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## Negative Refraction in Metamaterials

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- Prof. Dimitri Basov (UCSD)
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- NSF
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## Topics

- **Negative Refraction**
- **Material Characterization**
- **Experiments on Negative Refraction**
- **The Perfect Lens – Calculations**
- **Modulated Beams**

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## Materials with negative $\epsilon/\mu$ are dispersive

$$\frac{\partial(\omega\epsilon_r)}{\partial\omega} > 1 \quad \epsilon_r(\omega \rightarrow \infty) \rightarrow +1$$
$$\frac{\partial(\omega\mu_r)}{\partial\omega} > 1 \quad \mu_r(\omega \rightarrow \infty) \rightarrow +1$$
$$\frac{\partial(\omega n)}{\partial\omega} > 1 \quad n(\omega \rightarrow \infty) \rightarrow +1$$

- Large bandwidth possible
- Excessive losses not implied

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## Refractive Index in Dispersive Media

Reasonable causal forms for  $\epsilon$  and  $\mu$ :

$$\epsilon(\omega) = 1 - \frac{\omega_p^2}{\omega^2} \quad \mu(\omega) = 1 - \frac{F\omega_0^2}{\omega^2 - \omega_0^2 - i\omega\Gamma}$$

Lead to a dispersive index:

$$n(\omega) = \sqrt{\epsilon}\sqrt{\mu} = \pm \frac{1}{\omega} \sqrt{\frac{(\omega^2 - \omega_b^2)(\omega^2 - \omega_p^2)}{(\omega^2 - \omega_0^2)}}$$

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## Refractive Index in Dispersive Media

$$n(\omega) = \sqrt{\epsilon}\sqrt{\mu} = \pm \frac{1}{\omega} \sqrt{\frac{(\omega^2 - \omega_b^2)(\omega^2 - \omega_p^2)}{(\omega^2 - \omega_0^2)}}$$

$\text{Re}(n) > 0$   
evanescent  
 $\text{Re}(n) < 0$

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## Building Blocks for Negative Index Media

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$\epsilon(\omega) = 1 - \frac{\omega_p^2}{\omega^2}$

$\mu(\omega) = 1 - \frac{F\omega^2}{\omega^2 - \omega_0^2}$

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## Characterization by S-parameter Analysis

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Difficulty: S-parameters for metamaterials more complex!

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### Inverting t and r to find n and z

A continuous material can be characterized by two complex variables t and r, or n and z.

$$t^{-1} = \left[ \cos(nkd) - \frac{i}{2} \left( z + \frac{1}{z} \right) \sin(nkd) \right] e^{ikd}$$

$$\frac{r}{t} = -\frac{e^{ikd}}{2} i \left( z - \frac{1}{z} \right) \sin(nkd)$$

Inversion yields...

$$n = \frac{1}{kd} \cos^{-1} \left( \frac{1}{2t} \left[ 1 - (r^2 - t^2) \right] \right) + \frac{2\pi m}{kd}$$

$$z = \pm \sqrt{\frac{(1+r)^2 - t^2}{(1-r)^2 - t^2}}$$

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### Wire Data from ISU Transfer-Matrix Calculations

$$\epsilon(\omega) = 1 - \frac{\omega_p^2}{\omega^2}$$

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## Free Space Apparatus

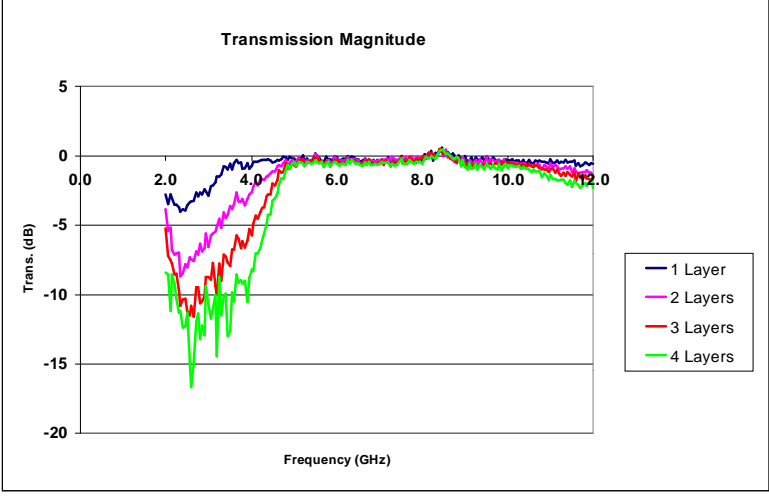


The image shows an anechoic chamber with blue pyramidal absorbers. A yellow rectangular sample is mounted on a stand in the center. To the right, a computer monitor and a spectrum analyzer are visible on a desk. Below the main image, a close-up shows the yellow sample on the absorbers.

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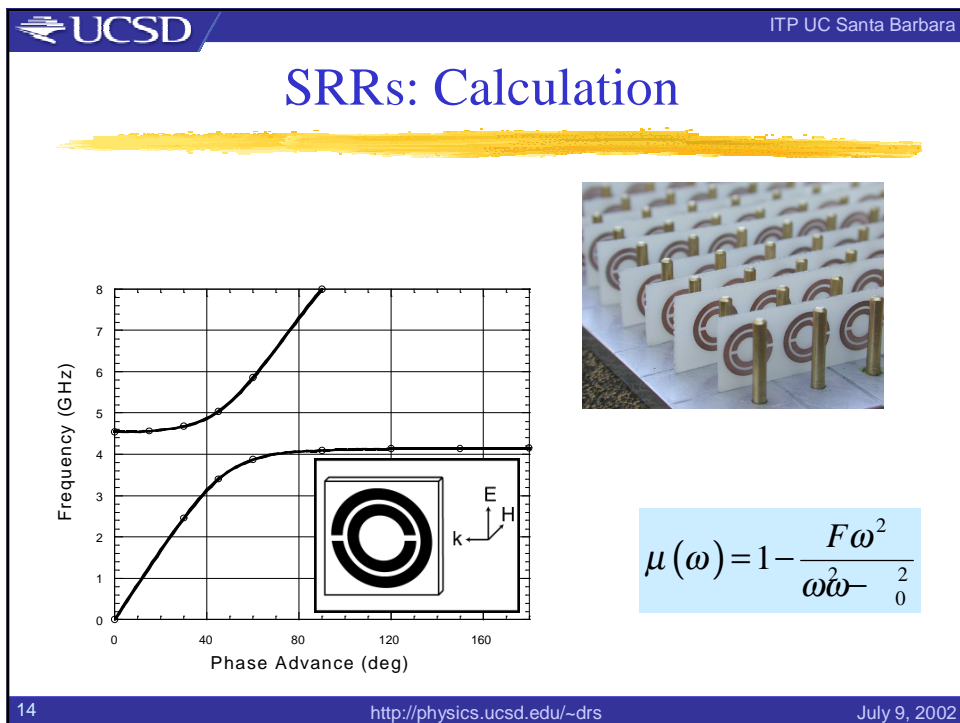
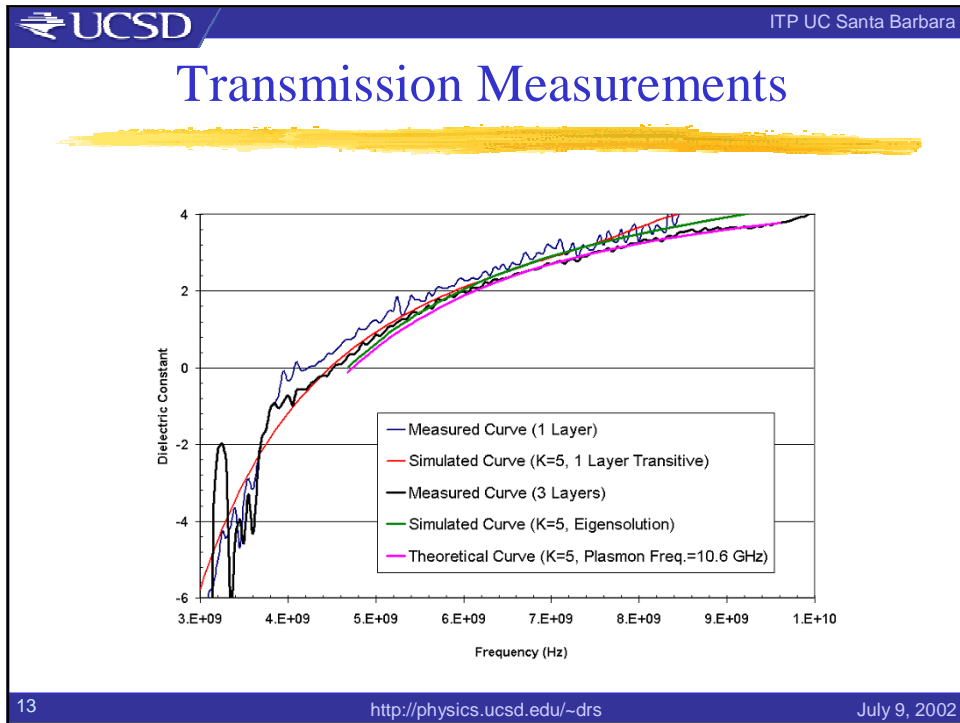
## Transmission Measurements

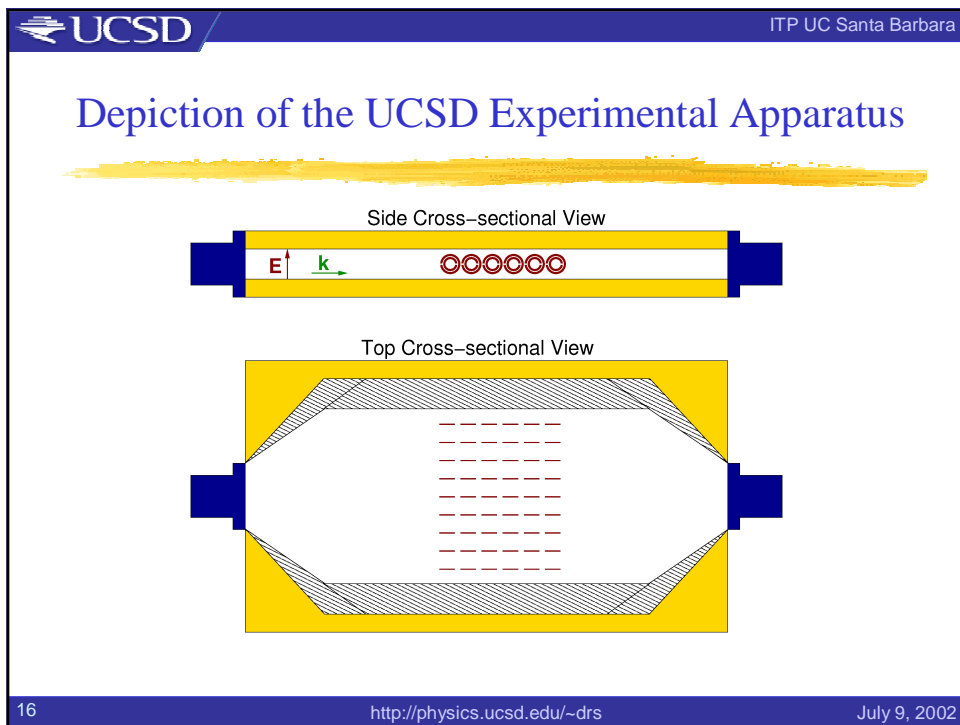
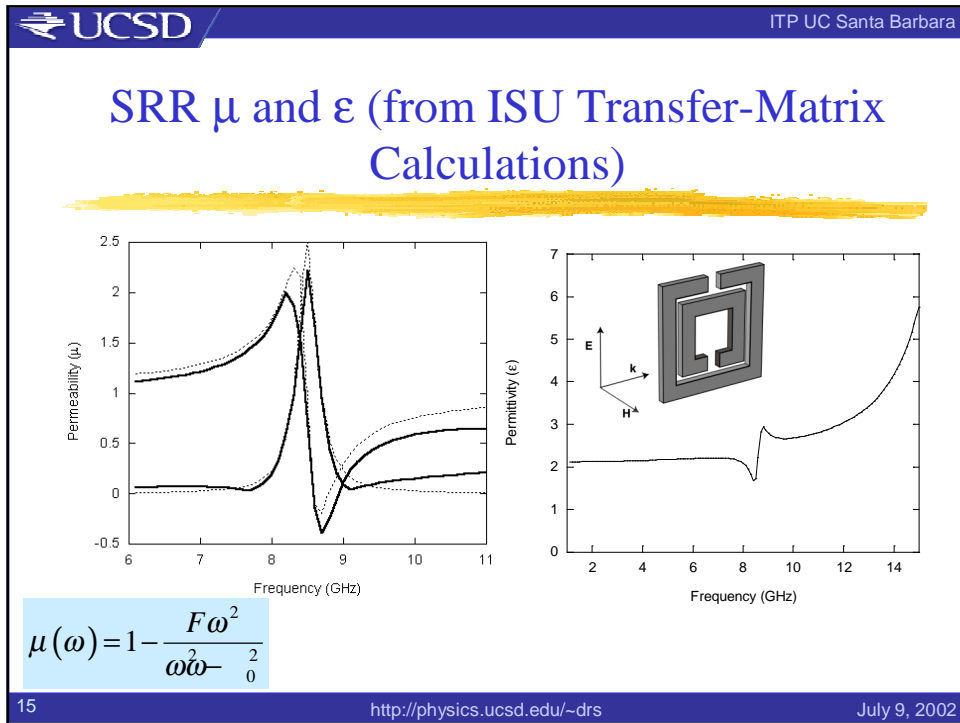


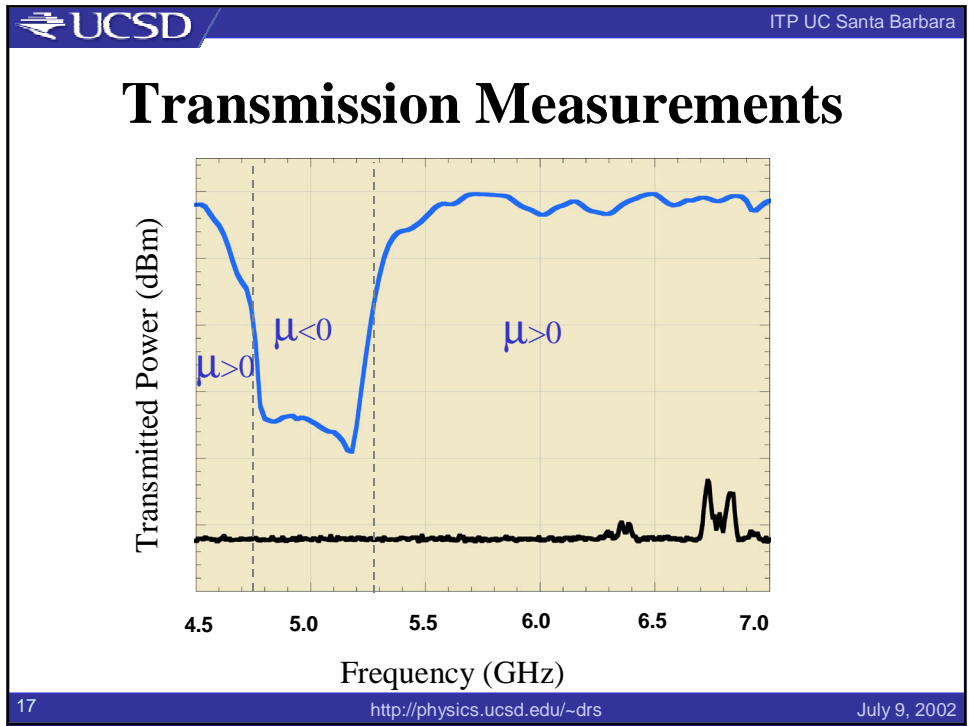
The graph plots Transmission Magnitude (dB) on the y-axis (ranging from -20 to 5) against Frequency (GHz) on the x-axis (ranging from 0.0 to 12.0). Four curves represent different numbers of layers: 1 Layer (blue), 2 Layers (magenta), 3 Layers (red), and 4 Layers (green). All curves show a sharp dip in transmission between 2.0 and 6.0 GHz, with the depth of the dip increasing as the number of layers increases.

Frequency (GHz)	1 Layer (dB)	2 Layers (dB)	3 Layers (dB)	4 Layers (dB)
2.0	-2	-5	-8	-12
4.0	-1	-4	-7	-11
6.0	0	-3	-6	-10
8.0	0	-3	-6	-10
10.0	-1	-4	-7	-11
12.0	-2	-5	-8	-12

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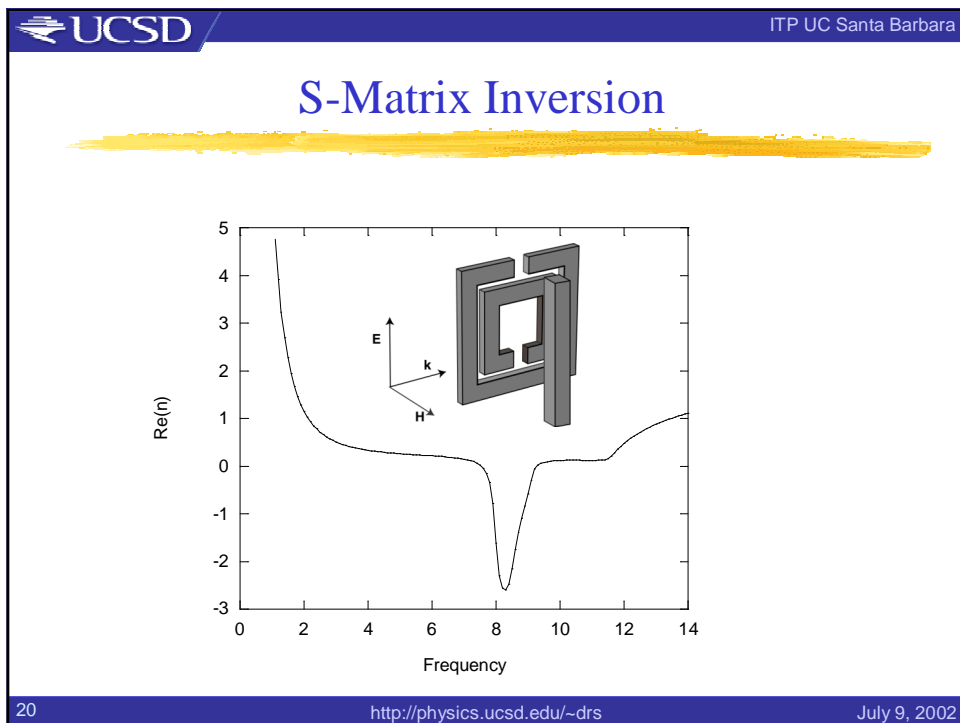
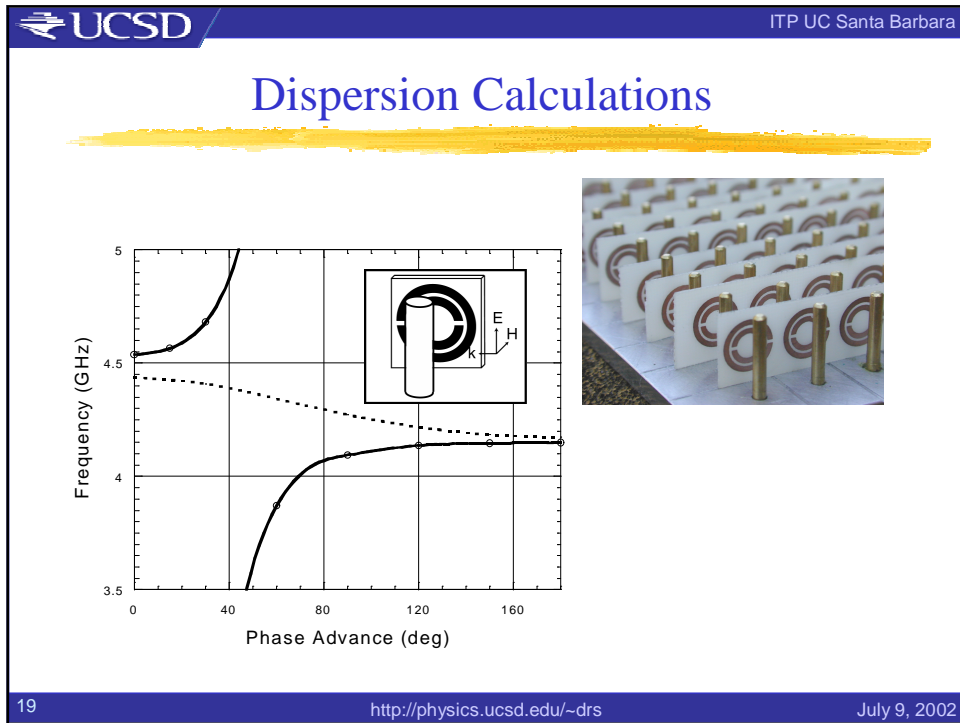
## Prediction

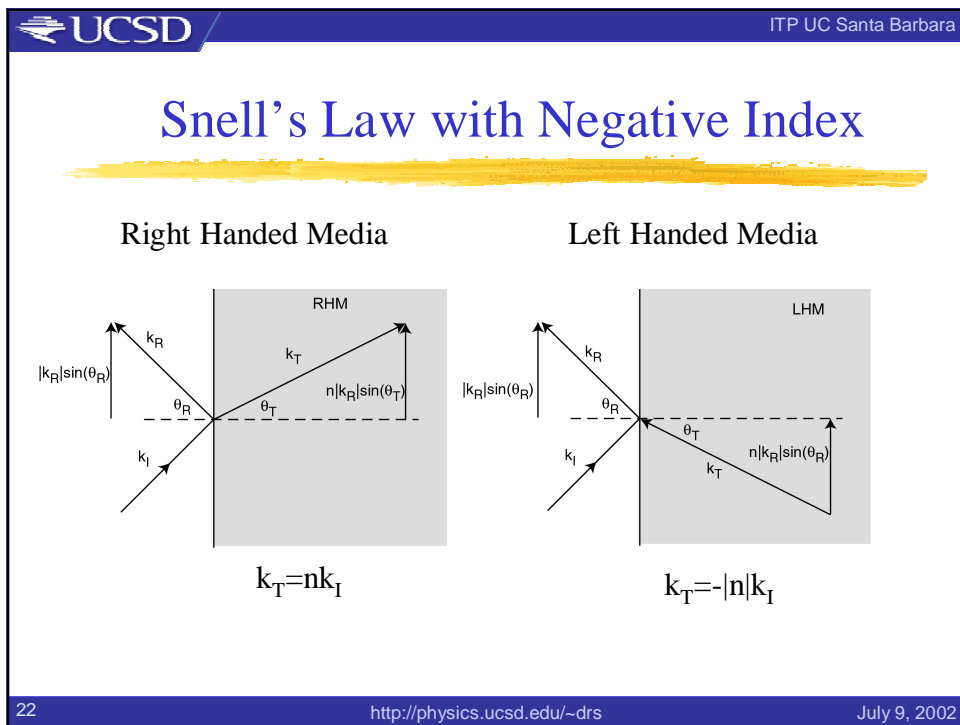
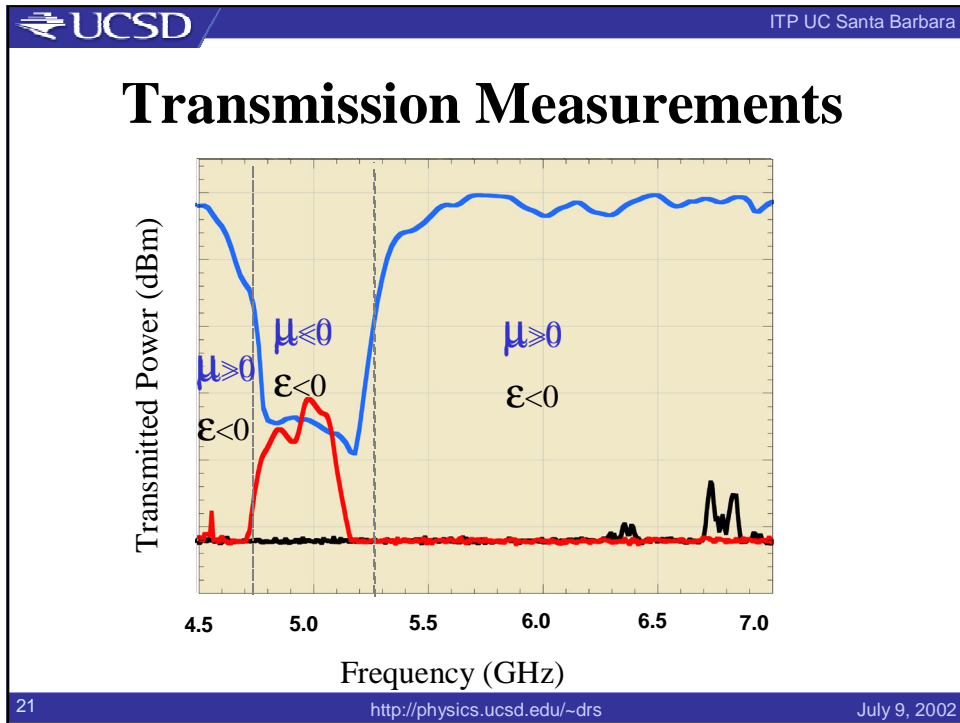
$\epsilon(\omega) = 1 - \frac{\omega_p^2}{\omega^2}$

$\mu(\omega) = 1 - \frac{F\omega^2}{\omega^2 - \omega_0^2}$

Negative Refractive Index Material

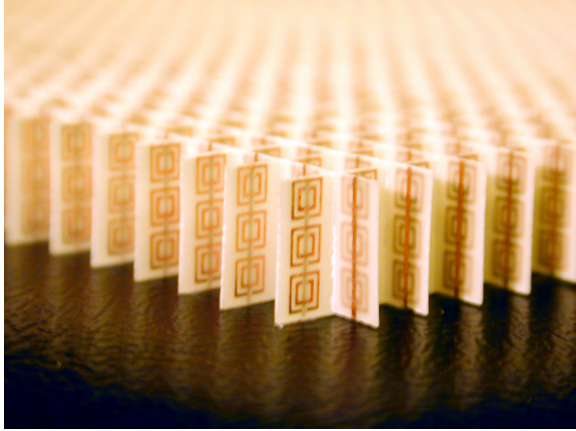
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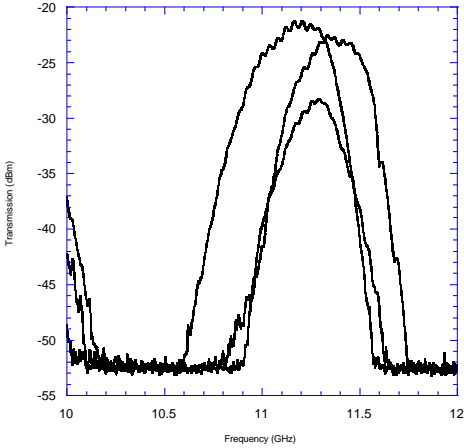
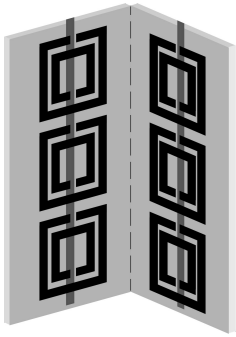
## A 2-D Isotropic Structure



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## A 2-D Isotropic Structure

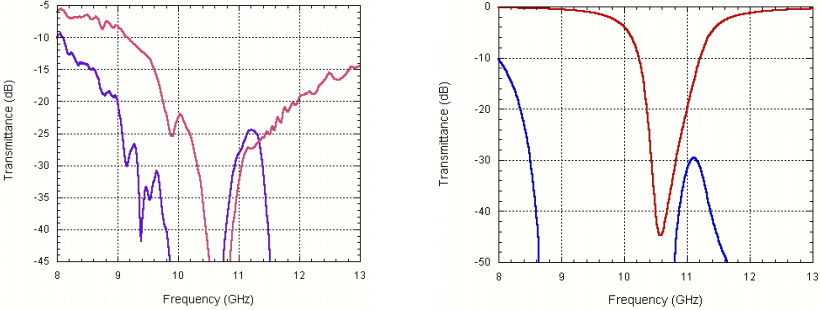
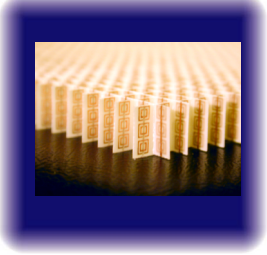


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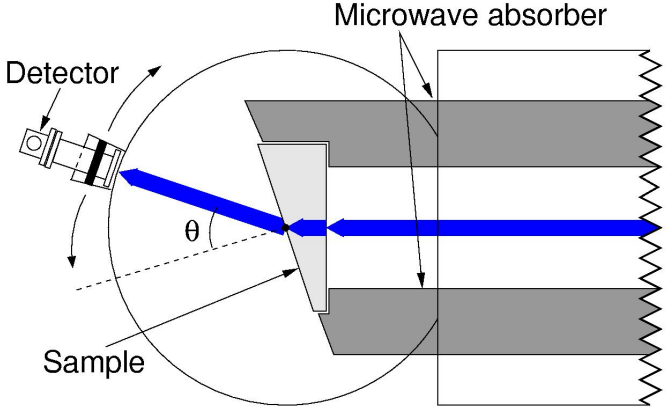
## Measurements and Simulations on the 2-D Isotropic Left-handed Structure



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## Measurement of Refractive Index



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## Measurement of Refractive Index

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Labels: Sample, Detector, Microwave absorber,  $\theta$

Legend: Teflon ( $n=+1.4$ )

Angle from normal (deg)	Normalized Power (linear scale)
-90	0.00
-60	0.00
-30	0.02
0	0.15
30	1.00
60	0.05
90	0.00

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## Measurement of Refractive Index

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Labels: Teflon, LHM

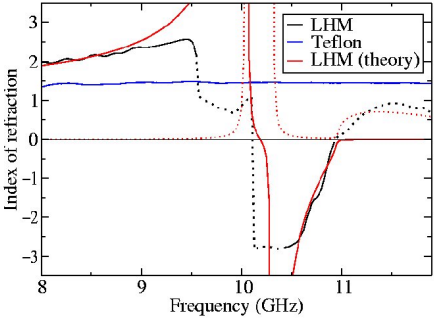
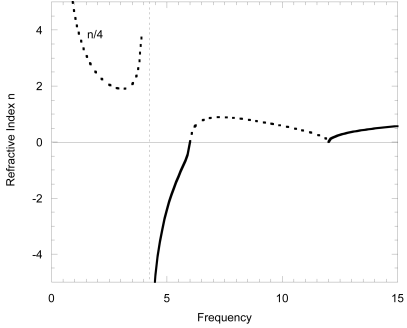
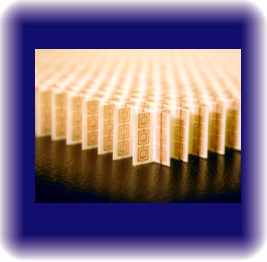
Legend: Teflon ( $n=+1.4$ ), LHM ( $n=-2.7$ )

Angle from normal (deg)	Teflon Normalized Power	LHM Normalized Power
-90	0.00	0.00
-60	0.00	1.00
-30	0.00	0.05
0	0.15	0.05
30	1.00	0.05
60	0.05	0.05
90	0.00	0.00

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## Frequency Dispersion Consistent with Theory



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## Conclusion

- All data is consistent with negative index.
- For metamaterials,  $n < 0$  is physically meaningful
- How can negative index materials be useful?

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**Configuration for Subwavelength Focusing**

$\mu_0, \epsilon_0$      $\mu_1, \epsilon_1$      $\mu_0, \epsilon_0$

$k_z = \sqrt{\frac{\omega^2}{c^2} - k_x^2}$

$e^{ikx}$      $ae^{inkx}$      $\tau e^{ikx}$   
 $\rho e^{-ikx}$      $be^{-inkx}$

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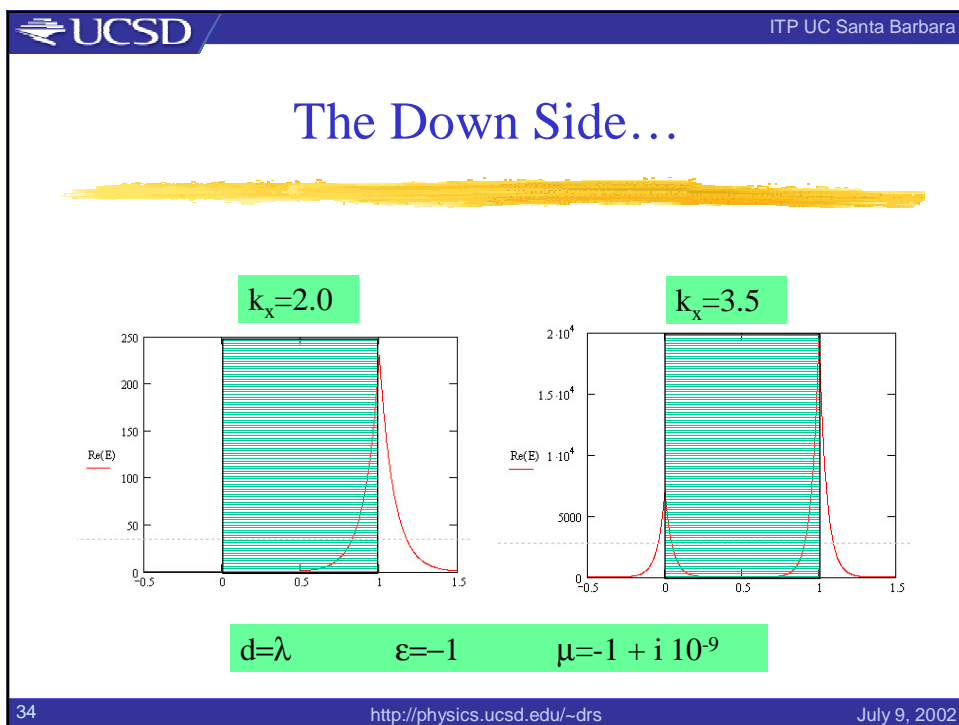
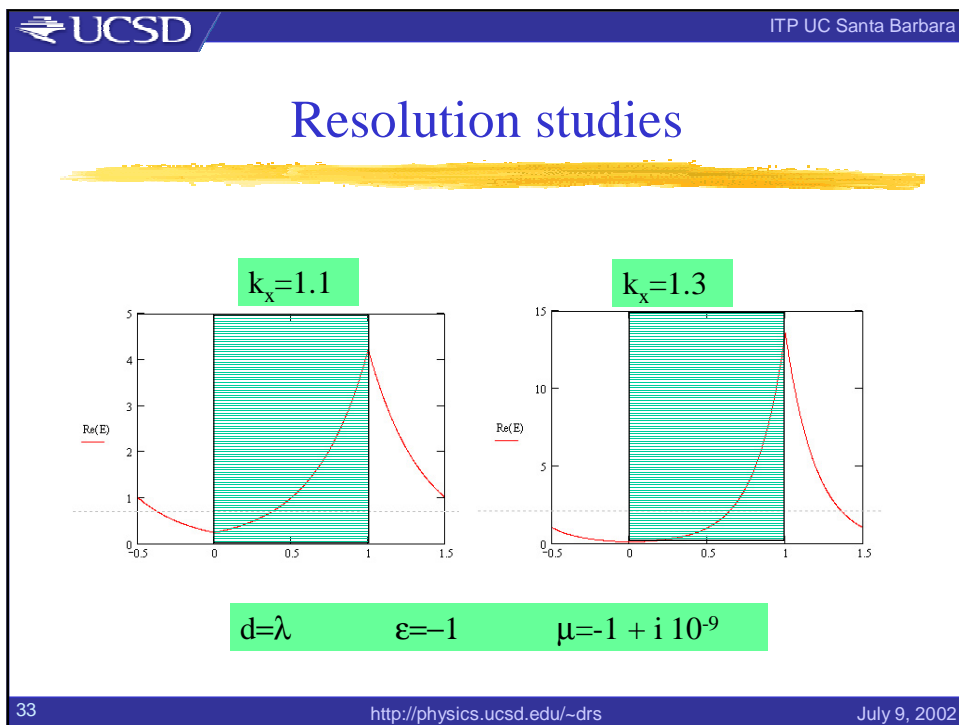
## How does the “Perfect Lens” Work?

**Evanescent decay is reversed for the case  $\epsilon=\mu=-1$ !**

$k_z = \sqrt{\frac{\omega^2}{c^2} - k_x^2}$

$k_x = 1.1$   
 $\mu = -1 + i 10^{-9}$

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## Thinner lenses give better resolution

$k_x = 3.5$

$d = \lambda$

$k_x = 3.5$

$d = \lambda/10$

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## Resolution Limits on the Perfect Lens

Resolution is limited by losses, deviations from  $(-1, -1)$ , and periodicity.

$$R \equiv \frac{\lambda}{\lambda_{\min}} = -\frac{\ln|\delta\mu|}{2\pi} \frac{\lambda}{d}$$

$$R \equiv \frac{\lambda}{\lambda_{\min}} = \frac{1}{2\pi} \ln\left(\frac{\lambda^2}{a^2 \Delta^4}\right) \frac{\lambda}{d}$$

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## Numerical Simulations

Source object used in the parametric study...

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## Parametric Studies of Imaging

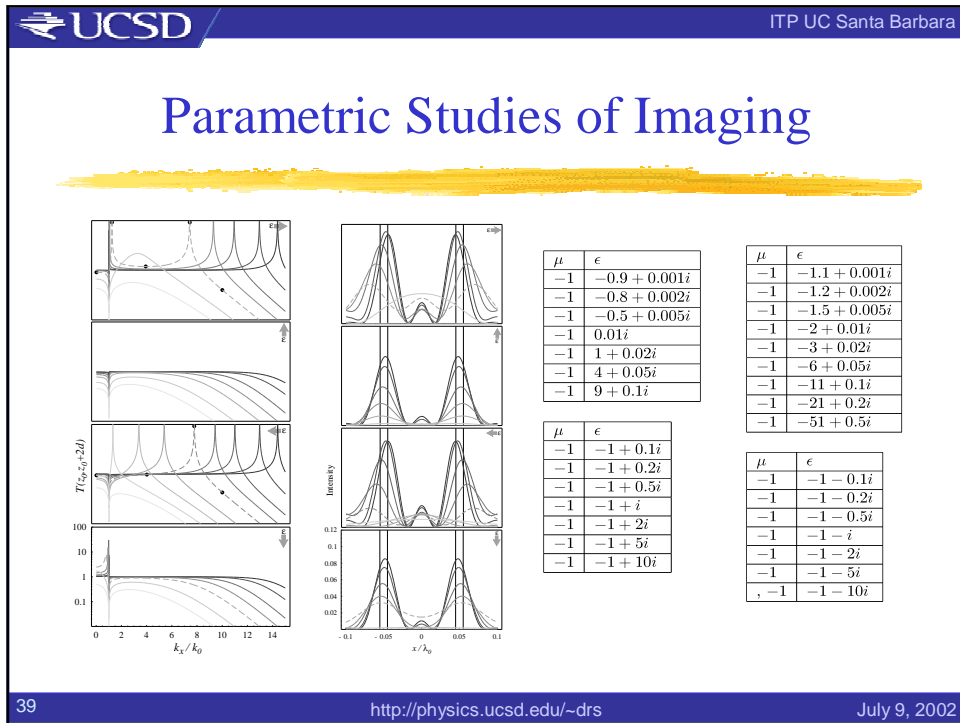
$\mu$	$\epsilon$
-0.999 + 0.00001i	-1
-0.998 + 0.00002i	-1
-0.995 + 0.00005i	-1
-0.99 + 0.0001i	-1
-0.98 + 0.0002i	-1
-0.95 + 0.0005i	-1
-0.9 + 0.001i	-1
-0.8 + 0.002i	-1
-0.6 + 0.004i	-1
-0.3 + 0.007i	-1

$\mu$	$\epsilon$
-1 + 0.001i	-1
-1 + 0.002i	-1
-1 + 0.005i	-1
-1 + 0.01i	-1
-1 + 0.02i	-1
-1 + 0.05i	-1
-1 + 0.1i	-1
-1 + 0.2i	-1
-1 + 0.5i	-1
-1 + i	-1

$\mu$	$\epsilon$
-1.001 + 0.00001i	-1
-1.002 + 0.00002i	-1
-1.005 + 0.00005i	-1
-1.01 + 0.0001i	-1
-1.02 + 0.0002i	-1
-1.05 + 0.0005i	-1
-1.1 + 0.001i	-1
-1.2 + 0.002i	-1
-1.5 + 0.005i	-1
-2 + 0.01i	-1
-3 + 0.02i	-1

$\mu$	$\epsilon$
-1 - 0.001i	-1
-1 - 0.002i	-1
-1 - 0.005i	-1
-1 - 0.01i	-1
-1 - 0.02i	-1
-1 - 0.05i	-1
-1 - 0.1i	-1
-1 - 0.2i	-1
-1 - 0.5i	-1

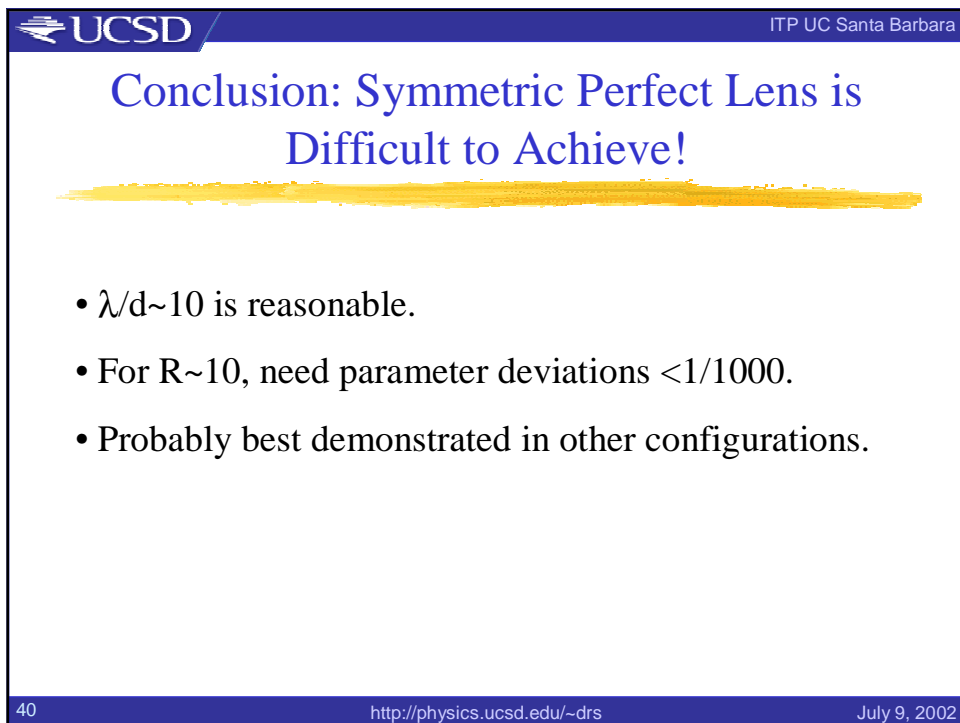
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## Time Dependent Solution: Motivation

- Information bandwidth.
- Transient information.
- Singularity paradox.

Nature of solution (i.e., exponential growth) suggests analytical treatment appropriate.

- Meshing issues in FDTD can lead to incorrect conclusions

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## Source Object

$$E_s(x, t) = u(x)\theta(t)e^{i\Omega t}$$

$$E_s(k_x, s) = \frac{g(k_x)}{s + i\Omega}$$

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## Image Transfer Function

$$E_{image} = E_{\tau}(z = 2d)$$

$$E_{image}(k_x, s) = \frac{g(k_x)}{s + i\Omega} \frac{4\lambda e^{-\rho d}}{(\lambda + 1)e^{qd} - (\lambda - 1)e^{-qd}}$$

$s = i\omega$   
 $\lambda = \frac{q}{\mu\rho}$

Transfer function has branches, poles, essential singularities  $\rightarrow$  convergence issues!

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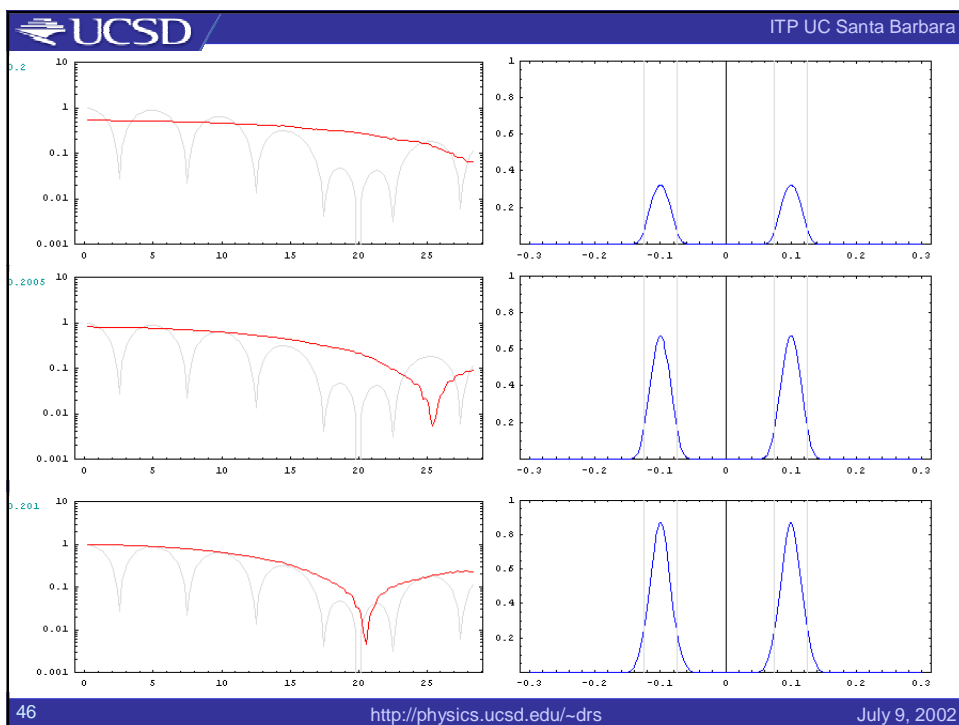
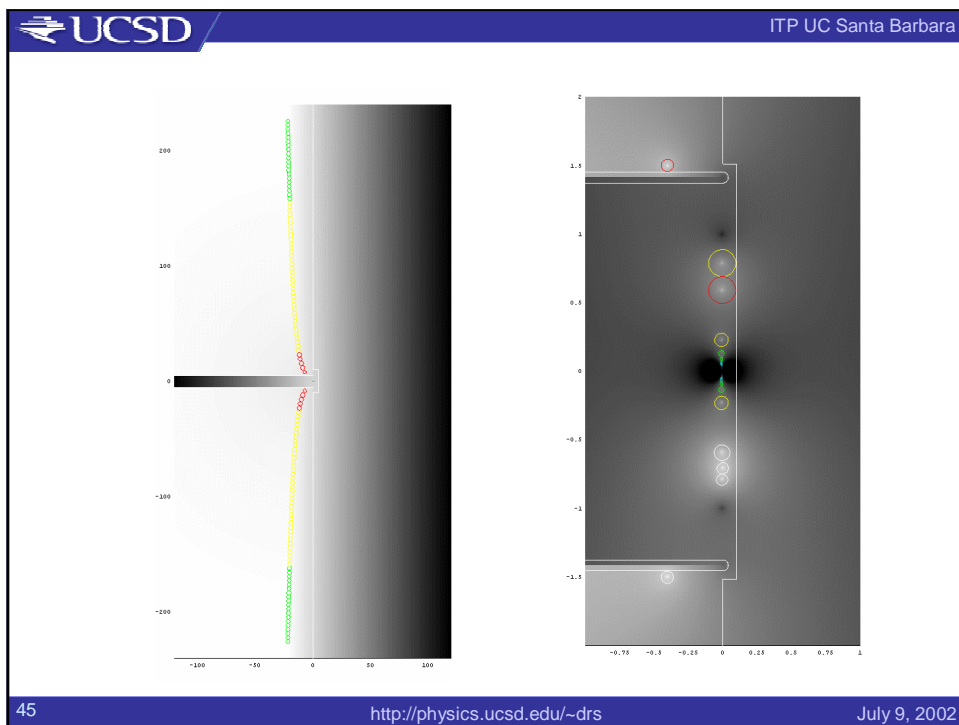
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## Inverse Laplace Transform frequency (s) $\rightarrow$ time (t)

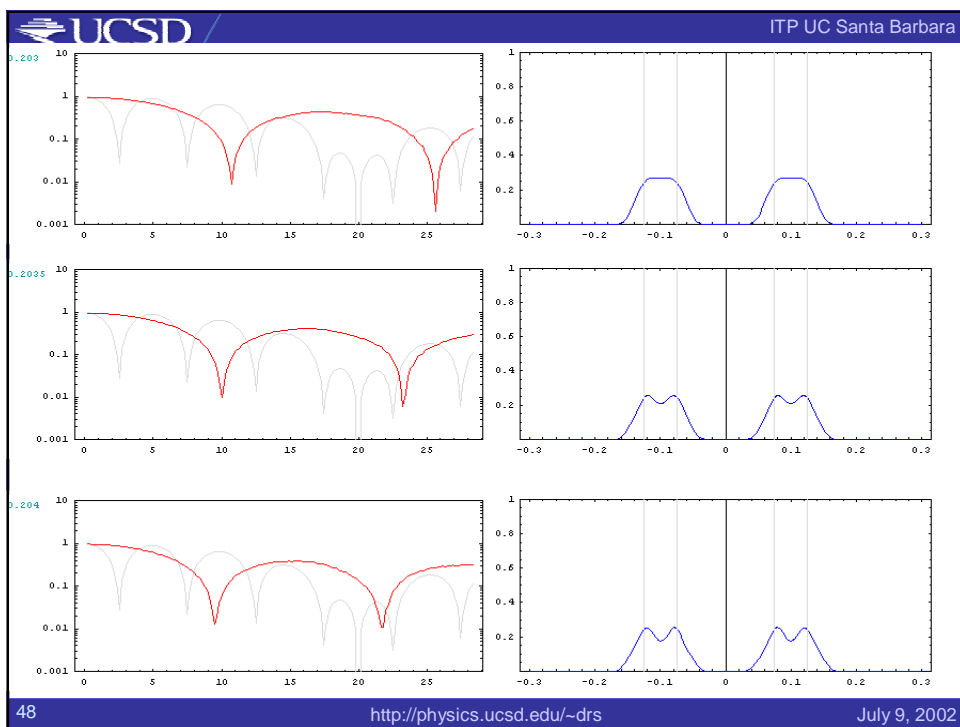
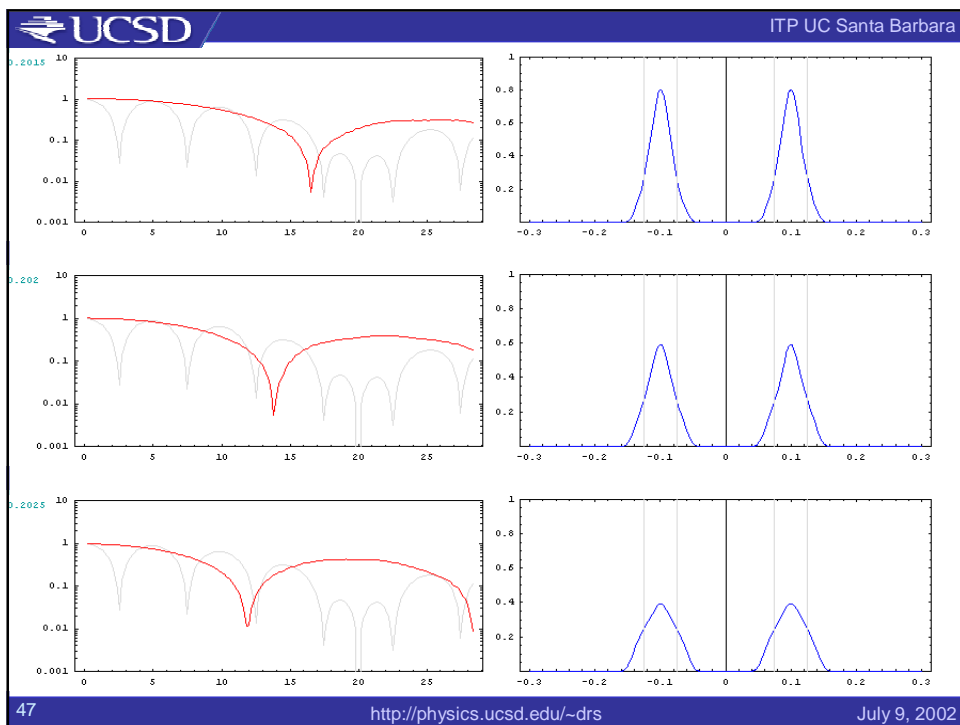
$$E_{image}(k_x, t) = \frac{1}{2\pi i} \int_{\gamma} E_{image}(k_x, s) e^{st} ds$$

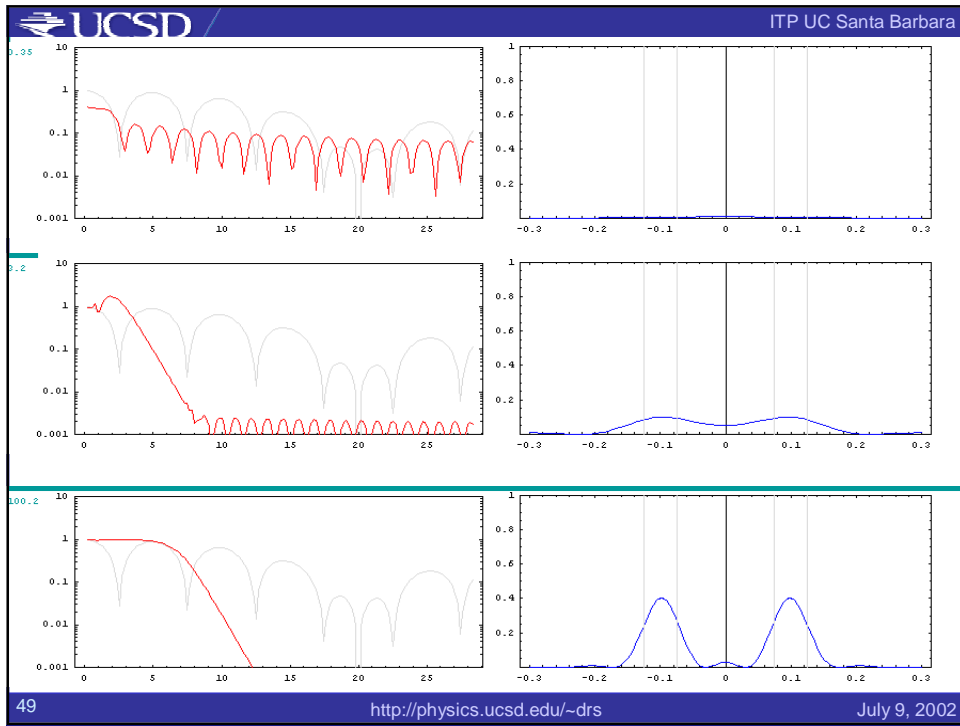
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# Negative Refraction in Electromagnetic Metamaterials



# Negative Refraction in Electromagnetic Metamaterials





## Refraction of Modulated Beams in LHM

$$E(\mathbf{r}, t) = e^{i\mathbf{q}_c \cdot \mathbf{r}} e^{-i\Omega t} \cos(\Delta\mathbf{q} \cdot \mathbf{r} - \Delta\omega t)$$

$$\Delta\mathbf{q} = \left[ k_x \hat{x} + \frac{1}{q_z} (k^2 n n_g - k_x^2) \hat{z} \right] \frac{\Delta\omega}{\Omega}$$

$$n_g \equiv \frac{\partial(n\omega)}{\partial\omega} \geq 1$$

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**Waves at an angle produce interference patterns**

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**What is the Group Velocity?**


The group velocity is an inherent property of the material. For an isotropic medium, it is antiparallel to the phase velocity.

$$\mathbf{v}_g \equiv \nabla_{\mathbf{q}} \omega(\mathbf{q})$$

$$\mathbf{v}_g \neq \frac{d\omega(\mathbf{q})}{d\mathbf{q}}$$

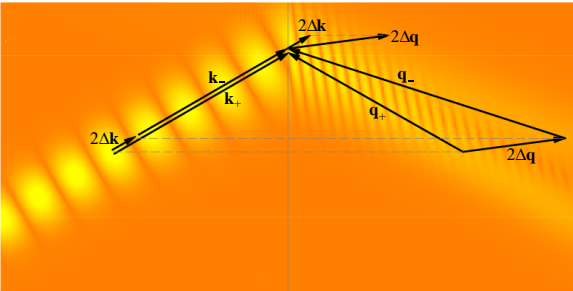
$$\mathbf{v}_g = \frac{\mathbf{q}}{q} \frac{d\omega(q)}{dq} = \frac{\mathbf{q}}{q} \text{sign}(n) \frac{c}{n_g}$$

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## Modulated Gaussian Beams in LHM

Refraction of a Gaussian beam into a NIM. The angle of incidence is 30 degrees.



$$n(\omega_-) = -1.66 + 0.003i$$

$$n(\omega_+) = -1.00 + 0.002i$$

$$\Delta\omega/\omega = 0.07$$

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