

Topological orbital phases beyond standard optical lattices



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[View of Pittsburgh---source: PittsburghSkyline.com]



Acknowledgement

Collaborators:

Sankar Das Sarma (Maryland)

Andreas Hemmerich

(experiment/Hamburg)

Xiaopeng Li (student, Pittsburgh)

Kai Sun (Maryland → Michigan)

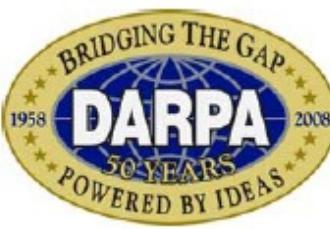
Erhai Zhao (postdoc, now GMU)

Pittsburgh→

George Mason University,
Fairfax, VA / Assistant Professor

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Two main thrusts in theoretical cold atom research

- Use cold atoms/optical lattices to quantum simulate important condensed matter problems: such as Mott-Superfluid in Bose-Hubbard model, high T_c superconductors, spin liquid models with ring exchange, ... [extensive work by many theory groups worldwide]
- As a new type of quantum matter of no prior analogue in CM physics:
 - New many-body regimes: Fermi gases in unitarity (... , many groups ...), large effective Zeeman splitting (order of E_Fermi), ...
 - Quantum particles (especially bosons) in the excited “higher orbital” bands of optical lattices. Beyond the s: p, d, ...
 - Quantum dynamics: great potential of studying fast dynamics in a ultracold (slow) system.

This talk

Outline

1. Introduction

- Optical lattices. What is the p-orbital band? Why?

2. Experimental Progress

3. Quantum 120° model of “spinless” p-band fermions

- Strong anisotropy of p orbits – new feature → direction-dependent orbit exchange

4. Z-class topological Insulator in an optical orbital ladder

- Ladder reduced from Hamburg experimental system
- Due to hybridization of opposite parity s and p orbitals
- Transition to non-topological Mott insulator by interaction

5. Conclusion

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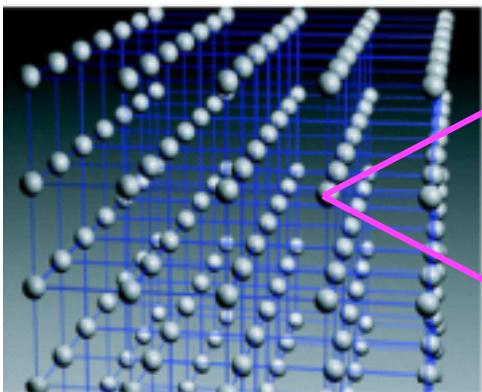
- Strong anisotropy of p orbits – new feature → direction-dependent orbit exchange

4. Z-class topological Insulator in optical orbital ladders

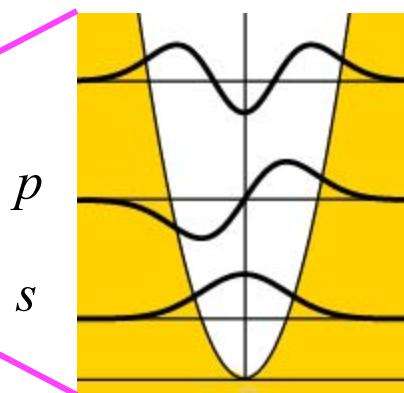
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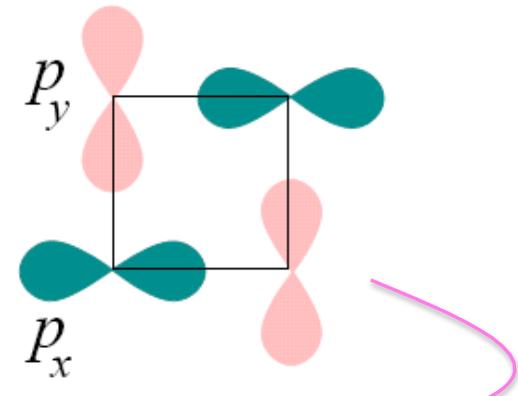
p-band of an optical lattice (illustration)



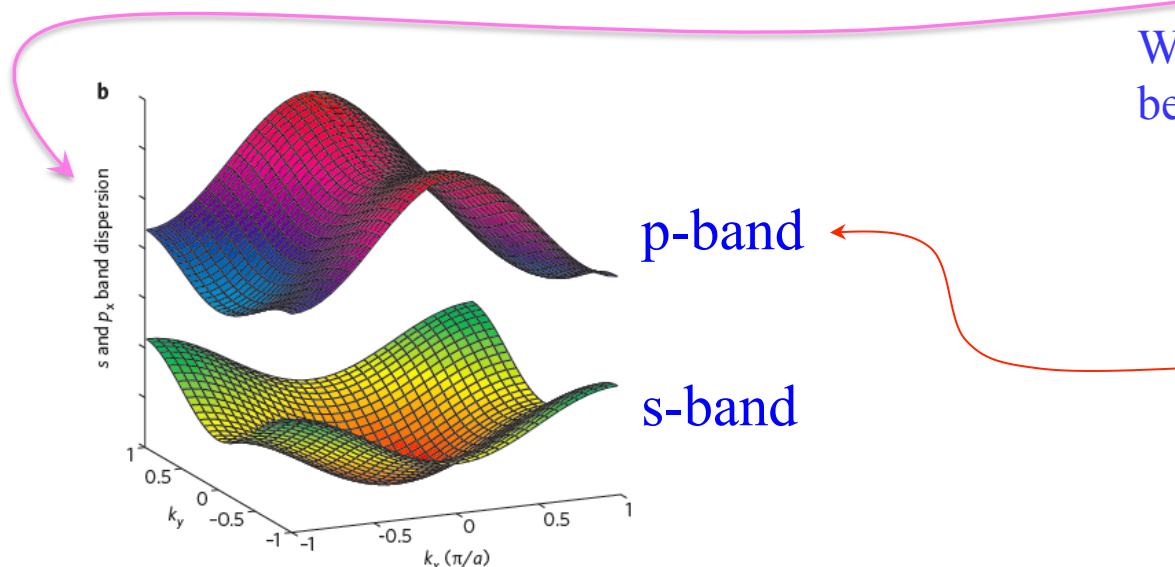
[optical lattice picture from web]



Top view



Lattice spacing $\lambda_L \sim 400\text{nm}$
Level spacing $\sim 1\text{MHz} \sim 50\mu\text{K}$



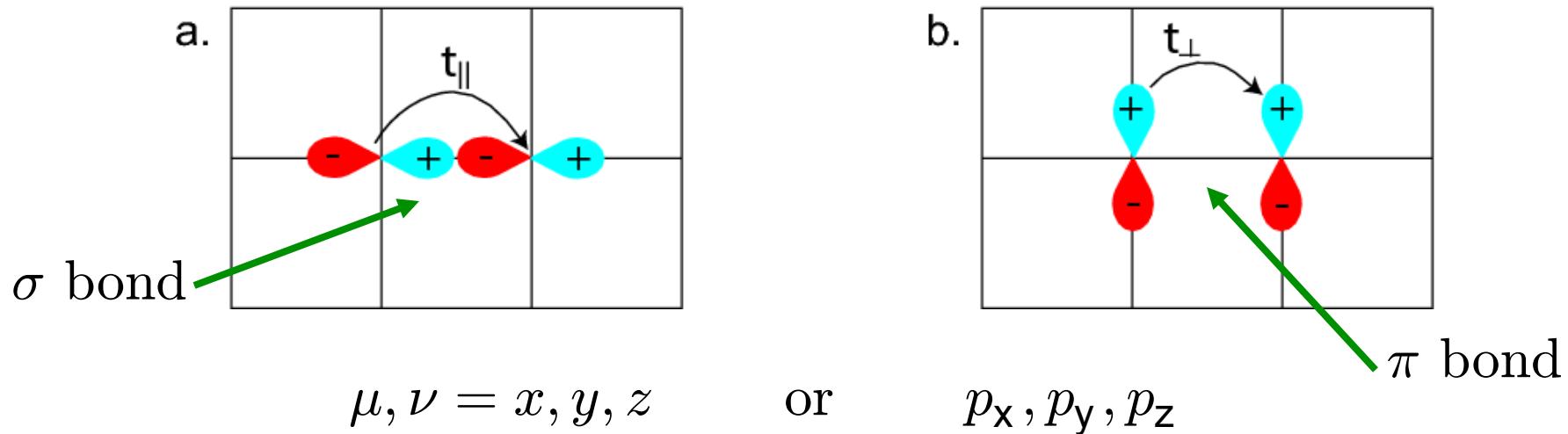
With tunneling, discrete levels become Bloch bands.

- This talk
- Compared with s: orbital degeneracy → emergent symmetry

[M. Lewenstein & WVL, Nature Phys. 7, 101 (2011)]

Features of p-orbital Hubbard model: illustration with bosons in 3D cubic lattice [WVL and C. Wu, PRA (2006)]

$$H = \sum_{\mathbf{r}\mu} [t_{\parallel} \delta_{\mu\nu} - t_{\perp} (1 - \delta_{\mu\nu})] \left(b_{\mu, \mathbf{r} + a\mathbf{e}_{\nu}}^{\dagger} b_{\mu\mathbf{r}} + h.c. \right) + \frac{1}{2} U \sum_{\mathbf{r}} [n_{\mathbf{r}}^2 - \frac{1}{3} \mathbf{L}_{\mathbf{r}}^2]$$



Density field operator: $n_{\mathbf{r}} = \sum_{\mu} b_{\mu\mathbf{r}}^{\dagger} b_{\mu\mathbf{r}}$

Angular momentum operator: $L_{\mu\mathbf{r}} = -i \sum_{\nu\lambda} \epsilon_{\mu\nu\lambda} b_{\nu\mathbf{r}}^{\dagger} b_{\lambda\mathbf{r}}$

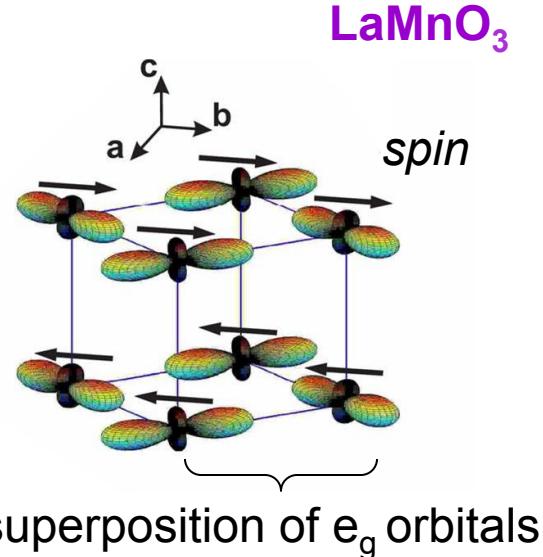
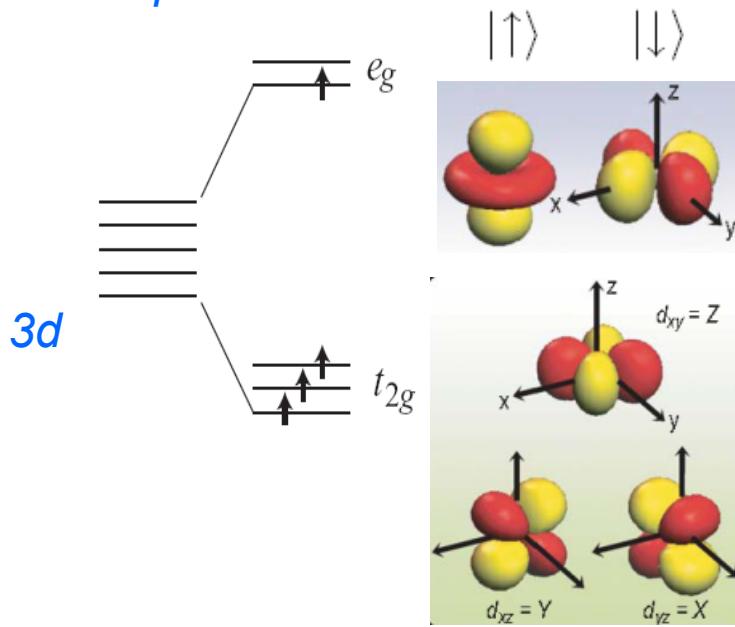
Orbital ordering of d-electrons

[see, for example, review by Tokura and Nagaosa, science 288, 462, (2000).]

orbital: shape of the electron cloud

Orbital degeneracy in transition metal oxides:

pseudospin 1/2:



Kugel-Khomskii superexchange

$$\begin{aligned} H &= \sum_{\langle i,j \rangle} \hat{J}_{ij}^{(\gamma)} (\vec{S}_i \vec{S}_j + \frac{1}{4}), \\ \hat{J}_{ij}^{(\gamma)} &= J(T_i^{(\gamma)} T_j^{(\gamma)} - \frac{1}{2} T_i^{(\gamma)} - \frac{1}{2} T_j^{(\gamma)} + \frac{1}{4}) \\ T_i^{(a/b)} &= \frac{1}{4}(-\sigma_i^z \pm \sqrt{3}\sigma_i^x), \quad T_i^{(c)} = \frac{1}{2}\sigma_i^z \end{aligned}$$

- Charge, spin, orbital, and lattice degree of freedom entangled together
- Complex phase diagrams

A new direction: p-orbital physics in optical lattices

- **Orbital degeneracy** (p_x, p_y, p_z orbitals) is considerably less understood in comparison. Implies emergent symmetry.
- **Similar to spin physics** but is different fundamentally.
- **Strong anisotropy**: Anisotropy is an interesting new feature, not a problem!
- **p -orbitals are different than d -orbital in solids:** Parity ODD. New possibility--- **p -orbital bosons** as opposed to d -electrons (fermions) in solids
- **Unique** to cold atom systems, “non-standard” condensed matter systems. For instance, Orbital physics of bosons has no prior analogue in CM physics?!



unique quantum phases (a main motivation of our study).

Early theoretical studies on the excited bands (pre 2010)

An incomplete list!! *[red=WVL involved]*

On multi-orbital

- V. Scarola, S. Das Sarma, *Phys. Rev. Lett.* (2005)
- O. E. Alon, A. I. Streltsov, L. S. Cederbaum, *Phys Rev Lett* (2005)
- ...

On p-orbital

- A. Isaacson and S. Girvin, *Phys. Rev. A* 72, 053604 (2005).
- A. B. Kuklov, *Phys. Rev. Lett.* (2006)
- **WVL and C. Wu, *Phys. Rev. A* (2006)**
- **C. Wu, WVL, J. Moore, and S. Das Sarma, *Phys. Rev. Lett.* (2006)**
- A. F. Ho, arXiv:cond-mat/0603299
- C. Xu and M. P. A. Fisher, *Phys. Rev. B* 75, 104420 (2007)
- C. Wu, D. Bergman, L. Balents, and S. Das Sarma, *Nature Phys.* 4, 222 (2008)
- A. Kantian, A. J. Daley, P. Törmä and P. Zoller, *Nature Phys.* 4, 222 (2008)
- L. Guo, Y. Zhang, and S. Chen, *Phys. Rev. A* (2008)
- **E. Zhao and WVL, *Phys. Rev. Lett.* (2008);**
- R. O. Umucallar and M. Ö. Oktel, *Phys. Rev. A* (2008)
- K. Wu and H. Zhai, *Phys. Rev. B* (2008)
- L. Wang, X. Dai, S. Chen, X. C. Xie, *arXiv:0805.2070* (2008)
- R. M. Lutchyn, S. Tewari, S. Das Sarma, *arXiv:0805.2070* (2008)
- **V. Stojanovic, C. Wu, WVL and S. Das Sarma, *Phys. Rev. Lett.* (2008)**
- ...
- **K. Sun, E. Zhao, WVL, *Phys. Rev. Lett.* (2010)**
- **Z. Zhang, H. H. Hung, C.M. Ho, E. Zhao, WVL, *Phys. Rev. Lett.* (2010)**
- A. Collin, J. Larson, and J.-P. Martikainen, *Phys. Rev. Lett.* (2010)
- ...

Our p-orbital work

- *Phys. Rev. A* 74, 013607 (2006).
- *Phys. Rev. Lett.* 97, 190406 (2006).
- *Phys. Rev. Lett.* 100, 160403 (2008)
- *Phys. Rev. Lett.* 101, 125301 (2008)
- *Phys. Rev. Lett.* 104, 165303 (2010).
- *Phys. Rev. A* 82, 033610 (2010)
- *Phys. Rev. A* 83, 063626 (2011)
- *Phys. Rev. A* 85, 053606 (2012)
- *Nature Phys.* 7, 101 (2011)
- *Nature Phys.* 8, 67 (2012)
- *Phys. Rev. Lett.* 108, 175302 (2012)
- *arXiv:1205.0254*, ...:1210.1859.

Bose Condensate → Mott → 1D p-orbitals
→ fermions → topological

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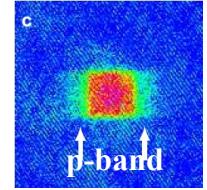
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Experiments: atoms observed in higher orbital bands

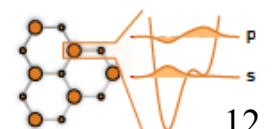
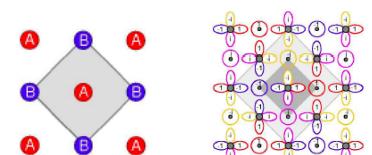
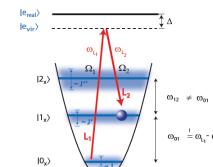
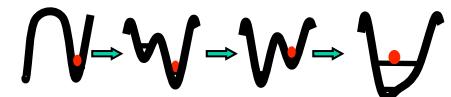
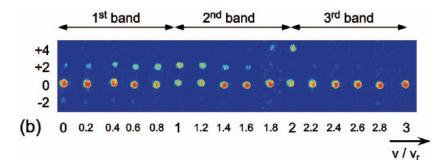
Fermions on p-band

- Fermions transferred into p-band by sweeping across Feshbach resonance, i.e., by strong interaction. [M. Köhl et al, PRL 94, 080403 (2005)]
- Band cross through Dirac point [ETH/Esslinger et al, Nature 483, 302 (2012)]



Bosons on p-band

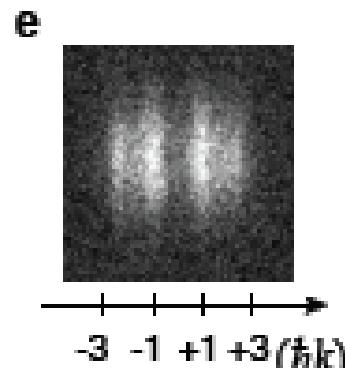
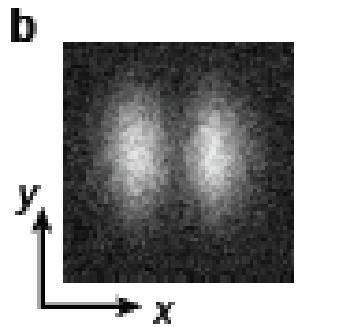
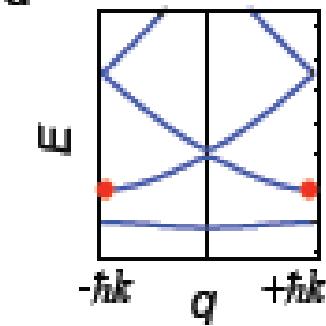
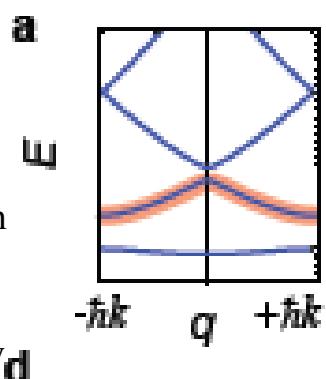
- By moving lattices [A. Browaeys, W. D. Phillips, et al, PRA 72, 053605 (2005)]
- Dynamically deforming the double-well super-lattice [NIST Porto/Phillips groups: PRA (2006); J. Phys. B (2006); PRL 2007; Nature 2007; ...]
- Pumping bosons by Raman transition [T. Mueller, I. Bloch et al., PRL 2007]
- p-band in (symmetric) double-well lattice [G. Wirth, M. Olschlager, A. Hemmerich, *Nature Physics* 2011]; f-band [PRL, 2011]; avoided band-crossing & Landau-Zener [PRL (2012)]
- “Unconventional (complex) multi-orbital superfluidity” on hexagonal double-well ‘super-lattice’ [P. Soltan-Panahi, ..., K. Sengstock, *Nature Physics* (online Nov 2011)]



Pioneer Experimental observation: finite momentum BEC by the Mainz/Bloch group [Mueller, Bloch, et al, PRL, 2007]

In Experiment:

- selected one direction
- hence only one p sub-band,
- no orbital degeneracy



Initial time
(incoherent)

$20\mu s$

Later time
(coherent)

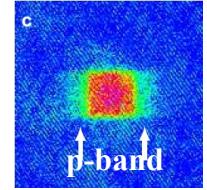
1ms

Mueller, Bloch et al [PRL 2007] and theoretical prediction
[Isacsson-Girvin, 2005; Kuklov, 2006; WVL, Wu 2006] **agrees!**

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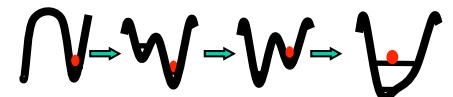
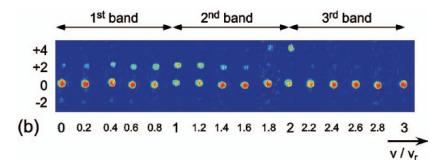
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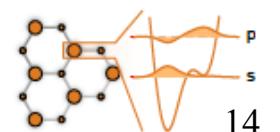
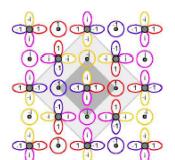
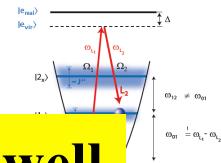


Bosons on p-band

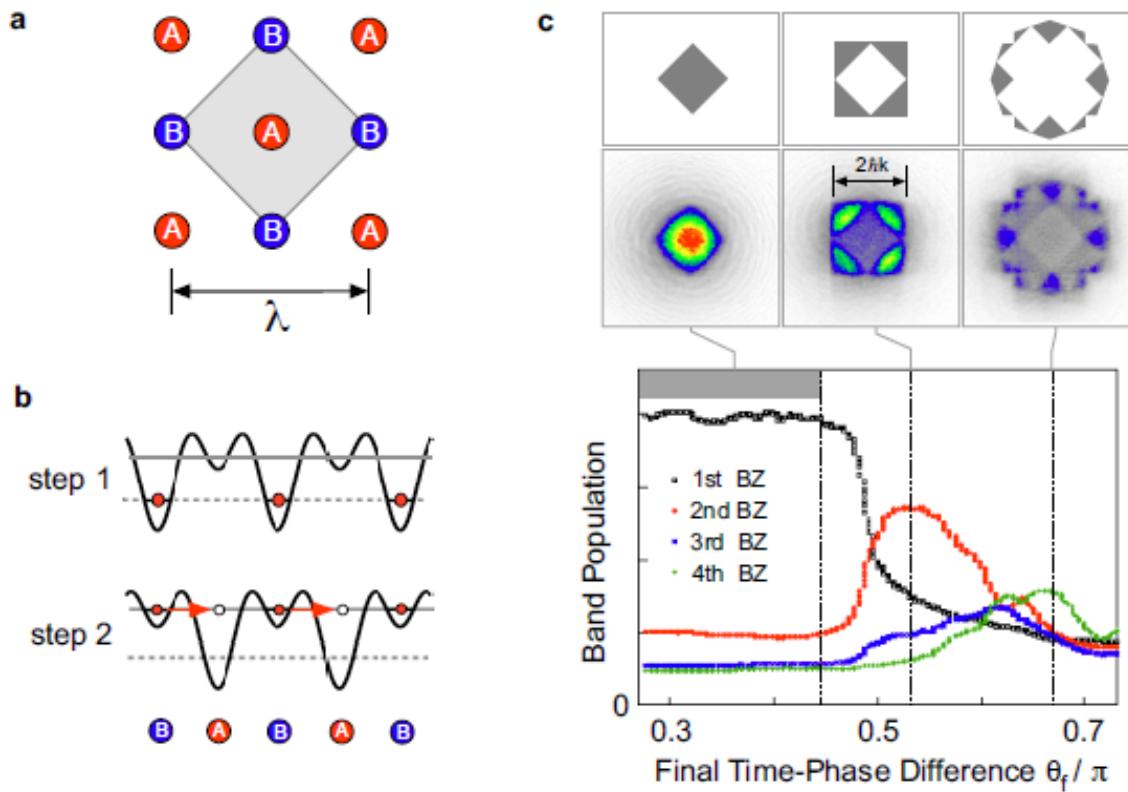
- By moving lattices [A. Browaeys, W. D. Phillips, et al, PRA 72, 053605 (2005)]
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Double well



Boson: p- and f-band experiments – double well lattices



Hamburg/
A. Hemmerich group

Remark:

First observation of p-band BEC with C4 symmetry and hence orbital degeneracy

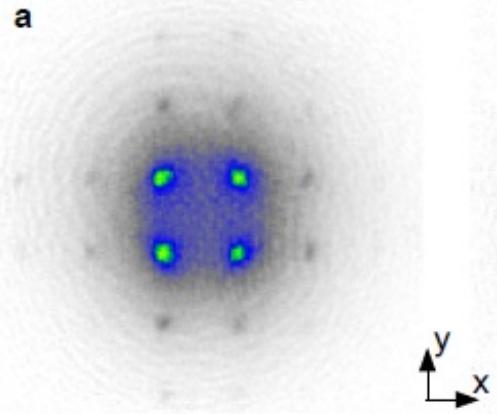
- “P-band superfluidity+orbital order in chequerboard (double well) lattice”, long life time [G. Wirth, M. Olschlager, A. Hemmerich, *Nature Physics* 2011]
- “F-band” [M. Olschlager, G. Wirth, A. Hemmerich, PRL 2011]
- Avoided band-crossing & Landau-Zener [Olschlager, Hemmerich, et al, PRL (2012)]
- Interacting chiral p+ip order [Hemmerich group, new preprint (private/unpublished)]

Experimental observation: complex p-orbital superfluids

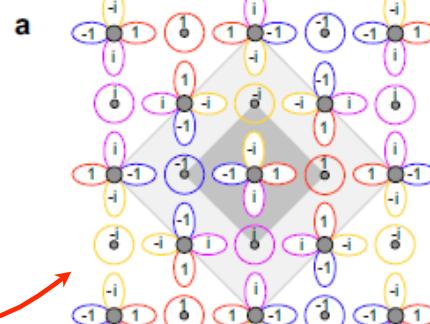
G. Wirth, M. Olschlager, A. Hemmerich,
Nature Phys. 2011

*Observed
momentum
distribution*

Isotropic lattice

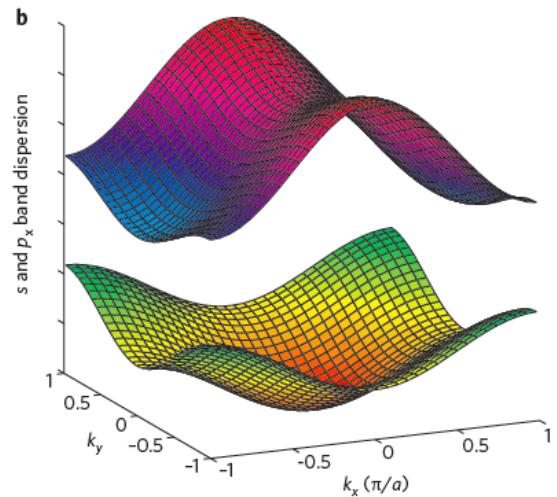
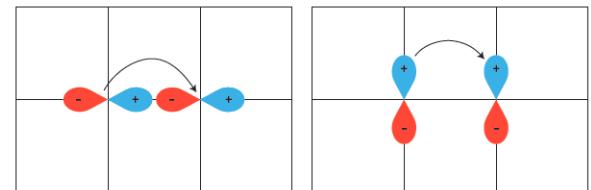


*Interpretation:
Nature of orbital
order*



complex $p_x \pm ip_y$

Theoretical understanding



[M. Lewenstein & WVL,
Nature Phys. 7, 101 (2011)]

Experimental finding consistent with prediction by [WVL, C. Wu, PRA 2006]

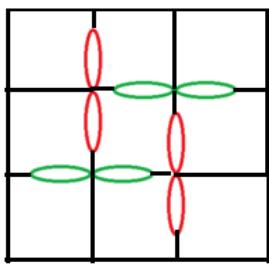
p-orbital band phase diagram

[X. Li, E. Zhao and WVL, PRA 83, 063626 (2011)]

[See also early Mott phase results by A. Isacsson and S. M. Girvin, PRA 72, 053604 (2005); and A. Collin, J. Larson, and J.-P. Martikainen, Phys. Rev. A 81, 023605 (2010)]

-Mott phases at commensurate filling

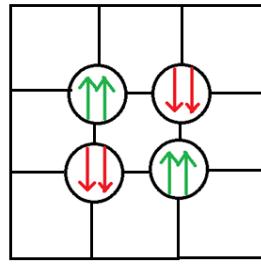
1. filling =1



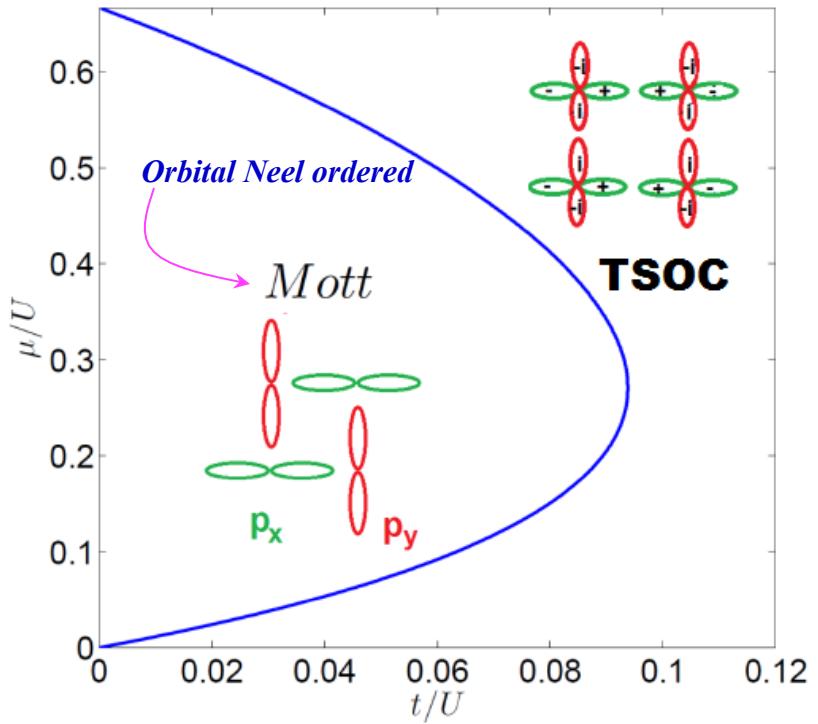
Orbital Neel order

2. filling >1

$$(\uparrow\downarrow) = p_x \pm ip_y$$



-phase boundary of Mott with one particle per site



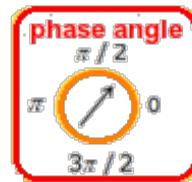
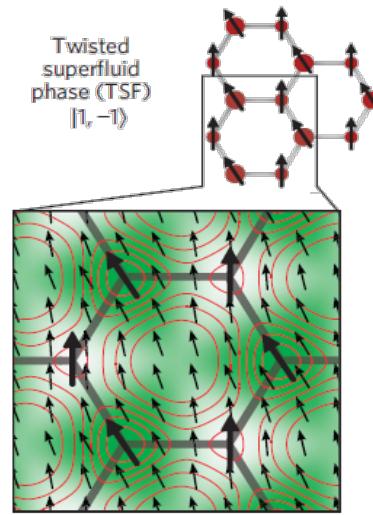
Compare: the usual s-band Mott phase is featureless.

Compare Mott results

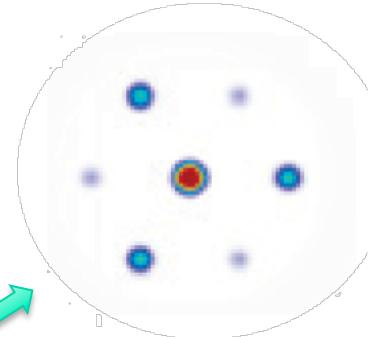
- Our theory agrees with Isacsson-Girvin MFT for filling=1
- Differs Isacsson-Girvin at higher integer fillings [who predicted p_x - p_y alternating]
- Collin-Larson-Martikainen disagrees with both Isacsson-Girvin and us

Observation II: complex multi-orbital superfluidity

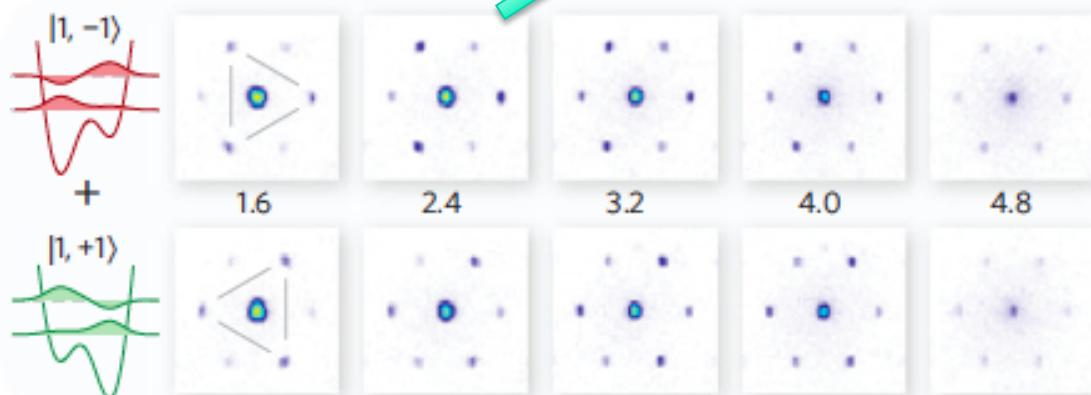
[P. Soltan-Panahi, Lühmann, Struck, Windpassinger, K. Sengstock, Nat. Phys. 8, 71 (2012)]



$$\sqrt{n_s} |s\rangle + e^{i\theta} \sqrt{n_p} |p\rangle$$



Phase twisting superfluid

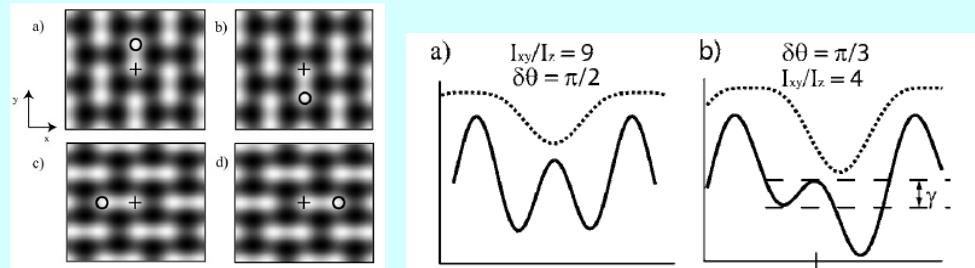


Lattice depth $V_0 (E_{rec})$

Other early and recent experiments of double-well superlattices

NIST group (Porto/Phillips)

[J. Sebby-Strabley, et al, PRA (2006); M. Anderlini, et al, J. Phys. B (2006); Nature (2007); ...]

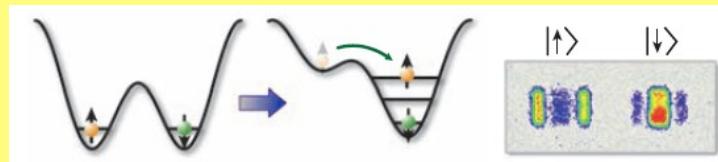


Does not preserve original geometric symmetry

Bloch group

[S. Trotzky et al, Science (2008); P. Cheinet et al, Phys. Rev. Lett. 101, 090404 (2008); Y. Chen et al, arXiv: 1003.4956 (2010); ...]

Time-Resolved Observation and Control of Superexchange Interactions with Ultracold Atoms in Optical Lattices
S. Trotzky, et al.
Science 319, 295 (2008);



Stamper-Kurn group

[G. Jo et al, Phys. Rev. Lett. 108, 045305 (2012)]

Superlattice (red and blue lattices overlapped)
→ Kagome

Part 3 and 4:

- **Quantum 120° orbital only model in optical lattices**
 - **Topological insulator (Z invariant) of sp-orbital ladder**
-

Work done (in collaboration) with:

Sankar Das Sarma, Andreas Hemmerich, Xiaopeng Li, Kai Sun, Erhai Zhao.

References:

- **Background and perspective (news & views):**
[**Nature Physics** 7, 101 \(2011\)](#) [with M. Lewenstein]
- [**PRL** 100, 160403 \(2008\)](#)
- [**Nature Physics** 8, 67 \(2012\)](#)
- [**arXiv:1205.0254**](#)

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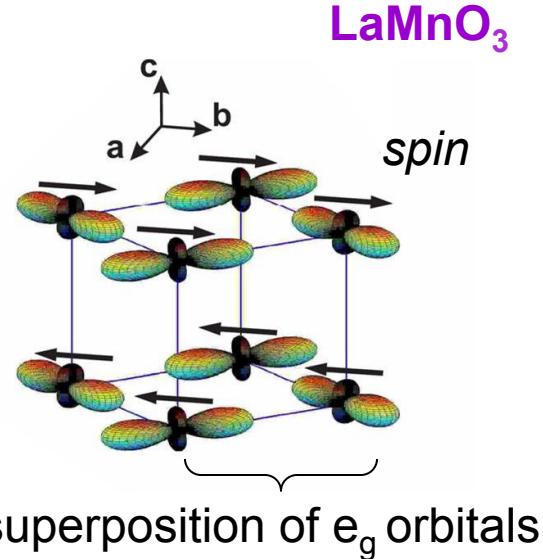
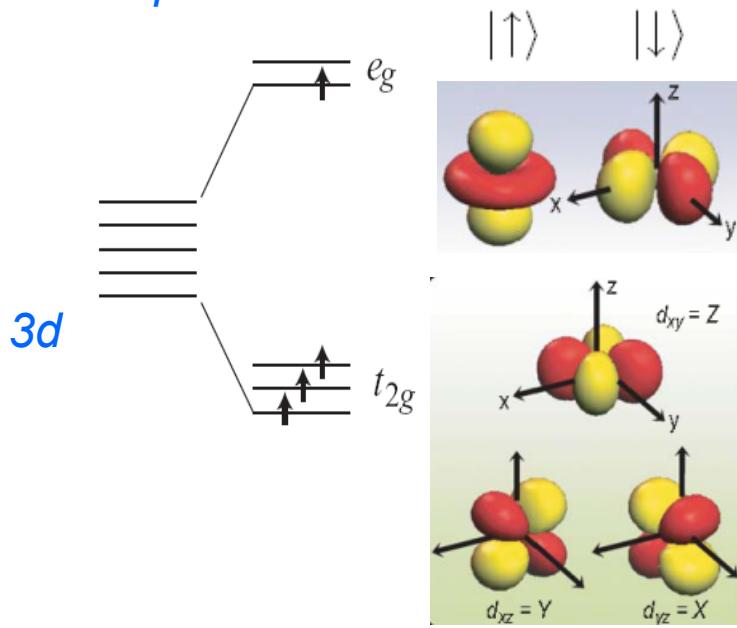
Orbital ordering of d-electrons

[see, for example, review by Tokura and Nagaosa, science 288, 462, (2000).]

orbital: shape of the electron cloud

Orbital degeneracy in transition metal oxides:

pseudospin 1/2:



Kugel-Khomskii superexchange

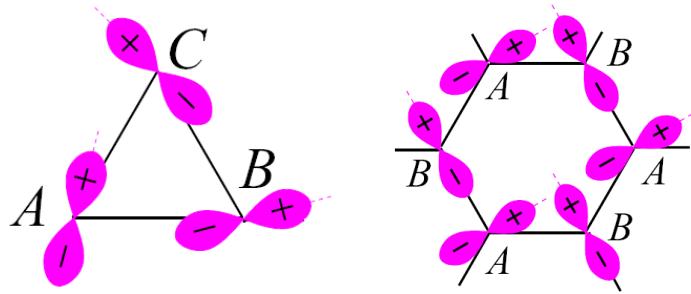
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- Charge, spin, orbital, and lattice degree of freedom entangled together
- Complex phase diagrams

Orbital quantum 120° model

[E. Zhao and WVL, PRL (2008); See also independent work by C. Wu, PRL 2008.]

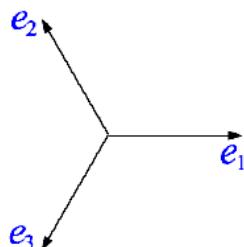
Pseudo-spin operators on frustrated lattices (triangular, honeycomb, Kagome, ...)



The orbital exchange Hamiltonian is:

$$T_\mu = \frac{1}{2} \begin{pmatrix} c_x^\dagger, c_y^\dagger \end{pmatrix} \sigma_\mu \begin{pmatrix} c_x \\ c_y \end{pmatrix}$$

$\mu, \nu = x, y, z$



$$H_{120} = J_z \sum_{\mathbf{R}, j} T_j(\mathbf{R}) T_j(\mathbf{R} + \hat{e}_j)$$

lattice sites

$j = 1, 2, 3$

where

$$T_1 = T_z, T_2 = -\frac{1}{2}T_z - \frac{\sqrt{3}}{2}T_x$$

$$T_3 = -\frac{1}{2}T_z + \frac{\sqrt{3}}{2}T_x$$

This quantum 120° model is closely related to the **compass model** and **Kitaev model**.

Quantum 120° model of electron e_g orbitals: van den Brink, New J. Phys. 6, 201 (2004).

Outline

1. Introduction

- Optical lattices. What is the p-orbital band? Why?

2. Experimental Progress

3. Quantum 120° model of “spinless” p-band fermions

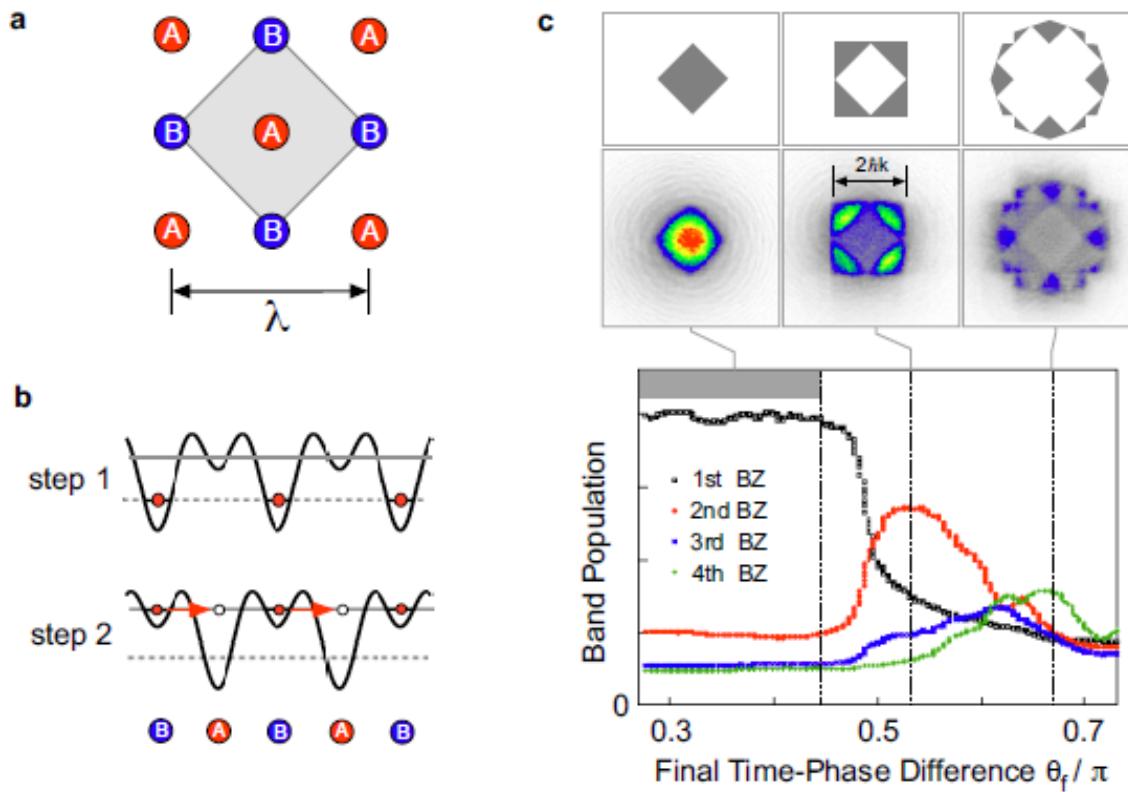
- Strong anisotropy of p orbits – new feature → direction-dependent orbit exchange

4. Z-class topological Insulator in optical orbital ladders

- Ladder reduced from Hamburg experimental system
- Due to hybridization of opposite parity s and p orbitals
- Transition to non-topological Mott insulator by interaction

5. Conclusion

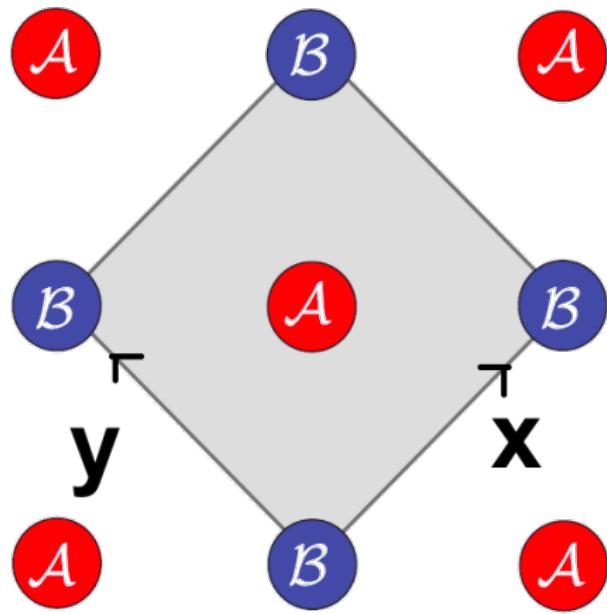
Review: p- and f-band experiments – double well lattices



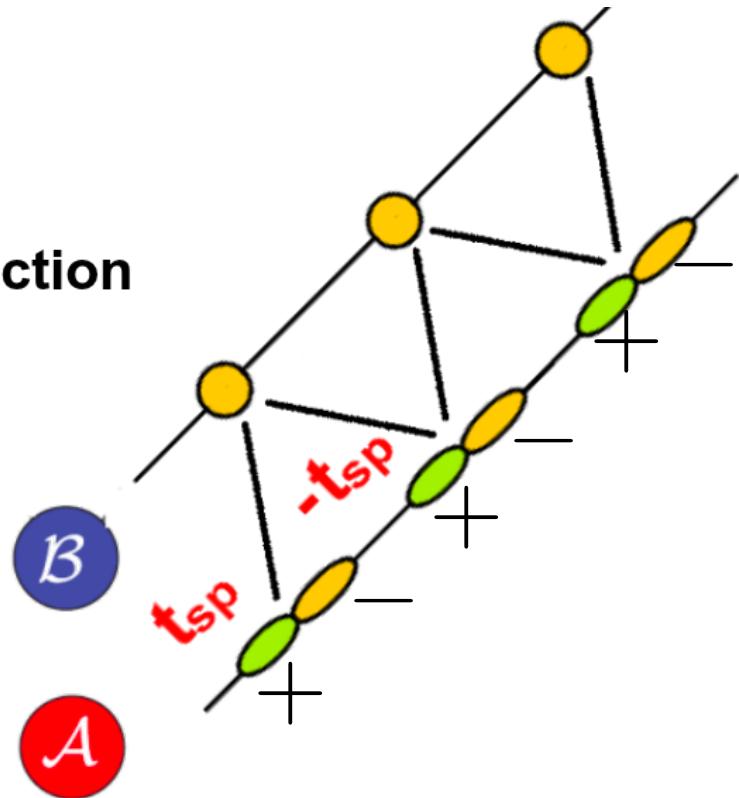
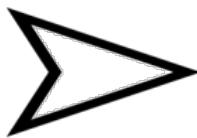
Hamburg/
A. Hemmerich group

- “P-band superfluidity+orbital order in chequerboard (double well) lattice”, long life time [G. Wirth, M. Olschlager, A. Hemmerich, *Nature Physics* 2011]
- “F-band” [M. Olschlager, G. Wirth, A. Hemmerich, PRL 2011]

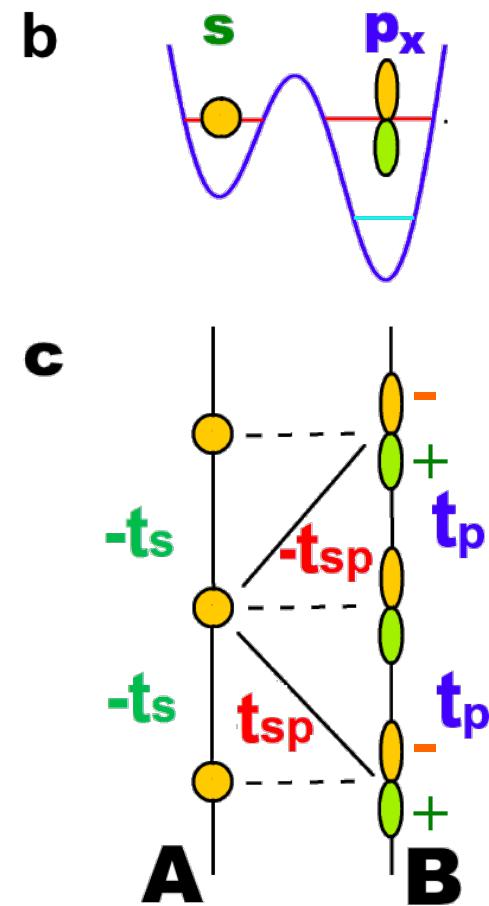
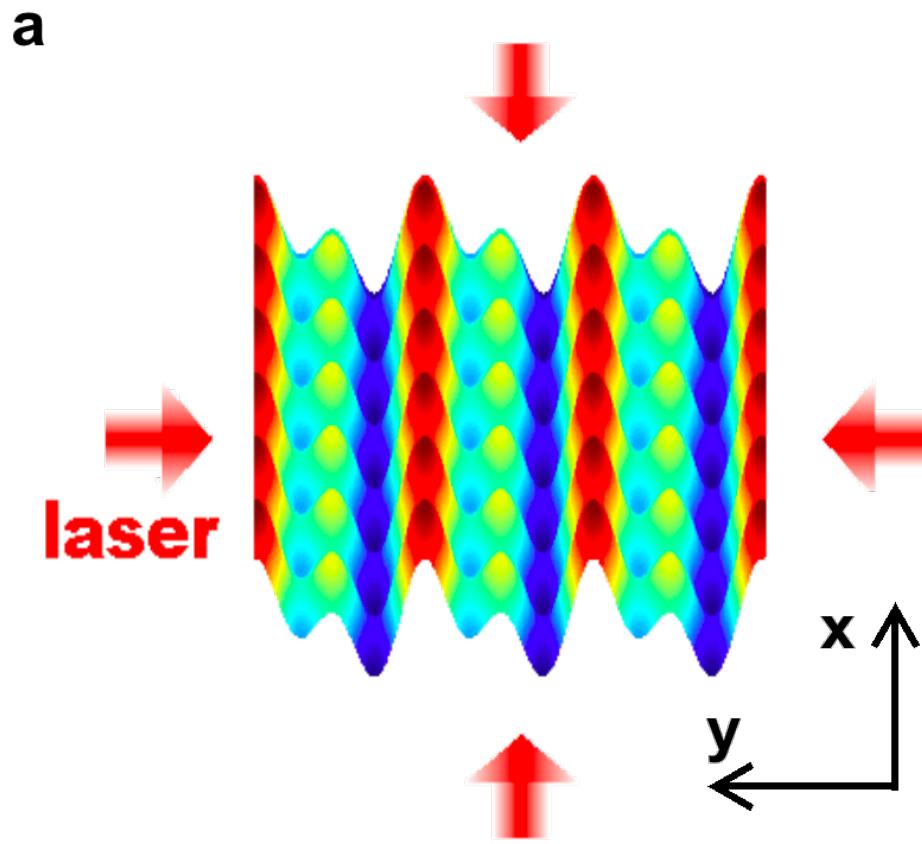
Hamburg 2D double-well lattice \rightarrow sp-orbital Ladder



dimension reduction

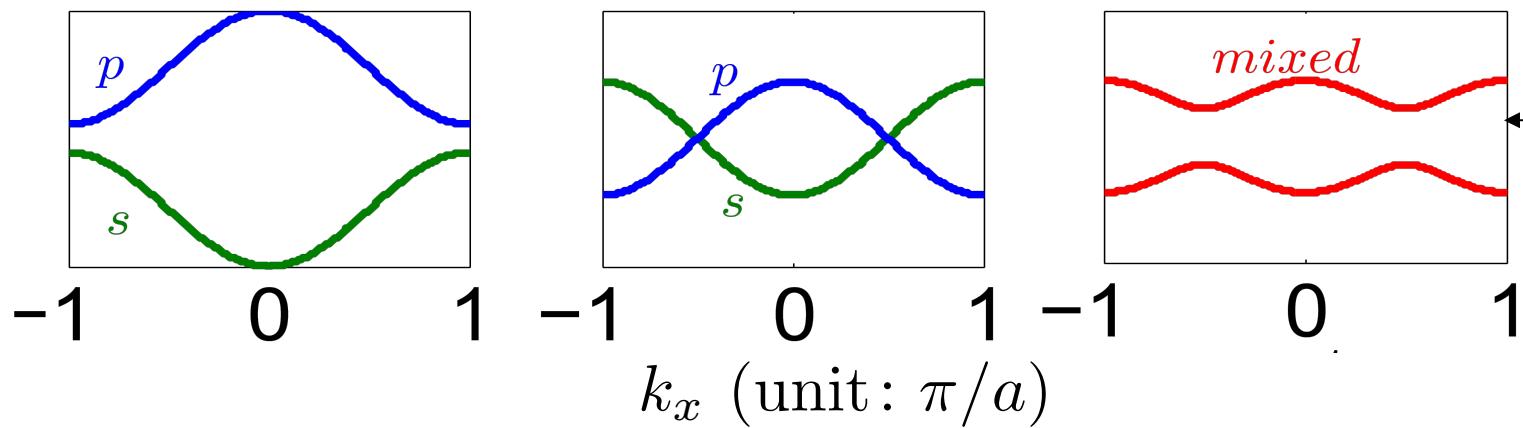


Topological orbital ladders



sp-mixing and topological nature

-*sp-mixing*

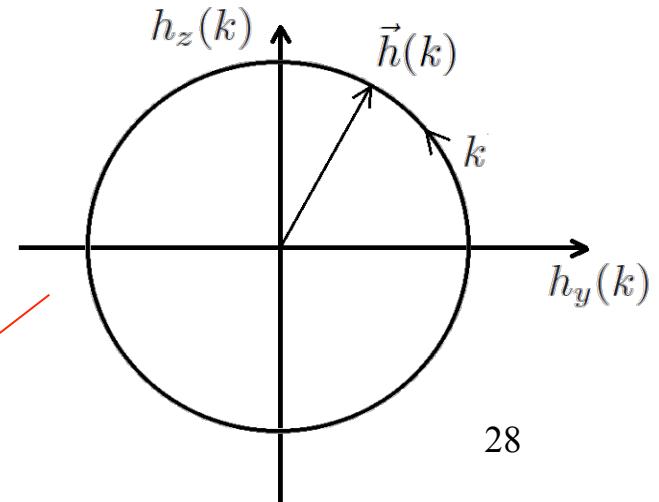


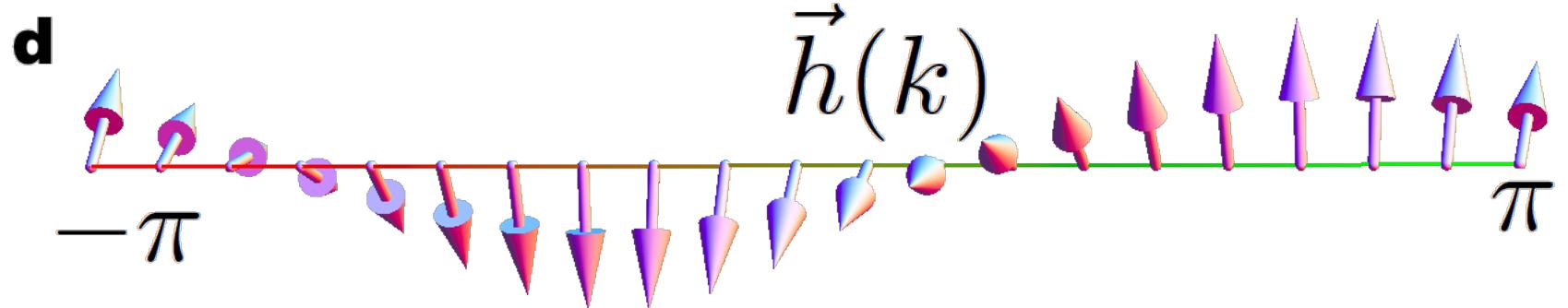
-Hamiltonian in momentum space

$$\mathcal{H}(k) = \begin{bmatrix} -2t_s \cos k & -2it_{sp} \sin k \\ 2it_{sp} \sin k & 2t_p \cos k \end{bmatrix}$$

$$\mathcal{H}(k) = h_0(k)\mathbb{I} + \vec{h}(k) \cdot \vec{\sigma}$$

Berry phase is π .





Momentum k \longrightarrow
(lattice constant $a = 1$)

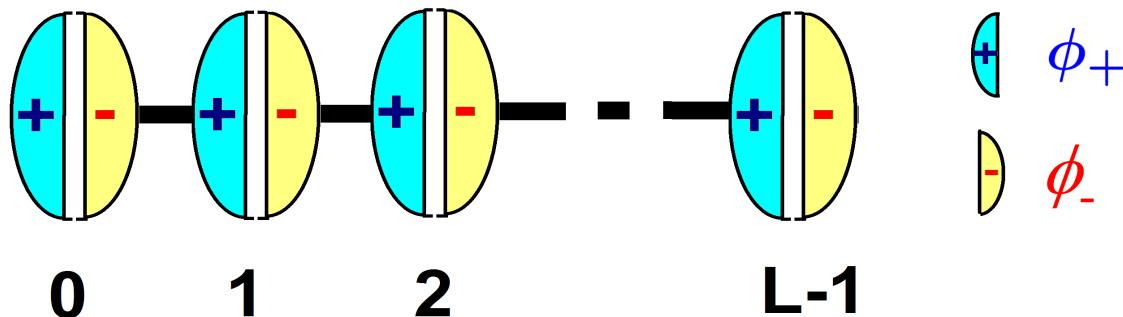
Edge states

-flat band limit (easy to show)

$$t_s = t_p = t_{sp} = t \quad E(k) = \pm 2t$$

$$H_0 \rightarrow 2t \sum_j \phi_-^\dagger(j) \phi_+(j+1) + h.c. \quad \phi_\pm = [a_p \pm a_s] / \sqrt{2}$$

Edge states are completely localized



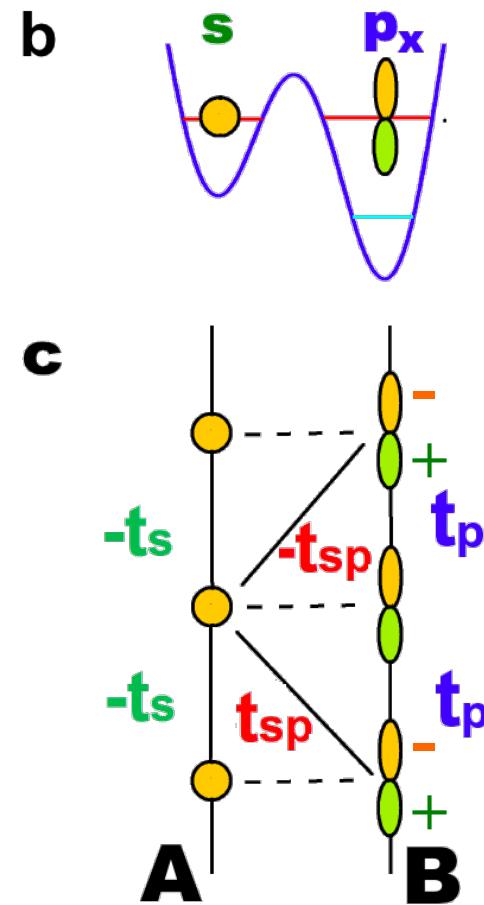
-general case

$$H_0 = \sum_j C_j^\dagger \left[\frac{t_p - t_s}{2} \mathbb{I} - \frac{t_p + t_s}{2} \sigma_z - i t_{sp} \sigma_y \right] C_{j+1} + h.c. \quad C_j = \begin{bmatrix} a_s(j) \\ a_p(j) \end{bmatrix}$$

Edge states decay with a width $\xi = 2/\log(|(\sqrt{t_s t_p} + t_{sp})/(\sqrt{t_s t_p} - t_{sp})|)$ 30

Summary: topological insulator from odd parity

1. Topological insulator (index group Z class) at half filling.
2. Compare with spin-orbit coupling generated by artificial gauge field in cold atoms/Pioneer experiments:
 - A. Bosons: NIST (I. Spielman et al), USTC (S.Chen, J. Pan et al) ...
 - B. Fermions: Shanxi U (J. Zhang et al), MIT (M. Zwierlein et al)
3. This model: No spin, but orbit. Resembles spin-orbit coupling if (s, p) space viewed pseudo-spin-1/2.
4. New result: topological phase not requiring any of previously known mechanisms: rotation, gauge field, p-wave pairing,...



Emergent Effective Spin-orbit coupling

-Hamiltonian in momentum space

$$\mathcal{H}(k) = \begin{bmatrix} -2t_s \cos k & -2it_{sp} \sin k \\ 2it_{sp} \sin k & 2t_p \cos k \end{bmatrix}$$

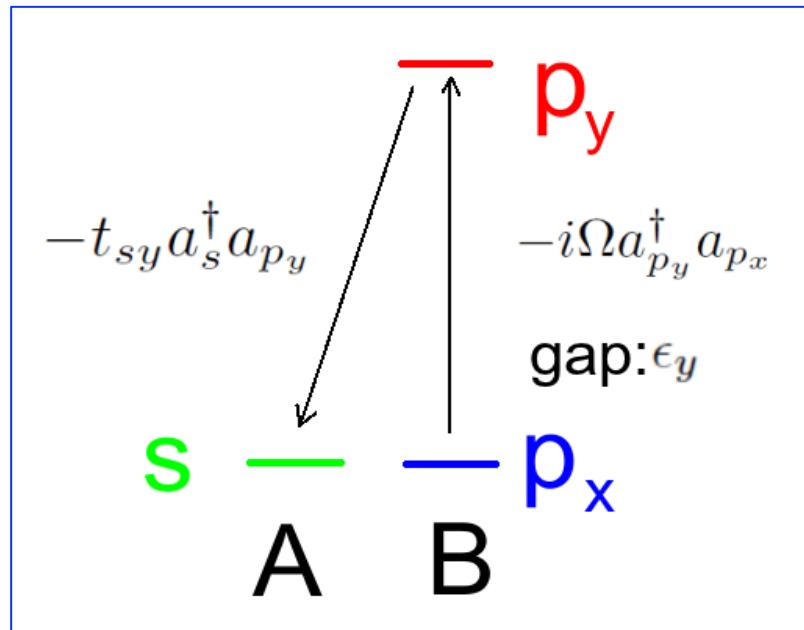
$\sim \sigma_y \sin k_x \approx \sigma_y k_x$


$$\mathcal{H}(k) = h_0(k)\mathbb{I} + \vec{h}(k) \cdot \vec{\sigma}$$

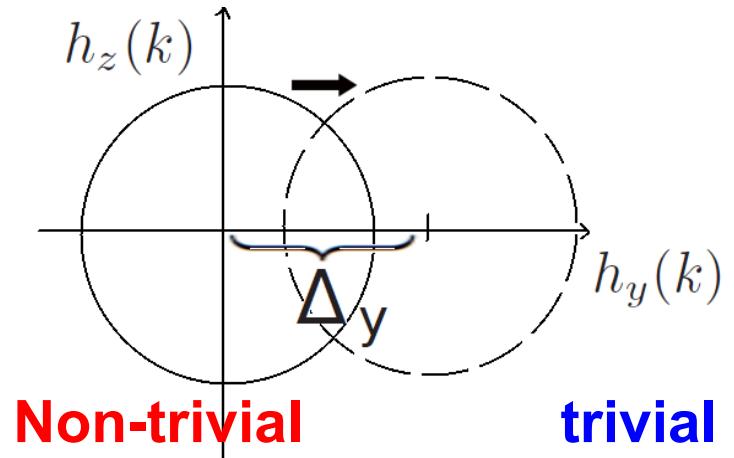
Topological phase transition – driven by rotation

-rotating individual lattice sites

$$\delta H = \frac{\Omega^2}{\epsilon_y} C_j^\dagger \sigma_y C_j \quad \Delta_y = \frac{\Omega^2}{\epsilon_y}$$



-phase transition

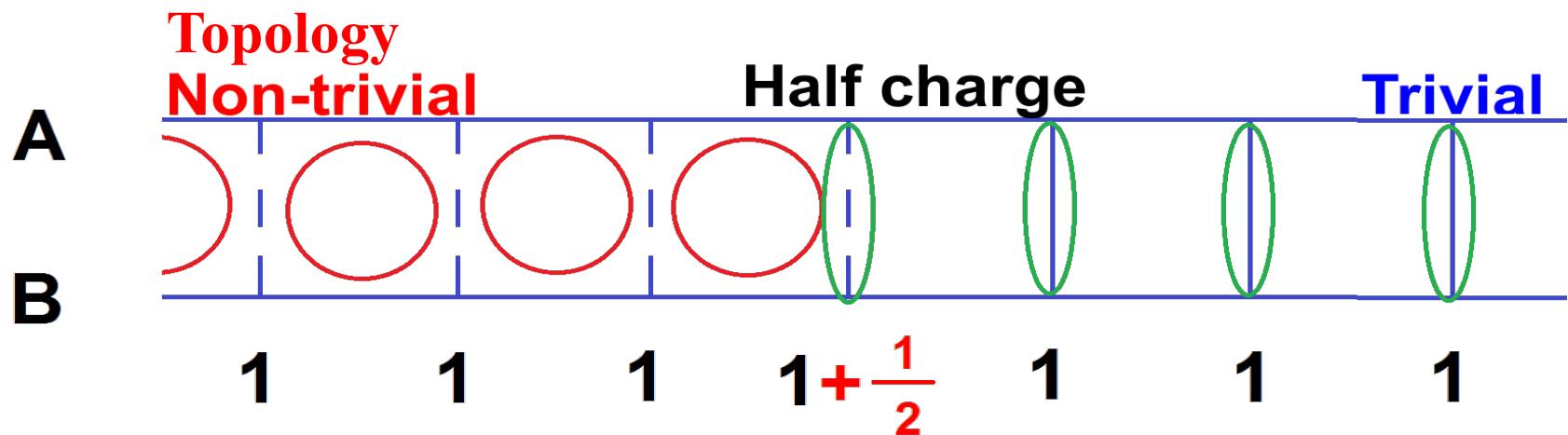


$$\Delta_y^c = 2t_{sp}$$

Domain Wall Fractional Charge

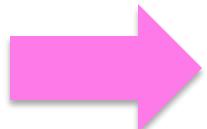
$$H_\eta = H + \frac{\Delta_y}{2} \sum_j [1 - \cos \eta(j)] C_j^\dagger \sigma_y C_j$$

$$\eta(j = -\infty) = 0 \quad \eta(j = +\infty) = \pi$$



Firm Computation of Fractional Charge: Background (auxiliary) gauge field method

- Introduce background gauge field: (A_τ, A_x) , $\tau = it$



$$\begin{aligned} D_\tau &= \partial_\tau + iA_\tau(j, \tau), \\ T_{j,j+1} &= e^{iA_x(j+1/2, \tau)} T_{j,j+1}, \\ T_{j,j+1} &= \begin{bmatrix} -t_s & -t_{sp} \\ t_{sp} & t_p \end{bmatrix}, \\ T_{j,j+1} &= T_{j+1,j}^\dagger. \end{aligned}$$

Berry phase

- Effective action $\tilde{S}_{\text{eff}}[A_\mu] = \int dx dt (A_x \partial_t \eta - A_t \partial_x \eta) \frac{1}{2\pi} \partial_\eta \gamma(\eta)$

- Charge

$$Q = \int \frac{\delta \tilde{S}_{\text{eff}}}{\delta A_t} = -\frac{1}{2\pi} \int dx \partial_x \eta \partial_\eta \gamma(\eta) = - \int \frac{d\eta}{2\pi} \partial_\eta \gamma(\eta)$$

Find: $Q = \frac{1}{2} \bmod 1$

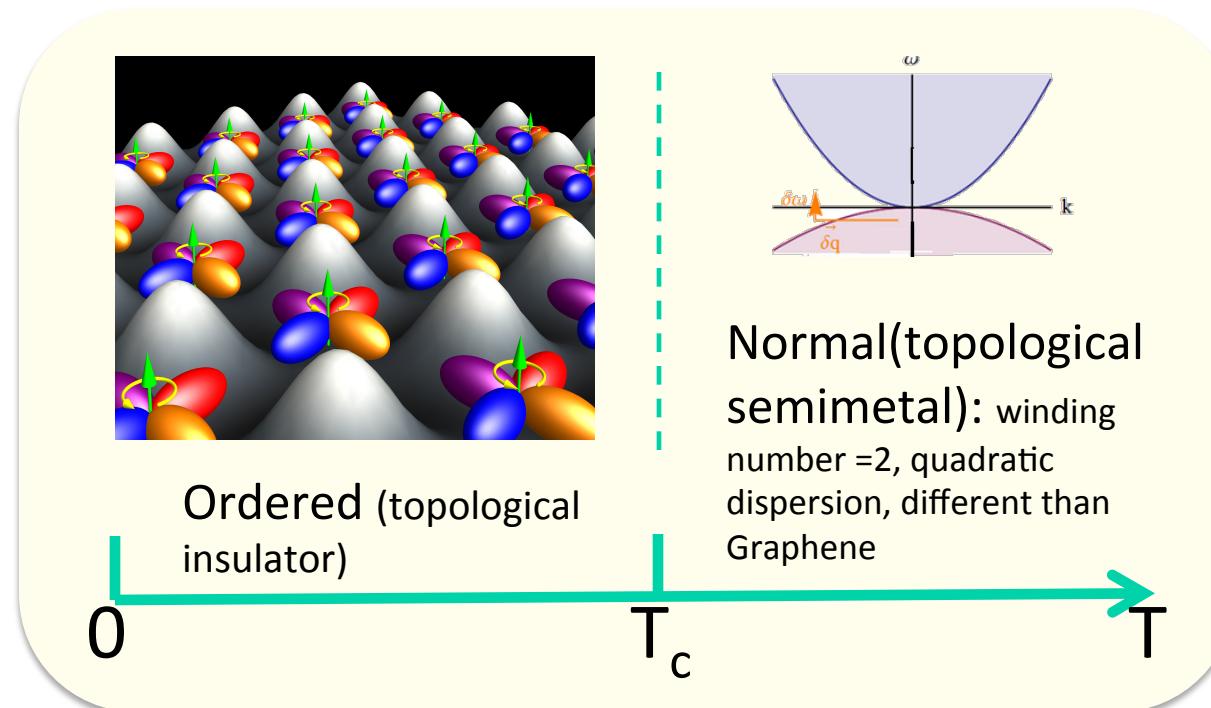
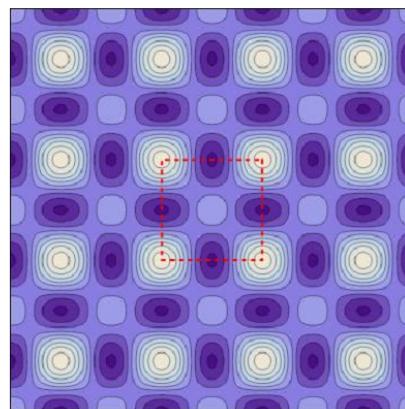
Effects of interaction, beyond half filling, etc.

- Topological to (non-topological) Ferro-orbital Mott insulator transition, driven by interaction [[X. Li, E. Zhao, WVL, arXiv: 1205.0254](#)]
- Away from half filling, find interesting phases: orbital density wave, pair density wave, and especially quasi-1D superconductivity with repulsive interaction, ..., by RG/ Bosonization [[X. Li, WVL, arXiv: 1210.1859](#)]

Topological semimetal in a fermionic optical lattice

Kai Sun¹, W. Vincent Liu^{2,3,4*}, Andreas Hemmerich⁵ and S. Das Sarma¹

System: **p-orbital** fermions
on Double-well lattice



Ordered
phase

- Breaks Time-reversal symmetry
- Topological Insulator
- New mechanism – interaction driven -- Differs from the previously known's: artificial gauge field, rotation, spin-orbit coupling, ...

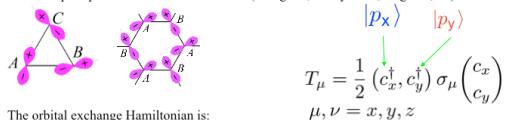
Conclusion---Optical Lattice Orbital Physics

Frustrated orbital 120° model

Orbital quantum 120° model

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Pseudo-spin operators on frustrated lattices (triangular, honeycomb, Kagome, ...)



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Topological semimetal

Brief note on a Related Theoretical Result:

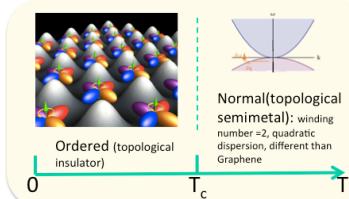
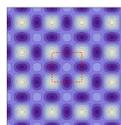
nature physics

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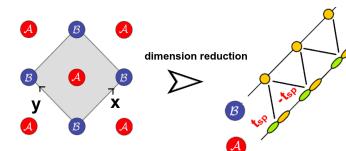
System: fermions on Double-well lattice



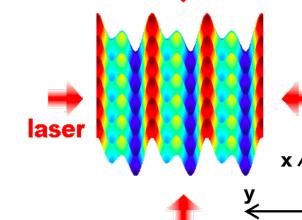
Ordered phase

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Topological orbital ladder



a



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OPTICAL LATTICES

Orbital dance

Emulating condensed-matter physics with ground-state atoms trapped in optical lattices has come a long way. But excite the atoms into higher orbital states, and a whole new world of exotic states appears.

Maciej Lewenstein and W. Vincent Liu

[Nature Physics 7, 101 (Feb 2011)]