## Double Negative Metamaterial Designs, Experiments, and Applications

### Richard W. Ziolkowski

Electromagnetics Laboratory
Department of Electrical and Computer Engineering
University of Arizona
Tucson, Arizona 85721-0104

ziolkowski@ece.arizona.edu Tel. (520) 621-6173 Fax. (520) 621-8076

Santa Barbara Center for Theoretical Physics
Quantum Optics Workshop: Week 1



### **Metamaterials**

Artificial materials that exhibit electromagnetic responses generally not found in nature

Metamaterials exhibit qualitatively new response functions that are not observed in the constituent materials themselves and result, for instance, from the inclusion of artificially fabricated, extrinsic, low dimensional inhomogeneities

### Examples:

Artificial dielectrics FSS, Electromagnetic bandgap structures Negative index (neg eps, mu) materials

Metamaterials may lead to new physics and engineering concepts

Compact DNG metamaterials having negative index of refraction have been designed, fabricated and tested experimentally

- FIFSS and FDTD simulators have been used to design several DNG (ε < 0 and  $\mu$  < 0) metamaterials (MTMs)
- Extraction formula have been derived to determine the MTM's effective permittivity and permeability
- ➤ Experimental results confirm the realization of DNG MTMs that are matched to free space and have a negative index of refraction
- ➤ Several potential applications have been studied: Efficient Electrically Small Antennas (EESAs)



### Metamaterials lead to a variety of novel electromagnetic effects

- > The propagation characteristics of waves in DNG media  $(\; \epsilon < 0, \mu < 0 \;\;)$  confirm the possibility of a negative index of refraction
- **▶** Negative angles of refraction exist for DNG media
- ➤ DNG Drude MTMs have been characterized with an FDTD simulator and confirm
  - \* paraxial beam focusing
  - **❖** negative angles of refraction for power flow
- Demonstrate phase and phase front compensators



EM Properties of aggregates of atoms / molecules are typically characterized by their electric and magnetic dipole moments

E p

$$P = \Sigma_i p_i / V$$

$$= \varepsilon_0 \chi E$$

$$\varepsilon = \varepsilon_0 (1 + \chi)$$

 $\chi = electric$  susceptibility



The Debye and Lorentz linear polarization models produce well-known material responses

Debye Model

$$\partial_t P_x + \Gamma_E P_x = \epsilon_0 \Gamma_E \chi_\alpha E_x$$

Lorentz Model

$$\partial_t^2 \, P_x + \Gamma_E \, \partial_t \, P_x + \omega_0^2 \, P_x = \, \epsilon_0 \, \omega_0^2 \, \chi_\alpha \, E_x$$

$$\hat{P}_x(\omega) = \frac{\omega_0^2 \chi_\alpha}{-\omega^2 + j\omega\Gamma_E + \omega_0^2} \epsilon_0 \hat{E}_x(\omega)$$

**Drude Model** 

$$\partial_t^2 P_x + \Gamma_E \partial_t P_x = \epsilon_0 \,\omega_p^2 \, E_x$$



Several metamaterial models have been studied. They produce a variety of novel electromagnetic responses.

Time Derivative Debye Model

$$\partial_t P_x + \Gamma_E P_x = \epsilon_0 \Gamma_E \chi_\alpha E_x + \epsilon_0 \chi_\beta \partial_t E_x$$

Time-Derivative Lorentz Model

$$\partial_t^2 P_x + \Gamma_E \partial_t P_x + \omega_0^2 P_x = \epsilon_0 \omega_0^2 \chi_\alpha E_x + \epsilon_0 \omega_0 \chi_\beta \partial_t E_x$$

Two Time-Derivative Lorentz Model

$$\partial_t^2 P_x + \Gamma_E \partial_t P_x + \omega_0^2 P_x = \epsilon_0 \omega_0^2 \chi_\alpha E_x + \epsilon_0 \omega_0 \chi_\beta \partial_t E_x + \epsilon_0 \chi_\gamma \partial_t^2 E_x$$

These models have been implemented and tested with our 1D, 2D, and 3D FDTD simulators



Material responses are incorporated into our FDTD Maxwell equation solver through equivalent polarization and magnetization models

Recursive Convolution Method

$$D = \varepsilon * E$$

Auxiliary Differential Equation Method

$$A(\partial_t) D = B(\partial_t) E$$

Polarization / Magnetization Method

$$A(\partial_t) P = B(\partial_t) E$$

P & M equations are solved self-consistently with Maxwell equations



## The matched DNG medium was simulated with a lossy Drude model

Lossy Drude permittivity

$$\epsilon(\omega) = \epsilon_0 \left( 1 - \frac{\omega_{pe}^2}{\omega(\omega + i\Gamma_e)} \right)$$

Lossy Drude permeability

$$\mu(\omega) = \mu_0 \left( 1 - \frac{\omega_{pm}^2}{\omega(\omega + i\Gamma_m)} \right)$$

Matching conditions

$$\omega_{pe} = \omega_{pm}$$

$$\Gamma_e = \Gamma_m$$

$$Z(\omega) = \sqrt{\frac{\mu(\omega)}{\epsilon(\omega)}} = \sqrt{\frac{\mu_0}{\epsilon_0}} = Z_0$$



The 1D time domain equations solved with the FDTD simulator for the matched DNG medium were straight-forward

$$\partial_t E_x = \frac{1}{\epsilon_0} \left( -\partial_z H_y - J_x \right)$$

$$\partial_t J_x + \Gamma J_x = \epsilon_0 \,\omega_p^2 \, E_x$$

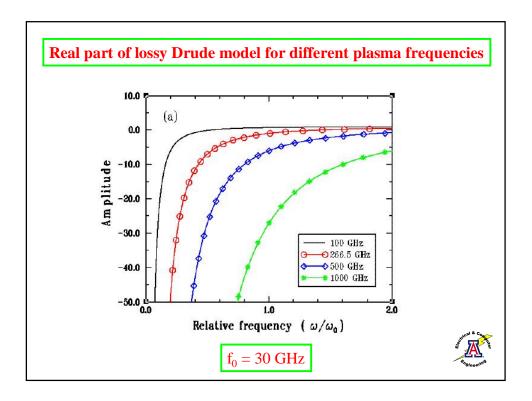
$$\partial_t H_y = \frac{1}{\mu_0} \left( -\partial_z E_x - K_y \right)$$

$$\partial_t K_y + \Gamma K_y = \mu_0 \,\omega_p^2 \, H_y$$

Note:  $K_x$  has been normalized by  $\mu_0$  to make the magnetic current equation dual to the electric current definition.

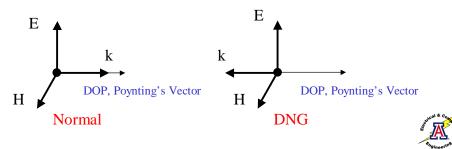
Note: Matching is achieved by placing the E's, H's, J's and K's in FDTD cells in the correct manner





# Wave properties in DNG media are "unusual" Wave propagation and power flow is causal

- The medium is right-handed with respect to the direction of propagation
- ★ The medium is left-handed with respect to the direction the wave vector direction



Ziolkowski and Heyman have determined the correct, causal square root choice and confirmed it with FDTD simulations

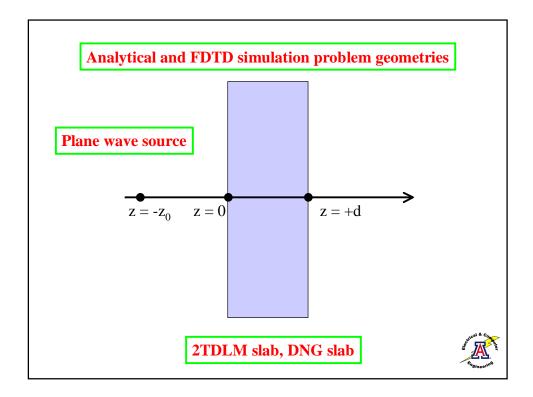
For a slightly lossy DNG medium

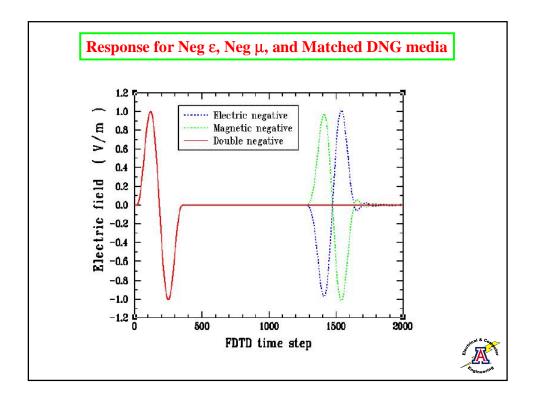
$$n \simeq -\sqrt{|\epsilon_r \mu_r|} \left[ 1 - i \frac{1}{2} \left( \frac{\epsilon_i}{|\epsilon_r|} + \frac{\mu_i}{|\mu_r|} \right) \right]$$
$$\zeta \simeq +\sqrt{|\mu_r/\epsilon_r|} \left[ 1 - i \frac{1}{2} \left( \frac{\mu_i}{|\mu_r|} - \frac{\epsilon_i}{|\epsilon_r|} \right) \right]$$

If  $\epsilon < 0$  and  $\mu < 0$ , this means the correct causal choice gives

$$n_r(\omega) < 0$$
  $n_i(\omega) > 0$   
 $Z_r(\omega) > 0$ 







### Several EM quantities were monitored

Correct form of Poynting's theorem in a dispersive medium

$$\begin{split} - \int_{\Sigma = \partial V} \vec{S} \cdot \hat{n}_{\Sigma} \, d\Sigma &= \int_{V} \left[ \epsilon_{0} \vec{E} \cdot \partial_{t} \vec{E} + \vec{E} \cdot \partial_{t} \vec{P} \right. \\ &+ \mu_{0} \vec{H} \cdot \partial_{t} \vec{H} + \mu_{0} \vec{H} \cdot \partial_{t} \vec{M} \right] dV \end{split}$$

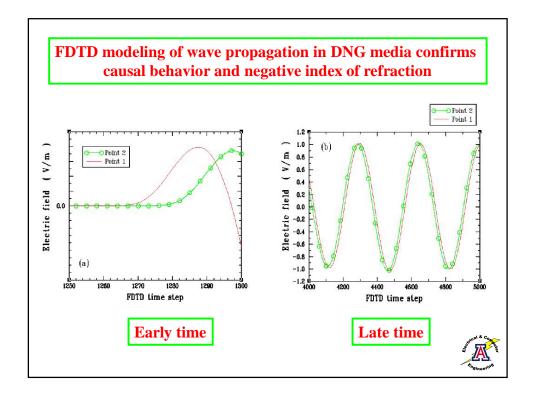
The form of Poynting's theorem in an homogenous, non-dispersive medium  $\,$ 

$$- \int_{\Sigma = \partial V} \vec{S} \cdot \hat{n}_{\Sigma} \, d\Sigma = \partial_t \int_V \left[ \frac{1}{2} \epsilon |\vec{E}|^2 + \frac{1}{2} \mu |\vec{H}|^2 \right] \, dV = \partial_t U_{EM}$$

The index of refraction

$$n_{FDTD} = \frac{1}{ik_0 (z_2 - z_1)} \ln \left| \frac{\tilde{E}_x(z_2, \omega)}{\tilde{E}_x(z_1, \omega)} \right|$$





The two time derivative Lorentz model produces a causal metamaterial response

Two Time-Derivative Lorentz Model

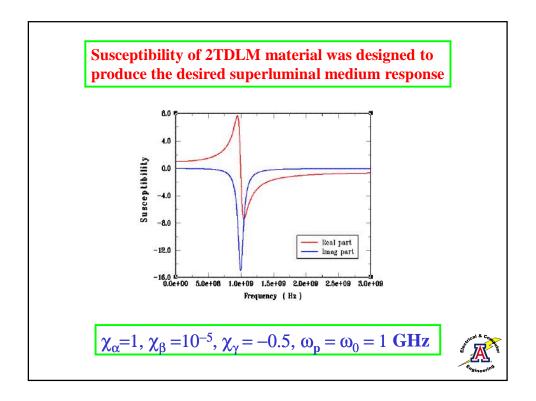
$$\partial_t^2 P_x + \Gamma_E \partial_t P_x + \omega_0^2 P_x = \epsilon_0 \omega_0^2 \chi_\alpha E_x + \epsilon_0 \omega_0 \chi_\beta \partial_t E_x + \epsilon_0 \chi_\gamma \partial_t^2 E_x$$

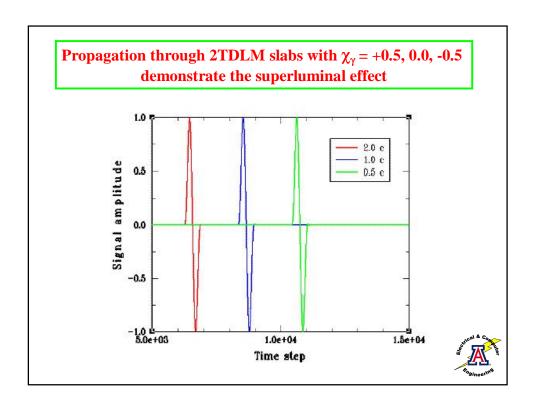
$$\hat{P}_x(\omega) = \frac{\omega_0^2 \, \chi_\alpha + j\omega \, \omega_0 \, \chi_\beta - \omega^2 \, \chi_\gamma}{-\omega^2 + j\omega \Gamma_F + \omega_0^2} \, \epsilon_0 \, \hat{E}_x(\omega)$$

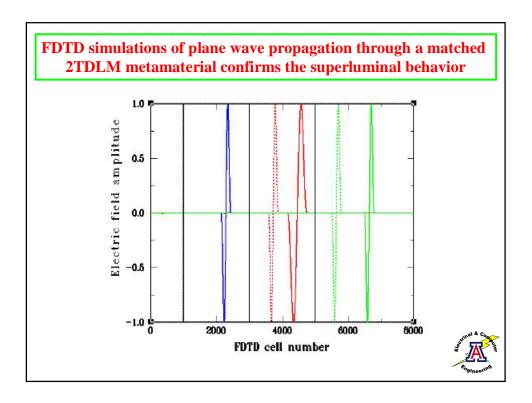
This implies the limiting properties

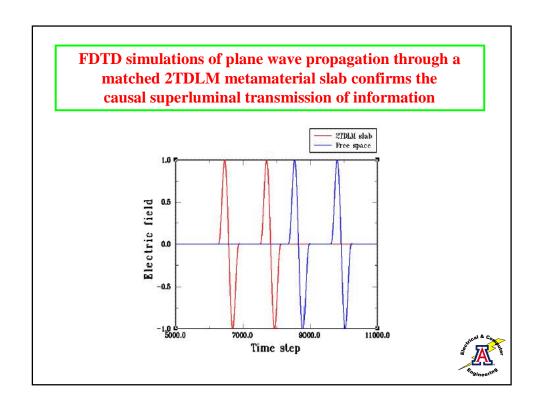
$$\lim_{\omega \to 0} \frac{\epsilon(\omega)}{\epsilon_0} = 1 + \chi_{\alpha}$$
$$\lim_{\omega \to \infty} \frac{\epsilon(\omega)}{\epsilon_0} = 1 + \chi_{\gamma}$$











### Double negative (DNG) media ( $\epsilon < 0$ and $\mu < 0$ ) can be realized with metamaterial constructs

- "Perfect Lens Effect"
  Pendry, PRL Oct. 2000,
- Waves are not focused in general DNG medium but rather beams are produced

Ziolkowski and Heyman, PRE, October 2001

• Direction of Power Flow

Positive: Valanju, Walser, Valanju, PRL, May 2002 Negative: Caloz, Xhang, Itoh, JAP, December 2001 Negative: Kong, Wu, Zhang, Microwave Opt. Tech. Lett., April 2002

Negative: Ziolkowski and Heyman, PRE, October 2001



#### General result:

Beams are formed in the DNG slab rather than foci

NOTE: Slab solution is independent of square root choice

NOTE: Correct propagating and evanescent spectra

NOTE: Foci appear only for one special case:

$$\epsilon = -\epsilon_0, \, \mu = -\mu_0, \, n = -1$$

Then  $\kappa=\kappa_0=+1$  and a perfect foci appear for  $z_{f1}=|z_0|\quad (\text{in slab}) \qquad z_{f2}=2d-|z_0|\quad (\text{beyond slab})$ 



### General lossy, dispersive DNG slab: Beams are formed rather than foci

Paraxial result

$$g \approx \frac{1}{-2ik_0} e^{+ik_0(|z_0| - \tilde{n}z)} e^{-i\frac{k_f^2}{2k_0}(|z_0| - z/\tilde{n})} \qquad 0 < z < d$$

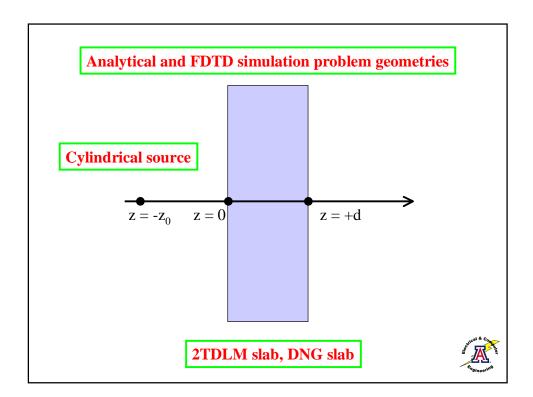
$$\begin{split} g &\approx \frac{1}{-2ik_0} e^{+ik_0(|z_0|-\tilde{n}z)} e^{-i\frac{k_t^2}{2k_0}(|z_0|-z/\tilde{n})} \qquad 0 < z < d \\ g &\approx \frac{1}{-2ik_0} \, e^{+ik_0[z+|z_0|-d(1+\tilde{n})]} \, e^{-i\frac{k_t^2}{2k_0}[z+|z_0|-d(1+1/\tilde{n})]} \qquad z > d \end{split}$$

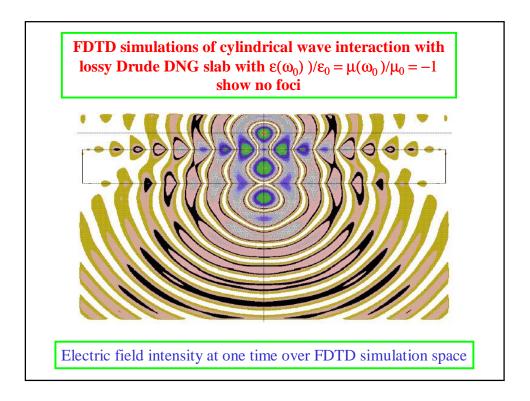
If  $z_{f1} < d$  and  $z_{f2} > d$ , Paraxial foci appear at

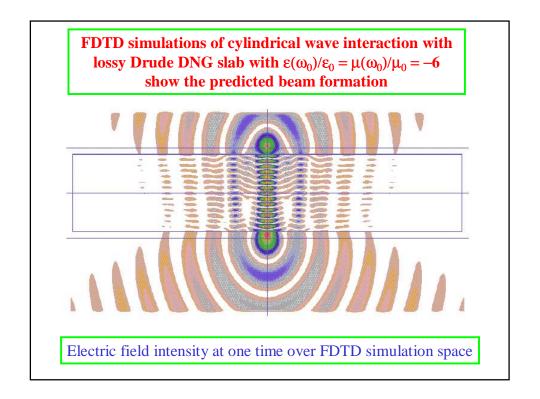
$$z_{f1} = \tilde{n}|z_0|, \qquad z_{f2} = d(1+1/\tilde{n}) - |z_0|$$

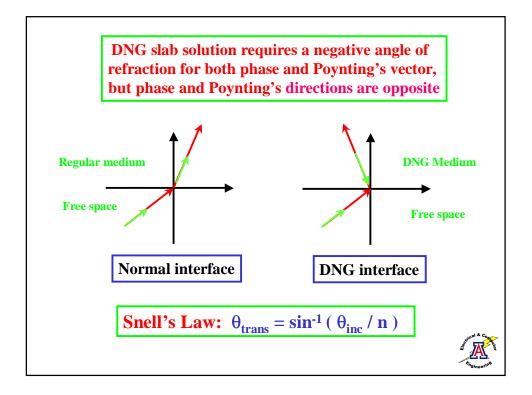
Note:  $z_{f1} = d/2$  if  $z_0 = d/2\tilde{n}$  and  $z_{f2} = d(1 + 1/2\tilde{n})$ 

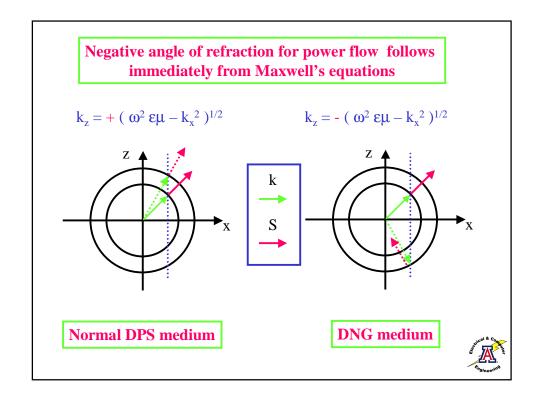


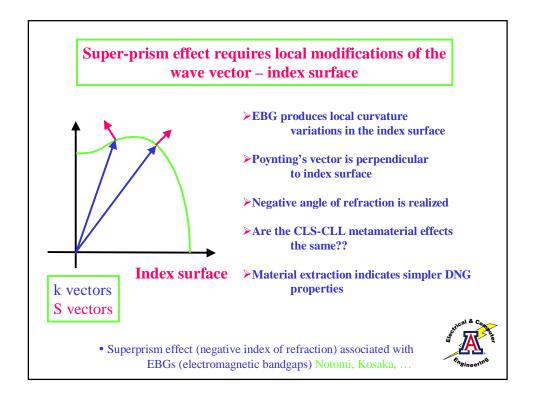


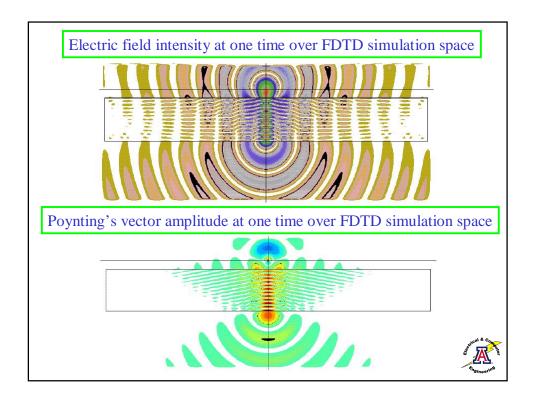


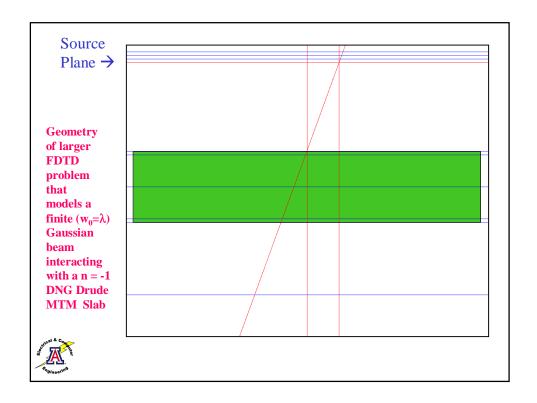


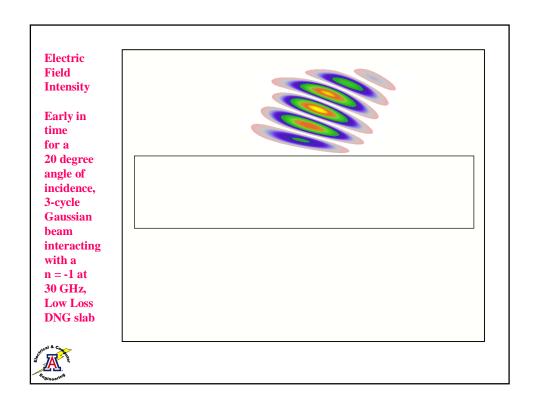


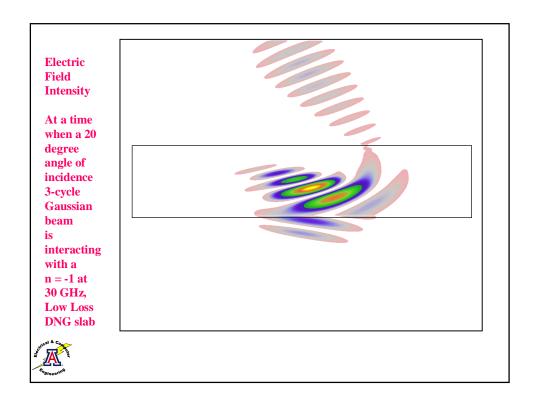


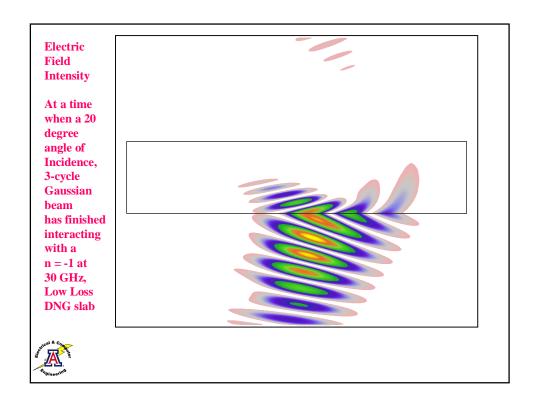


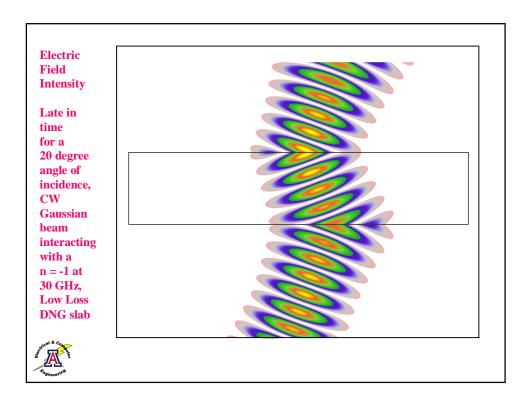


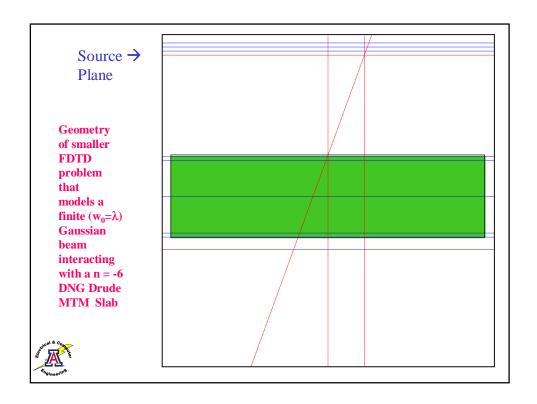


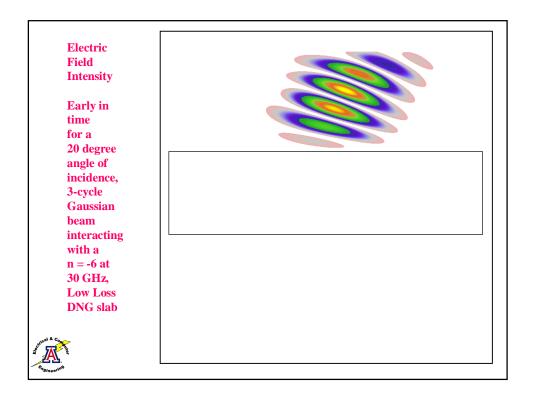


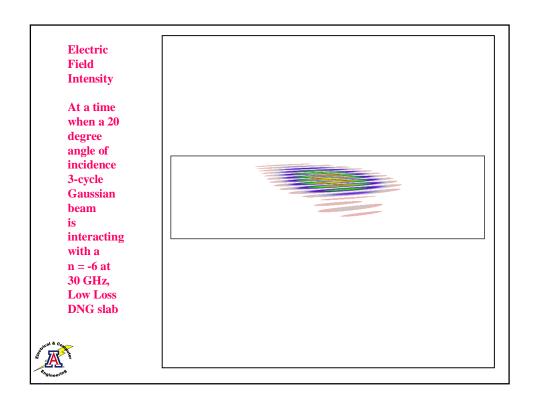


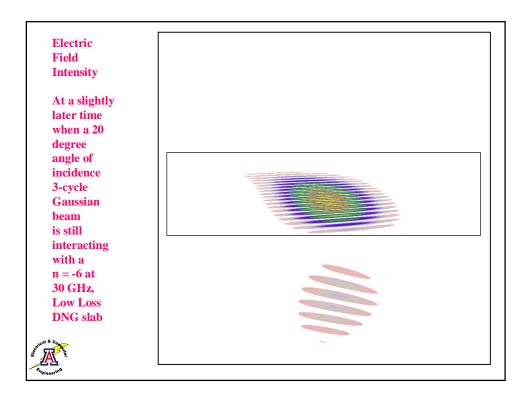


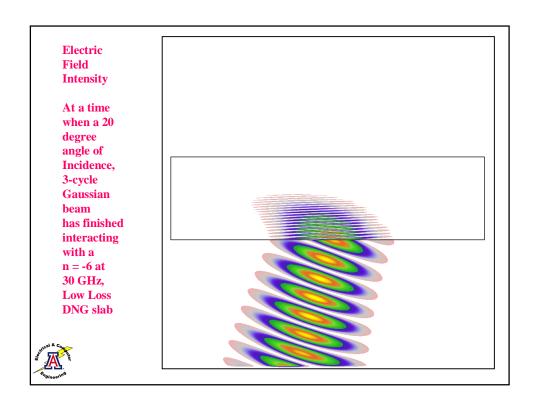


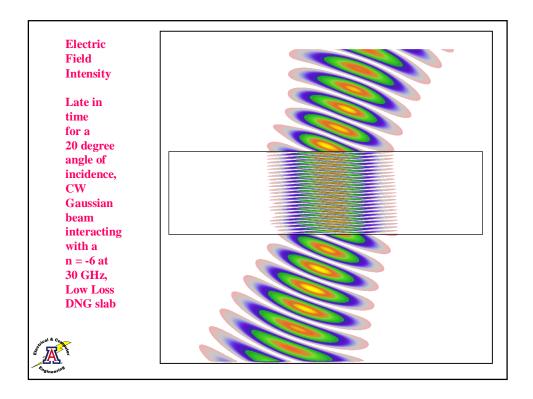


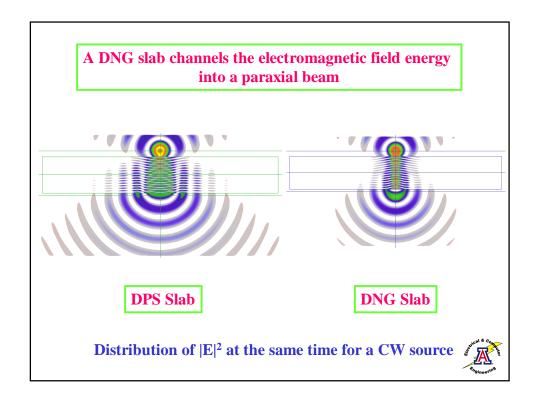












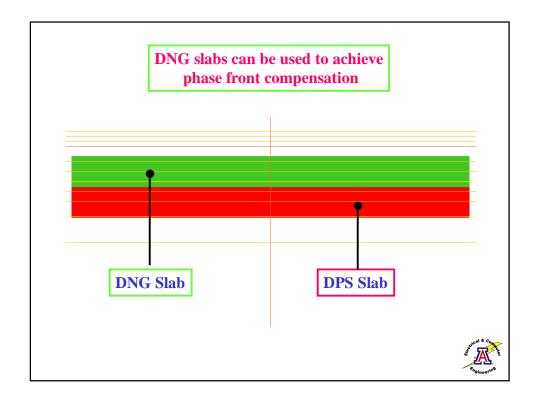
## Matched DNG medium could lead to phase compensation techniques and devices

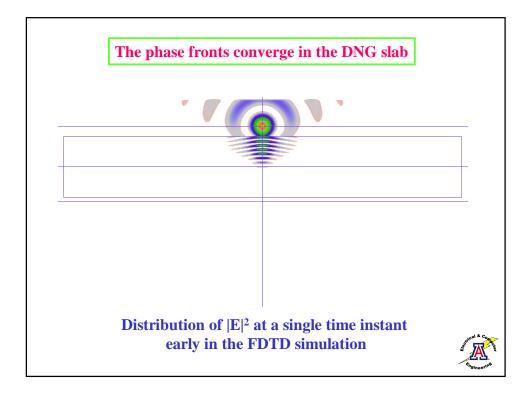
**Matched media:**  $Z = Z_0$  so there are no reflections

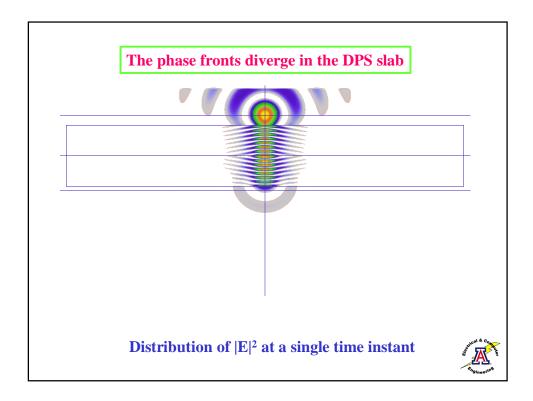
$$\mathbf{R} = (\mathbf{Z} - \mathbf{Z}_0) / (\mathbf{Z} + \mathbf{Z}_0) = \mathbf{0}$$

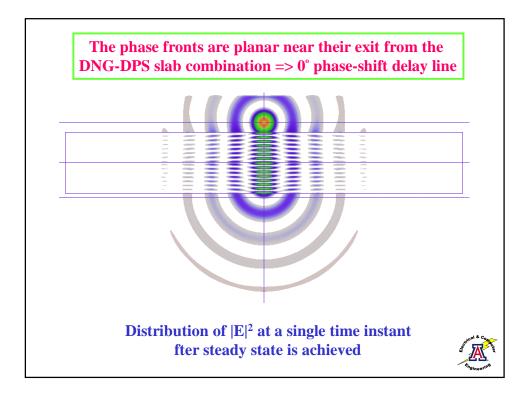
Negative Phase: A DNG slab combined with a device that produces a positive phase shift, could lead to a zero phase point at the output of the combined device-slab







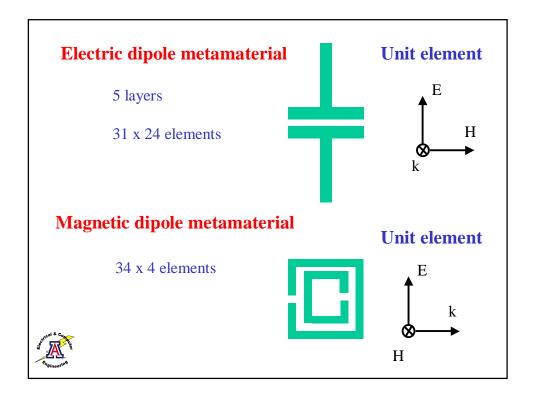


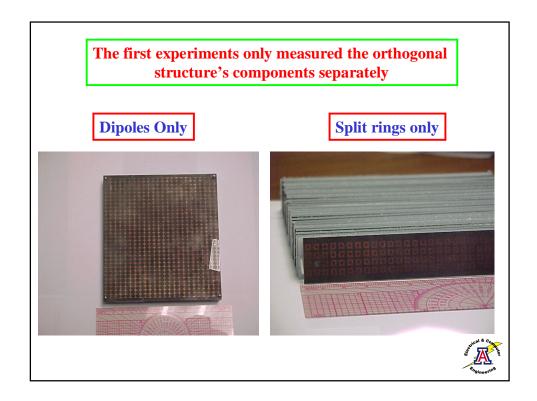


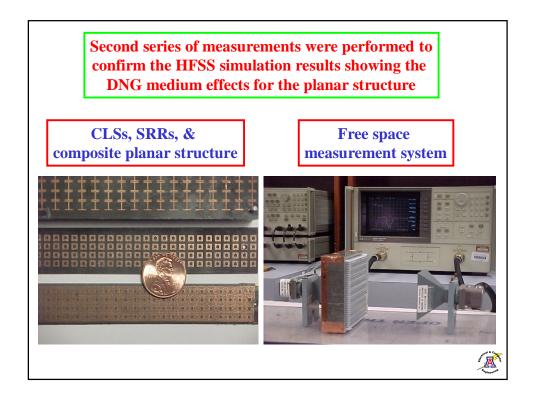
Compact metamaterials having negative index of refraction have been designed, fabricated and tested experimentally

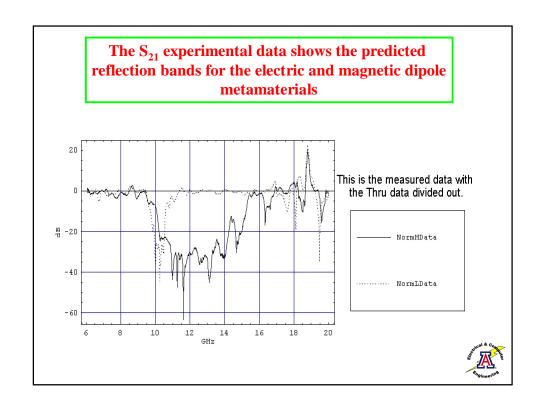
- > All structures constructed with Rogers Corporation 5880 Duroid ( $\epsilon_r = 2.2$ ,  $\mu_r = 1.0$ , tan d = 0.0009) 31 mil (100 mil = 2.54 mm) thick, 125 mil polyethylene spacers
- ➤ S-parameters measured with a free space measurement system at X-band frequencies
- Experimental results confirm the realization of DNG MTMs that are matched to free space
- Very good agreement between numerical and experimental results

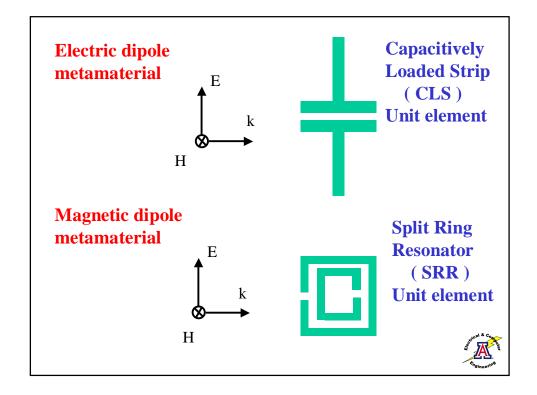


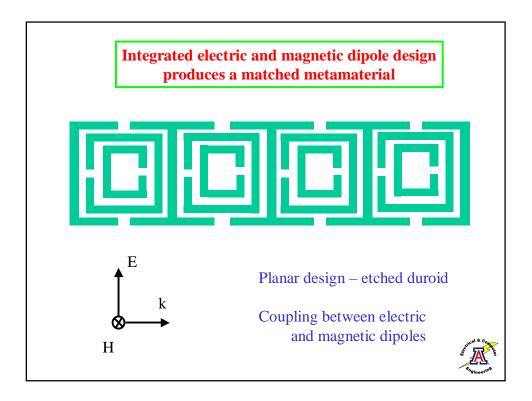


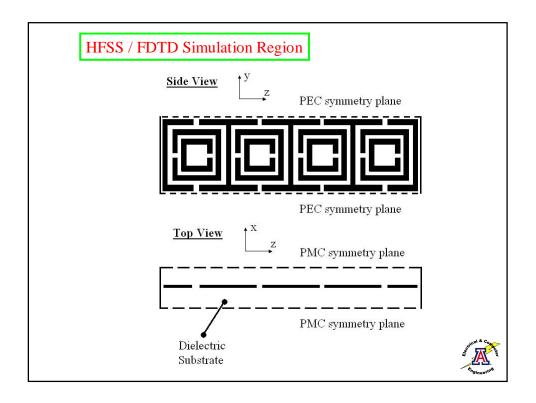


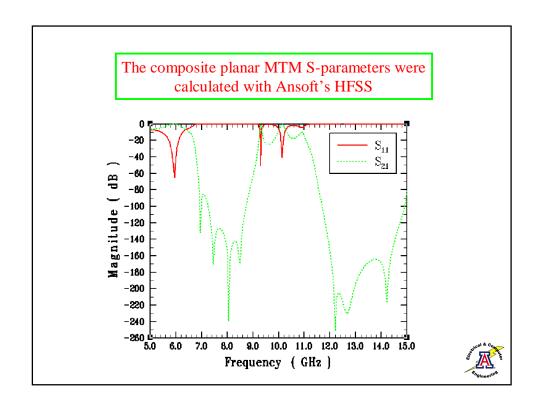


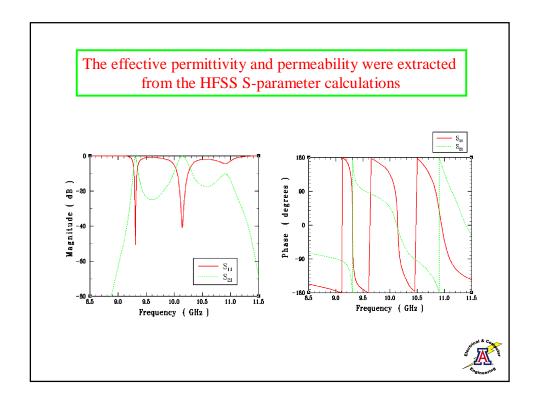


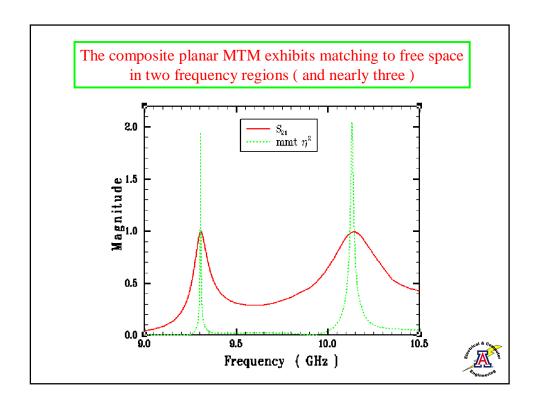


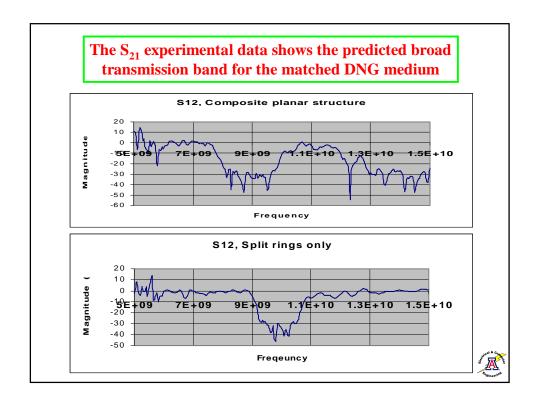












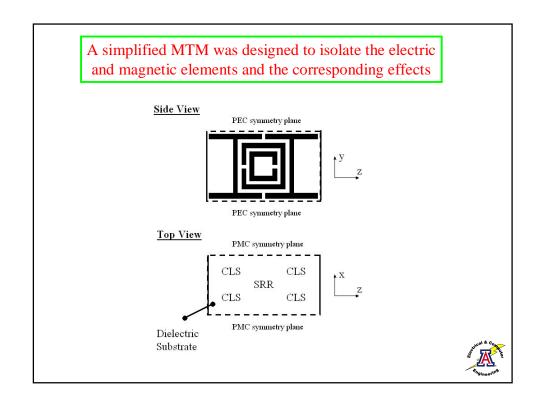
Effective permittivity and permeability parameters are commonly extracted from S-parameter (calculated/measured) values using the Nicolson, Ross, and Weir approach

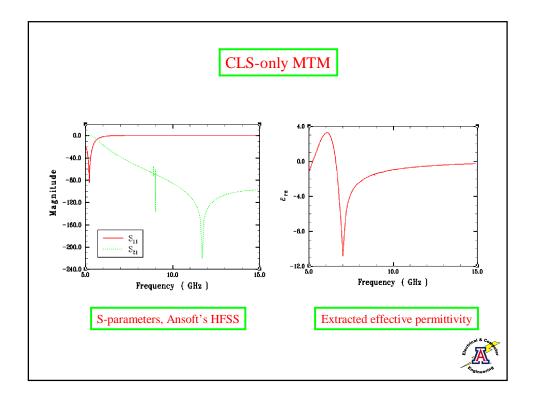
$$\begin{aligned} V_1 &= S_{21} + S_{11} \\ V_2 &= S_{21} - S_{11} \end{aligned} \qquad \qquad \begin{aligned} k_0 &= \frac{\omega}{c} \\ \sqrt{\varepsilon_r \mu_r} &= \frac{\ln(Z)}{jk_0 d} \\ X &= \frac{1 + V_1 V_2}{V_1 + V_2} \\ Y &= \frac{1 - V_1 V_2}{V_1 - V_2} \end{aligned} \qquad \qquad \qquad \begin{aligned} \sqrt{\frac{\mu_r}{\varepsilon_r}} &= \frac{1 + \Gamma}{1 - \Gamma} \\ Z &= \exp(ikd) = X \pm \sqrt{X^2 - 1} \\ \Gamma &= Y \pm \sqrt{Y^2 - 1} \end{aligned} \qquad \qquad \qquad \mu_r = \frac{1 + \Gamma}{1 - \Gamma} \frac{\ln(Z)}{jk_0} \end{aligned}$$

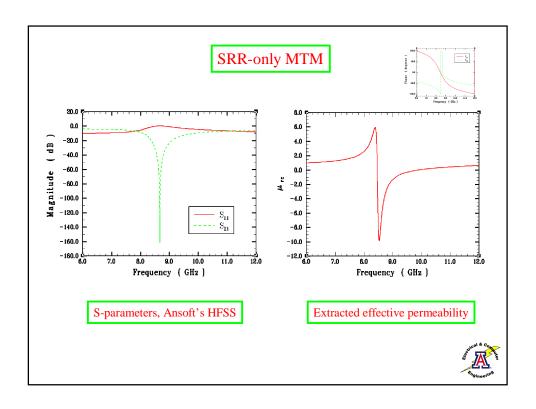


The effective permittivity and permeability parameters were extracted from the S-parameter values using a modified version of the Nicolson, Ross, and Weir approach

$$\begin{split} \exp(ikd) &= \frac{V_1 - \Gamma}{1 - \Gamma V_1} \\ \Gamma &= \frac{\exp(ikd) - V_2}{1 - V_2 \exp(ikd)} \\ 1 - \exp(ikd) &= \frac{(1 - V_1)(1 + \Gamma)}{1 - \Gamma V_1} \\ \sqrt{\frac{\mu_r}{\varepsilon_r}} &= \frac{1 - \exp(ikd)}{1 + \exp(ikd)} \frac{1 - V_2}{1 + V_2} \\ \sqrt{\frac{\mu_r}{\varepsilon_r}} &= \frac{1 - \exp(ikd)}{1 + \exp(ikd)} \frac{1 - V_2}{1 + V_2} \\ \sqrt{\varepsilon_r \mu_r} &\approx \frac{1}{jk_0 d} \frac{(1 - V_1)(1 + \Gamma)}{1 - \Gamma V_1} \\ \end{split} \qquad \begin{array}{l} n = \sqrt{\varepsilon_r} \sqrt{\mu_r} \\ \varepsilon_r \approx \mu_r - \frac{2}{jk_0 d} S_{11} \\ S_{11} \sim 0 \\ \end{array} \qquad \begin{array}{l} \text{Enhanced} \\ \text{result when} \\ S_{11} \sim 0 \\ \end{array}$$









$$\chi_e = \frac{\omega_p^2 \chi_L}{-\omega^2 + j\Gamma\omega + \omega_0^2} \Rightarrow \varepsilon_r \approx 1 - \frac{\omega_p^2 \chi_L}{\omega^2 - \omega_0^2}$$

Lorentz Model

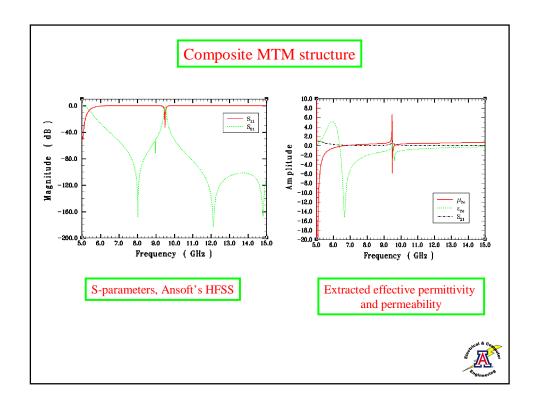
$$\varepsilon_r \approx 1 - \frac{\omega_p^2}{\omega^2}$$

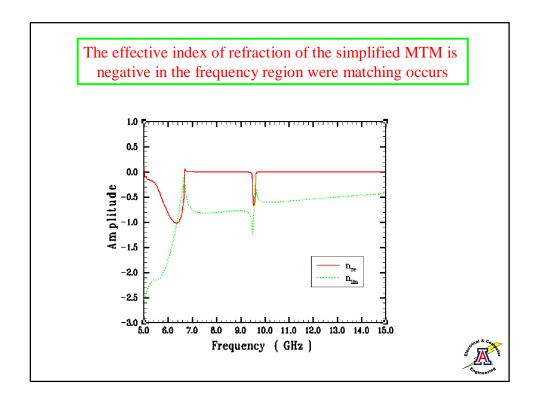
Drude Model

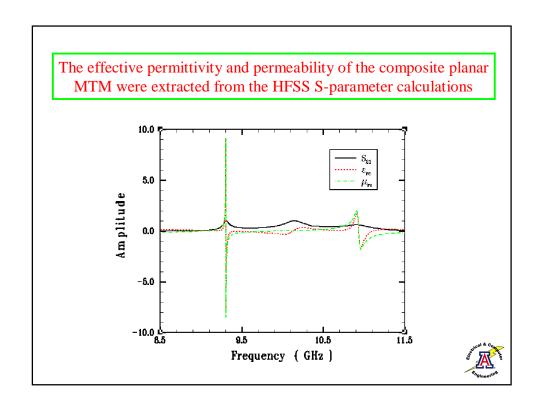
$$\chi_{m} = \frac{-\chi_{\gamma}\omega^{2} + j\chi_{\beta}\omega_{p}\omega + \chi_{\alpha}\omega_{p}^{2}}{-\omega^{2} + j\Gamma\omega + \omega_{0}^{2}} \Rightarrow \mu_{r} \approx 1 - \frac{|\chi_{L}|\omega^{2}}{\omega^{2} - \omega_{0}^{2}}$$

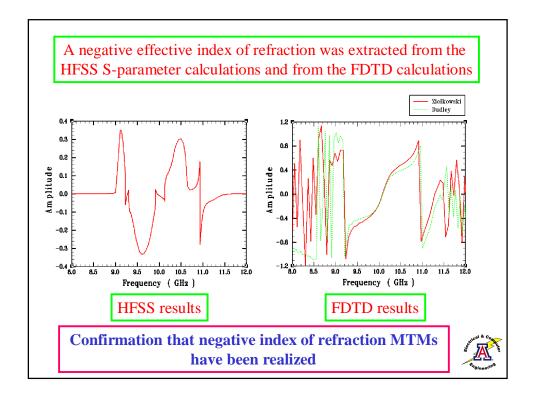
Two Time Derivative Lorentz Model

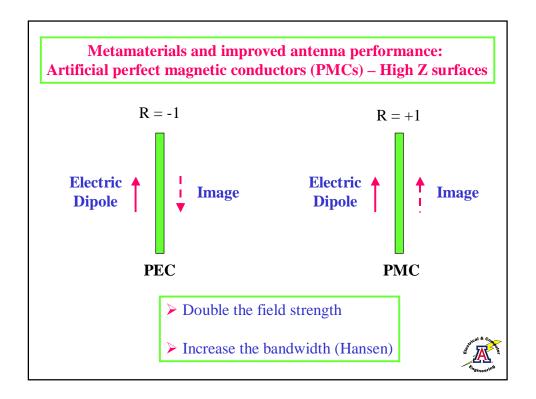


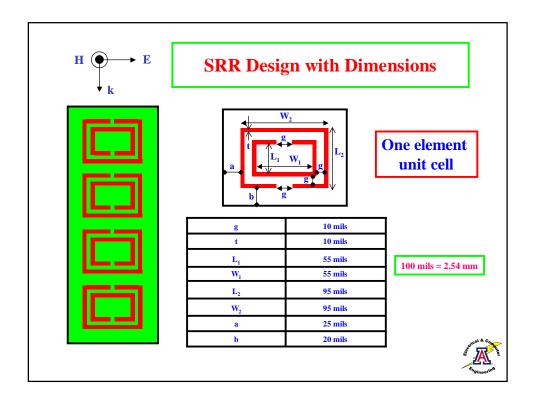


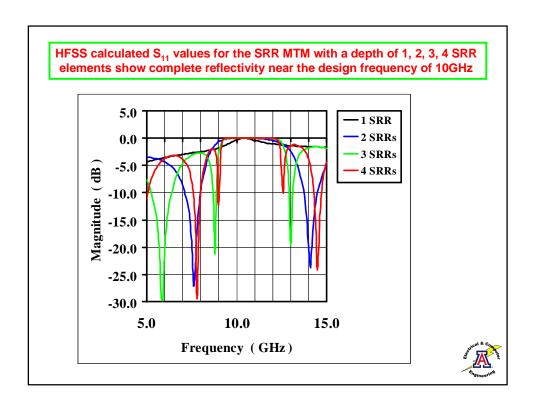


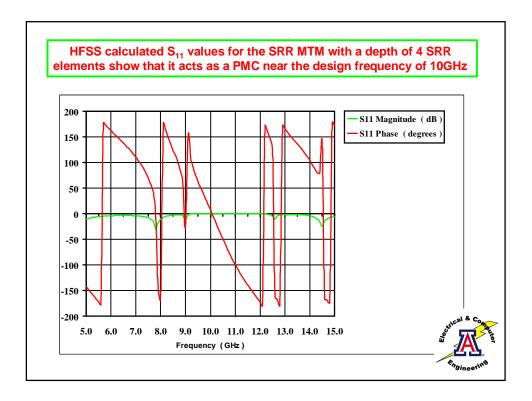


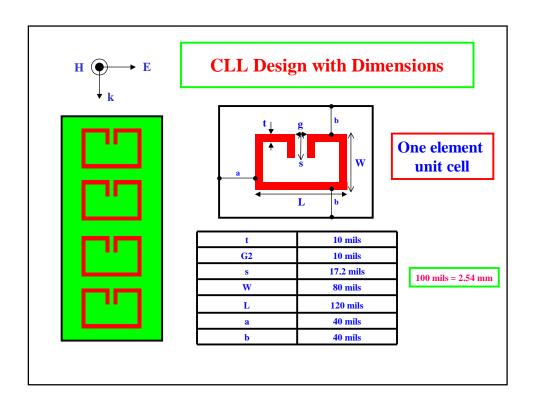


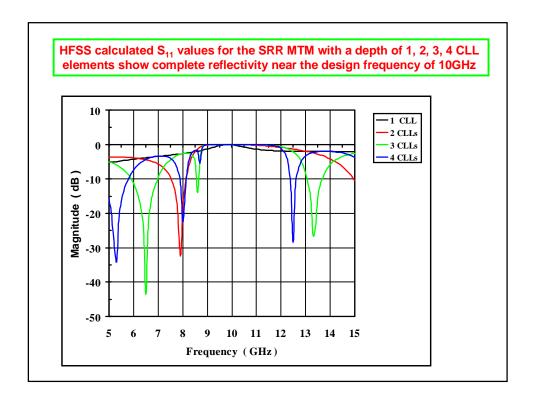


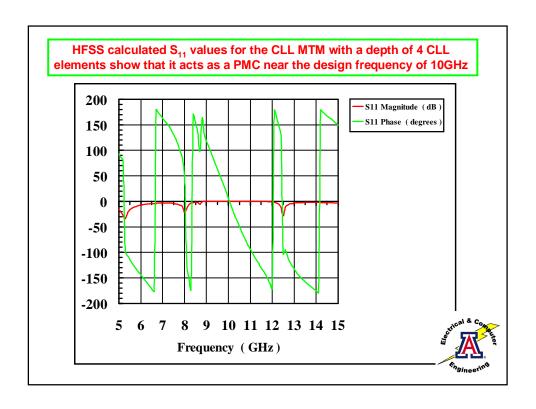


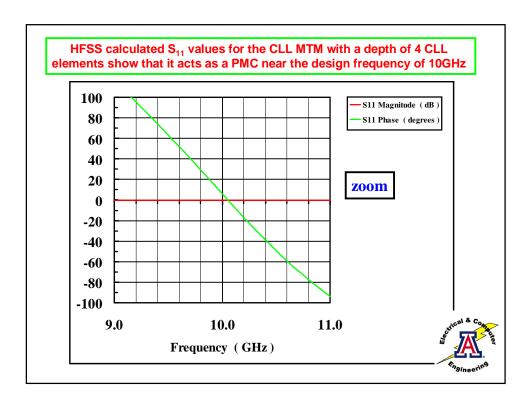






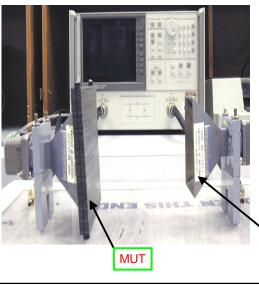








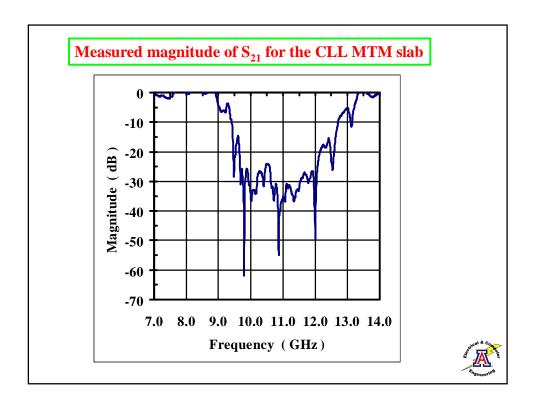
## The CLL MTM was measured with a free space measurement system

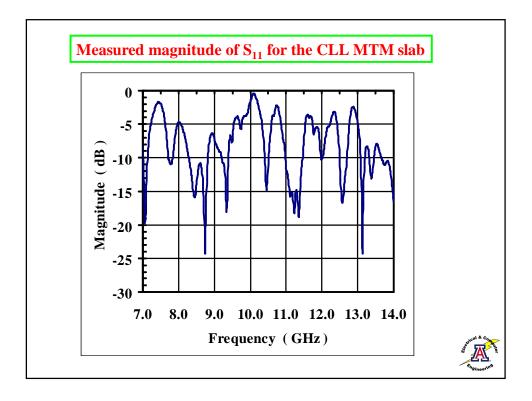


- Measure the CLL MTM with its designed orientation
- Measure the CLL MTM with a 90° rotation
- HP 8720C network analyzer to measure the S-parameters

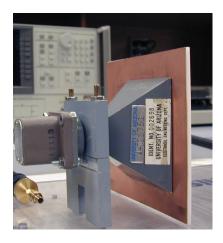
X-band rectangular horn





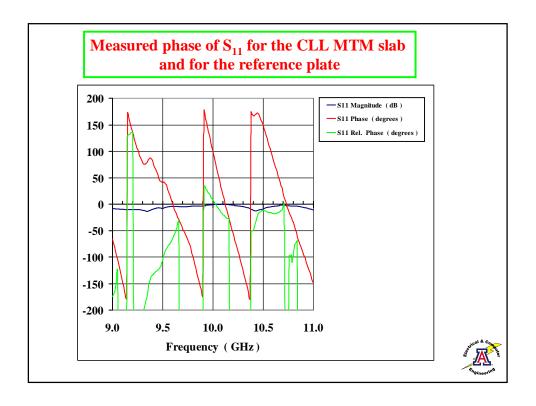


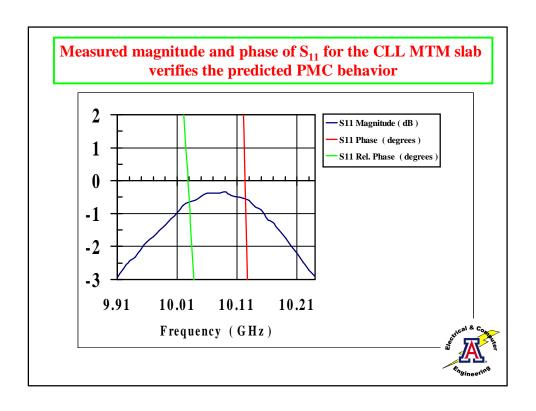
Phase Reference Plane measurement was achieved with a reference copper plate

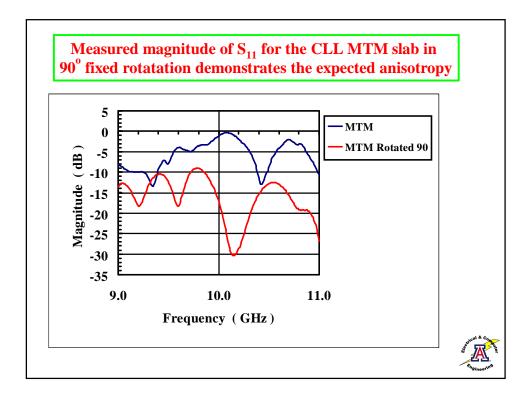


A copper plate is placed over the mouth of the flange of the transmit antenna









The relationships representing the power radiated by and the antenna Q of an electrically small antennas are well-known

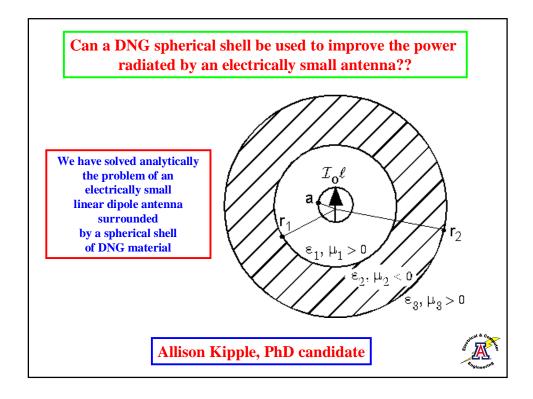
Complex power for a small dipole in free space:

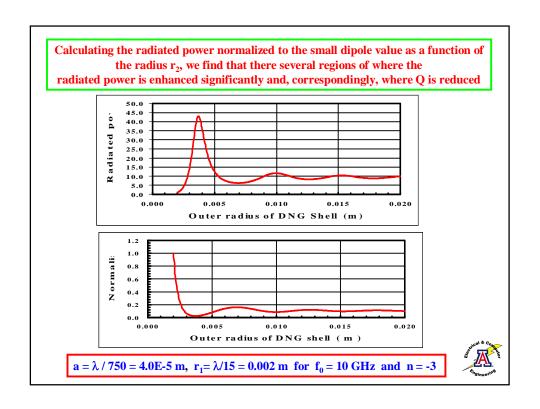
$$P = \int_{0}^{2\pi} \int_{0}^{\pi} \frac{1}{2} E_{\theta} H_{\phi}^{*} r^{2} \sin \theta d\theta d\phi = \eta \frac{\pi}{3} \left| \frac{I_{0} l}{\lambda} \right|^{2} \left[ 1 - \frac{j}{(kr)^{3}} \right]$$

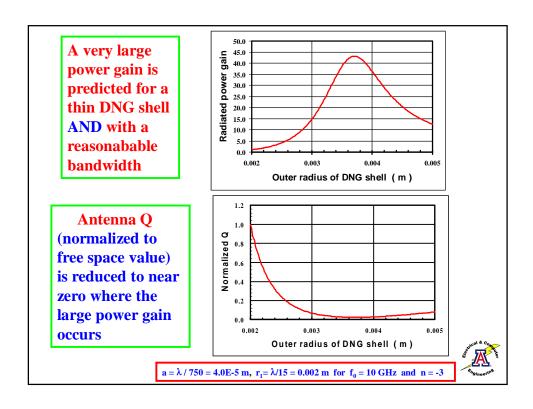
**Antenna Q:** 

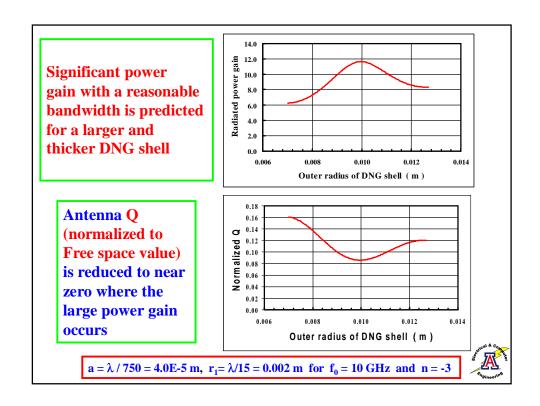
**Changes sign for DNG medium** 

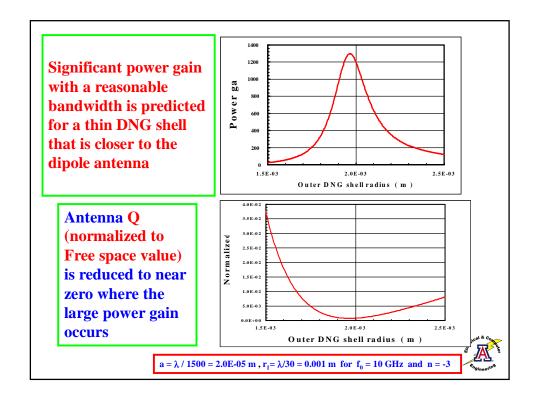
$$Q = \frac{1 + 2(ka)^2}{(ka)^3 [1 + (ka)^2]} \approx \frac{1}{(ka)^3} \quad \text{for} \quad ka << 1$$

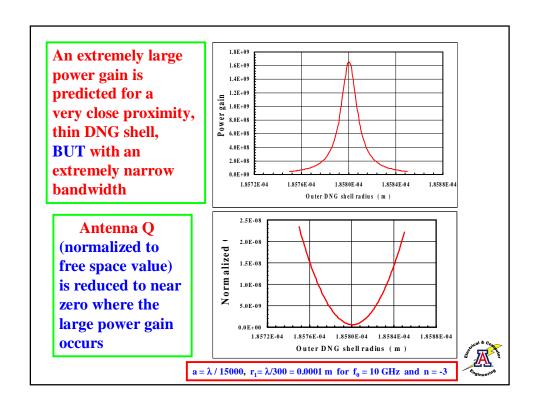












Compact metamaterials having negative index of refraction have been designed, fabricated and tested experimentally

- FIFSS and FDTD simulators have been used to design several DNG (ε < 0 and  $\mu$  < 0) metamaterials (MTMs)
- Extraction formula have been derived to determine the MTM's effective permittivity and permeability
- Experimental results confirm the realization of DNG MTMs that are matched to free space and have a negative index of refraction
- ➤ Several potential applications have been studied: Efficient Electrically Small Antennas (EESAs)



## Thank you for listening

Special Issue of IEEE Antennas and Propagation on Metamaterials

R. W. Ziolkowski and N. Engheta, Guest Editors

Contributions due October 1, 2002

