

Phenomenology of Supersolids

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A. T. Dorsey, P. M. Goldbart, and J. Toner, Phys. Rev. Lett. **96**,
055301 (2006).

C.-D. Yoo and A. T. Dorsey, work in progress.

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Outline

- Phenomenology-what can we learn without a microscopic model?
 - Landau theory of the normal solid to supersolid transition: coupling superfluidity to elasticity.
- Assumptions:
 - Normal to supersolid transition is continuous (2nd order).
 - Supersolid order parameter is a complex scalar (just like the *superfluid* phase).
 - What is the effect of the elasticity on the transition?
- Hydrodynamics of a supersolid:
 - Employ conservation laws and symmetries to deduce the long-lived hydrodynamic modes.
 - Mode counting: additional collective mode in the supersolid phase.
 - Use linearized hydrodynamics to calculate $S(\mathbf{q},\omega)$.

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Landau theory for a superfluid

- Symmetry of order parameter $\psi(\mathbf{x}) \rightarrow \psi(\mathbf{x})e^{i\phi}$
- Broken U(1) symmetry for $T < T_c$.
- Coarse-grained free energy:

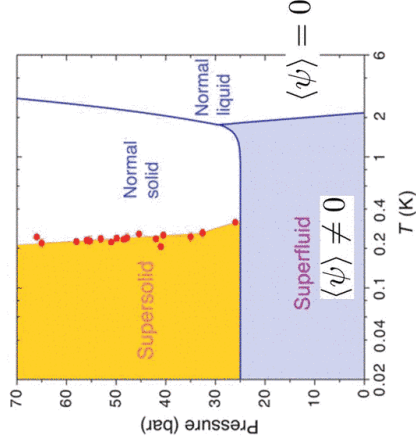
$$\mathcal{F}_{sf} = \int_{\mathbf{x}} \left\{ \frac{1}{2}c|\nabla\psi|^2 + \frac{1}{2}a(T)|\psi|^2 + \frac{w}{4!}|\psi|^4 \right\},$$

$$a(T) = a_0(T - T_0)$$

- Average over configurations:

$$Z = \int D\psi D\psi^* e^{-\mathcal{F}_{sf}/T}, \quad F = -T \ln Z$$

- Fluctuations shift $T_0 \rightarrow T_c$, produce singularities as a function of the reduced temperature $t = |(T - T_c)/T_c|$.
- Universal exponents and amplitude ratios.



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Specific heat near the λ transition

- The singular part of the specific heat is a correlation function:

$$S = -\partial F / \partial T \propto -\partial F / \partial a(T) = \int_{\mathbf{x}} \langle |\psi(\mathbf{x})|^2 \rangle$$

$$C = T(\partial S / \partial T) \propto \int_{\mathbf{x}} \int_{\mathbf{x}'} \langle |\psi(\mathbf{x})|^2 |\psi(\mathbf{x}')|^2 \rangle \sim A_{\pm} |t|^{-\alpha}$$

- For the λ transition, $\alpha = -0.0127$.

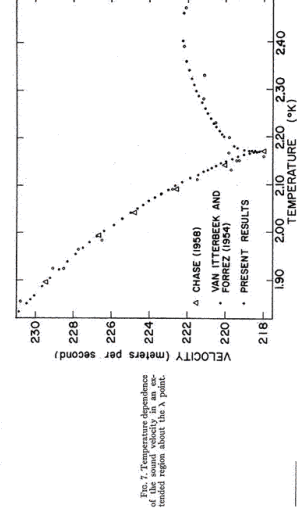
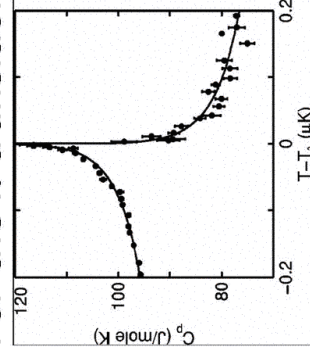


Fig. 7. Temperature dependence of the velocity dispersion in the normal region about the λ point.

Lipa et al., Phys. Rev. B (2003).

Barmatz & Rudnick, Phys. Rev. (1968)

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Sound speed

- What if we allow for local density fluctuations $\delta\rho$ in the fluid, with a bare bulk modulus B_0 ? The coarse-grained free energy is now

$$\mathcal{F}_{\text{sf}} = \int_{\mathbf{x}} \left\{ \frac{1}{2}c|\nabla\psi|^2 + \frac{1}{2}a(T)|\psi|^2 + \frac{w}{4!}|\psi|^4 + \frac{1}{2}g_0\delta\rho(\mathbf{x})|\psi|^2 + \frac{1}{2}B_0\delta\rho(\mathbf{x})^2 \right\}$$

- The “renormalized” bulk modulus B is then

$$B = B_0 - \frac{g_0^2}{4T} \int_{\mathbf{x}} \int_{\mathbf{x}'} \langle |\psi(\mathbf{x})|^2 |\psi(\mathbf{x}')|^2 \rangle$$

- The sound speed acquires the specific heat singularity (Pippard-Buckingham-Fairbank):

$$\delta c/c_0 = \delta B/2B_0 \propto -C(t) \propto -|t|^{-\alpha}$$

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Coupling superfluidity & elasticity

- Structured (rigid) superfluid: need anisotropic gradient terms: $c|\nabla\psi|^2 \rightarrow c_{\alpha\beta}\partial_\alpha\psi\partial_\beta\psi^*$

- Elastic energy: Hooke's law. 5 independent elastic constants for an hcp lattice: $u_{\alpha\beta} = \frac{1}{2}[\partial_\alpha u_\beta + \partial_\beta u_\alpha]$

$$\mathcal{F}_{\text{elastic}}[u_{\alpha\beta}] = \mathcal{F}_{\text{elastic}}[0] + \int_{\mathbf{x}} \frac{1}{2}\lambda_{\alpha\beta\gamma\delta} u_{\alpha\beta} u_{\gamma\delta}$$

- Compressible lattice: couple strain to the order parameter, obtain a strain dependent T_c .

$$a(T, u_{\alpha\beta}) = a^{(0)}(T) + a_{\alpha\beta}^{(1)} u_{\alpha\beta} + \dots$$

- Minimal model for the normal to supersolid transition:

$$\mathcal{F}_{\text{ss}} = \int_{\mathbf{x}} \left\{ \frac{1}{2}c_{\alpha\beta}\partial_\alpha\psi\partial_\beta\psi^* + \frac{1}{2}a^{(0)}|\psi|^2 + \frac{w}{4!}|\psi|^4 + \frac{1}{2}\lambda_{\alpha\beta\gamma\delta} u_{\alpha\beta} u_{\gamma\delta} + \frac{1}{2}a_{\alpha\beta}^{(1)} u_{\alpha\beta} |\psi|^2 \right\}.$$

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Related systems

- Analog: XY ferromagnet on a compressible lattice. Exchange coupling will depend upon the local dilation of the lattice.

$$\mathcal{H} = - \sum_{\langle ij \rangle} J(\mathbf{R}_i - \mathbf{R}_j) \mathbf{S}_i \cdot \mathbf{S}_j$$

- Studied extensively: Fisher (1968), Larkin & Pikin (1969), De Moura, Lubensky, Imry & Aharony (1976), Bergman & Halperin (1976), ...
- Under some conditions the elastic coupling can produce a first order transition.
- Other systems:
 - Charge density waves: Aronowitz, Goldbart, & Mozurkewich (1990).
 - Spin density waves: M. Walker (1990s).
 - A15 superconductors: L.R. Testardi (1970s).

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Universality of the transition

- De Moura, Lubensky, Imry & Aharony (1976): elastic coupling doesn't effect the universality class of the transition *if* the specific heat exponent of the rigid system is negative, which it is for the 3D XY model. *The critical behavior for the supersolid transition is in the 3D XY universality class.*
- But coupling does matter for the elastic constants:

$$\begin{aligned} \lambda_{\alpha\beta\gamma\delta} &= -T \frac{\partial^2 F}{\partial u_{\alpha\beta} \partial u_{\gamma\delta}} \\ &= \lambda_{\alpha\beta\gamma\delta}^{(0)} - \frac{1}{4T} a_{\alpha\beta}^{(1)} a_{\gamma\delta}^{(1)} \int_{\mathbf{x}} \int_{\mathbf{x}'} \langle |\psi(\mathbf{x})|^2 |\psi(\mathbf{x}')|^2 \rangle_0 \end{aligned}$$

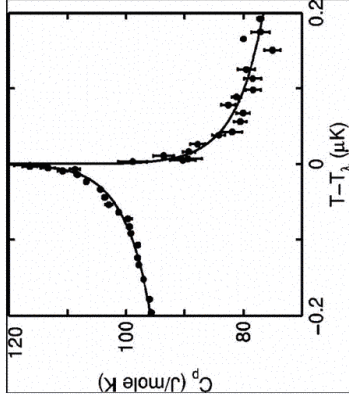
- Could be detected in a sound speed experiment as a dip in the sound speed.
- Anomaly appears in the “longitudinal” sound in a single crystal. Should appear in both longitudinal and transverse sound in polycrystalline samples.

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Specific heat

High resolution specific heat measurements of the lambda transition in zero gravity.



Specific heat near the putative supersolid transition in solid ^4He .

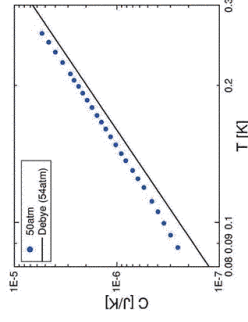


Fig. 2. Heat capacity data for pure solid ^4He under a pressure of 50bar in a volume of 0.08cc. The addenda heat capacity is negligible (see Fig. 3).

J.A. Lipa et al.,
Phys. Rev. B **68**, 174518 (2003).

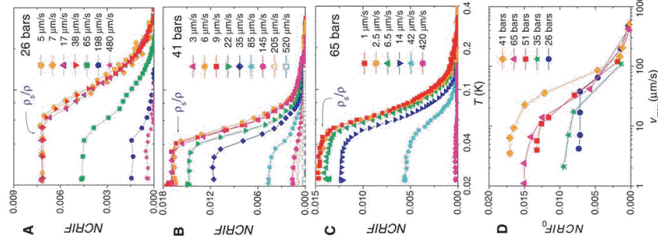
A.C. Clark & M.H.W. Chan,
J. Low Temp. Phys. **138**, 853 (2005).

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Inhomogeneous strains

- Inhomogeneous strains result in a local T_C . The local variations in T_C will broaden the transition.
- Could “smear away” any anomalies in the specific heat.
- Strains could be due to geometry, dislocations, grain boundaries, etc.
- Need to prepare strain-free samples (?).
- N.B.: similar effects observed in superconductors (A15 compounds).



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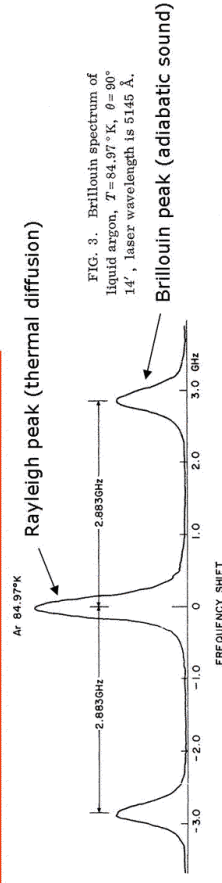
Hydrodynamics I: simple fluid

- Conservation laws and broken symmetries lead to long-lived "hydrodynamic" modes (lifetime diverges at long wavelengths).
- Simple fluid:
 - Conserved quantities are ρ , g_i , e .
 - $\partial_t \rho + \partial_i g_i = 0$ (conservation of mass),
 - $\partial_t g_i + \partial_j \sigma_{ij} = 0$ (conservation of momentum),
 - $\partial_t e + \partial_i J_i^Q = 0$ (conservation of energy).
 - No broken symmetries.
 - 5 conserved densities) 5 hydrodynamic modes.
 - 2 transverse momentum diffusion modes
 $\omega = -iD_t q^2$.
 - 1 longitudinal thermal diffusion mode
 $\omega = -iD_T q^2$
 - 2 longitudinal sound modes
 $\omega = \pm c_1 q$

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Light scattering from a simple fluid



P. A. Fleury and J. P. Boon, Phys. Rev. **186**, 244 (1969)

- Intensity of scattered light: $I(\mathbf{q}, \omega) \propto S(\mathbf{q}, \omega)$ $S(\mathbf{q}, \omega) = \langle \delta\rho(\mathbf{q}, \omega) \delta\rho(-\mathbf{q}, -\omega) \rangle$
- Longitudinal modes couple to density fluctuations.
 - Sound produces the Brillouin peaks.
 - Thermal diffusion produces the Rayleigh peak (coupling of thermal fluctuations to the density through thermal expansion).

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Hydrodynamics II: superfluid

- Conserved densities ρ , g_j , e .
- Broken U(1) gauge symmetry

$$\psi(\mathbf{r}) = |\psi(\mathbf{r})|e^{i\theta(\mathbf{r})}, \quad \mathbf{v}_s = \frac{\hbar}{m} \nabla\theta.$$

- Another equation of motion:

$$\partial_t\theta = \mu/\hbar \quad \text{Josephson relation.}$$

- 6 hydrodynamic modes:
 - 2 transverse momentum diffusion modes.
 - 2 longitudinal (first) sound modes.
 - 2 longitudinal second sound modes.
- Central Rayleigh peak splits into two new Brillouin peaks.

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Light scattering in a superfluid

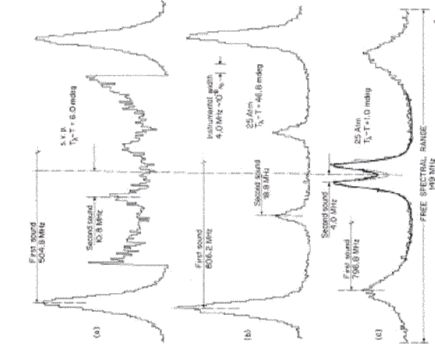


FIG. 1. Brillouin spectra showing first and second sound in superfluid He. In the central region of the upper trace (a) the gain is increased by a factor of 10. The counting rate in the second sound is (b) is about 3 Hz.

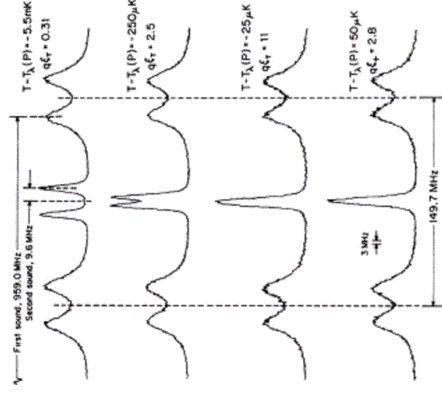


FIG. 6. Four Brillouin spectra taken at $P_\lambda = 23.1$ bars near the λ transition of liquid ^4He . In the top spectrum the frequency shifts of first and second sound are marked. For second sound, zero-frequency shift is at the center of the marked free spectral range (149.7 MHz). The instrumental linewidth (3 MHz) is also shown.

Winterling, Holmes & Greytak PRL 1973

Tarvin, Vidal & Greytak 1977

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Solid “hydrodynamics”

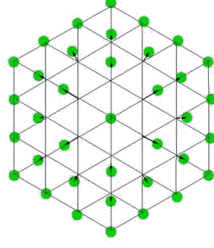
- Conserved quantities: ρ , g_i , e .
- Broken translation symmetry: u_i , $i=1,2,3$
- Mode counting: 5 conserved densities and 3 broken symmetry variables) 8 hydrodynamic modes. For an isotropic solid (two Lamé constants λ and μ):
 - 2 pairs of transverse sound modes (4),
 - 1 pair of longitudinal sound modes (2),
 - 1 thermal diffusion mode (1).
- What’s missing? Martin, Parodi, and Pershan (1972): diffusion of vacancies and interstitials.

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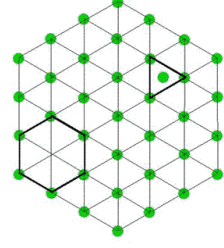
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Vacancies and interstitials

- Local density changes arise from either lattice fluctuations or vacancies and interstitials.
- $\delta\rho = \delta\rho_{\Delta} - \rho_0 \nabla \cdot \mathbf{u}$
- Neglect of vacancies will lead to a missing mode.
- In classical solids vacancies diffuse slowly. Density of vacancies is small at low temperatures.
- Does ^4He have zero point vacancies?



$$\delta\rho = -\rho_0 \nabla \cdot \mathbf{u}$$



$$\delta\rho_{\Delta} = (\delta\rho_{\text{interstitial}} - \delta\rho_{\text{vacancy}})$$

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Supersolid hydrodynamics

- Conserved quantities: ρ , g_i , e
- Broken symmetries: u_i , gauge symmetry.
- Mode counting: 5 conserved densities and 4 broken symmetry variables) 9 hydrodynamic modes.
 - 2 pairs of transverse sound modes (4).
 - 1 pair of longitudinal sound modes (2).
 - 1 pair of longitudinal "fourth sound" modes (2).
 - 1 longitudinal thermal diffusion mode.
- Use Andreev & Lifshitz hydrodynamics to derive the structure function (isothermal, isotropic solid). New Brillouin peaks below T_c .

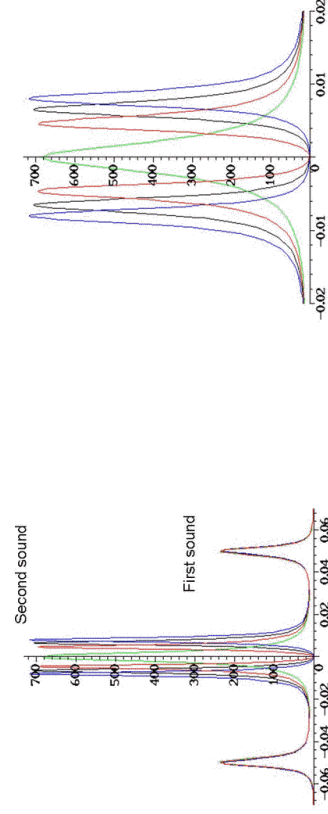
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Structure function for supersolid

$$\frac{c_L^4}{D_\Delta \rho_0} \frac{\beta S(\vec{q}, \tilde{\omega})}{2} \sim \frac{[\delta + \Omega(2 - \delta)] \tilde{q}^4}{[\tilde{\omega}^2 - (1 + \Omega) \tilde{q}^2]^2 + [(\delta + 2\Omega) \tilde{q}^2 \tilde{\omega}]^2} + \frac{\delta^2 \Omega \tilde{q}^4}{(\tilde{\omega}^2 - \delta \Omega \tilde{q}^2)^2 + [(1 - 2\Omega) \tilde{q}^2 \tilde{\omega}]^2} + \frac{\tilde{q}^2 [\delta + 2\Omega(2 - \delta)] (\tilde{\omega}^2 - \delta \Omega \tilde{q}^2)}{(\tilde{\omega}^2 - \delta \Omega \tilde{q}^2)^2 + [(1 - 2\Omega) \tilde{q}^2 \tilde{\omega}]^2},$$

$$\tilde{\omega} = D_\Delta \omega / c_L^2, \quad \tilde{q} = D_\Delta q / c_L, \quad \Omega = \rho_{s0} / \rho_0, \quad \delta = 1 / (\rho_0 \chi c_L^2).$$



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Summary

- Constructed a Landau theory of the normal solid to supersolid transition. Coupling to the elastic degrees of freedom doesn't change the critical behavior.
 - Predicted anomalies in the elastic constants that should be observable in sound speed measurements.
 - Noted the importance of inhomogeneous strains in rounding the transition.
- Used linearized hydrodynamics to derive the structure function of a model supersolid. A new collective mode emerges in the supersolid phase, which might be observable in light scattering.