

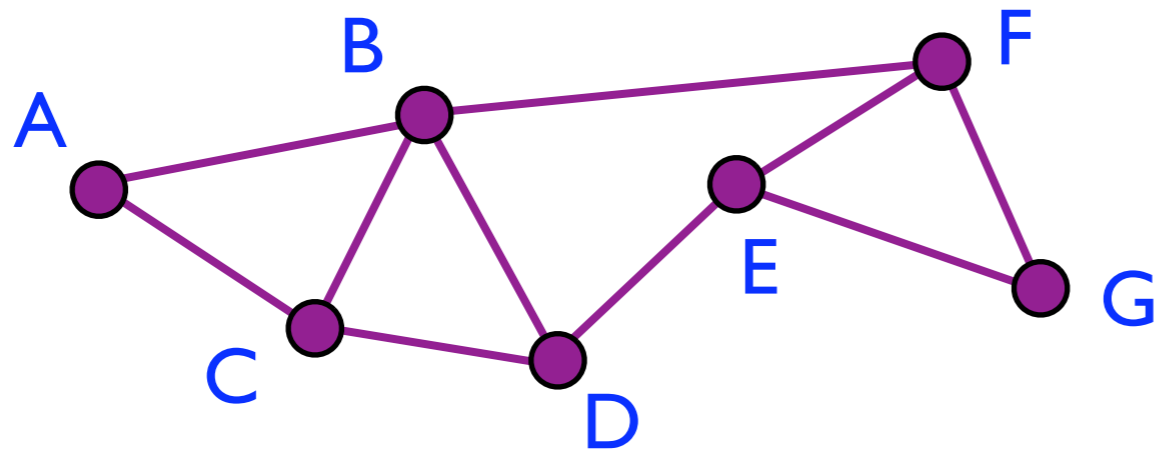
# Computationally Hard Problems in Translationally- Invariant Spin Systems

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Work w/ Sandy Irani  
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# Computing Properties of Spin Systems

A spin system consists of particles with an internal Hilbert space which interact via a Hamiltonian, usually via terms acting on a limited number of particles at once.



$$H = X_A Z_B + Z_A Z_C + Y_B Z_C + X_B X_D + Y_C Z_D + Z_B X_F + Y_D Z_E + X_E X_F + Z_F X_G + Z_E Z_G$$

We would like to be able to learn about spin systems. E.g., we would like to be able to find the spectrum, or even just the ground state energy, of a Hamiltonian  $H$ .

Can we do this with reasonable expenditure of computational resources?

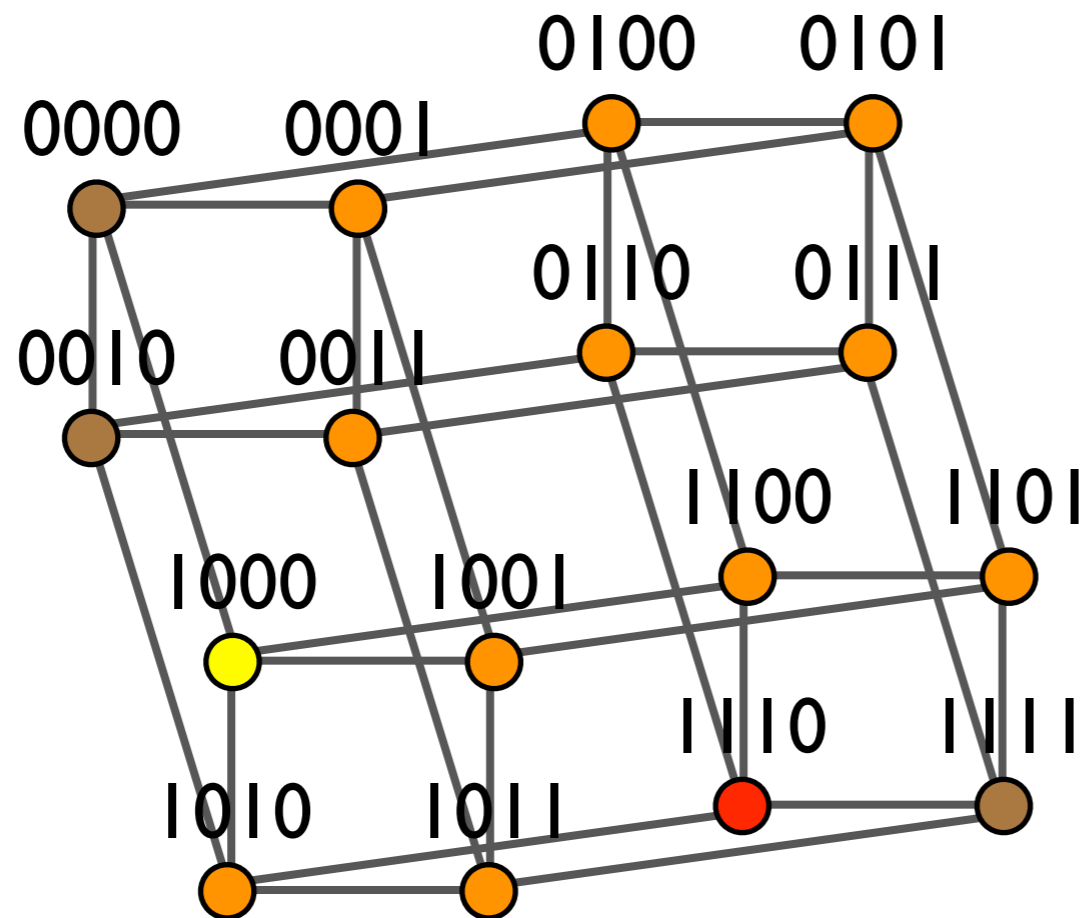
Note that it is not automatic that we can find the ground state energy efficiently even on a quantum computer: Some systems - spin glasses - take a very long time to relax to a thermal state.

# Satisfiability as a Spin System

We can imagine encoding Boolean satisfiability - a hard problem - into a spin system, with energy = # satisfied clauses.

(not A or not B or not C) and (A or D) and (B or C or not D)  
 and (A or B or D) and (not B or C or not D) and (not C or not D)  
 and (not A or not C or D) and (not A or not B or D)

$$H = ABC + (1-A)(1-D) + (1-B)(1-C)D + (1-A)(1-B)(1-D) + CD + B(1-C)D + AC(1-D) + AB(1-D)$$



- Energy 0
- Energy 1
- Energy 2
- Energy 3

If we can find the ground state energy, we can solve satisfiability!

# Who Cares if Spin Systems are Hard?

We consider that a problem can be efficiently solved if it takes **time polynomial in the input size**. Satisfiability probably has no efficient solution (unless  $P=NP$ ). Thus, spin systems can be hard.

- Hardness results serve as **no-go theorems for efficient simulation**.
- When it is hard to find the ground state, the system cannot relax efficiently - a spin-glass-like property. **Spin glasses are poorly understood**, and perhaps rigorous computational hardness results can help shed some light on them.
- Hardness results can be related to constructions to implement quantum computation in spin systems, e.g. **adiabatic and quantum walk-based quantum computation**. The techniques can be useful for designing other interesting spin systems.
- Hardness results in spin systems can serve as a jumping-off point for hardness results about other computational problems, and in general they can help us understand quantum complexity classes.

# Complexity Classes and NP

**Definition:** A **language**  $L$  is a set of bit strings of arbitrary length. An **instance**  $x$  is a bit string which we consider as example of  $L$ ; a **yes instance** corresponds to  $x \in L$ , while a **no instance** corresponds to  $x \notin L$ . Sometimes we are concerned about languages which involve a **promise**, which means we do not care about arbitrary bit instances, only ones with some property. A **complexity class** is a set of languages.

**Definition:** A language  $L$  is in **NP** if there exists a polynomial-time algorithm  $f(x,w)$ , and for each  $x \in L$ , there exists a “witness”  $w_x$  such that  $f(x,w_x)=1$ , while if  $x \notin L$ ,  $f(x,w) = 0 \forall w$ .

Some problems, such as **satisfiability** or the **Traveling Salesman problem**, are as difficult as any problem in NP. We formalize this through the notion of reduction and completeness.

**Definition:** A language  $M$  **reduces** to a language  $L$  if there exists a polynomial-time algorithm  $f(x)$  such that  $f(x) \in L$  iff  $x \in M$ . A language  $L$  is **complete** for a complexity class  $C$  if  $L \in C$  and any language  $M \in C$  can be reduced to  $L$ .

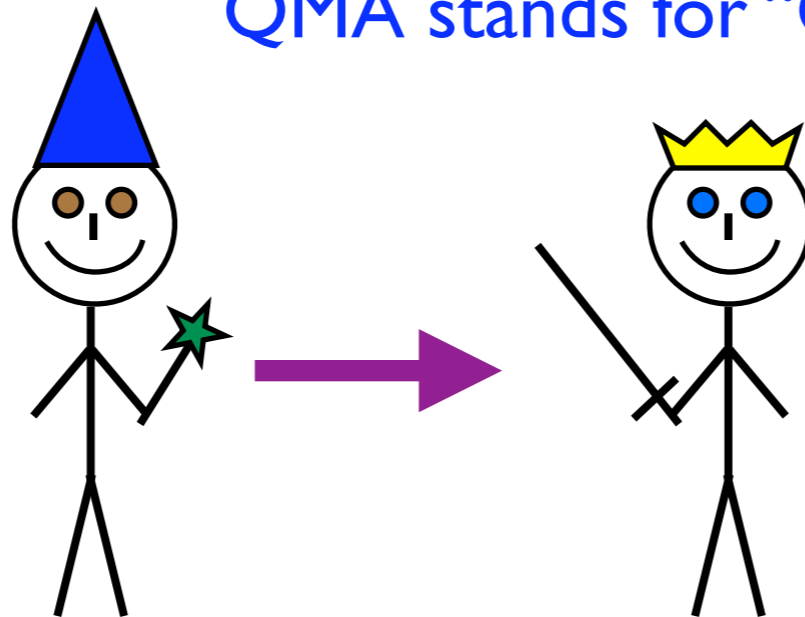
# QMA

NP is a classical complexity class composed of problems which may be hard to solve but are easy to check on a classical computer. QMA is the best quantum analogue, a complexity class composed of problems which may be hard to solve, but are easy to check on a quantum computer.

**Definition:** A language  $L$  is in **QMA** if there exists a polynomial-time *quantum* algorithm  $f(x, |\psi\rangle)$  and for each  $x \in L$  there exists a *quantum* witness  $|\psi_x\rangle$  such that  $f(x, |\psi_x\rangle) = 1$  with probability at least  $2/3$ , while if  $x \notin L$ ,  $f(x, |\psi\rangle) = 0$  with probability at least  $2/3 \forall |\psi\rangle$ . We are given a promise that one of these two cases is true for  $x$ .

QMA stands for “Quantum Merlin-Arthur”

Merlin is very powerful, but not very trustworthy.



He wishes to prove something to Arthur, who has limited computational power.

# k-Local Hamiltonians

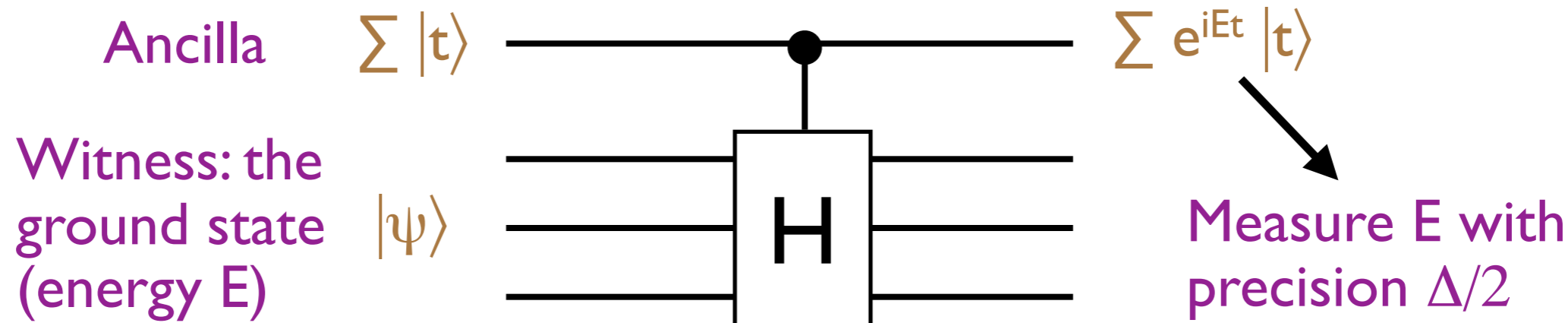
**Definition:** A Hamiltonian is **k-local** if it is the sum of terms that act on at most  $k$  qubits (or more generally,  $k$  particles) at a time.

E.g.,  $H = X_1 X_2 X_3 + Z_1 Z_4 + X_3 X_4 X_5$  is 3-local.

Note that “local” does not imply anything about geometry.

**Definition:** The language **k-LOCAL HAMILTONIAN** consists of triplets  $(H, E, \Delta)$ .  $H$  is a  $k$ -local Hamiltonian, and we have the promise that the ground state energy is either at most  $E$  (the “yes” instances) or above  $E + \Delta$  (the “no” instances).

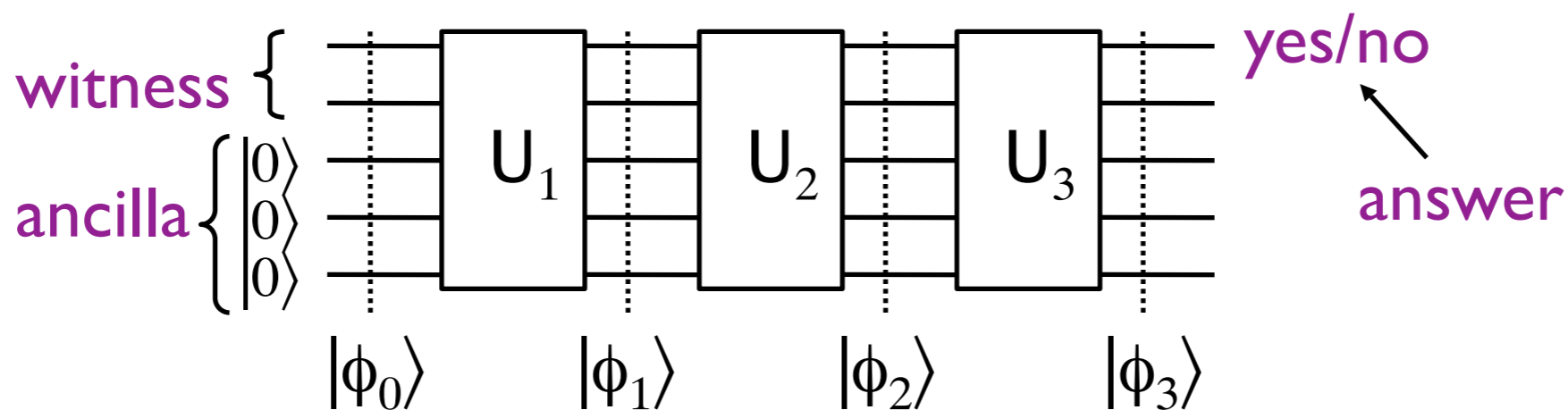
**Theorem:** **k-LOCAL HAMILTONIAN** is in QMA.



# 5-Local Hamiltonians

**Theorem:** (Kitaev 2002) 5-LOCAL HAMILTONIAN is QMA-complete.

We wish to take an instance  $x$  of an arbitrary QMA language and find a Hamiltonian  $H$  such that the ground state of  $H$  is  $\sim 0$  if  $x$  is a “yes” instance and is  $\sim \Delta$  if  $x$  is a “no” instance.



Checking circuit for the instance  $x$

We will write down a Hamiltonian whose ground state corresponds to the “history” of the checking circuit.



# The History State

The history state:

$$|\psi\rangle = \sum |\phi_t\rangle |t\rangle$$

Circuit's state at time  $t$       clock

The clock is encoded in unary: e.g.,  $|1110000\rangle$  is time 3.

We need 3 types of terms in our Hamiltonian:

- Terms to initialize  $|\phi_0\rangle$  correctly:  $|1\rangle\langle 1|_{\text{ancilla}} \otimes |0\rangle\langle 0|_{\text{clock}}$
- Terms to check the output qubit:  $|0\rangle\langle 0|_{\text{answer}} \otimes |T\rangle\langle T|_{\text{clock}}$  (T is the length of the checking circuit.)
- Terms to ensure each time step in the circuit is correct:  
 $(|a\rangle \otimes |t\rangle - U_t |a\rangle \otimes |t+1\rangle) (\langle a| \otimes \langle t| - \langle a| (U_t)^\dagger \otimes \langle t+1|)$

In the last type of term, we write  $|t\rangle = |100\rangle$  (with the 1 in the  $t$ -th position in the clock), and  $|t+1\rangle = |110\rangle$ .  $U$  can be a 2-qubit gate.

The third type of term generates a walk Hamiltonian, equivalent to a particle hopping on a line, which has gap  $\sim 1/T^2$ . (This sets  $\Delta$ .)

# Hard Vs. Easy Quantum Spin Systems

Some Hamiltonians are thus hard to simulate, even on a quantum computer, but of course some systems we can simulate efficiently on a classical computer. What distinguishes easy and hard?

5-Local Hamiltonians

Hard (QMA-complete)

Specific 1D Systems

Easy (P)

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Hamiltonians in 1D with qubits

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Hamiltonians in 2D                      **Hard (QMA-complete)**

Hamiltonians in 1D                      **Hard (QMA-complete)**

Hamiltonians in 1D with qubits      **?**

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Translationally-Invariant Ham.

Specific 1D Systems                      **Easy (P)**

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Hamiltonians in 1D with qubits	?
Translationally-Invariant Ham.	Hard (QMA <sub>EXP</sub> -complete)
Trans. & Reflection-Inv. Ham.	Hard (QMA <sub>EXP</sub> -complete)
Specific 1D Systems	Easy (P)

# Translation Invariance

**Theorem:** (Gottesman, Irani, 2009) 2-LOCAL HAMILTONIAN is  $\text{QMA}_{\text{EXP}}$ -complete when the Hamiltonians involve *translation-invariant* nearest-neighbor interactions in 1D. The input is  $N$  (the number of spins) written in binary.

$\text{QMA}_{\text{EXP}}$  is like  $\text{QMA}$ , but with exponential size (in the input) witness and verification circuit. However, since in this case, the input is  $\log N$  in length, this just means **the problem probably cannot be solved in a time less than  $\exp(N)$ .**

## Proof Idea:

Use a 1D translationally-invariant quantum system to simulate a quantum Turing machine which first counts  $N$ , the number of particles available, and writes it in binary on one end of the computer. Then it simulates a universal quantum Turing machine on the input  $N$ .

# QMA vs. QMA<sub>EXP</sub>

QMA is the class of problems which can be checked in polynomial time on a quantum computer, given a polynomial-sized witness.

QMA<sub>EXP</sub> is the class of problems which can be checked in exponential time on a quantum computer, given an exponential-size quantum witness.

“Polynomial” and “exponential” are given as functions of the size of the input.

We believe that QMA-hard problems will take more than poly time to solve on a quantum computer and that QMA<sub>EXP</sub>-hard problems will take more than exp time.

- In the non-translationally-invariant 1D Hamiltonian problem, the input size is  $\text{poly}(N)$ , and the problem is QMA-complete, so the difficulty is likely  $\text{exp}(N)$ .
- In the translationally-invariant 1D Hamiltonian problem, the input size is  $\log(N)$ , and the problem is QMA<sub>EXP</sub>-complete, so the difficulty is likely  $\text{exp}(N)$  again.

# Overview of the Construction

We wish to create transition rules that cause the 1D system to perform the following steps. Then use the usual tricks to make a Hamiltonian whose ground state is a history state for this machine.

1. **Initialization:** Check the set-up configuration at all sites.
2. **Counting:** Simulate a Turing machine (TM) to count  $N$ .
3. **Computation:** Simulate a universal quantum Turing machine.

We break up the system into six tracks. Each site has a state for each of the tracks.

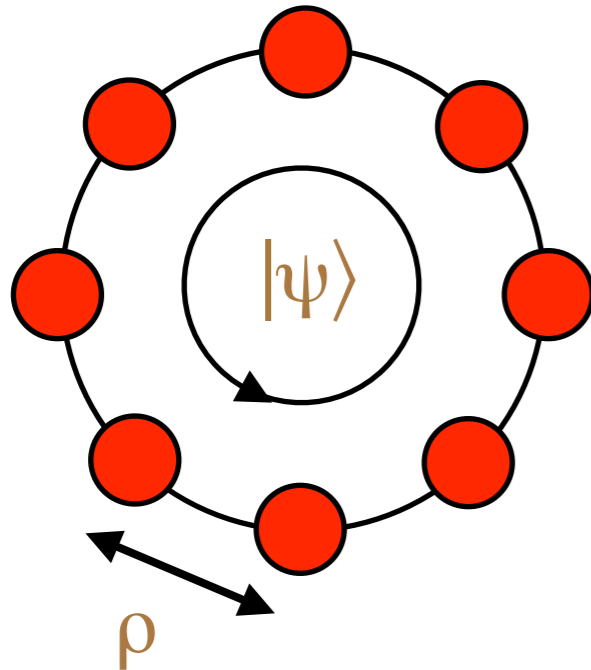
1. **Second Hand:** Sweeps left and right. Each cycle causes one step of a Turing machine. (The first cycle does initialization.)
2. **Minute Hand:** Sweeps left and right. Counts the steps of each Turing machine. Advances one step for each second hand sweep.
3. **Work Tape:** Both Turing machines use this track to write on. After the counting phase, the work tape contains a function of  $N$ , which is used as the input for the computation phase.
4. **Counting Head:** Contains the counting TM's head (phase 2).
5. **Computation Head:** Contains the universal TM head (phase 3).
6. **Witness:** Contains the witness for phase 3.



# N-Representability

We can use the hardness of translation-invariant Hamiltonians to show other computational problems are hard.

**N-Representability:** Given a 2-particle density matrix  $\rho$ , determine whether there exists an N-particle symmetric pure state  $|\psi\rangle$  on the circle such that  $\text{Tr}_{N-2} |\psi\rangle\langle\psi| = \rho$ .



Suppose we can **solve N-Representability** up to some fixed accuracy  $\varepsilon$ . Then we can **solve Trans-Inv. Hamiltonian** on a circle: try a dense network of possible  $\rho$ s (within  $\varepsilon$  of every state), test if each can be extended to a global state and calculate its energy. We determine the minimum and that gives us the minimum energy of  $H$ .

**N-Representability is  $\text{QMA}_{\text{EXP}}$ -complete.**

# Hardness of Classical Spin Systems

What about classical spin systems? (I.e., systems where all Hamiltonian terms are  $ZZ$ -type terms, in the standard basis.)

3-Satisfiability (3-Local Ham.)      Hard (NP-complete)

2-Satisfiability (w/ bits)      Easy (P)

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Max-2-SAT in 1D	Easy (P)
Trans. Inv. 1D Max-2-SAT	Easy (P, as a function of $\log N$ )
2-Satisfiability (w/ bits)	Easy (P)

# Other Variations

	2D, Trans. Inv. Only	2D, Reflection	2D, Rotation	1D
<b>4-Corners BC</b>				
Unweighted	NEXP-complete	$P^\ddagger$	P	P
Weighted	NEXP-complete	NEXP-complete	P	P
<b>Open BC</b>				
Unweighted	$P^\dagger$	P	P	P
Weighted	NEXP-complete	NEXP-complete	P	P
<b>Periodic BC</b>				
Unweighted	NEXP-complete <sup>*</sup>	$P^\ddagger$	P	P
Weighted	NEXP-complete	NEXP-complete <sup>+</sup>	P	P

**Periodic Boundary Conditions:** Can we tile a torus of size  $N$ ?

**Weighted TILING:** Each possible pair of tiles has a cost (+ or -).

**Reflection Symmetry:** Rules don't depend on left/right or up/down.

**Rotation Symmetry:** Rules don't depend on direction at all.

<sup>†</sup> But with an uncomputable parameter.

<sup>‡</sup> With a possibly uncomputable parameter.

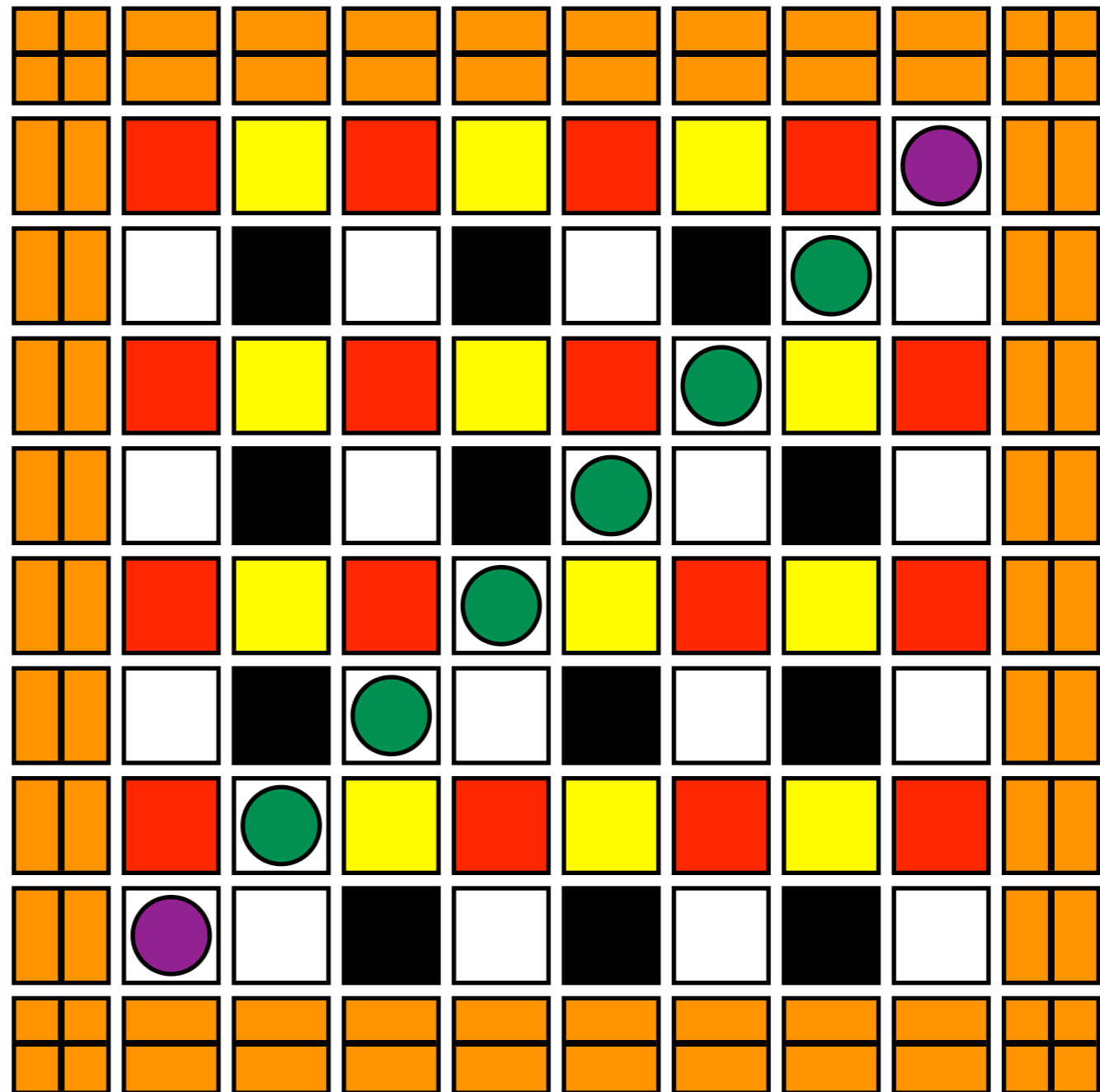
<sup>\*</sup> Under a randomized reduction.

<sup>+</sup>  $P^\ddagger$  for constant total cost.

# Hardness with Reflection Symmetry

By choosing weights appropriately, we can force a layer of classical tiles to arrange themselves like this. We need weights to create a small penalty for the circle tiles; otherwise, there could be multiple ones ruining the pattern.

The point is that in the vicinity of the circle tiles, **parity constraints break the reflection symmetry.**



We use additional layers of tiles to break the reflection symmetry everywhere. A similar trick works in the quantum case.

# Summary

- Finding the ground states of a general translationally-invariant Hamiltonian in 1D is likely to be too hard for a quantum computer.
- Determining if it is possible to tile an  $N \times N$  grid in 2D with classical tiles is likely to be too hard for a classical computer.
- These spin systems are likely spin glasses with no quenched disorder. The disorder is emergent.
- **Note:** 1D quantum problem is  $\exp(N)$  difficulty vs. 1D classical, which is  $\text{poly}(N)$ . But TI 1D quantum is  $\exp(N)$  vs. TI classical, which is  $\text{poly}(\log N)$ .

## Some open questions:

- Can we find more natural Hamiltonians which lead to hard problems (say in 2D)?
- What is the border between hard and easy problems? 1D Hamiltonians with qubits?
- Spin systems with constant gaps? (In 1D, is in NP.)