

# Classical thermodynamics of gravitational collapse

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## Outline

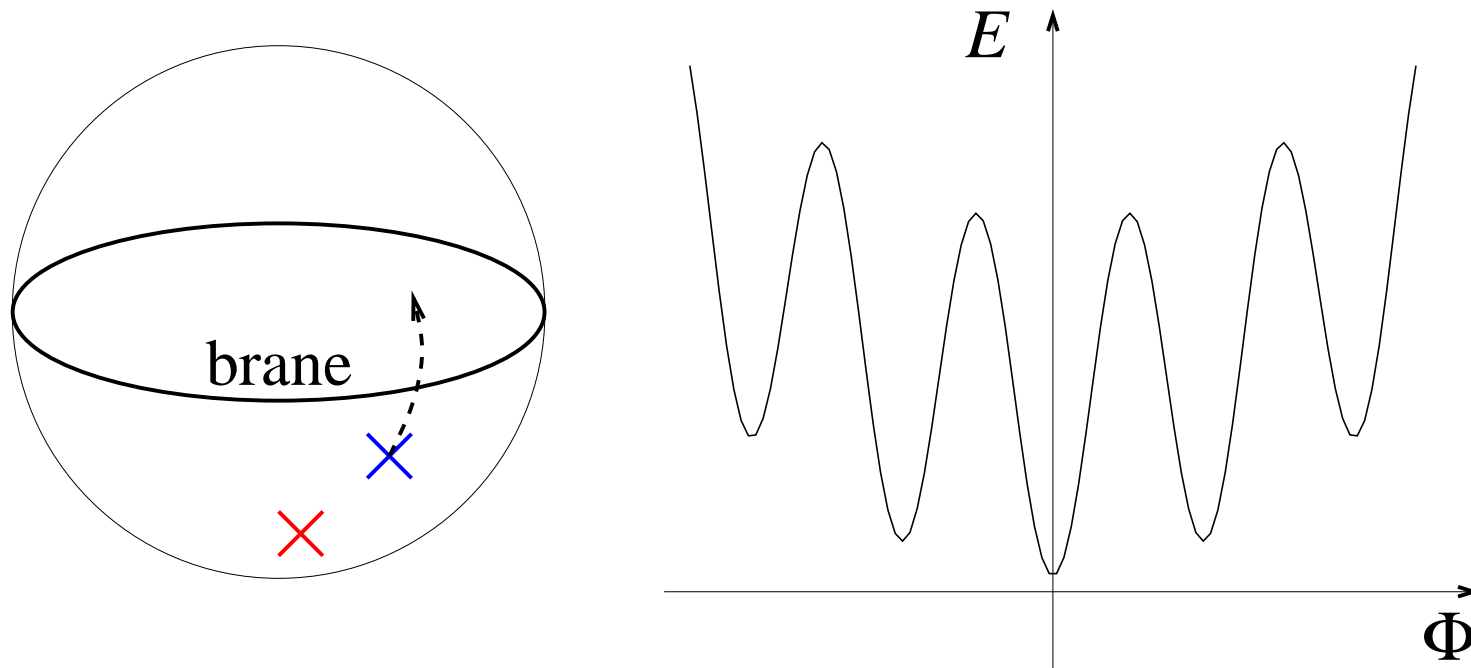
1. Motivation (braneworlds and the strong CP problem).
2. Energy vs. "free energy" in gravitational collapse.
3. A specific example: spherically-symmetric collapse of an instanton "particle" in 5 dimensions.
4. Numerical results.
5. Interpretation.
6. Brief comments on quantum mechanics.
7. Conclusion.

# Motivation

Instantons on the brane = transport of instanton “particles” through the brane. A theta-angle is a steady flow of these “particles”.

For certain topologies (e.g., a sphere), the “particles” cost energy (the space has a **finite inductance**). Then, the effective theta-angle becomes time-dependent and can relax to zero---solution to the strong CP problem (S.K. and M. Shaposhnikov, 2004).

A (1+1)-dimensional Abelian example:

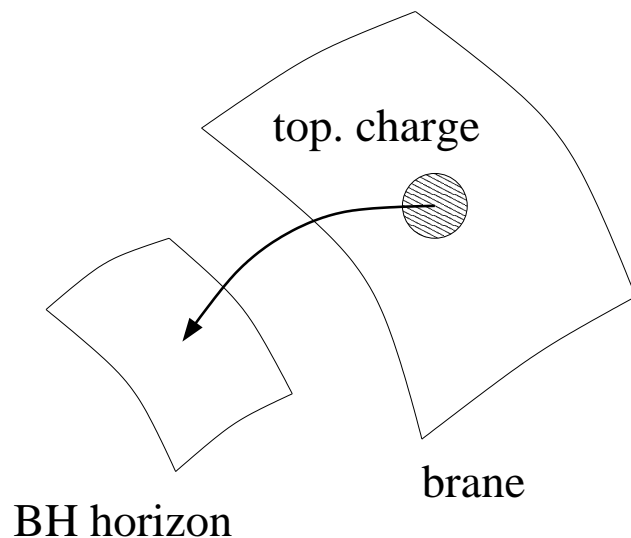


## Motivation (continued)

A time-dependent theta is a “global axion”---a single degree of freedom, not a particle, but is supposed to contribute correctly to the low-energy theorems of QCD (so that the solution to the U(1) problem is intact). It can be seen explicitly that it does in the 2-flavor massive Schwinger model with finite inductance (S.K., 2006).

Existence of such a mode is possible because of a weak violation of Lorentz invariance.

The same mechanism may work simply due to the presence of a bulk black hole.



## “Free energy” of a black hole

Is degeneracy of classical vacua determined by the energy  $E$  (mass) of the black hole or some “free energy”?

A quantum state on the brane evolves with  $\exp(iI)$ , where  $I$  is the action (brane + bulk). Let canonical coordinates on the brane be  $q$ , and those in the bulk  $Q$ , and suppose  $q$  do not change.

The partial derivative with respect to time (of a brane observer) gives the energy:

$$\left. \frac{\partial I}{\partial t} \right|_{Q_f} = -E ,$$

while the total derivative gives the Lagrangian computed on the classical solution. Denote

$$\frac{dI}{dt} = -F .$$

**Questions:** Is  $F$  a "thermodynamic potential"? How does it behave during gravitational collapse?

# Gravitational collapse of an instanton “particle”

This work (Z. Gecse and S.K., 2008):

1. Choice of theory: SU(2) Einstein-Yang-Mills in asymptotically flat 5d spacetime. [Not a realistic braneworld, but the question (about the time-dependence of  $F$ ) can still be asked.]

2. Spherical symmetry, isotropic coordinates:

$$ds^2 = -N^2(t, r)dt^2 + \Psi^2(t, r)(dr^2 + r^2 d\Omega_3^2) ,$$

$$A_\mu^a = \left( 0, \eta_{ij}^a n_j \frac{f(t, r)}{r} \right) ,$$

where spatial indices refer to the Cartesian coordinates built from  $r$  and the angles.

3. Initial conditions: a smooth  $f(0, r)$  with weak gravity, e.g., an instanton

$$f_0(r) = \frac{2r^2}{\lambda_0^2 + r^2}$$

of a large size; zero or nonzero initial velocity.

## Numerical evolution

Evolve the canonical pairs

$$(\Psi, K), (f, p) .$$

Update  $N(t, r)$  from its ODE. Monitor the energy and momentum constraints.

A black hole forms when  $N(t, r)$  as a function of  $r$  crosses zero.

Units (on the plots): energies and the Lagrangian are in units of the mass of a nongravitating (very large) instanton

$$E_{\text{inst}} = \frac{8\pi^2}{g_{\text{YM}}^2} ,$$

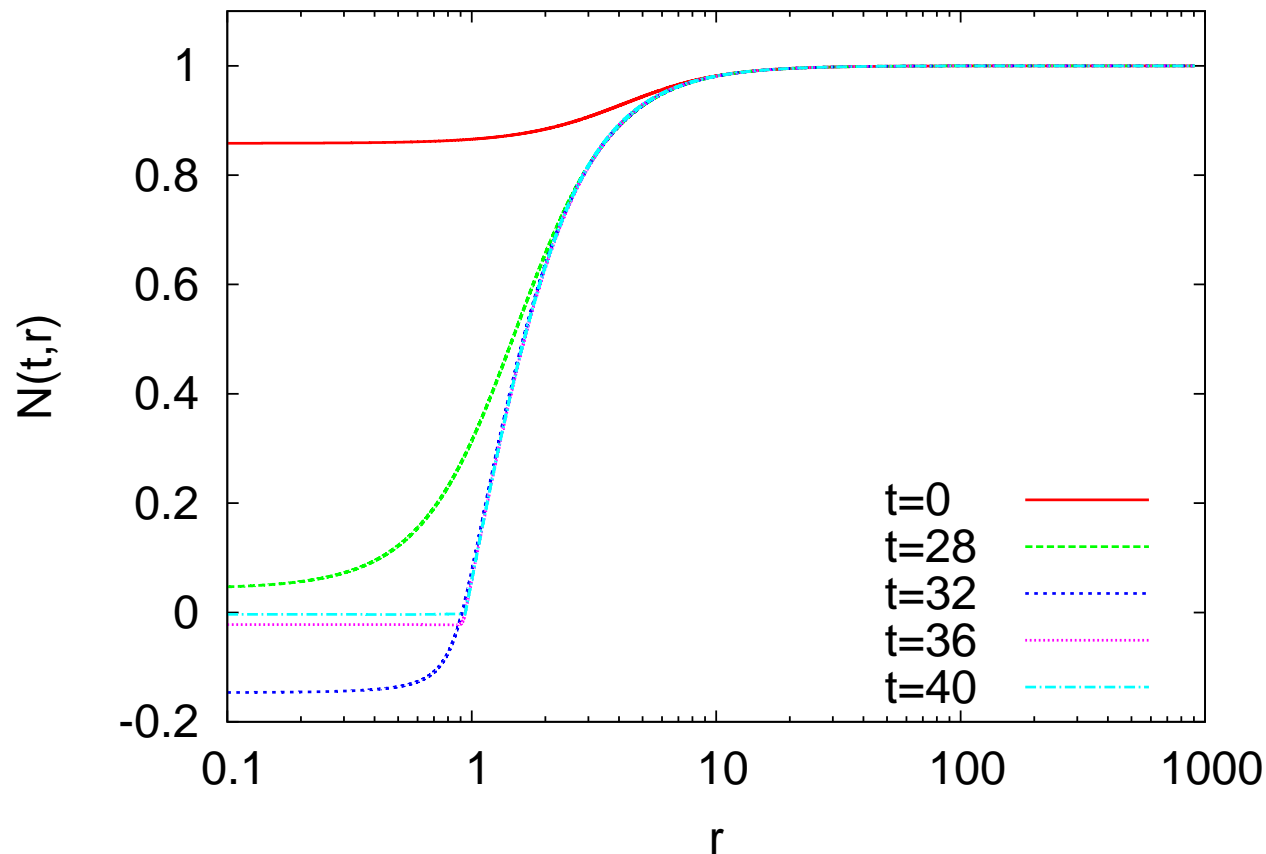
distances and time are in units of the gravitational radius corresponding to this mass.

## Numerical results

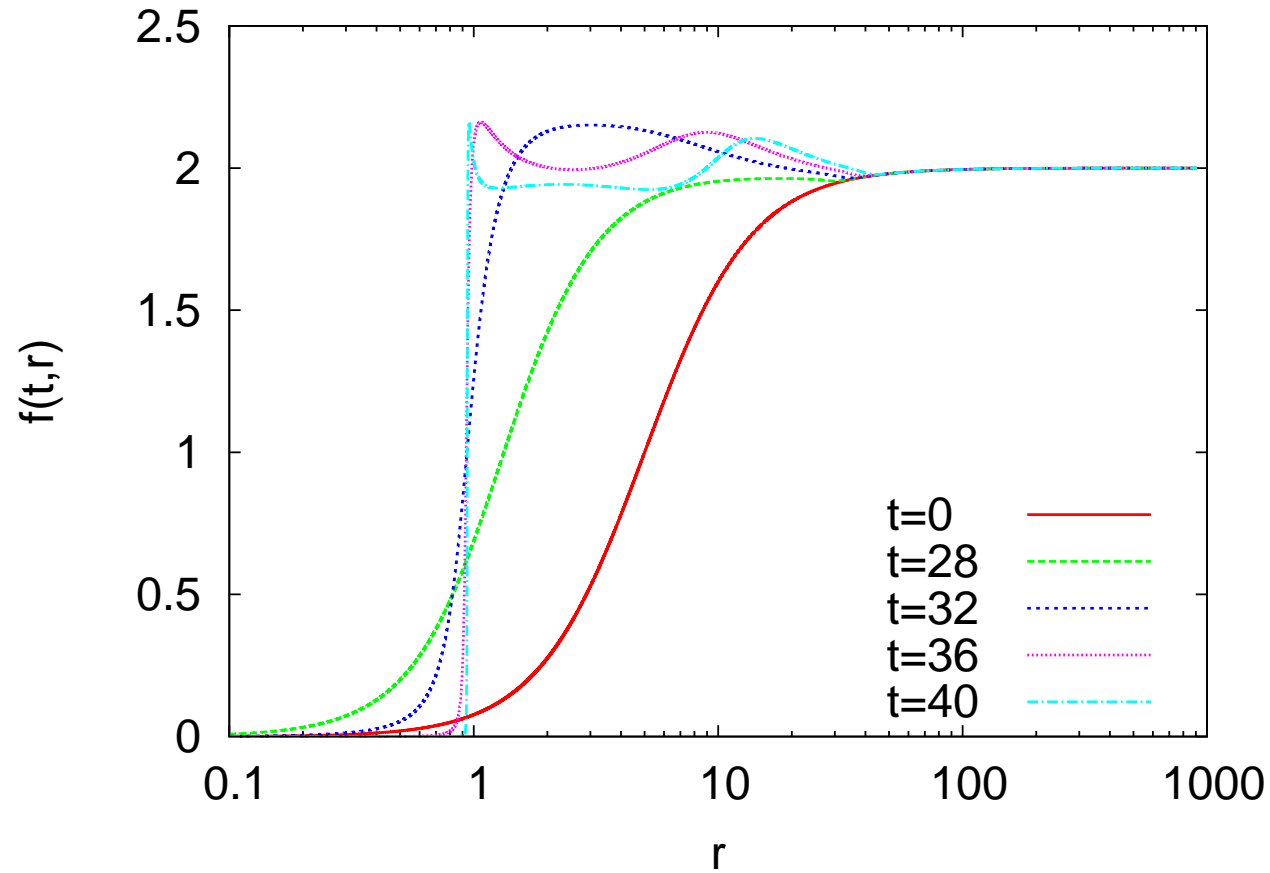
These are for  $\lambda_0 = 5$ ,  $p(0, r) = 0$ .

For zero initial momentum and large  $\lambda_0$ , Newtonian gravity lasts until

$$t \approx \frac{\sqrt{5}}{2} \lambda_0^2 \approx 1.1 \lambda_0^2 .$$

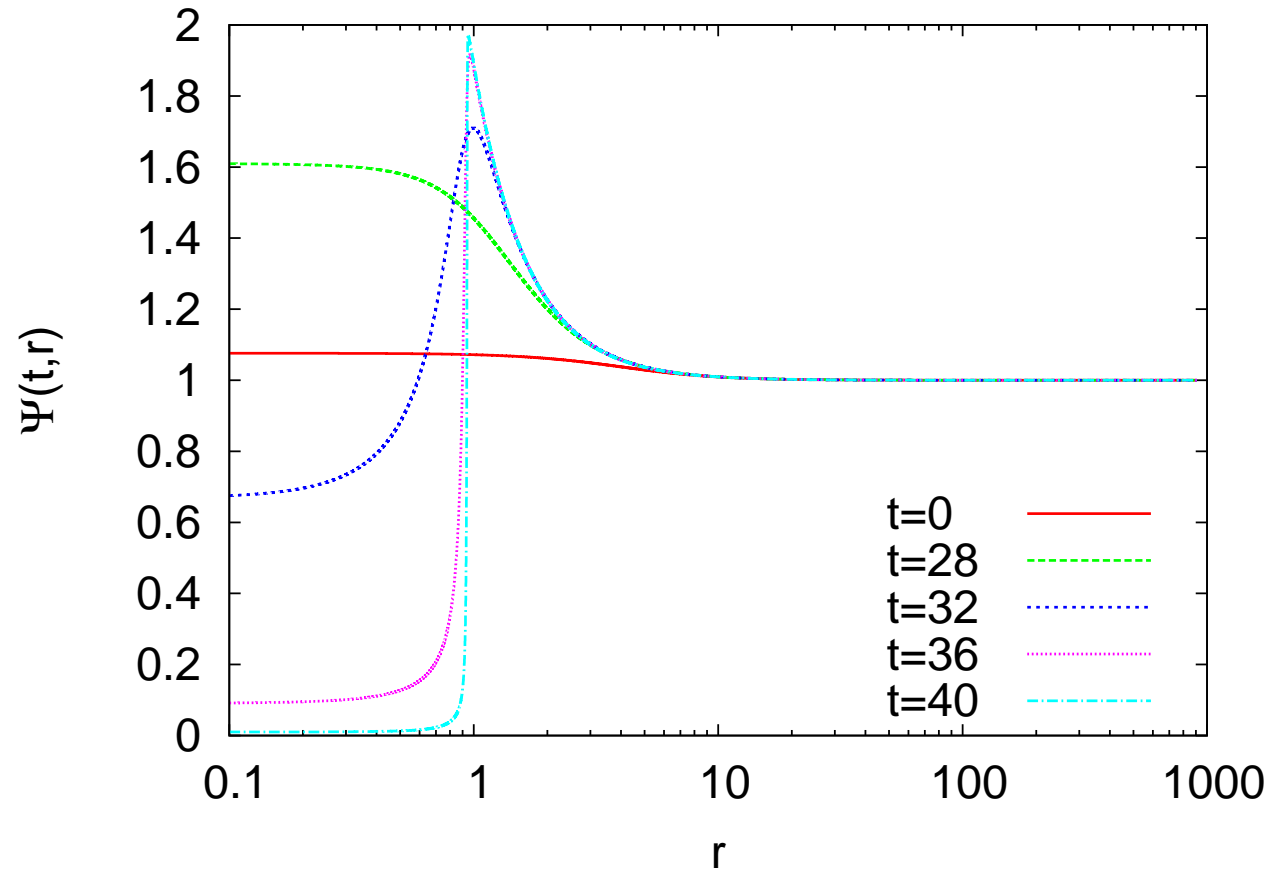


# Numerical results (the gauge field)



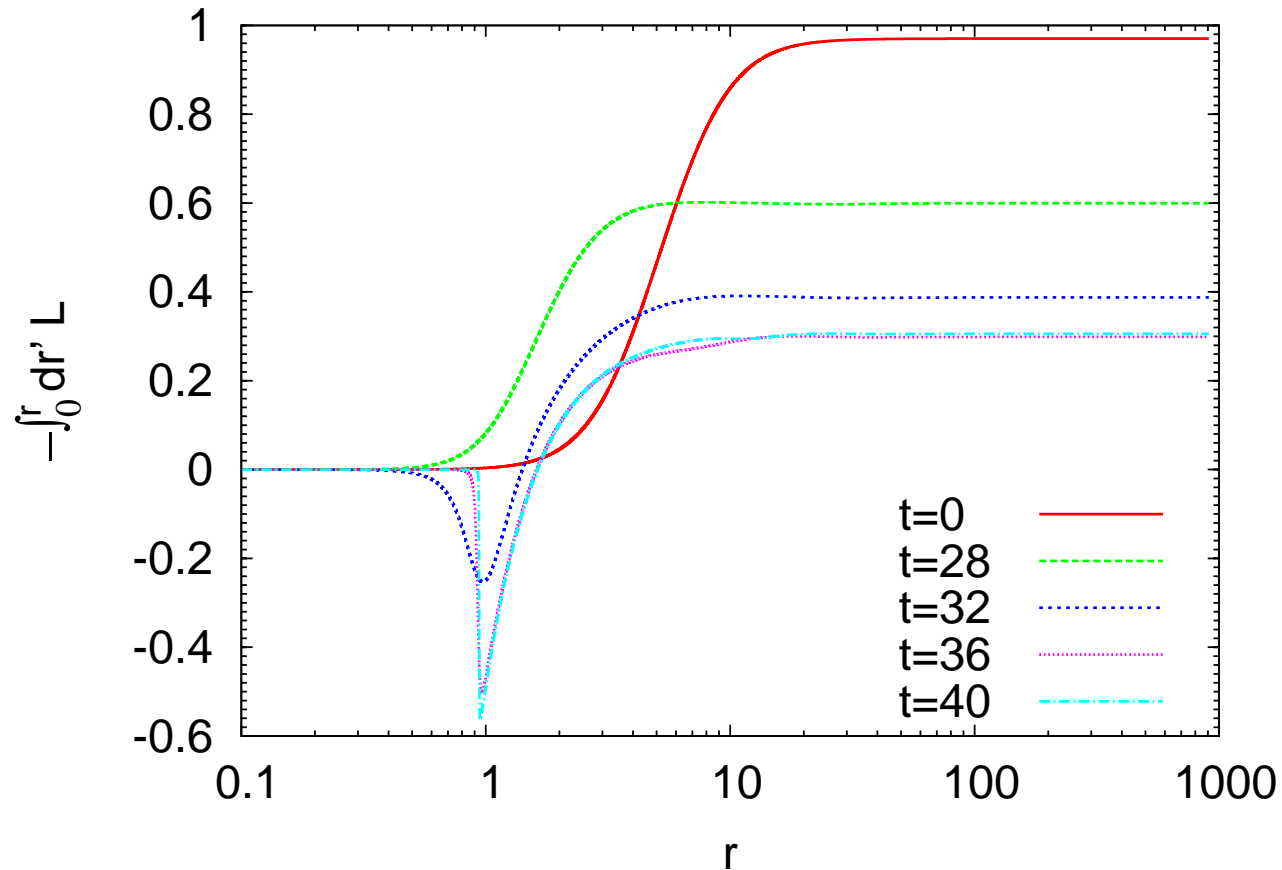


# Numerical results (the conformal factor)



## Numerical results (accumulation plot)

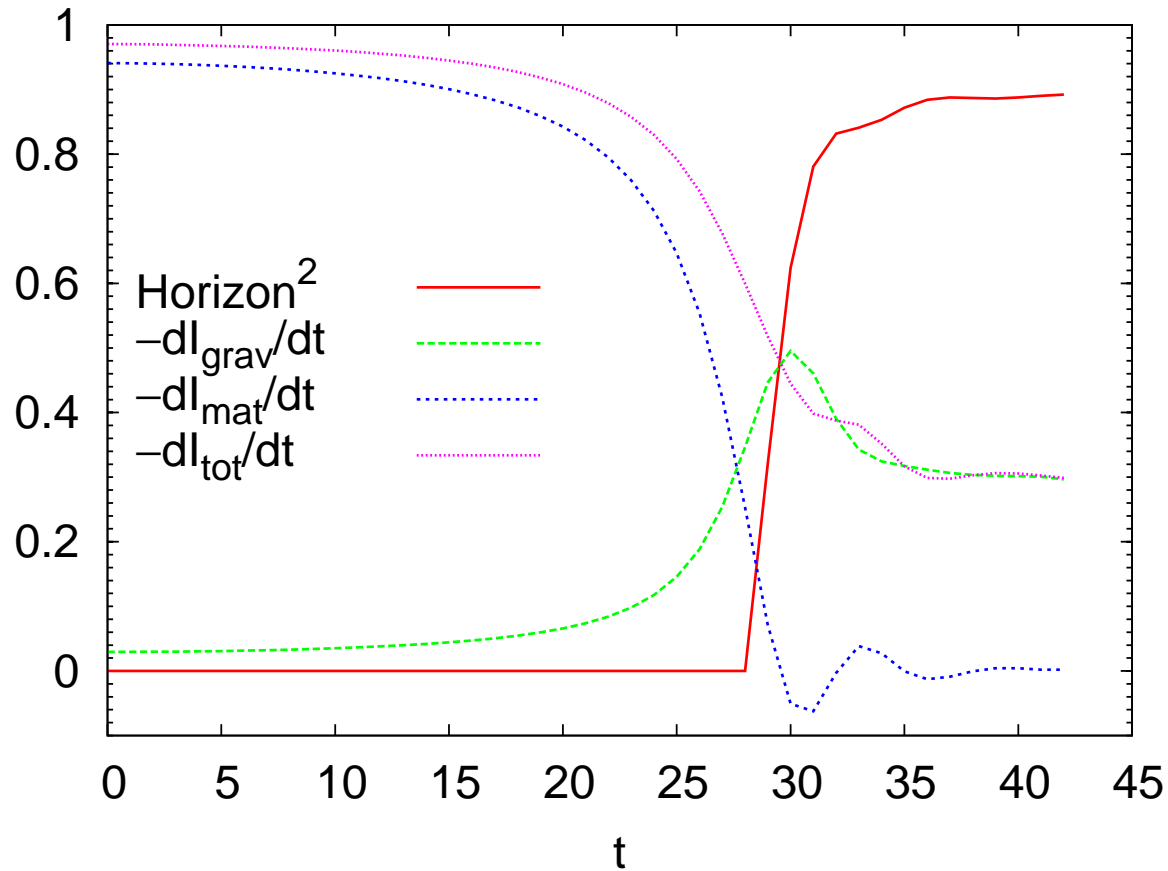
Need to separate the Lagrangian of the black hole from that of the outgoing wave.



In this case,

$$\frac{dI}{dt} \approx \frac{dI_{\text{tot}}}{dt} .$$

## Numerical results (the Lagrangian)



At late times,

$$-\frac{dI}{dt} \approx \frac{1}{3}E = \frac{1}{3}r_H^2 .$$

## Interpretation

1. In a sense,  $E-F$  is the energy associated with evolution that goes unnoticeably to a distant observer. (If we set all time derivatives to zero, we would have  $F = E$ .) This is similar to statistical equilibrium.

2.  $F = E/3$  agrees with the standard black-hole thermodynamics:

$$F_{\text{thermo}} = E - T_H S_{BH} = \frac{1}{3}E .$$

While the temperature and entropy each contain a power of the Planck constant, these cancel in the product, which means that the free energy may have a classical interpretation. Our results suggest that it is simply minus the Lagrangian.

3. The coincidence is nontrivial: the thermal free energy is computed from the vacuum exterior alone, while our  $F$  from a time-dependent solution with collapsing matter.

4. The difference  $E-F$  is accumulated in a thin shell near the horizon.

## Conclusion

- Numerical studies suggest a classical interpretation of the free energy of a black hole. Our definition of the free energy is complementary to the usual thermal (Euclidean) definition. Unlike the latter, it explicitly refers to a time-dependent ("nonequilibrium") metric.
- Although classical solutions do not allow one to compute the overall normalization of the black-hole entropy, they can tell where (in space) the entropy is coming from.