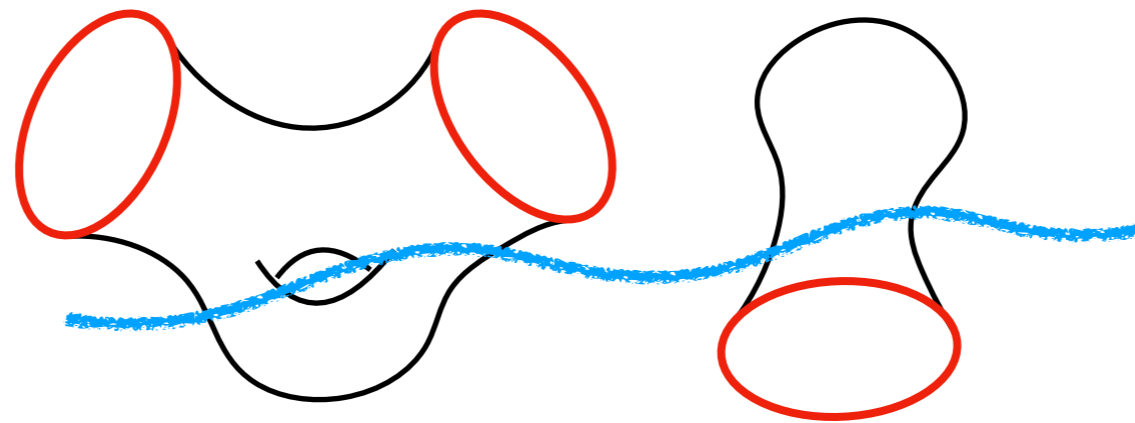
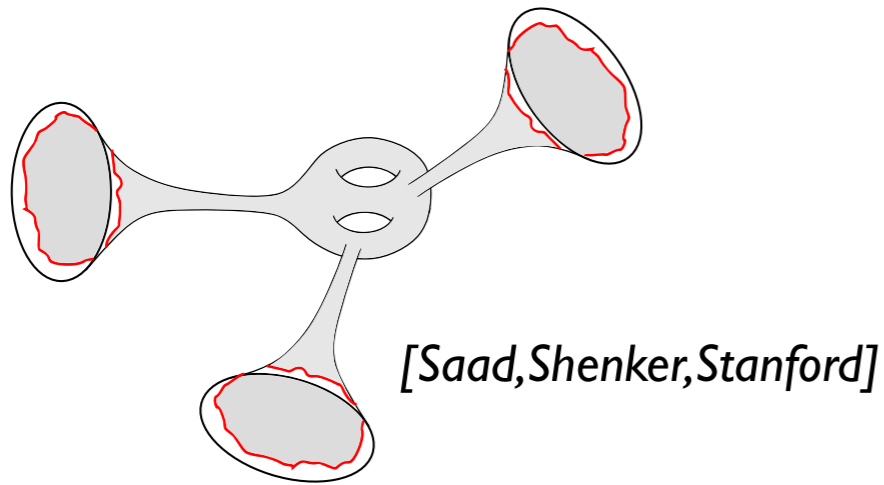


Return of the Baby Universes

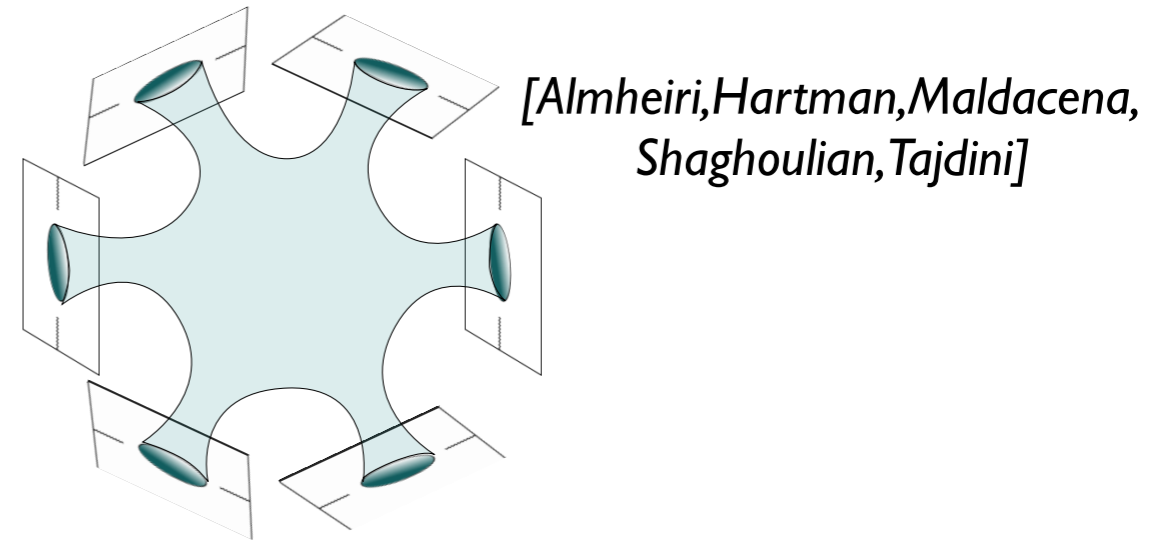


Henry Maxfield, UCSB

Work in progress with Don Marolf

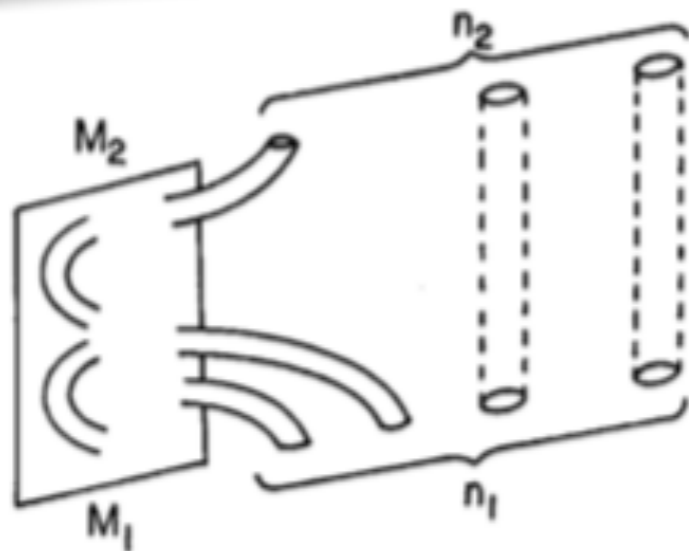


Ensembles



Page curve: replica wormholes

Spacetime wormholes & baby universes



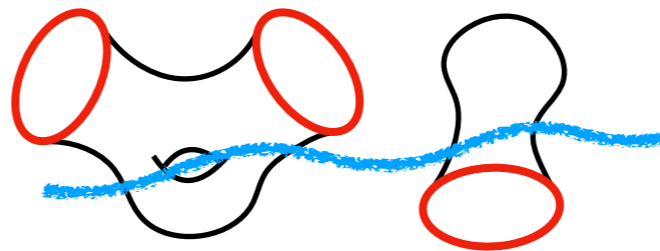
[Coleman]



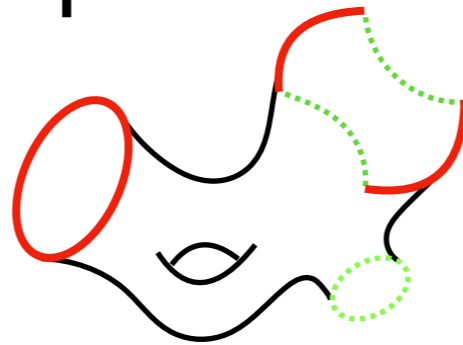
[Giddings, Strominger]

Figure 3.2. A topologically nontrivial process involving joining and splitting universes.

1. Spacetime wormholes and baby universes



2. A solvable example



3. Page curve

Spacetime wormholes and baby universes

AdS gravity with wormholes

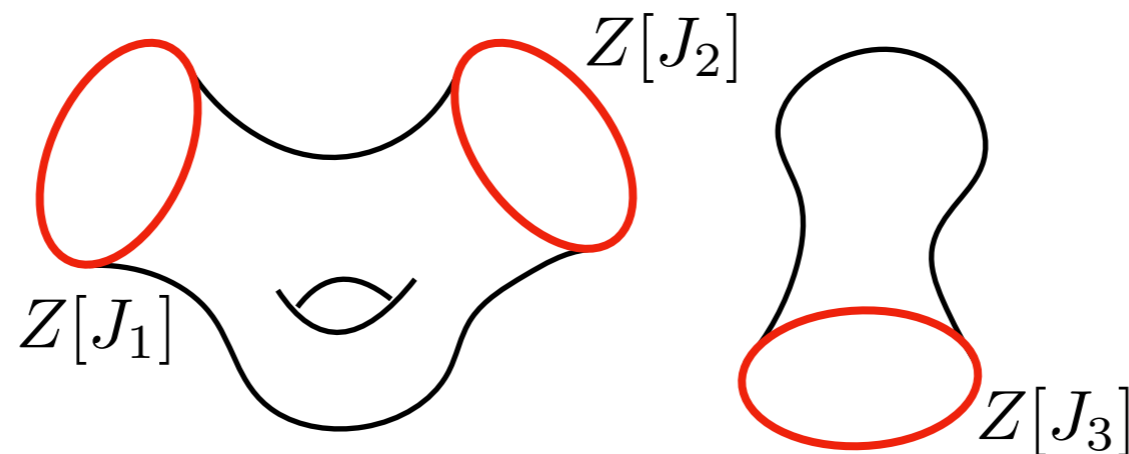
Gravitational EFT, fields Φ , action $S[\Phi]$

A set of aAdS boundary conditions: $\Phi \sim J$

$\Phi \supseteq g$, $J \supseteq g_\partial$ **connected** boundary metric

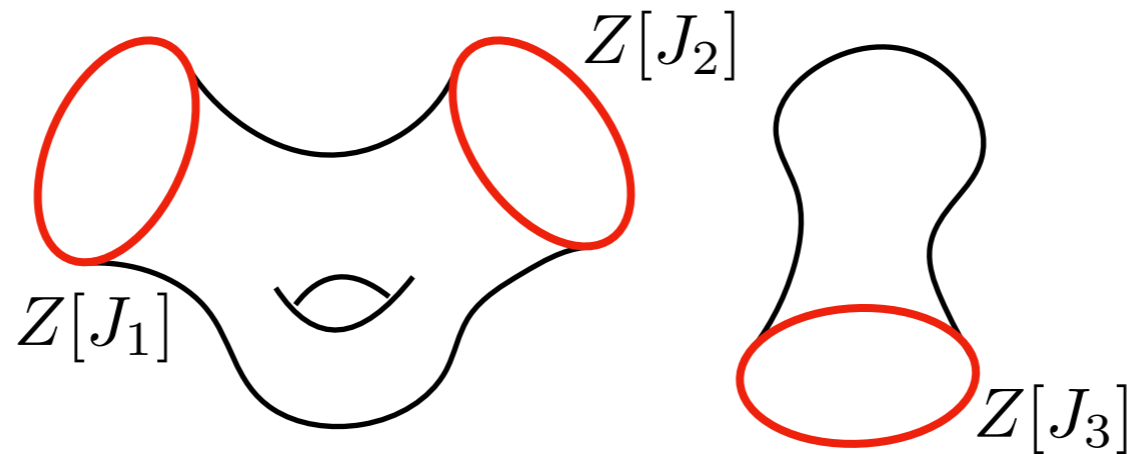
Notation for path integral:

$$\langle Z[J_1] \cdots Z[J_n] \rangle = \int_{\Phi \sim J} \mathcal{D}\Phi e^{-S[\Phi]}$$



A dual CFT ensemble?

$$\langle Z[J_1] \cdots Z[J_n] \rangle = \int_{\Phi \sim J} \mathcal{D}\Phi e^{-S[\Phi]}$$



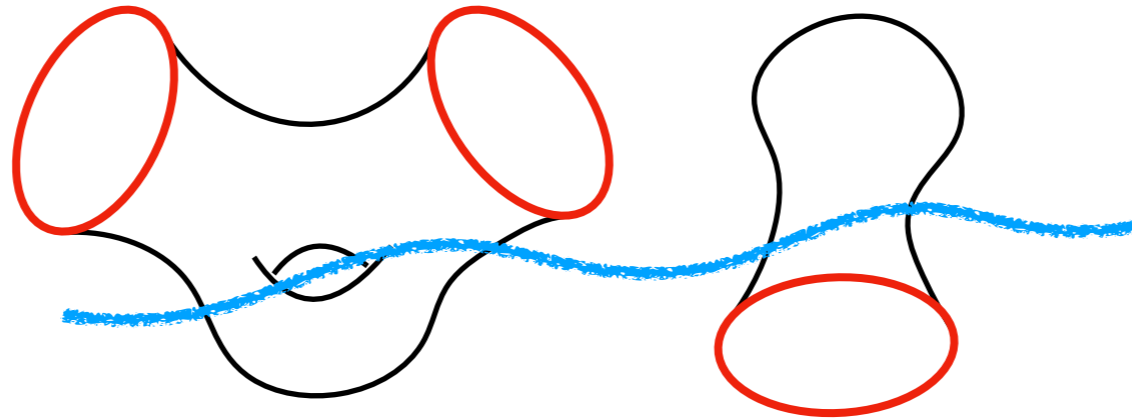
- Dual interpretation:**
- $Z[J]$ is a CFT partition function
 - $\langle \cdot \rangle$ is an average over theories

Example: JT gravity [SaadShenkerStanford]

3D pure gravity? [HM, Turiaci, in progress]

Bulk description of a single dual?

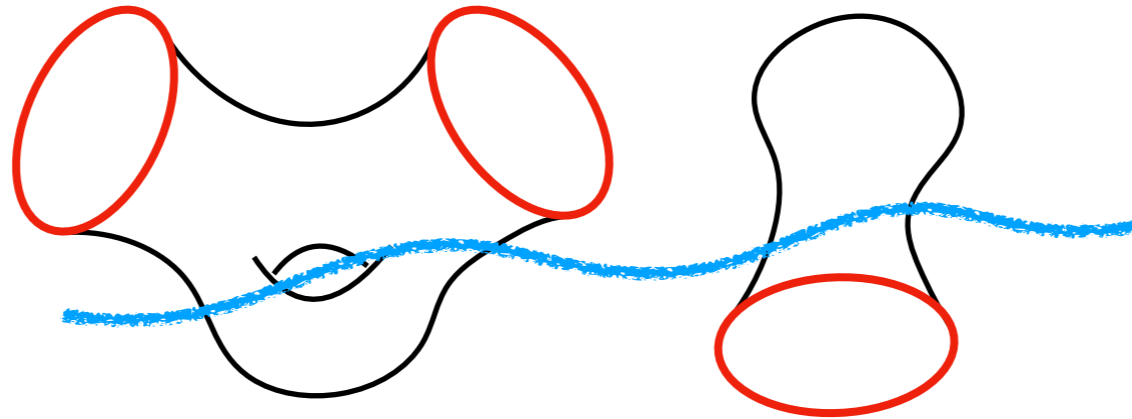
Hilbert space interpretation



Sum over intermediate states of closed "baby" universes

Challenge: diff invariance, interacting WdW equation

Hilbert space interpretation



Sum over intermediate states of closed “baby” universes

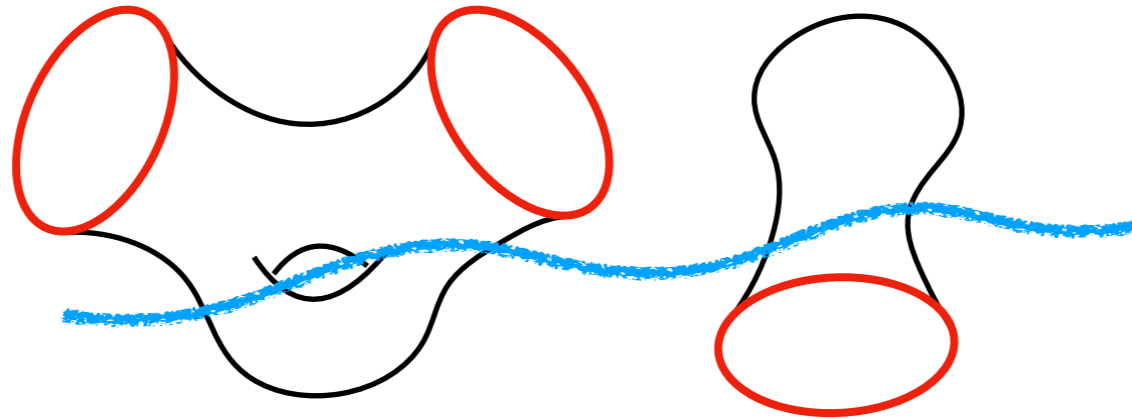
Challenge: diff invariance, interacting WdW equation

Solution: use asymptotic boundaries to define states

$$\left| Z[J_1] \cdots Z[J_m] \right\rangle \in \mathcal{H}_{\text{BU}}$$

“Past” boundary conditions

Hilbert space interpretation



$$\left| Z[J_1] \cdots Z[J_m] \right\rangle \in \mathcal{H}_{\text{BU}}$$

Inner product:

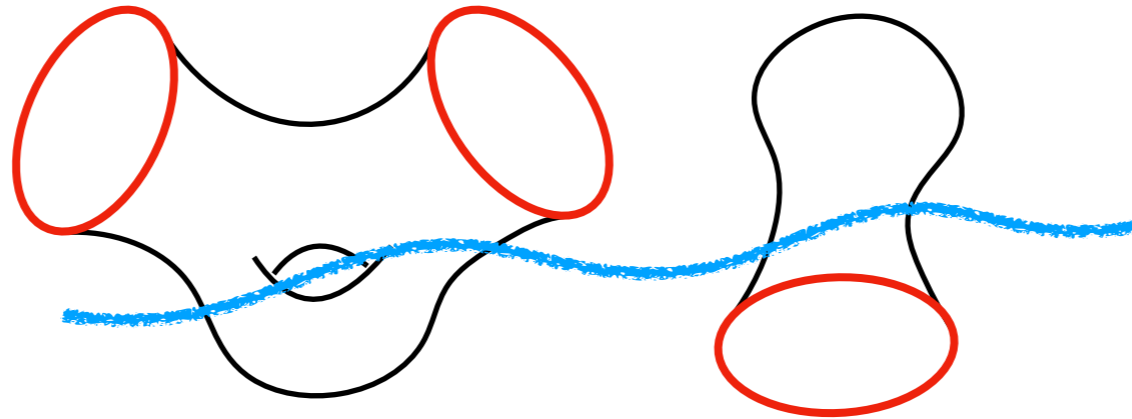
(* = CPT)

$$\left\langle Z[\tilde{J}_1] \cdots Z[\tilde{J}_n] \left| Z[J_1] \cdots Z[J_m] \right\rangle = \left\langle Z[\tilde{J}_1^*] \cdots Z[\tilde{J}_n^*] Z[J_1] \cdots Z[J_m] \right\rangle$$

Dual interpretation: inner product = covariance matrix

Assumption: reflection positivity. This IP is positive semidefinite

Hilbert space interpretation



$$\left\langle Z[\tilde{J}_1] \cdots Z[\tilde{J}_n] \middle| Z[J_1] \cdots Z[J_m] \right\rangle = \left\langle Z[\tilde{J}_1^*] \cdots Z[\tilde{J}_n^*] Z[J_1] \cdots Z[J_m] \right\rangle$$

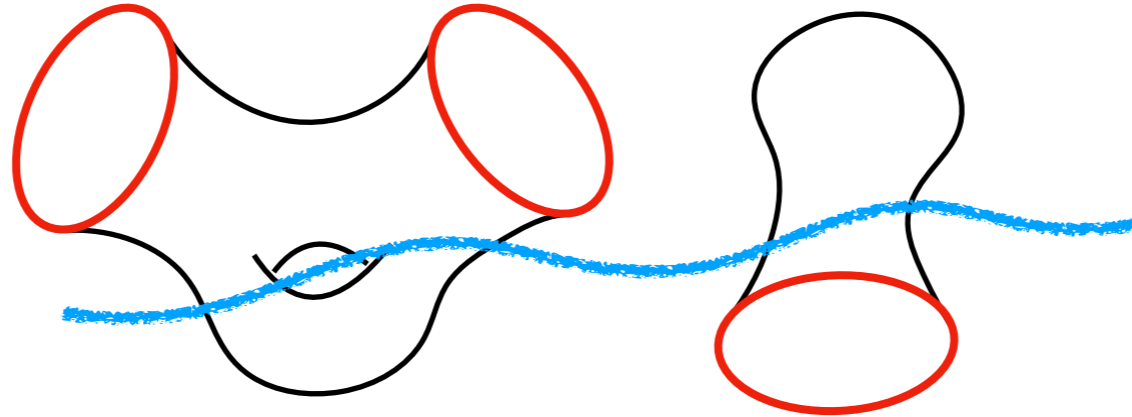
Use this to define the Hilbert space \mathcal{H}_{BU} :

$$\mathcal{H}_{\text{BU}} = \text{completion of space spanned by states } \left| Z[J_1] \cdots Z[J_m] \right\rangle$$

C.f. Osterwalder-Schrader construction in QFT

AdS is useful: initial states “expand” in Euclidean time to aAdS boundary

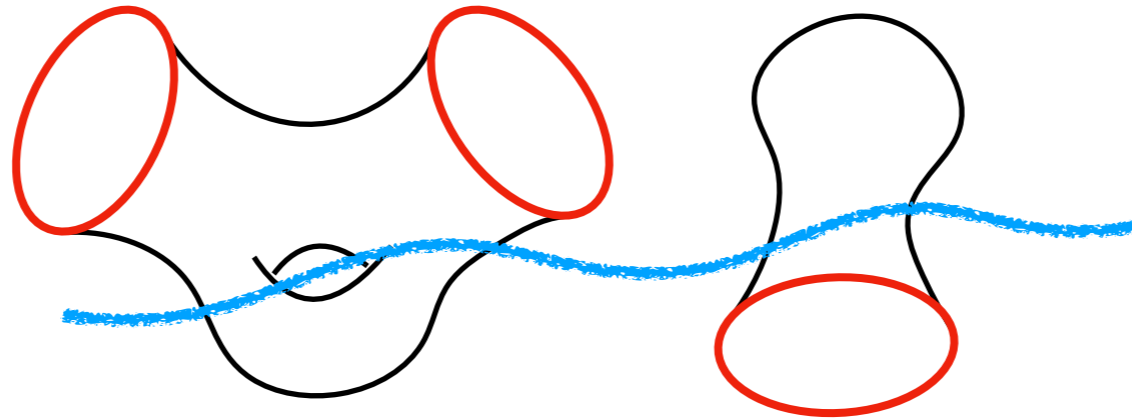
Hilbert space interpretation



$\mathcal{H}_{\text{BU}} =$ completion of formal polynomials in $\left| Z[J_1] \cdots Z[J_m] \right\rangle$

**Projects out null states:
nonperturbative effect of gauging diffs**

Hilbert space interpretation



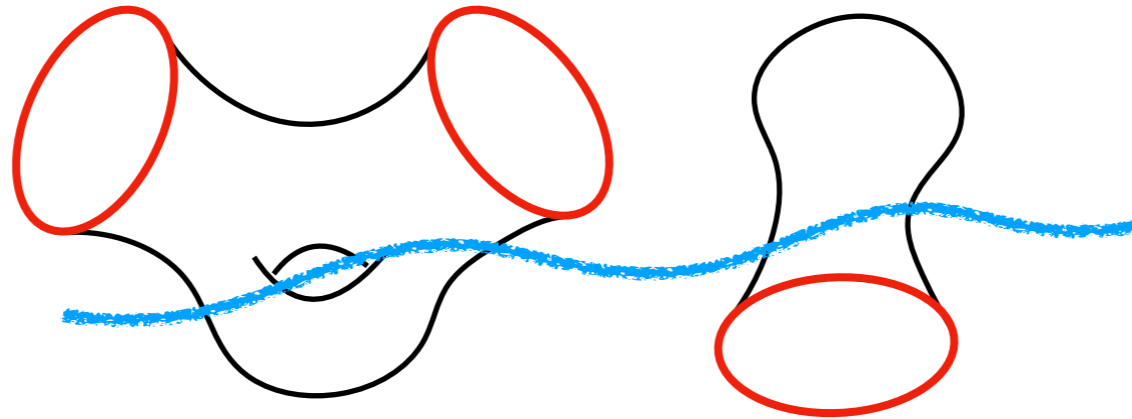
$\mathcal{H}_{\text{BU}} =$ completion of formal polynomials in $\left| Z[J_1] \cdots Z[J_m] \right\rangle$

**Projects out null states:
nonperturbative effect of gauging diffs**

Perturbative Hilbert space truncated by splitting/joining universes

Example:
$$|\text{ER}\rangle_{LR} = \sum_i e^{-\beta E_i/2} |E_i\rangle_L |E_i\rangle_R$$

Hilbert space interpretation



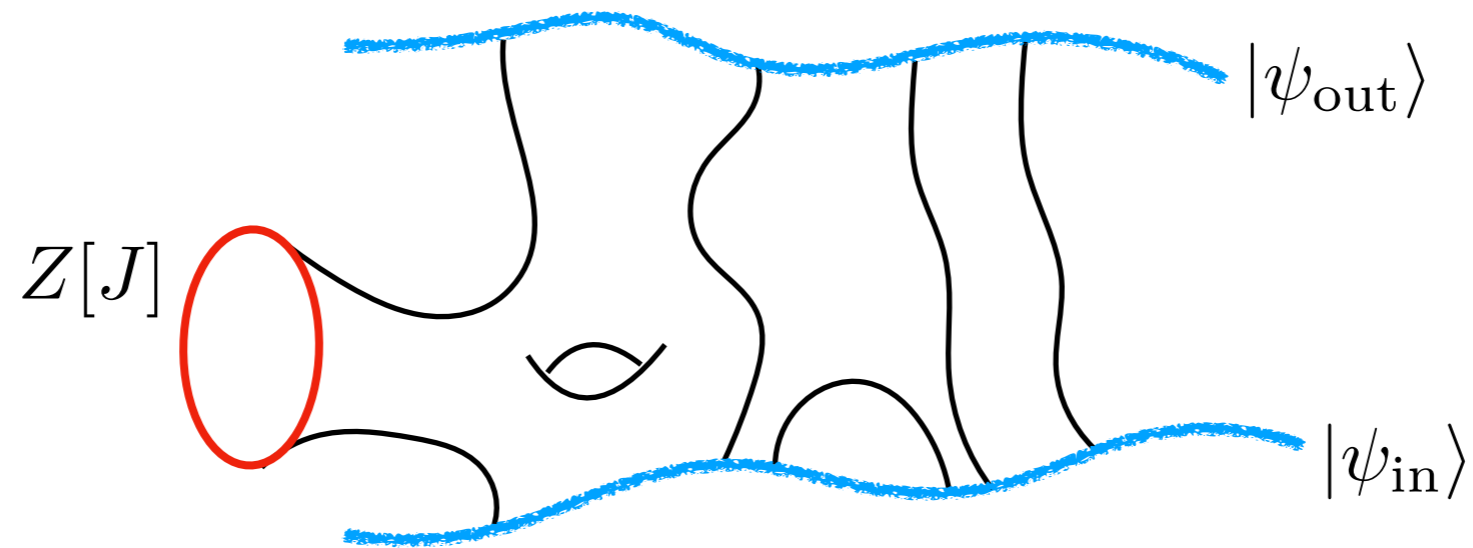
$\mathcal{H}_{\text{BU}} =$ completion of formal polynomials in $\left| Z[J_1] \cdots Z[J_m] \right\rangle$

**Projects out null states:
nonperturbative effect of gauging diffs**

Similar discussion of bulk observables: *[Jafferis]*

CFT objects as operators

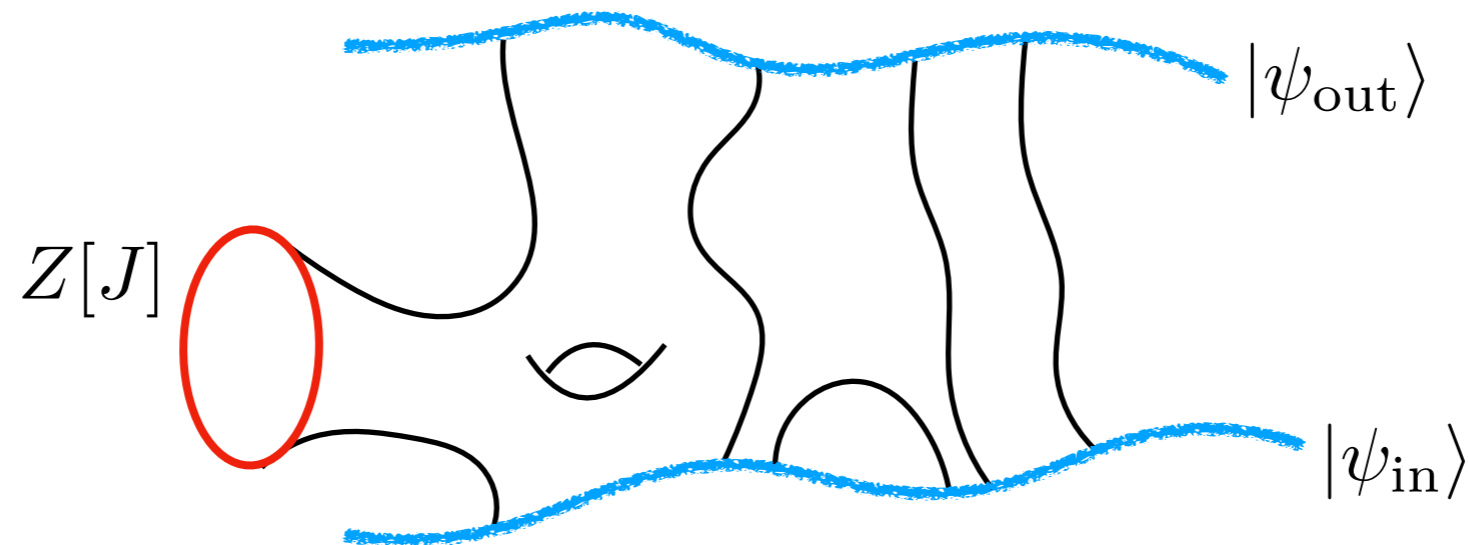
Any aAdS boundary is an operator,
defined by insertion in the path integral:



$$\widehat{Z[J]} \left| Z[J_1] \cdots Z[J_m] \right\rangle = \left| Z[J] Z[J_1] \cdots Z[J_m] \right\rangle$$

CFT objects as operators

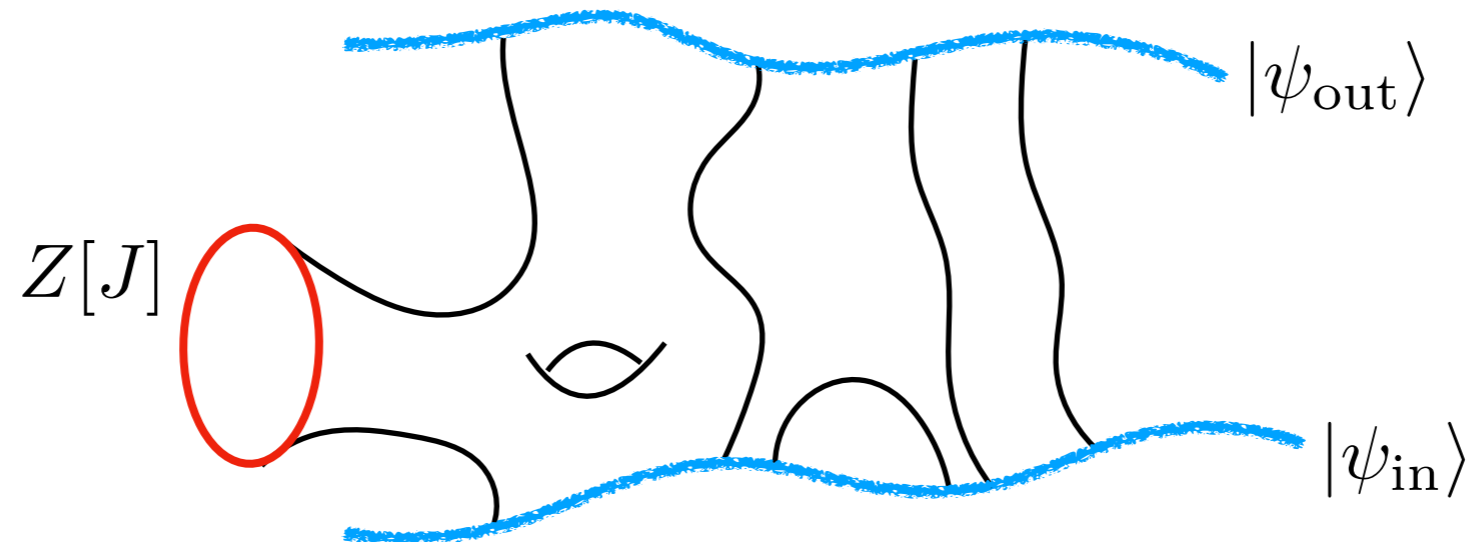
Any aAdS boundary is an operator,
defined by insertion in the path integral:



$$\widehat{Z[J]} \left| Z[J_1] \cdots Z[J_m] \right\rangle = \left| Z[J] Z[J_1] \cdots Z[J_m] \right\rangle$$

Commuting: $\left[\widehat{Z[J]}, \widehat{Z[J']} \right] = 0$

CFT objects as operators



Simultaneous eigenstates of all $\widehat{Z}[J]$:

$$\widehat{Z}[J]|\alpha\rangle = Z_\alpha[J]|\alpha\rangle \quad \forall J$$

- States $|\alpha\rangle$ are:**
- **Unique for given eigenvalues**
 - **Mutually orthogonal**
 - **Complete in \mathcal{H}_{BU}**
 - **Overlapping with no-boundary state $\langle \text{HH}|\alpha\rangle \neq 0$**

BU states as dual CFTs

Orthonormal basis $|\alpha\rangle$ for \mathcal{H}_{BU} \longleftrightarrow CFTs \mathcal{C}_α in the ensemble

Ensemble classified by spectrum of $\widehat{Z}[J]$

Probability of each theory is $p_\alpha = |\langle \text{HH} | \alpha \rangle|^2$

$$\left\langle Z[J_1] \cdots Z[J_n] \right\rangle = \sum_{\alpha} p_{\alpha} Z_{\alpha}[J_1] \cdots Z_{\alpha}[J_n]$$

Classical ensemble interpretation guaranteed

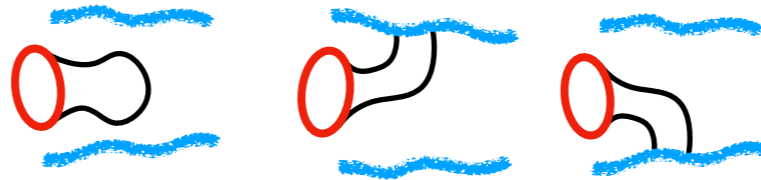
EFT description of each member of the ensemble

Failure of free approximation

Approximation: small amplitude for universes to split/join

$\mathcal{H}_{\text{BU}} =$ Fock space of single universe states

$$\widehat{Z}[J] = \langle Z[J] \rangle + a_J^\dagger + a_{J^*} + \dots$$

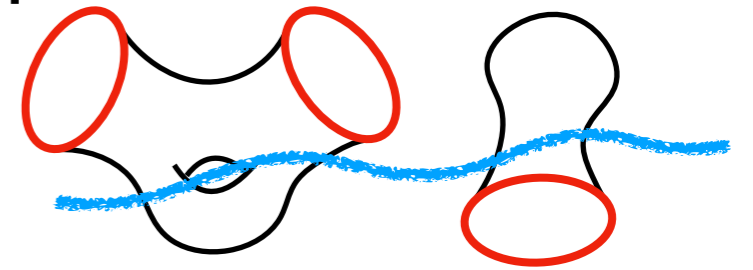


“Position” operator: continuous spectrum?

This is a bad approximation!
Fails for large “universe number”

Summary

- Construct baby universe Hilbert space from asymptotic boundaries
- Boundaries give commuting operators $\widehat{Z}[J]$
- Diagonalise: basis $|\alpha\rangle$ corresponding to members of dual ensemble
- Nonperturbative splitting/joining processes truncate Hilbert space



A solvable example

A topological theory of gravity

A topological theory of 2D gravity (no metric!):

$$\int \mathcal{D}\Phi e^{-S[\Phi]} = \sum_{\text{Topological surfaces}} e^{\frac{S_0}{2} \chi}$$

Boundary conditions: circular boundaries $Z = \bigcirc$

Dual interpretation: $Z = \text{Tr}_{\mathcal{H}_{\text{CFT}}} 1 = \dim \mathcal{H}_{\text{CFT}}$

Fudge: do not count contributions to χ from boundaries: $\chi \rightarrow 2 - 2g$

$$\langle Z^3 \rangle \supseteq \text{[diagram of genus-2 surface with 3 red circles]} + \text{[diagram of genus-1 surface with 1 red circle]} = 1 \times e^{S_0}$$

End-of-the-world branes

Include dynamical boundaries (EOW brane) $\left. \vphantom{\int} \right)_i \quad i = 1, 2, \dots, k$

Extra boundary condition: intervals between EWBs

$$(\psi_j, \psi_i) = \left. \vphantom{\int} \right)_i^j$$

Dual interpretation: overlap of EOW states

$$\langle Z(\psi_{j_1}, \psi_{i_1})(\psi_{j_2}, \psi_{i_2}) \rangle \supset \text{diagram} = k \delta_{i_1 j_2} \delta_{i_2 j_1}$$

Solving for amplitudes

$$\sum e^{\frac{S_0}{2} \chi} = \lambda$$

Connected surfaces
Fixed boundaries

Classical limit: $\lambda \gg 1$

No boundaries: $\mathfrak{Z} = \langle 1 \rangle = e^\lambda$

$$\mathfrak{Z}^{-1} \langle Z \rangle = \text{torus} = \lambda$$

$$\mathfrak{Z}^{-1} \langle Z^2 \rangle = \text{two tori} + \text{pair of pants} = \lambda^2 + \lambda$$

$$\mathfrak{Z}^{-1} \langle Z^3 \rangle = \text{three tori} + 3 \times \text{torus with two handles} + \text{pair of pants with three handles} = \lambda^3 + 3\lambda^2 + \lambda$$

Solving for amplitudes

$$\sum e^{\frac{S_0}{2}\chi} = \lambda$$

Connected surfaces
Fixed boundaries

Classical limit: $\lambda \gg 1$

Generating function for all $\langle Z^n \rangle$ amplitudes:

$$\langle e^{uZ} \rangle = \exp[\lambda e^u]$$

Solving for amplitudes

$$\sum e^{\frac{s_0}{2} \chi} = \lambda$$

Connected surfaces
Fixed boundaries

Classical limit: $\lambda \gg 1$

Generating function for all $\langle Z^n \rangle$ amplitudes:

$$\langle e^{uZ} \rangle = \exp[\lambda e^u] = e^\lambda \sum_{d=0}^{\infty} p_d e^{ud}$$

Moment generating function of Poisson random variable

$$p_d = e^{-\lambda} \frac{\lambda^d}{d!} \quad Z \sim \text{Poisson}(\lambda)$$

Integer-valued distribution! $Z = \dim \mathcal{H}_{\text{CFT}} \in \mathbb{N}$

Solving for amplitudes

Include EWB boundaries:

$$\left\langle e^{uZ + \sum_{i,j=1}^k t_{ij}(\psi_j, \psi_i)} \right\rangle = \exp \left[\lambda \frac{e^u}{\det(1 - t)} \right]$$

Sum of boundaries on each
connected component:

$$\exp \left[u + \sum_{n=1}^{\infty} \frac{1}{n} \text{Tr } t^n \right] = \frac{e^u}{\det(1 - t)}$$

Solving for amplitudes

Include EOW brane boundaries:

$$\begin{aligned} \left\langle e^{uZ + \sum_{i,j=1}^k t_{ij}(\psi_j, \psi_i)} \right\rangle &= \exp \left[\lambda \frac{e^u}{\det(1-t)} \right] \\ &= e^\lambda \sum_{d=0}^{\infty} p_d e^{ud} \left\langle e^{\sum_{i,j=1}^k t_{ij}(\psi_j, \psi_i)} \right\rangle_{Z=d} \end{aligned}$$

Amplitude conditioned on $Z = \dim \mathcal{H}_{\text{CFT}} = d$:

$$\left\langle e^{\sum_{i,j=1}^k t_{ij}(\psi_j, \psi_i)} \right\rangle_{Z=d} = \det(1-t)^{-d}$$

Statistics of states ψ_i chosen independently at random from d -dimensional Hilbert space

$$\text{rank}(\psi_j, \psi_i) = \min\{Z, k\}$$

Hilbert space

$$|\alpha\rangle = \left| Z, \{(\psi_j, \psi_i)\} \right\rangle$$

Free approximation: Fock space built on  and 

Suggests that $Z, (\psi_j, \psi_i)$ are arbitrary

Exact result: $Z \in \mathbb{N}, \text{rank}(\psi_j, \psi_i) = \min\{Z, k\}$

Example of gauge equivalence: $|e^{2\pi i Z}\rangle = |\text{HH}\rangle$

Page curve

The Page curve

Toy model: $\text{rank}(\psi_j, \psi_i) \leq Z$ in α states

Number of independent states is bounded by thermal entropy
 \implies external 'radiation' follows Page curve

The Page curve

Toy model: $\text{rank}(\psi_j, \psi_i) \leq Z$ in α states

Number of independent states is bounded by thermal entropy
 \implies external 'radiation' follows Page curve

Follows in general from reflection positivity:

$$S(\rho_R) \leq S_\alpha(E)$$

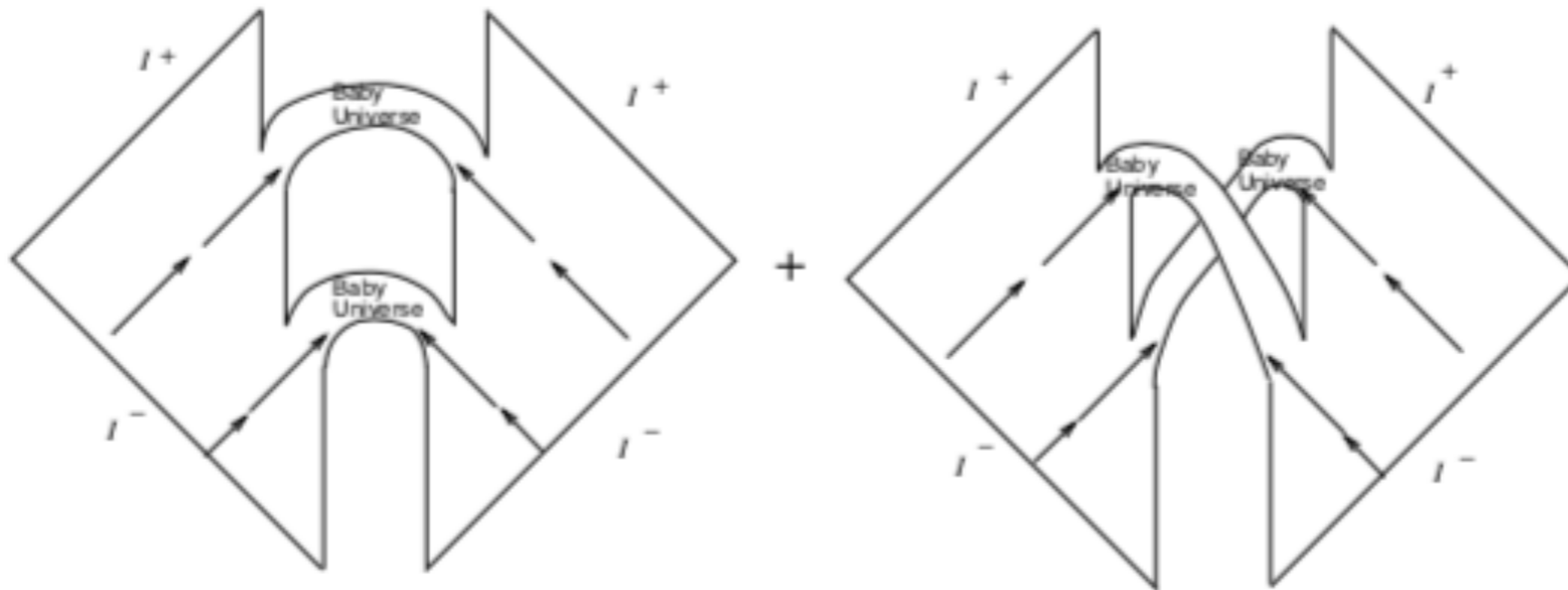
**Trace out single-sided state
with average energy E**

**Entropy computed from
Legendre transform of $Z_\alpha(\beta)$.**

$$\left\| |\text{TFD}; \alpha\rangle - \sum_{i=1}^n |\psi_i, \psi_i^*; \alpha\rangle \right\|^2 \geq 0$$

Information loss?

- Hawking computes average state of radiation $\overline{\rho_R}$ in HH state
- Mixed: entangled with state of closed universes
- RT computes $\overline{S(\rho_R)} \neq S(\overline{\rho_R})$
- Decohere onto branches with definite α .
- Repeated experiments: many copies of the same pure state



[Polchinski Strominger '94]

Questions to ponder

- Can we describe real-time dynamics for pure Hawking radiation?
- How does this work for $N=4$, etc?
- Cosmology? dS?
- Is reflection positivity enough to guarantee unitary duals?