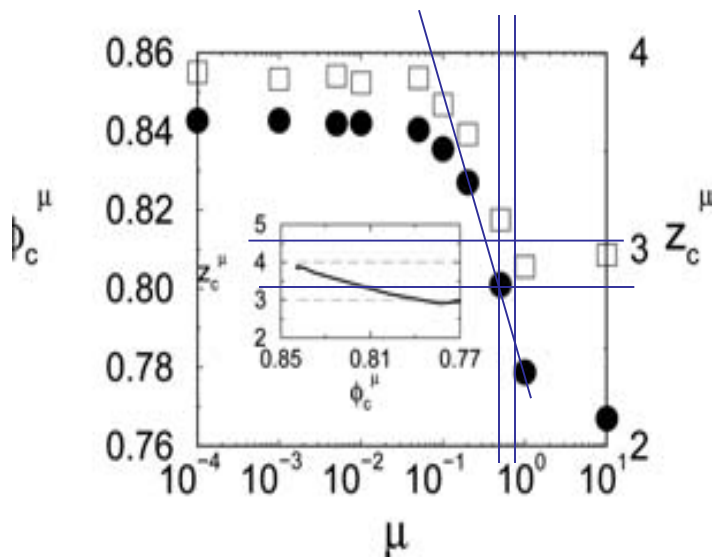
$\mu \gg 1$



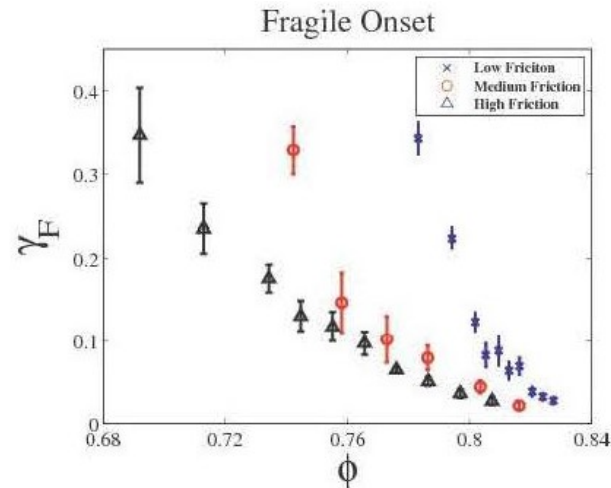
Increasing strain,  $\gamma \rightarrow$

# Higher (lower) $\mu$ gives lower (higher) $\phi_S$

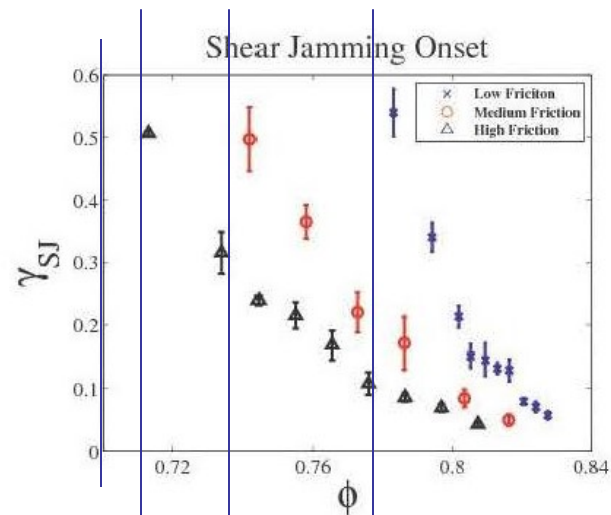
Simulations by Silbert  
Soft Matter 2010-isotropic  
jamming



For  $\mu \gg 1$ ,  $\phi_S \approx 0.70$   
For  $\mu = 0.65$ ,  $\phi \approx 0.74$   
For  $\mu = 0.15$ ,  $\phi \approx 0.78$

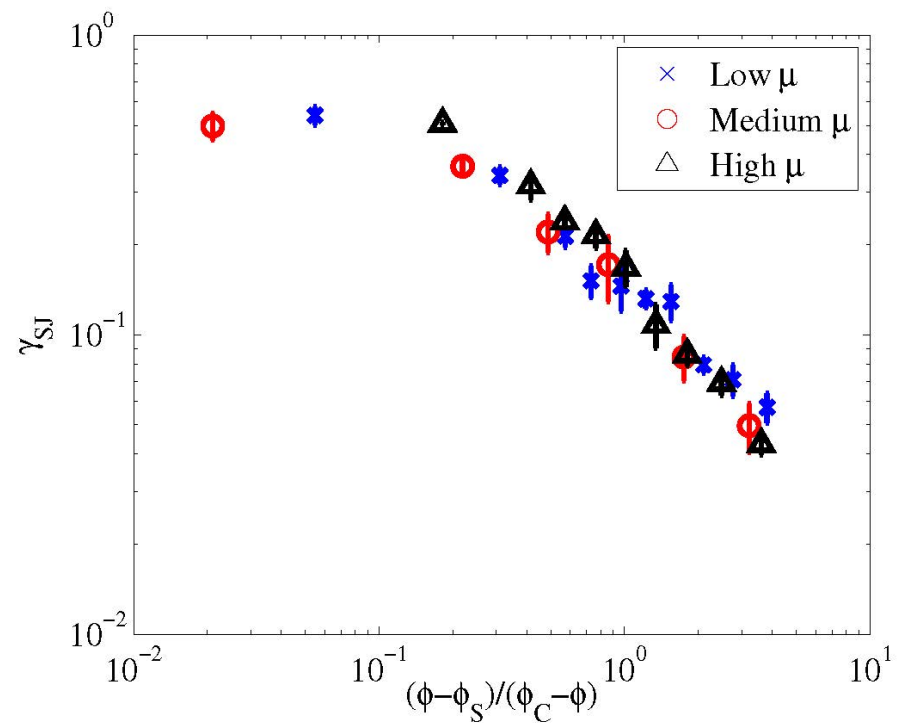
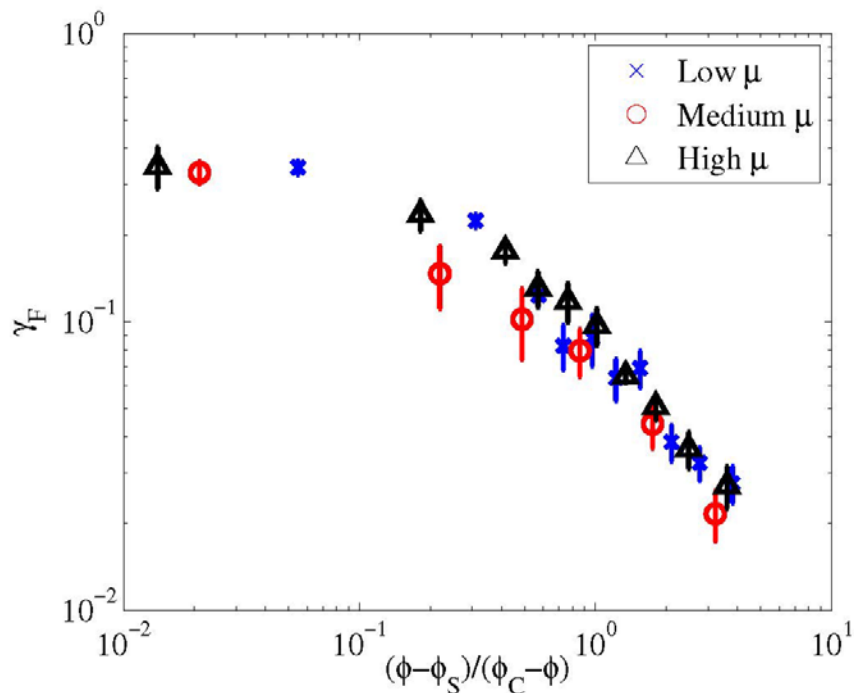


Fragile onset



Shear  
jamming  
onset

# Large strains—shear jamming limit, and Yield Stress Curve (YSC)



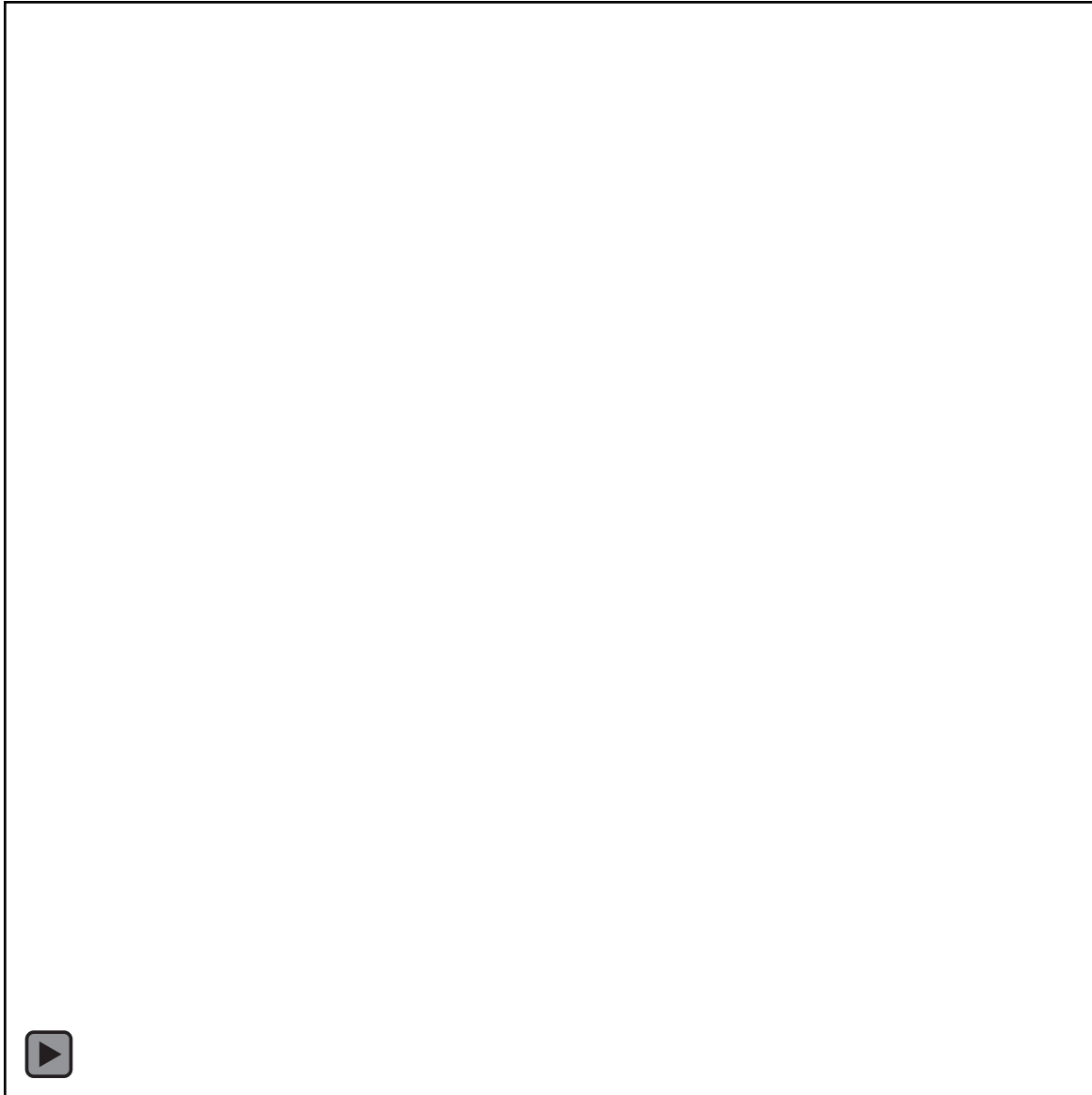
Exponents  $\approx 0.8$

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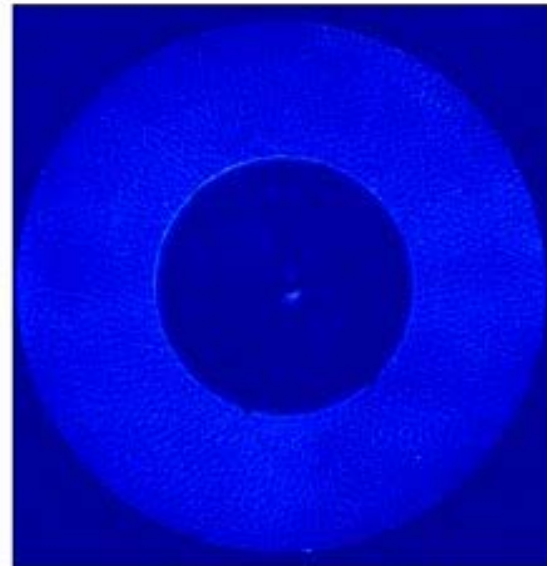
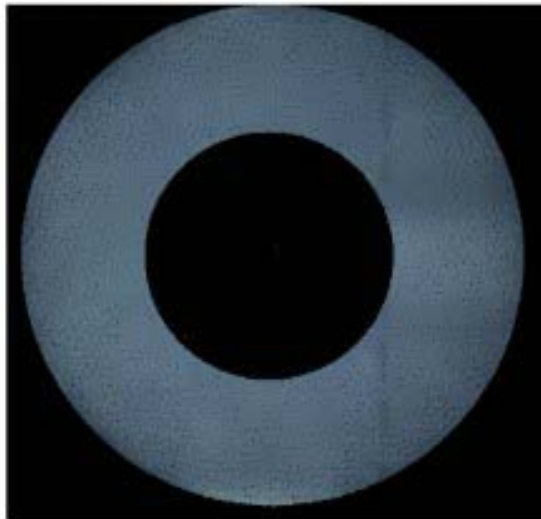
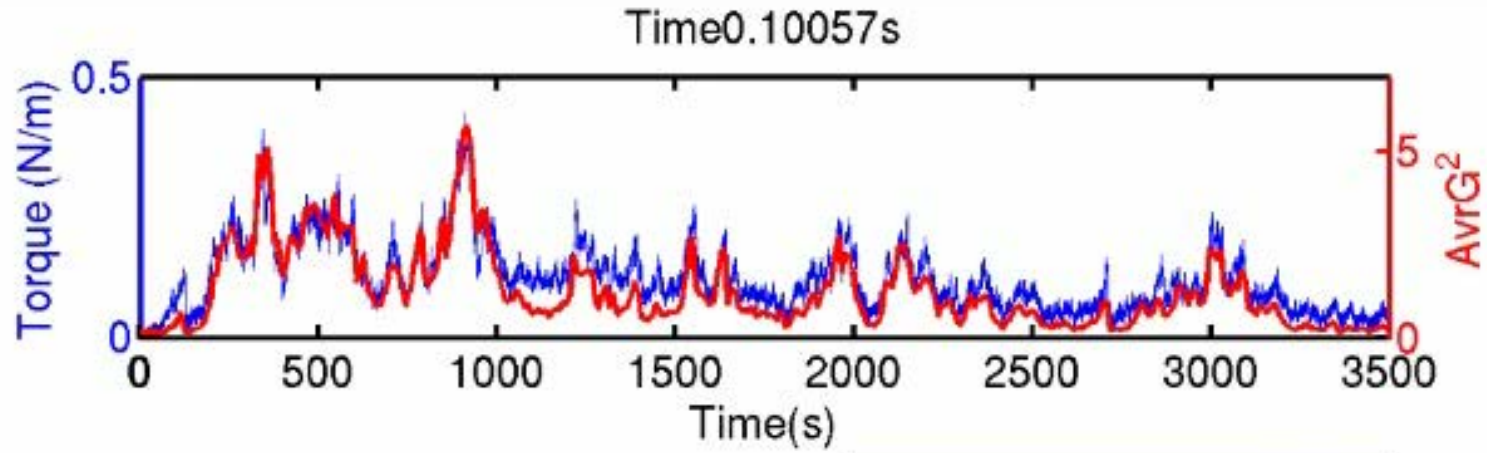
Large strains—shear jamming limit, and Yield Stress Curve  
(YSC) Work in Progress

# Understanding origin of networks—removing base friction

## Particles float



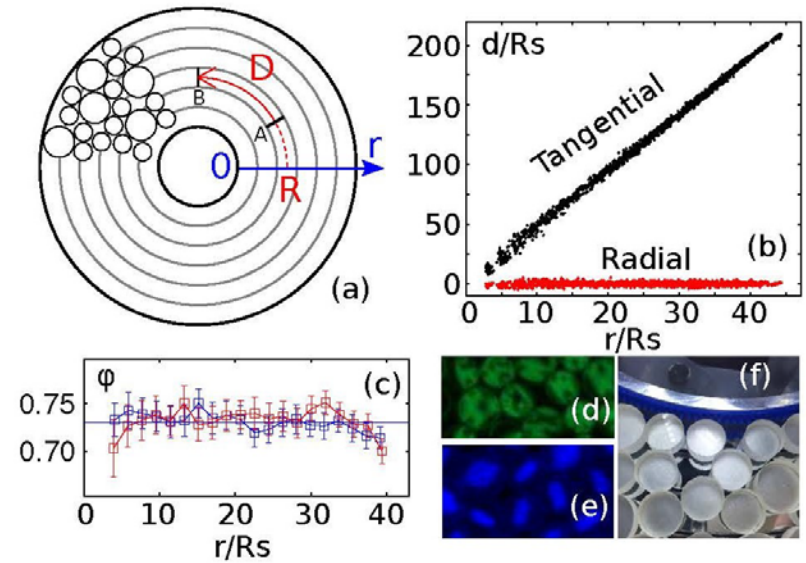
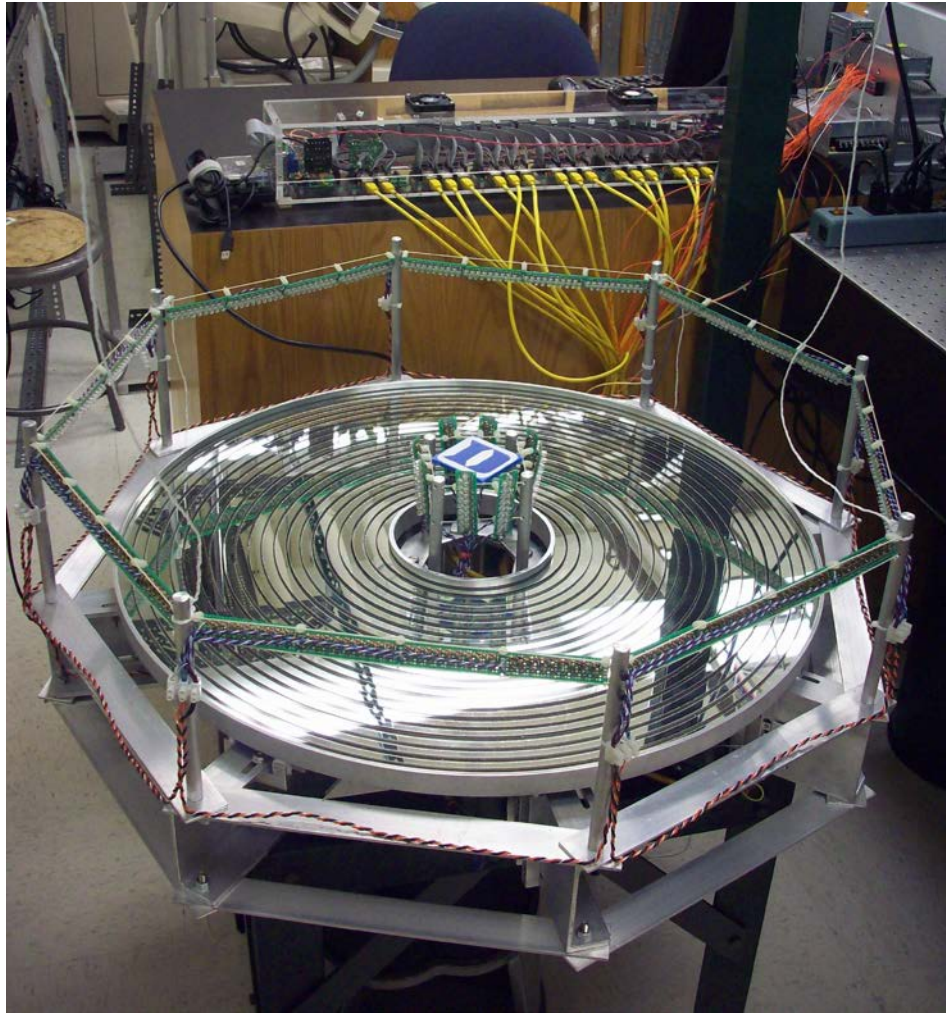
# Understanding origin of networks—removing base friction



# Shear induces net outflow of grains from inner shearing surface

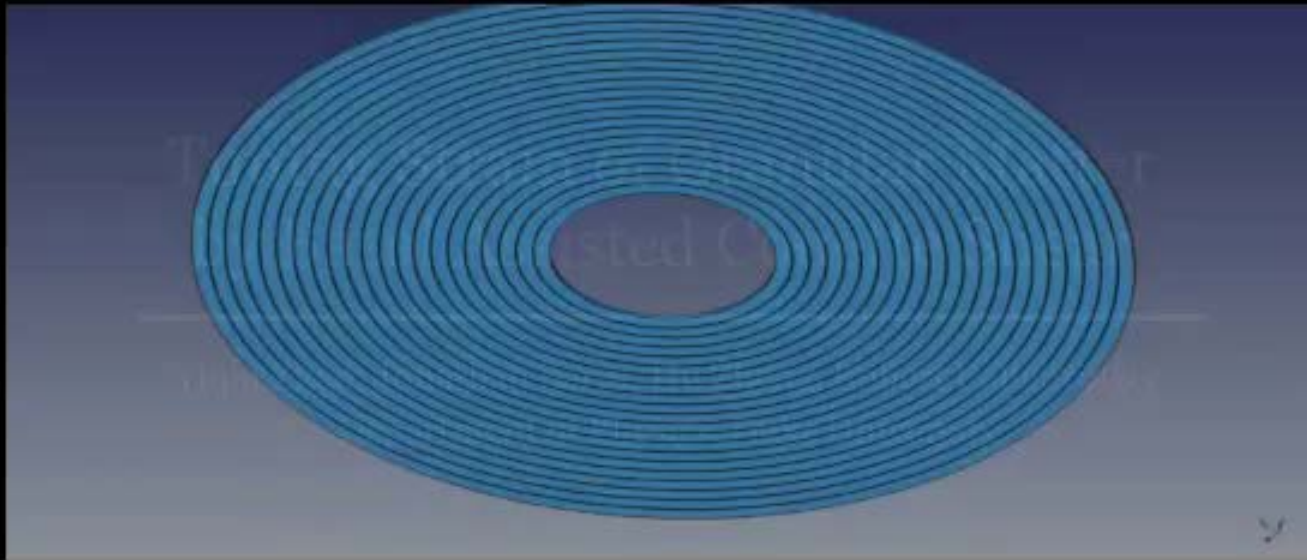


# Achieving unlimited shear strain without shear banding-- Co-axial version of simple shear experiment





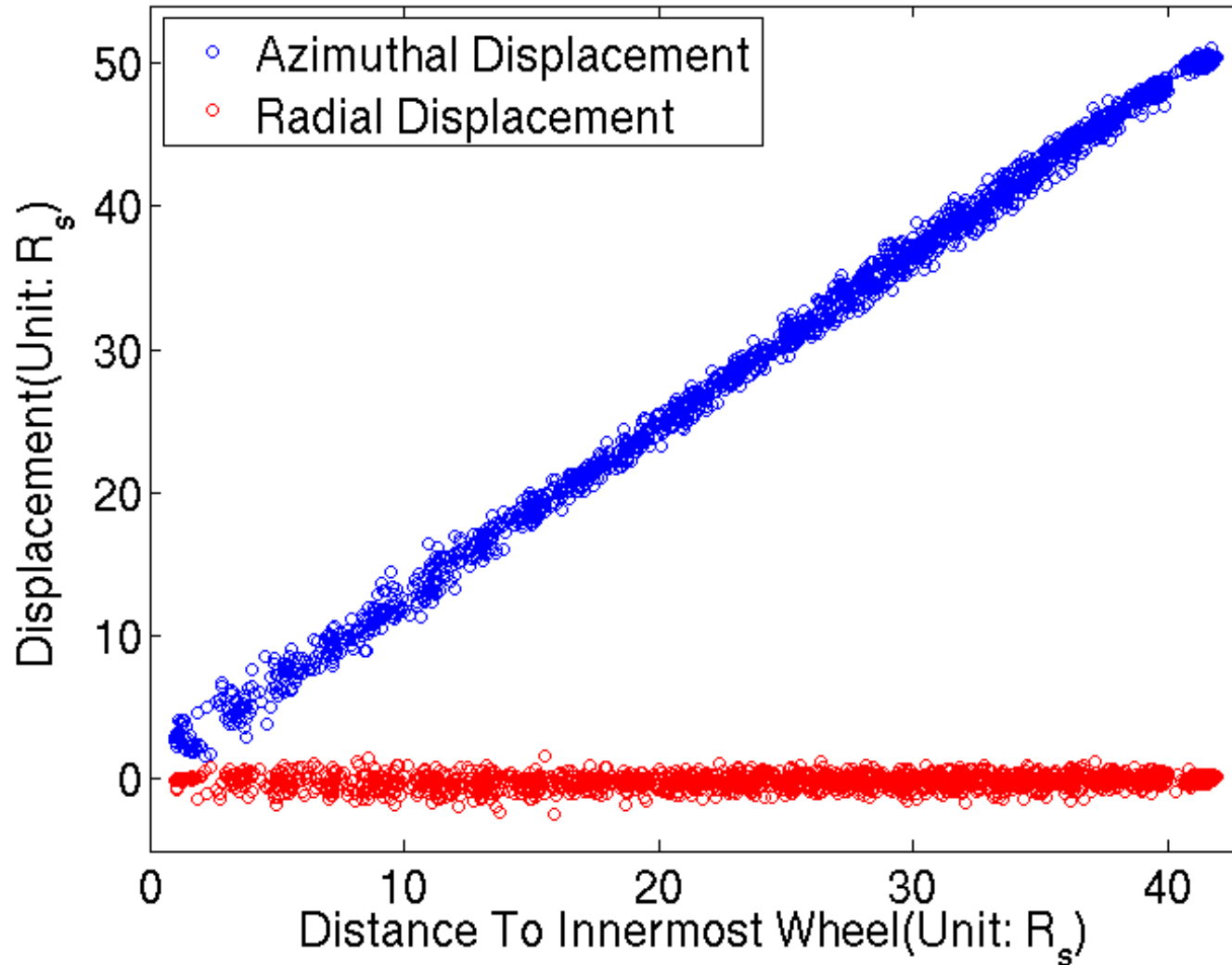
Individual rings are driven independently achieves broad range of shear profiles



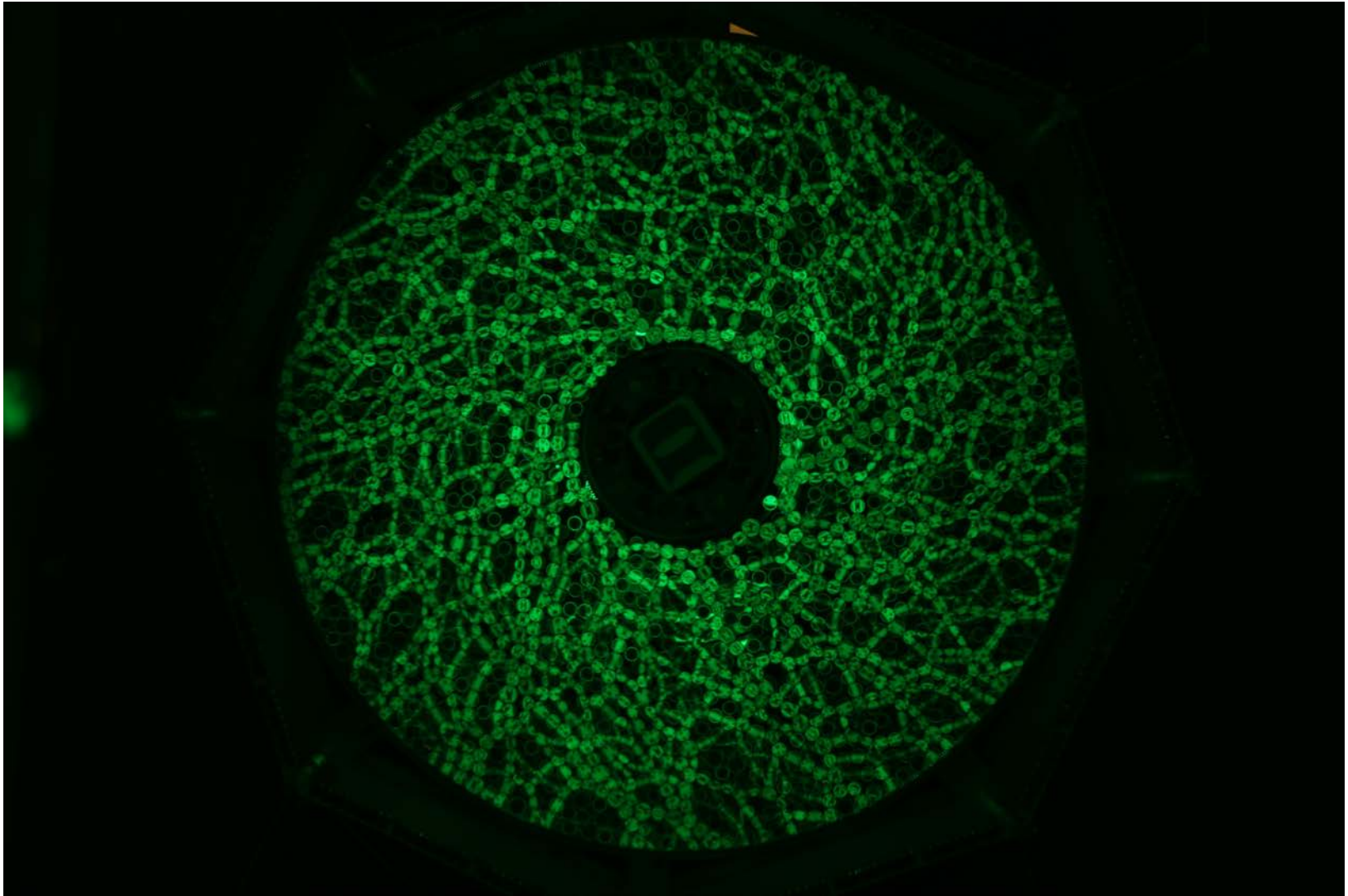
# Achieving unlimited shear strain

## Controlled linear profile

Particle Displacement during 1% strain (0% to 99%)



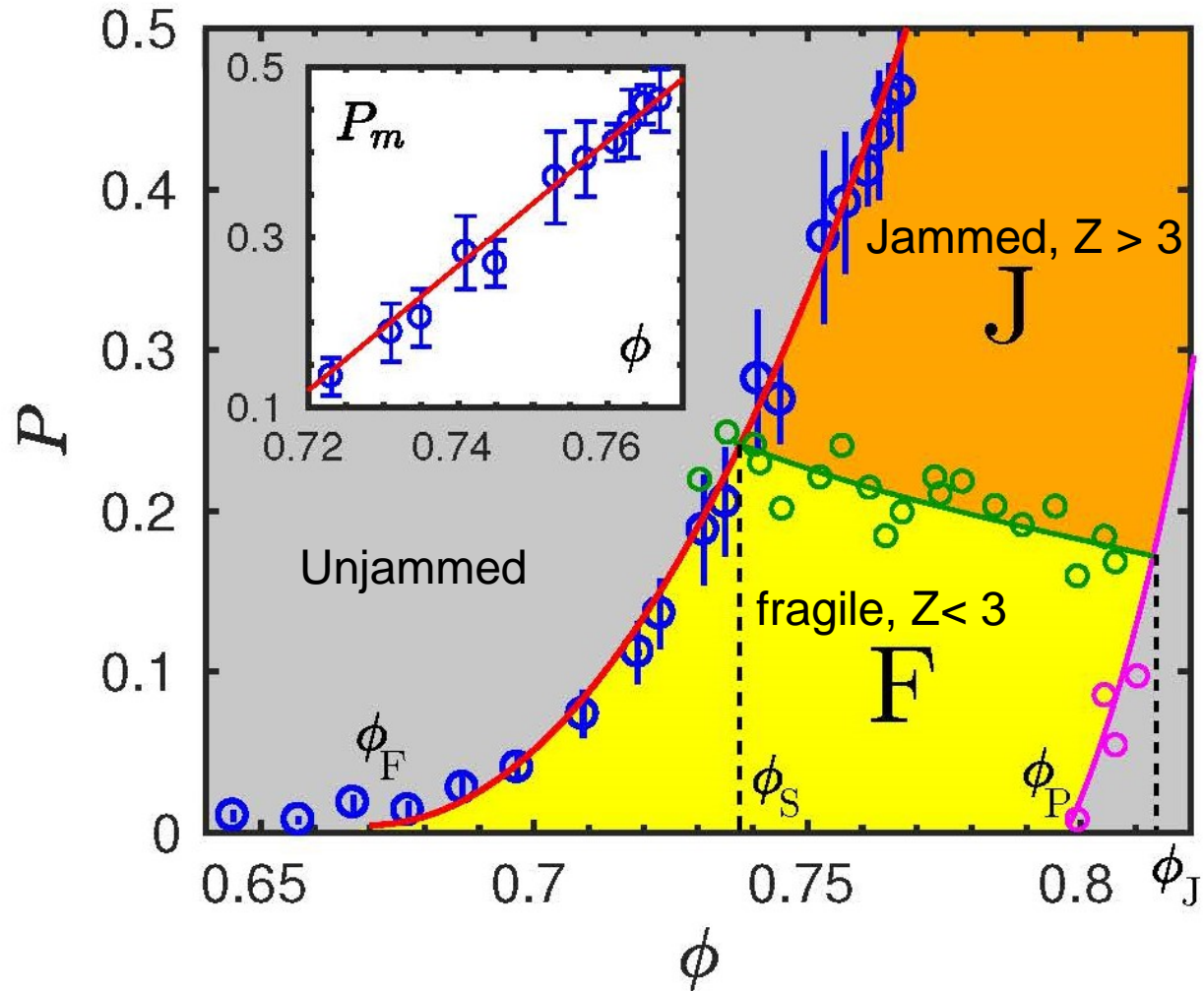
Achieving unlimited shear strain  
Force network bend around the core



Achieving unlimited shear strain  
Force network bends around the core



# Pressure at yield, ring Couette experiment: $\mu = 0.6$



# $\gamma_J(\phi) =$ Strain to achieve jamming

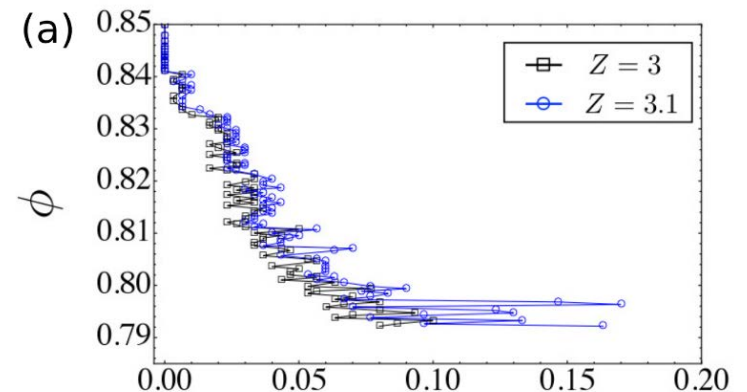
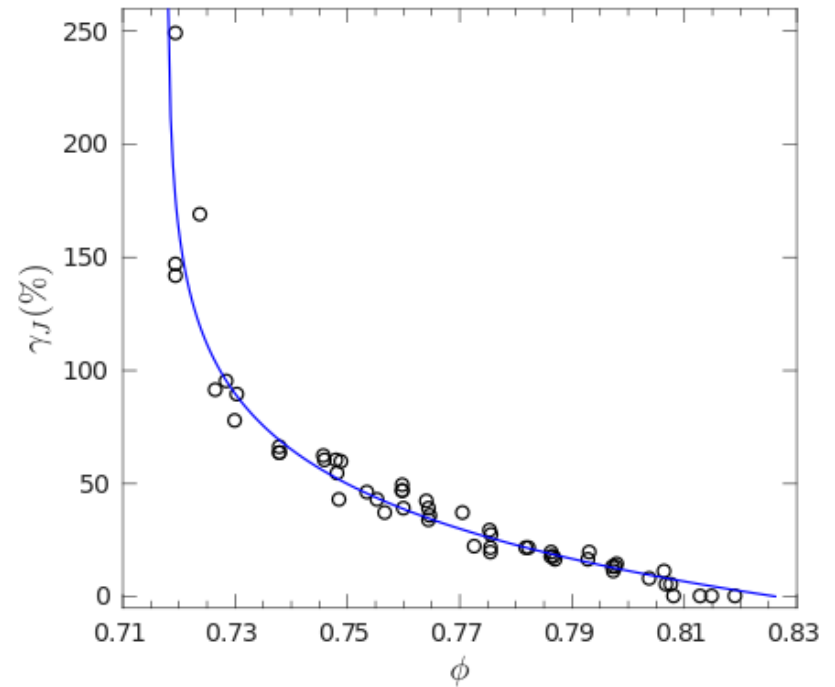
- Control parameter: What is the strain needed to jam a system when  $\phi \in [\phi_S, \phi_J]$ ?

$$\gamma_J = -\gamma_C \ln\left(\frac{\phi - \phi_S}{\phi_J - \phi_S}\right)$$

*N. Kumar et al. Granular Matter, 2016*

$$\Rightarrow \phi_J = 0.827 \pm 0.004$$

- Note:  $\phi_J - \phi_S \approx 0.11$  : big separation!



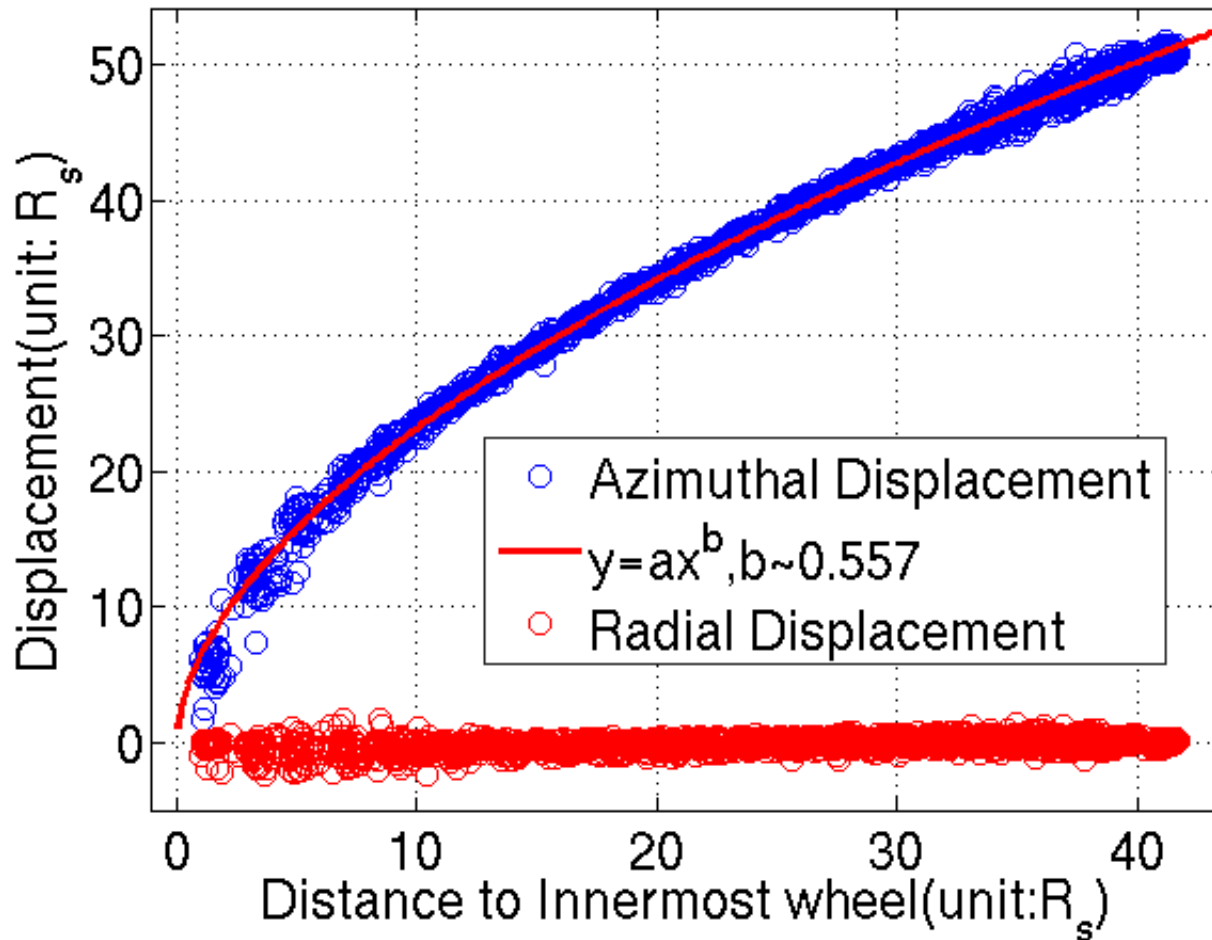
*D. Bi et al. Nature, 2011*

$$\gamma_J(\phi) = \min\{\gamma(Z \geq Z_{iso}^\infty; \phi)\}$$

# Achieving unlimited shear strain

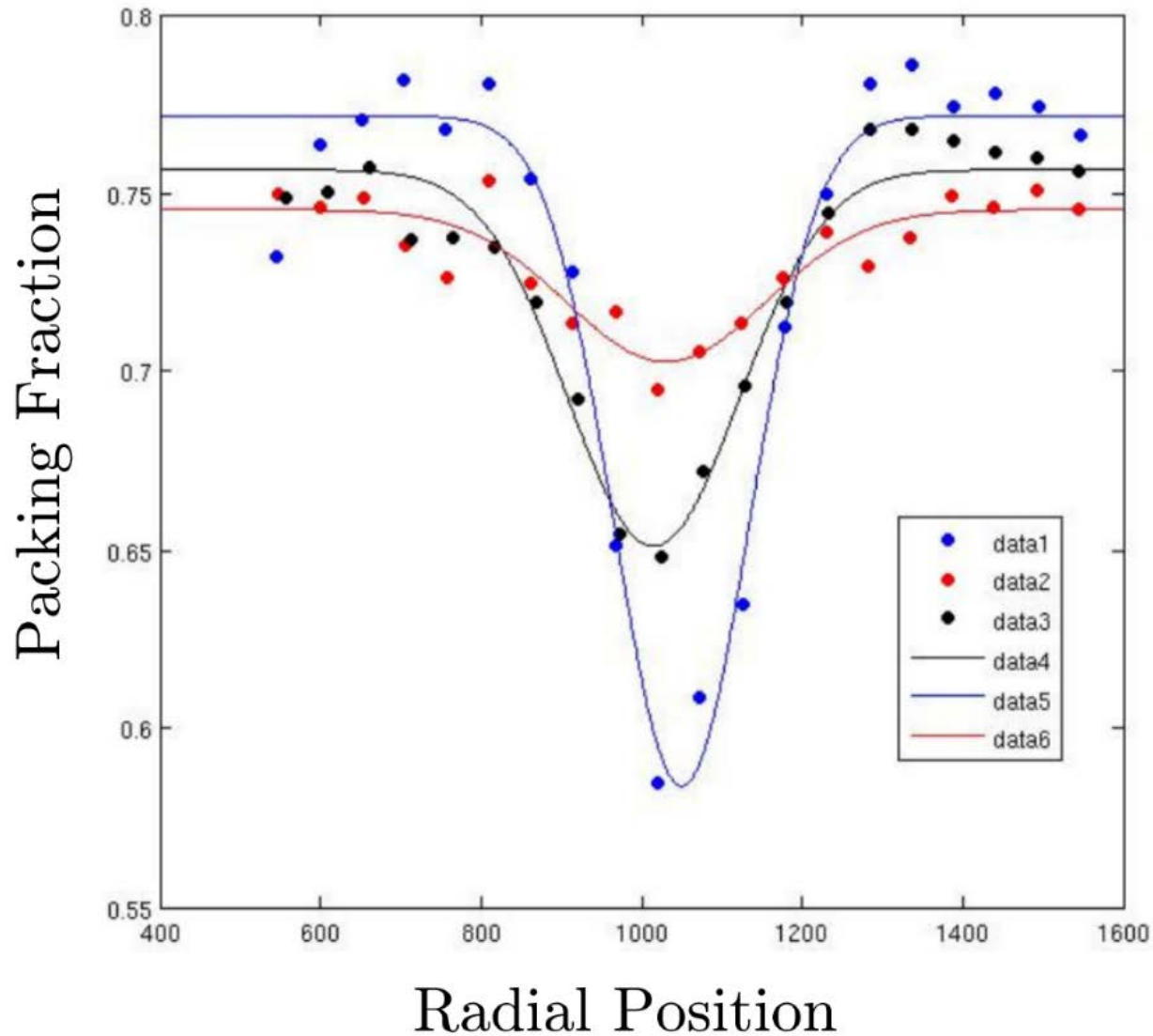
## Other profiles: here square-root

Particle Displacement for a  $n=0.5$  parabola shear (0%-99% strain)



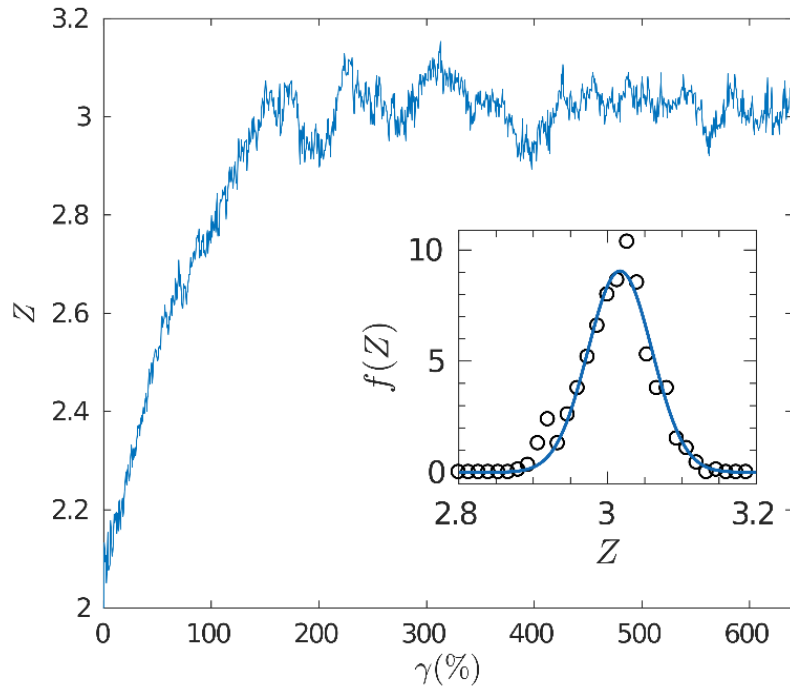
# Achieving unlimited shear strain

## Controlled placement and depth of shear band





# Find the value of $\phi_S$ then move on to YSC

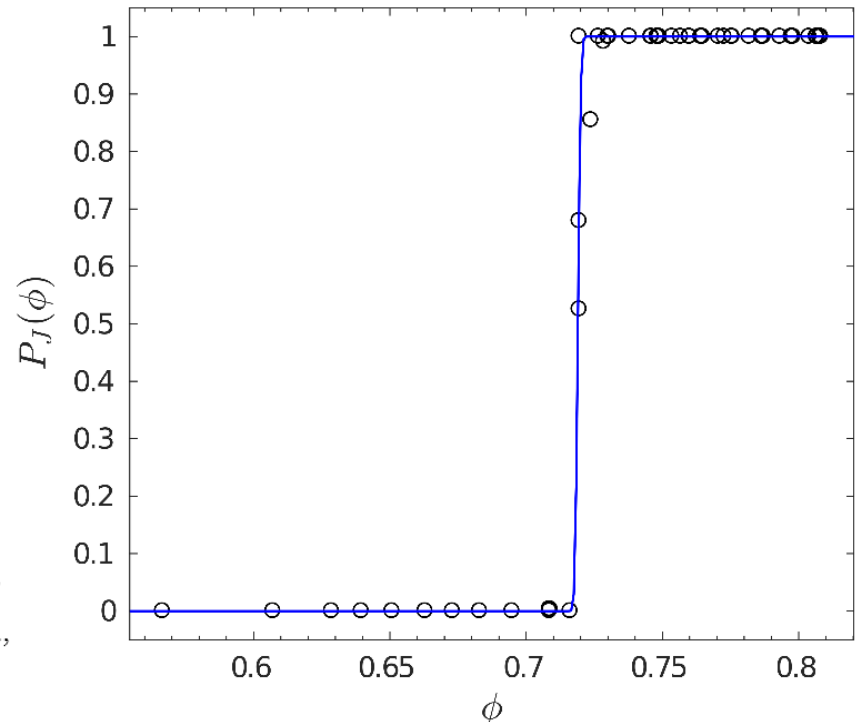


1. Typical behavior of  $Z$ : rapid growing before steady state fluctuation.
2. The steady state fluctuations of  $Z$  follow a gaussian-like distribution
3. Jamming Probability:  $P_J(\phi) = P(Z \geq Z_{iso}^\infty; \phi)$

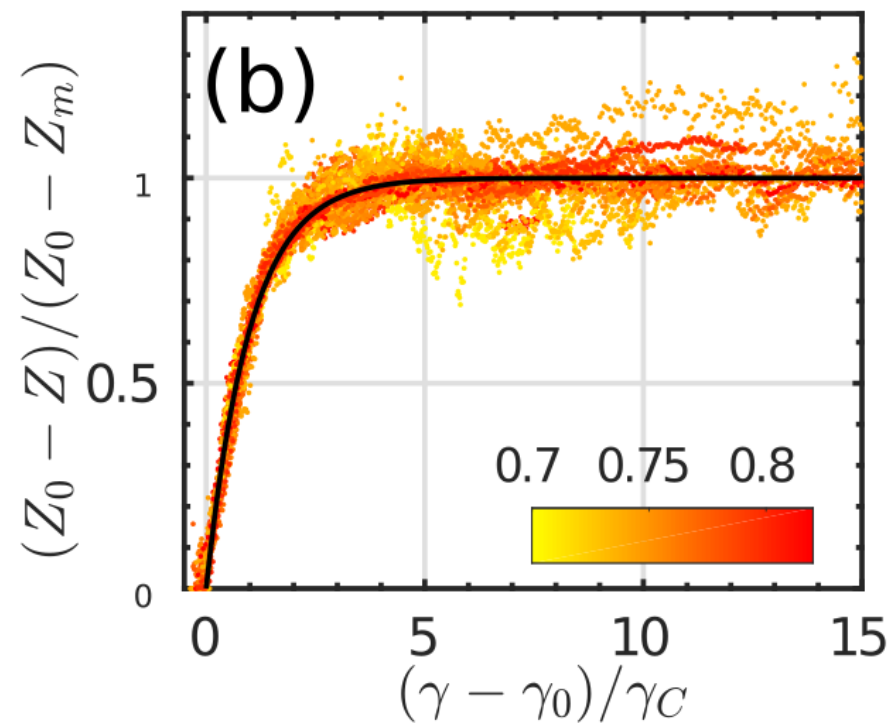
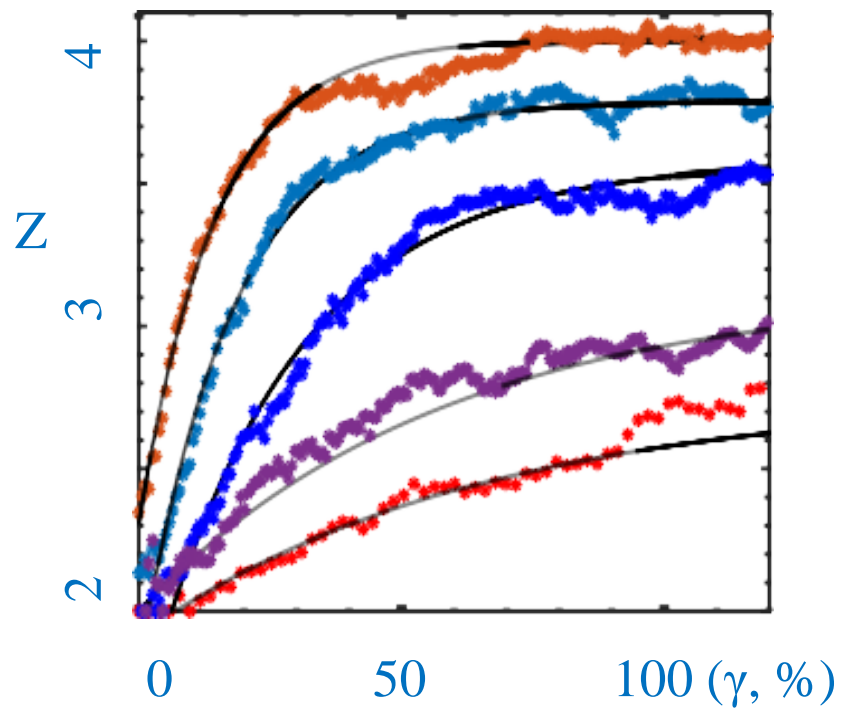
- Fit  $P_J(\phi)$  as error function to get  $\phi_S$ :

$$P_J(\phi) = \frac{1}{2} \operatorname{erf}(a * (\phi - \phi_S)) + \frac{1}{2}$$
$$\Rightarrow \phi_S = 0.72 \pm 0.01$$

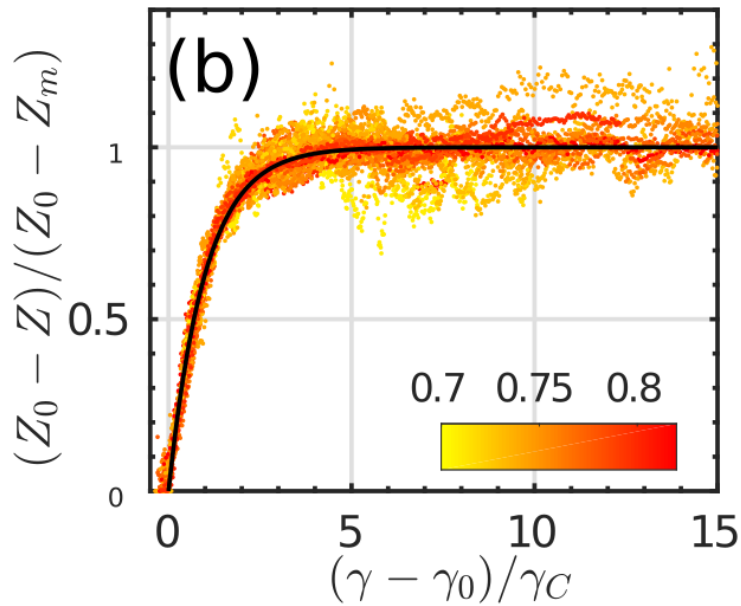
- This value is much smaller than any reported value: 77.8% [D. Howell et al, Couette, 1998], 78% [D. Bi et al., Biaxial, 2011], 75% [R. Jie et al., Linear, 2013]



# Contact number evolution— Universal scaling relation

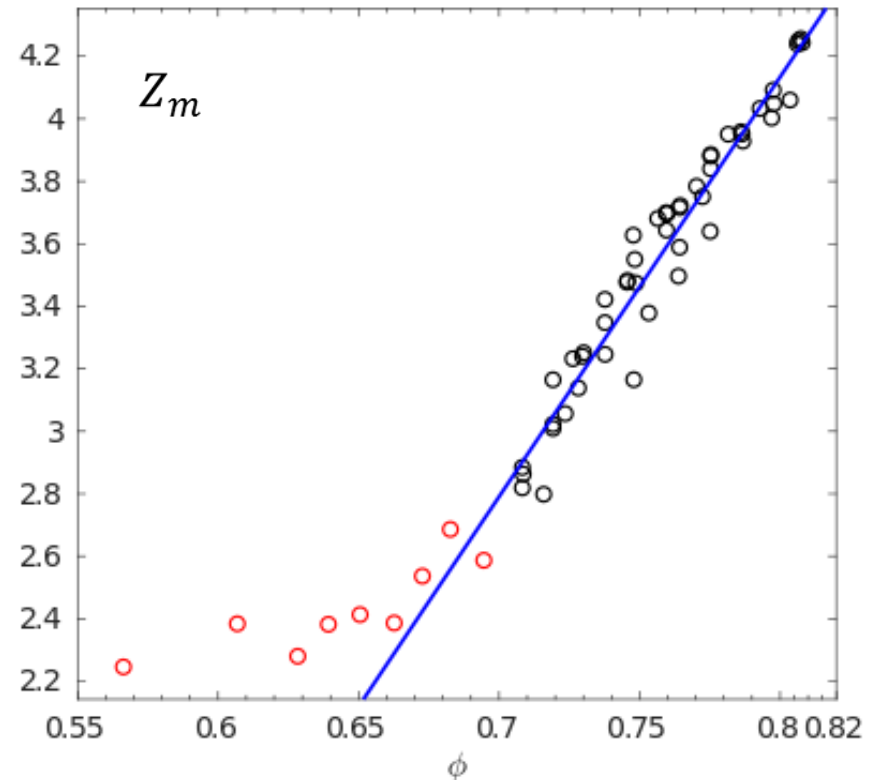


# Contact number evolution

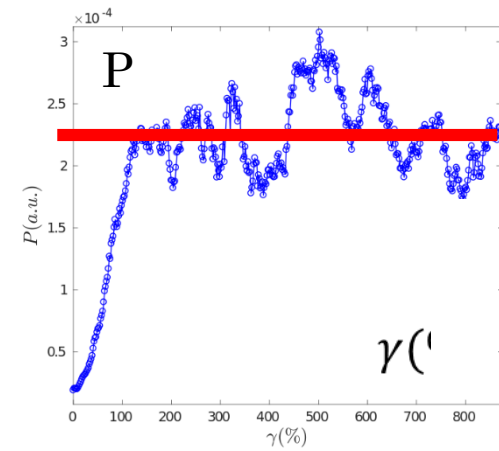


- Rescaling data using fit result gives good collapse for all packing fraction under investigation.
- $\Rightarrow$  Universal mechanism to generate new contact. [D. Wang et al., under review]
- $Z_m(\phi)$  is linear in region  $\phi \in [\phi_S, \phi_J]$
- $Z_m - Z_{iso}^\infty \propto (\phi - \phi_S)$

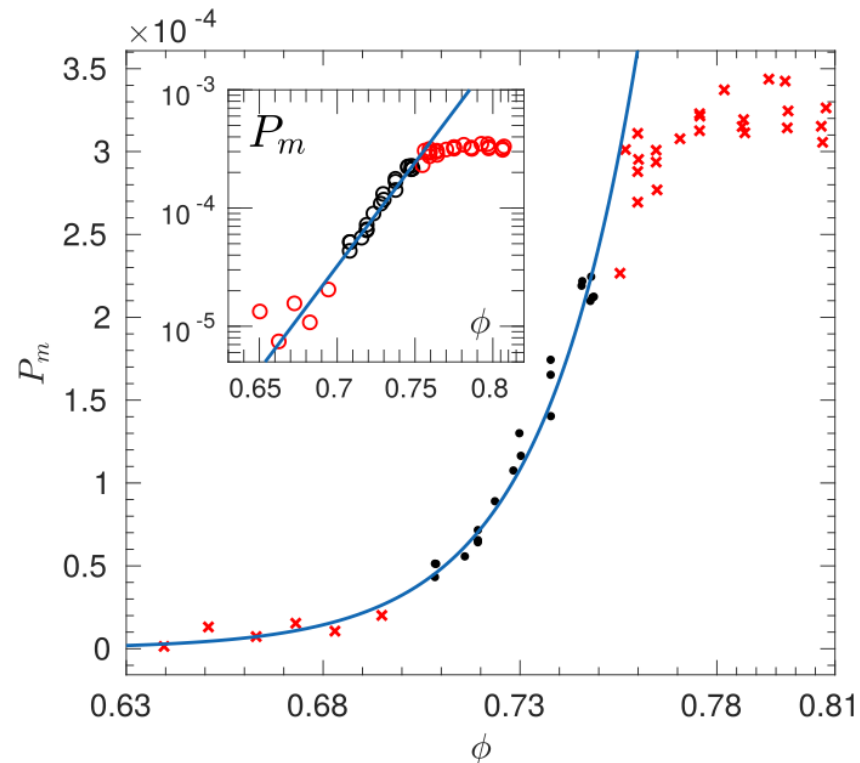
- The growing region of  $Z$  can be captured by an exponential fitting.
- $Z = Z_0 + (Z_m - Z_0)e^{-(\gamma - \gamma_0)/\gamma_C}$ 
  - $Z_m, \gamma_0, \gamma_C$  are fit parameters



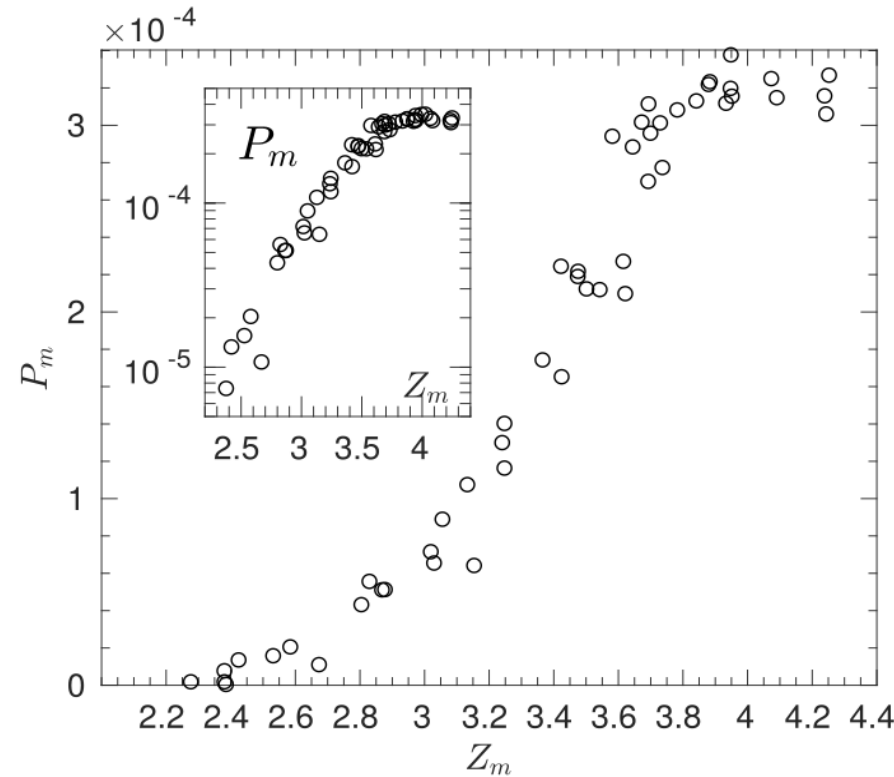
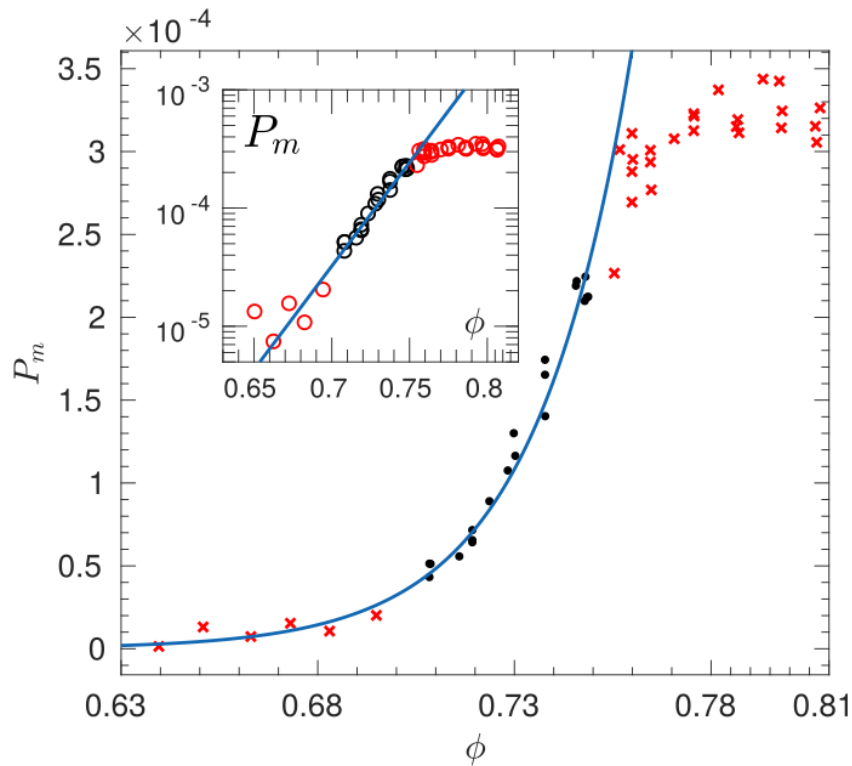
# Pressure response at yield surface—use $G^2$



- Fast rising followed by steady state fluctuation.
- $P_m$ : steady state average  $\rightarrow$  yield stress

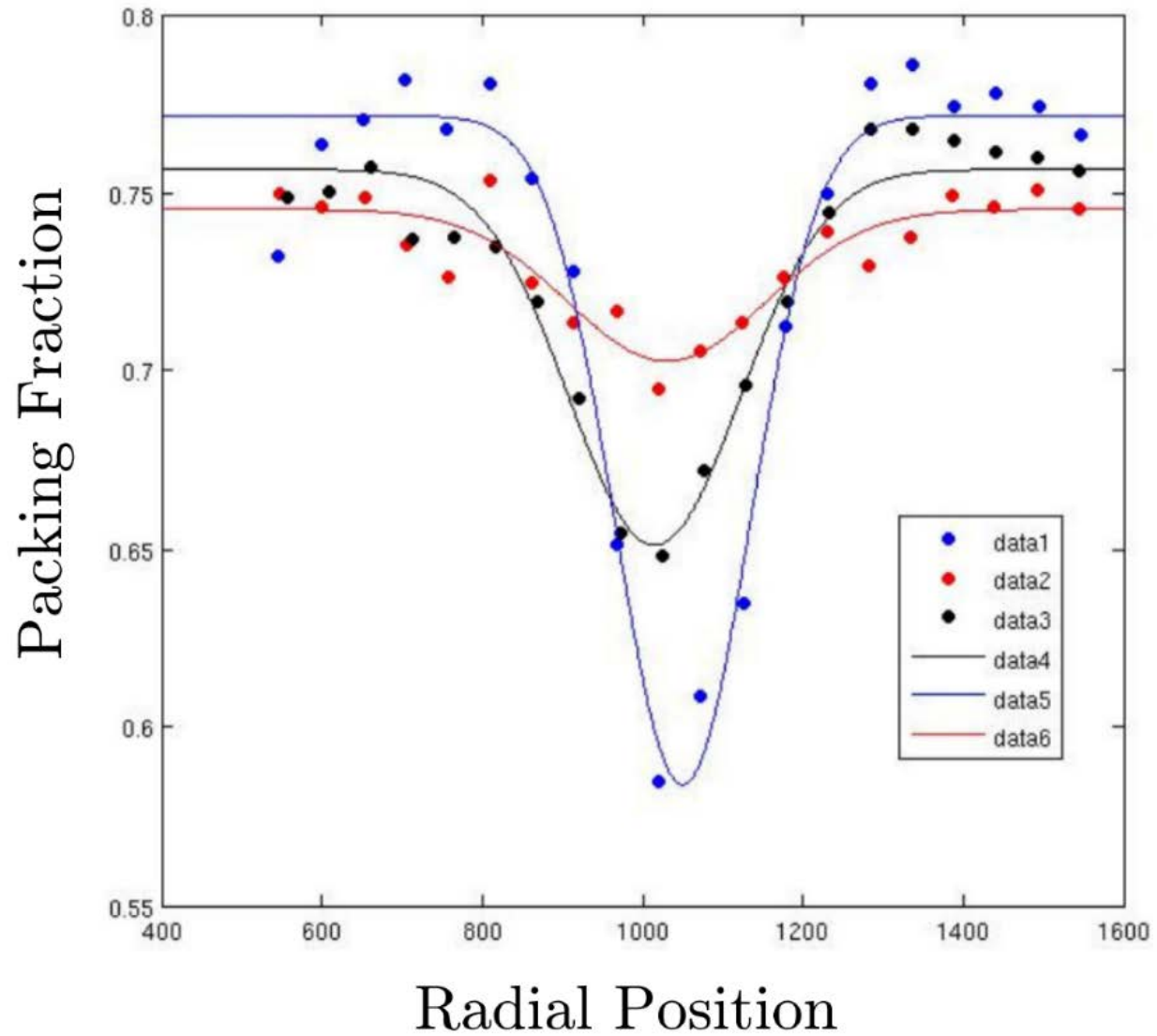


# Pressure response—vs. $Z$

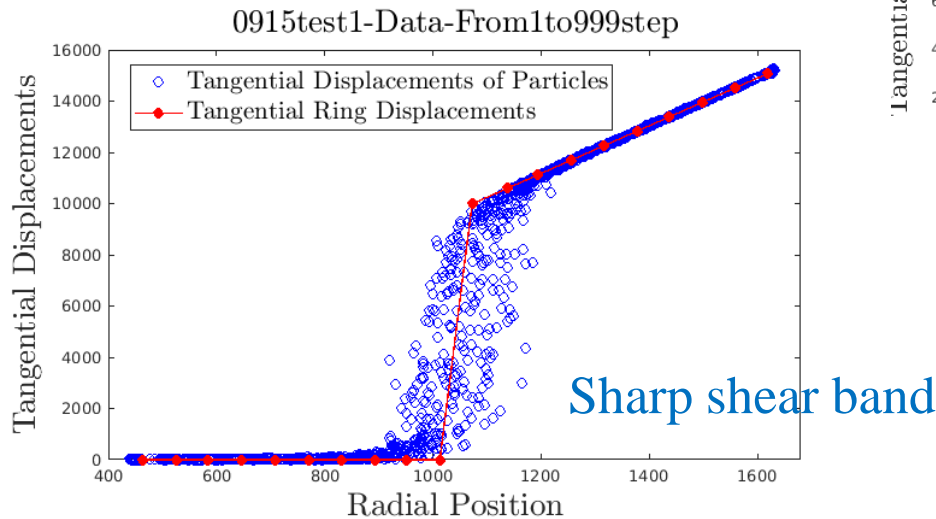
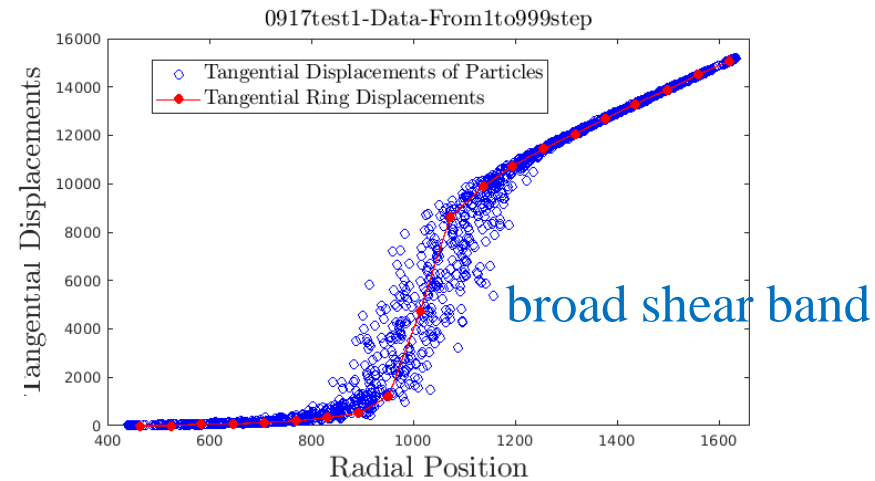
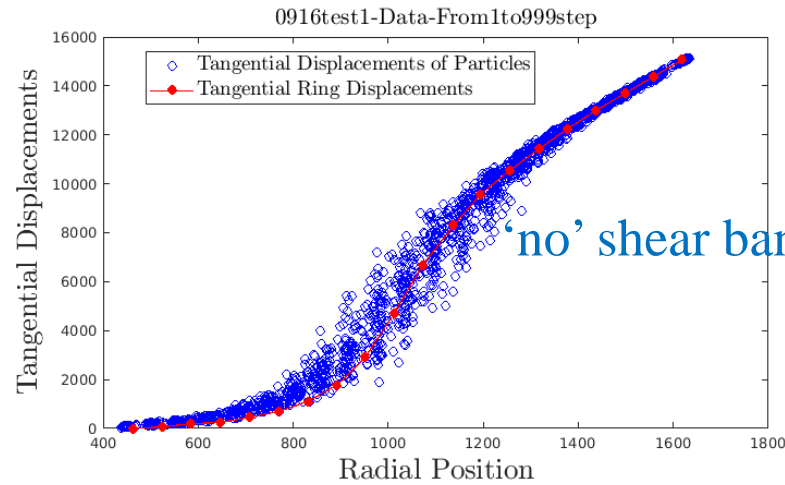


- No critical change at  $Z_m = Z_{iso}^{\infty}$
- The saturation of  $P_m$  needs further investigation because  $G^2$  measurement is not accurate when the pattern is dense.
- Onset of yield stress  $\phi_F < \phi_S$

# Return to controlled shear band



# Three different ring protocols to give no, wide, and sharp shear bands—as seen in tangential displacement profiles

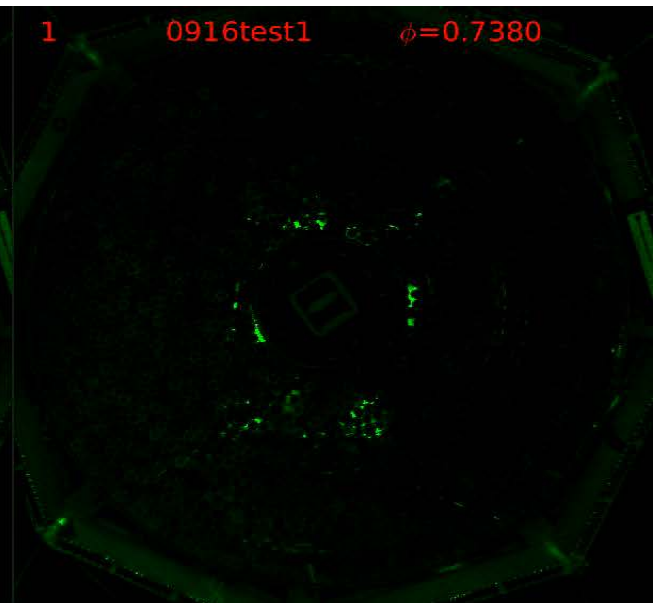
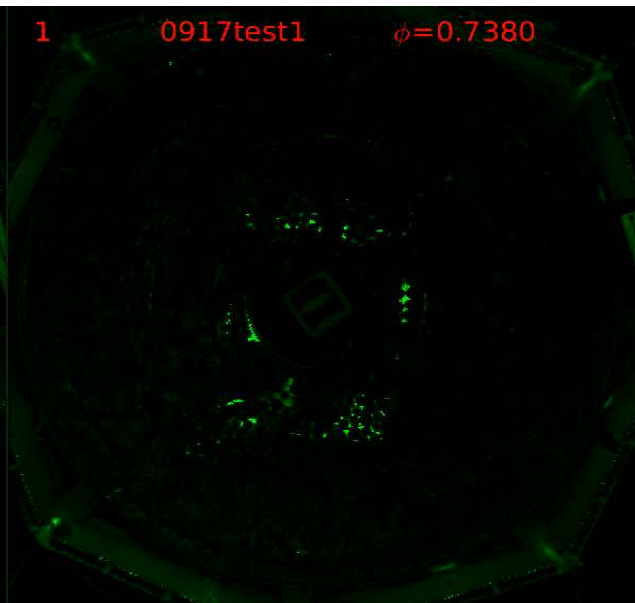
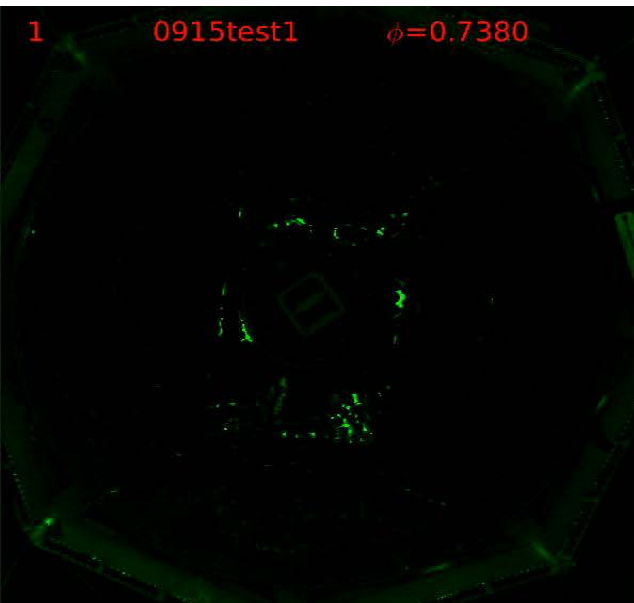


# Markedly different force responses

Sharp SB

Broad SB

No SB

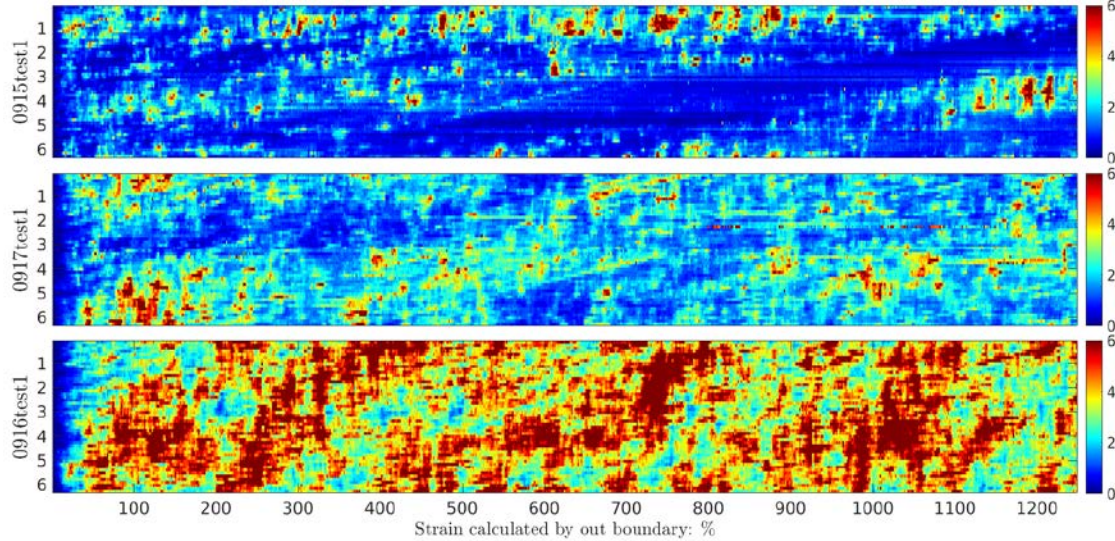




# Pressure and shear stress averaged radially

Pressure,  $P$

$P$  (Unit :  $N/m$ ) Colormap: Vertical axis is angle to horizontal direction. (Unit:  $rad$ )



Sharp SB

Wide SB

No SB

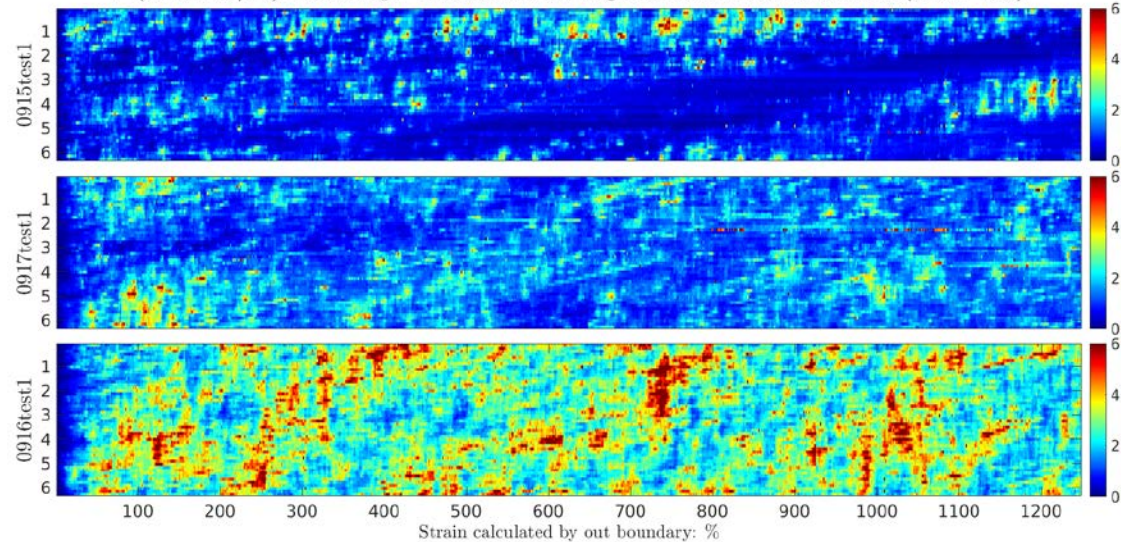
Shear Stress,  $\tau$

$\tau$  (Unit :  $N/m$ ) Colormap: Vertical axis is angle to horizontal direction. (Unit:  $rad$ )

Sharp SB

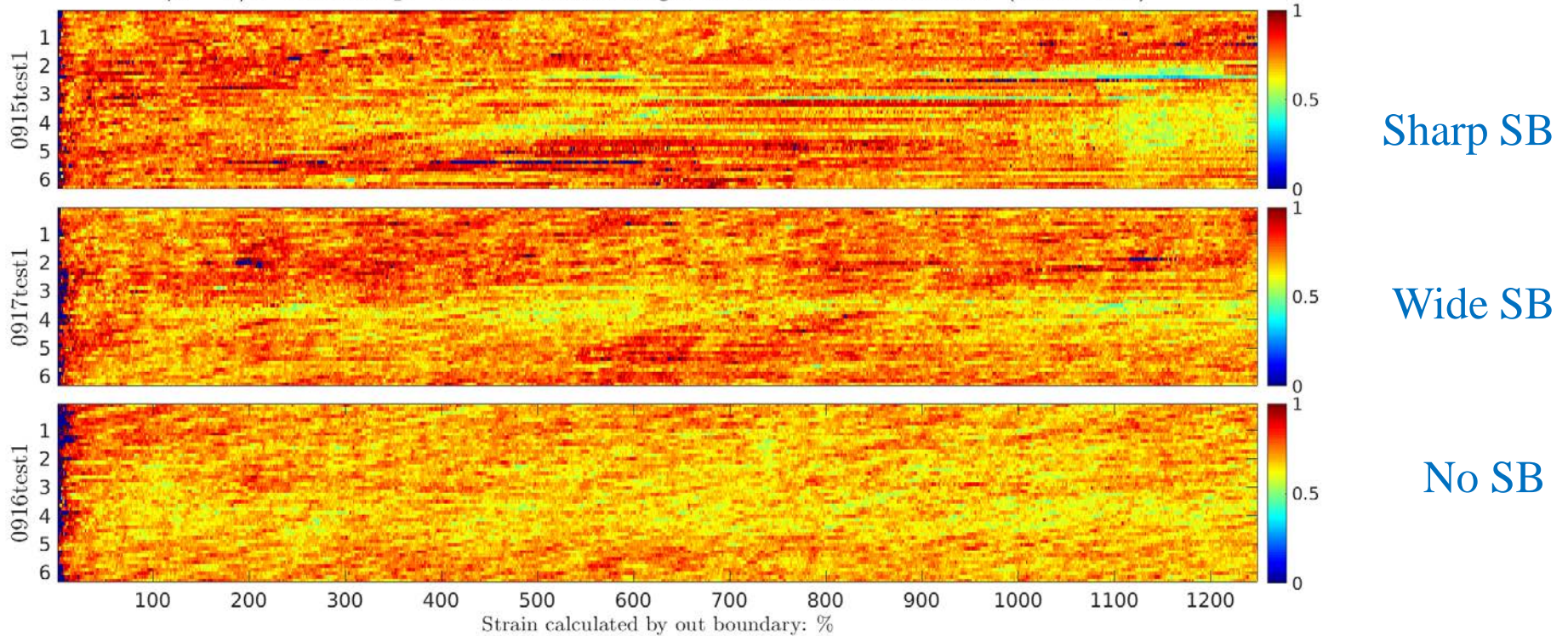
Wide SB

No SB



# Stress ratio, $\tau/P$ averaged radially

$\mu = \tau/P$  Colormap: Vertical axis is angle to horizontal direction. (Unit: *rad*)



Overall: non-shearbanding case is more homogeneous, and supports larger stresses

# Shear applied to granular materials leads to complex phase diagram with 'Nose'

- Particles with friction jam under shear-- 'bottom of diagram': What is connection with dynamic states?
- States occur at lower  $\phi$  than isotropic protocols: How does this relate to rlp?
- Understood as ordering in force-tile space: How universal is this?
- Networks structures control process—how do they form? What is a minimal characterization?
- What happens in 3D?

