

# Renyi Entropy of Chaotic Eigenstates

Tarun Grover

Tsung-Cheng (Peter) Lu

(UCSD)

[arXiv:1709.08784](https://arxiv.org/abs/1709.08784)



Alfred P. Sloan  
FOUNDATION

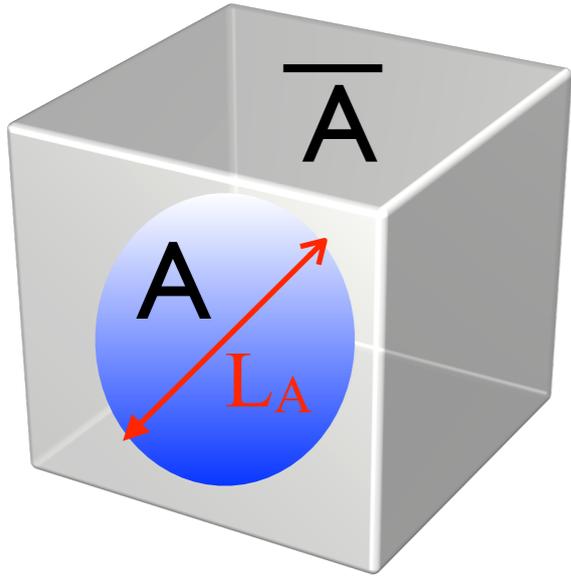
**XSEDE**

Extreme Science and Engineering  
Discovery Environment

Jim Garrison (JQI, UMd )

arXiv:1503.00729

# “Laws” of Entanglement Scaling



Ground States and other zero energy density states:

$$S_n \sim L_A^{d-1} \text{ up to log corrections, } \underline{\text{“Area Law”}}$$

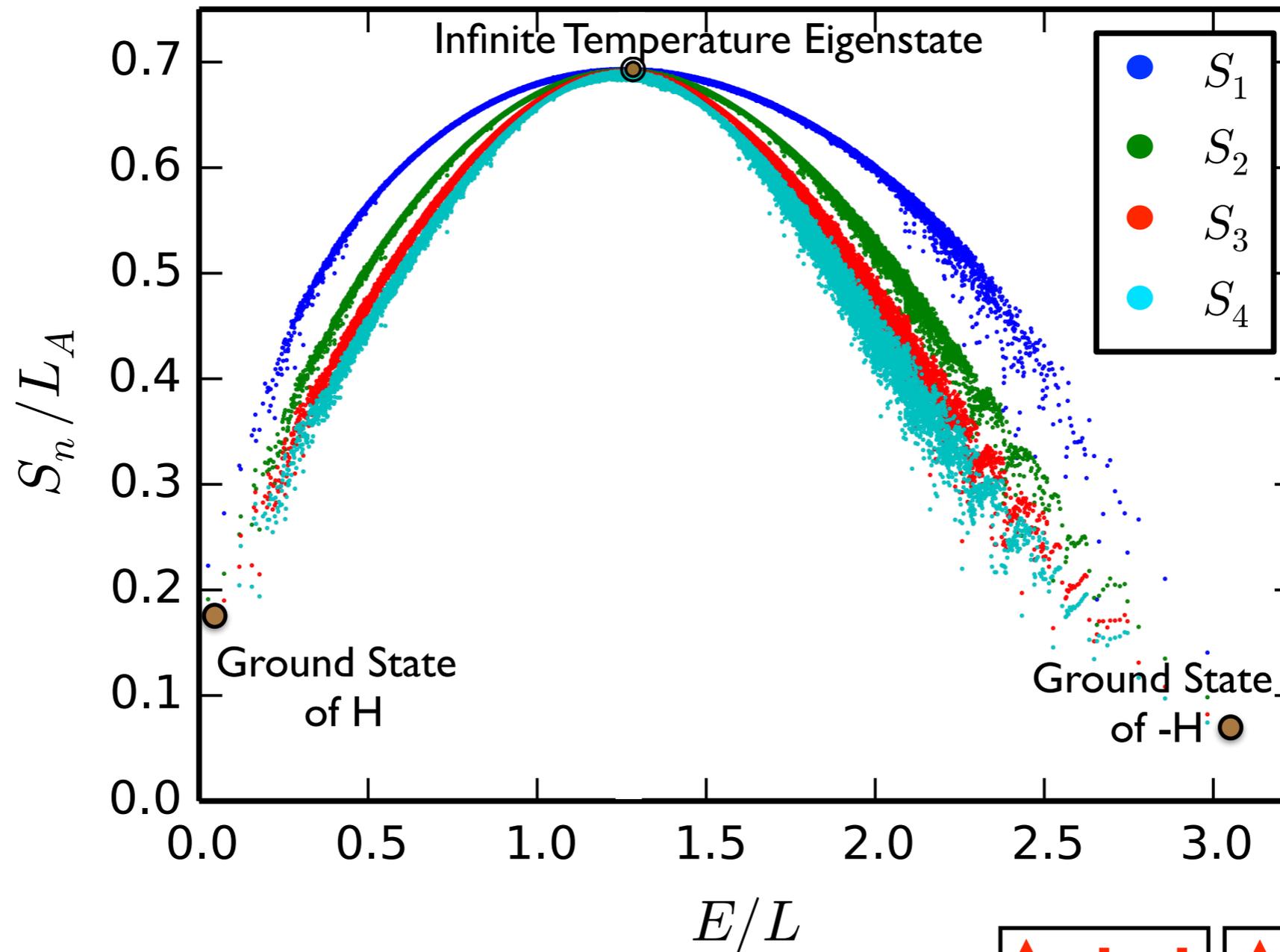
Finite energy density eigenstates: (assuming system does not many-body localize)

$$S_n \sim L_A^d, \quad \underline{\text{“Volume Law”}}$$

*“What would be called a conjecture in computer science, would be declared a “Law” in physics” - Scott Aaronson (KITP 2013)*

# Renyi Entanglement Entropies of ALL eigenstates of a chaotic, local Hamiltonian

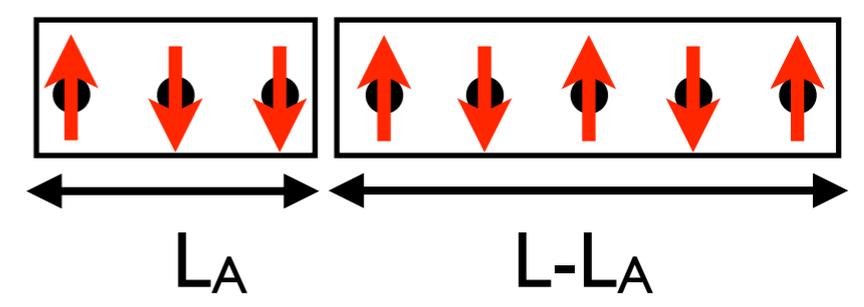
(Steve White's favorite slide)



$$S_n = \frac{1}{1-n} \log(\text{tr } \rho_A^n)$$

(from J. Garrison, TG 2015)

$$H = \sum_i (-\sigma_i^z \sigma_{i+1}^z + h_x \sigma_i^x + h_z \sigma_i^z)$$



# Ground State Entanglement and Universal Data

1+1-d CFT:  $S \sim c \log(L_A/\epsilon)$

2+1-d CFT or Topologically Ordered Phase:  $S \sim L_A/\epsilon - \gamma$

Fermi Surface in  $d+1$  dimensions:  $S \sim (k_F L_A)^{d-1} \log(k_F L_A)$

Holzhey, Wilczek, Larsen; Cardy, Calabrese; Casini, Huerta; Ryu, Takayanagi; Kitaev, Preskill; Wen, Levin; Swingle; Gioev, Klich, and many others.

# Entanglement of Finite Energy Density States?

At finite energy density, temperature “T” or equivalently energy density “u” provide a new scale.

This allows the “volume law” entanglement to be independent of the ultraviolet cutoff.

For example, for the thermal state of a 1+1-d CFT,

$$\rho_{A,\text{thermal}}(\beta) = \frac{\text{tr}_A (e^{-\beta H_{CFT}})}{\text{tr} (e^{-\beta H_{CFT}})} \quad S_1 = \frac{\pi c L_A}{3\beta} + \text{terms subleading in } L_A$$

# “Volume Law” Coefficient (Definition)

$\lim_{V \rightarrow \infty} S_n^A / V_A$  while keeping  $V_A/V$  fixed as  $V \rightarrow \infty$

Example:  $S_1 = \frac{\pi c L_A}{3\beta} + \text{terms subleading in } L_A$

Volume law coefficient =  $\frac{\pi c}{3\beta}$

# This Talk:

## Renyi Entropy of Eigenstates of Chaotic Hamiltonians.

What's their volume law coefficient?

What universal information they encode?

Can one construct approximate chaotic eigenstates?

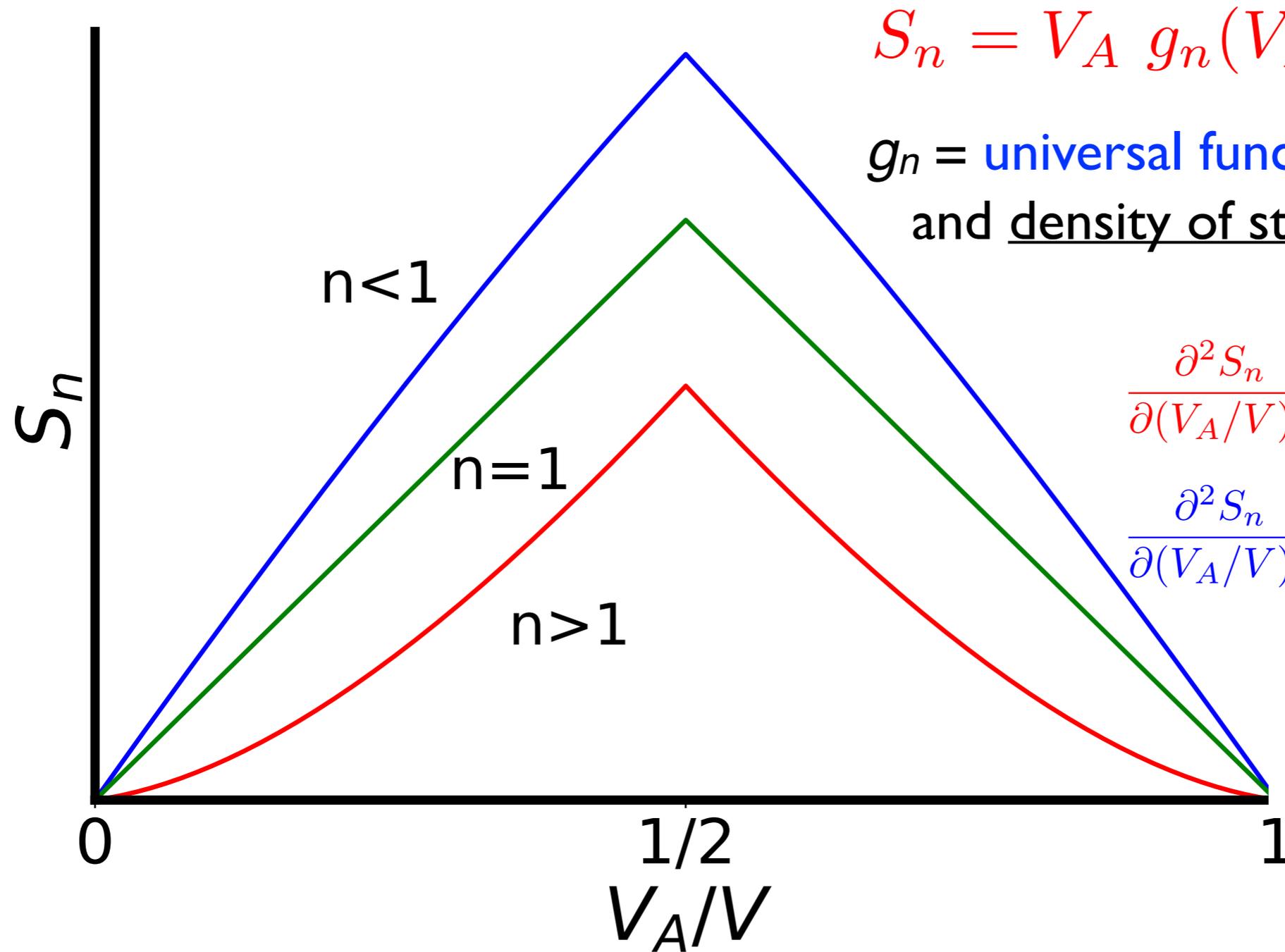
# Summary of Main Result

Let  $|E\rangle$  be an eigenstate of a chaotic Hamiltonian.

Consider  $\rho_A = \text{tr}_{\overline{A}} |E\rangle\langle E|$

$$S_n = \frac{1}{1-n} \log (\text{tr} \rho_A^n)$$

Assuming ergodicity (to be made precise soon), one finds...



$$S_n = V_A g_n(V_A/V, E/V)$$

$g_n =$  universal function of  $V_A/V$  and density of states at  $E/V$

$$\frac{\partial^2 S_n}{\partial (V_A/V)^2} > 0 \quad \text{for } n > 1$$

$$\frac{\partial^2 S_n}{\partial (V_A/V)^2} < 0 \quad \text{for } n < 1$$

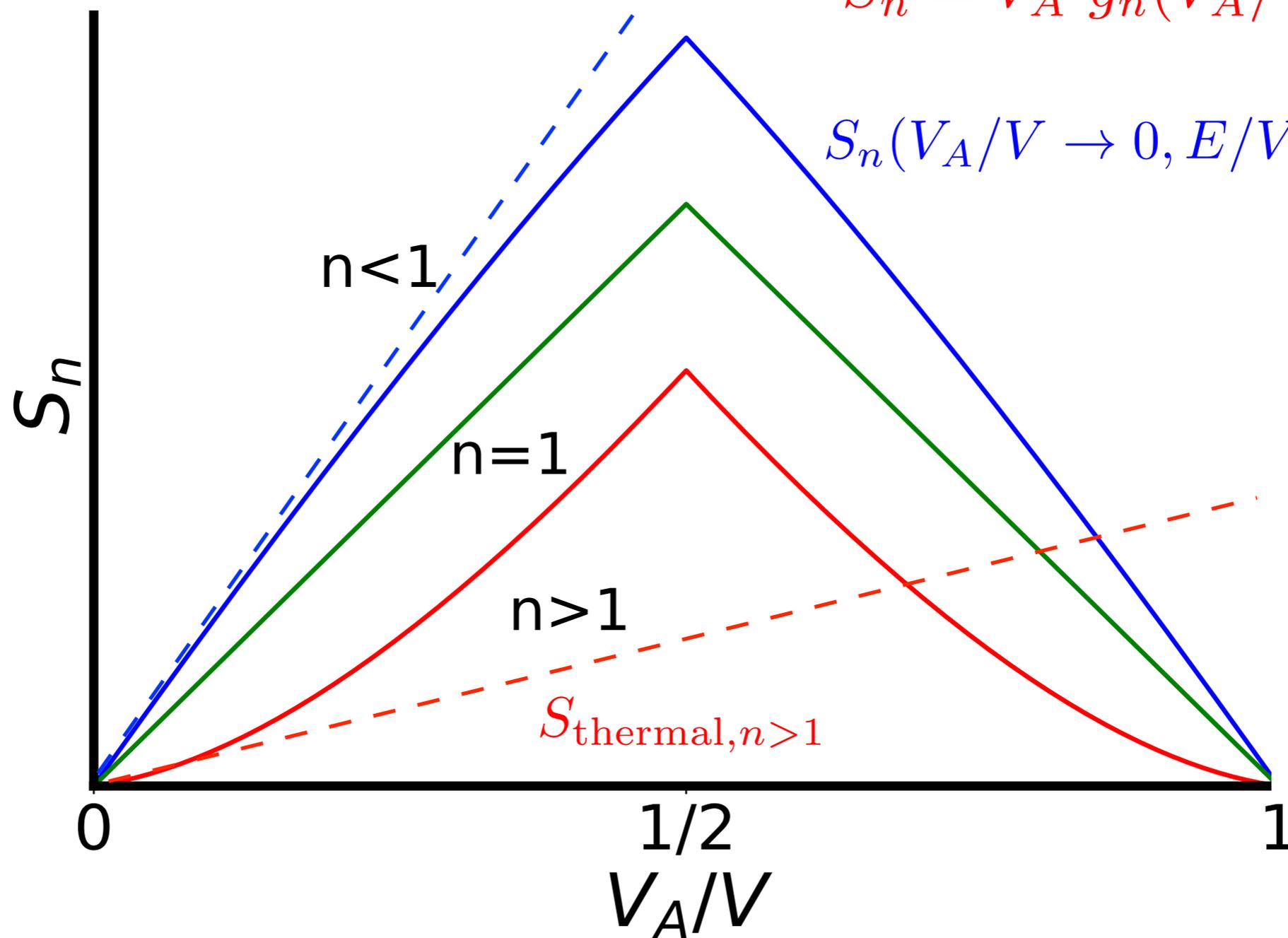
# Comparison with Thermal Density Matrix

$$\rho_{\text{thermal}} = e^{-\beta H_A} / Z_A$$

$$S_{\text{thermal}, n < 1}$$

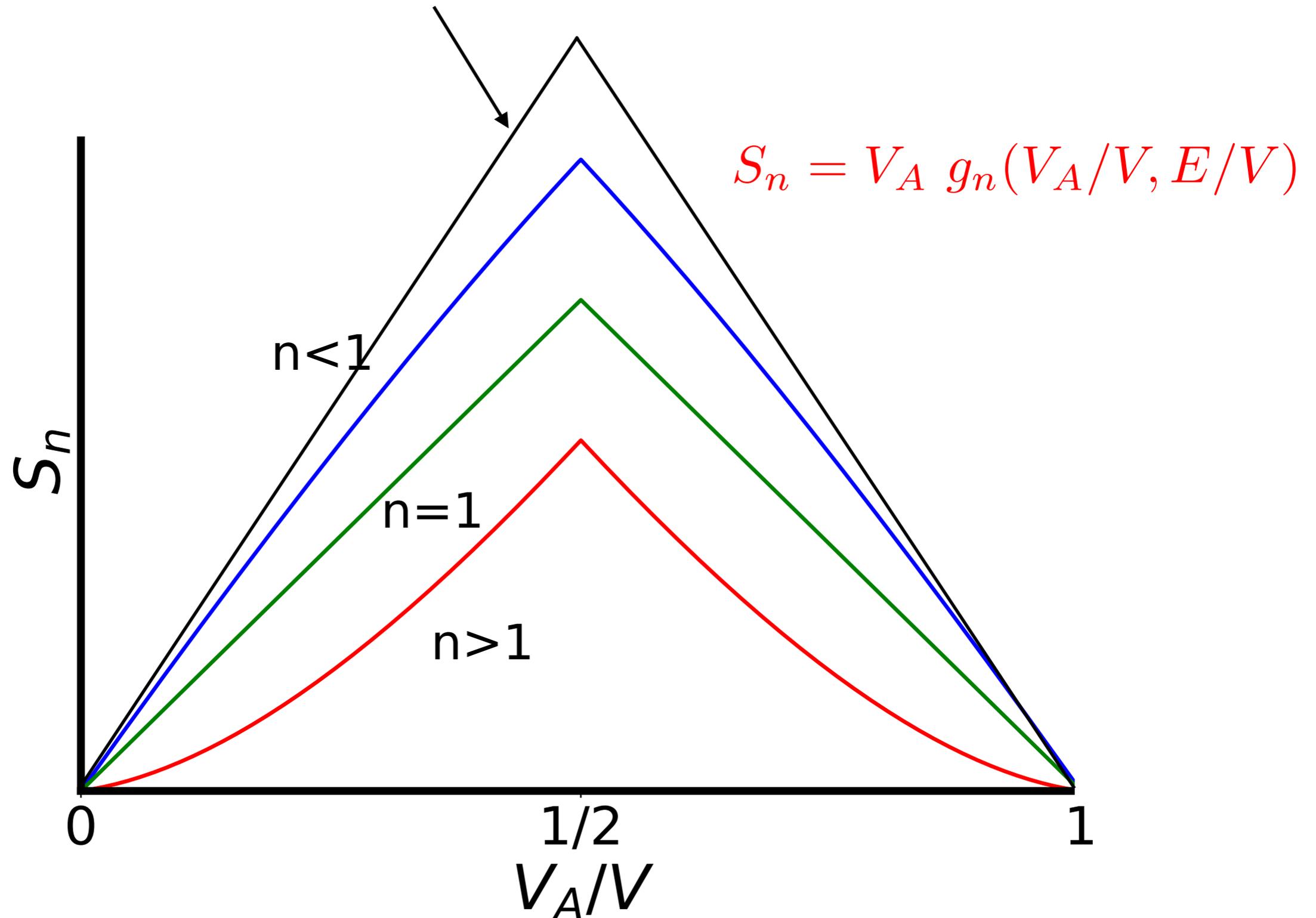
$$S_n = V_A g_n(V_A/V, E/V)$$

$$S_n(V_A/V \rightarrow 0, E/V) = S_{\text{thermal}, n}$$



# Comparison with a “Typical State” in Hilbert Space

For a typical (Haar Random) State,  $S_n$  independent of  $n$  and equals  $\log(\text{size of the Hilbert space of region } A)$ . “Page Curve” (Lubkin 1978, Page 1993).



# Eigenstate Thermalization

Srednicki 1994,  
Deutsch 1991

$$\langle E_\alpha | O | E_\beta \rangle = O(E) \delta_{\alpha\beta} + e^{-S(E)/2} f_O(E, \omega) R_{\alpha\beta}$$

$$E = \frac{E_\alpha + E_\beta}{2} \quad \omega = E_\alpha - E_\beta$$

$O(E)$  = microcanonical expectation value of  $O$ ,

$f_O(E, \omega)$  smooth function,

$R$  random complex variable with zero mean and unit variance.

Rigol, Dunjko, Olshanii 2008; Khatami, Pupillo, Srednicki, Rigol (2014).

First, consider a finite subsystem A of size  $V_A$   
when the total system size  $V \gg V_A$ .

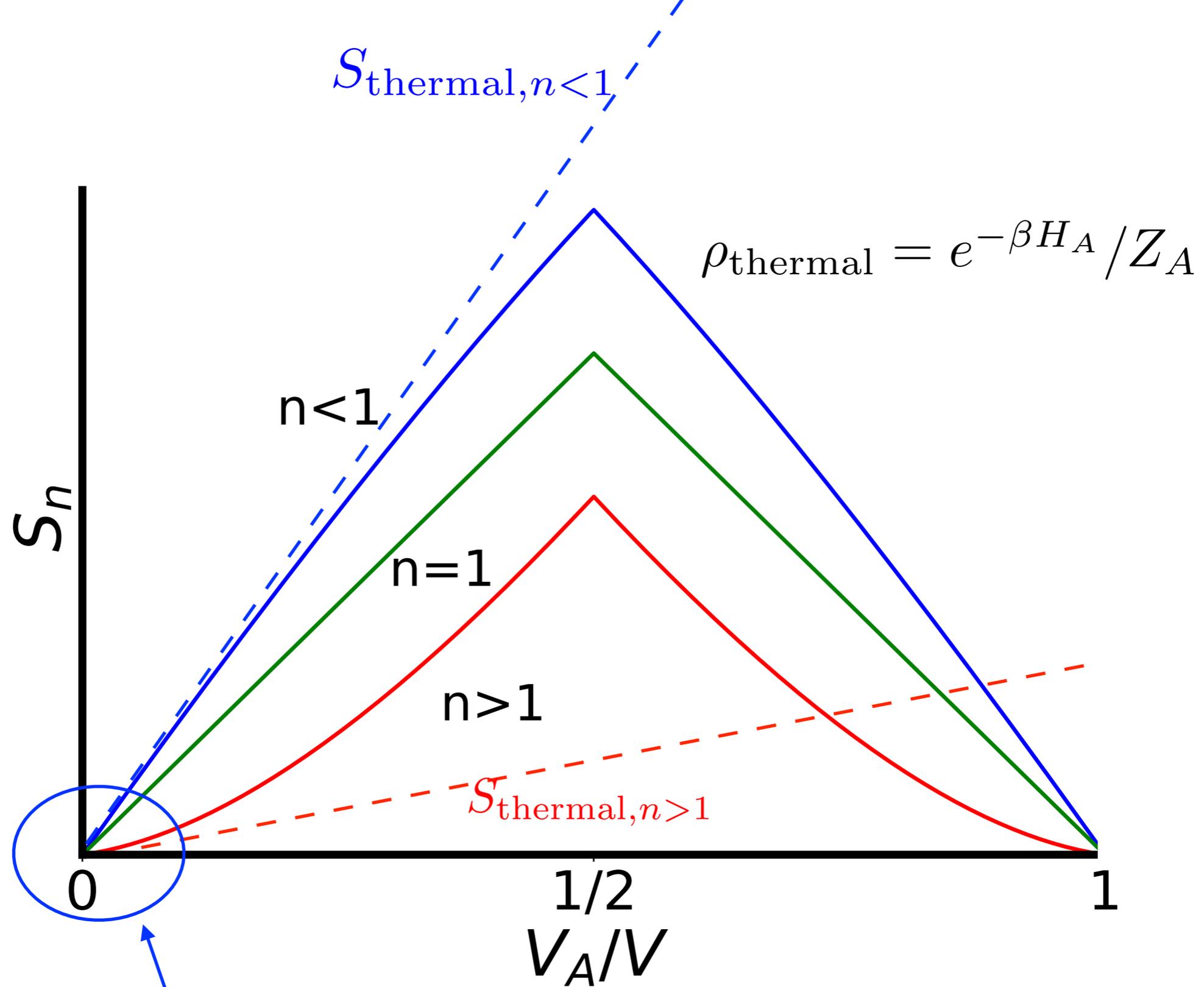
$$\langle E_\alpha | O | E_\beta \rangle = O(E) \delta_{\alpha\beta} + e^{-S(E)/2} f_O(E, \omega) R_{\alpha\beta}$$

If above equation holds for all  
operators  $O$  with support only in region A, then

$$\rho_A(|\psi\rangle_\beta) = \rho_{A,\text{th}}(\beta) \quad (V_A/V \rightarrow 0)$$

where  $\rho_{A,\text{th}}(\beta) = \frac{\text{tr}_A(e^{-\beta H})}{\text{tr}(e^{-\beta H})}$

“thermal reduced density matrix”

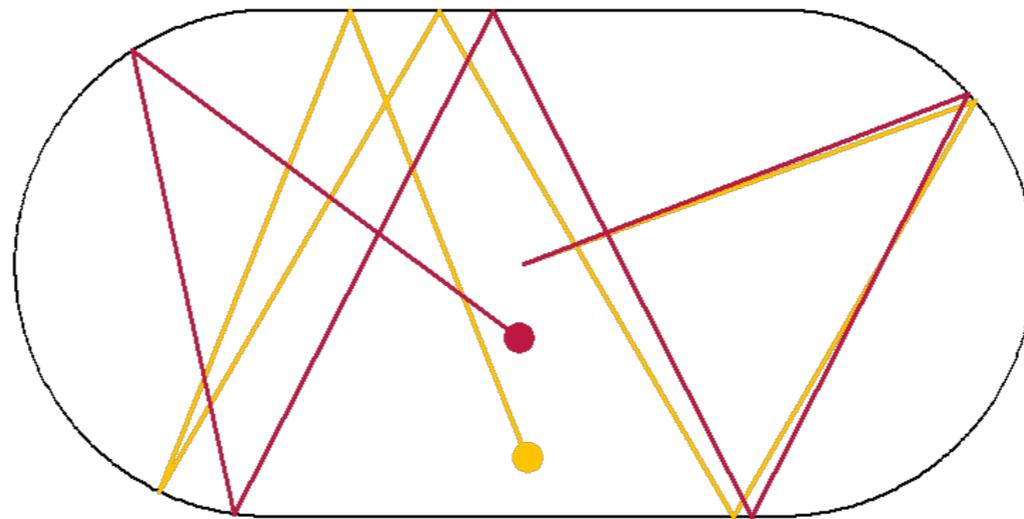


ETH for  $V_A/V \ll 1$  predicts that  $S_n(V_A/V \rightarrow 0, E/V) = S_{\text{thermal}, n}$

What about the limit  $V_A \rightarrow \infty, V \rightarrow \infty$  such  
that  $V_A/V$  is **non-zero**?

# Berry's Conjecture for Chaotic Quantum Billiard Balls

Berry 1977



$$|E\rangle = \int d^3p \alpha(\vec{p}) \delta(p^2/2m - E) |\vec{p}\rangle$$

$\alpha(\vec{p})$  = random gaussian complex numbers

# Berry's Conjecture for a Many-body Hard-Sphere System

Srednicki 1994

$$|E\rangle = \int d^{3N}p \alpha(\{\vec{p}\}) \delta(\sum_i p_i^2 / 2m - E) |\{\vec{p}\}\rangle$$

$\alpha(\{\vec{p}\})$  = random gaussian complex numbers

Leads to ETH equation

$$\langle E_\alpha | \mathbf{O} | E_\beta \rangle = \mathcal{O}(E) \delta_{\alpha\beta} + e^{-S(E)/2} f_{\mathcal{O}}(E, \omega) R_{\alpha\beta}$$

# Many-body Berry Eigenstates in General

Consider an integrable system perturbed by an infinitesimal integrability-breaking term

$$H = H_0 + \epsilon H_1$$

e.g., 
$$H = \sum_{i=1}^L -Z_i Z_{i+1} - h_z Z_i + \epsilon X_i$$

$$\lim_{\epsilon \rightarrow 0} \lim_{V \rightarrow \infty} |E\rangle = \sum_{\alpha} C_{\alpha} |s_{\alpha}\rangle$$

“Spontaneous  
Integrability Breaking”

$$P(\{C_{\alpha}\}) \sim \delta(1 - \sum_{\alpha} |C_{\alpha}|^2)$$

Ansatz  
recovers ETH

# Non-perturbative Generalization

$$H|\psi\rangle = E|\psi\rangle$$

$$H = H_A + H_{\bar{A}} + H_{A\bar{A}}$$

$$|\psi\rangle = \sum_{i,j} C_{ij} |E_i^A, E_j^{\bar{A}}\rangle$$

“Ergodic Bipartition” Conjecture:

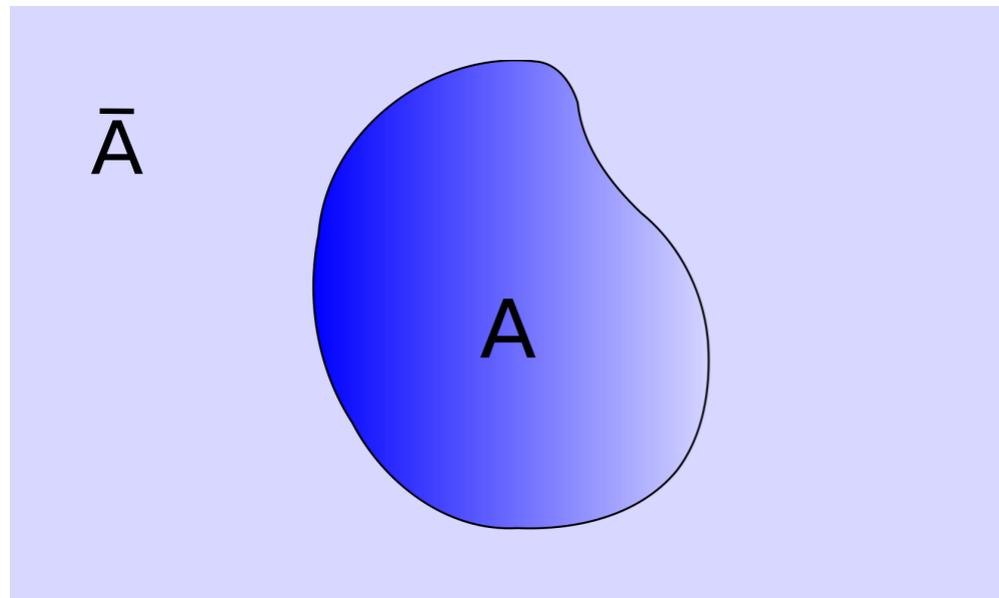
$$P(\{C_{ij}\}) \propto \delta(1 - \sum_{ij} |C_{ij}|^2) \prod_{i,j} \delta(E_i^A + E_j^{\bar{A}} - E)$$

Recovers ETH for all bulk quantities (i.e. operators away from the boundary of subsystem A).

# Relation to Ergodicity

## Average Reduced Density Matrix for “Many-body Berry” States and “Ergodic Bipartition” States

$$\overline{\rho}_A = \frac{1}{N} \sum_{\alpha} |s_{\alpha}\rangle \langle s_{\alpha}| e^{S_{M\bar{A}}(E-E_{\alpha})}$$



Physical meaning: for a given energy  $E_A$  in region A, all states in its complement equally likely (“Ergodicity”).

Exactly same as postulated via Canonical typicality arguments in Dymarsky, Lashkari, Liu’s “Subsystem ETH” (2016).

Analogous result for systems with  $U(1)$  symmetry at infinite  $T$  (Garrison, TG (2015)).

Why not directly work with  $\overline{\rho}_A = \frac{1}{N} \sum_{\alpha} |s_{\alpha}\rangle \langle s_{\alpha}| e^{S_{M\bar{A}}(E-E_{\alpha})}$

to calculate Renyi entropies?

Three kind of averaged Renyi entropies:

$$(a) S_n^A(\overline{\rho}_A) = \frac{1}{1-n} \log (\text{tr} ((\overline{\rho}_A)^n))$$

$$(b) S_n^A(\overline{\text{tr} \rho_A^n}) = \frac{1}{1-n} \log (\overline{\text{tr} \rho_A^n})$$

$$(c) S_{n,\text{avg}}^A = \overline{\frac{1}{1-n} \log (\text{tr} (\rho_A^n))}$$

(c) most relevant. (c) = (b) upto terms exponentially small in system size (recall: No Fannes' inequality for Renyis). Studying (b) requires wavefunction.

# Renyi Entropies

$$H = H_A + H_{\bar{A}} + H_{A\bar{A}}$$

Let density of States of  $H_A$  at energy  $E_A = e^{S_A^M(E_A)}$

Similarly, density of States of  $H_{\bar{A}}$  at energy  $E_{\bar{A}} = e^{S_{\bar{A}}^M(E_{\bar{A}})}$

$$S_2 = -\log \text{Tr} \overline{\rho_A^2} = -\log \left[ \frac{\sum_{E_A} e^{2S_A^M(E_A) + S_{\bar{A}}^M(E - E_A)} + e^{S_A^M(E_A) + 2S_{\bar{A}}^M(E - E_A)}}{\left[ \sum_{E_A} e^{S_A^M(E_A) + S_{\bar{A}}^M(E - E_A)} \right]^2} \right]$$

# Renyi Entropies

$$S_n^A = \frac{1}{1-n} \log \left[ \frac{\sum_{E_A} e^{S_A^M(E_A) + n S_A^M(E-E_A)}}{\left[ \sum_{E_A} e^{S_A^M(E_A) + S_A^M(E-E_A)} \right]^n} \right]$$

at the leading order as  $V \rightarrow \infty, V_A \rightarrow \infty$  while  $V_A/V$  is fixed.

# Renyi Entropies in Thermodynamic limit

$$S_n^A = \frac{V}{1-n} \left[ f s(\epsilon_A) + n(1-f) s\left(\frac{\epsilon - \epsilon_A f}{1-f}\right) - n s(\epsilon) \right]$$

where  $\epsilon_A$  satisfies 
$$\left. \frac{\partial s}{\partial \epsilon} \right|_{\epsilon_A} = n \left. \frac{\partial s}{\partial \epsilon} \right|_{\frac{\epsilon - \epsilon_A f}{1-f}}$$

$V$  = total volume,  $f = V_A/V$ ,  $s$  = thermal entropy density,

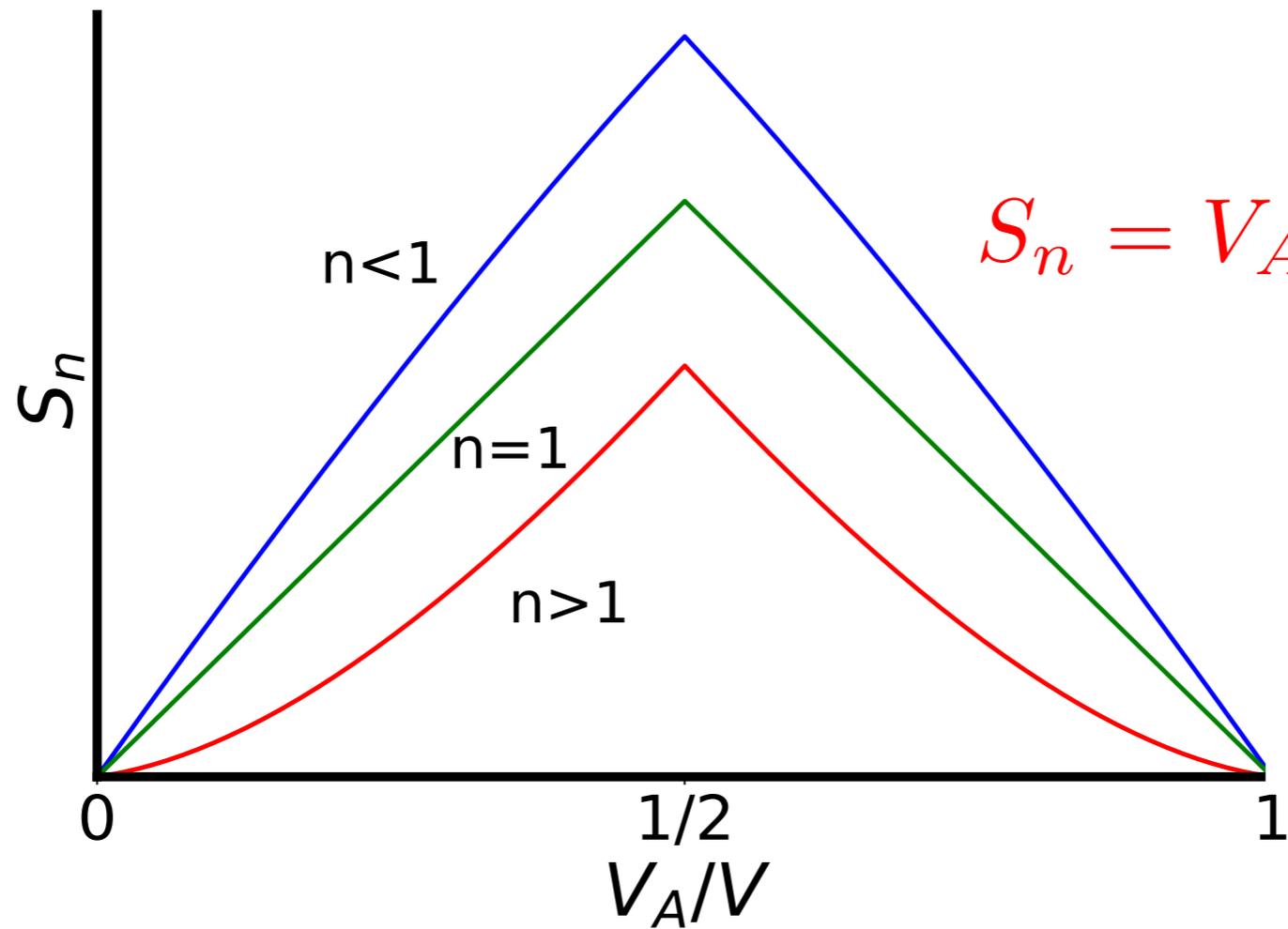
$\epsilon = E/V$  = energy density of the eigenstate

Only when  $n = 1$  (von Neumann entropy),  $\epsilon_A = \epsilon$ , and then  $S^A/V_A$  is independent of  $f = V_A/V$  ( $\Rightarrow$  no curvature i.e. “Page curve”)

# Curvature dependence of Renyi Entropies

Using above equations, one can prove that

$$\frac{\partial^2 S_n}{\partial(V_A/V)^2} > 0 \quad \text{for } n > 1 \qquad \frac{\partial^2 S_n}{\partial(V_A/V)^2} < 0 \quad \text{for } n < 1$$



$$S_n = V_A g_n(V_A/V, E/V)$$

$$g_n(V_A/V, E/V) = \frac{1}{(1-n)f} \left[ f s(u_A^*) + n(1-f)s(u_A^*) - ns(u) \right]$$

where  $\epsilon_A$  satisfies  $\left. \frac{\partial s}{\partial \epsilon} \right|_{\epsilon_A} = n \left. \frac{\partial s}{\partial \epsilon} \right|_{\frac{\epsilon - \epsilon_A f}{1-f}}$

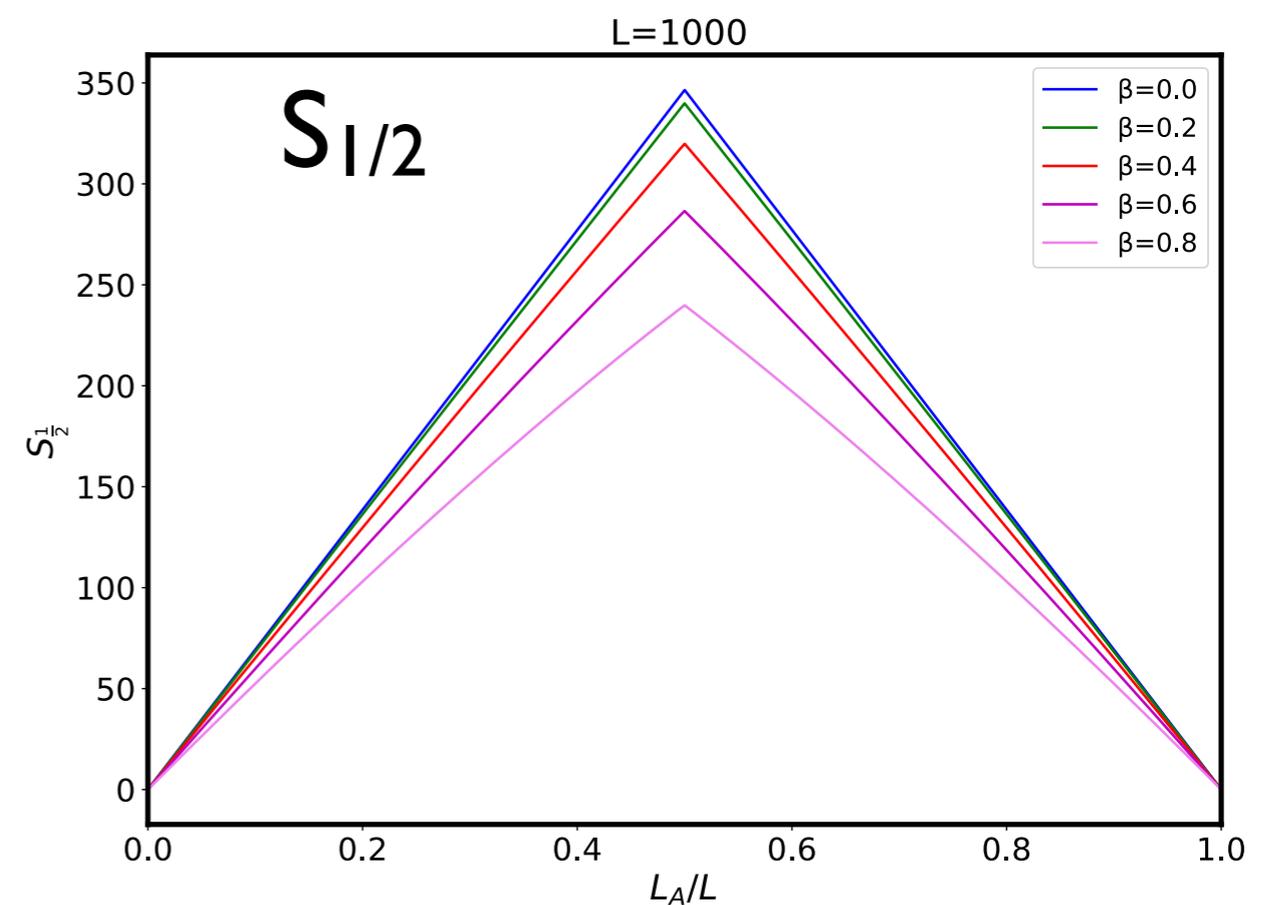
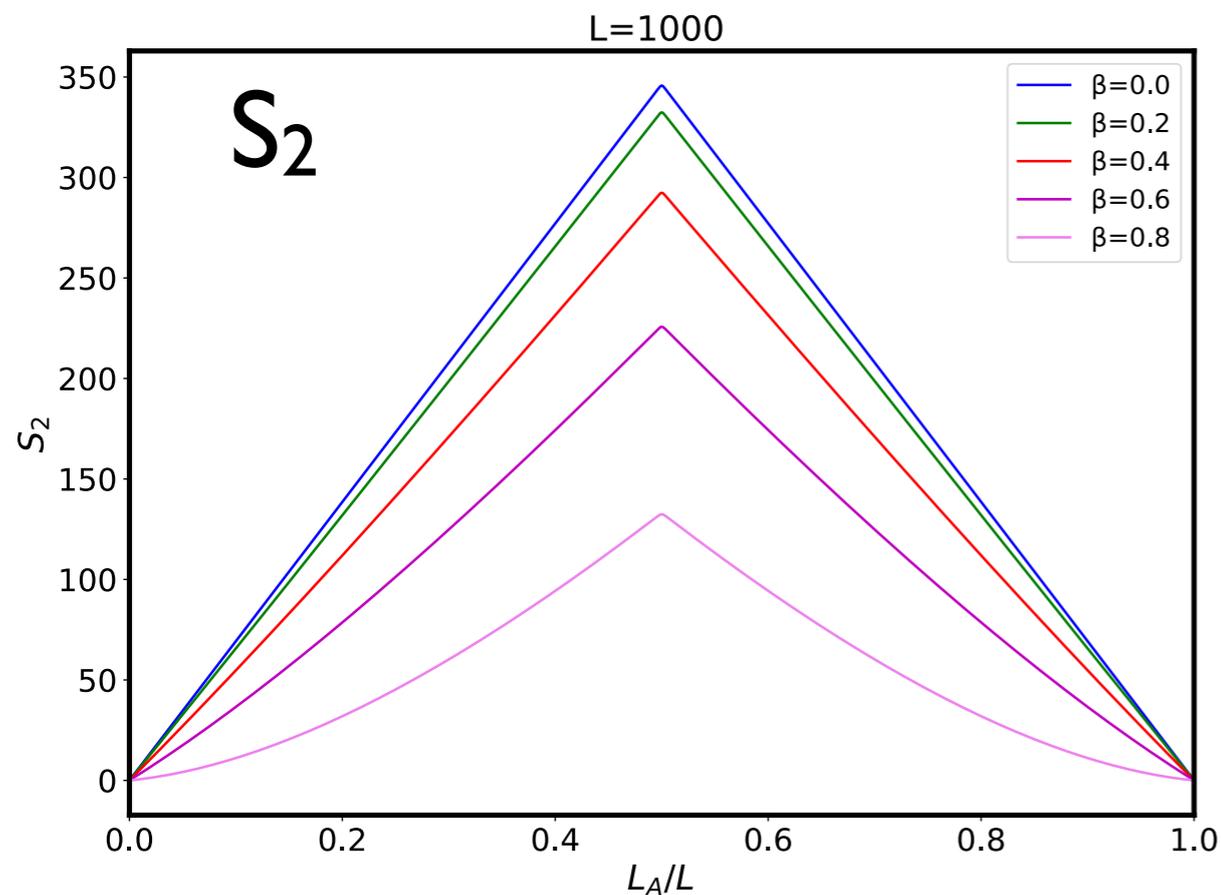
# Example: $H_0$ with Gaussian density of states.

$$S_n = V f \left[ \log(2) - \frac{n}{2[1+(n-1)f]} \beta^2 \right]$$

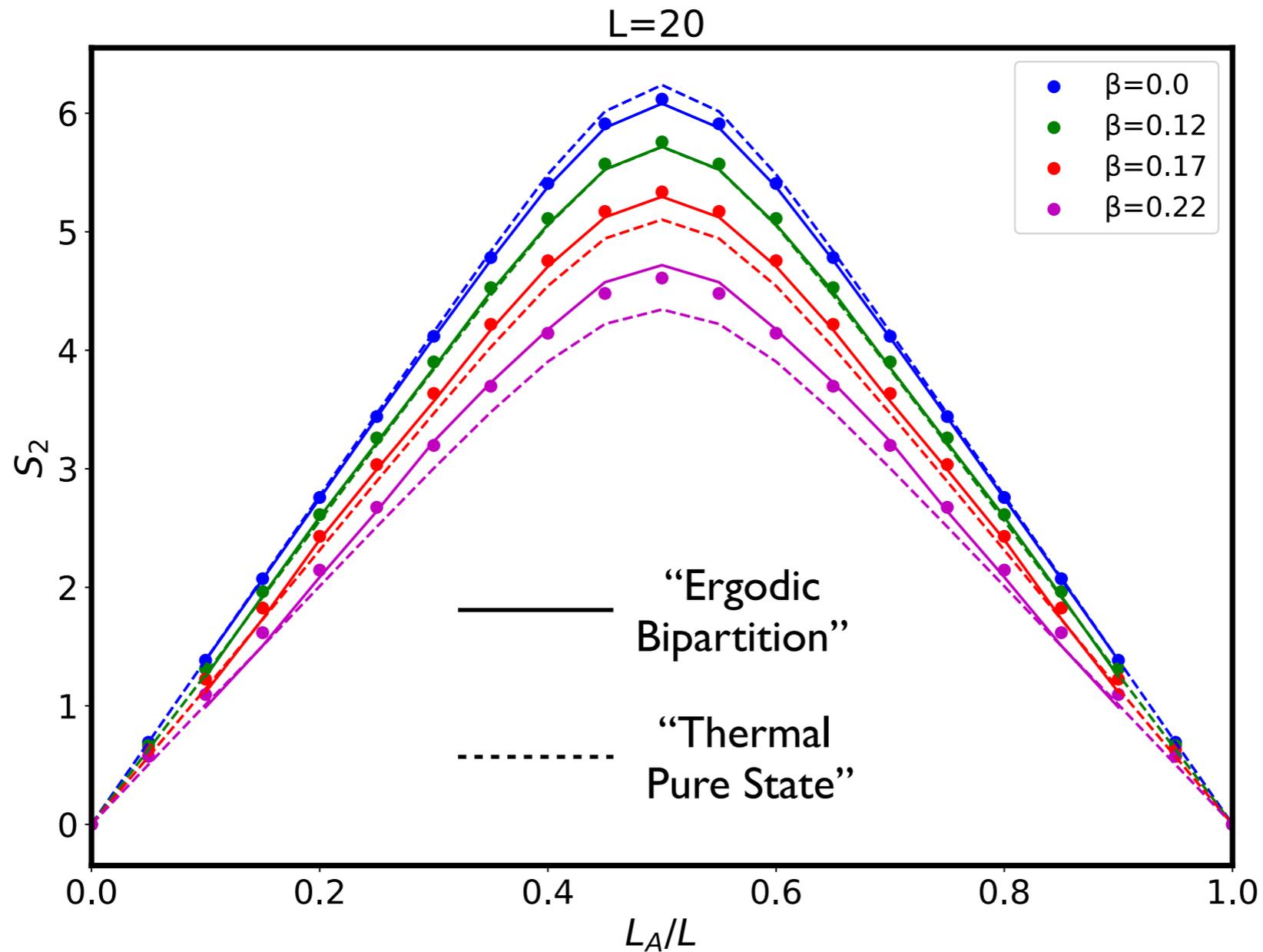
$$(f = V_A/V)$$

Convex for  $n > 1$ ,  
Concave for  $n < 1$ .

No Page Curve for  $S_n, n \neq 1$



# Comparison of theory with Numerics



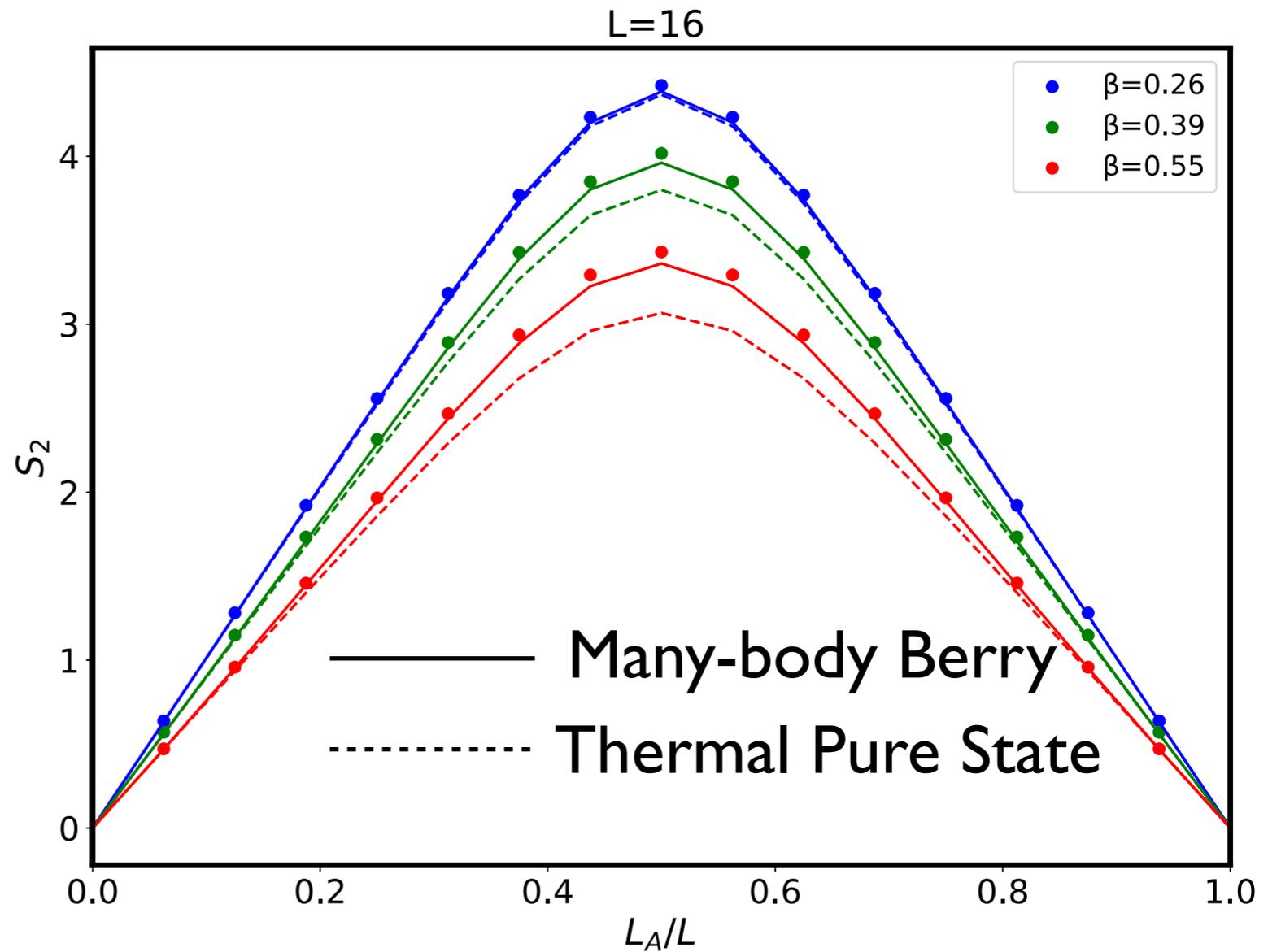
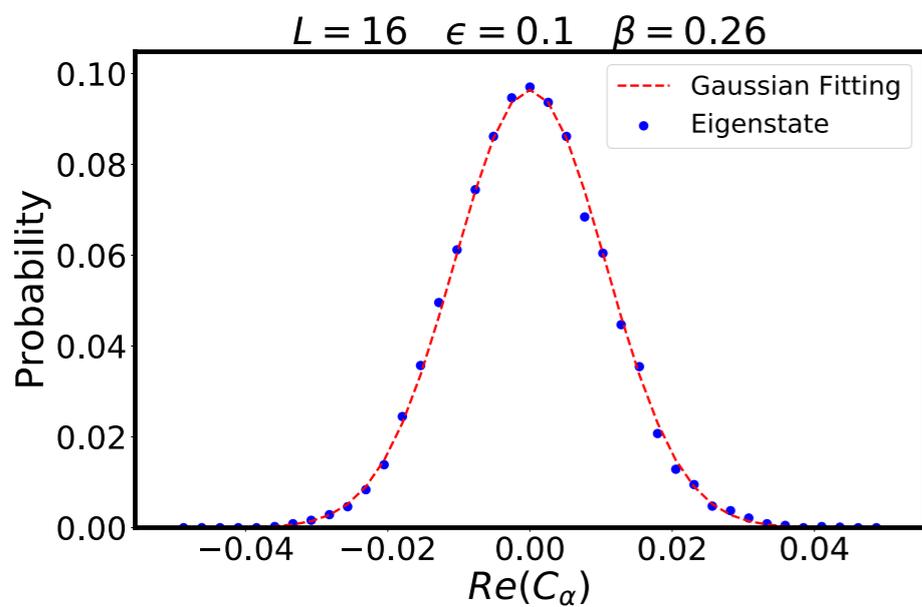
“Thermal Pure State” =  $e^{-\beta H/2} |\text{Haar random state}\rangle$

(Fujita et al 2017)

# Demonstration of Many-body Berry Conjecture

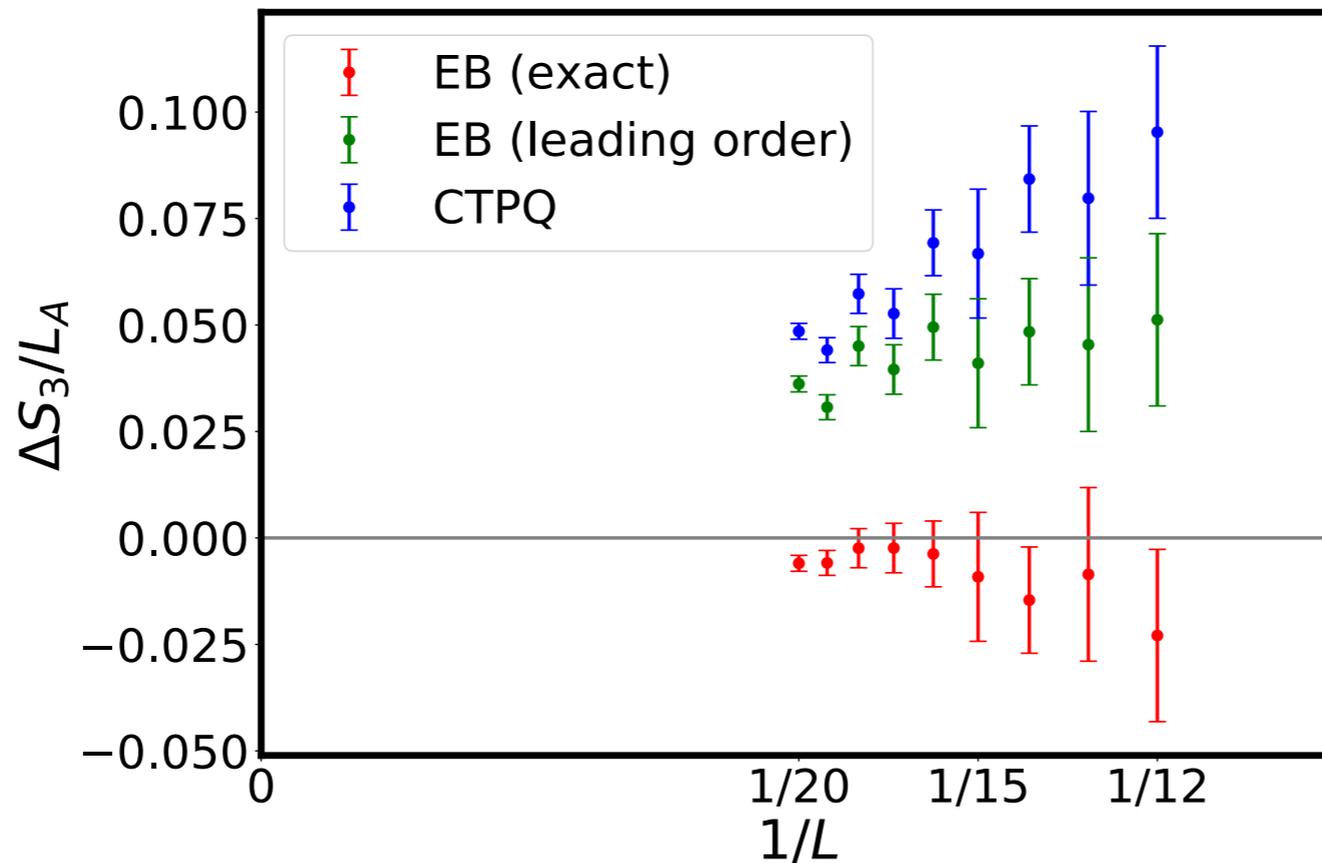
$$H = \sum_{i=1}^L Z_i + \epsilon \times \text{Random Matrix}$$

$$\lim_{\epsilon \rightarrow 0} \lim_{V \rightarrow \infty} |E\rangle = \sum_{\alpha} C_{\alpha} |s_{\alpha}\rangle$$



# Finite Size Scaling: Exact Vs Asymptotic

$$H = \sum_i (-\sigma_i^z \sigma_{i+1}^z + h_x \sigma_i^x + h_z \sigma_i^z)$$



Exact:

$$\bar{S}_3 = -\frac{1}{2} \log \left[ \frac{\sum_{E_A} e^{S_A^M(E_A) + 3S_A^M(E-E_A)} + 3e^{2S_A^M(E_A) + 2S_A^M(E-E_A)} + e^{S_A^M(E_A) + S_A^M(E-E_A)} + e^{3S_A^M(E_A) + S_A^M(E-E_A)}}{\left[ \sum_{E_A} e^{S_A^M(E_A) + S_A^M(E-E_A)} \right]^3} \right]$$

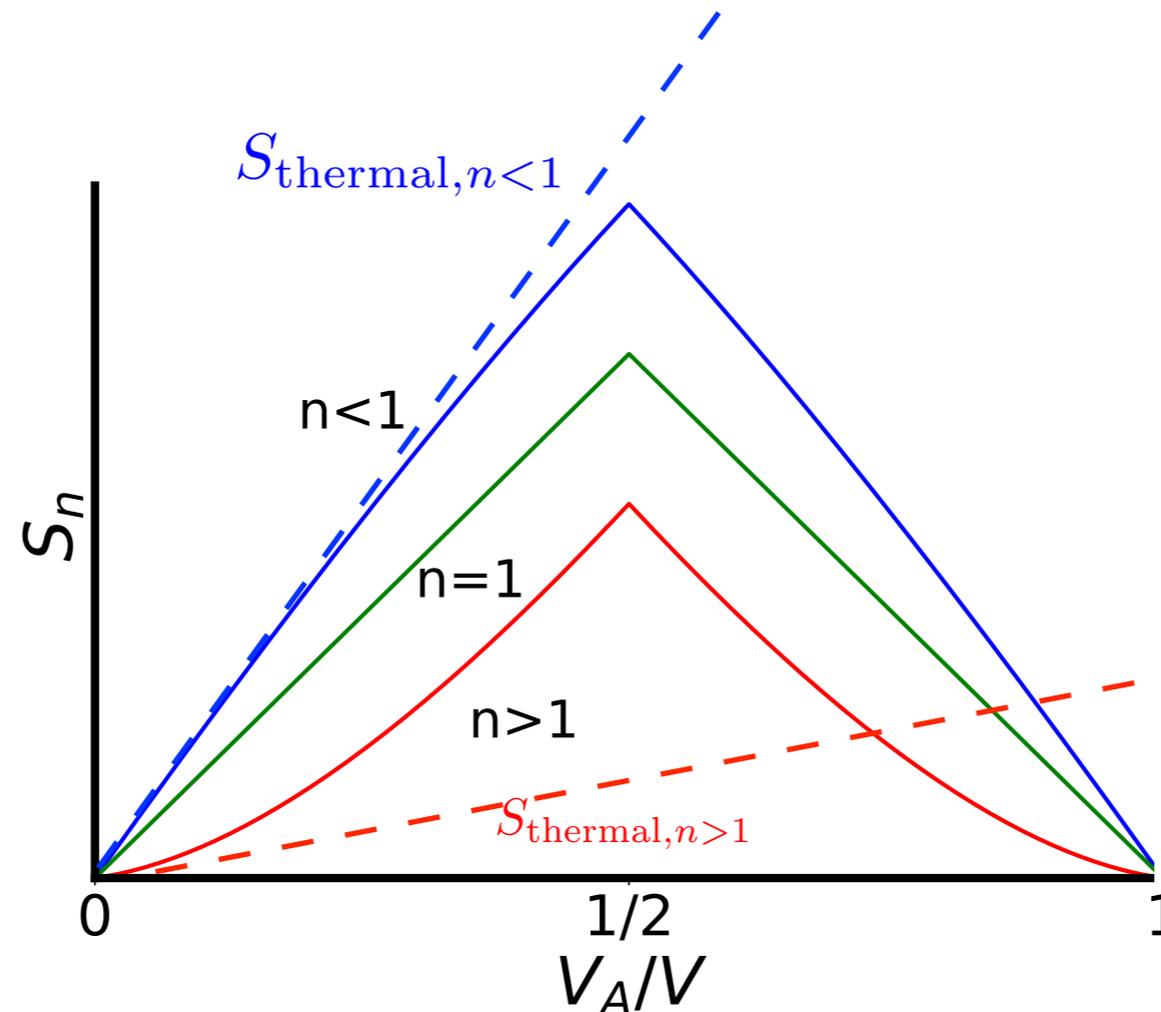
Asymptotic:

$$S_n^A = \frac{V}{1-n} \left[ f s(\epsilon_A) + n(1-f) s\left(\frac{\epsilon - \epsilon_A f}{1-f}\right) - n s(\epsilon) \right] \text{ where } \epsilon_A \text{ satisfies } \left. \frac{\partial s}{\partial \epsilon} \right|_{\epsilon_A} = n \left. \frac{\partial s}{\partial \epsilon} \right|_{\frac{\epsilon - \epsilon_A f}{1-f}}$$

# Consequences

Renyi entropies can tell the difference between a **pure state** and a **thermal state** even when  $V_A \ll V$ .

$$\rho_{\text{thermal}} = e^{-\beta H_A} / Z_A$$



Consequences for decoding information from Hawking radiation?

# Consequences

Prediction for Renyi entropy of eigenstates of chaotic CFTs (e.g. holographic CFTs).

In a CFT <sub>$d+1$</sub> , the entropy density  $s(u) = c u^\alpha$  where  $u$  is the energy density, and  $\alpha = d/(d+1)$ .

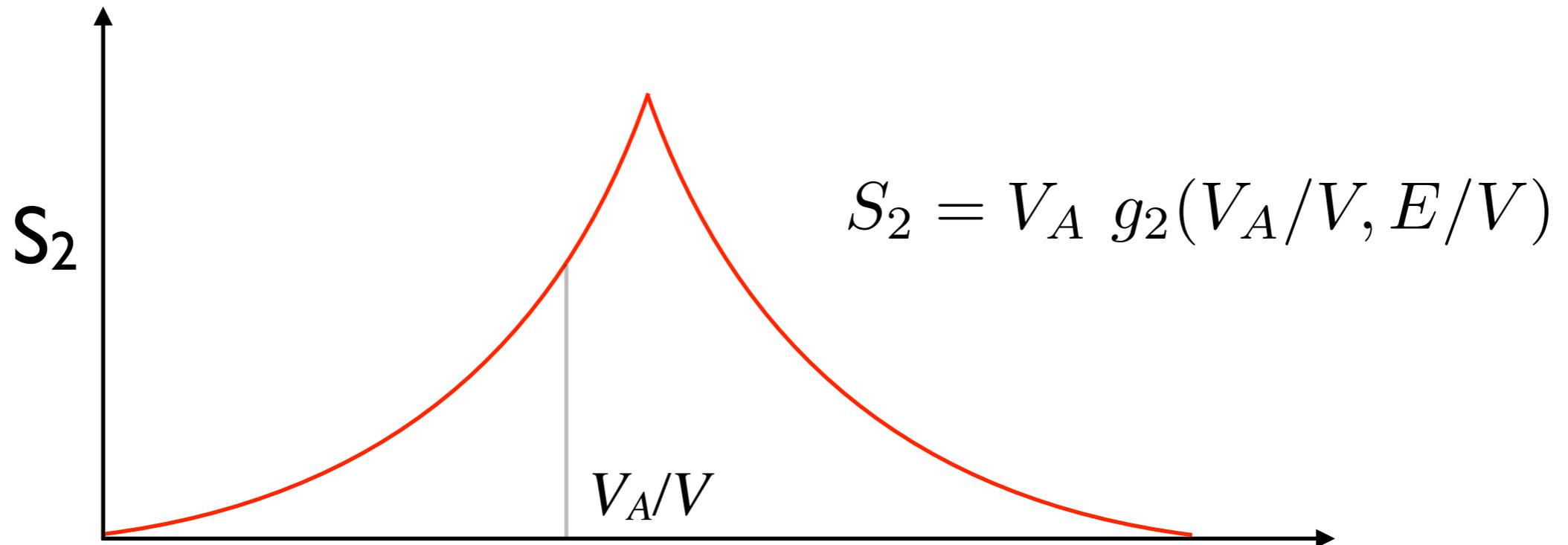
Solving the saddle point equations,

$$S_n = \frac{n}{n-1} c u^\alpha V \left[ \left\{ (1-f) + f n^{1/(\alpha-1)} \right\}^{1-\alpha} - 1 \right]$$

Can this be checked for large central charge CFTs?  
( $S_1$  already matches up, as worked out by Hartman and collaborators).

# Consequences

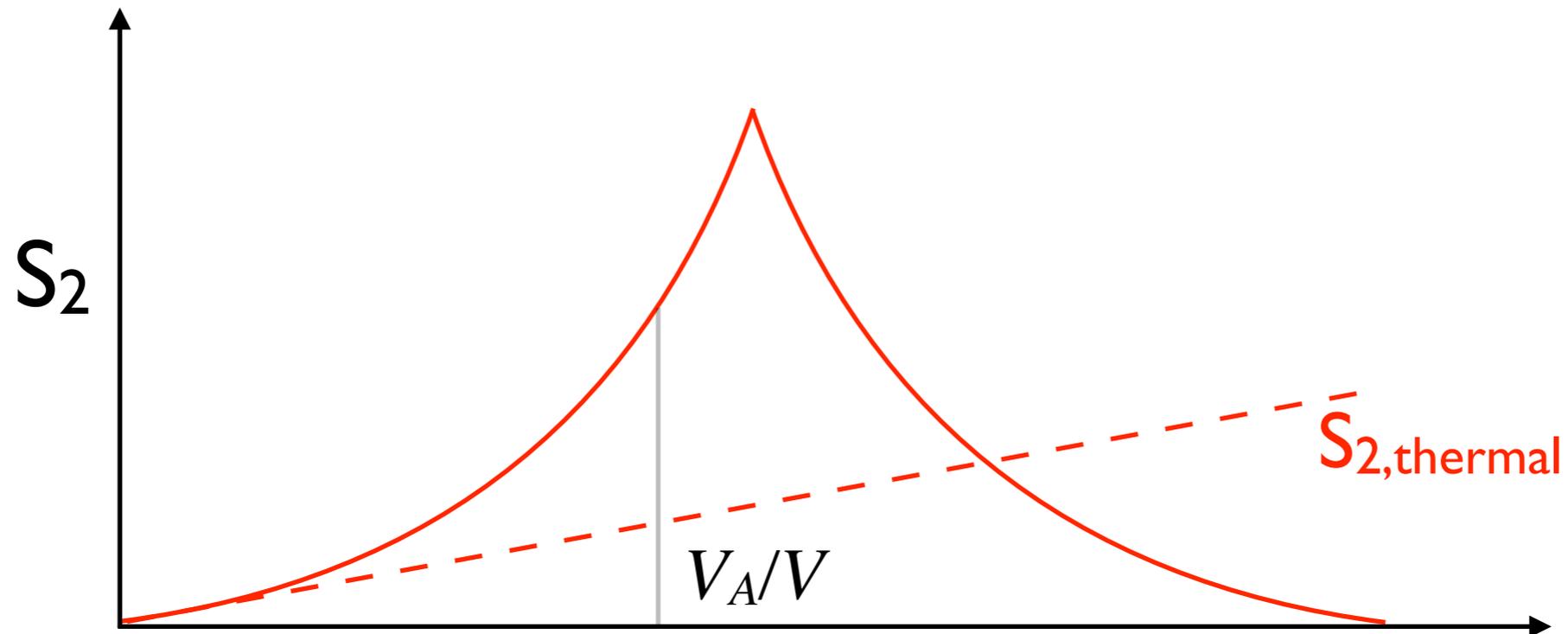
The dependence of Renyi entropy on  $V_A/V$  allows one to extract free energy at all temperatures from a single Renyi entropy.



Different values of  $V_A/V$  encode free energy data at different temperatures.

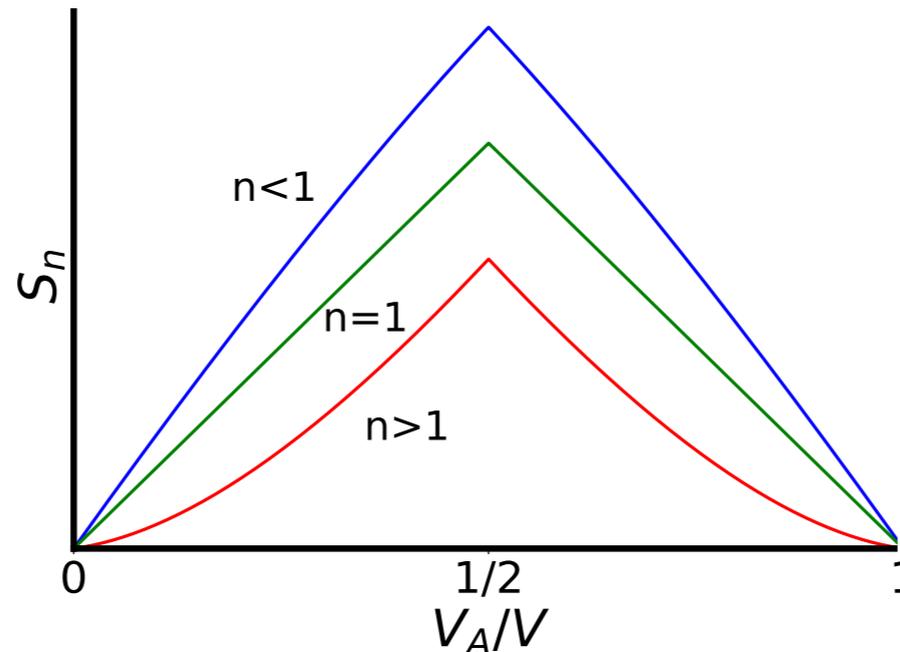
# Consequences

In contrast, if one restricts to  $V_A/V \ll 1$ , then one needs  $S_n$  for ALL  $n$  to get the free energy at all temperatures.



$$V_A/V \ll 1: \quad S_n(|\psi\rangle_\beta) = \frac{n}{n-1} V_A \beta (f(n\beta) - f(\beta))$$

# Consequences



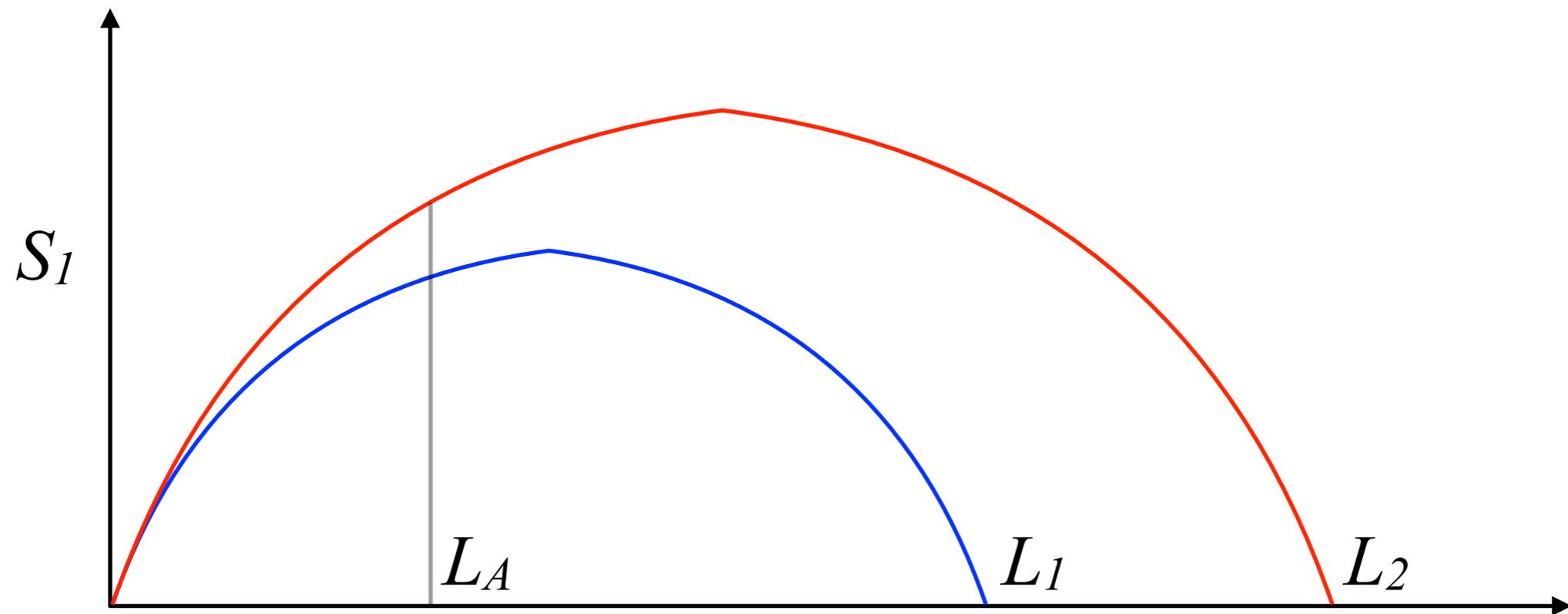
von Neumann entropy  $S_1$ , at the leading order,  
is **additive**:  $S_1 = V_A S_{thermal}(\beta)$ .

In contrast  $S_n$ , for  $n \neq 1$ , is **not additive**.

In fact, for  $n > 1$ ,  $S_n$  is **not even subadditive**:  $S_{n,A} + S_{n,B} < S_{n,A \cup B}$

# Why positive curvature of $S_n$ for $n > 1$ is interesting.

Consider increasing the total system size of a translationally invariant Hamiltonian.

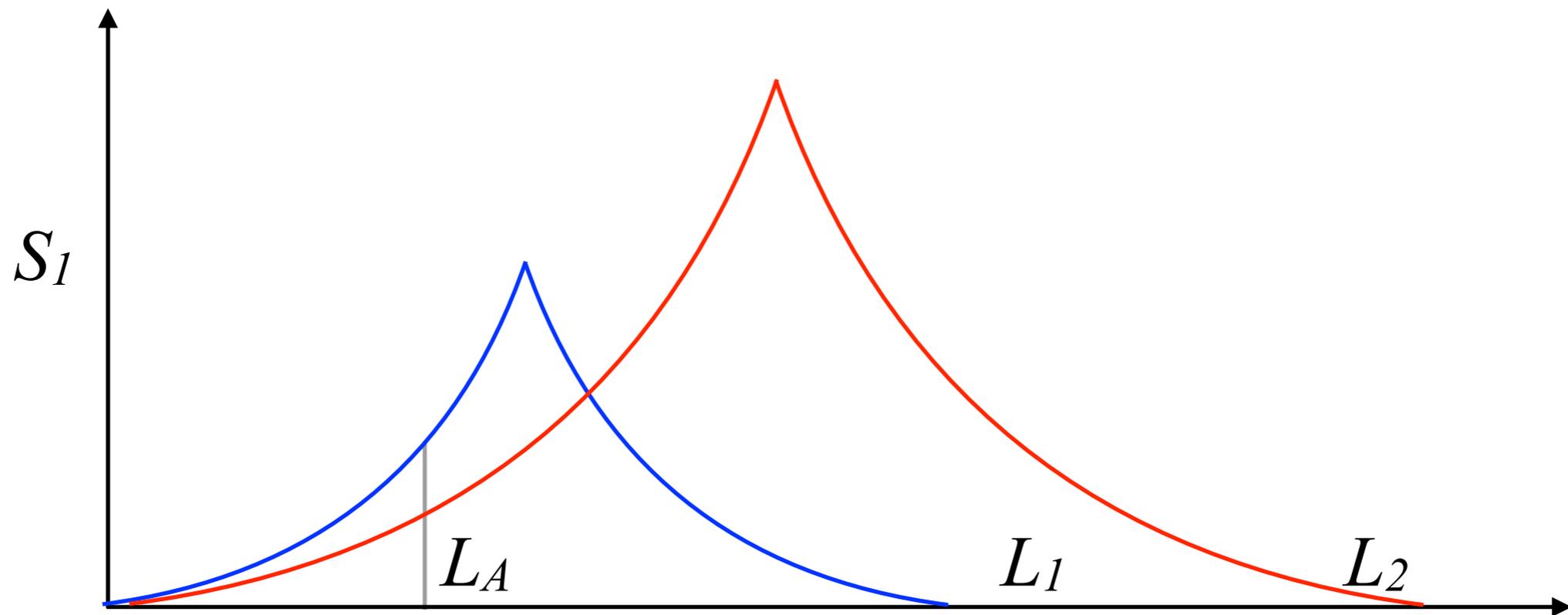


Strong subadditivity implies that  $S_1$  is non-convex

$$\implies \frac{\partial S_1^A}{\partial L} \geq 0 \quad \text{Increasing the "heat-bath" size increases entanglement of a subsystem.}$$

# Why positive curvature of $S_n$ for $n > 1$ is interesting.

Consider increasing the total system size of a translationally invariant Hamiltonian.



In contrast,

$$\Rightarrow \frac{\partial S_2^A}{\partial L} < 0$$

Increasing the “heat-bath” size decreases  $S_2$  of a subsystem.

# Summary and Questions

- **Ergodicity based arguments** seemingly explain several universal features of entanglement scaling. Numerical evidence seems good. Specifically:
  - a. von Neumann entropy density for an eigenstates equals thermal entropy density as long as  $V_A < V/2$  (“finite T Page Curve”). One doesn’t need  $V_A \ll V$ .
  - b. Renyi entropies  $S_n$  have a **universal dependence** on the subsystem to system ratio  $V_A/V$  and the density of states. **For  $n > 1$  ( $n < 1$ ), the Renyi entropy densities ( $= S_n/V_A$ ) are bigger (smaller) than those for the corresponding thermal state.**
- **Holographic/large- $c$  checks** for the chaotic CFT Renyi expressions? (**alert:** we are dealing with pure eigenstates).
- **Implications for black hole physics?** Renyi entropies as a diagnosis of non-thermal correlations in Hawking radiation?
- **Quantum dynamics** using Berry’s conjecture?
- Consequences for **experimentally measured Renyis** under quantum quench?
- Towards random matrix like theory with locality built-in.

$\beta=0.6$

