

# Large $N_c$ gauge theories:

## old & new

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Old:

$N_c \rightarrow \infty$  limit = classical limit

Construct  $N_c = \infty$  dynamics via  
gauge invariant coherent states

$$|u\rangle = \hat{U} |0\rangle = e^{\hat{\Lambda}} |0\rangle$$

pure YM:

$\hat{\Lambda}$  = arbitrary (anti-Hermitian) sum  
of spatial Wilson loops + loops  
with one electric field insertion

$$\{e^{\hat{\Lambda}}\} = \text{"coherence group"} \mathcal{G}$$

show:  $\mathcal{G}$  acts irreducibly

$$\langle u | \hat{\mathcal{G}} | u \rangle = 0 \quad \forall |u\rangle \Rightarrow \hat{\mathcal{G}} = 0$$

$$\langle u | u' \rangle = e^{-O(N^2)}$$

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⇒ classical limit:

phase space  $\sim \{|u\rangle\}$  = coadjoint orbit of  $\mathcal{G}$

action  $S_{cl} = \lim_{N \rightarrow \infty} \frac{1}{N^2} \langle u(t) | i \partial_t - \hat{H} | u(t) \rangle$

classical observables

$$\lim_{N \rightarrow \infty} \langle u | \hat{A} | u \rangle = a(\beta)$$

$$\lim_{N \rightarrow \infty} \langle u | \hat{A} \hat{B} | u \rangle = a(\beta) b(\beta)$$

$$\lim_{N \rightarrow \infty} i N^2 \langle u | [\hat{A}, \hat{B}] | u \rangle = \{a(\beta), b(\beta)\}_{PB}$$

classical  $N = \infty$  dynamics determines:

$$\lim_{N \rightarrow \infty} \left\{ \begin{array}{l} \langle \text{Wilson loops} \rangle \\ \text{glueball, meson masses} \\ N \cdot \text{two body decay amplitudes} \\ N^{p+q-2} \cdot p \leftrightarrow q \text{ scattering amplitudes} \\ N^{2(k-1)} \cdot \text{connected } k\text{-pt. correlators} \\ \vdots \end{array} \right.$$

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Use classical dynamics to:

1) Solve  $N = \infty$  theory

hard!  $\Rightarrow$  minimize  $h_{cl}(\beta) = \lim_{N \rightarrow \infty} \frac{1}{N^2} \langle u | \hat{H} | u \rangle$   
 expand  $S_{cl}$  about minimum

2) Compare  $N \rightarrow \infty$  limits of different theories

Coinciding coherence group structure  
 plus appropriate mapping of observables

⇒ coinciding  $N = \infty$  dynamics

⇒ exact  $N = \infty$  duality —  
 w/o solving either theory

(Better method than comparing loop equations)

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Newer: Orbifold projection

"Parent" theory

symmetry group  $\supset$  discrete subgroup P



Remove degrees of freedom  
not invariant under P

"Daughter" theory

Kachru, Silverstein, Lawrence, Nekrasov, Vafa,  
Berkhadsky, Kakushadze, Schmalzer, Armoni, Kol,  
Strassler, Gaiotto, Shifman, Tong, Klebanov, Erlich,  
Nappi, Dijkgraaf, Neitzke, Veneziano, ...

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Ex: Parent =  $U(2N)_{n=1}$  supersymmetric YM  
 $U(2N)$  gauge field + massless adjoint fermion  
 choose  $P = Z_2 =$  global gauge trans  $\begin{bmatrix} 1 & & \\ & \ddots & \\ & & -1 \end{bmatrix}$

$\times (-1)^F$

adjoint rep.  $A_\mu \rightsquigarrow \begin{bmatrix} \text{diag} & 0 \\ 0 & \text{diag} \end{bmatrix}$

2 indep.  $U(N)$  gauge fields  $A^1_\mu, A^2_\mu$

adjoint rep.  $\lambda \rightsquigarrow \begin{bmatrix} 0 & \text{diag} \\ \text{diag} & 0 \end{bmatrix}$

2 bifundamental Weyl fermions  $\lambda^1, \lambda^2$

$\therefore$  Daughter =  $U(N) \times U(N)$  gauge theory w.  
 2 bifundamental fermions —  
 not supersymmetric

Generalizations: Multiple adjoint fermions  
 or scalars

$Z_{k_1} \times Z_{k_2} \times \dots \times Z_{k_d}$  projections  
 w.  $U(k_1 k_2 \dots k_d N) \rightsquigarrow U(N)^{k_1 \dots k_d}$

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Perturbation theory: Bershadsky + Johansen  
 planar diagrams of parent + daughter  
 coincide provided  $\lambda_{\text{parent}} = g_p^2 (2N)$   
 "  $\lambda_{\text{daughter}} = g_d^2 N$

"Non-perturbative orbifold conjecture": Strassler  
 Corresponding correlators coincide,  
 exactly, as  $N \rightarrow \infty$

If true:

Relates parent + daughter particle spectra,  
 scattering amplitudes, thermodynamics, ...  
 when  $N \rightarrow \infty$

Powerful tool for gaining non-perturbative  
 information in non-SUSY theories

Ex: bose-fermi mass degeneracies in  
 large N limit of non-supersymmetric  
 daughter w. SUSY parent.

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Previous consistency tests: mixed

daughters	equivalent?	
CFT	✓	Kachru + Silverstein
	✓	Lawrence, Nekrasov, Vafa
SUSY	✓	Erdi + Nagai
<del>SUSY</del>	✓	Dijkgraaf, Nekrasov, Vafa
	✗	Gorsky + Shifman
	✗	Tong
	✗	Argani, Shifman, Veneziano

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New:

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Consider  $Z_{k_1} \times Z_{k_2} \times \dots \times Z_{k_d}$  projections

parent:  $U(mN)$  gauge theory - lattice regularized  
 $\uparrow$   
 $k_1 k_2 \dots k_d$  w.  $N_f$  adjoint fermions,  
 $N_s$  adjoint scalars

Choose embedding of  $P = Z_{k_1} \times \dots \times Z_{k_d}$  in  
 (global gauge group)  $\times$  (flavor symmetry group)

"neutral" sector  $\equiv$  invariant under  $P$

daughter:  $U(N)^m$  gauge theory with adjoint  
 and/or bifundamental matter

global symmetry  $\supset Z_{k_1} \times \dots \times Z_{k_d}$  permutations  
 of gauge group factors

"neutral" sector  $\equiv$  invariant under  
 this  $Z_{k_1} \times \dots \times Z_{k_d}$

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Construct appropriate coherence groups  
 of parent + daughter

Lie algebras =

{ Wilson loops w. scalar and/or fermion insertions  
 + loops w. scalar + one scalar conjugate momentum  
 + loops w. one electric field insertion }

Compare structure of coherence algebras

Compare gauge invariant observables

Find:

1-to-1 mapping between neutral  
 single trace observables

$$\text{Ex: } \frac{1}{mN} \text{tr}_{mN \times mN} U[C] \longrightarrow \frac{1}{m} \sum_j \frac{1}{N} \text{tr}_{N \times N} U^j[C]$$

Isomorphism between neutral  
 subalgebras of coherence algebras

$$\frac{\hat{\lambda}^P}{(mN)^2} \longrightarrow \frac{\lambda^d}{mN^2}$$

⇒ Isomorphism between neutral subspaces of  $N=\infty$  classical phase space

Isomorphism between classical dynamics in neutral sector, provided

$$\frac{\hat{H}^P}{(mN)^2} \rightarrow \frac{\hat{H}^D}{mN^2}$$

∴ Non-perturbative large N equivalence within neutral sector if:

no spontaneous breaking of P symmetry in parent

no spontaneous breaking of  $Z_{k_1} \times \dots \times Z_{k_d}$  symmetry in daughter

Necessary and sufficient conditions for large N equivalence

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Example:  $Z_2$  projection of  $\mathcal{N}=1$   $U(2N)$  SYM on  $\mathbb{R}^4$

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parent:

$$\mathcal{L}_P = \text{tr} \left\{ \frac{1}{2g_P^2} F_{\mu\nu} F_{\mu\nu} + \frac{\Theta_P}{16\pi^2} F_{\mu\nu} \tilde{F}_{\mu\nu} + \frac{1}{g_P^2} \left[ \bar{\lambda} \sigma^\mu D_\mu \lambda + \frac{1}{2} m (\lambda\lambda + \bar{\lambda}\bar{\lambda}) \right] \right\}$$

$Z_{4N}$  chiral symmetry  $\xrightarrow{\langle \lambda\lambda \rangle}$  unbroken  $Z_2$   
 $\lambda \rightarrow -\lambda$

vacuum energy

$$\mathcal{E}_P = \frac{m}{2g_P^2} \left[ \langle \text{tr} \lambda\lambda \rangle e^{-i\Theta_P/2N} + \text{c.c.} \right] + O(m^2)$$

daughter:

$$\mathcal{L}_D = \sum_{j=1}^2 \text{tr} \left\{ \frac{1}{2g_D^2} F_{\mu\nu}^j F_{\mu\nu}^j + \frac{\Theta_D}{16\pi^2} F_{\mu\nu}^j \tilde{F}_{\mu\nu}^j + \frac{1}{g_D^2} \bar{\lambda}^j \sigma^\mu D_\mu \lambda^j \right\} + \frac{m}{g_D^2} \text{tr} (\lambda^1 \lambda^2 + \bar{\lambda}^2 \bar{\lambda}^1)$$

$Z_{2N}$  chiral symmetry  $\xrightarrow{\langle \lambda^1 \lambda^2 \rangle}$  unbroken  $Z_2$

$$\mathcal{E}_D = \frac{m}{g_D^2} \left[ \langle \text{tr} \lambda^1 \lambda^2 \rangle e^{i\Theta_D/N} + \text{c.c.} \right] + O(m^2)$$

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Gorsky + Shifman; Armoni, Shifman + Veneziano :

coinciding vacuum energies  $E_p = E_d$   
 $\Rightarrow$  differing topological susceptibilities  $\chi_p \neq \chi_d$

If  $N_f > 1$ : differing # Goldstone bosons

Correct mapping:

$$\begin{aligned} \text{tr } FF &\rightarrow \text{tr } (F^1 F^1 + F^2 F^2) \\ \text{tr } \lambda \lambda &\rightarrow \text{tr } (\lambda^1 \lambda^2 + \lambda^2 \lambda^1) = 2 \text{tr } \lambda^1 \lambda^2 \\ \mathcal{L}_p &\rightarrow 2 \mathcal{L}_d \end{aligned}$$

$$\begin{aligned} \therefore g_p^2 &= \frac{1}{2} g_d^2 && \text{equality of 't Hooft couplings} \\ \Theta_p &= 2 \Theta_d && \text{compatible } \Theta \text{ dependence} \\ \Rightarrow E_p &= 2 E_d && \text{consistent w. } \mathcal{O}(m) \text{ expressions} \end{aligned}$$

Orbifold equivalence must hold, unless necessary symmetry realization fails

No evidence for any failure

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Same theories, compactified on  $\mathbb{R}^3 \times S^1$

Gorsky + Shifman:

# instanton zero modes does not match  
reliable for small radius

Tong:

$Z_2$  interchange symmetry breaks spontaneously  
for sufficiently small radius

$\therefore$  Orbifold equivalence fails for small radius,  
due to change in symmetry realization

Consistent w. necessary/sufficient conditions

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Other examples

$N_f > 1$ , same  $Z_2$  projection

$[\# \text{ GBs}]_{\text{parent}} \neq [\# \text{ GBs}]_{\text{daughter}} \quad G+S$

$[\# \text{ neutral GBs}]_{\text{parent}} = [\# \text{ neutral GBs}]_{\text{daughter}} \quad \checkmark$

Eguchi-Kawai reduction

parent YM on  $(kL)^d$  lattice  
 $(Z_k)^d$  projection

daughter YM on  $L^d$  lattice

equivalent provided  $L \geq L_c^{(d)}(\lambda)$

Inverse E-K reduction

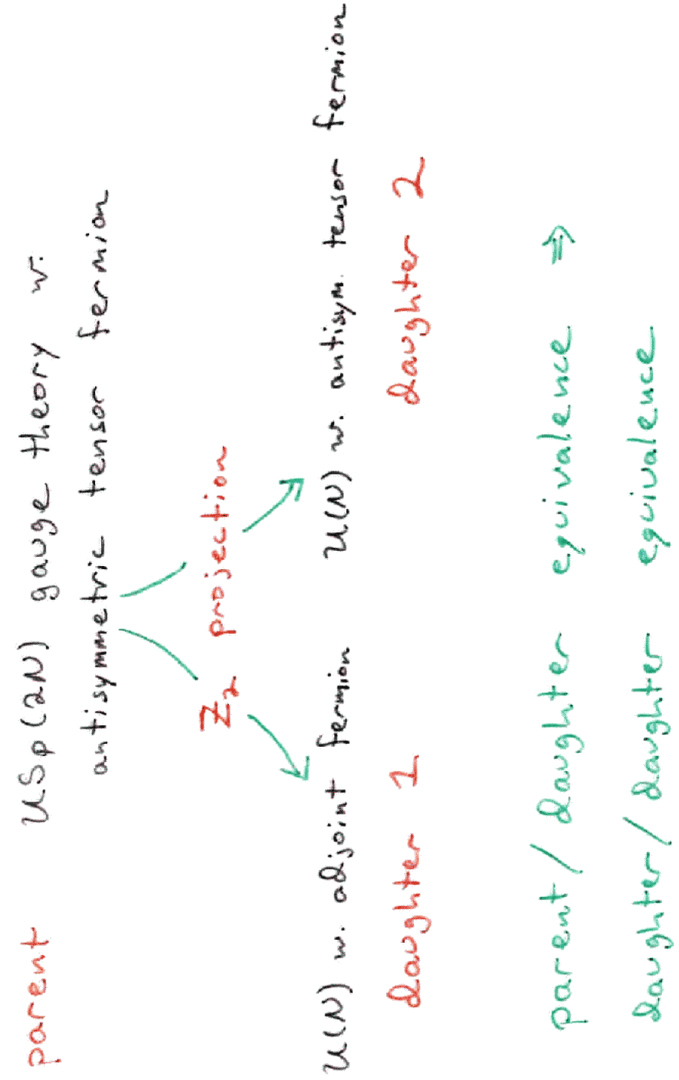
parent YM on  $1^d$  lattice = d matrix model

$(Z_L)^d$  projection

daughter YM on  $L^d$  lattice

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Orientifold equivalence A.S.V.





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Conclusions:

Non-perturbative orbifold equivalence conjecture is valid — given necessary symmetry realizations.

Some dualities can be *derived*, not just conjectured.

Orbifold projections can yield useful relations between large N theories — numerous applications possible.

Open question:

Most general choice of projection yielding large N equivalence?