

# Quantum quench of Kondo correlations in optical absorption

Initial question: Can Kondo effect be detected by purely optical studies?

Rolf Helmes, Michael Sindel, Laszlo Borda, Jan von Delft (LMU)

First proposal: PRB, **72**, 125301 (2005)

Hakan Tureci (Princeton), Martin Claassen, Atac Imamoglu (ETH),  
Markus Hanl, Andreas Weichselbaum, Theresa Hecht, Jan von Delft (LMU)  
Bernd Braunecker (Basel), Sasha Govorov (Ohio), Leonid Glazman (Yale)

Full theory: PRL, **106**, 107402 (2011)

Christian Latta, F. Haupt, P. Fallahi, S. Faelt, Hakan Tureci, Atac Imamoglu (ETH),  
Markus Hanl, Andreas Weichselbaum, Jan von Delft (LMU), Leonid Glazman (Yale)

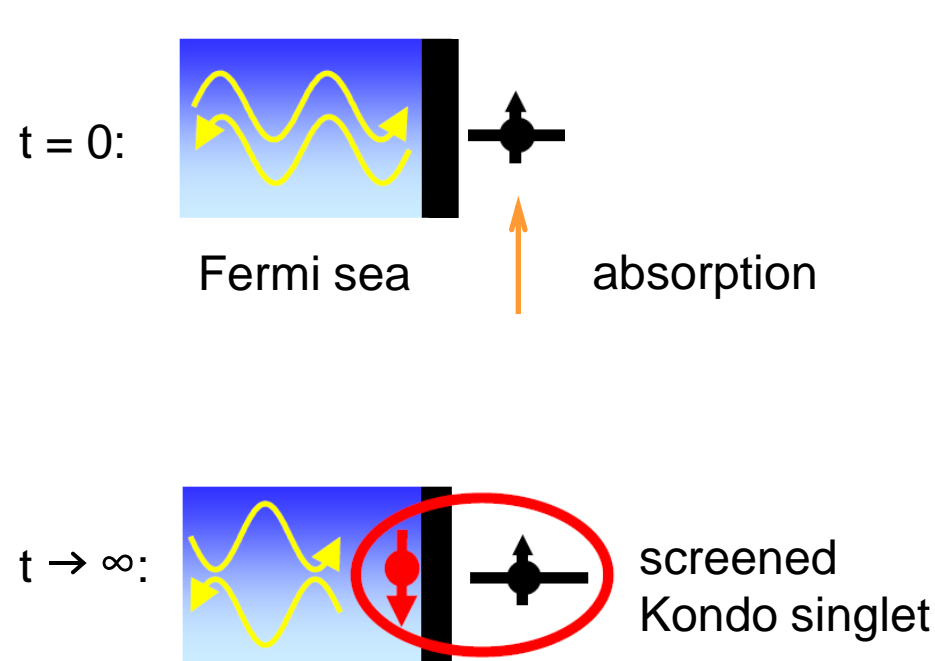
Experiment: Nature, **474**, 627 (2011)

Final punchline: Local quantum quench induces tunable Anderson orthogonality  
catastrophy, directly observed in optical absorption lineshape!

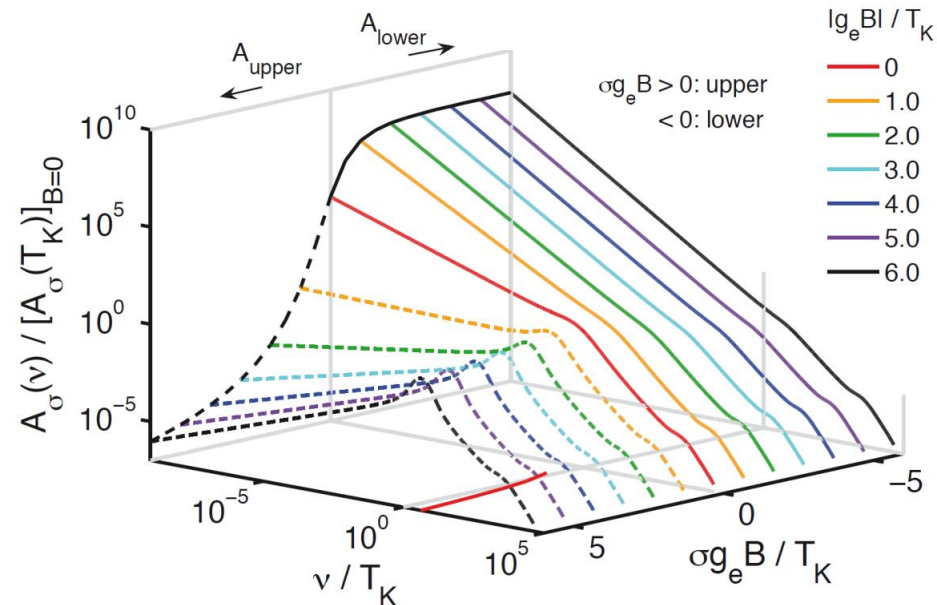
# Quantum quench of Kondo correlations in optical absorption [theory]

Hakan Tureci (Princeton), Martin Claassen, Atac Imamoglu (ETH), Markus Hanl, Andreas Weichselbaum, Theresa Hecht, Jan von Delft (LMU) Bernd Braunecker (Basel), Sasha Govorov (Ohio), Leonid Glazman (Yale)

[PRL 2011]



tunable orthogonality exponents predicted

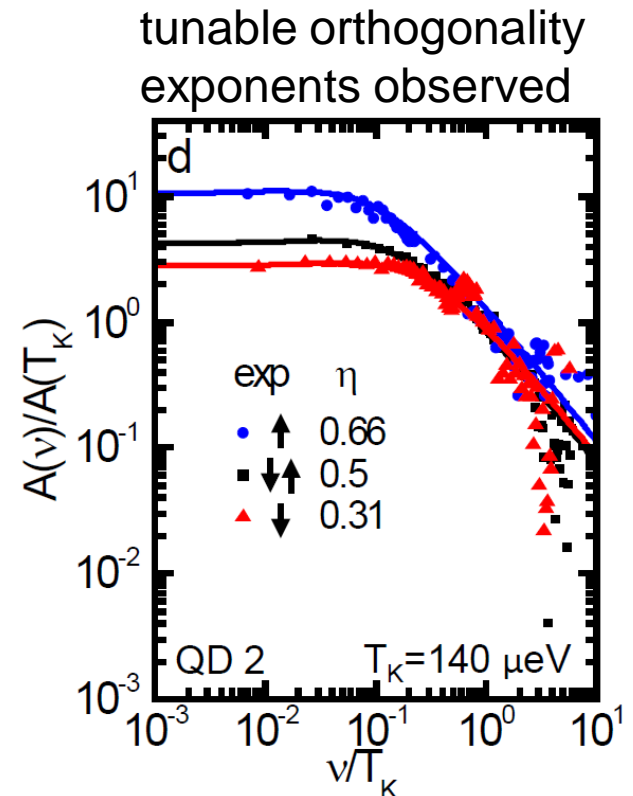
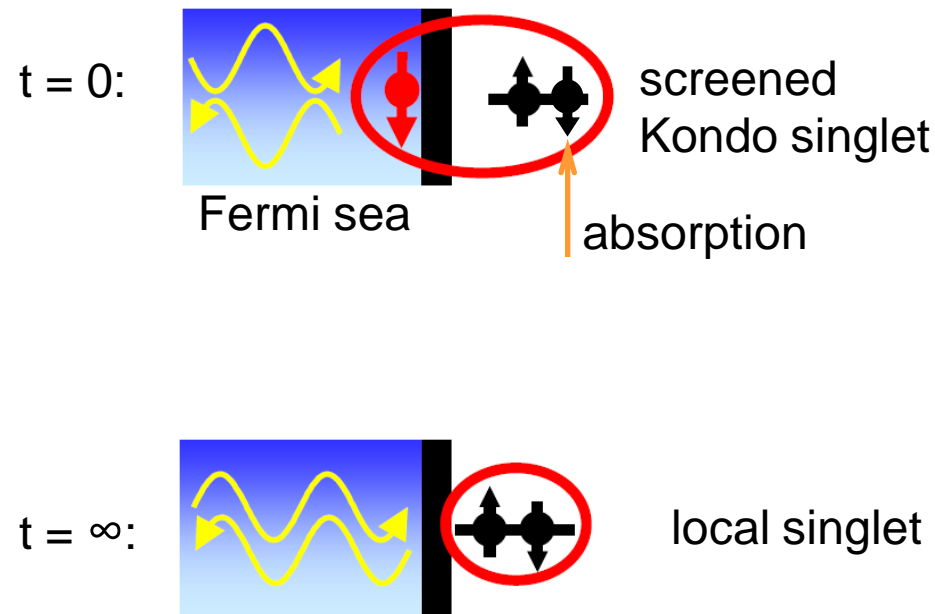


What happens when an optical excitation is used to “switch on” Kondo correlations?

# Quantum quench of Kondo correlations in optical absorption [experiment]

Christian Latta, F. Haupt, P. Fallahi, S. Faelt, Hakan Tureci, Atac Imamoglu (ETH), Markus Hanl, Andreas Weichselbaum, Jan von Delft (LMU), Leonid Glazman (Yale)

[arXiv:1102.3982v1]



What happens when an optical excitation is used to “switch off” Kondo correlations?

# Outline

Reminder: Kondo effect in transport

Proposed experimental setup

Theoretical predictions for lineshape:

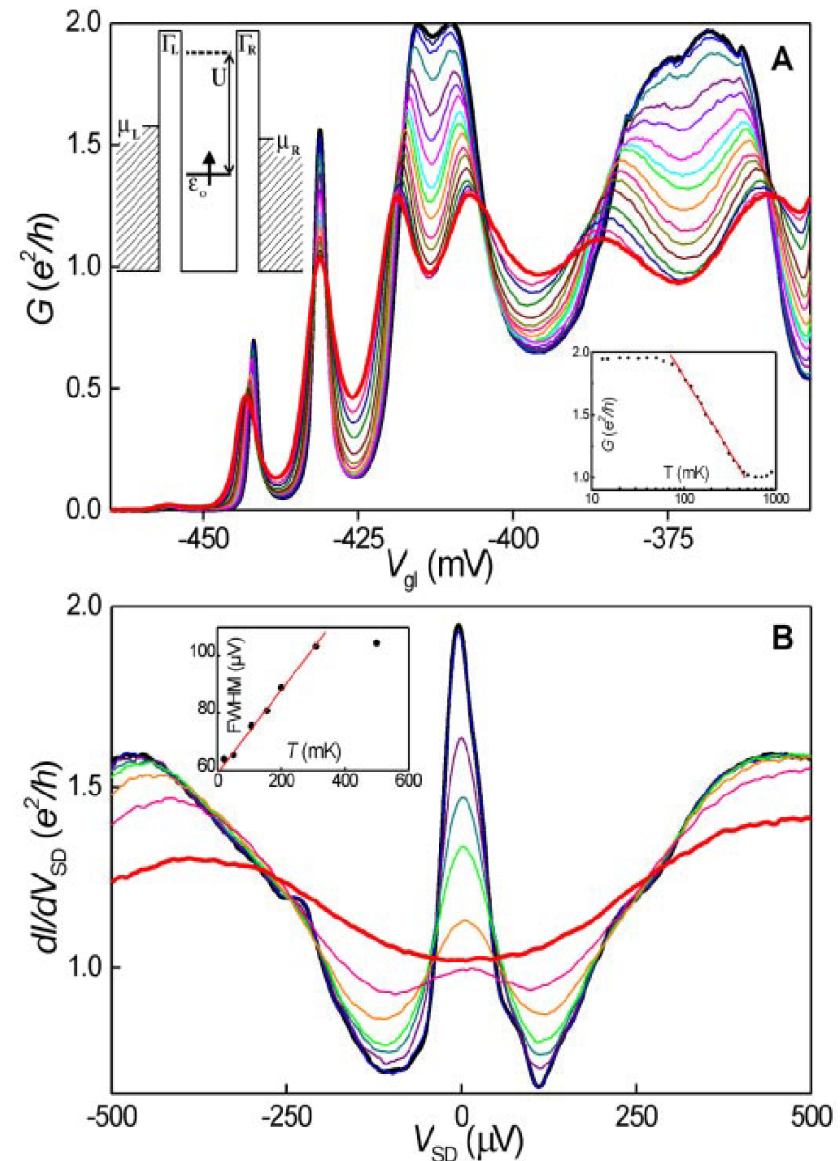
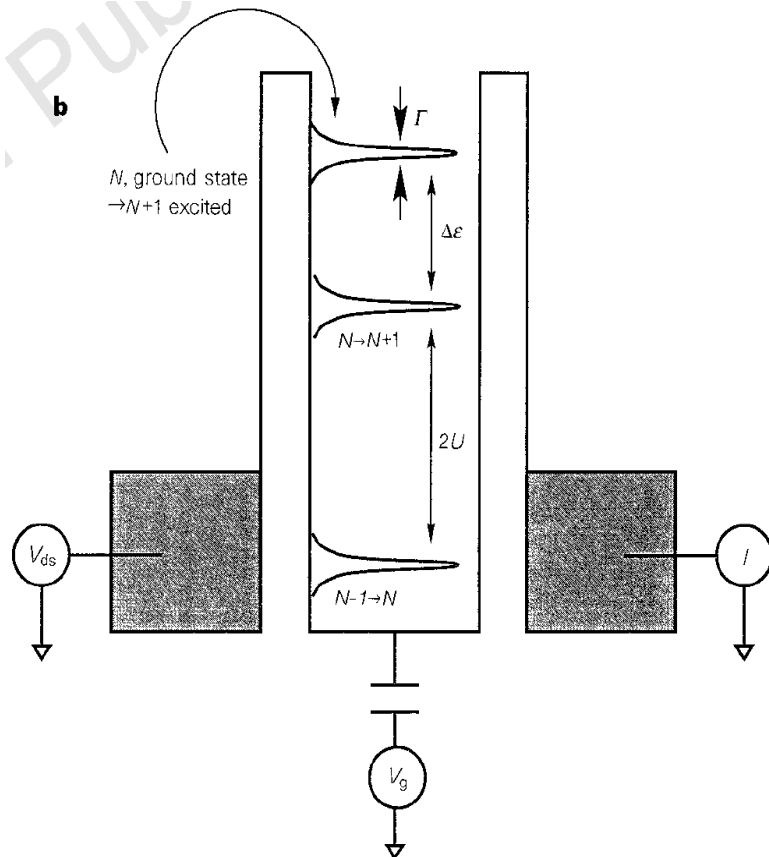
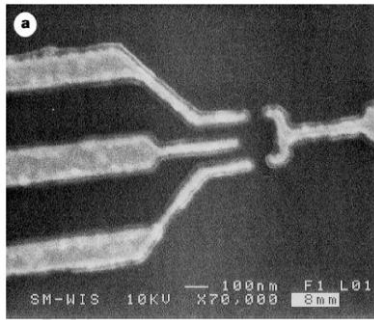
- scaling
- Anderson orthogonality power laws
- magnetic field

Experimental realization and results

Outlook

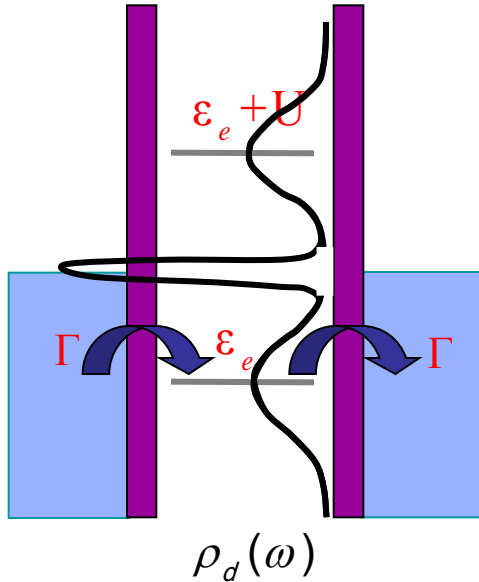
# Kondo effect in transport

Goldhaber-Gordon et al., Nature **391**, 156 (1998)  
 Cronenwett et al., Science **281**, 540 (1998)  
 Simmel et al., PRL **83**, 804 (1999)



# Anderson model

P.W. Anderson (1961)

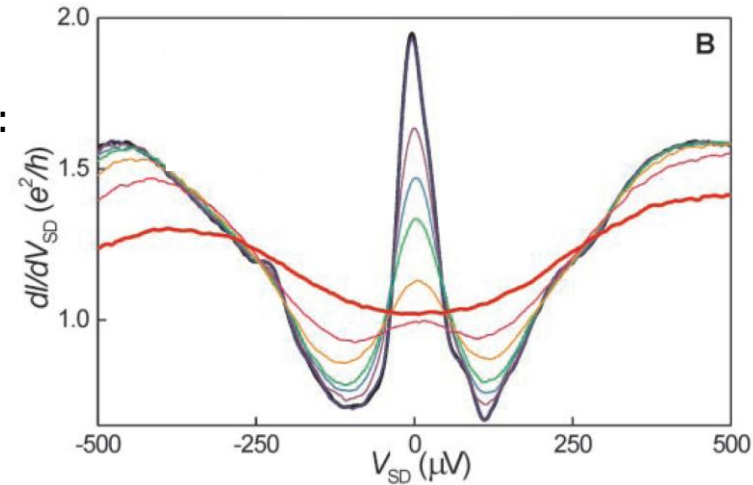


parameters (all tunable!):

local energy level  $\epsilon_e \propto V_g$

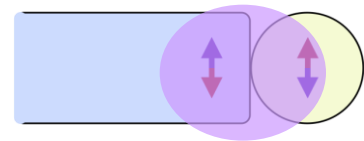
charging energy  $U$

level width  $\Gamma$



$$H = \sum_{k,\sigma} \epsilon_{k\sigma} c_{k\sigma}^\dagger c_{k\sigma} + \sum_{\sigma} \epsilon_e d_{\sigma}^\dagger d_{\sigma} + U n_{e\downarrow} n_{e\uparrow} + \sum_{k,\sigma} V_k (c_{k\sigma}^\dagger e_{\sigma} + e_{\sigma}^\dagger c_{k\sigma})$$

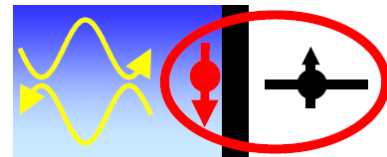
new (tunable!) low-energy scale:  $T_K = \frac{1}{2} \sqrt{U\Gamma} e^{\pi\epsilon_e(\epsilon_e+U)/\Gamma U}$



For  $T < T_K$ , local spin screened into singlet: "Kondo effect"

local density of states develops "Kondo resonance"

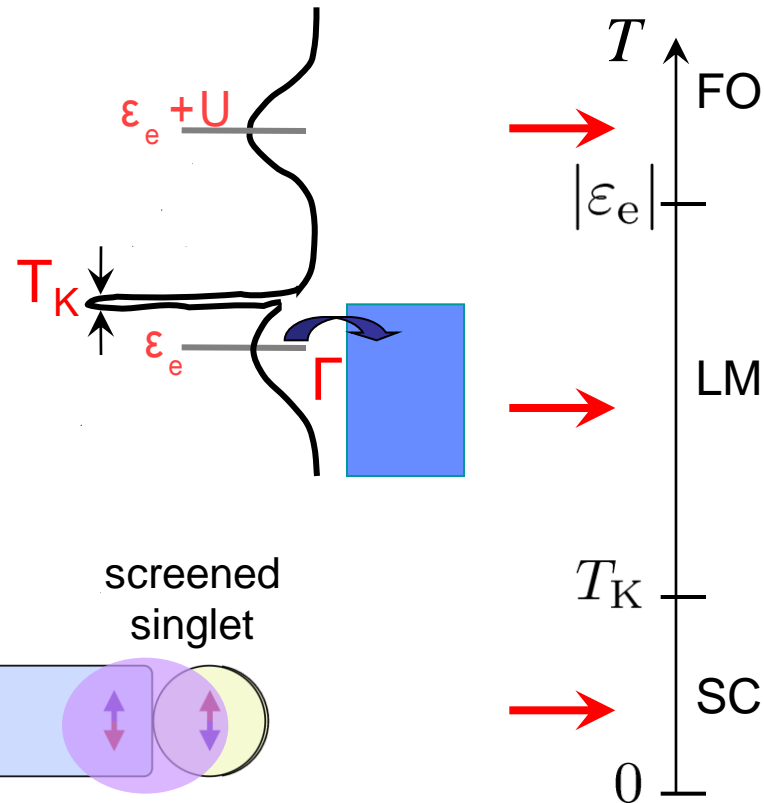
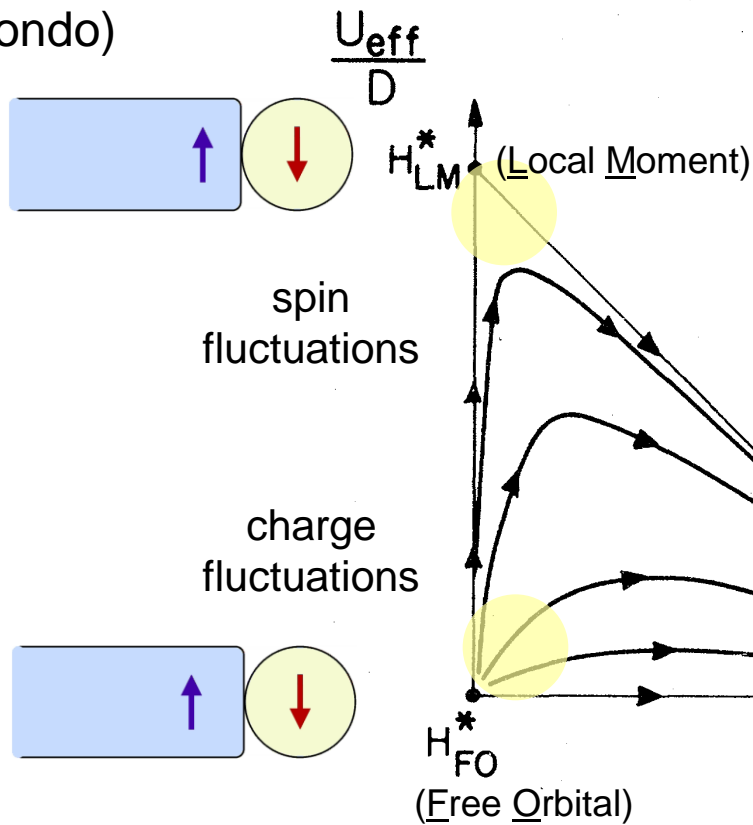
other electrons experience strong phase shift



# Anderson Model

$$H_{\text{LM}} = \sum_{k\sigma} \varepsilon_{k\sigma} c_{k\sigma}^\dagger c_{k\sigma} + \frac{J}{\rho} \vec{s}_e \cdot \vec{s}_c$$

(Kondo)



$$H_{\text{FO}} = \sum_{k\sigma} \varepsilon_{k\sigma} c_{k\sigma}^\dagger c_{k\sigma}$$

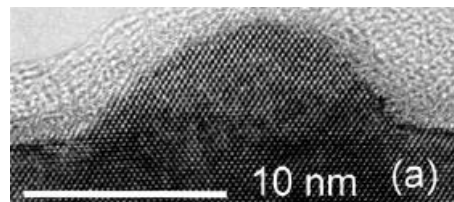
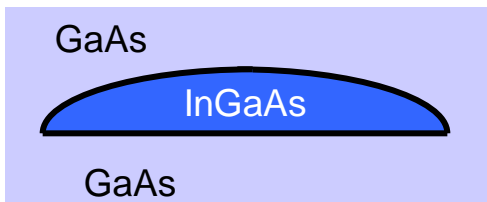
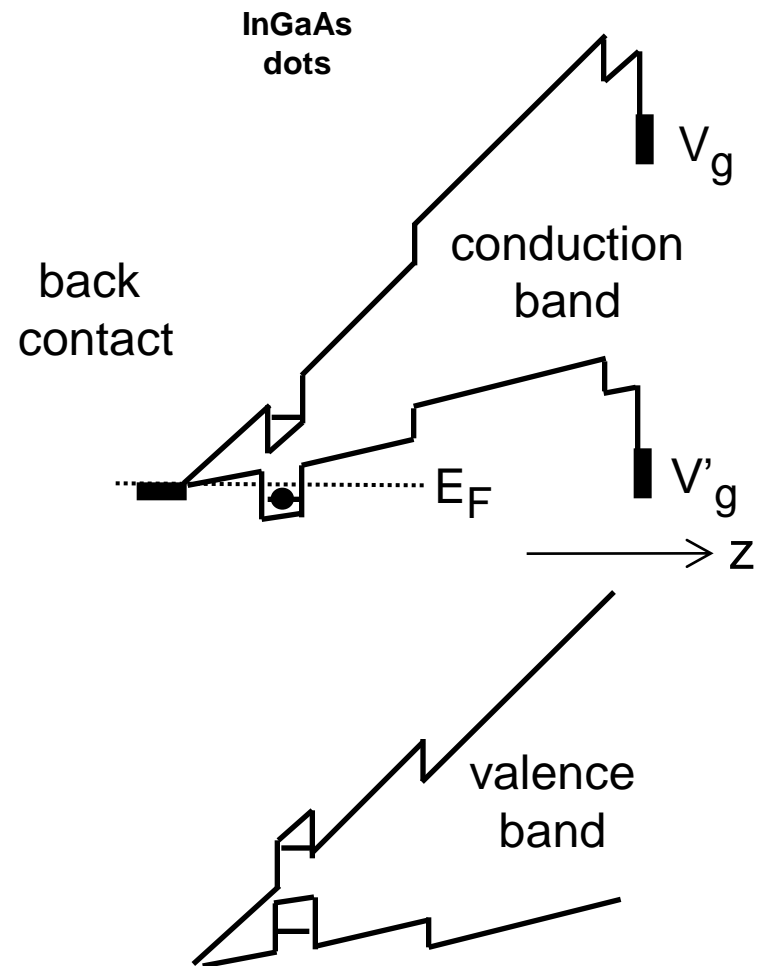
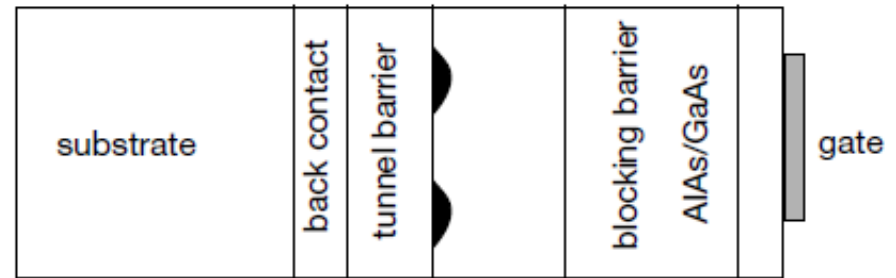
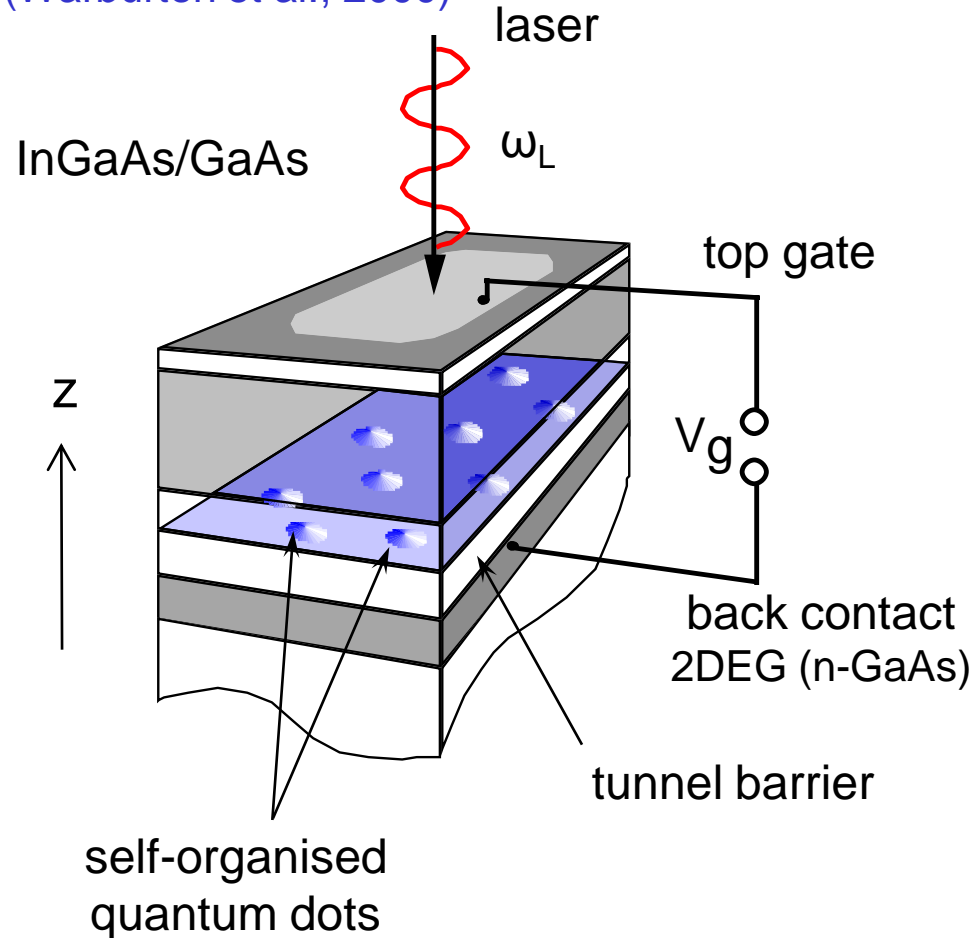
$$+ \sum_{\sigma} \varepsilon_{e\sigma} n_{e\sigma} + U n_{e\uparrow} n_{e\downarrow} + \sqrt{\frac{\Gamma}{\pi\rho}} \sum_{\sigma} (e_{\sigma}^\dagger c_{k\sigma} + \text{h.c.})$$

(Anderson)

$$T_K = \sqrt{\frac{\Gamma U}{2}} e^{-\frac{\pi |\varepsilon_e^f (\varepsilon_e^f + U)|}{(2U\Gamma)}}$$

# Experimental Setup

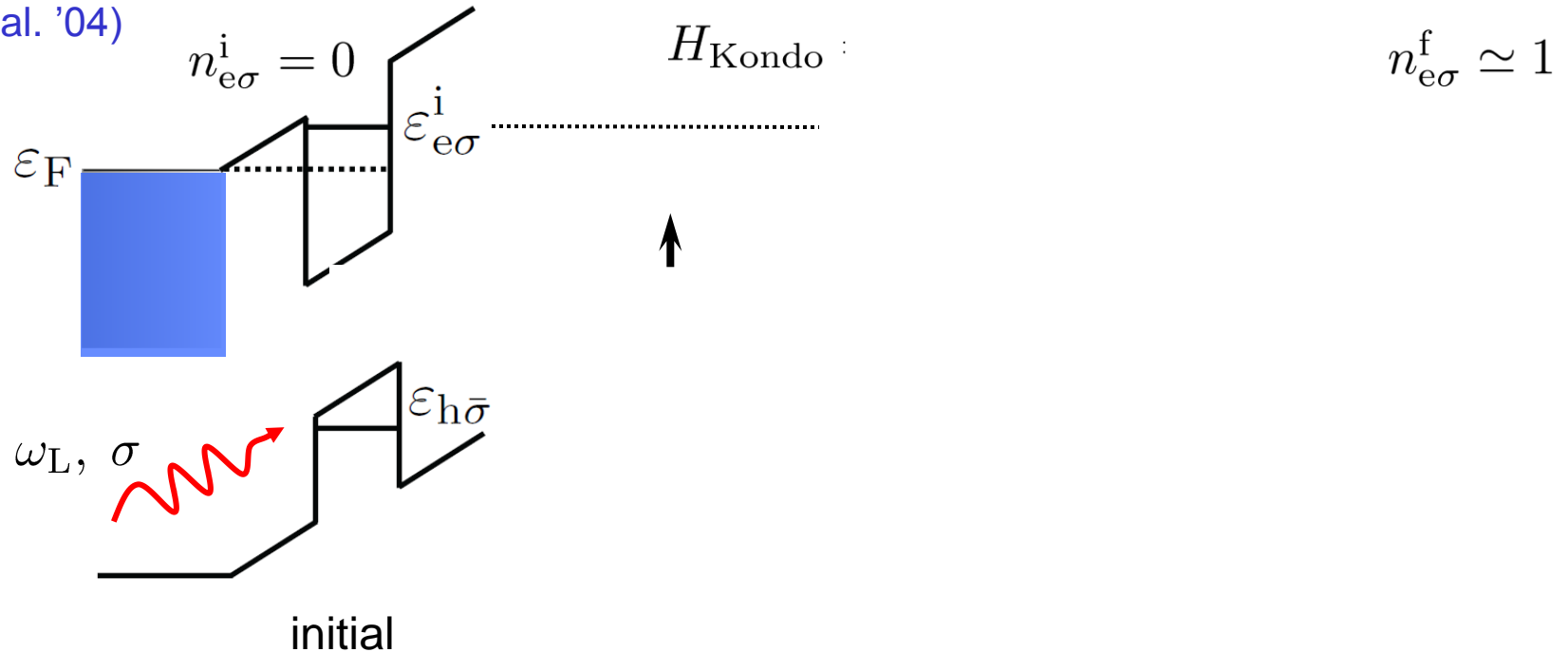
(Warburton et al., 2000)





# Proposed Experiment: Absorption in $X^0$ transition

(Helmes et al. '04)



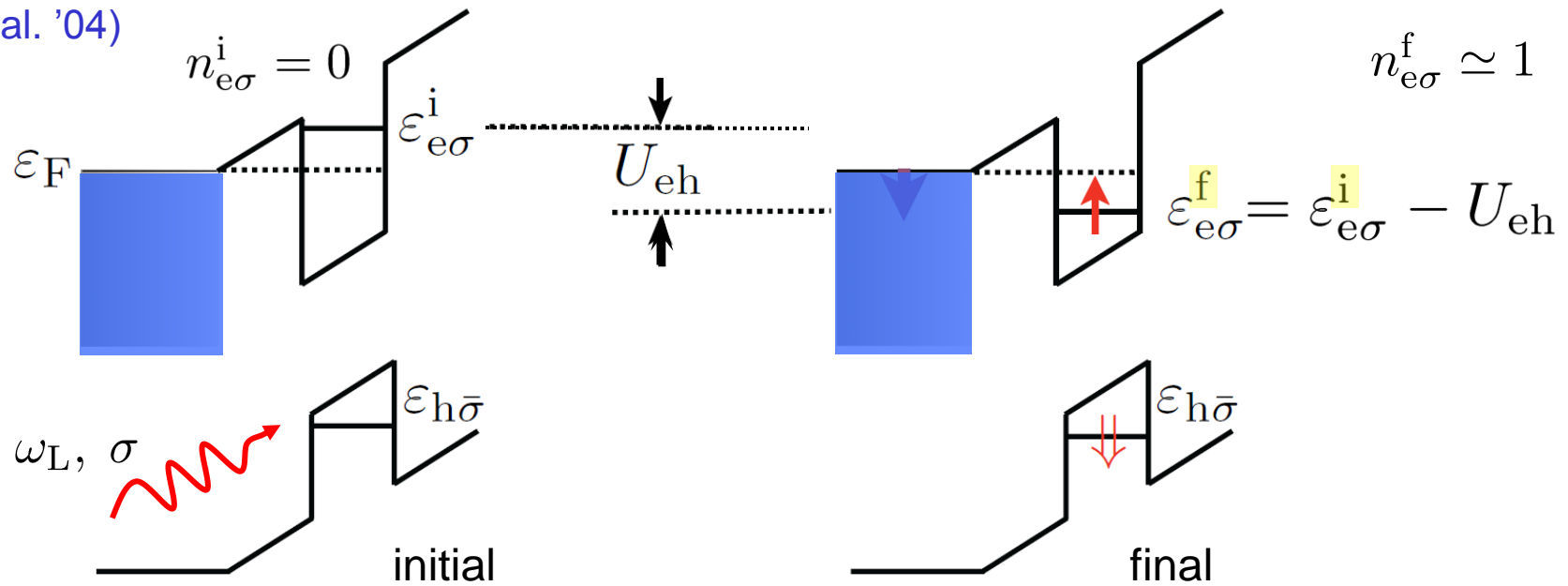
Optical absorption induces a quantum quench:  $H^{\text{initial}} \neq H^{\text{final}}$

What is subsequent transient dynamics of dot + Fermi-sea ?

Transient dynamics after Kondo interaction is suddenly switched on ?

# Hamiltonian

(Helmes et al. '04)



Anderson model (AM)

$$H^{i/f} = H_{\text{QD}}^{i/f} + \sum_{k\sigma} \epsilon_{k\sigma} c_{k\sigma}^\dagger c_{k\sigma} + \sqrt{\Gamma/\pi\rho} \sum_{\sigma} (e_{\sigma}^\dagger c_{\sigma} + \text{h.c.})$$

$$H_{\text{QD}}^i = \sum_{\sigma} \epsilon_{e\sigma}^i n_{e\sigma} + U n_{e\uparrow} n_{e\downarrow} \quad c_{\sigma} = \sum_k c_{k\sigma} = \psi_{\sigma}(0)$$

$$H_{\text{QD}}^f = \sum_{\sigma} \epsilon_{e\sigma}^f n_{e\sigma} + U n_{e\uparrow} n_{e\downarrow} + \epsilon_{h\bar{\sigma}} \quad \text{SAM: } \epsilon_{e\sigma}^f = -U/2; n_{e\sigma}^f = 1$$

(symmetric Anderson model)

# Dynamical correlation functions with Wilson's NRG

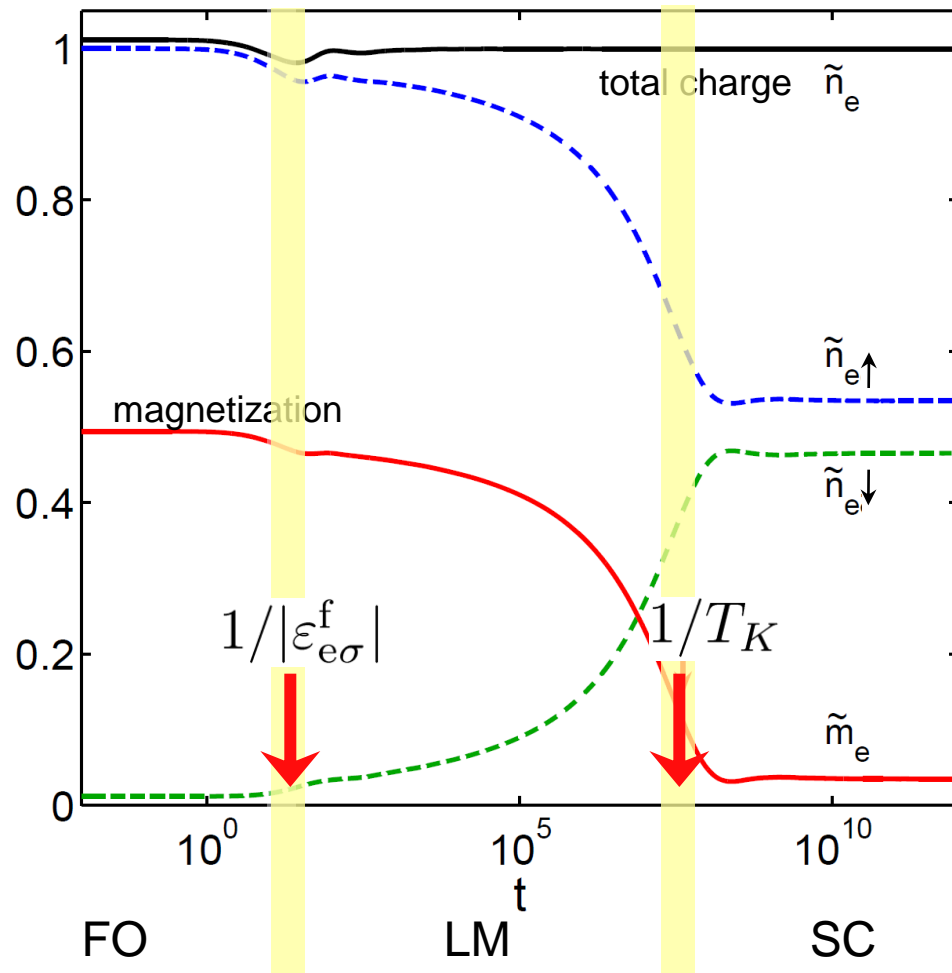


- 1989: Sakai, Shimizu, Kasuya / Costi, Hewson
- 1990: Yosida, Whitaker, Oliveira
- 1994: Costi, Hewson, Zlatic - Transport properties (resistivity)
- 1999: Bulla, Hewson, Pruschke - Patching rules for combining data from several
- 2000: Hofstetter - DM-NRG (accurate ground state needed also for high-frequency information)
- 2004: Helmes, Sindel, Borda von Delft - Absorption/emission spectra after quantum quench
- 2005: Anders & Schiller - Complete Fock space basis for t-NRG
- 2005: Verstraete, **Weichselbaum**, Schollwöck, von Delft, Cirac - **Relation between NRG & DMRG via MPS**
- 2007: Peters, Anders, Pruschke - Sum-rule-conserving spectral functions (single-shell DM)
- 2007: **Weichselbaum** & von Delft - **First truly "clean" algorithm for spectral functions at finite temperatures (full multi-shell DM)**
- 2008: **Weichselbaum**, Verstraete Schollwöck, von Delft, Cirac - **Non-logarithmic discretization for split Kondo resonance**
- 2008: Toth, Moca, Legeza, Zarand - Flexible NRG code with non-Abelian symmetries
- 2009: Anders - Nonequilibrium correlators via scattering state NRG



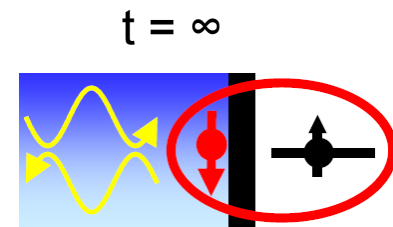
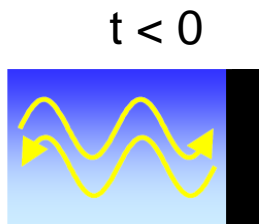
# Transient Relaxation ( $X^0$ transition)

$$\tilde{n}_{e\sigma'}(t) = \langle \Psi_0 | e^{iH^f t} \hat{n}_{e\sigma'} e^{-iH^f t} | \Psi_0 \rangle$$



t-NRG:  
Anders, Schiller '05

nonzero final  
magnetization is  
finite-size effect



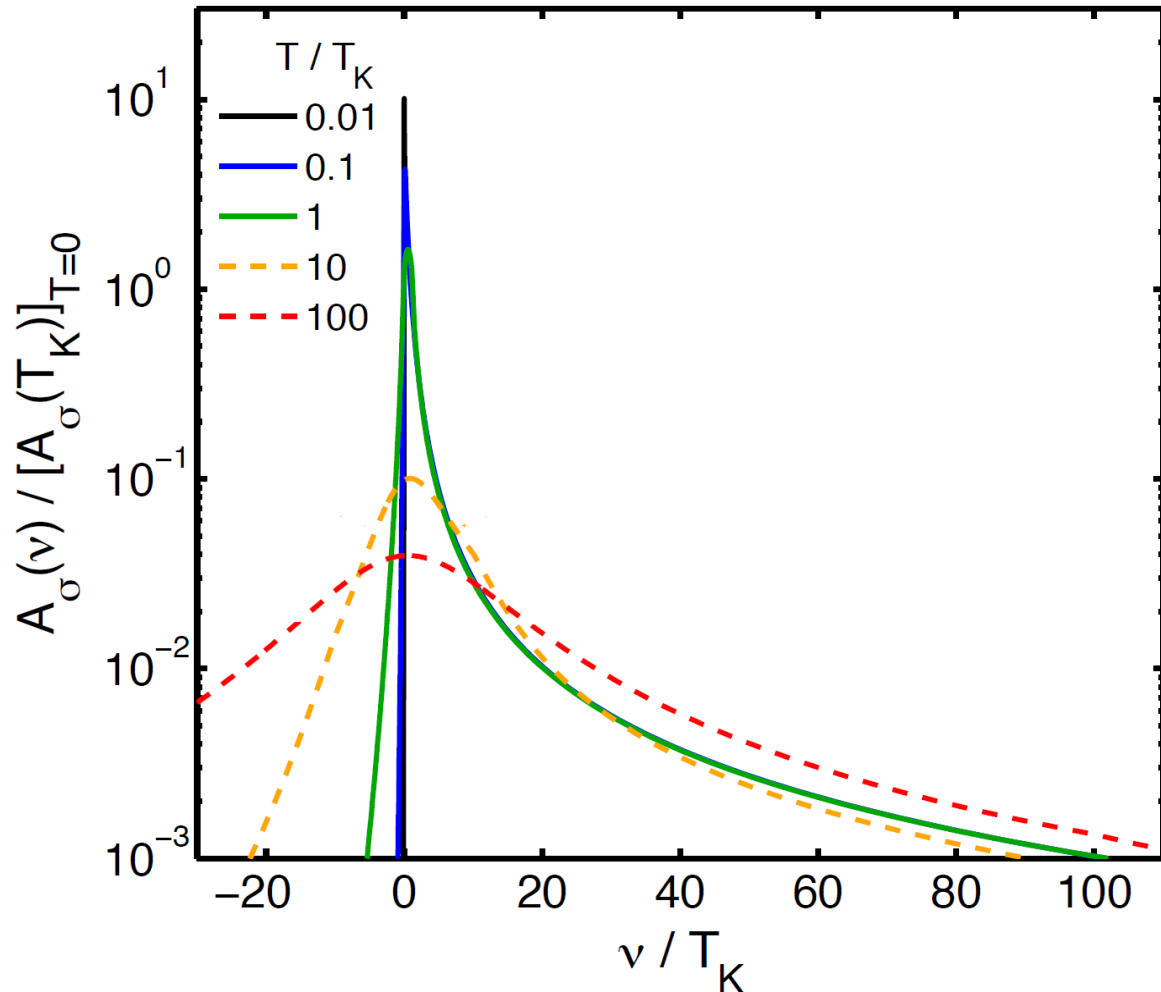
# Absorption Lineshape (log-linear) [SAM]

$$A_\sigma(\nu) = 2\pi \sum_{\alpha\beta} \rho_\alpha^i |{}_f\langle\beta|e_\sigma^\dagger|\alpha\rangle_i|^2 \delta(\underbrace{\nu + \omega_{\text{th}}}_{\text{threshold for absorption}} - \underbrace{\omega_L}_{\text{laser frequency}} - E_\beta^f + E_\alpha^i)$$

↑ detuning

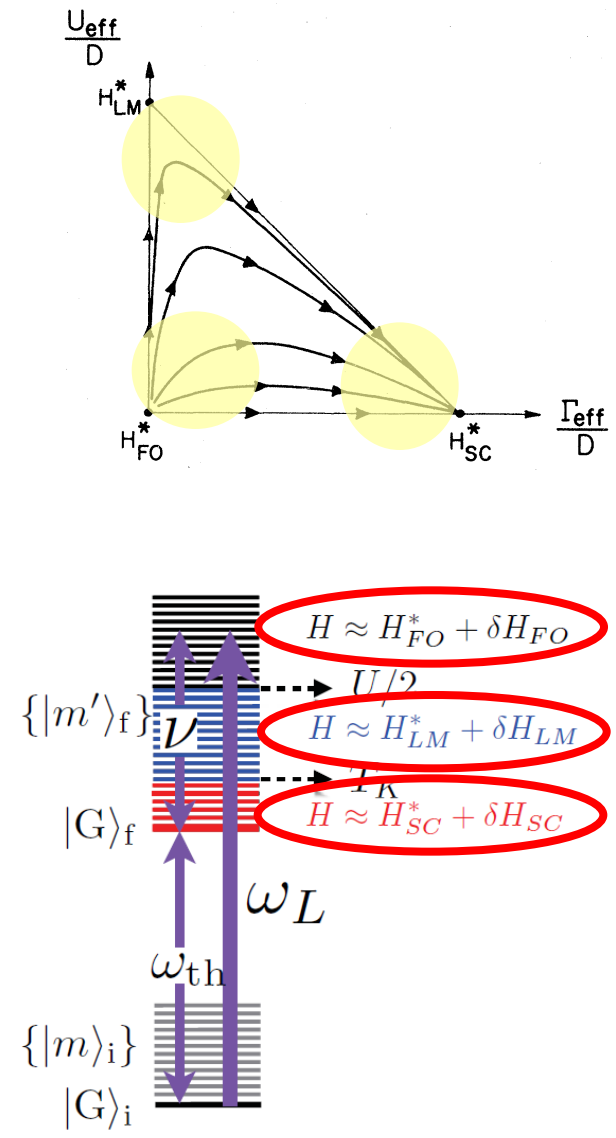
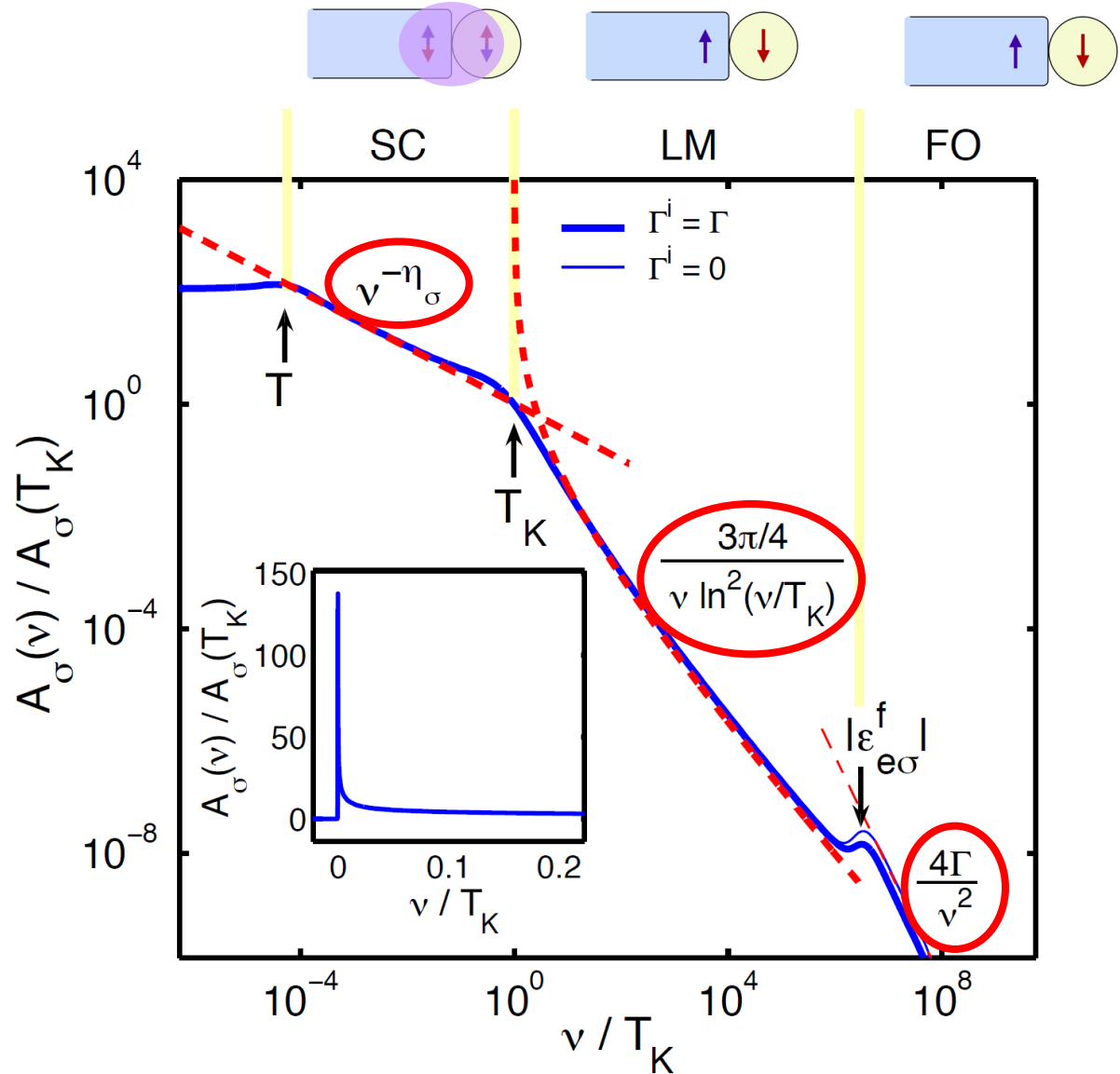
Properties of lineshape:

- depends on initial and final eigenstates
- is roughly symmetric at large T
- as T decreases, lineshape develops asymmetric threshold behavior
- and peak becomes narrower and sharper
- for  $T \rightarrow 0$ , lineshape shows power-law singularity



# Absorption Lineshape (log-log): $T = 0$ [SAM]

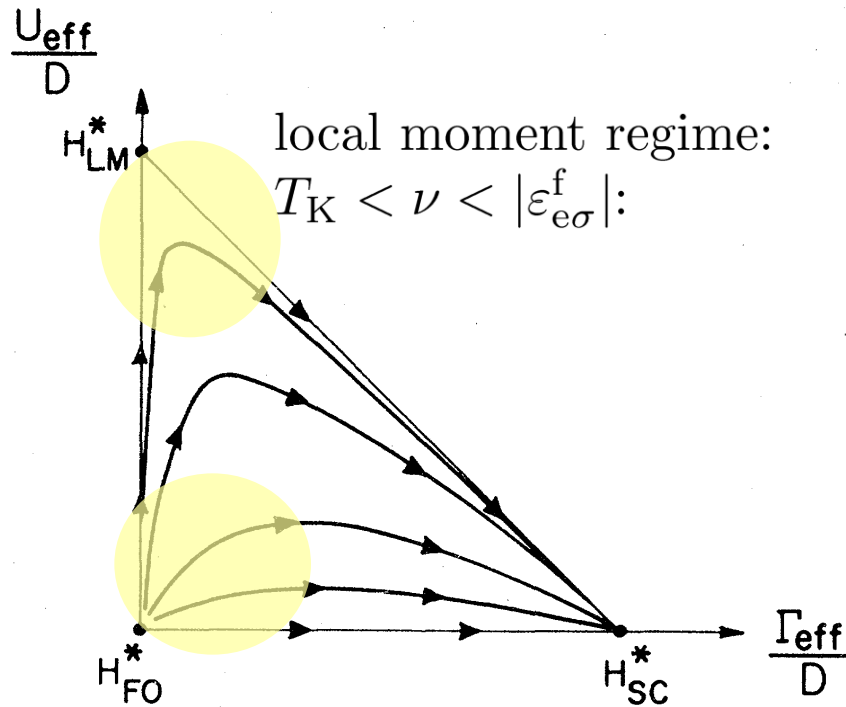
$$A_\sigma(\nu) = 2\pi \sum_{\beta} |\langle \beta | e_\sigma^\dagger | G \rangle_i|^2 \delta(\nu + \omega_{th} - E_\beta^f + E_G^i)$$



# FPPT: Fixed-Point Perturbation Theory (FO, LM)

$$A_\sigma(\nu) = -2\text{Im} \left[ i \langle G | e_\sigma \frac{1}{\nu + i0^+ - H^f + E_G^i} e_\sigma^\dagger | G \rangle_i \right]$$

near fixed point:  $H^f = H^* + H'$ , expand in  $H'$



$$H_{\text{LM}}^* = \sum_{k\sigma} \varepsilon_{k\sigma} c_{k\sigma}^\dagger c_{k\sigma}, \quad H'_{\text{LM}} = \frac{J}{\rho} \vec{s}_e \cdot \vec{s}_c$$

$$A_\sigma^{\text{LM}}(\nu) = \frac{3\pi}{4} \frac{J^2(\nu)}{\nu}$$

$$J(\nu) = \frac{1}{\ln(\nu/T_K)} \quad (\text{rescaled coupling})$$

free-orbital regime:  
 $|\varepsilon_{e\sigma}^f| < \nu$

$$H_{\text{FO}}^* = H_{\text{QD}}^f, \quad H'_{\text{FO}} = \sqrt{\frac{\Gamma}{\pi\rho}} \sum_{\sigma} (e_\sigma^\dagger c_\sigma + \text{h.c.})$$

$$A_\sigma^{\text{FO}}(\nu) = \frac{4\Gamma}{\nu^2} \theta(\nu - |\varepsilon_{e\sigma}^f|)$$



# Strong-Coupling Regime ( $T \ll \nu \ll T_K$ )

$$H_{SC} = \sum_{k\sigma} \tilde{\epsilon}_{k\sigma} \tilde{c}_{k\sigma}^\dagger \tilde{c}_{k\sigma}$$

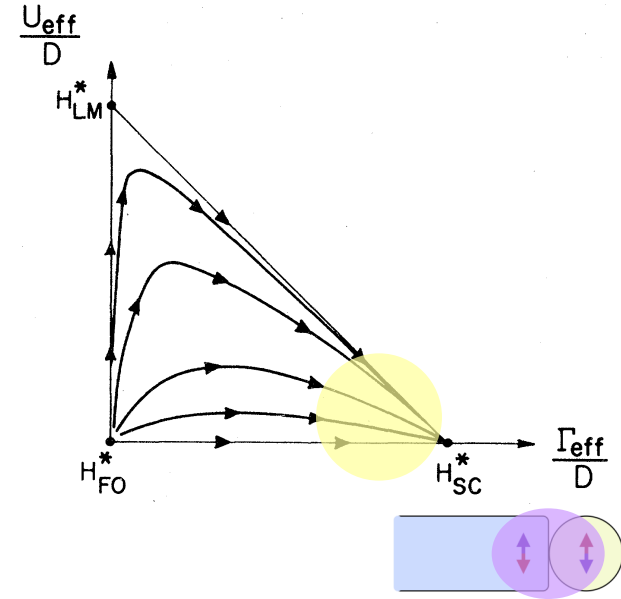
Use analogy to x-ray edge problem: (Mahan '67)

$$A_\sigma(\nu) = -2\text{Im}\mathcal{G}_{ee}^\sigma(\nu) \sim \nu^{-\eta_\sigma}$$

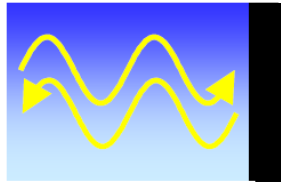
$$\mathcal{G}_{ee}^\sigma(t) \sim \langle \psi_i(0^+) | \psi_i(t) \rangle \sim t^{-\eta'_\sigma}$$

$$|\langle \psi_i(0^+) | \psi_i(\infty) \rangle|^2 \sim N^{-\eta'_\sigma}$$

Anderson orthogonality

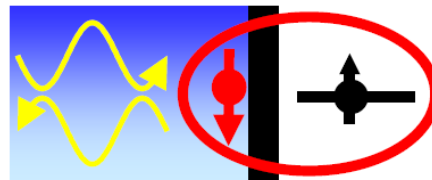


$|\mathbf{G}\rangle_i$



unperturbed Fermi sea

$|\psi_i(\infty)\rangle$



screened  
Kondo singlet



# Strong-Coupling Regime ( $T \ll \nu \ll T_K$ )

$$H_{SC} = \sum_{k\sigma} \tilde{\epsilon}_{k\sigma} \tilde{c}_{k\sigma}^\dagger \tilde{c}_{k\sigma}$$

Use analogy to x-ray edge problem:

$$A_\sigma(\nu) = -2\text{Im}\mathcal{G}_{ee}^\sigma(\nu) \sim \nu^{-\eta_\sigma}$$

$$\mathcal{G}_{ee}^\sigma(t) \sim \langle \psi_i(0^+) | \psi_i(t) \rangle \sim t^{-\eta'_\sigma}$$

$$|\langle \psi_i(0^+) | \psi_i(\infty) \rangle|^2 \sim N^{-\eta'_\sigma}$$

Anderson orthogonality

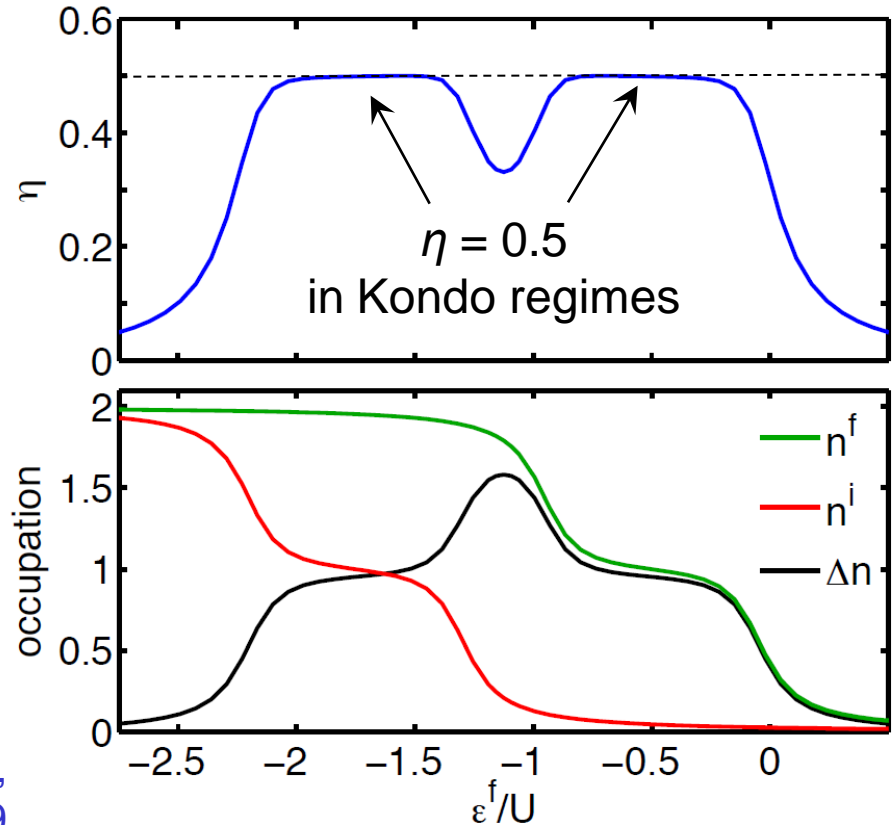
$$\eta_\sigma = 1 - \sum_{\sigma'} (\Delta n'_{e\sigma'})^2 \quad \text{(Friedel, '56, Nozieres, '69, Hopfield '69)}$$

$$\Delta n'_{e\sigma'} = \langle n_{e\sigma'} \rangle_\infty - \langle n_{e\sigma'} \rangle_{0+}$$

$$= \underbrace{\langle n_{e\sigma'} \rangle_f - \langle n_{e\sigma'} \rangle_i}_{\text{change in local charge}} - \delta_{\sigma'\sigma}$$

change in local charge

AO exponent tunable by gate voltage



spin symmetry is broken by polarization of incident photon

# Absorption line shape: B-dependence (SAM)

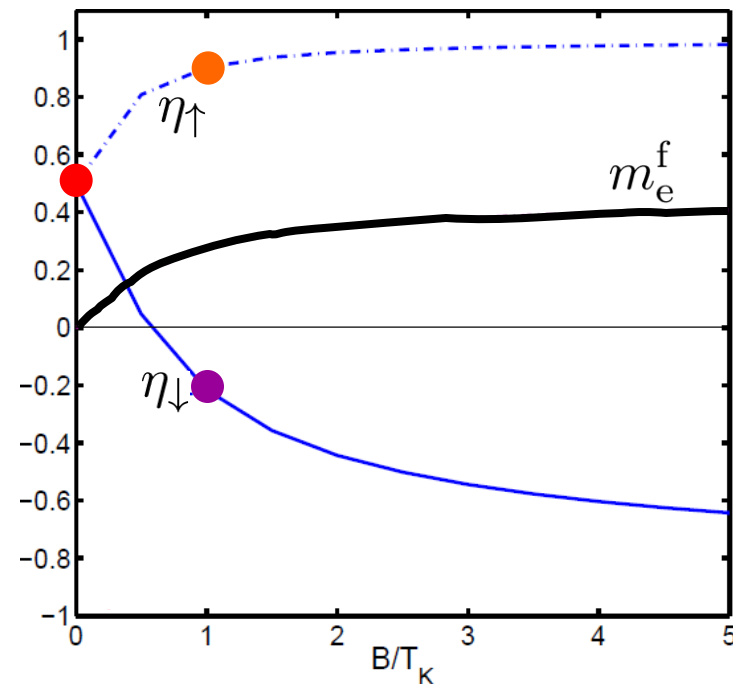
$$A_{\sigma}(\nu) \sim \nu^{-\eta_{\sigma}}$$

$$\eta_{\sigma} = \frac{1}{2} + 2\sigma m_e^f - 2(m_e^f)^2$$

final magnetization

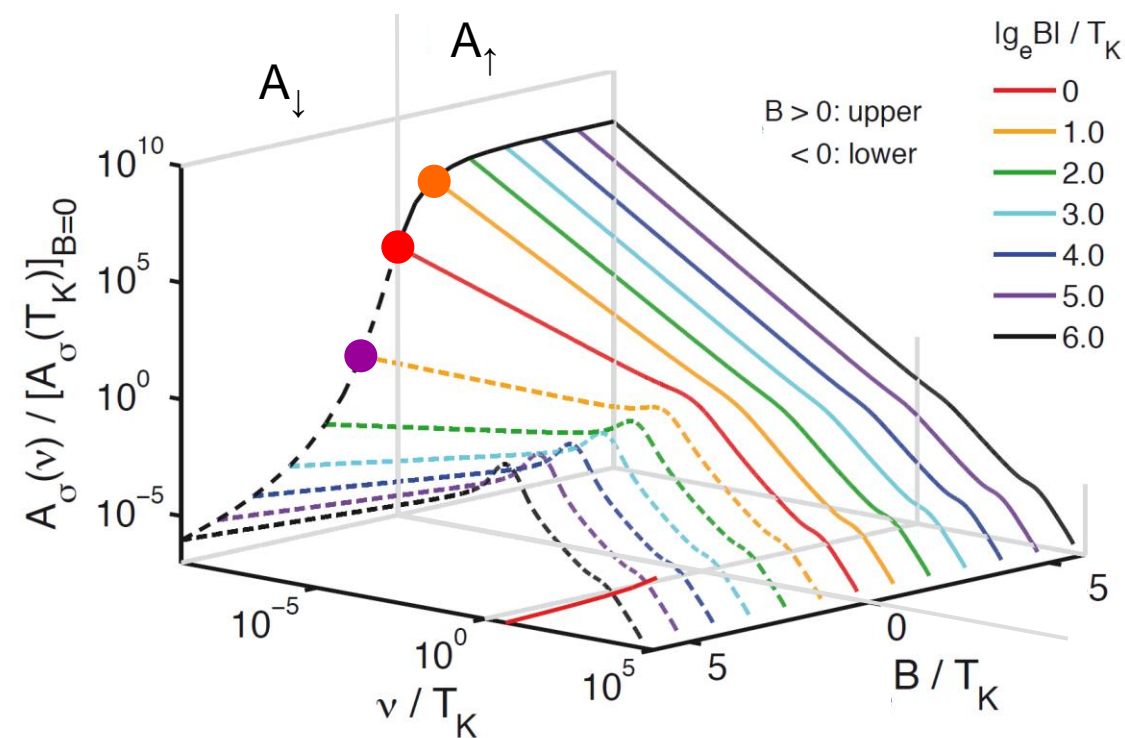
Strong asymmetry under reversal of incident polarization for fixed magnetic field

AO exponent tunable by magnetic field



●  $A_{\uparrow}$ : less orthogonality, larger matrix element more absorption

●  $A_{\downarrow}$ : more orthogonality smaller matrix element less absorption



# Main predictions

Absorption spectrum maps out physics of different fixed points

In local moment regime ( $T < \nu < T_K$ ):

- $A_\sigma(\nu) \sim \frac{1}{\nu \ln^2(\nu/T_K)}$

- $\nu/T_K$  scaling

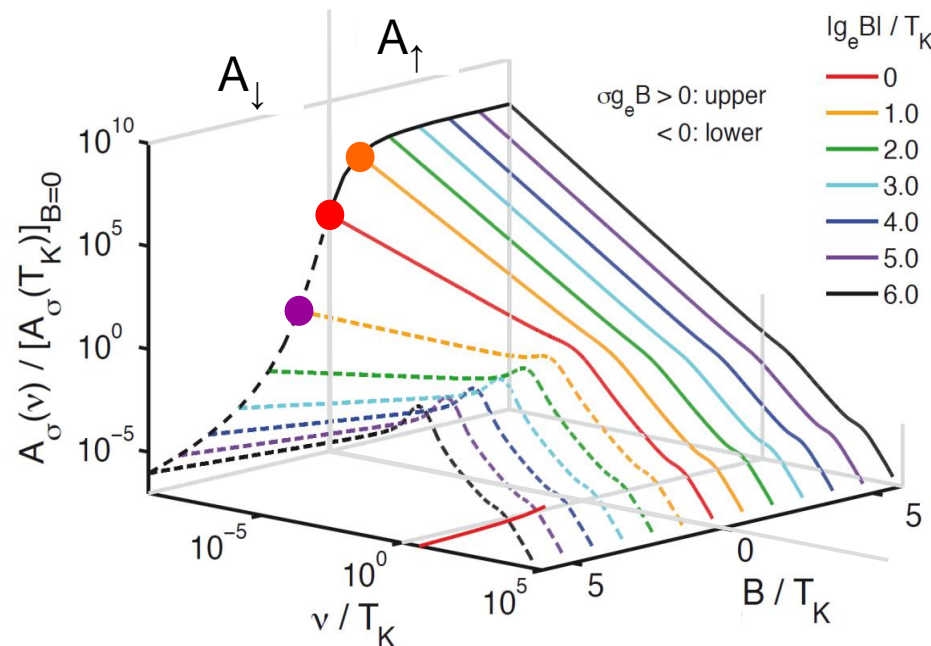
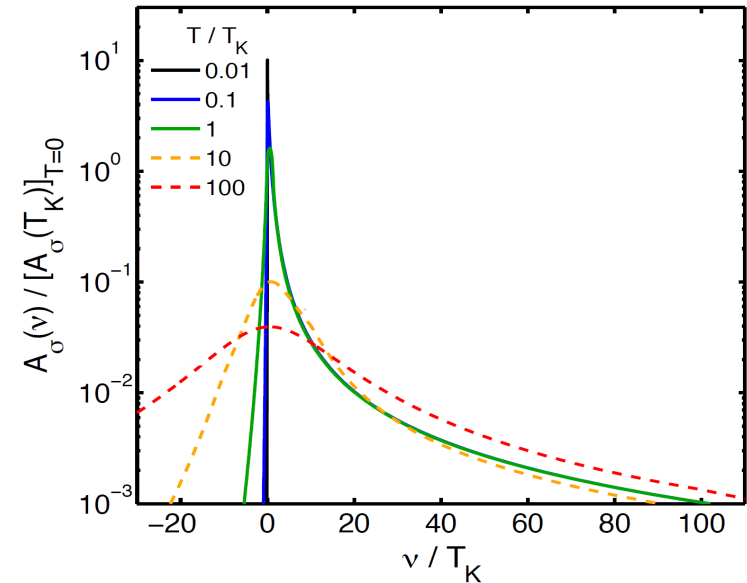
In strong-coupling regime ( $T < \nu < T_K$ ):

For  $T/T_K \rightarrow 0$ : powerlaw divergence

$$A_\sigma(\nu) \sim \nu^{-\eta_\sigma}$$

Anderson/Mahan-exponents

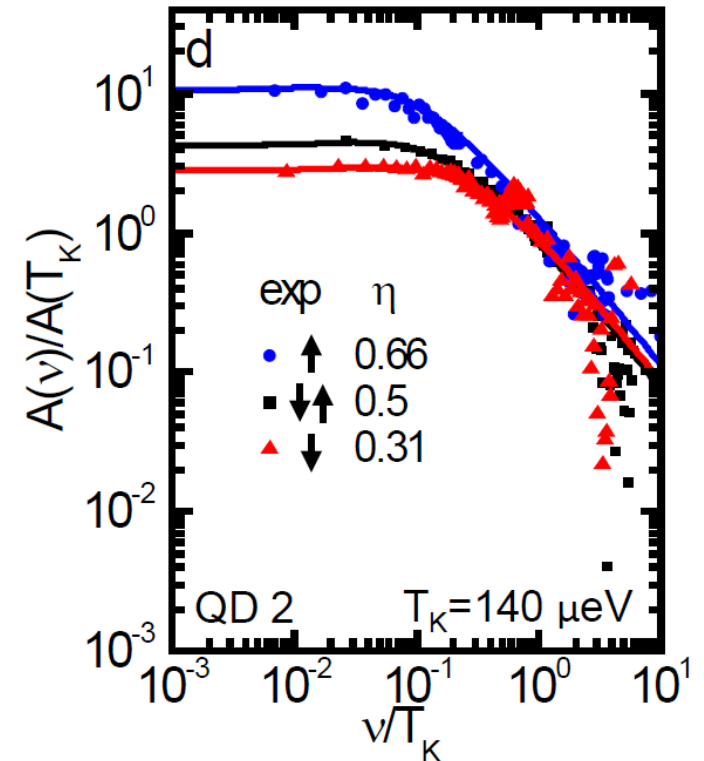
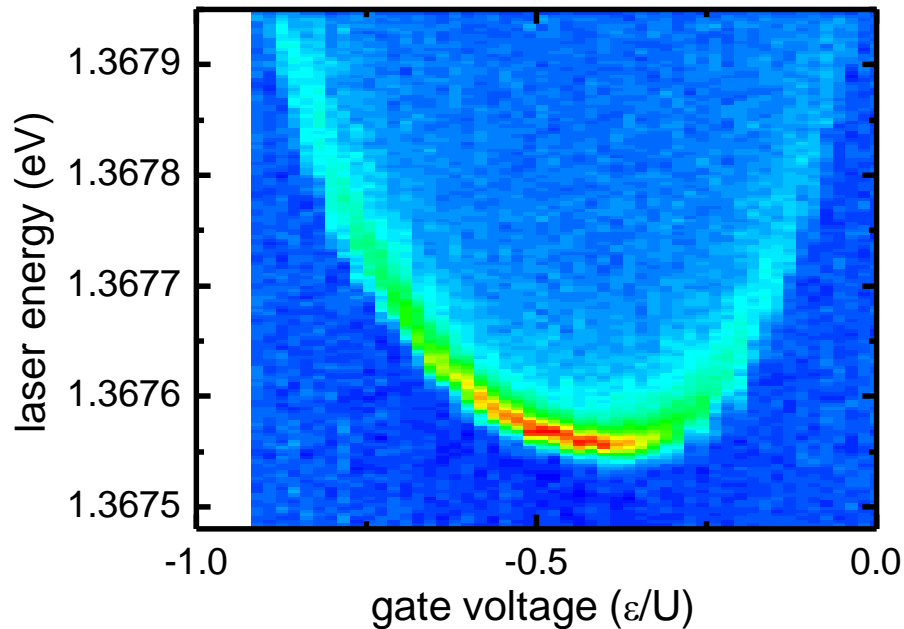
- have universal value  $\eta=0.5$  for symmetric Anderson model at  $B=0$ ;
- are tunable by  $Vg$  and  $B$



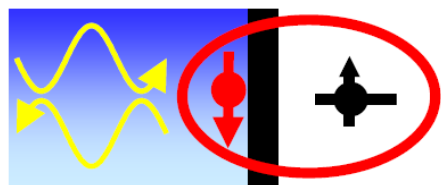
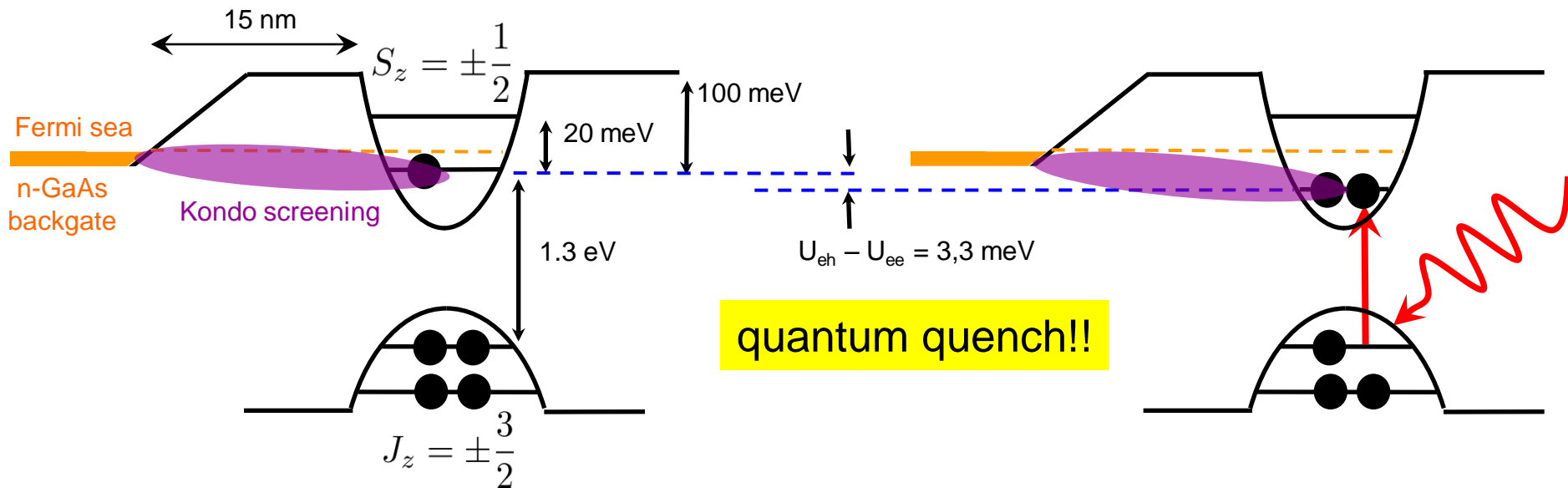
# Experiment: Quantum quench of Kondo correlations in optical absorption

Christian Latta, F. Haupt, P. Fallahi, S. Faelt, A. Imamoglu (ETH), H. Tureci (Princeton)

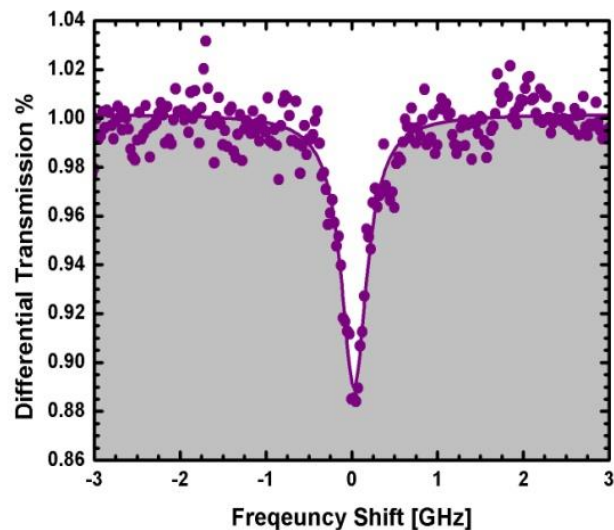
Markus Hanl, Andreas Weichselbaum, Jan von Delft (LMU), Leonid Glazman (Yale)



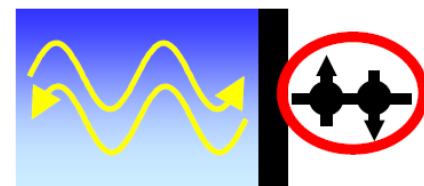
# Optical absorption: X<sup>-</sup> transition



screened  
Kondo singlet

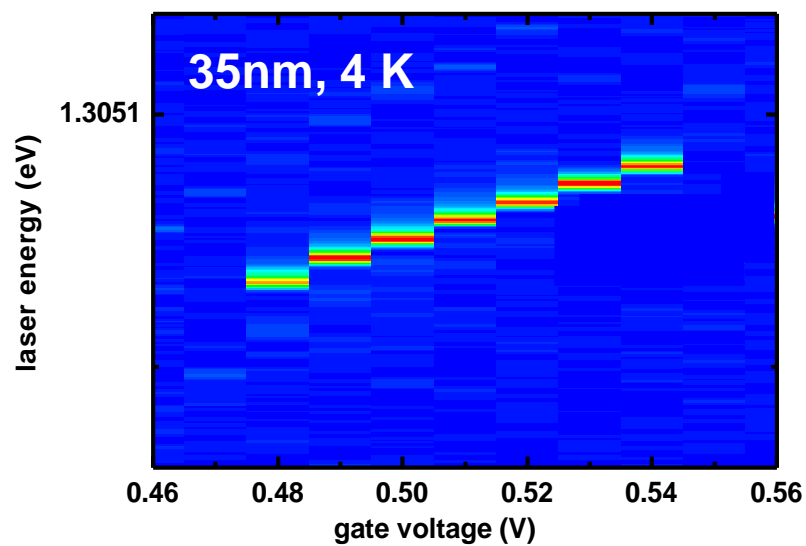
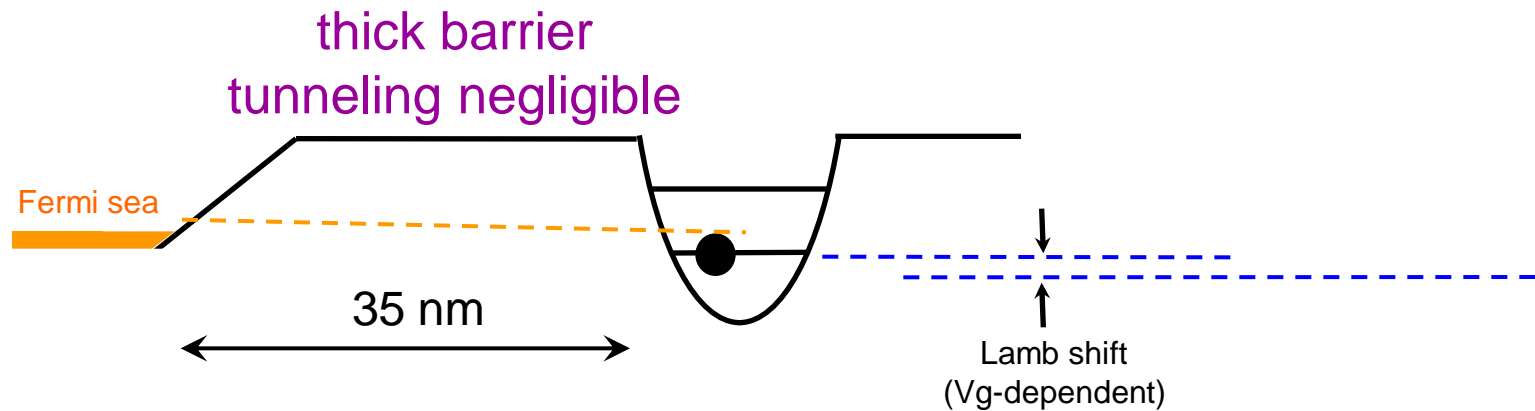


tune laser frequency across resonance,  
monitor transmitted field intensity



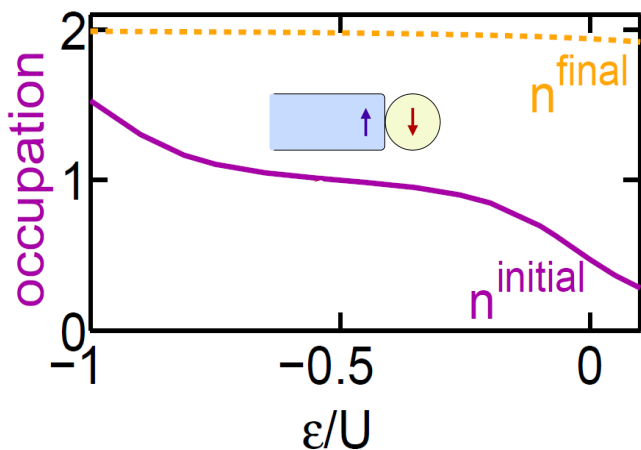
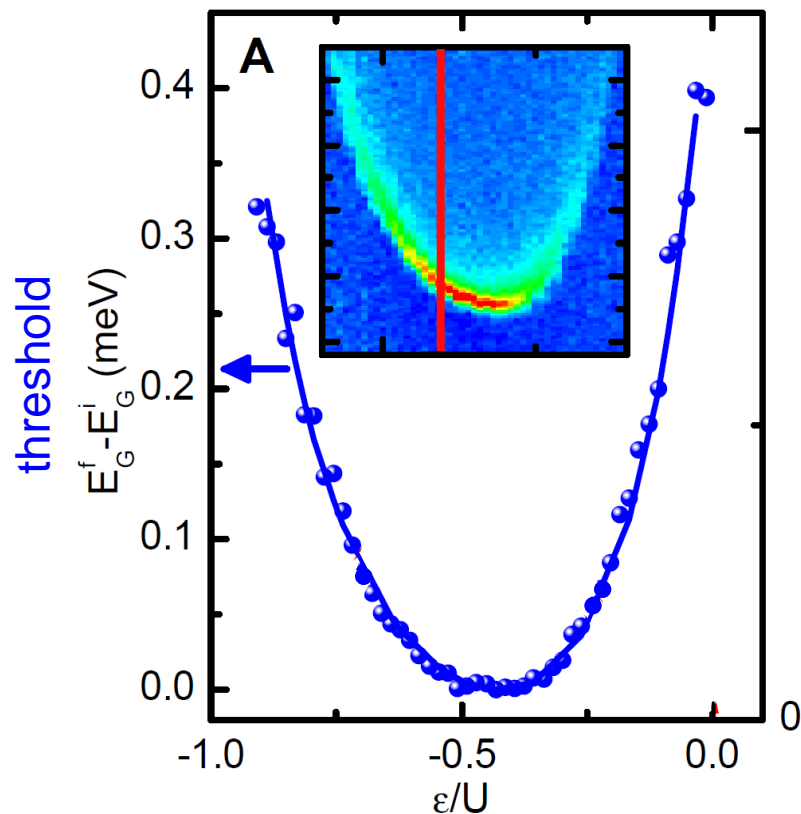
local singlet

# Influence on tunnel barrier width on X- absorption



linear dc-Stark shift

# Fixing model parameters by fitting NRG to data



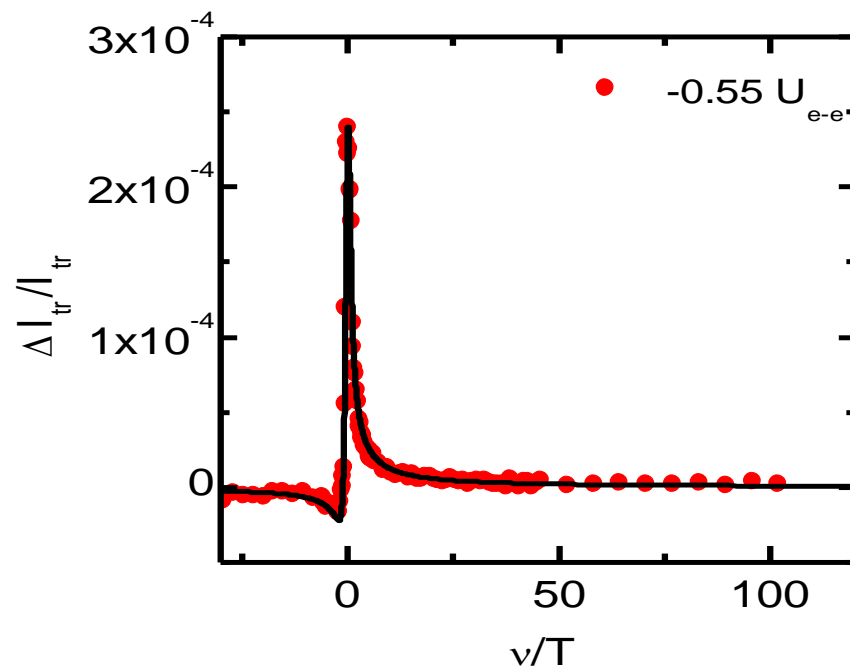
From fit to NRG for threshold:

$$U_{e-h} = 11 \text{ meV}$$

$$U_{e-e} = 7.5 \text{ meV}$$

$$\Gamma = 0.7 \text{ meV}$$

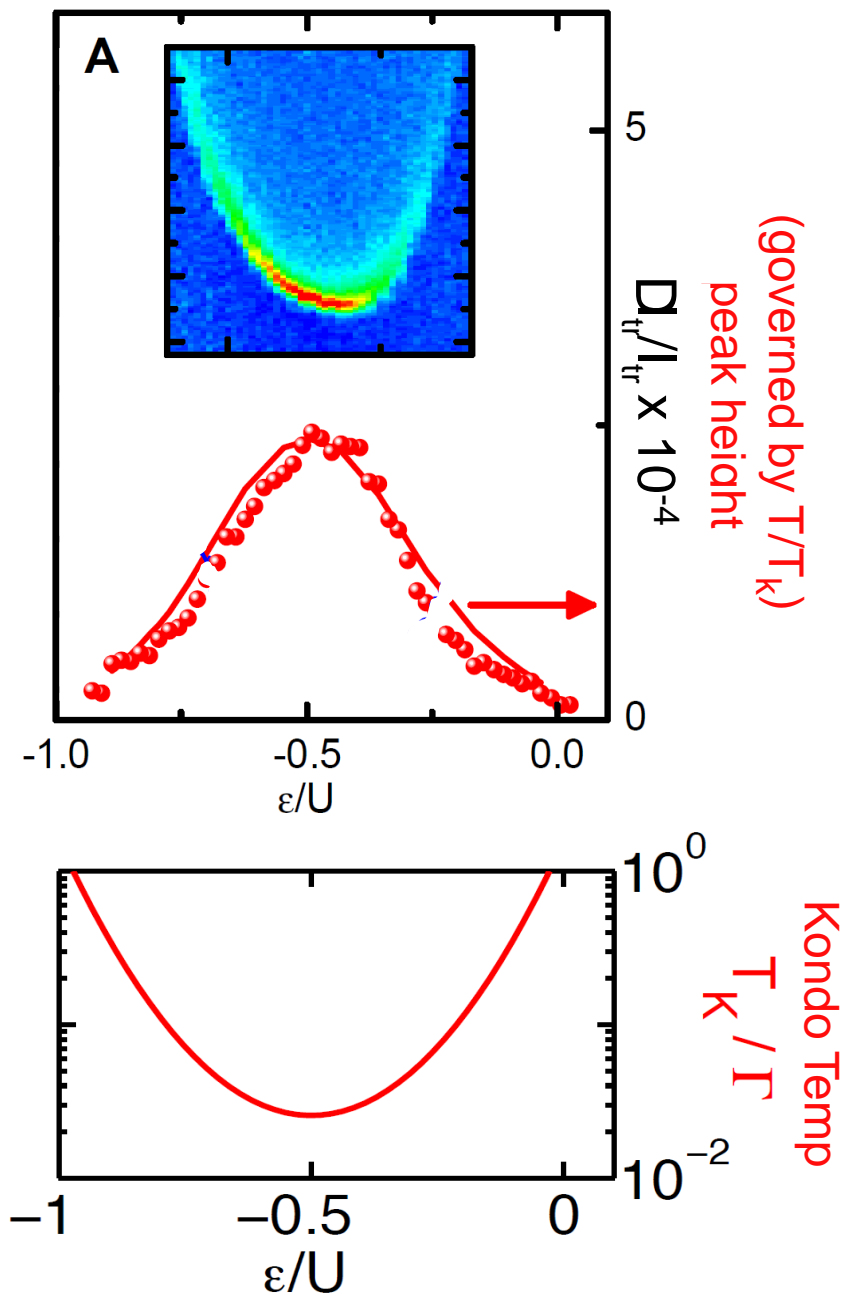
$$D = 3.5 \text{ meV}$$



From fit to NRG for  $v/T < 0$ :

$$T = 180 \text{ mK}$$

# Fixing model parameters by fitting NRG to data



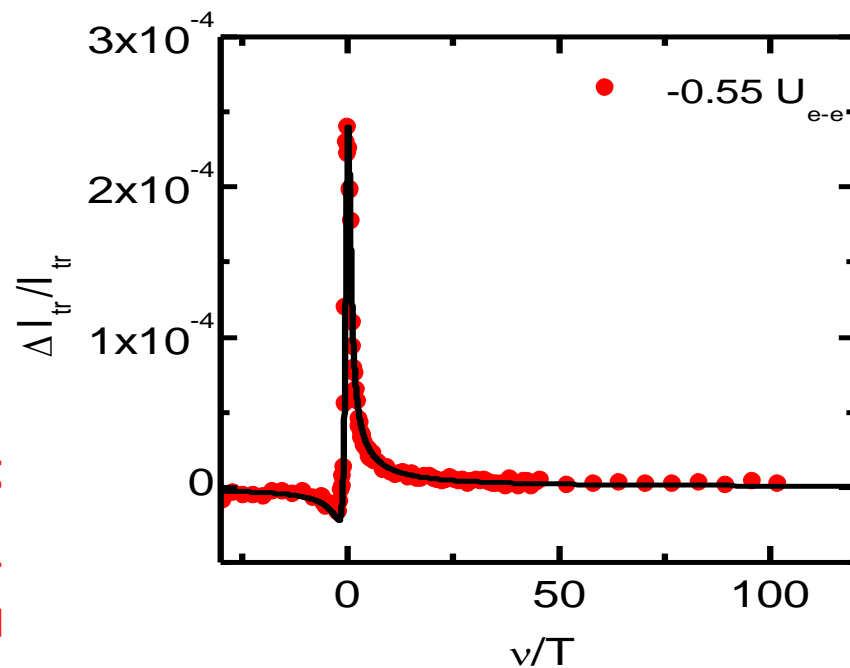
From fit to NRG for threshold:

$$U_{e-h} = 11 \text{ meV}$$

$$U_{e-e} = 7.5 \text{ meV}$$

$$\Gamma = 0.7 \text{ meV}$$

$$D = 3.5 \text{ meV}$$

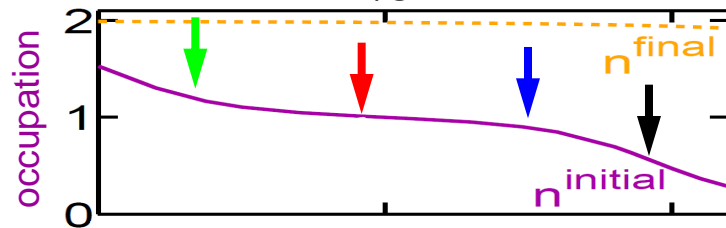
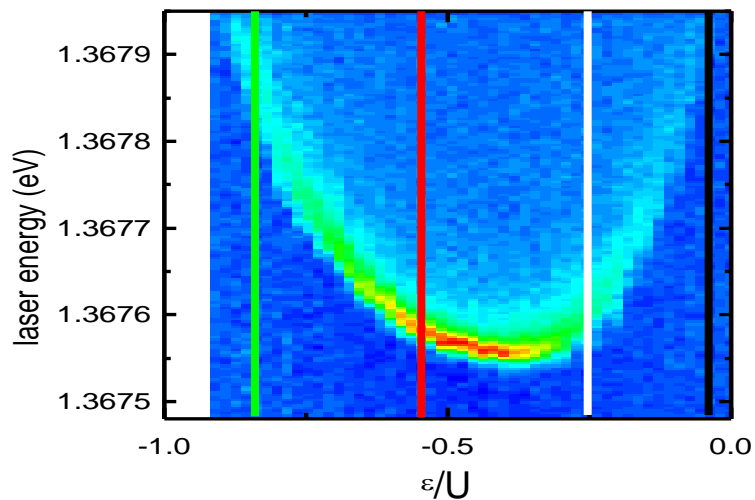


From fit to NRG for  $v/T < 0$ :

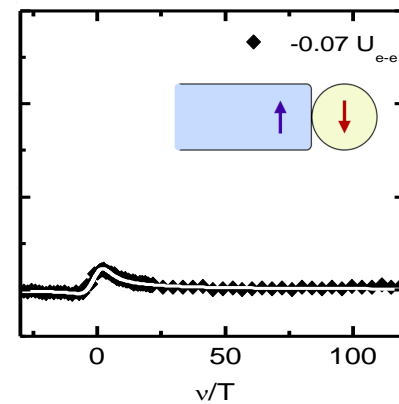
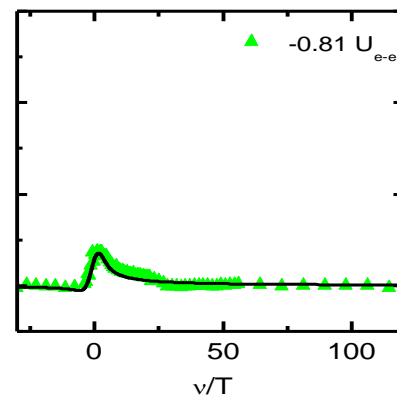
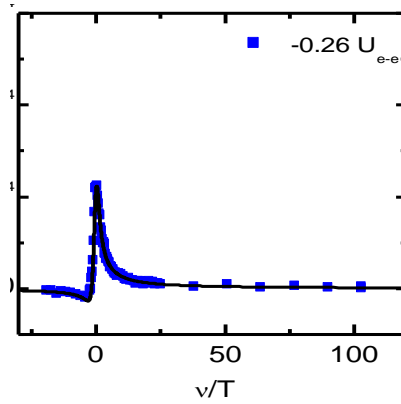
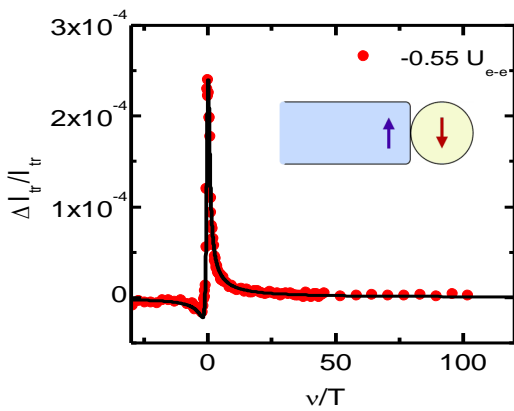
$$T = 180 \text{ mK}$$



# Anatomy of the line shapes

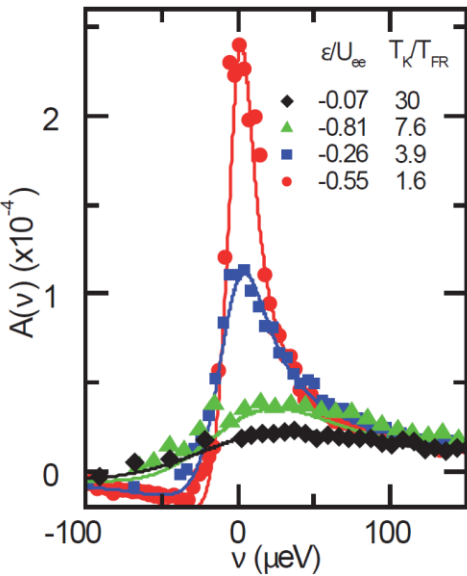


**NRG line shapes are no fits!**



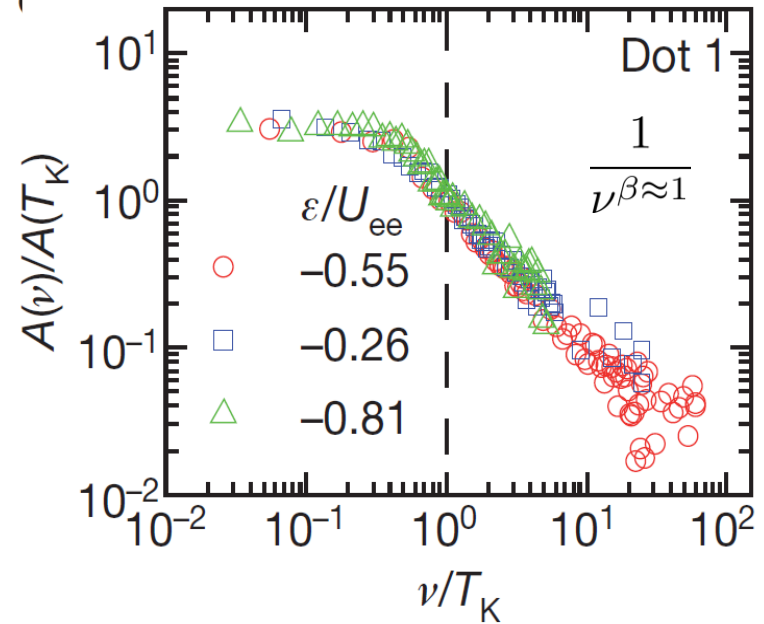
# Scaling collapse

linear scale

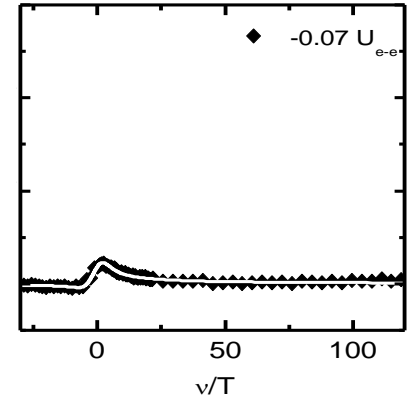
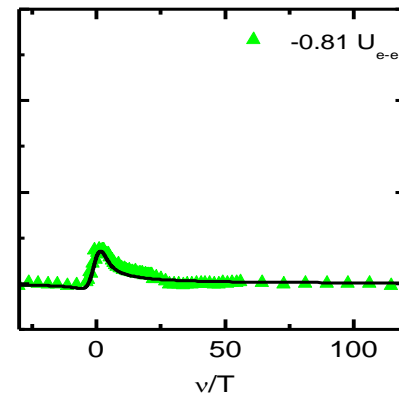
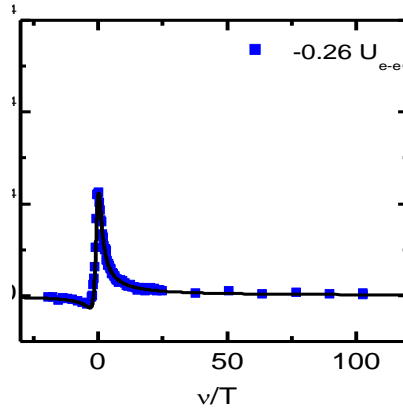
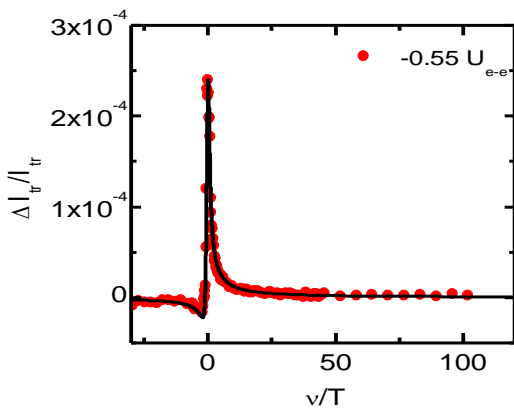


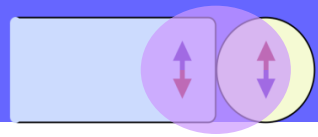
log-log scale

log-log scale, scaled by  $T_K$



scaling collapse: signature of local moment regime!

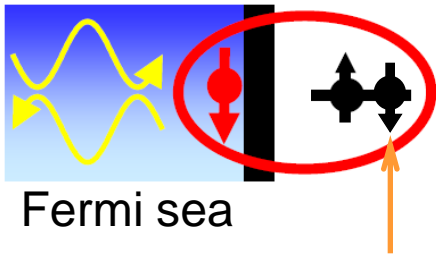




## Anderson orthogonality catastrophe (AOC)

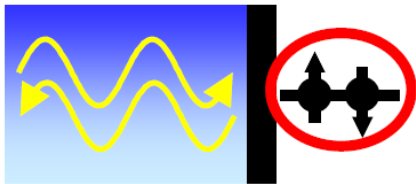
$|G\rangle_i$

screened Kondo singlet



Prediction: AO exponent tunable by magnetic field

$|\psi_i(\infty)\rangle$



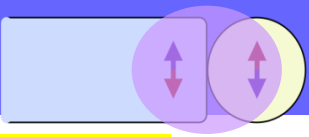
local singlet

Initial state just after absorption and final state in long-time limit are orthogonal:

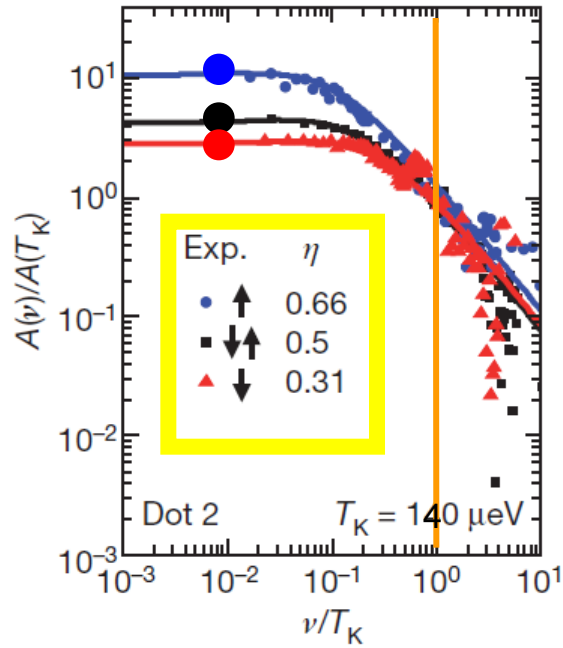
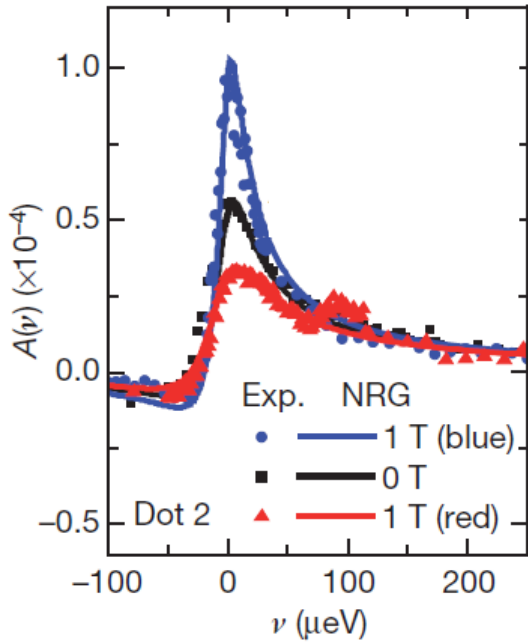
$$|\langle \psi_i(0^+) | \psi_i(\infty) \rangle|^2 \sim N^{-\eta'_\sigma}$$

$$A_\sigma(\nu) \sim \nu^{-\eta_\sigma}$$

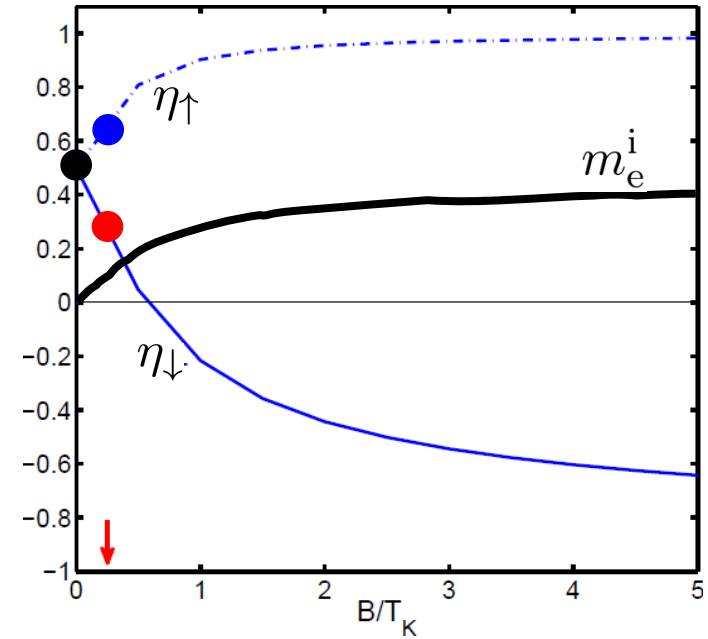
# Observation of B-tunable exponents



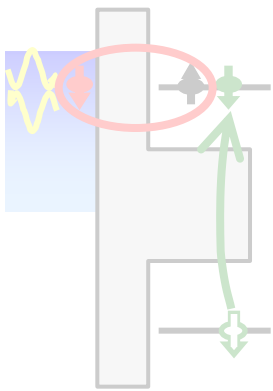
Strongly coupled QD2 ( $T_K/T = 9$ )



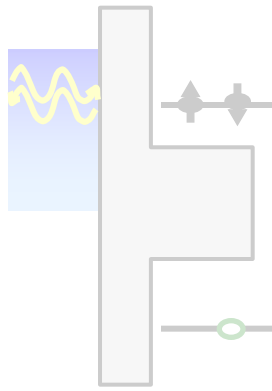
strong-coupling behavior  
has been observed!



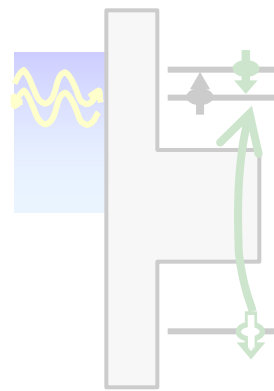
•  $e_{\downarrow} |G_i\rangle$



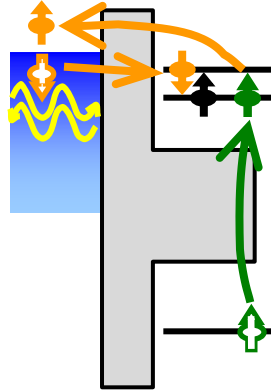
•  $|G_f\rangle$



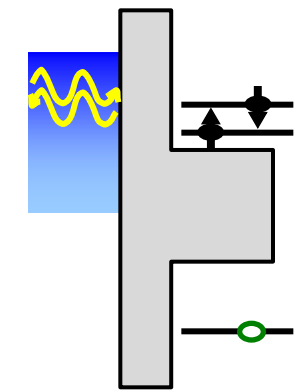
•  $e_{\downarrow} |G_i\rangle$



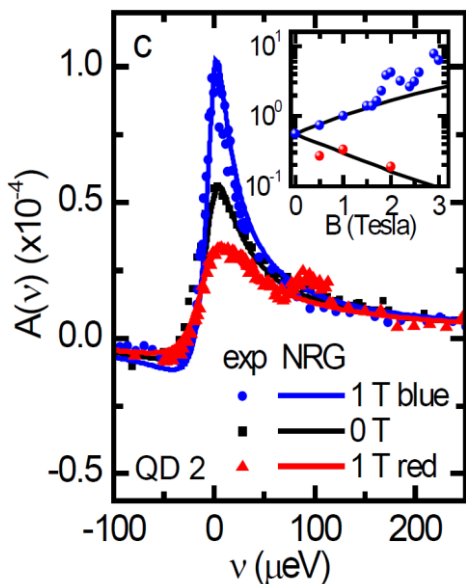
•  $e_{\uparrow} |G_i\rangle$



•  $|G_f\rangle$



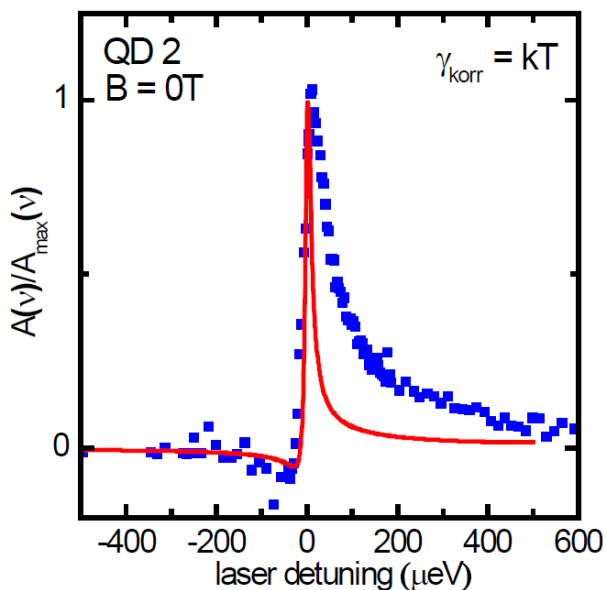
# Oscillations of $T_k$ at large fields



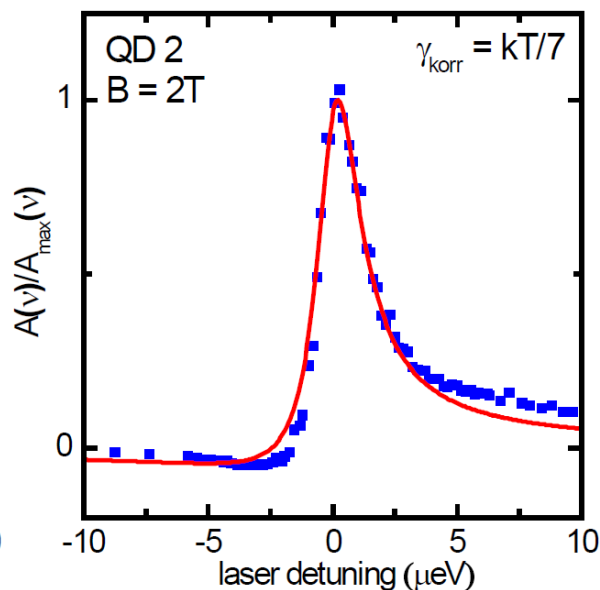
Landau levels produce oscillations in DOS of leads, and hence oscillations in  $T_k$

**Perturbative lineshape:** 
$$A(\nu) \propto \frac{\nu/T}{1 - e^{-\nu/T}} \frac{\gamma}{\nu^2 + \gamma^2/4}$$

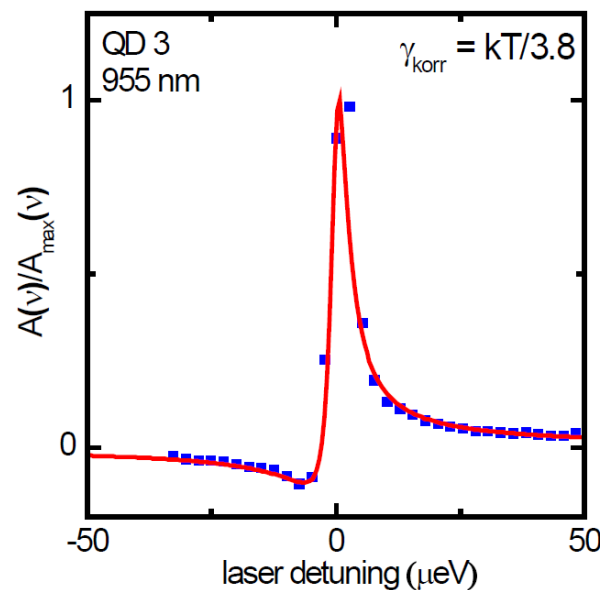
QD2 with large  $T_k$



QD2 with small  $T_k$



QD3: weakly coupled



# Main experimental results

Optical signatures of Kondo effect have been observed:

Local moment regime:

- Kondo screening reduces magnetization
- Scaling collapse

Strong-coupling regime:

- Finite temperature hides  $\nu^{-\eta}$  behavior,
- but B-tuning of exponents has been observed

NRG reproduces data very well !

Outlook: high-intensity laser = strong driving!

