

# Nonperturbative Effects in D-brane Inflation

Liam McAllister  
Cornell

Based on:

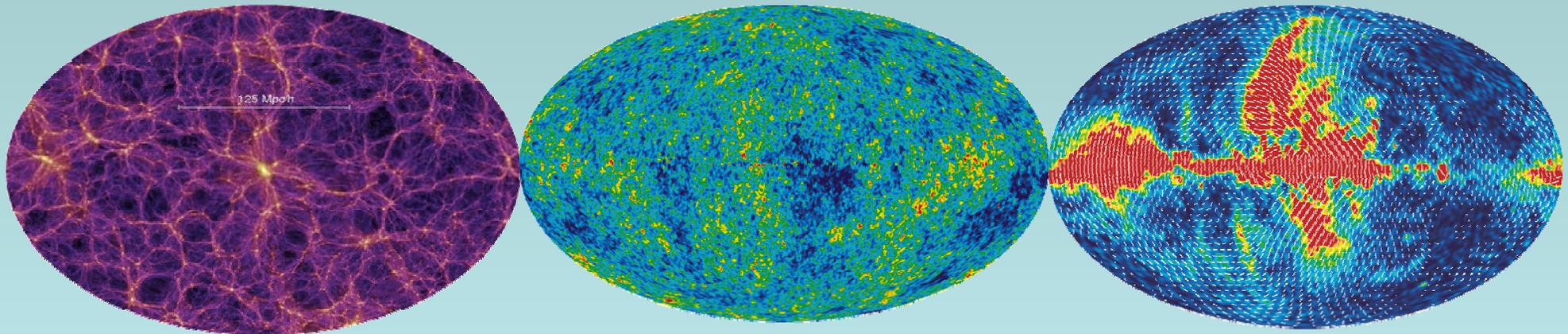
D. Baumann, A. Dymarsky, S. Kachru, I. Klebanov, L.M., 1001.5028

KITP

March 24, 2010

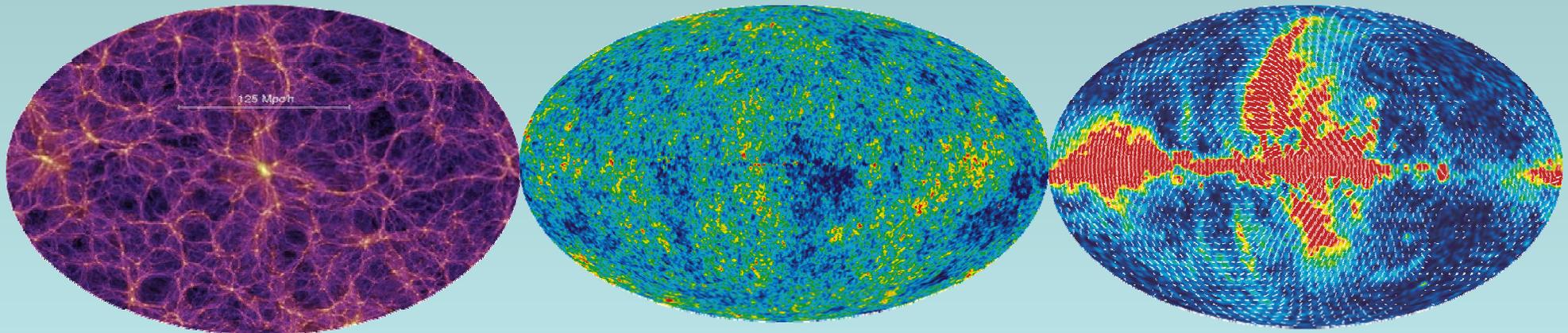
# Motivation

- Inflation provides a beautiful causal mechanism to generate the observed CMB anisotropies and distribution of large-scale structure.
- Inflation is sensitive to Planck-scale physics. Planck-suppressed operators generically make critical contributions to the dynamics.



# Motivation

- Inflation provides a beautiful causal mechanism to generate the observed CMB anisotropies and distribution of large-scale structure.
- Inflation is sensitive to Planck-scale physics. Planck-suppressed operators generically make critical contributions to the dynamics.
- Therefore, we should understand inflation in string theory, and compute, or at least characterize, the Planck-suppressed contributions.



# Physics of slow roll inflation

Scalar field with a potential,

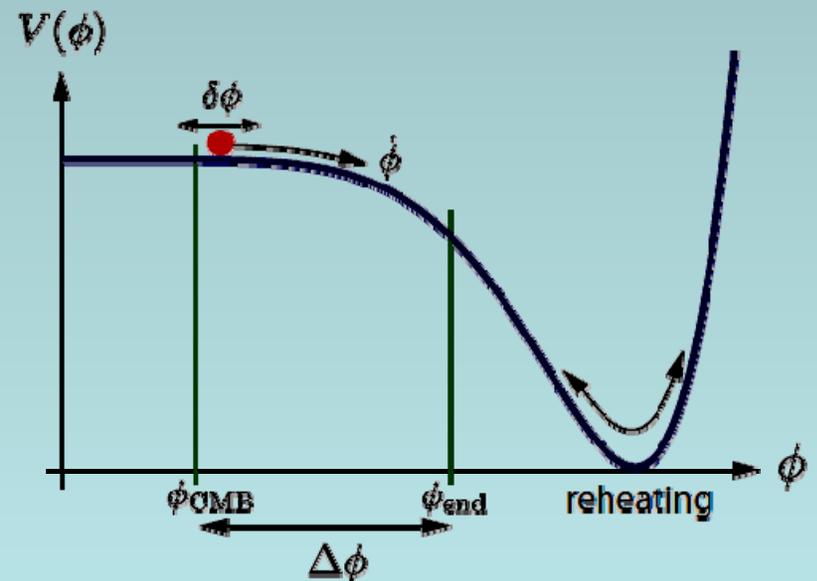
$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} R + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) \right]$$

Potential drives acceleration,

$$ds^2 = -dt^2 + e^{2Ht} d\vec{x}^2 \quad H \approx \text{const.}$$

- Acceleration prolonged if  $V$  is flat in Planck units:

$$\eta \equiv M_p^2 \frac{V''}{V} \quad \epsilon \equiv M_p^2 \left( \frac{V'}{V} \right)^2$$



# Planck-sensitivity of inflation

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} R + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V_0(\phi) \right]$$

$$\Delta V \equiv \mathcal{O}_6 = V_0 \frac{\phi^2}{M_p^2} \quad \longrightarrow \quad \begin{array}{l} \Delta\eta \sim 1 \\ N_e \sim 1 \end{array} \quad \eta \equiv M_p^2 \frac{V''}{V}$$

For **small** inflaton excursions,  $\Delta\phi \lesssim M_{pl}$ , one must control corrections  $\mathcal{O}_\Delta$  with  $\Delta \lesssim 6$ .

For **large** inflaton excursions,  $\Delta\phi \gg M_{pl}$ , one must control an infinite series of corrections, with arbitrarily large  $\Delta$ .

# Options for dealing with the sensitivity to Planck-scale physics.

I. Invoke a symmetry strong enough to forbid all such contributions.

- i.e., forbid the inflaton from coupling to massive d.o.f.

Freese, Frieman, Olinto 1990

Arkani-Hamed, Cheng, Creminelli, Randall 2003

Kalosh, Hsu, Prokushkin 2004

Dimopoulos, Kachru, McGreevy, Wacker 2005

Conlon & Quevedo 2005

Cicoli, Burgess, Quevedo 2008

L.M., Silverstein, Westphal 2008

Flauger, L.M., Pajer, Westphal, Xu 2009

Berg, Pajer, Sjörs 2009

II. Enumerate all relevant contributions and determine whether fine-tuned inflation can occur.

- i.e., arrange for cancellations.

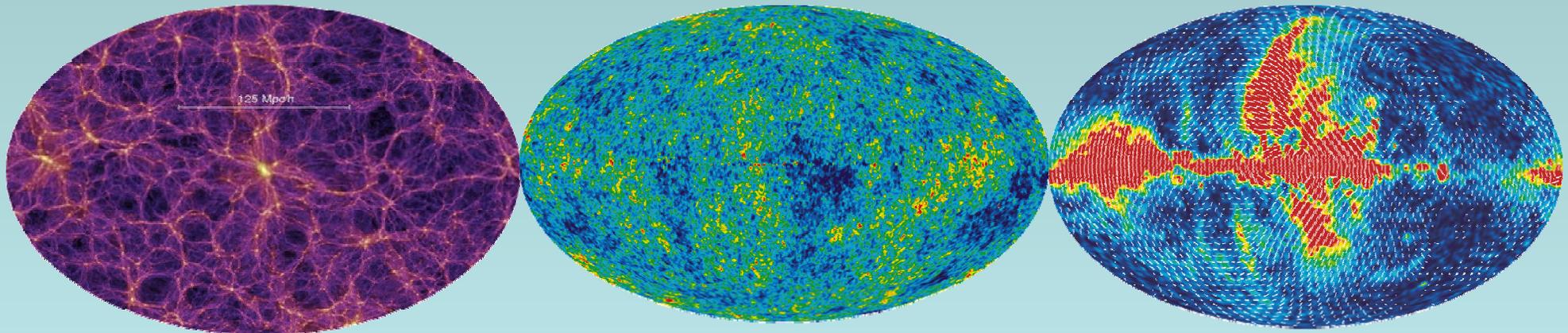
Baumann, Dymarsky, Klebanov, L.M., 2007 Krause, Pajer, 2007

Haack, Kalosh, Krause, Linde, Lüster, Zagermann, 2008

Baumann, Dymarsky, Kachru, Klebanov, L.M., 2008, 2009, 2010

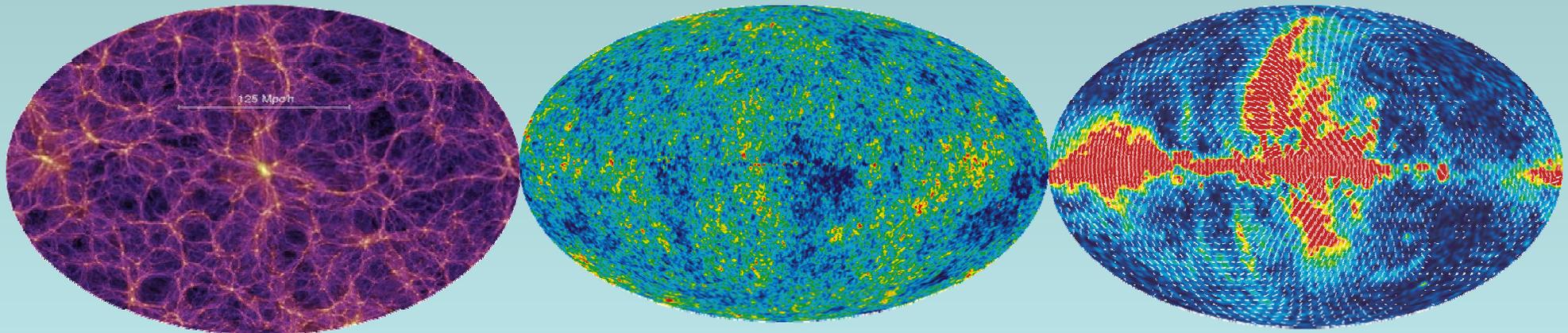
# Status report

- Inflaton *candidates* continue to proliferate.
- Still very few examples where present techniques admit systematic study of the Planck-suppressed contributions.
- Why is it hard?



# Motivation

- Inflationary solutions of string theory are only possible in vacua with stabilized moduli.
  - significant progress in the past decade.
- Integrating out the massive moduli induces interactions that are typically no more than Planck-suppressed.
- Thus, to characterize the inflaton action, we must work in a stabilized vacuum and carefully incorporate the couplings of the inflaton candidate to the moduli.

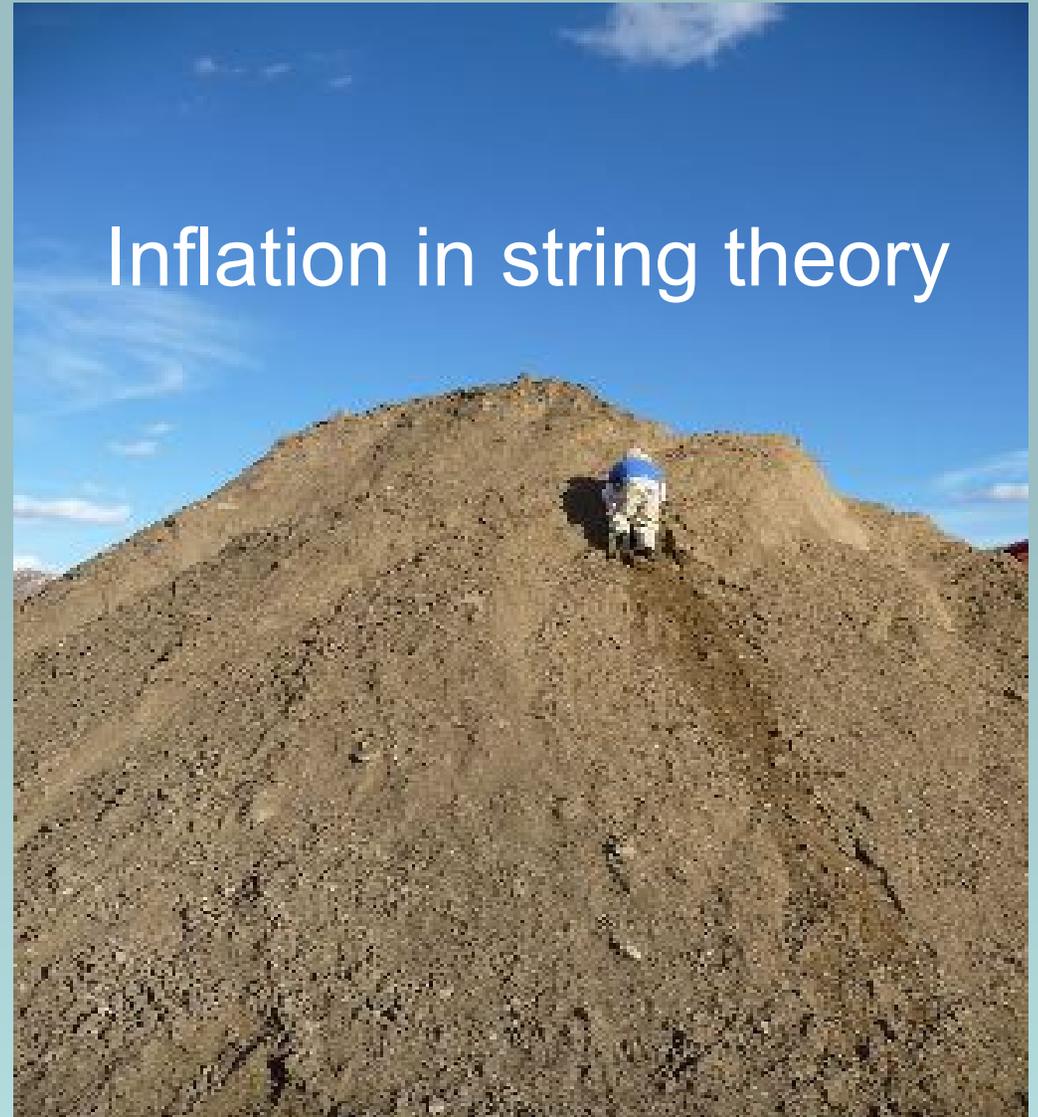


# What's the problem?

$N=0$  supersymmetry.

Compactness crucial.

Effects that stabilize the moduli rarely decouple from inflation.



# This talk

- Work out in full detail the structure of the effective action for a small-field model.

# This talk

- Work in a carefully-chosen corner where powerful tools (noncompact approximation, AdS/CFT) are applicable.
- Goal: characterize the action for a spacetime-filling D3-brane in a type IIB flux compactification, including nonperturbative contributions.
- Nonperturbative effects
  - are crucial in some of the best-studied scenarios for Kähler moduli stabilization
  - make dominant contributions to the inflationary dynamics of a D3-brane in such vacua.
- Our analysis provides a toy model of inflation in quantum gravity.
  - A small step towards a more comprehensive and systematic understanding

# Results presented here:

- Structure of the potential for a D3-brane in a conifold attached to a general compact space. All significant contributions to the D3-brane potential incorporated in 10D supergravity.
- Gaugino condensation on D7-branes wrapping a four-cycle sources IASD flux.

I.

# D3-branes in flux compactifications

# D3-branes in warped compactifications

$$ds^2 = \underline{e^{2A(y)}} g_{\mu\nu} dx^\mu dx^\nu + e^{-2A(y)} \tilde{g}_{mn}(y) dy^m dy^n$$

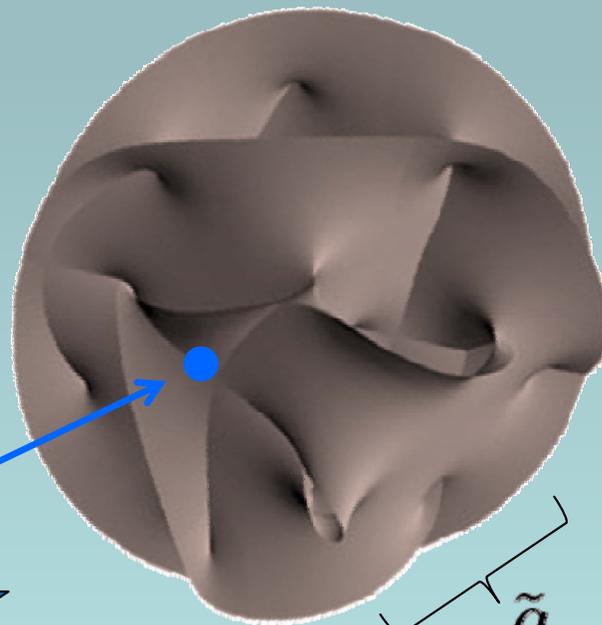
$$\tilde{F}_5 = (1 + \star_{10}) d\underline{\alpha(y)} \wedge \sqrt{-\det g_{\mu\nu}} dx^0 \wedge dx^1 \wedge dx^2 \wedge dx^3$$

DBI+CS:

$$V = T_3 \Phi_-$$

$$\Phi_{\pm} \equiv e^{4A} \pm \alpha$$

D3-brane



$\tilde{g}_{mn}$ : CY at leading order

# D3-branes in warped flux compactifications

$$ds^2 = e^{2A(y)} g_{\mu\nu} dx^\mu dx^\nu + e^{-2A(y)} \tilde{g}_{mn}(y) dy^m dy^n$$

$$\tilde{F}_5 = (1 + \star_{10}) d\alpha(y) \wedge \sqrt{-\det g_{\mu\nu}} dx^0 \wedge dx^1 \wedge dx^2 \wedge dx^3$$

$$G_\pm \equiv (i \pm \star_6) G_3 \quad \Phi_\pm \equiv e^{4A} \pm \alpha \quad V = T_3 \Phi_-$$

ISD solutions:  $G_- = \Phi_- = 0$

GKP 2001

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D3-branes feel no potential in ISD solutions ('no-scale'), but nonperturbative stabilization of Kähler moduli spoils this.

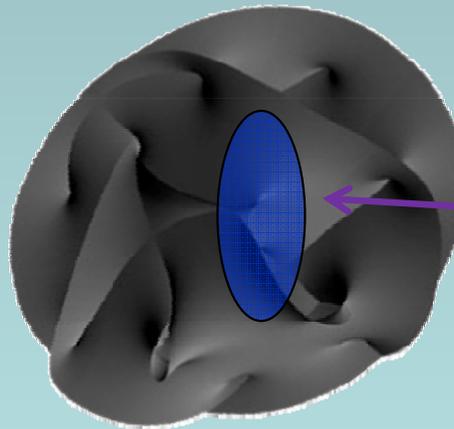
# Nonperturbative contributions

No-scale symmetry  $\rho \rightarrow \rho + \text{const.}$  is broken by Euclidean D3-branes, or gaugino condensation on  $N_c$  D7-branes, wrapping suitable four-cycles  $\Sigma_i$ .

Witten, 1996   KKLT, 2003

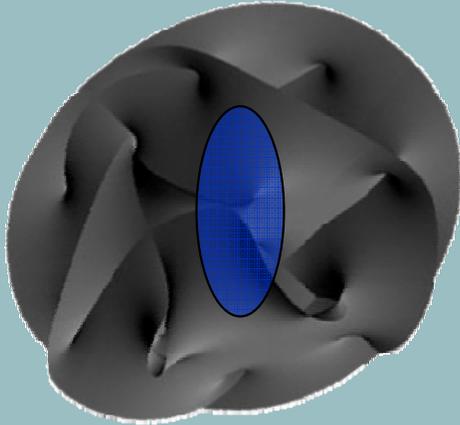
$$W = \int G_3 \wedge \Omega + A(y) e^{-a\rho}, \quad \mathcal{K} = -3 \log(\rho + \bar{\rho} - k(y, \bar{y}))$$

$$a = \frac{2\pi}{N_c}$$



Euclidean D3-brane,  
or stack of  $N > 1$  D7-branes

# Nonperturbative contributions



If divisor is defined by  $h(z_\alpha) = 0$   
in local coordinates, then

$$W_{\text{np}}(z_\alpha) = \mathcal{A}_0 h(z_\alpha)^{1/N_c} e^{-a\rho}$$

Ganor, 1996

Berg, Haack, Körs, 2004

Baumann, Dymarsky, Klebanov, Maldacena, L.M., Murugan, 2006

Koerber & Martucci, 2007

$$W = \int G_3 \wedge \Omega + W_{\text{np}}(z_\alpha)$$

D3-brane vacua are generically isolated.  
We want the potential in between.

DeWolfe, L.M.,  
Shiu, Underwood, 2007

# D3-branes in warped flux compactifications

$$ds^2 = e^{2A(y)} g_{\mu\nu} dx^\mu dx^\nu + e^{-2A(y)} \tilde{g}_{mn}(y) dy^m dy^n$$

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$$G_\pm \equiv (i \pm \star_6) G_3 \quad \Phi_\pm \equiv e^{4A} \pm \alpha \quad V = T_3 \Phi_-$$

ISD solutions:  $G_- = \Phi_- = 0$

GKP 2001

D3-branes feel no potential in ISD solutions ('no-scale'), but nonperturbative stabilization of Kähler moduli spoils this.

We will expand around ISD solutions,  $\Phi_-^{(0)} = G_-^{(0)} = 0$

and find that nonperturbative effects source IASD flux.

# Equations of motion expanded around an ISD background

$$\nabla^2 \Phi_- = \frac{g_s}{96} |\Lambda|^2 + \mathcal{R}_4$$

$$d\Lambda = 0 \quad \star_6 \Lambda = -i\Lambda \quad \Lambda \equiv \Phi_+ G_-$$

Metric  $\tilde{g}_{mn}$  and dilaton:

**zeroth-order solutions suffice** to determine leading contributions to D3-brane potential,  $\Phi_-$ .

We only need to know the **background metric**.

Similarly, Bianchi identity for G must be solved, but is not relevant for determining leading contributions.

# General compact model still intractable

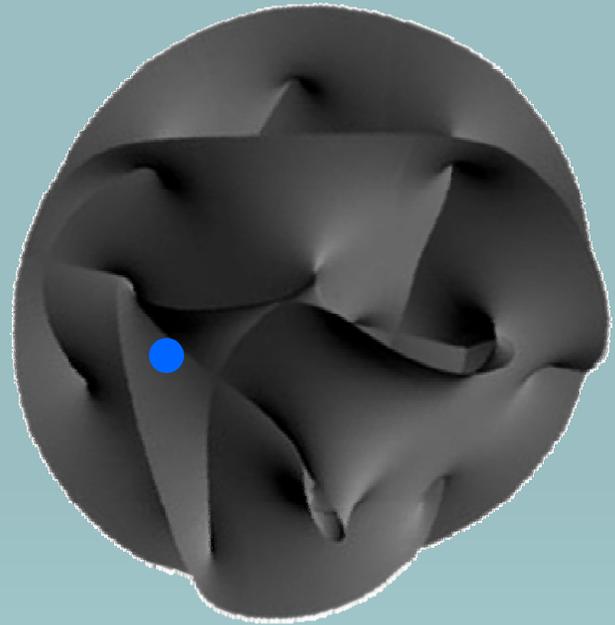
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We do need the internal background (zeroth-order) metric.

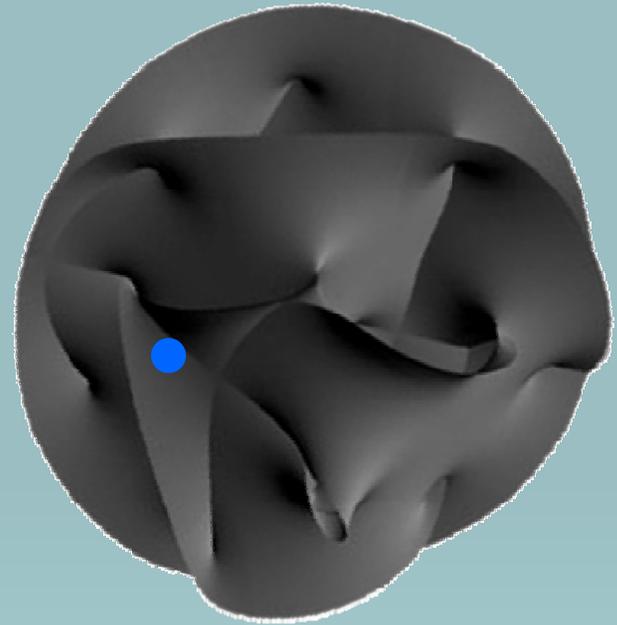
# General structure of the D3-brane potential?

Hard to compute in full generality.



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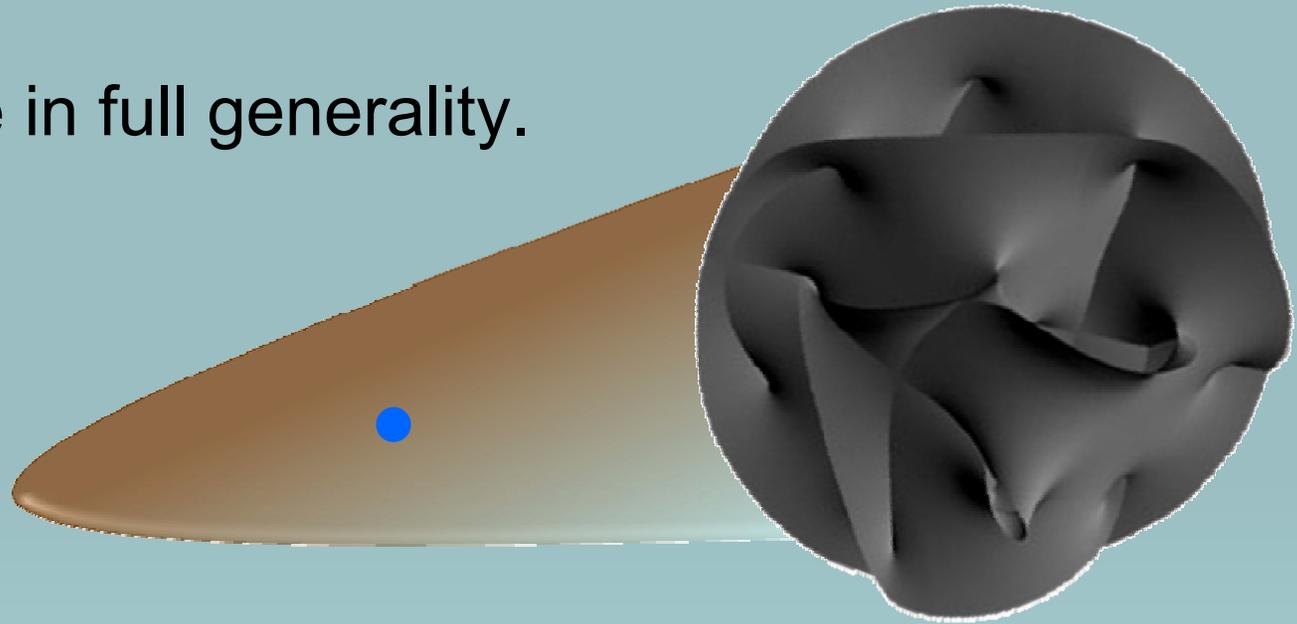
Hard to compute in full generality.



Idea: begin with a noncompact CY cone, and systematically incorporate compactification effects.

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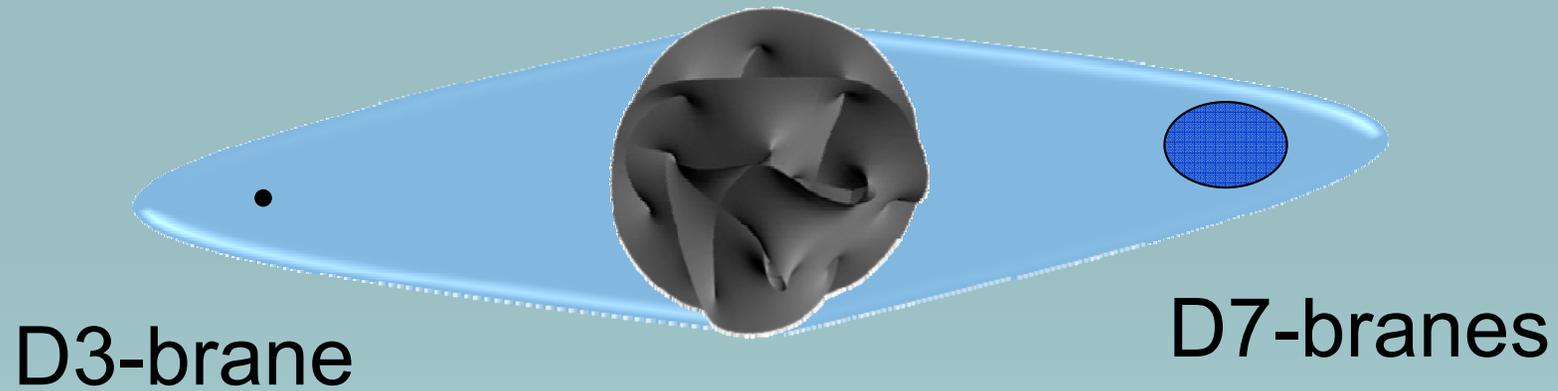
Hard to compute in full generality.



Idea: begin with a noncompact CY cone, and systematically incorporate compactification effects.

# Compactification effects

e.g.:



# Warped throats in compact spaces

We will obtain a further handle on the problem by taking the cone to be **warped**, such as the warped conifold or a more general warped CY cone.

Concrete example: a finite-length KS throat, which we approximate by  $\text{AdS}_5 \times T^{1,1}$ .

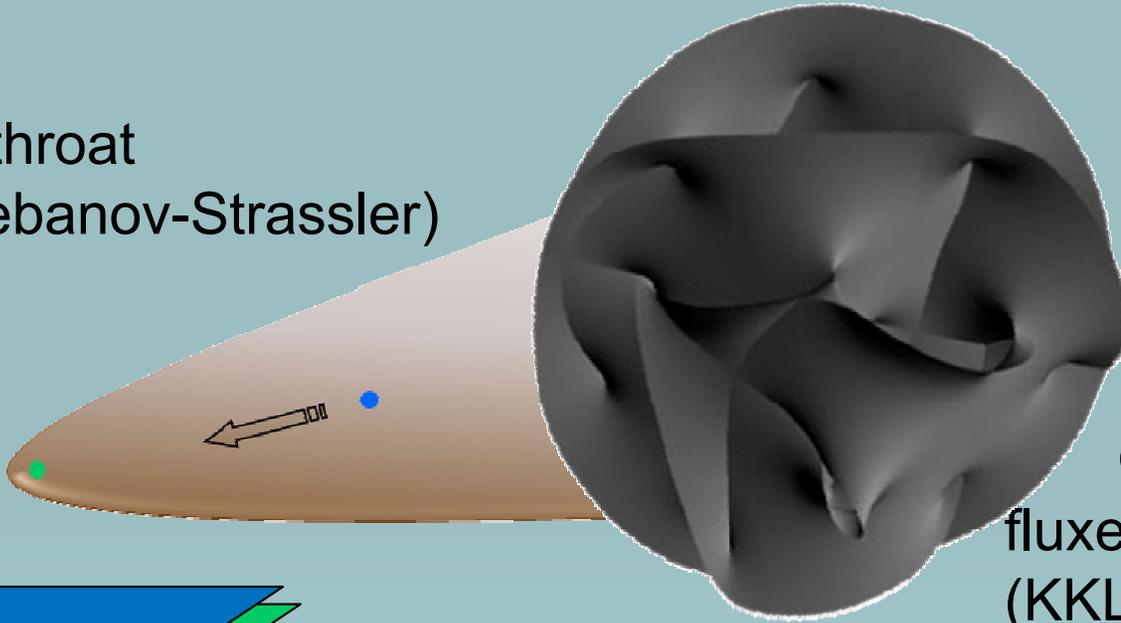
$$ds^2 = e^{2A_{(0)}(r)} \eta_{\mu\nu} dx^\mu dx^\nu + e^{-2A_{(0)}(r)} (dr^2 + r^2 d\Omega_{T^{1,1}}^2)$$

$$e^{-4A_{(0)}(r)} = \frac{L^4}{r^4} \quad L^4 \equiv \frac{27\pi}{4} g_s N (\alpha')^2$$

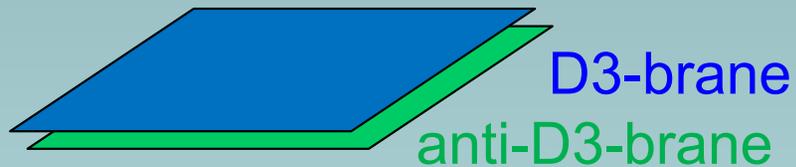
A warped CY cone attached to a stabilized compactification is precisely the configuration of interest in warped D-brane inflation.

# Warped D-brane inflation

warped throat  
(e.g. Klebanov-Strassler)



CY orientifold, with  
fluxes and nonperturbative  $W$   
(KKLT 2003)



Dvali&Tye 1998

Dvali,Shafi,Solganik 2001

Burgess,Majumdar,Nolte,Quevedo,Rajesh,Zhang 2001

Kachru, Kallosh, Linde, Maldacena, L.M., Trivedi, 2003

# Filtering in the throat

The warped geometry **filters** the compactification effects: the dominant effects in the IR are those with the smallest dimensions  $\Delta_i$ .

$$V = \sum_i c_i \phi^{\Delta_i} h_i(\Psi)$$

By determining the spectrum of  $\Delta_i$  we can extract the **leading terms** in the potential.

Double expansion:

around ISD backgrounds  $\Phi_-, G_- \ll 1$

and in distance from the UV  $r_{D3} \ll r_{UV}$   
(hierarchy of scales)

# Noncompact approximation

The D3-brane potential comes from  $\Phi_-$  alone. At leading order in an expansion around ISD backgrounds, the only relevant 10d source for  $\Phi_-$  is IASD flux  $\Lambda$ .  $\nabla^2 \Phi_- = \frac{g_s}{96} |\Lambda|^2$

Arbitrary **compactification effects** can be represented by specifying boundary conditions for  $\Phi_-$  and  $\Lambda$  in the UV of the throat, i.e. by allowing arbitrary **non-normalizable profiles**.

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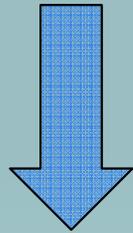
Arbitrary **compactification effects** can be represented by specifying boundary conditions for  $\Phi_-$  and  $\Lambda$  in the UV of the throat, i.e. by allowing arbitrary **non-normalizable profiles**.



# Fluxes are the leading source

$$d\Lambda = 0 \quad \star_6 \Lambda = -i\Lambda$$

$$\Lambda \equiv \Phi_+ G_-$$



$$\nabla^2 \Phi_- = \frac{g_s}{96} |\Lambda|^2 + \mathcal{R}_4$$

To solve for the potential, we must first solve for the IASD flux.



# Three classes of IASD flux solutions in Calabi-Yau cones

$$\boxed{d\Lambda = 0}$$

$$\boxed{\star_6\Lambda = -i\Lambda}$$

$$\Lambda_{\text{III}} = f_3 \Omega \quad (3,0)$$

# Three classes of IASD flux solutions in Calabi-Yau cones

$$\boxed{d\Lambda = 0}$$

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$$\Lambda_{\text{II}} = \partial f_2 \wedge J$$

$$(2,1)_{\text{NP}}$$

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# Three classes of IASD flux solutions in Calabi-Yau cones

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$$(\Lambda_{\text{I}})_{\alpha\bar{\beta}\bar{\gamma}} = \nabla_{\alpha}\nabla_{\sigma}f_1 g^{\sigma\bar{\zeta}} \bar{\Omega}_{\bar{\zeta}\bar{\beta}\bar{\gamma}} \quad (1,2)$$

$$\Lambda_{\text{II}} = \partial f_2 \wedge J \quad (2,1)_{\text{NP}}$$

$$\Lambda_{\text{III}} = f_3 \Omega \quad (3,0)$$

Here  $f_i$  are holomorphic functions. Easy to generalize to harmonic functions.

# D3-brane potential from holomorphic fluxes

$$\nabla^2 \Phi_- = \frac{g_s}{96} |\Lambda|^2$$

$$\Lambda_{\text{I}} = \nabla \nabla f_1 \cdot \bar{\Omega}$$

$$\Lambda_{\text{II}} = \partial f_2 \wedge J$$

$$\Lambda_{\text{III}} = f_3 \Omega$$

$$\Phi_- = \frac{g_s}{32} \left[ g^{\alpha\bar{\beta}} \nabla_\alpha f_1 \overline{\nabla_\beta f_1} + 2|f_2|^2 + 2 \nabla^{-2} |f_3|^2 \right]$$

Simple when fluxes are holomorphic.

# Harmonics on $\text{AdS}_5 \times \text{T}^{1,1}$

$$\nabla^2 f = 0 \quad f = r^{\Delta[j_1, j_2, R]} Y_{[j_1, j_2, R]}(\Psi)$$

$$\begin{aligned} \Delta_f &\equiv -2 + \sqrt{H(j_1, j_2, R_f) + 4} & H(j_1, j_2, R_f) &\equiv 6 [j_1(j_1 + 1) + j_2(j_2 + 1) - R_f^2/8] \\ &= \frac{3}{2}, 2, 3, \sqrt{28} - 2, \dots \end{aligned} \quad \text{Ceresole, Dall'Agata, D'Auria, Ferrara 1999}$$

$$(\Lambda_{\text{I}})_{\alpha\bar{\beta}\bar{\gamma}} = \nabla_{\alpha} \nabla_{\sigma} f_1 g^{\sigma\bar{\zeta}} \bar{\Omega}_{\bar{\zeta}\bar{\beta}\bar{\gamma}} \quad \Lambda_{\text{I}} \sim r^4 G_{-} \sim r^{\delta_{\text{I}}}$$

$$\delta_{\text{I}} = 1 + \Delta_f = -1 + \sqrt{H(j_1, j_2, R + 2) + 4}$$

# D3-brane potential from non-holomorphic fluxes

$$\nabla^2 \Phi_- = \frac{g_s}{96} |\Lambda|^2$$

$$\Phi_- = \sum_{\delta_i, \delta_j} r^{\Delta(\delta_i, \delta_j)} h_{(\delta_i, \delta_j)}(\Psi) \quad \Delta \equiv \delta_i + \delta_j - 4$$

$$\delta_I = 1 + \Delta_f = -1 + \sqrt{H(j_1, j_2, R + 2) + 4}$$

# Four-dimensional curvature

$$\nabla^2 \Phi_- = \mathcal{R}_4 \quad \mathcal{R}_4 = 12H^2 \approx \frac{4}{M_{\text{pl}}^2} V = \frac{4}{M_{\text{pl}}^2} (V_0 + T_3 \Phi_-)$$

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$$V(\phi) = V_0 + T_3 \Phi_- = 2V_0 \frac{M_{\text{pl}}^2}{\phi^2} \sum_L I_{n(L)} \left( 2 \frac{\phi}{M_{\text{pl}}} \right) h_L(\Psi)$$

$$n^2(L) \equiv H(L) + 4 \quad I_n(x) = i^{-n} J_n(ix)$$

cf. Kachru, Kallosh, Linde, Maldacena, L.M., Trivedi, 2003  
Buchel & Roiban, 2003

# Four-dimensional curvature

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$$\eta = M_{\text{pl}}^2 \frac{V''}{V} = \frac{2}{3} + \dots$$

cf. Kachru, Kallosh, Linde, Maldacena, L.M., Trivedi, 2003  
Buchel & Roiban, 2003

# Spectrum of the D3-brane potential

$$\Delta_{\mathcal{H}} = \frac{3}{2}, 2, 3, \dots$$

$$\Delta_{\Lambda} = 1, 2, \frac{5}{2}, \sqrt{28} - \frac{5}{2}$$

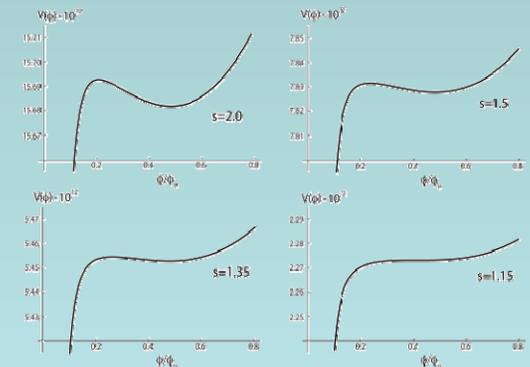
$$\Delta_{\mathcal{R}} = 2_s, 3, \frac{7}{2}, 4, \dots$$

$$\nabla^2 \Phi_- = \frac{g_s}{96} |\Lambda|^2 + \mathcal{R}_4$$

$$V = \sum_i c_i \phi^{\Delta_i} h_i(\Psi)$$

$$V(\phi) = V_0 + b_1 j_1(\Psi) \phi^1 + a_{3/2} h_{3/2}(\Psi) \phi^{3/2} + \left( c_2 + a_2 h_2(\Psi) + b_2 j_2(\Psi) \right) \phi^2 + b_{5/2} j_{5/2}(\Psi) \phi^{5/2} + b_{2.79} j_{2.79}(\Psi) \phi^{2.79} + \dots$$

Baumann, Dymarsky, Kachru, Klebanov, L.M., 1001.5028

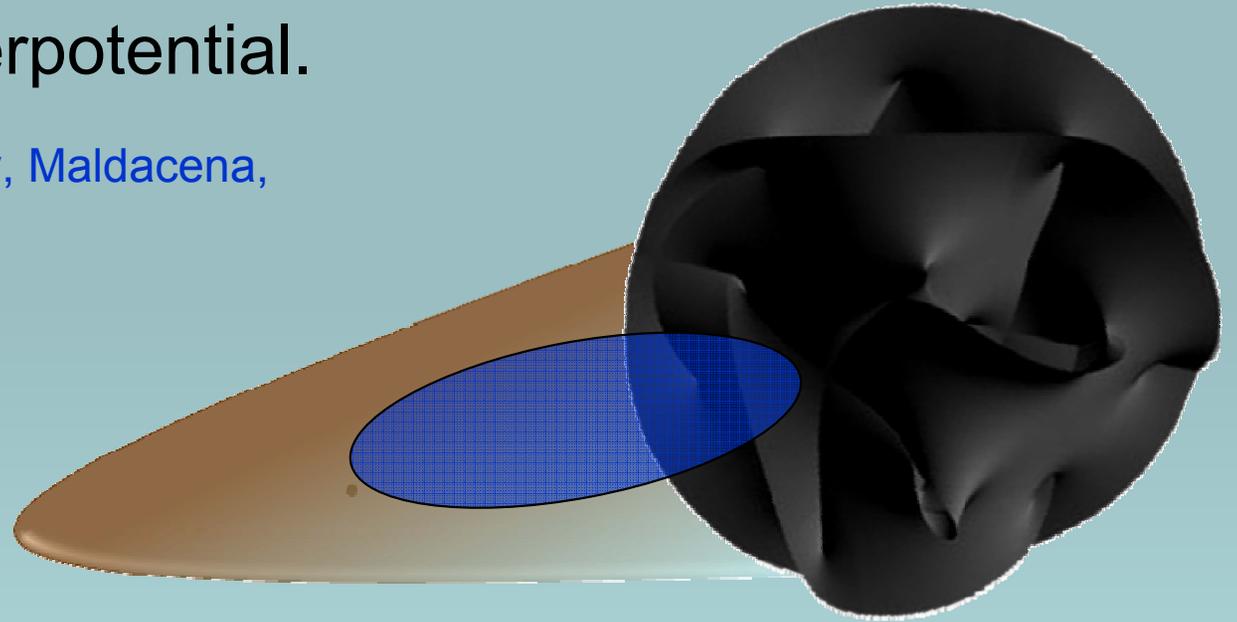


# General structure attested in examples

Special case is explicitly computable: assume the moduli-stabilizing D7-branes hang into the throat region

Can then compute superpotential.

Baumann, Dymarsky, Klebanov, Maldacena, L.M., & Murugan, 2006.



Resulting potential:

$$V(\phi) = V_0 + b_1 j_1(\Psi) \phi^1 + a_{3/2} h_{3/2}(\Psi) \phi^{3/2} + \left( c_2 + a_2 h_2(\Psi) + b_2 j_2(\Psi) \right) \phi^2 + \dots$$

Identical structure!

II.

# Gauge theory description

# Gauge theory version

Arbitrary compactification effects can be represented by incorporating arbitrary perturbations of the CFT [Lagrangian](#), including coupling it to 4D gravity and to hidden sector degrees of freedom.

$$\mathcal{L}_0 + \delta\mathcal{L} = \int d^2\theta d^2\bar{\theta} (K_0 + \delta K) + \int d^2\theta (W_0 + \delta W) + h.c.$$

We must first classify all operators in the CFT that are dual to the IASD flux modes of interest.

# Gauge theory version

Some IASD flux perturbations are dual to supersymmetric perturbations of the CFT Lagrangian,

$$\int d^2\theta \mathcal{O}_{CFT}$$

while others have supersymmetry broken by a hidden sector spurion,

$$\int d^2\theta \mathcal{O}_{CFT} X \quad X = \theta^2 F_X$$

Analogous formulae for non-chiral contributions.

# Gauge theory version

Klebanov-Witten SCFT:

SU(N) x SU(N) gauge group  $W_{\alpha}^{\pm} \equiv W_{\alpha}^{(1)} \pm W_{\alpha}^{(2)}$

SU(2) x SU(2) x U(1)<sub>R</sub> global symmetry [j<sub>1</sub>, j<sub>2</sub>, R]

bifundamentals A<sub>i</sub>, B<sub>i</sub>

Flux	Operator	$\Delta$	R	j <sub>1</sub>	j <sub>2</sub>
$\nabla\nabla f_1 \cdot \bar{\Omega}$	$[\text{Tr}(AB)^k]_{\theta^2}$	$\frac{3}{2}k + 1$	$k - 2$	$\frac{1}{2}k$	$\frac{1}{2}k$
$\partial f_2 \wedge J$	$[\text{Tr}[W_+^{\alpha}(AB)^k]]_{\theta}$	$\frac{3}{2}k + 2$	$k$	$\frac{1}{2}k$	$\frac{1}{2}k$
$f_3 \Omega$	$[\text{Tr}[(W_+^2)(AB)^k]]_{\text{b}}$	$\frac{3}{2}k + 3$	$k + 2$	$\frac{1}{2}k$	$\frac{1}{2}k$

cf. Ceresole, Dall'Agata, D'Auria, Ferrara 1999, Ceresole, Dall'Agata, D'Auria 1999

Table 7: *Matching between supergravity  $G_-$  flux modes and CFT operators.*

$\delta$	$j_1$	$j_2$	$R$	Operator		Multiplet	Type	Flux Series
$\frac{5}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	$-1$	$[S^1]_{\theta^2}$	$[\text{Tr}(AB)]_{\theta^2}$	V.I	chiral	I
3	0	0	2	$[\Phi_+^0]_{\text{b}}$	$[\text{Tr}(W_{(1)}^2 + W_{(2)}^2)]_{\text{b}}$	V.IV	chiral	III
$\frac{7}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	$[T_\alpha^1]_\theta$	$[\text{Tr}(W_\alpha(AB))]_\theta$	G.I	chiral	II
4	0	0	0	$[\Phi_-^0]_{\theta^2}$	$[\text{Tr}(W_{(1)}^2 - W_{(2)}^2)]_{\theta^2}$	V.III	chiral	★
4	0	1	0	$[{}_a L_\alpha^{2,0}]_\theta$	$[\text{Tr}(W_\alpha J_a)]_\theta$	G.I+G.III	semi-long	II
4	1	0	0	$[{}_b L_\alpha^{2,0}]_\theta$	$[\text{Tr}(W_\alpha J_b)]_\theta$	G.I+G.III	semi-long	II
4	1	1	0	$[S^2]_{\theta^2}$	$[\text{Tr}(AB)^2]_{\theta^2}$	V.I	chiral	I
$\sqrt{28} - 1$	1	1	$-2$	–	$[\text{Tr}(f)]_{\theta^2}$	V.I	long	I
$\frac{9}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	3	$[\Phi_+^1]_{\text{b}}$	$[\text{Tr}(W_{(1)}^2 + W_{(2)}^2)(AB)]_{\text{b}}$	V.IV	chiral	III
$\frac{9}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	$[\bar{\Phi}_+^1]_{\text{b}}$	$[\text{Tr}(W_{(1)}^2 + W_{(2)}^2)(\overline{AB})]_{\text{b}}$	V.IV	–	III
$\frac{9}{2}$	$\frac{1}{2}$	$\frac{3}{2}$	$-1$	$[{}_a J^1]_{\theta^2}$	$[\text{Tr}(J_a(AB))]_{\theta^2}$	V.I	semi-long	I
$\frac{9}{2}$	$\frac{3}{2}$	$\frac{1}{2}$	$-1$	$[{}_b J^1]_{\theta^2}$	$[\text{Tr}(J_b(AB))]_{\theta^2}$	V.I	semi-long	I
5	1	1	2	$[T_\alpha^2]_\theta$	$[\text{Tr}(W_\alpha(AB)^2)]_\theta$	G.I	chiral	II
5	0	1	2	$[{}_a I^0]_{\text{b}}$	$[\text{Tr}((W_{(1)}^2 + W_{(2)}^2)J_a)]_{\text{b}}$	V.IV	semi-long	III
5	1	0	2	$[{}_b I^0]_{\text{b}}$	$[\text{Tr}((W_{(1)}^2 + W_{(2)}^2)J_b)]_{\text{b}}$	V.IV	semi-long	III
$\sqrt{28}$	1	1	0	–	$[\text{Tr}(W_\alpha f)]_\theta$	G.I+G.III	long	II
$\sqrt{40} - 1$	0	2	$-2$	–	$[\text{Tr}(f_a)]_{\theta^2}$	V.I	long	I
$\sqrt{40} - 1$	2	0	$-2$	–	$[\text{Tr}(f_b)]_{\theta^2}$	cf. Ceresole, Dall'Agata, D'Auria 1999		

# Gauge theory version

The **leading ( $r^1$ ) term** comes from a superpotential perturbation by the **lowest-dimension gauge invariant operator** in the Klebanov-Witten SCFT,

$$\int d^2\theta \operatorname{Tr}(AB)$$

exactly as one would expect.

(relation to G-flux: cf. [Graña & Polchinski 2000](#).)

For general perturbations by **chiral** operators, we reproduce the gravity-side potential.

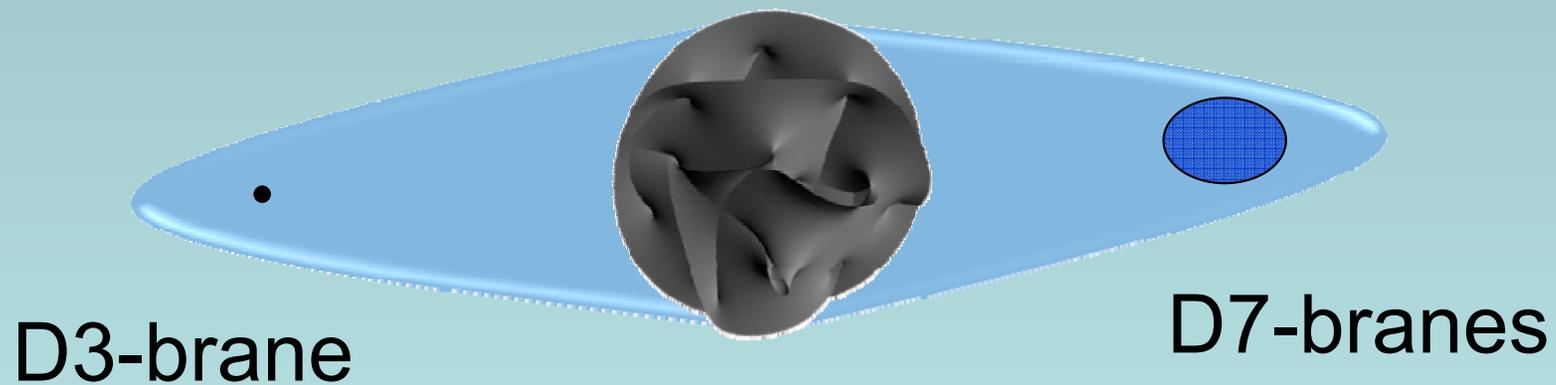
We study perturbations by **non-chiral** operators exclusively in the dual gravity description, as modes of IASD flux (with  $\Delta$  irrational in general).

III.

**IASD fluxes sourced by  
nonperturbative effects**

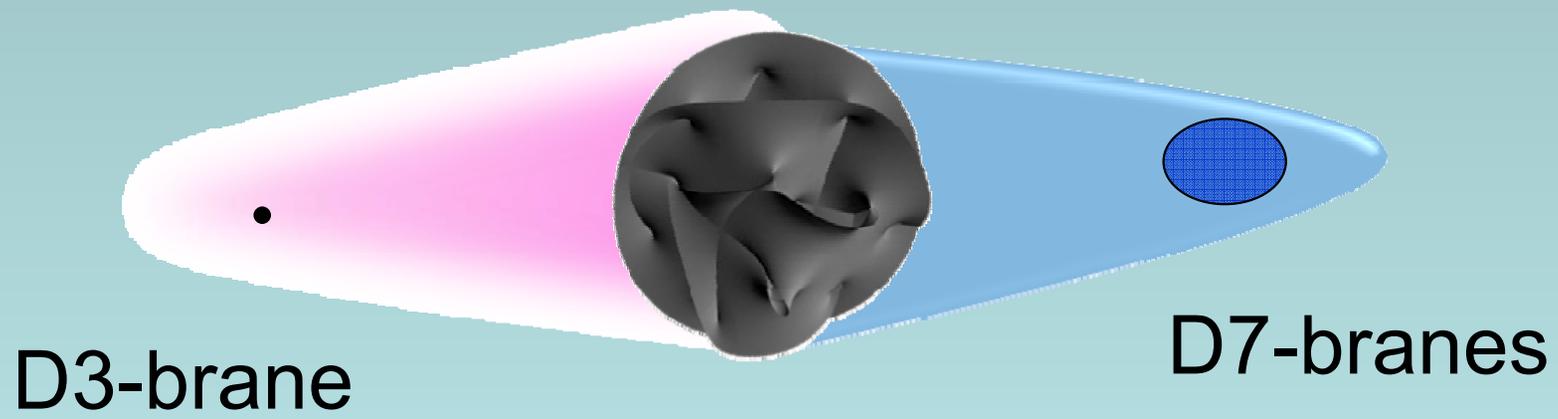
# Four-dimensional effects in ten dimensions?

cf. Frey and Lippert 2005, Koerber and Martucci 2007

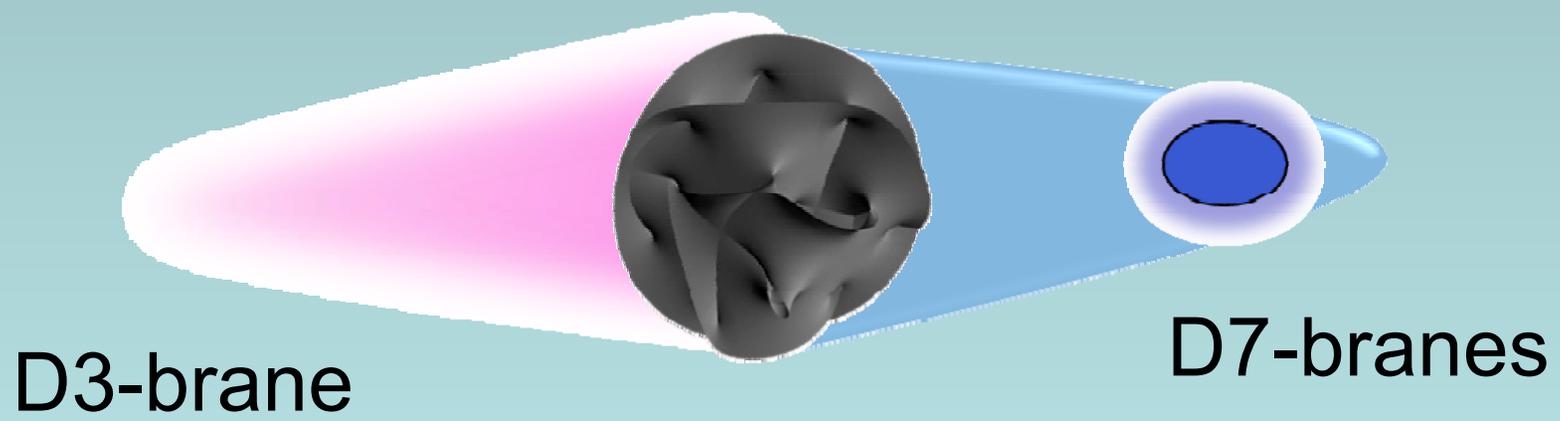


Baumann & L.M., 2006

# Four-dimensional effects in ten dimensions?

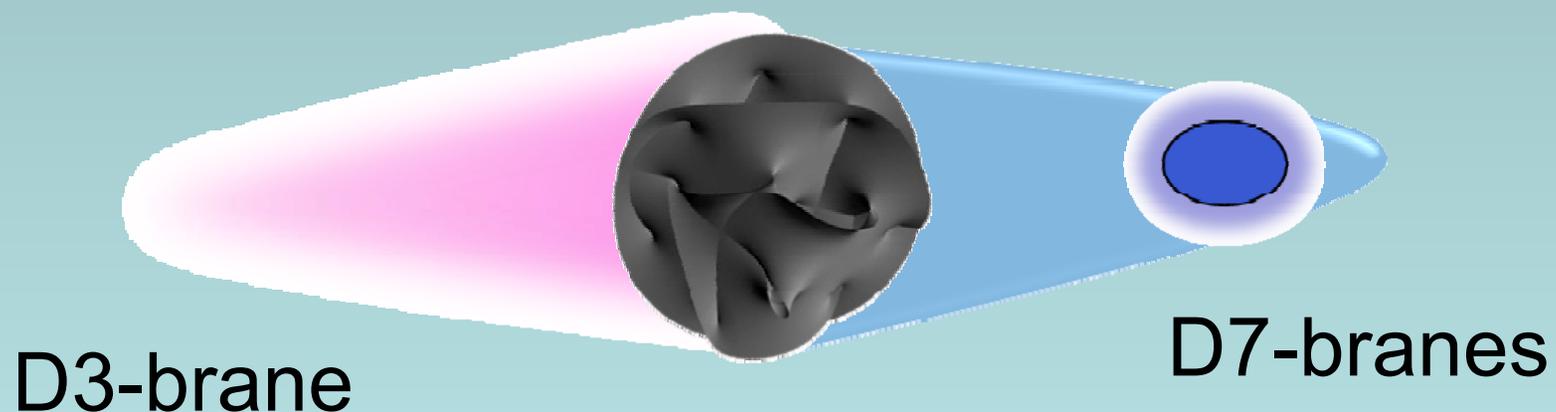


# Four-dimensional effects in ten dimensions?



# Four-dimensional effects in ten dimensions?

- Gaugino condensate is a 4d IR effect, but:
- In a suitably anisotropic compactification, can have 10d description valid locally (near D3-brane) even as D7-brane theory undergoes gaugino condensation.
- Equivalently, non-locality due to 4d nonperturbative effects is localized near the corresponding four-cycle.



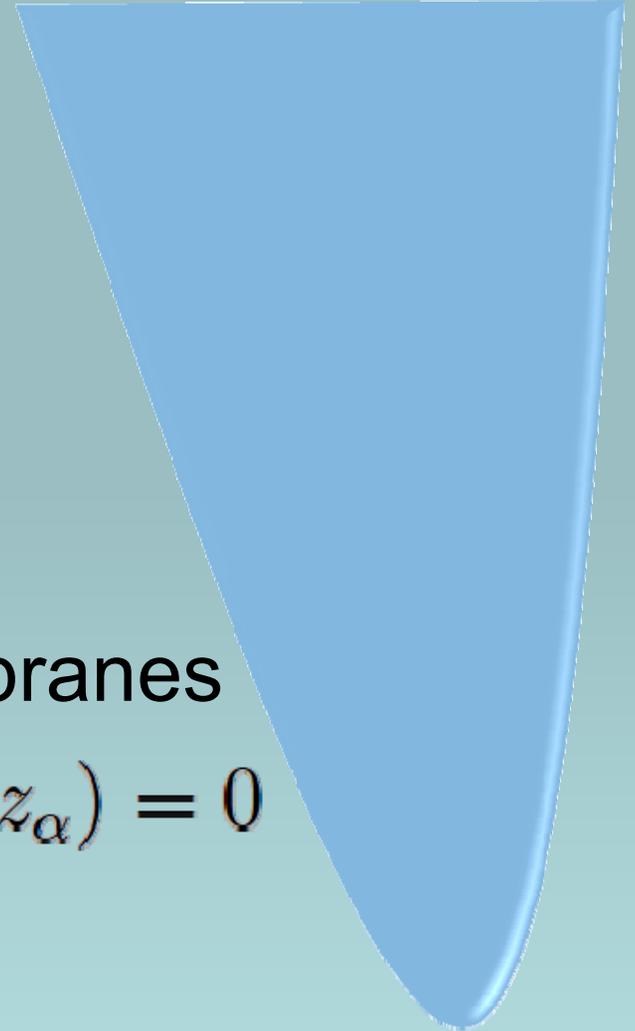
# Noncompact example

$$W_{\text{np}}(z_\alpha) = \mathcal{A}_0 h(z_\alpha)^{1/N_c} e^{-a\rho}$$

$$V = g^{\alpha\bar{\beta}} \nabla_\alpha W \overline{\nabla_\beta W}$$

$N_c$  D7-branes

$$\Sigma : h(z_\alpha) = 0$$



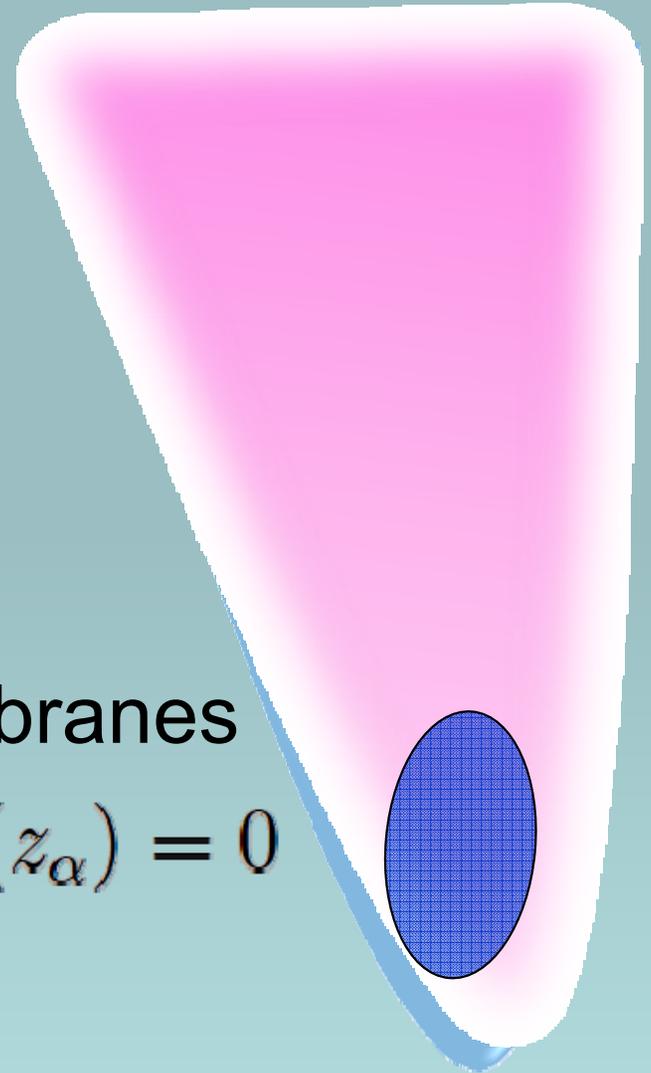
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$N_c$  D7-branes

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# Gaugino condensation sources IASD flux

First, work out complete 10d EOM for fluxes.  
The D7-brane gaugino mass comes from the coupling

$$\mathcal{L} = 16 c_0 \zeta \int_{\Sigma} \sqrt{g} G_3 \cdot \Omega \bar{\lambda} \lambda + c.c. \quad \zeta \equiv T_3 \sqrt{\frac{g_s}{32}}$$

Cámara, Ibáñez, Uranga 2004

$$m_{\lambda} \propto G_{0,3} \Leftrightarrow F_{\rho}$$

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Cámara, Ibáñez, Uranga 2004

This yields a **local source term** in the 10d EOM,

$$d\Lambda = d\left(\frac{2\pi}{\zeta} \bar{\Omega} \lambda \lambda \delta^{(0)}\right) \quad \delta^{(0)} = \frac{1}{2\pi} \nabla^2 \text{Re}(\log h)$$
$$\star_6 \Lambda = -i\Lambda$$

Heretofore omitted because  $\langle \text{Tr} \lambda \lambda \rangle = 0$  in classical solutions. But we must include this source term!

# Gaugino condensation sources IASD flux

To solve

$$d\Lambda = d\left(\frac{2\pi}{\zeta} \bar{\Omega} \lambda \lambda \delta^{(0)}\right) \quad \delta^{(0)} = \frac{1}{2\pi} \nabla^2 \text{Re}(\log h)$$
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we note that for  $(\Lambda_I)_{\alpha\bar{\beta}\bar{\gamma}} = \nabla_\alpha \nabla_\sigma f_1 g^{\sigma\bar{\zeta}} \bar{\Omega}_{\bar{\zeta}\bar{\beta}\bar{\gamma}}$ ,

$$d\Lambda = d\left(\frac{1}{2} \nabla^2 f_1 \wedge \bar{\Omega}\right)$$

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$$d\Lambda = d\left(\frac{1}{2} \nabla^2 f_1 \wedge \bar{\Omega}\right)$$

so the solution is  $f_1 = 2\zeta^{-1} \lambda\lambda \text{Re}(\log h(z_\alpha))$

# Gaugino condensation sources IASD flux

- With (1,2) flux given by this solution,

$$(\Lambda_I)_{\alpha\bar{\beta}\bar{\gamma}} = \nabla_{\alpha}\nabla_{\sigma}f_1 g^{\sigma\bar{\zeta}} \bar{\Omega}_{\bar{\zeta}\bar{\beta}\bar{\gamma}} \quad f_1 = 2\zeta^{-1}\lambda\lambda \operatorname{Re}(\log h(z_{\alpha}))$$

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to find a 10d DBI+CS potential

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we use 
$$\Phi_- = \frac{g_s}{32} \left[ g^{\alpha\bar{\beta}} \nabla_\alpha f_1 \overline{\nabla_\beta f_1} \right]$$

to find a 10d DBI+CS potential

that precisely coincides with

the 4d F-term potential

computed with  $W = \mathcal{A}_0 h(z_\alpha)^{1/N_c} e^{-a\rho}$

The sourced IASD flux ‘geometrizes’ the gaugino condensate superpotential.

# Conclusions

- Obtained structure of the potential for a D3-brane in a conifold attached to a general compact space.
- All significant contributions to the D3-brane potential captured in 10d supergravity.
- Results consistent with computation in the dual gauge theory and in 4d supergravity.
- Gaugino condensation on D7-branes sources IASD flux.
  - The flux ‘geometrizes’ the nonperturbative superpotential.