

Diagrammatic Monte Carlo as an exact tool for a problem of a complex object interacting with bosons. What else can be done?

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1. **Shortly about the methods and physical systems which one can handle without approximations**
2. **More about the methods**
3. **Franck-Condon principle. Is it good for optical spectroscopy?**
4. **ARPES and optical spectra of High Tc materials at low dopplings. Electron-phonon?**

Diagrammatic Monte Carlo method

Example:
polaron.

$$\hat{H}_0 = \sum_{\mathbf{k}} \epsilon(\mathbf{k}) a_{\mathbf{k}}^\dagger a_{\mathbf{k}} + \sum_{\mathbf{q}} \omega_{\mathbf{q}} b_{\mathbf{q}}^\dagger b_{\mathbf{q}}$$

$$\hat{H}_{\text{int}} = \sum_{\mathbf{k}, \mathbf{q}} V(\mathbf{k}, \mathbf{q}) (b_{\mathbf{q}}^\dagger - b_{-\mathbf{q}}) a_{\mathbf{k}-\mathbf{q}}^\dagger a_{\mathbf{k}} + h.c.$$

Green function:

$$G_{\mathbf{k}}(\tau) = \langle \text{vac} | a_{\mathbf{k}}(\tau) a_{\mathbf{k}}^\dagger | \text{vac} \rangle$$

Green function contains a lot of information about the system and the only problem left is how to calculate it without approximations and how to extract that information

Feynman expansion:

$$G_{\mathbf{k}}(\tau) = \left\langle \text{vac} \left| T_\tau \left[a_{\mathbf{k}}(\tau) a_{\mathbf{k}}^\dagger(0) \exp \left\{ - \int_0^\infty \hat{H}_{\text{int}}(\tau') d\tau' \right\} \right] \right| \text{vac} \right\rangle_{\text{con}}$$

DMC is a method which sums the Feynman expansion for systems in the thermodynamic limit without truncation of Feynman series or any other approximation

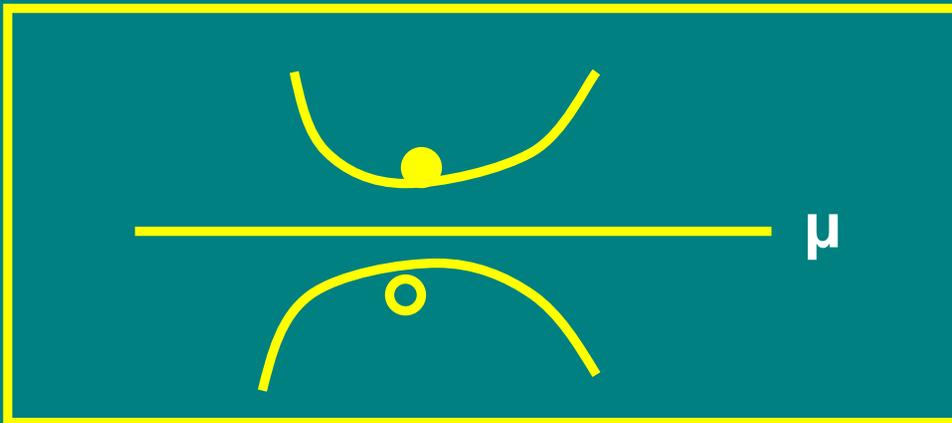
Problems suitable for DMC: one or few particles in bosonic bathes.

Exciton

$$\hat{H}_0^{\text{par}} = \sum_{\mathbf{k}} \varepsilon_a(\mathbf{k}) a_{\mathbf{k}}^\dagger a_{\mathbf{k}} + \sum_{\mathbf{k}} \varepsilon_h(\mathbf{k}) h_{\mathbf{k}} h_{\mathbf{k}}^\dagger$$

$$\hat{H}_{\text{a-h}} = -N^{-1} \sum_{\mathbf{p}, \mathbf{k}, \mathbf{k}'} \mathcal{U}(\mathbf{p}, \mathbf{k}, \mathbf{k}') a_{\mathbf{p}+\mathbf{k}}^\dagger h_{\mathbf{p}-\mathbf{k}}^\dagger h_{\mathbf{p}-\mathbf{k}'} a_{\mathbf{p}+\mathbf{k}'}$$

E



Only DMC

**Bethe-Salpeter
does not work!**

Problems suitable for DMC: one or few particles in bosonic bathes.

Exciton

$$\hat{H}_0^{\text{par}} = \sum_{\mathbf{k}} \varepsilon_a(\mathbf{k}) a_{\mathbf{k}}^\dagger a_{\mathbf{k}} + \sum_{\mathbf{k}} \varepsilon_h(\mathbf{k}) h_{\mathbf{k}} h_{\mathbf{k}}^\dagger$$

More
realistic

$$\hat{H}_{\text{a-h}} = -N^{-1} \sum_{\mathbf{p}, \mathbf{k}, \mathbf{k}'} \mathcal{U}(\mathbf{p}, \mathbf{k}, \mathbf{k}') a_{\mathbf{p}+\mathbf{k}}^\dagger h_{\mathbf{p}-\mathbf{k}}^\dagger h_{\mathbf{p}-\mathbf{k}'} h_{\mathbf{p}+\mathbf{k}'}$$

Exciton-
polaron

$$\hat{H}_{\text{par-bos}} = i \sum_{\kappa=1}^Q \sum_{\mathbf{k}, \mathbf{q}} (b_{\mathbf{q}, \kappa}^\dagger - b_{-\mathbf{q}, \kappa})$$

$$\left[\gamma_{aa, \kappa}(\mathbf{k}, \mathbf{q}) a_{\mathbf{k}-\mathbf{q}}^\dagger a_{\mathbf{k}} + \gamma_{hh, \kappa}(\mathbf{k}, \mathbf{q}) h_{\mathbf{k}-\mathbf{q}}^\dagger h_{\mathbf{k}} + \gamma_{ah, \kappa}(\mathbf{k}, \mathbf{q}) h_{\mathbf{k}-\mathbf{q}}^\dagger a_{\mathbf{k}} \right] + h.c.$$

Thermo-
dynamic
limit

$$\hat{H}_{\text{bos}} = \sum_{\kappa=1}^Q \sum_{\mathbf{q}} \omega_{\mathbf{q}, \kappa} b_{\mathbf{q}, \kappa}^\dagger b_{\mathbf{q}, \kappa}$$

Problems suitable for DMC: one or few particles in bosonic bathes.

Thermodynamic limit

Jahn-Teller and Pseudo-Jahn-Teller polarons

$$\hat{H}_0^{\text{PJT}} = \sum_{\mathbf{k}} \sum_{i=1}^T \epsilon_i(\mathbf{k}) a_{i,\mathbf{k}}^\dagger a_{i,\mathbf{k}}$$

$$\hat{H}_{\text{par-bos}} = i \sum_{\kappa} \sum_{\mathbf{k}, \mathbf{q}} \sum_{i,j=1}^T \gamma_{ij,\kappa}(\mathbf{k}, \mathbf{q}) (b_{\mathbf{q},\kappa}^\dagger - b_{-\mathbf{q},\kappa}) a_{i,\mathbf{k}-\mathbf{q}}^\dagger a_{j,\mathbf{k}} + h.c.$$

Decoherence of qubit: spin-boson Hamiltonian

$$\hat{H}_0 = 1/2\epsilon \left[c_1^\dagger c_1 - c_2^\dagger c_2 \right] + \sum_{\alpha} \omega_{\alpha} b_{\alpha}^{\dagger} b_{\alpha}$$

$$\hat{H}_{int}^{(1)} = 1/2\Delta \left[c_1^\dagger c_2 + c_2^\dagger c_1 \right]$$

$$\hat{H}_{int}^{(2)} = \sum_{\alpha} \sum_{\delta=1}^2 \gamma_{\alpha} c_{\delta}^{\dagger} c_{\delta} \left[b_{\alpha}^{\dagger} + b_{\alpha} \right]$$

$J(\omega) \equiv \pi \sum_{\alpha} \gamma_{\alpha}^2 \delta(\omega - \omega_{\alpha})$ can be approximated as $J(\omega) \sim \omega^s$

Advantages.

- No Trotter decomposition – no systematic errors
- Infinite systems – no finite scaling
- Infinite systems – exact momentum dependence
- Possibility to include damping
- No large PC memory is required

Physical properties under interest

Exciton: two-particle Green function

$$G_{\mathbf{k}}^{\text{PP}'}(\tau) = \langle \text{vac} | a_{\mathbf{k}+\mathbf{p}'}(\tau) h_{\mathbf{k}-\mathbf{p}'}(\tau) h_{\mathbf{k}-\mathbf{p}}^\dagger a_{\mathbf{k}+\mathbf{p}}^\dagger | \text{vac} \rangle$$

Jahn-Teller polaron: matrix Green function

$$G_{\mathbf{k},ij}(\tau) = \langle \text{vac} | a_{i,\mathbf{k}}(\tau) a_{j,\mathbf{k}}^\dagger | \text{vac} \rangle, \quad i, j = 1, 2$$

Ordinary polaron: scalar green function

$$G_{\mathbf{k}}(\tau) = \langle \text{vac} | a_{\mathbf{k}}(\tau) a_{\mathbf{k}}^\dagger | \text{vac} \rangle$$

No simple connection to measurable properties!

Physical properties under interest: Lehmann function.

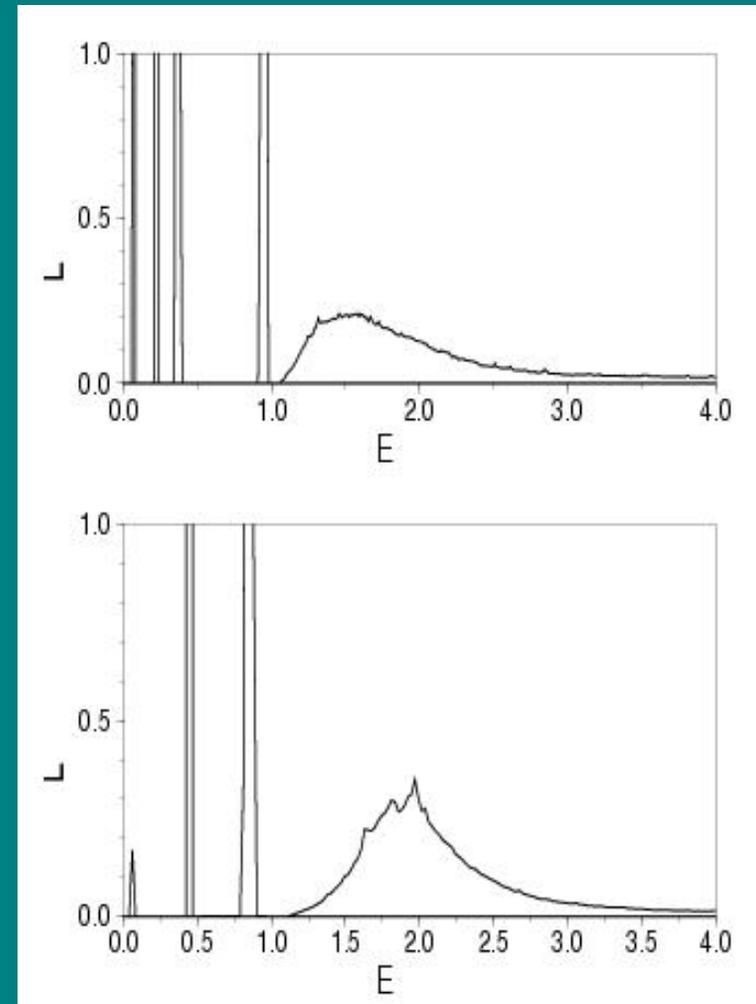
Lehmann spectral function (LSF)

$$L_{\mathbf{k}}(\omega) = \sum_{\nu} \delta(\omega - E_{\nu}(\mathbf{k})) |\langle \nu | a_{\mathbf{k}}^{\dagger} | \text{vac} \rangle|^2$$

LSF has poles (sharp peaks) at the energies of stable (metastable) states. It is a measurable (in ARPES) quantity.

LSF can be determined from equation:

$$G_{\mathbf{k}}(\tau) = \int_0^{\infty} d\omega L_{\mathbf{k}}(\omega) e^{-\omega\tau}$$



Physical properties under interest: Lehmann function.

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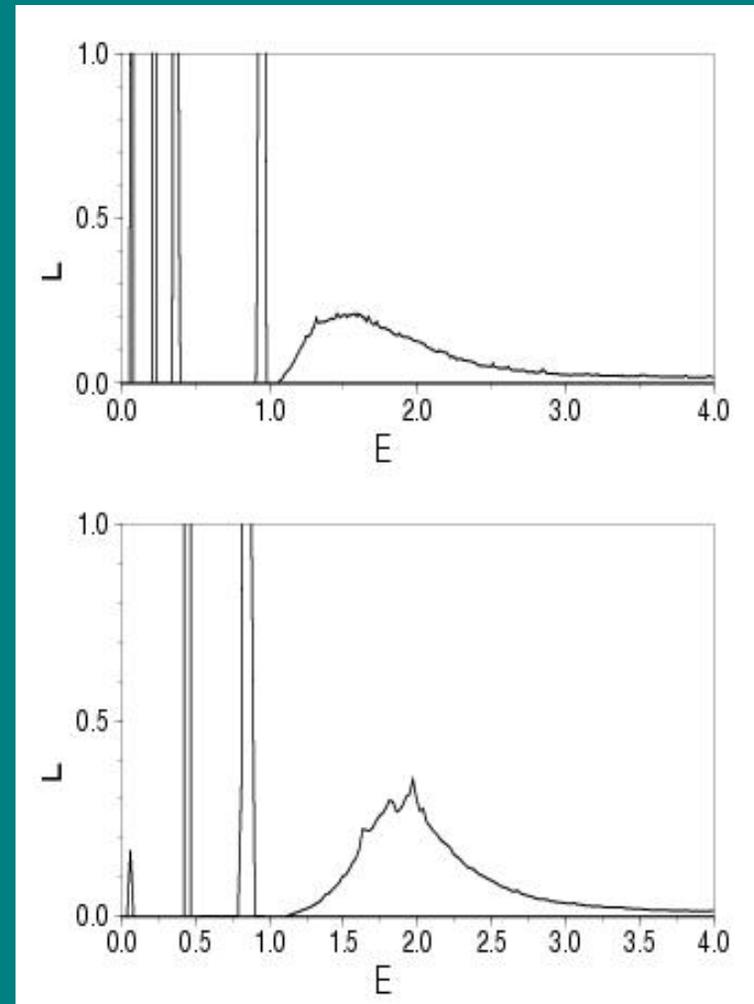
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LSF can be determined from equation:

$$G_{\mathbf{k}}(\tau) = \int_0^{\infty} d\omega L_{\mathbf{k}}(\omega) e^{-\omega\tau}$$

Solving of this equation is a notoriously difficult problem

$$L_{\mathbf{k}}(\omega) = \hat{\mathcal{F}}_{\omega}^{-1} [G_{\mathbf{k}}(\tau)]$$



Physical properties under interest: Excitonic absorption.

Such relation between imaginary-time function and spectral properties is **rather general**:

$$G_{\mathbf{k}}(\tau) = \int_0^{\infty} d\omega L_{\mathbf{k}}(\omega) e^{-\omega\tau}$$

Exciton: two-particle Green function

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Light absorption is expressed as solution of the same equation

$$\mathcal{I}(\omega) = \hat{\mathcal{F}}_{\omega}^{-1} \left[\sum_{\text{pp}'} G_{\mathbf{k}=0}^{\text{pp}'}(\tau) \right]$$

Physical properties under interest: absorption by polaron.

Such relation between imaginary-time function and spectral properties is rather general:

$$G_{\mathbf{k}}(\tau) = \int_0^{\infty} d\omega L_{\mathbf{k}}(\omega) e^{-\omega\tau}$$

Current–current correlation function is related to optical absorption by polarons by the same expression:

$$\langle J_{\beta}(\tau) J_{\delta} \rangle$$

$$\sigma_{\beta\delta}(\omega) = \pi \hat{\mathcal{F}}_{\omega}^{-1} [\langle J_{\beta}(\tau) J_{\delta} \rangle] / \omega$$

About methods

1. Diagrammatic Monte Carlo

2. Analytic continuation

General rules to calculate Matsubara GF. Example: polaron

$$\hat{H}_0 = \sum_{\mathbf{k}} \epsilon(\mathbf{k}) a_{\mathbf{k}}^\dagger a_{\mathbf{k}} + \sum_{\mathbf{q}} \omega_{\mathbf{q}} b_{\mathbf{q}}^\dagger b_{\mathbf{q}}$$

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$$\hat{A}(\tau) = \exp[\tau(\hat{H}_{\text{par}} + \hat{H}_{\text{ph}})] \hat{A} \exp[-\tau(\hat{H}_{\text{par}} + \hat{H}_{\text{ph}})]$$

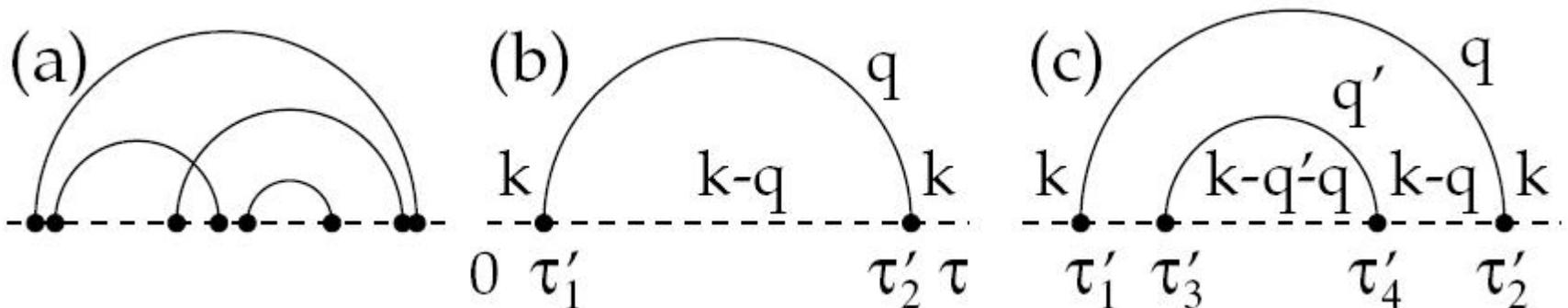
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Infinite series of integrals with an ever increasing order:

$$G_{\mathbf{k}}(\tau) = \sum_{m=0,2,4,\dots}^{\infty} \sum_{\xi_m} \int dx'_1 \cdots dx'_m \mathcal{D}_m^{(\xi_m)}(\tau; \{x'_1, \dots, x'_m\})$$



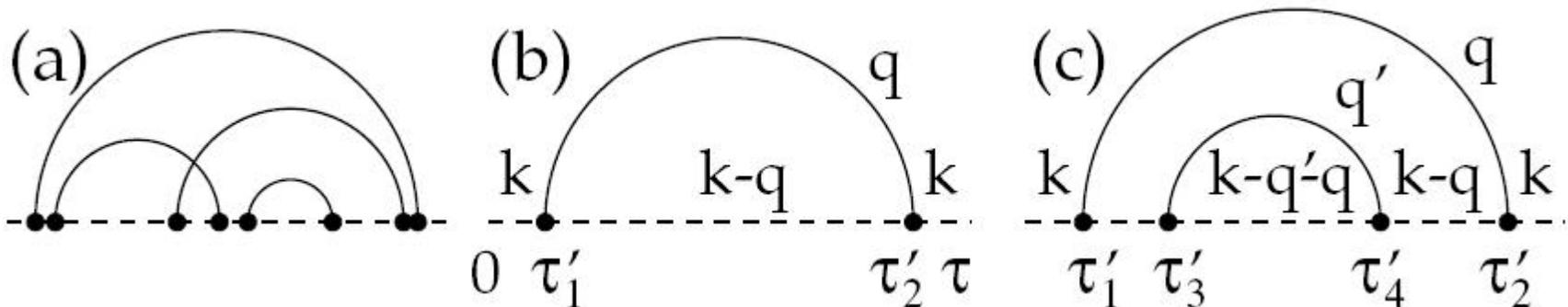
General rules to calculate Matsubara GF. Example: polaron

Weight?

Let us attribute weights D to terms of expansion. The **weight** is a factor of:

- Green function of bare particle $G^{(0)}(k, \tau) = \exp\{-\varepsilon(k)\tau\}$ is attributed to horizontal line.
- Green function of phonon $D^{(0)}(k, \tau) = \exp\{-\omega(k)\tau\}$ is attributed to phonon arch.
- $V(k, q)$ for each interaction vortex

$$G_{\mathbf{k}}(\tau) = \sum_{m=0,2,4,\dots}^{\infty} \sum_{\xi_m} \int dx'_1 \cdots dx'_m \mathcal{D}_m^{(\xi_m)}(\tau; \{x'_1, \dots, x'_m\})$$

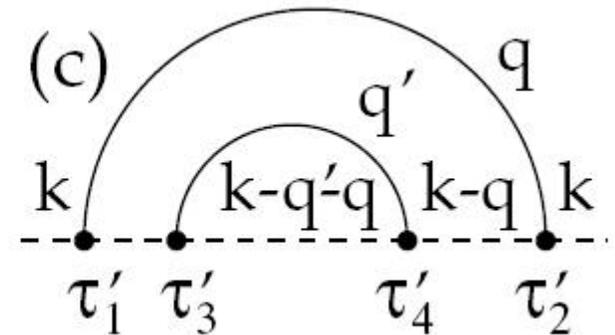
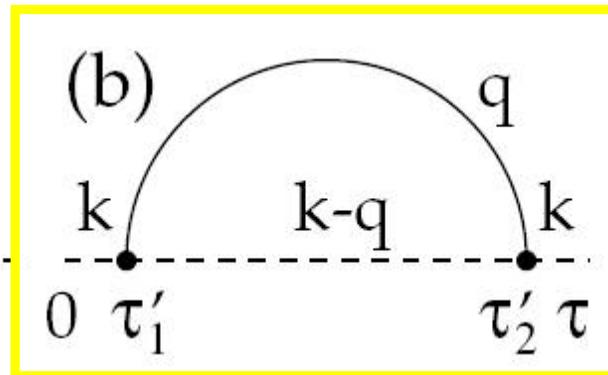
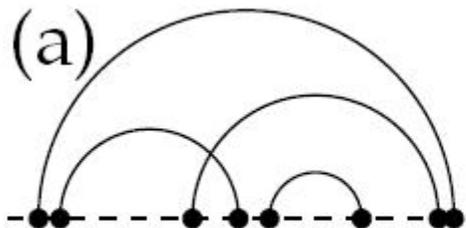


General rules to calculate Matsubara GF. Example: polaron

For example, the weight of Feynman diagram in **Fig. (b)** is:

$$\mathcal{D}_2(\tau; \{\tau'_2, \tau'_1, \mathbf{q}\}) = |V(\mathbf{k}, \mathbf{q})|^2 D_{\mathbf{q}}^{(0)}(\tau'_2 - \tau'_1) G_{\mathbf{k}}^{(0)}(\tau'_1) G_{\mathbf{k}-\mathbf{q}}^{(0)}(\tau'_2 - \tau'_1) G_{\mathbf{k}}^{(0)}(\tau - \tau'_2)$$

$$G_{\mathbf{k}}(\tau) = \sum_{m=0,2,4,\dots}^{\infty} \sum_{\xi_m} \int dx'_1 \cdots dx'_m \mathcal{D}_m^{(\xi_m)}(\tau; \{x'_1, \dots, x'_m\})$$

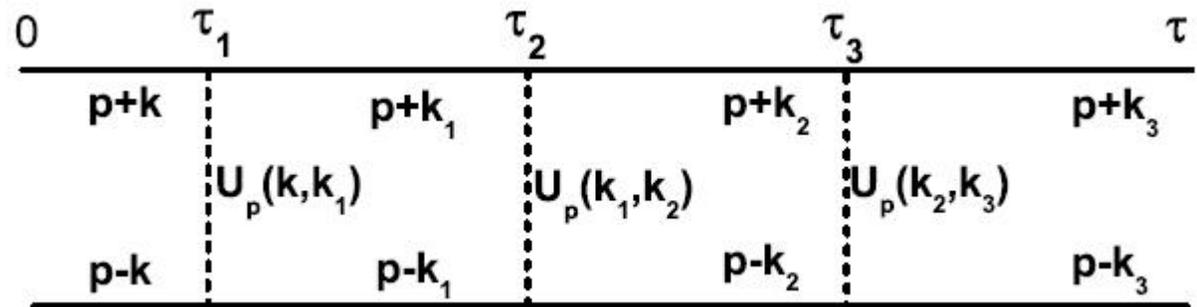


Exciton.

$$\hat{H}_0^{\text{par}} = \sum_{\mathbf{k}} \varepsilon_a(\mathbf{k}) a_{\mathbf{k}}^\dagger a_{\mathbf{k}} + \sum_{\mathbf{k}} \varepsilon_h(\mathbf{k}) h_{\mathbf{k}} h_{\mathbf{k}}^\dagger$$

$$\hat{H}_{\text{a-h}} = -N^{-1} \sum_{\mathbf{p}, \mathbf{k}, \mathbf{k}'} \mathcal{U}(\mathbf{p}, \mathbf{k}, \mathbf{k}') a_{\mathbf{p}+\mathbf{k}}^\dagger h_{\mathbf{p}-\mathbf{k}}^\dagger h_{\mathbf{p}-\mathbf{k}'} h_{\mathbf{p}+\mathbf{k}'}$$

Weight of the FD is the factor of interaction vortexes \mathcal{U} and propagators of e-h pairs

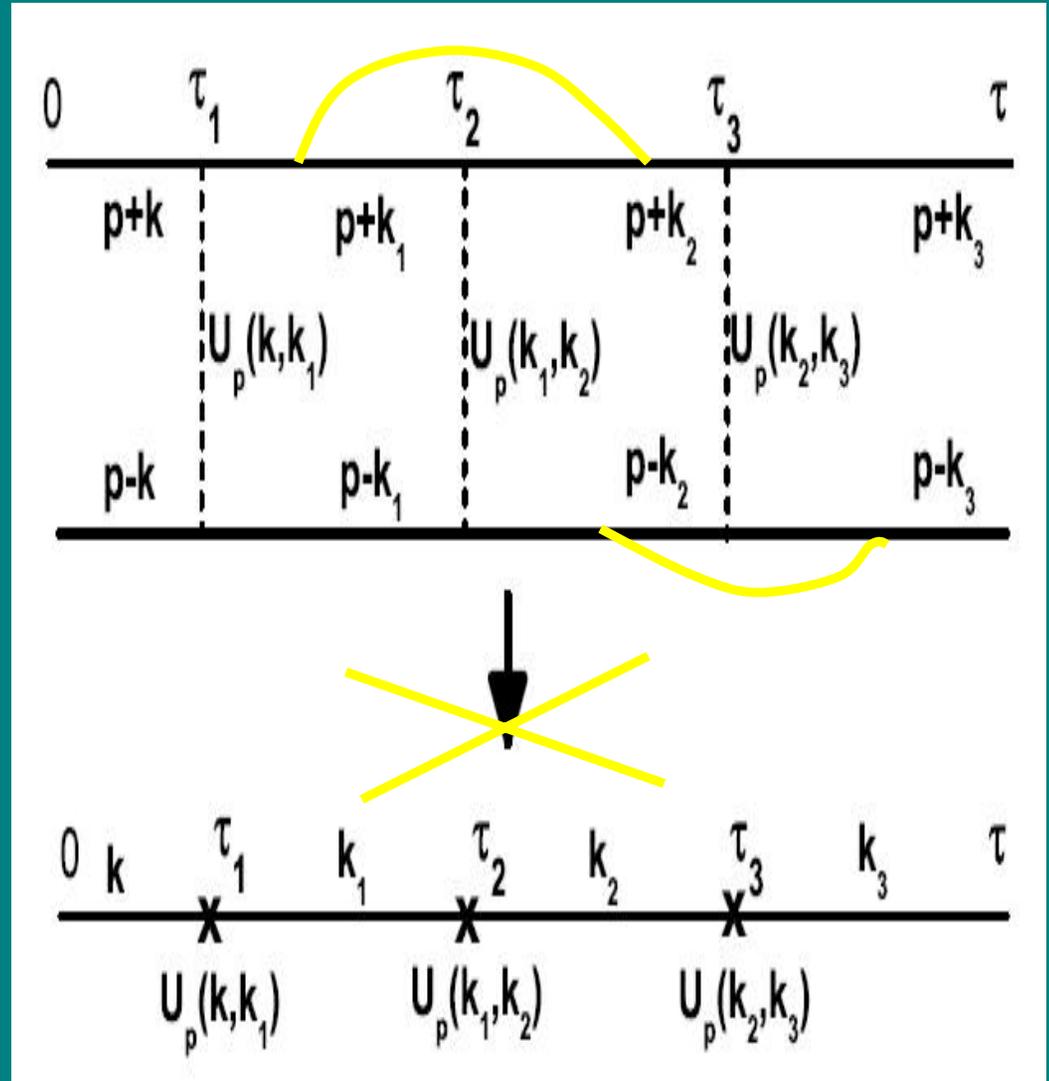


$$G_p(\mathbf{k}, \tau_{i+1} - \tau_i) = \exp [- (\varepsilon_p(\mathbf{k}) - \mu) (\tau_{i+1} - \tau_i) .]$$

$$\varepsilon_p(\mathbf{k}) = \varepsilon_c(\mathbf{p} + \mathbf{k}) - \varepsilon_v(\mathbf{p} - \mathbf{k})$$

Exciton problem: E. A. Burovski et.al., Phys. Rev. Lett.
vol. 87, 186402 (2001).

Phonons

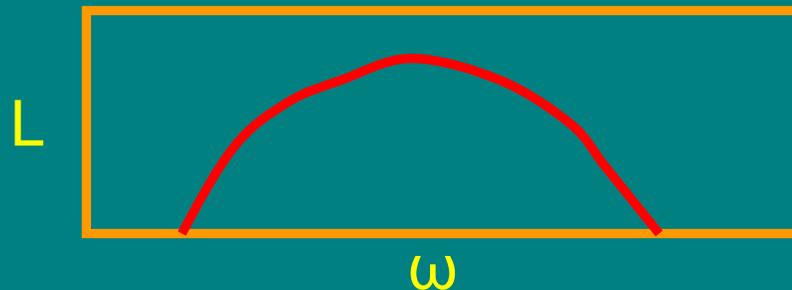


Ill-defined objects: auxiliary interactions

Generally, dispersion relation $\epsilon(\mathbf{k})$ in realistic systems is ill-defined. One can speak of Lehman function (LF) $L_{\mathbf{k}}(\omega)$, which is normalized to unity probability to find QP with momentum \mathbf{k} at the energy ω .

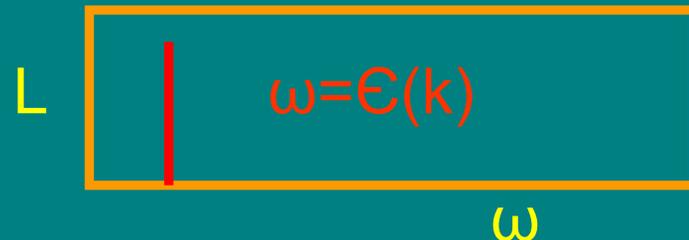
$$L_{\mathbf{k}}(\omega) = \sum_{\nu} \delta(\omega - E_{\nu}(\mathbf{k})) |\langle \nu | a_{\mathbf{k}}^{\dagger} | \text{vac} \rangle|^2$$

$$\int_0^{+\infty} d\omega L_{\mathbf{k}}(\omega) = 1$$



Only for non-interacting system the LF reduces to delta-function and, thus, sets up dispersion relation $\omega = \epsilon(\mathbf{k})$.

$$L_{\mathbf{k}}^{\text{NONINT}}(\omega) = \delta(\omega - \epsilon(\mathbf{k}))$$



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Neither rules nor strategy is changed when there is a damping of QP or bosonic bath

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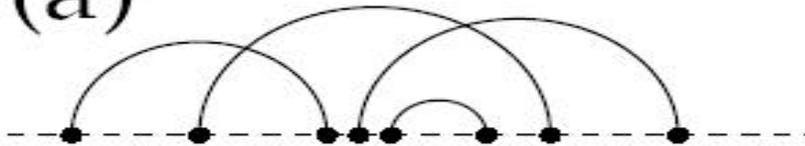
L

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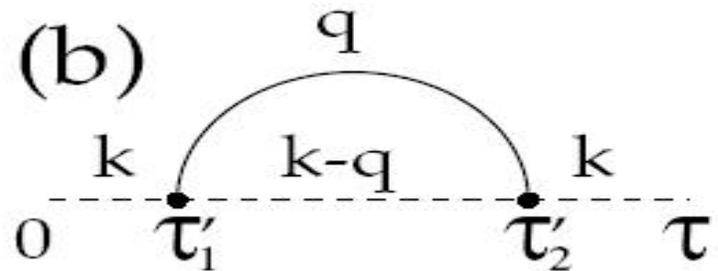
ω

Ill-defined objects: auxiliary interactions

(a)



(b)



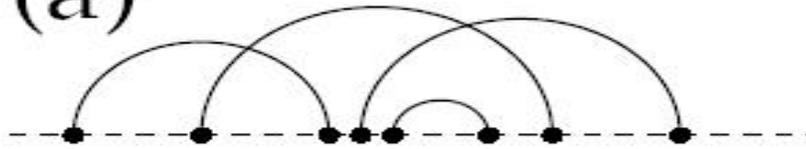
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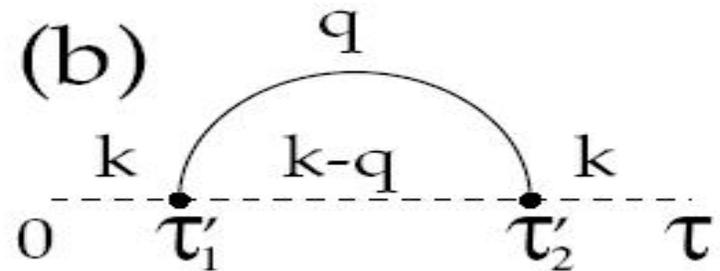
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Ill-defined objects: auxiliary interactions

(a)



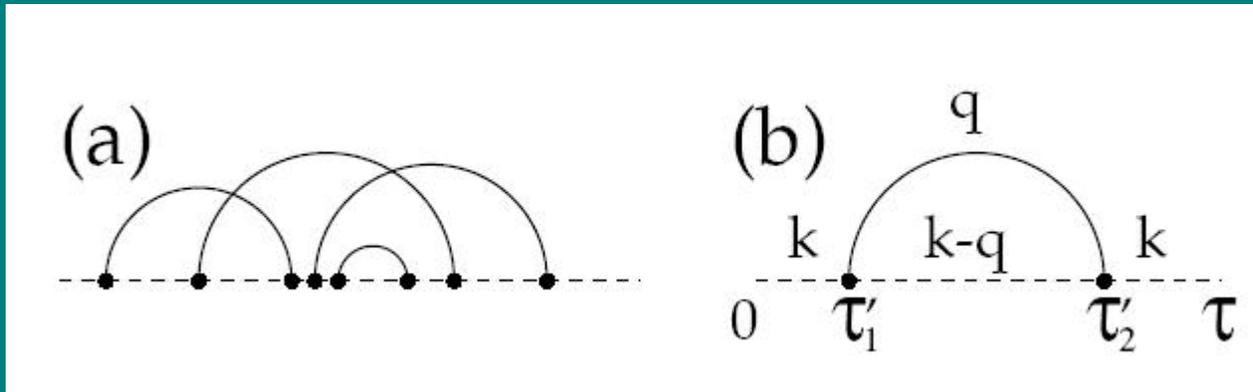
(b)



Neither rules nor strategy is changed when there is a damping of QP or bosonic bath

$$\tilde{G}_{\mathbf{k}}^{(0)}(\tau) = \frac{1}{\pi} \int_{-\infty}^{\infty} d\omega e^{-\omega\tau} \frac{\text{Im}\Sigma_{\text{ret}}(\mathbf{k}, \omega)}{[\omega - \epsilon(\mathbf{k}) - \text{Re}\Sigma_{\text{ret}}(\mathbf{k}, \omega)]^2 + [\text{Im}\Sigma_{\text{ret}}(\mathbf{k}, \omega)]^2}$$

Procedure of Diagrammatic Monte Carlo ends up with statistics of external variable τ , which converges to exact answer



The series should be sign definite to avoid sign problem and assure fast convergency.

However, if it is not the case (e.g. for optical conductivity in t-J model, k-dependence of interaction vortex, etc ...) one needs to accumulate very large statistics.

It is clear that Matsubara representation is necessary!

Method of analytic continuation

$$G_k(\tau) = \int_{-\infty}^{\infty} L_k(\omega) \exp(-\omega\tau) d\omega$$

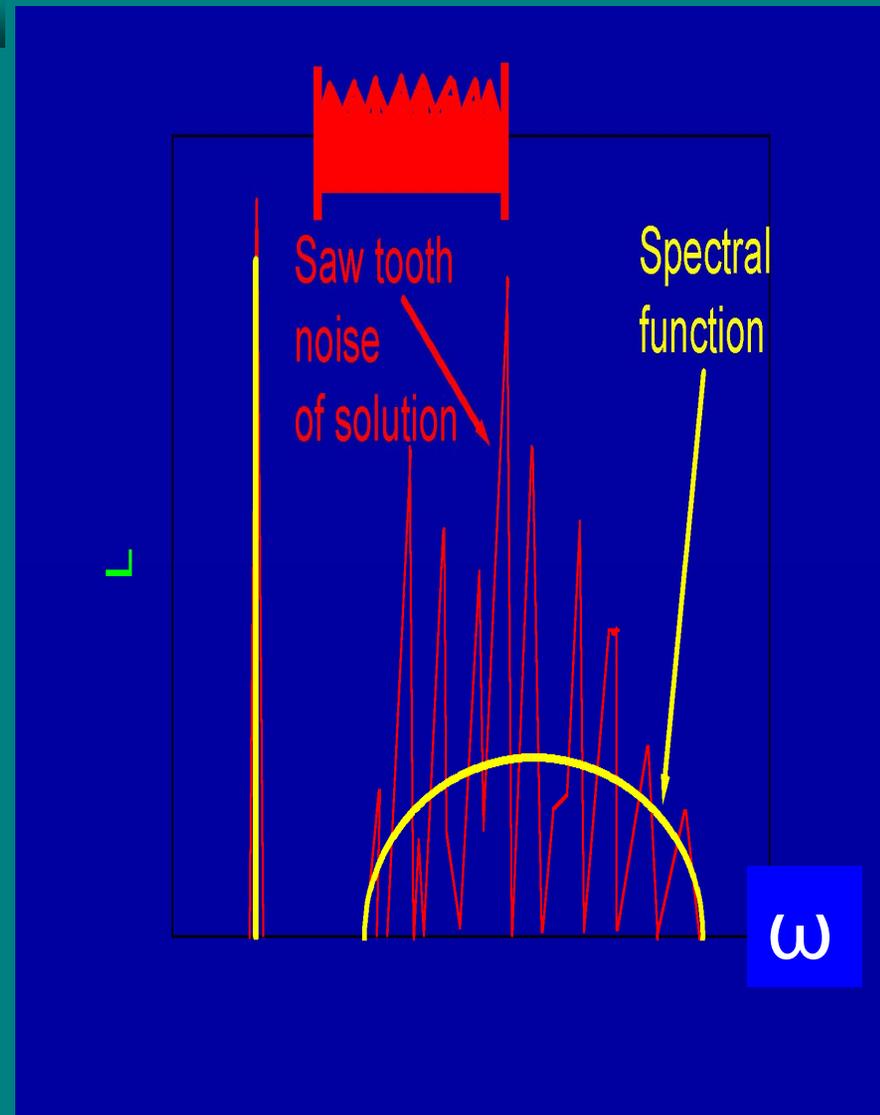
- LSF can be obtained from inverting of integral equation.
- This equation is the so called “ill defined problem”

Main difficulty with ill defined problems is the “saw tooth noise” instability!!!

If solution is searched by naïve minimization of the deviation

$$D = \int d\tau [G(\tau) - \hat{G}(\tau)] ,$$

where $\hat{G}(\tau)$ is obtained from approximate solution $\hat{L}(\omega)$, fluctuations of approximate solution are larger than the LSF itself!!!!



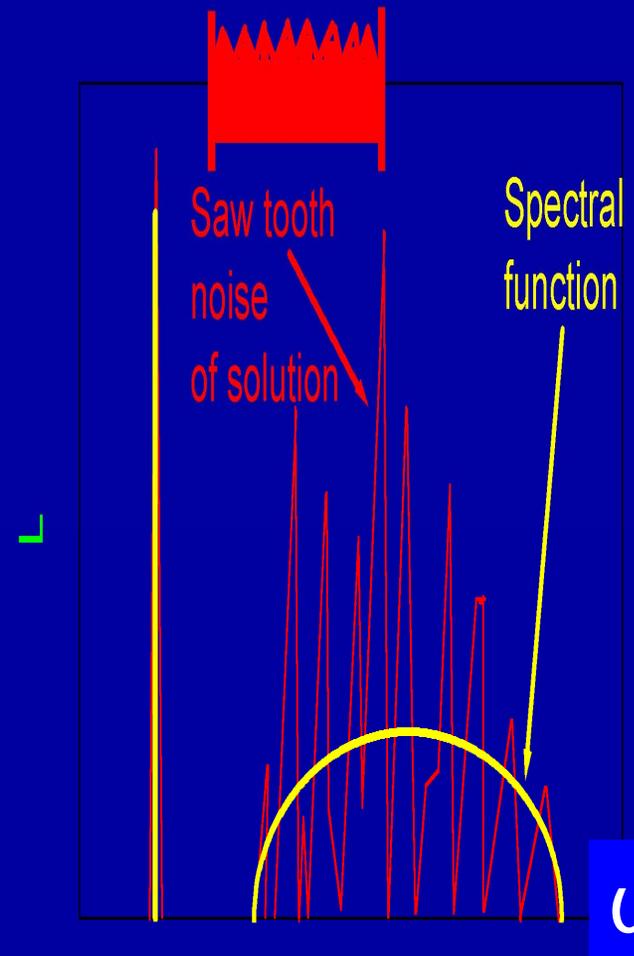
Standard regularization method to fight with saw tooth noise.
(used in popular Maximal Entropy method too)

$$G_k(\tau) = \int^{\infty} L_k(\omega) \exp(-\omega\tau) d\omega$$

$$+ F[L, dL / d\omega, d^2L / d\omega^2]$$

A nonlinear functional F, which suppresses large derivatives of solution L is added to linear equation. This suppresses saw tooth noise.

However, typical LSF of particle is a sharp peak and continuum with sharp edge. Hence, regularization procedure destroys both sharp peaks and sharp edges.



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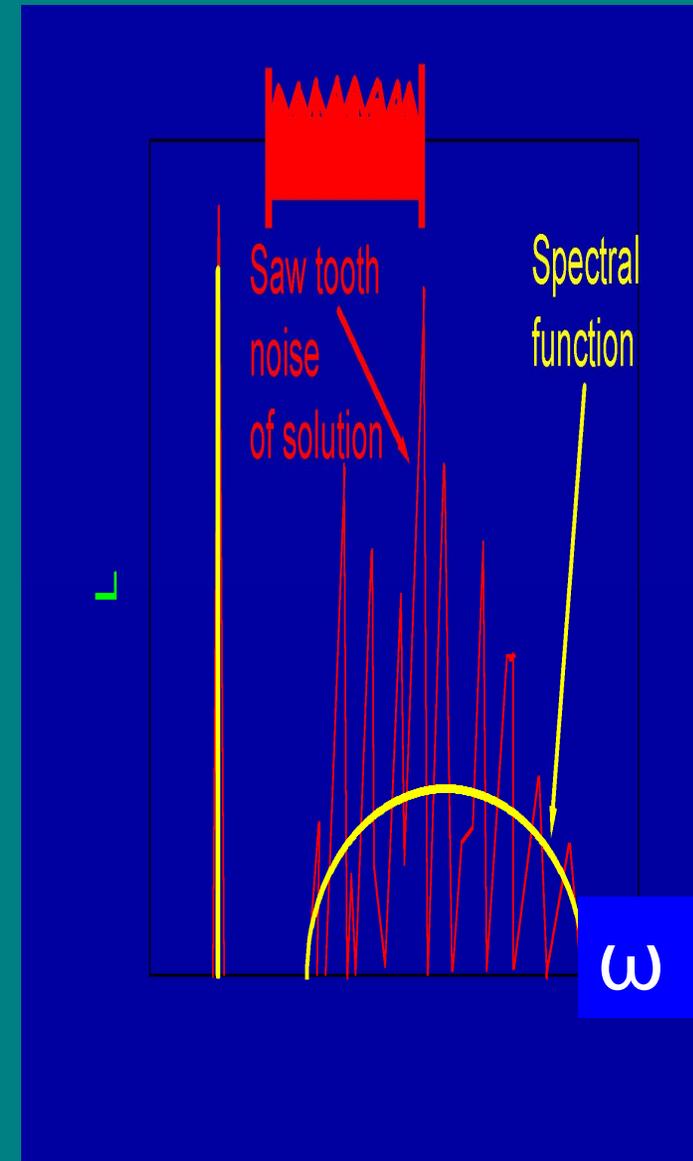
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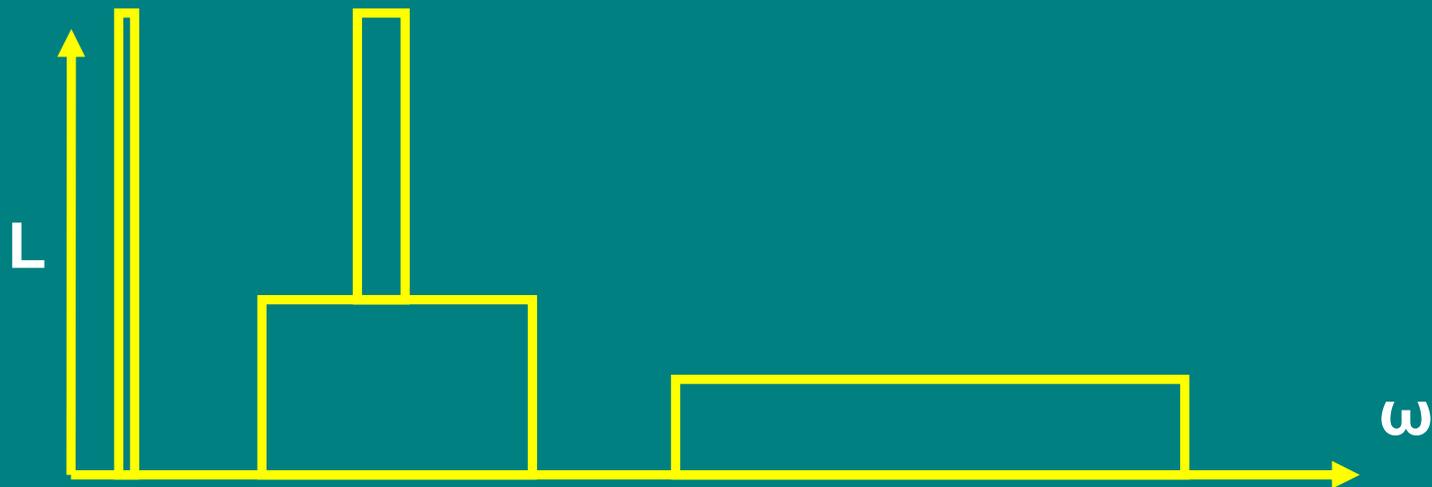
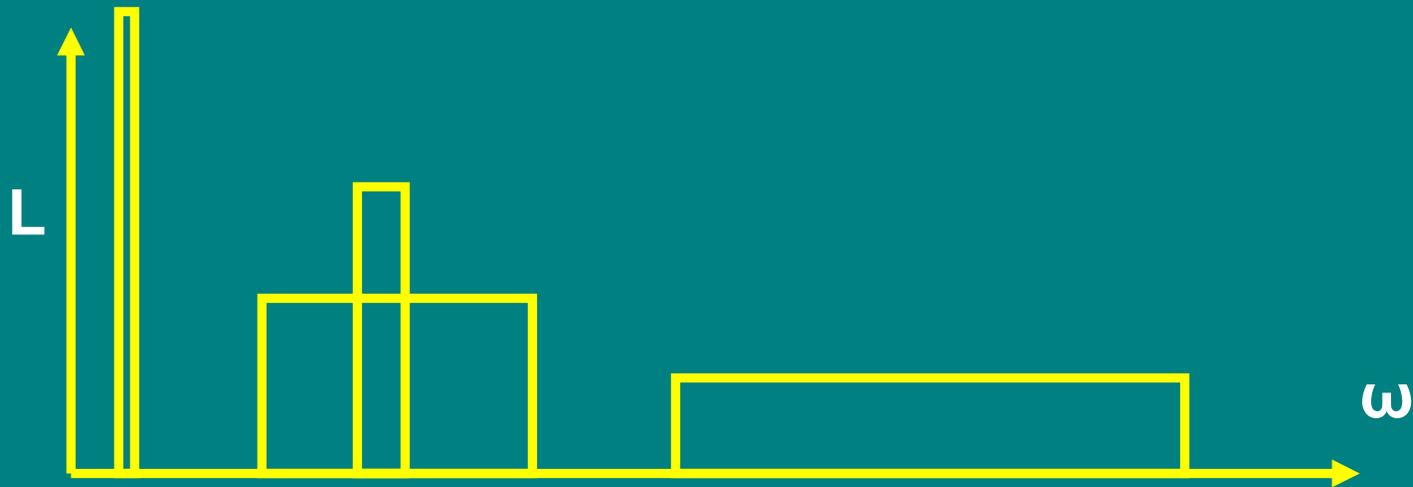
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How to defeat saw tooth noise without regularization?



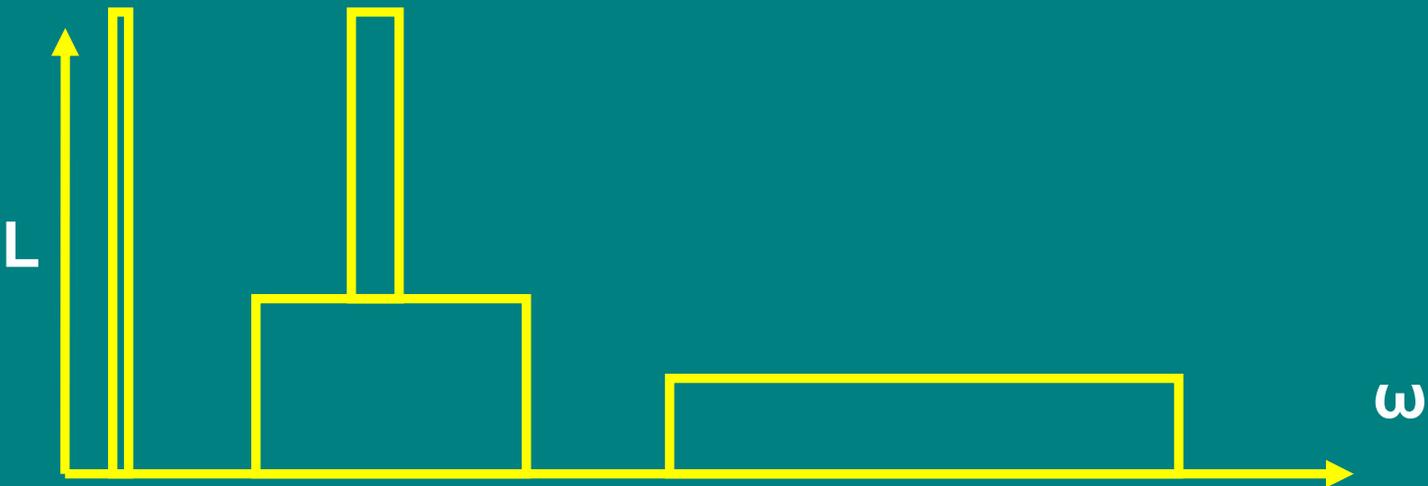
Stochastic Optimization method.

- Particular solution $L^{(i)}(\omega)$ for LSF is presented as a sum of a number N of rectangles with some width, height and center.



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- Initial configuration of rectangles is created by random number generator (i.e. number N and all parameters of of rectangles are randomly generated).

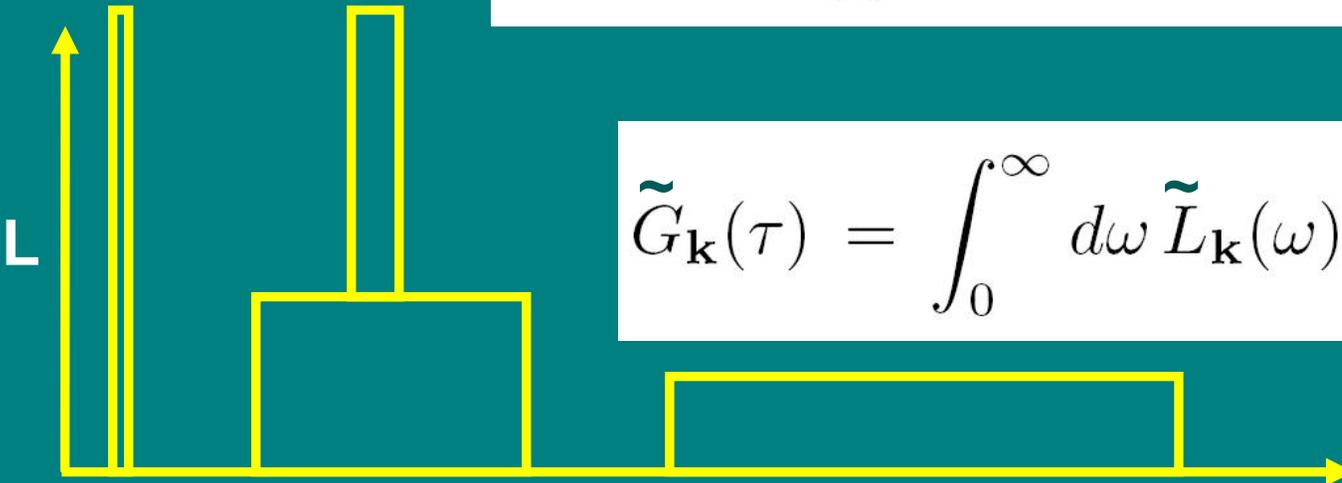


Stochastic Optimization method.

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- Initial configuration of rectangles is created by random number generator (i.e. number N and all parameters of rectangles are randomly generated).
- Each particular solution $L^{(i)}(\omega)$ is obtained by a naïve method without regularization (though, varying number N).

Deviation measure for configuration:

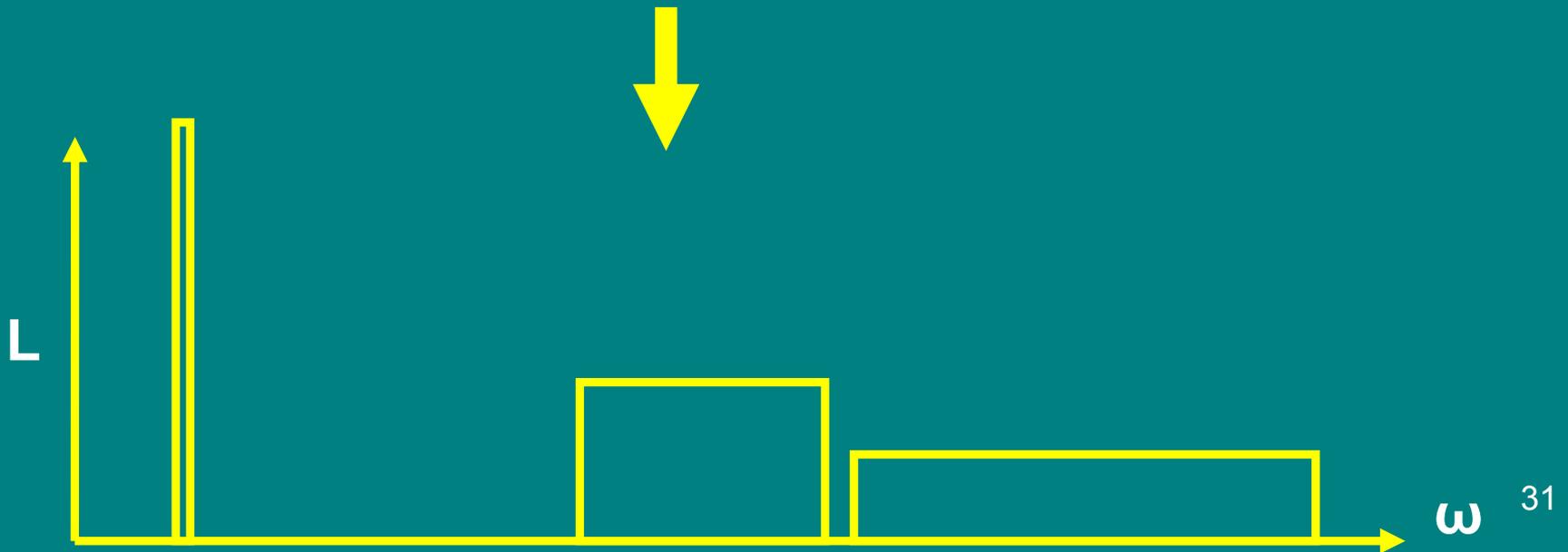
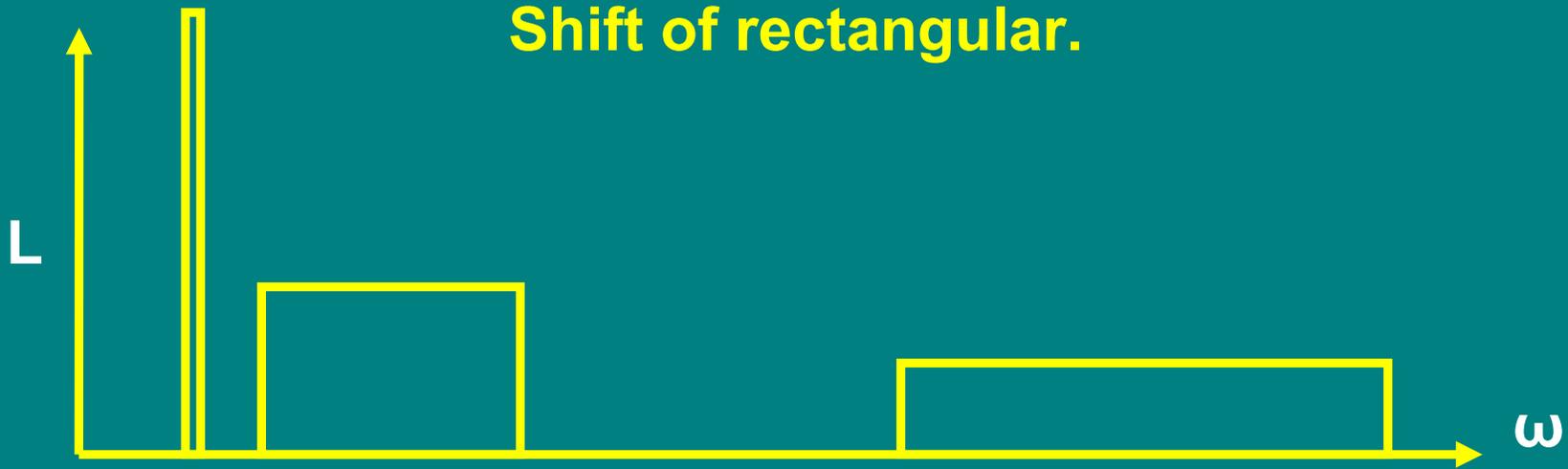
$$D[\tilde{L}_{\mathbf{k}}(\omega)] = \int_0^{\tau_{\max}} \left| G_{\mathbf{k}}(\tau) - \tilde{G}_{\mathbf{k}}(\tau) \right| G_{\mathbf{k}}^{-1}(\tau) d\tau$$



$$\tilde{G}_{\mathbf{k}}(\tau) = \int_0^{\infty} d\omega \tilde{L}_{\mathbf{k}}(\omega) e^{-\omega\tau}$$

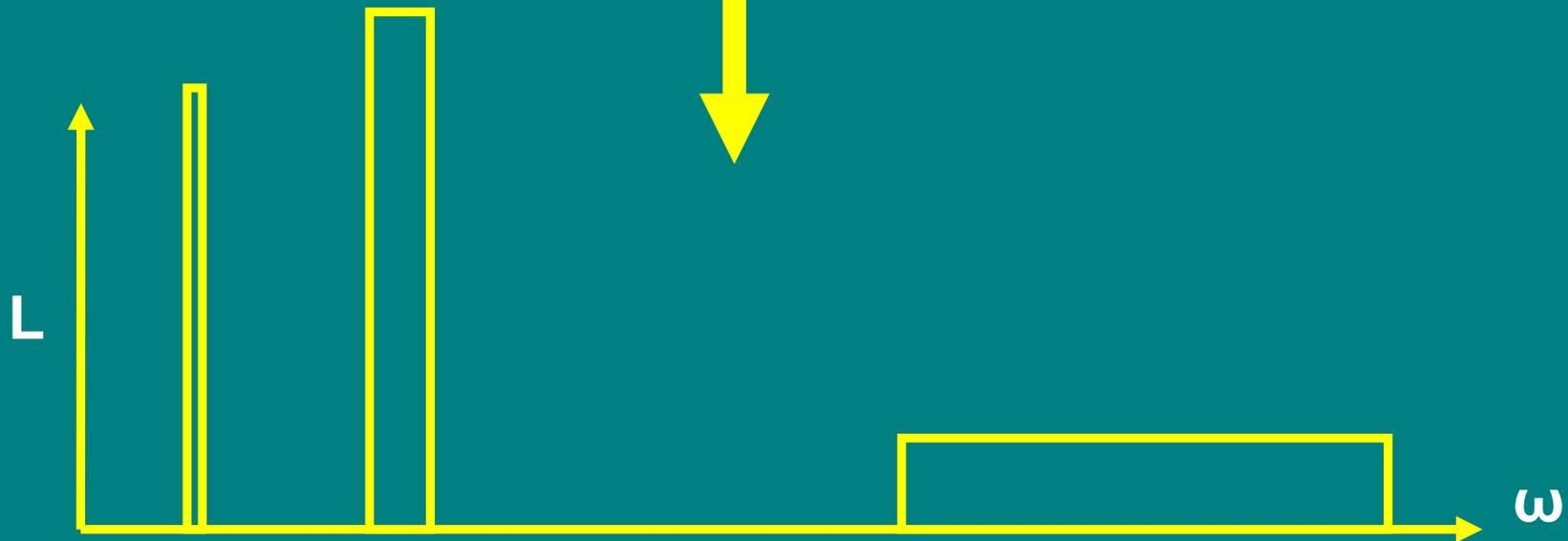
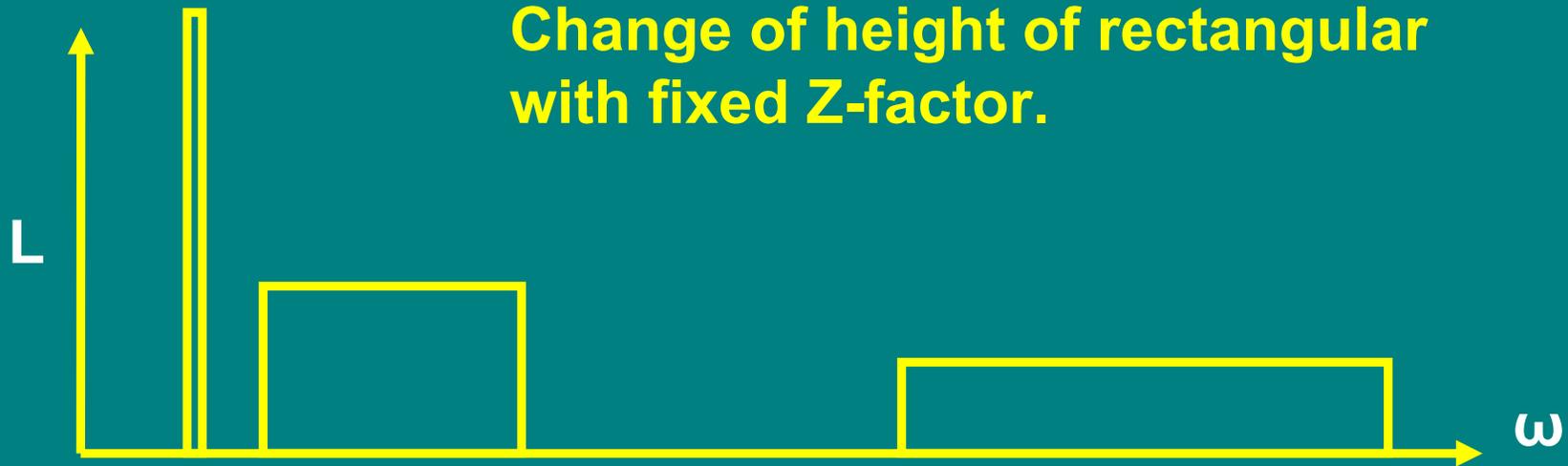
Stochastic Optimization method: update procedures.

Shift of rectangular.



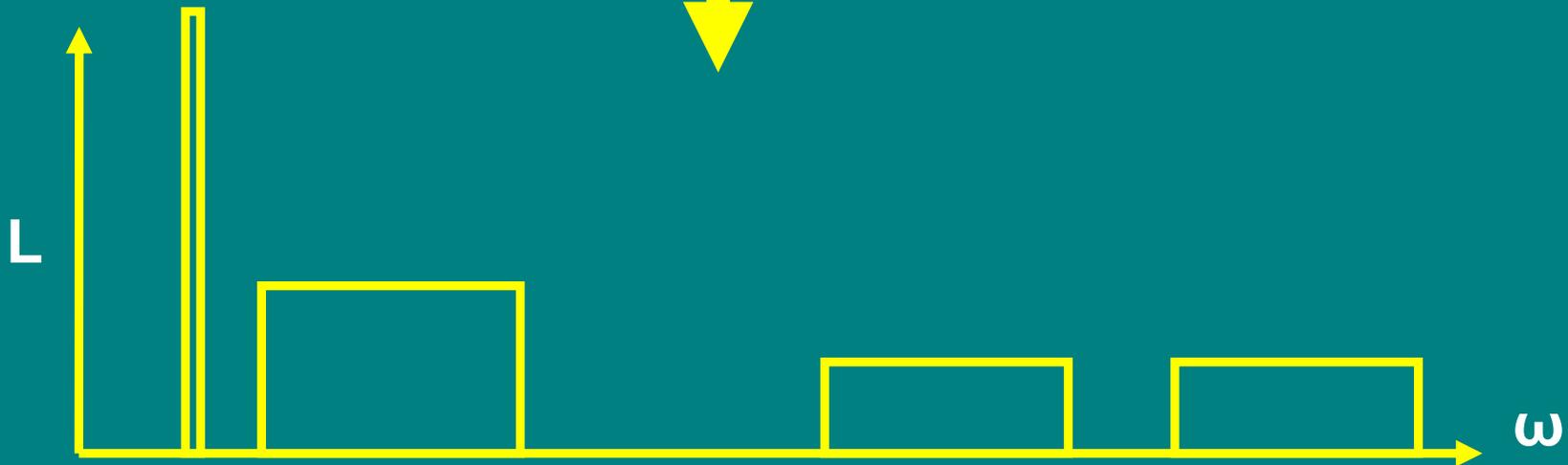
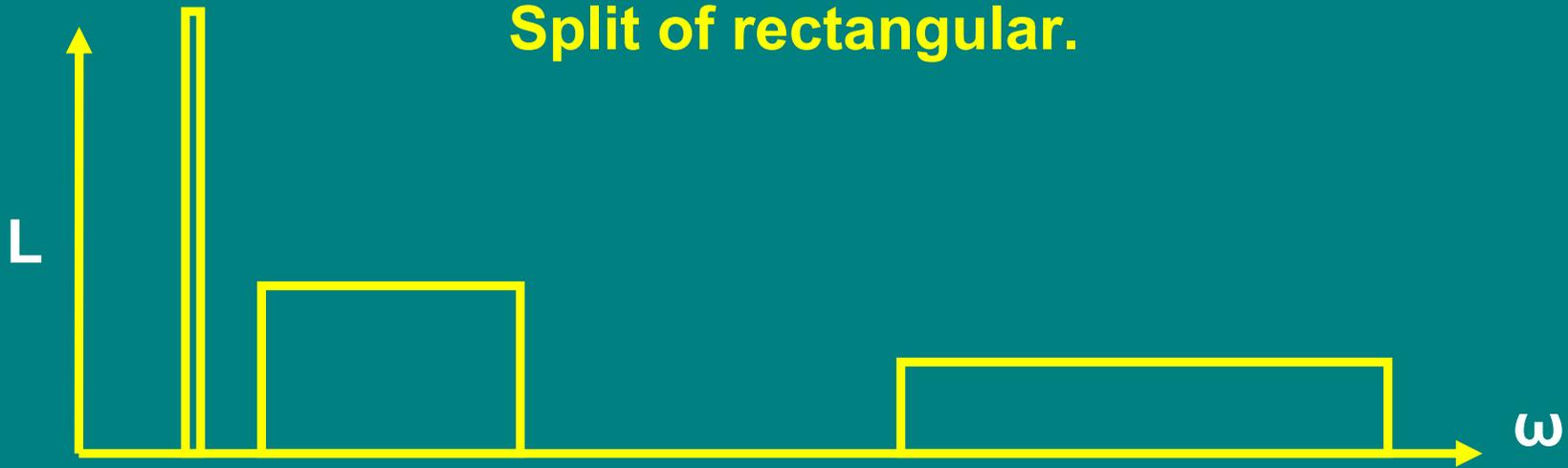
Stochastic Optimization method: update procedures.

Change of height of rectangular with fixed Z-factor.



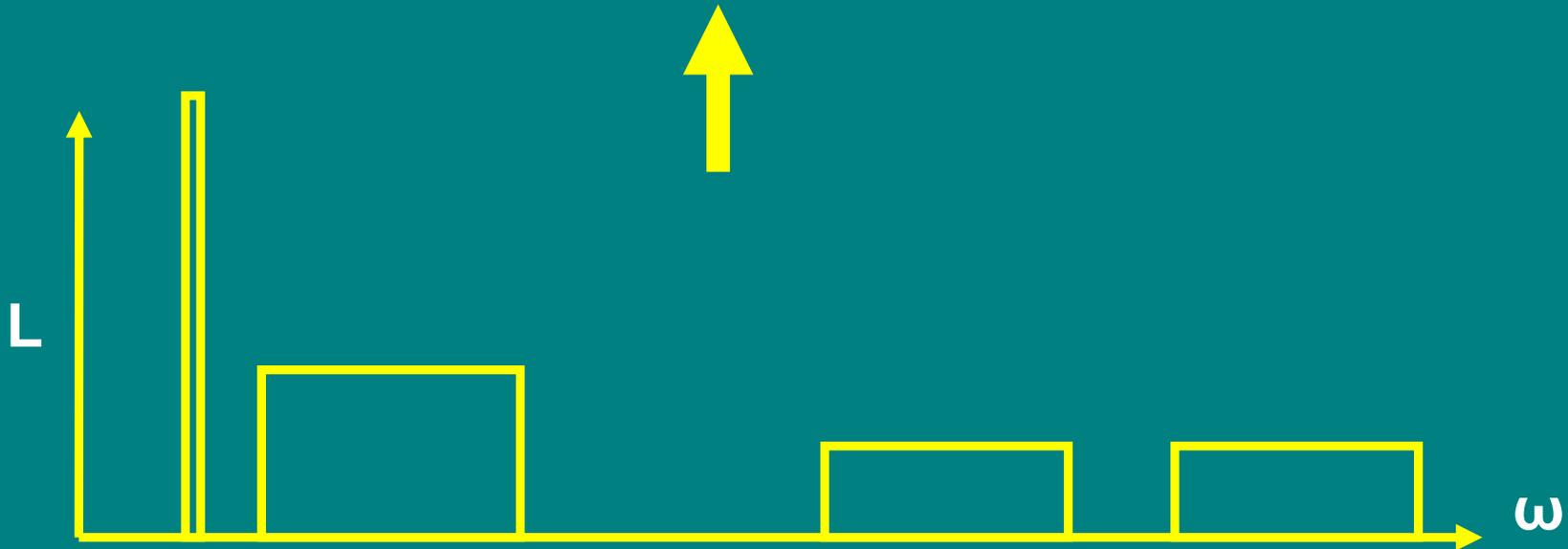
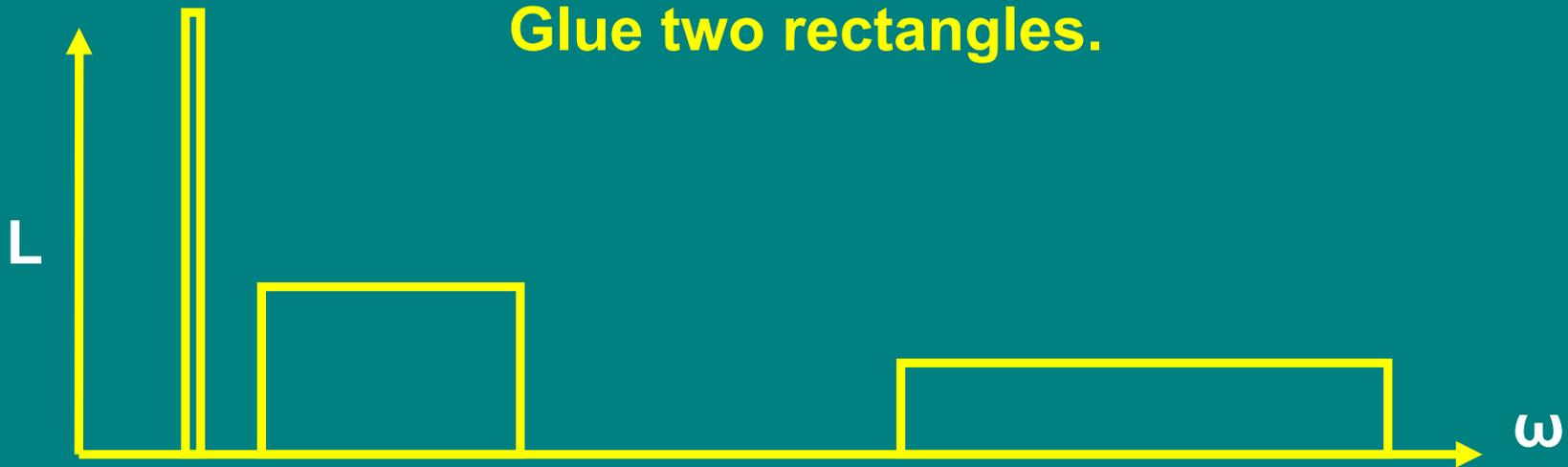
Stochastic Optimization method: update procedures.

Split of rectangular.

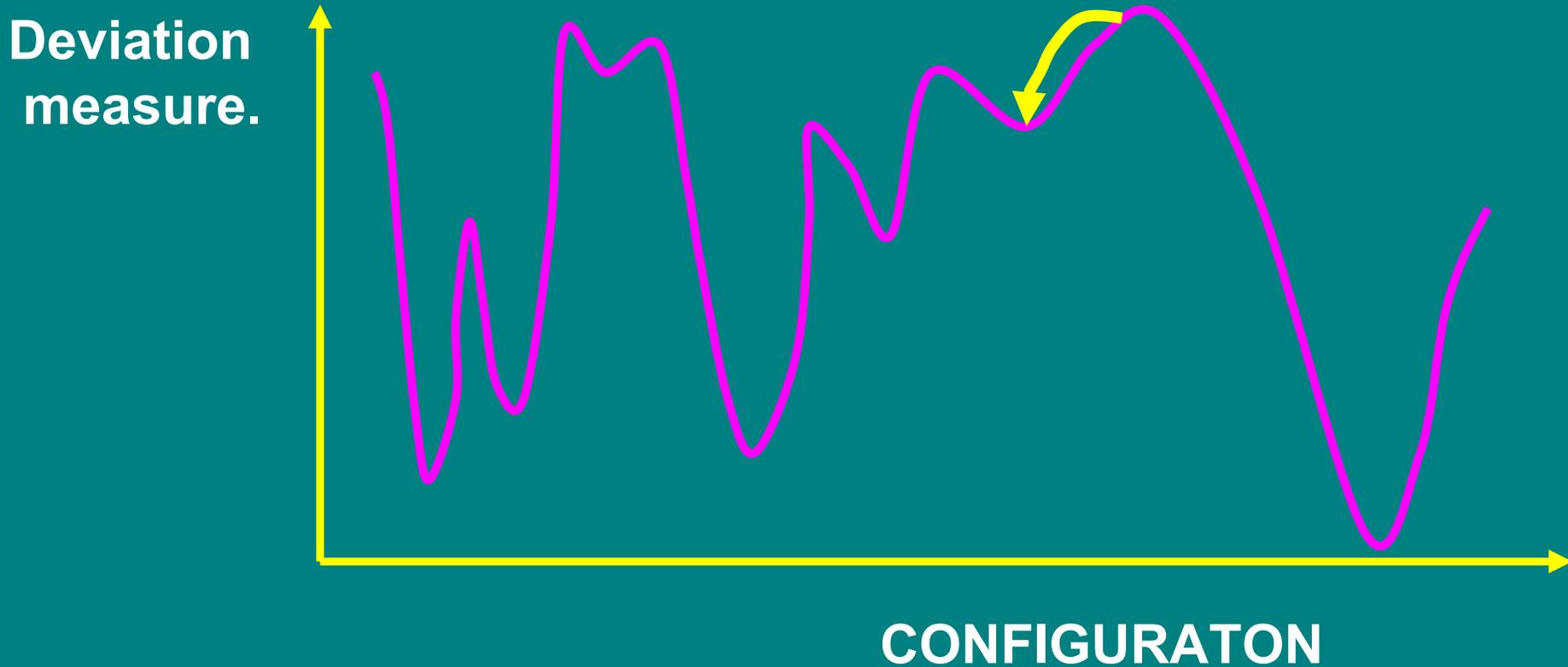


Stochastic Optimization method: update procedures.

Glue two rectangles.



Stochastic Optimization method: update procedures.

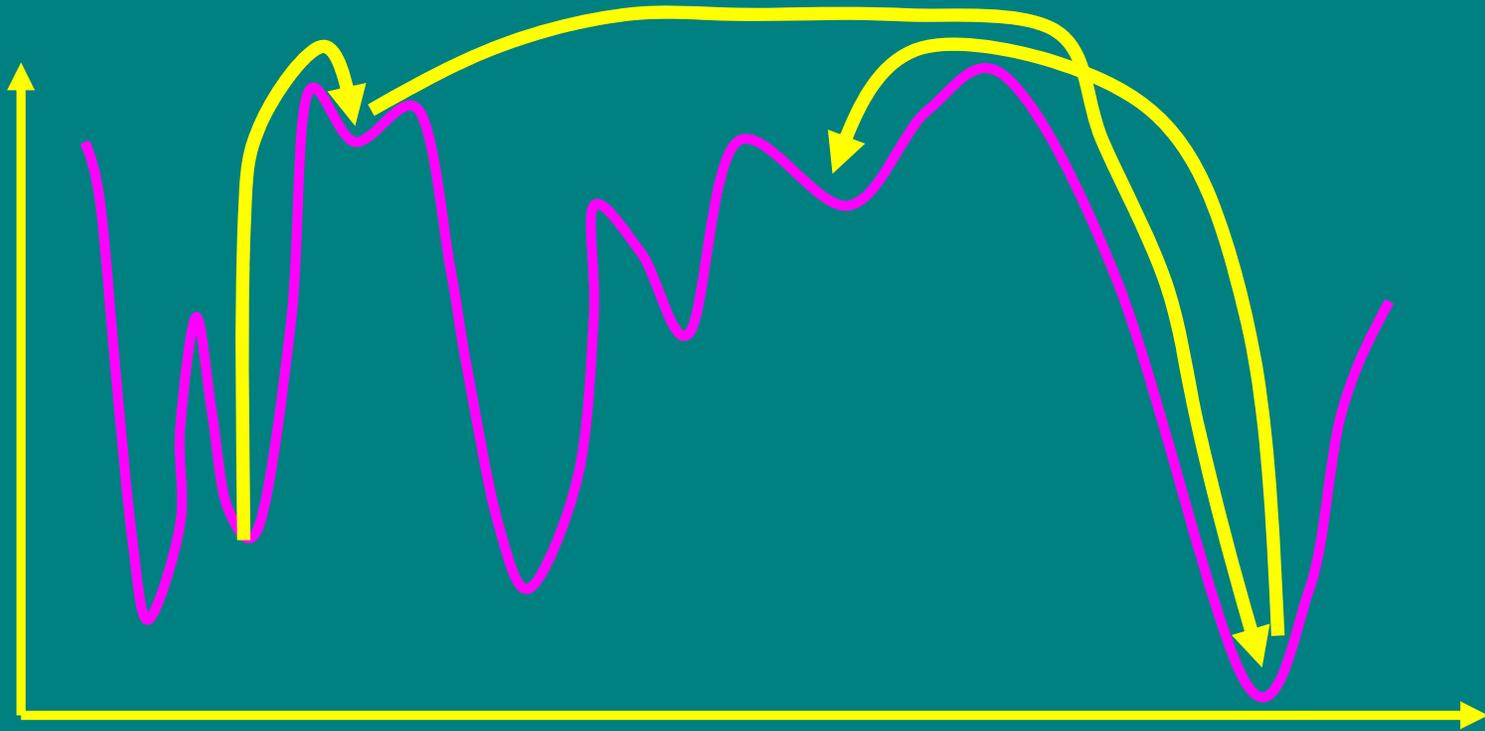


Accept only updates which decrease the deviation measure

WRONG STRATEGY

Stochastic Optimization method: update procedures.

Deviation
measure.



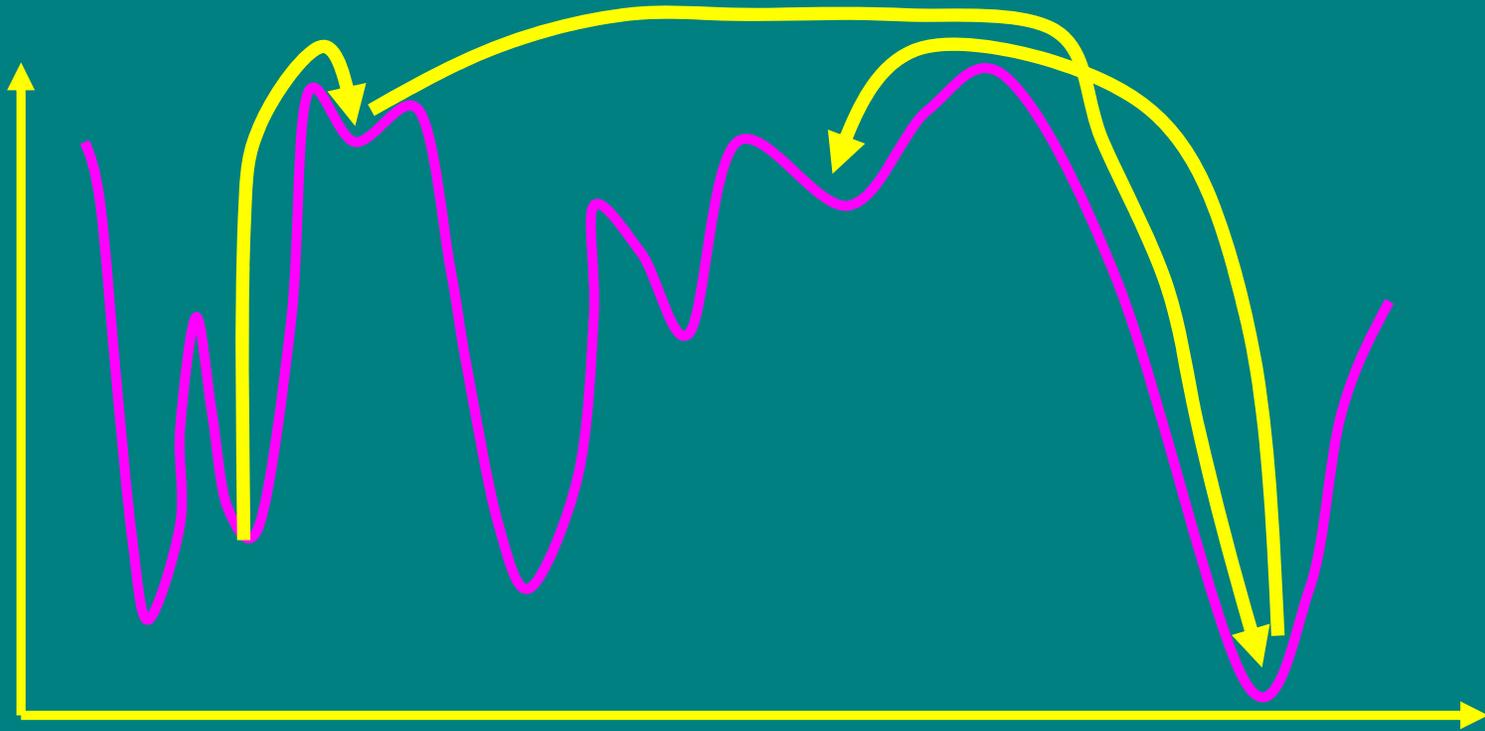
CONFIGURATON

Always accept with some probability some updates which decrease the deviation measure

WRONG STRATEGY

Stochastic Optimization method: update procedures.

Deviation
measure.



CONFIGURATON

Shake-off two-step strategy:

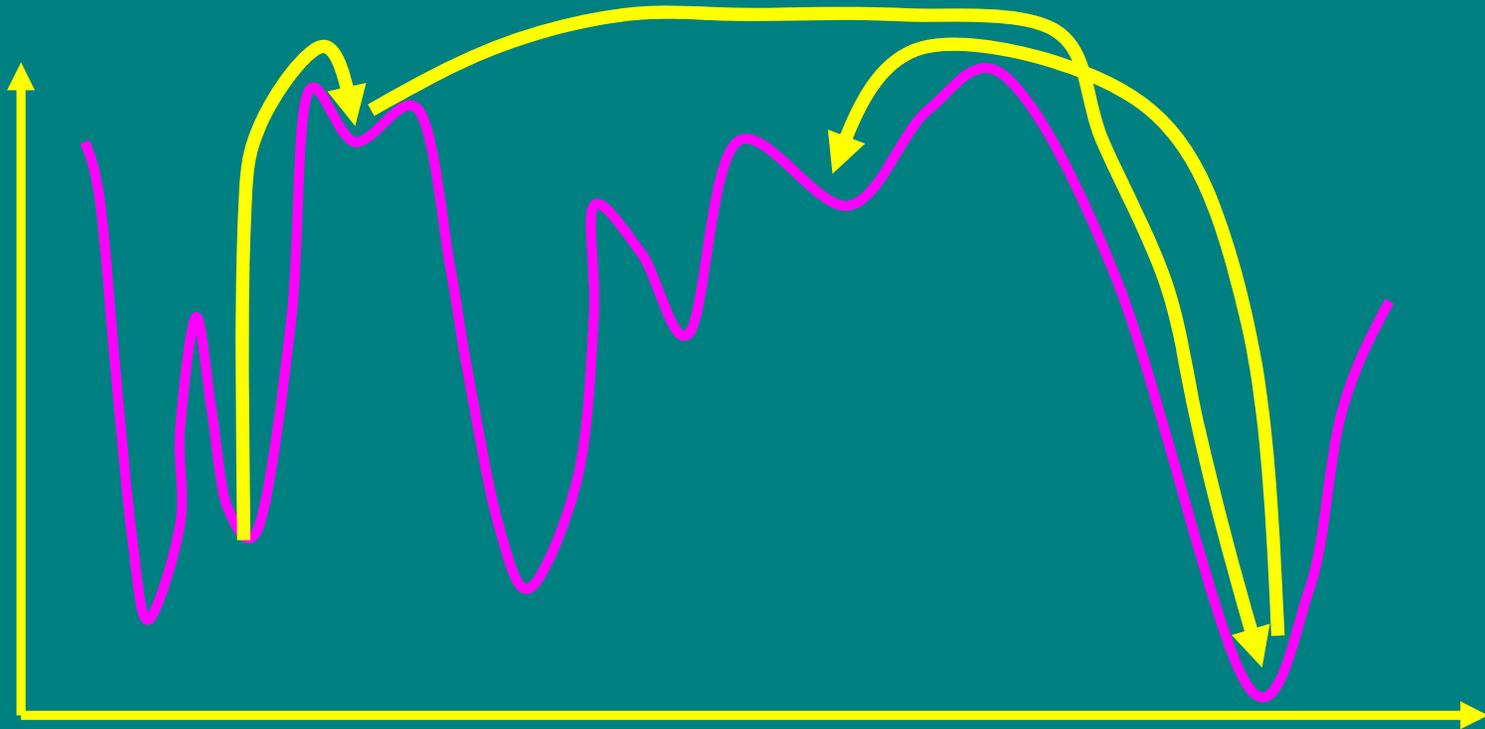
Step 1: Increase of deviation measure is allowed during **M** steps with high probability

Step 2: Only decrease of deviation measure is allowed during last **K** steps.

Stochastic Optimization method: update procedures.

Deviation
measure.

K+M chain
is rejected
if final **D**
is larger
than initial



CONFIGURATON

Shake-off two-step strategy:

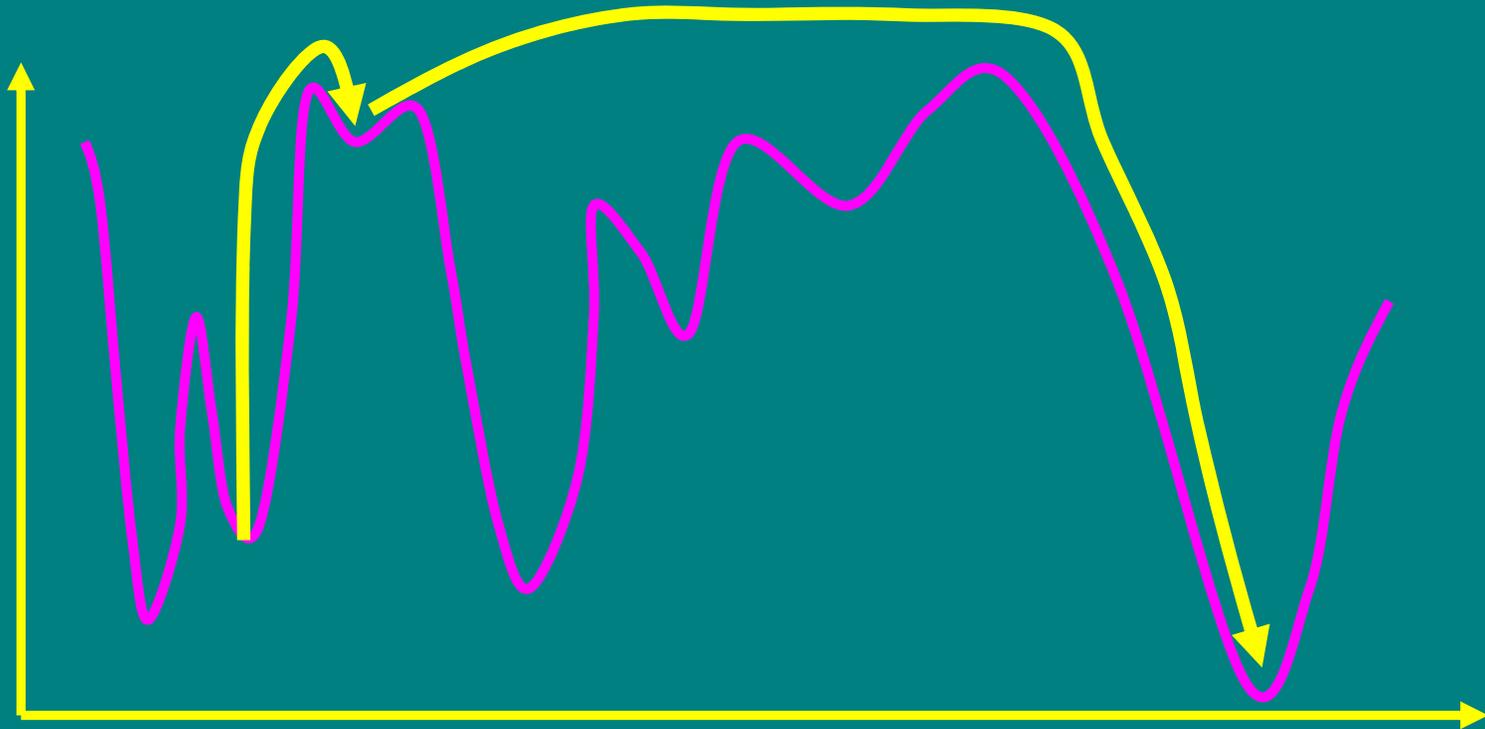
Step 1: Increase of deviation measure is allowed during **M** steps with high probability

Step 2: Only decrease of deviation measure is allowed during last **K** steps.

Stochastic Optimization method: update procedures.

Deviation
measure.

K+M chain
is
accepted
if final **D**
is smaller
than initial



CONFIGURATON

Shake-off two-step strategy:

Step 1: Increase of deviation measure is allowed during **M** steps with high probability

Step 2: Only decrease of deviation measure is allowed during last **K** steps.

Stochastic Optimization method.

- Particular solution $L^{(i)}(\omega)$ for LSF is presented as a sum of a number N of rectangles with some width, height and center.
- Initial configuration of rectangles is created by random number generator (i.e. number N and all parameters of rectangles are randomly generated).
- Each particular solution $L^{(i)}(\omega)$ is obtained by a naïve method without regularization (though, varying number N).
- Final solution is obtained after M steps of such procedure

$$L(\omega) = M^{-1} \sum_i L^{(i)}(\omega)$$

- Each particular solution has saw tooth noise
- Final averaged solution $L(\omega)$ has no saw tooth noise though not regularized with sharp peaks/edges!!!!

Self-averaging of the saw-tooth noise.

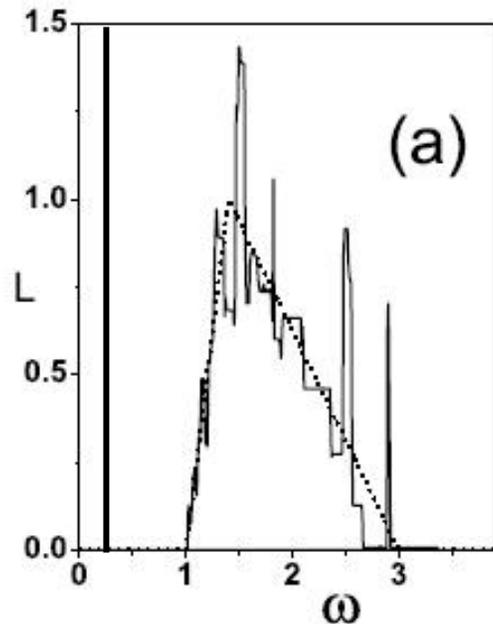


Fig. 7. Comparison of the actual spectral function (dashed line) with the results of spectral analysis after averaging over (a) $M = 4$, (b) $M = 28$, and (c) $M = 500$ particular solutions.

Self-averaging of the saw-tooth noise.

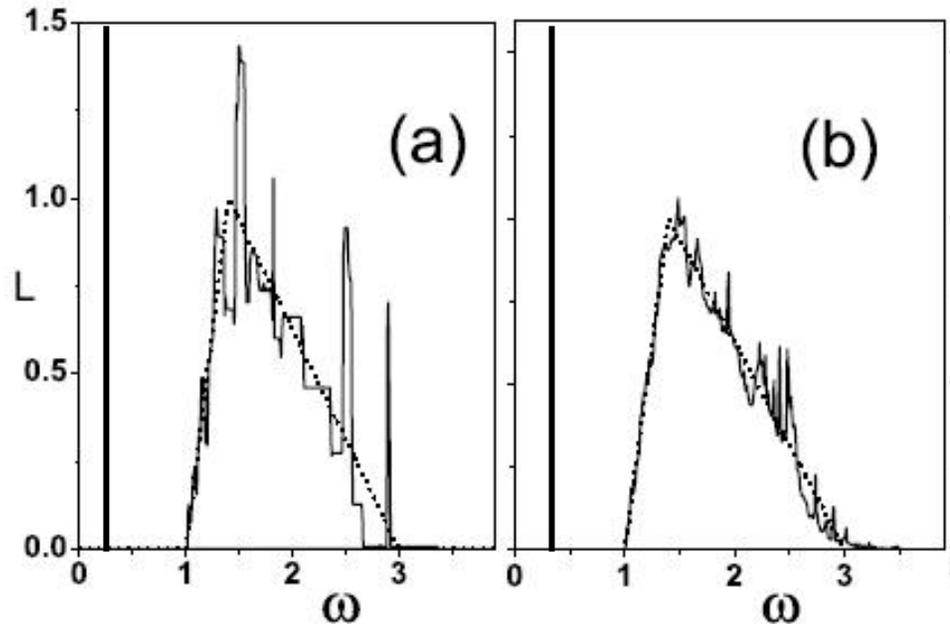


Fig. 7. Comparison of the actual spectral function (dashed line) with the results of spectral analysis after averaging over (a) $M = 4$, (b) $M = 28$, and (c) $M = 500$ particular solutions.

Self-averaging of the saw-tooth noise.

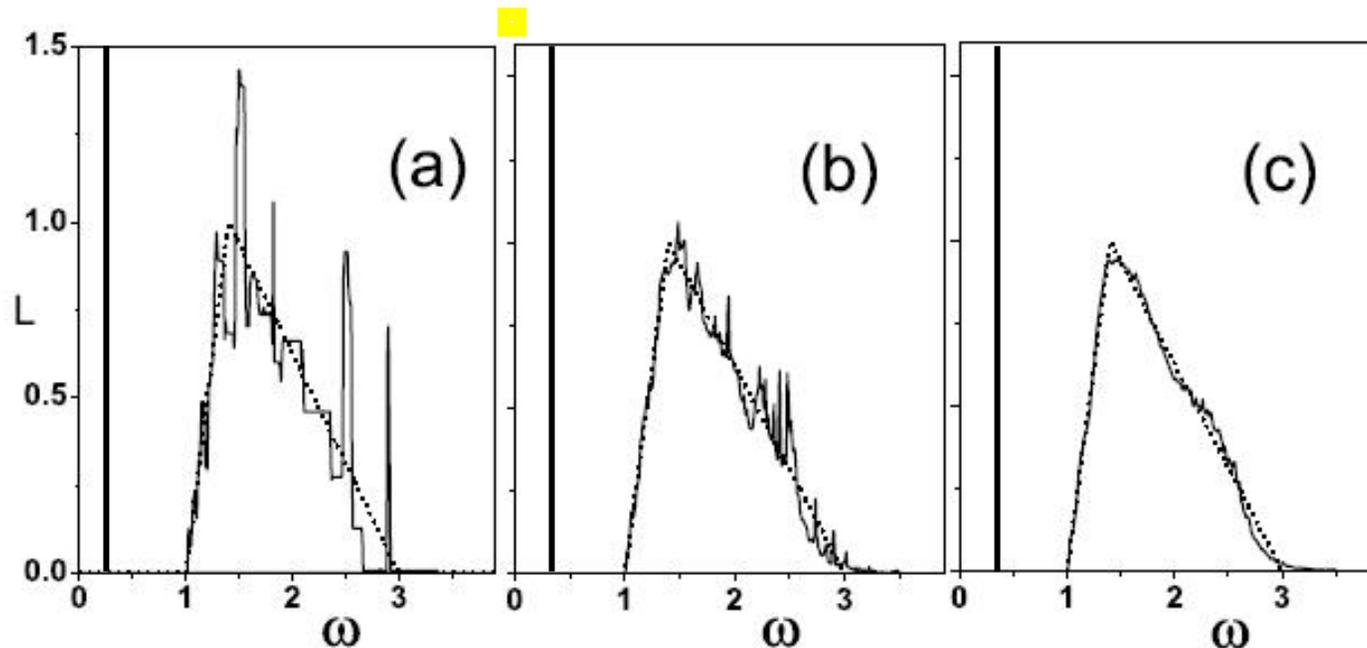


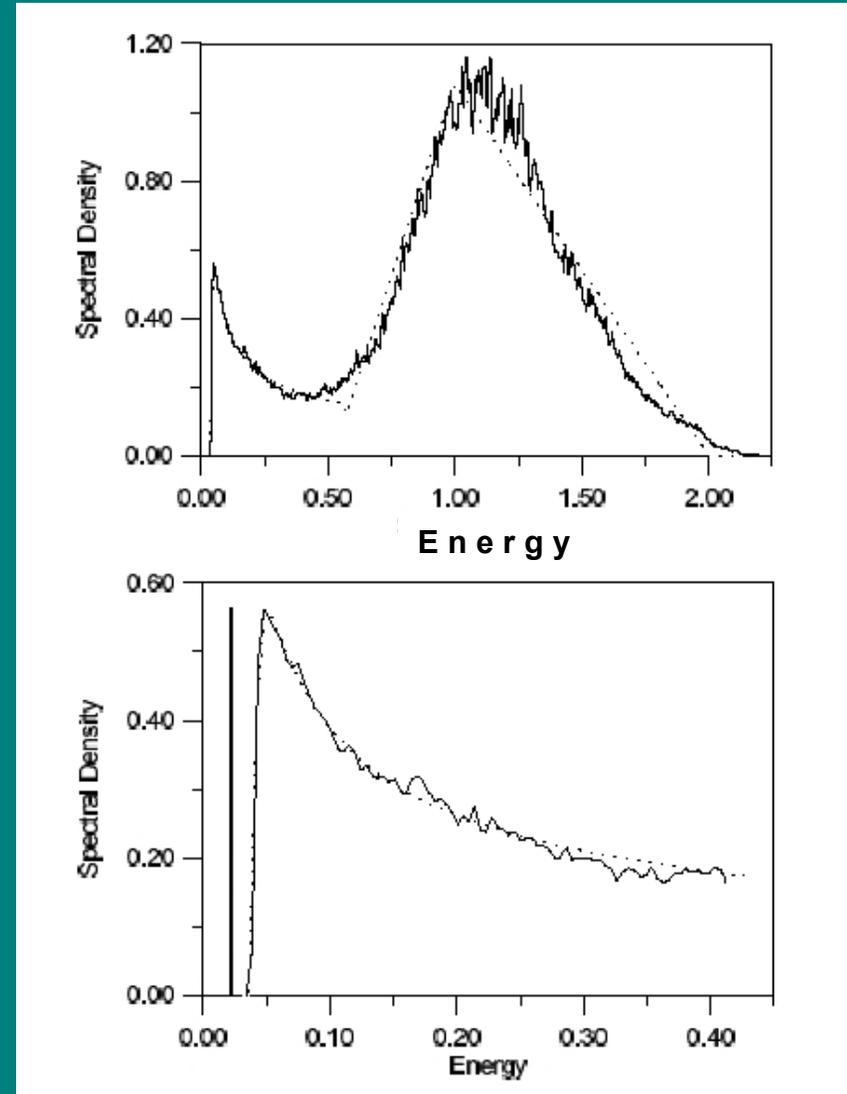
Fig. 7. Comparison of the actual spectral function (dashed line) with the results of spectral analysis after averaging over (a) $M = 4$, (b) $M = 28$, and (c) $M = 500$ particular solutions.

Test for Stochastic Optimization method

Lehman function for test has:

- ❑ Sharp δ -function with weight $Z=0.05$.
- ❑ Micro-gap to continuum with size $\delta\omega=0.01$
- ❑ Wide smooth incoherent continuum spread over $\delta\omega=2$

Such example of LSF is impossible to recover by any regularization procedure (including MEM). Though, Stochastic Optimization method is successful.



Analytical continuation of spectral data from imaginary time axis to real frequency axis using statistical sampling

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(Received 27 February 2007; revised manuscript received 2 May 2007; published 19 July 2007)

We present a method for performing analytical continuation of spectral data from imaginary time to real frequencies based on a statistical sampling method. Compared with the maximum entropy method (MEM), an advantage is that no default model needs to be introduced. For the problems studied here, the statistical sampling method gives comparable or slightly better results than MEM using quite accurate default models.

DOI: [10.1103/PhysRevB.76.035115](https://doi.org/10.1103/PhysRevB.76.035115)

PACS number(s): 72.15.Eb, 02.70.Ss

ity in Eq. (7) as a weight function. Comparing with the maximum entropy method (MEM), an advantage is that there is no need to provide a default model, which influences the MEM results if the method is close to its limit of applicability. For the problems considered here, the statistical sampling method gives comparable or slightly better results than MEM using default models close to the exact result. The

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Method DMC, SOM for analytic continuation and Frohlich polaron:

A. S. Mishchenko et. al., Phys. Rev B, vol. 62, 6317 (2000)

Pseudo-Jahn-Teller polaron:

A. S. Mishchenko and N. Nagaosa, Phys. Rev. Lett., vol. 86, 4624 (2001)

Exciton:

E. A. Burovskii et. al., Phys. Rev. Lett., vol. 87, 186402 (2001)

Optical conductivity of polaron:

A. S. Mishchenko et. al., Phys. Rev. Lett., vol. 91, 236401 (2003)

ARPES in t-J-Holstein model:

A. S. Mishchenko and N. Nagaosa, Phys. Rev. Lett., vol. 93, 036402 (2004)

Role of adiabatic processes in optical conductivity:

G. de Filippis et. al., Phys. Rev. Lett., vol. 96, 136405 (2006)

Reviews:

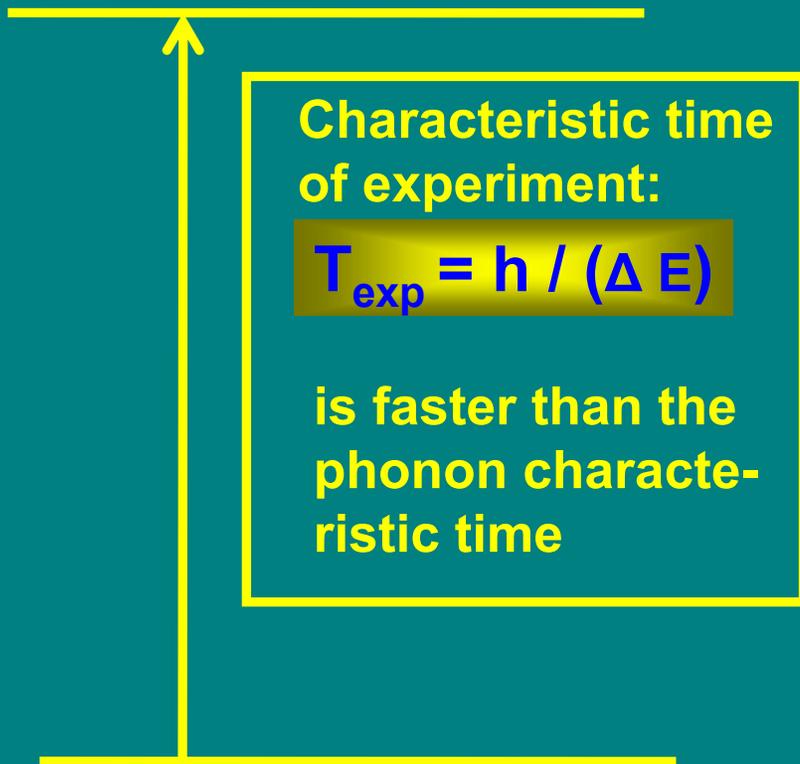
A. S. Mishchenko, Phys-Usp, vol. 48, 887 (2005).

A. S. Mishchenko and N. Nagaosa, J. Phys. Soc. J., vol. 75, 011003 (2006).

A. S. Mishchenko and N. Nagaosa, Polarons, Springer, ed. by Alexandrov

Franck-Condon principle. Is it good for optical spectroscopy?

Electronic configuration # 2



Electronic configuration # 1

Optical conductivity of polaron:

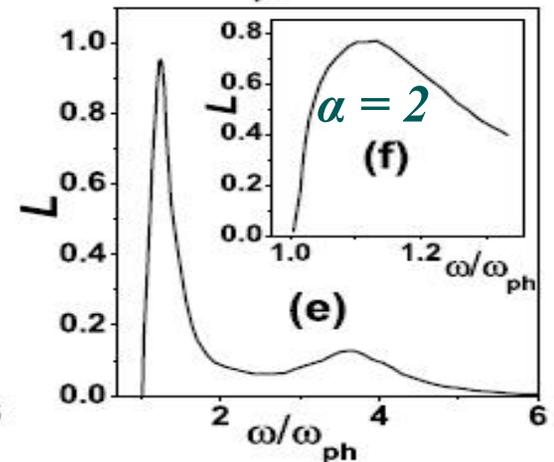
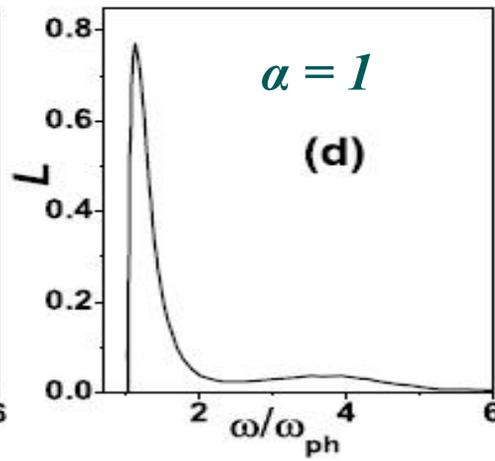
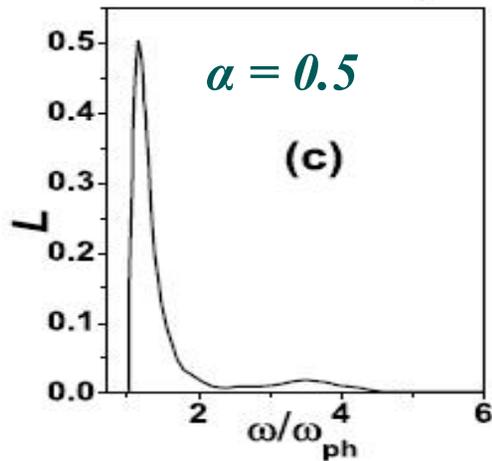
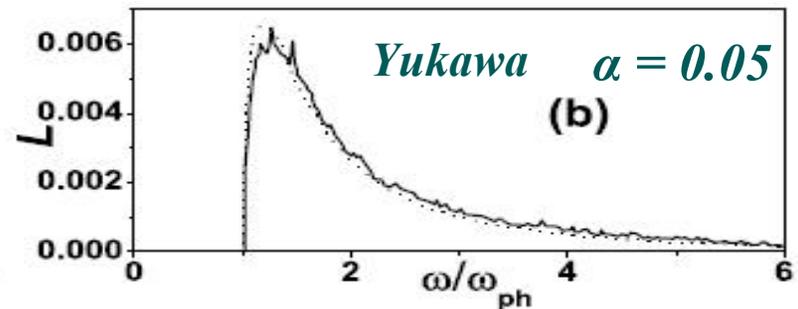
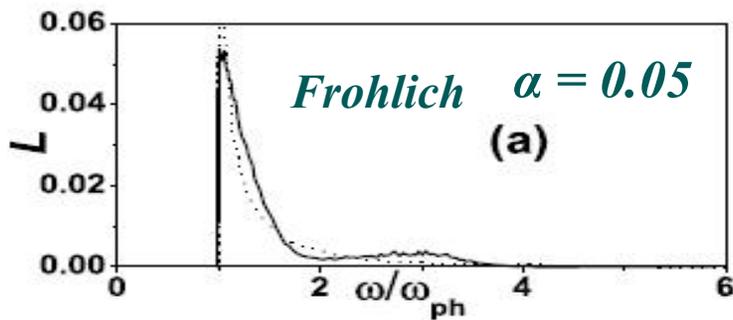
$$\#1 = \#2$$

FC principle is invalid?

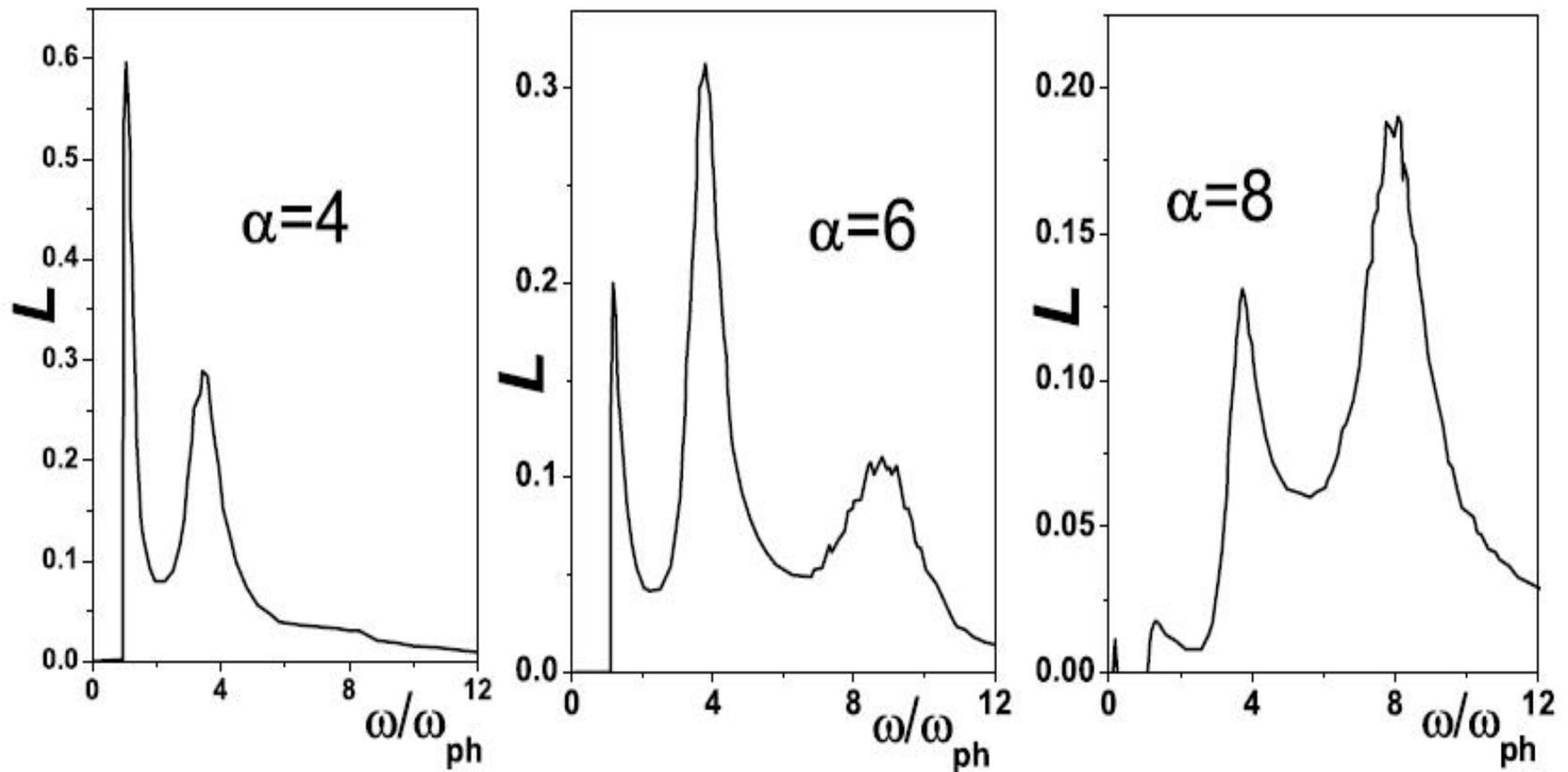
Lehman function of Frohlich polaron - weak coupling

$$\hat{H}_{\text{par-bos}} = i \sum_{\kappa}^Q \sum_{\mathbf{k}, \mathbf{q}} \sum_{i, j=1}^T \gamma_{ij, \kappa}(\mathbf{k}, \mathbf{q}) (b_{\mathbf{q}, \kappa}^{\dagger} - b_{-\mathbf{q}, \kappa}) a_{i, \mathbf{k}-\mathbf{q}}^{\dagger} a_{j, \mathbf{k}} + h.c.$$

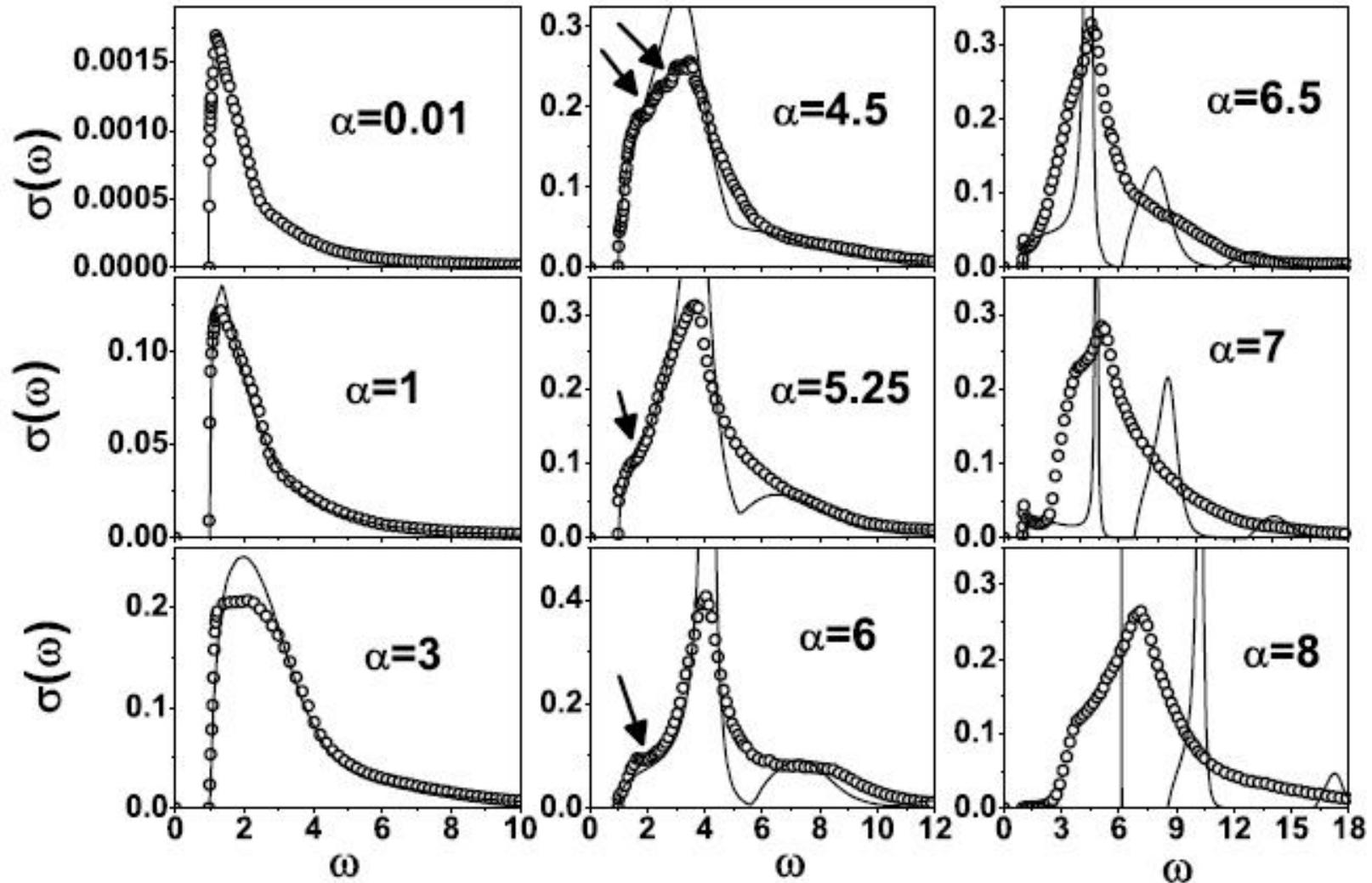
Coupling vortex: $\gamma(\mathbf{k}, \mathbf{q}) = a/q$



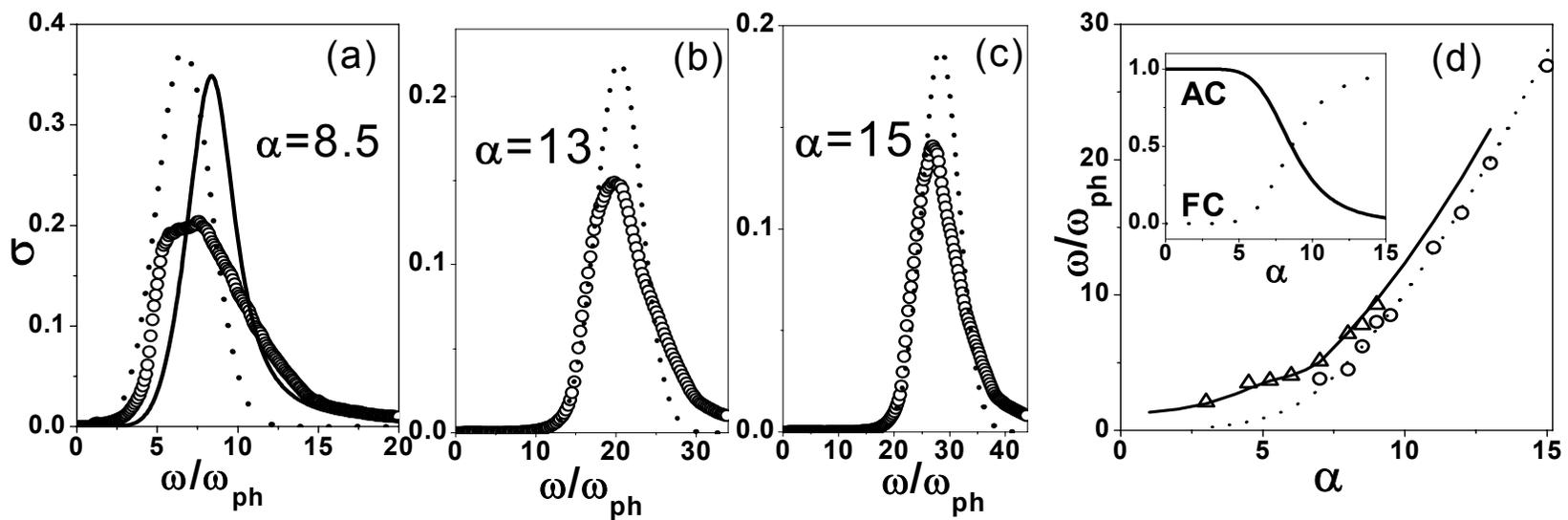
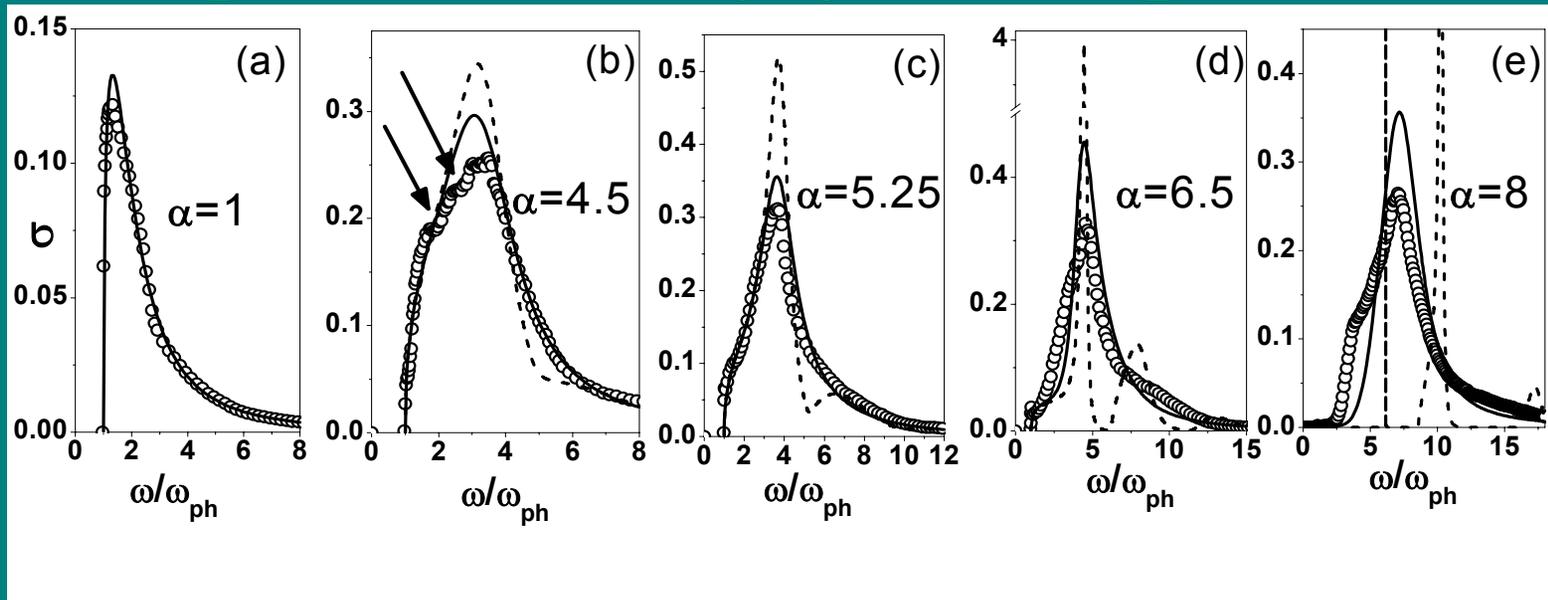
Lehman function of Frohlich polaron - intermediate and strong coupling



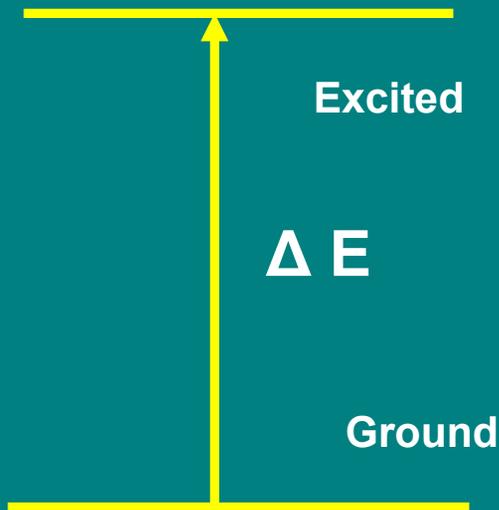
Optical conductivity of Froelich polaron



Optical conductivity of Froelich polaron



Times hierarchy in measurements process



Characteristic time of experiment:

$$T_{\text{exp}} = h / (\Delta E)$$

Characteristic time of system:
Interconfigurational mixing τ_{ic}

Adiabatic regime:

All subsystems of system are adjusted (e.g. electronic and lattice) during the measurement event

$$T_{\text{exp}} \gg T_{\text{ic}}$$

- static measurement (static susceptibility)
- Slow measurement (infrared optical response)

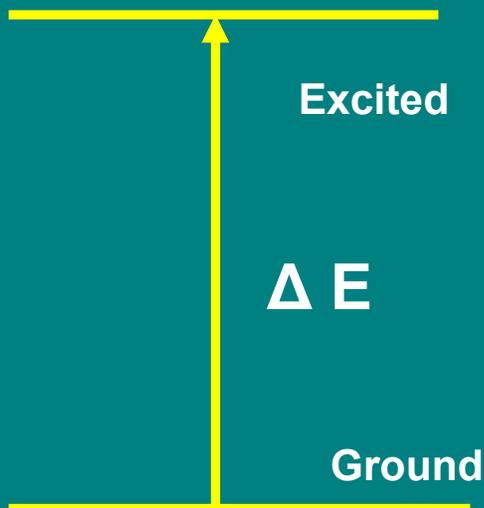
Franck-Condon regime:

No time to adjust all subsystems (e.g. electronic and lattice) during the measurement event

$$T_{\text{exp}} \ll T_{\text{ic}}$$

(ARPES, high-energy optics)

Nonadiabatic mixing



$$\Psi_{GR}(r, Q) = \sum_e \sum_{\beta} \xi_{e\beta}^{GR} \chi_{e\beta}(Q) \phi_e(r, Q)$$

$$\Psi_{EX}(r, Q) = \sum_e \sum_{\beta} \xi_{e\beta}^{EX} \chi_{e\beta}(Q) \phi_e(r, Q)$$

$$(E - E_{e\beta}) \xi_{e\beta} = \sum_l \sum_{\mu} D \xi_{l\mu}$$

D – nonadiabatic operator

Maximal mixing between ground and excited state:

$$\xi_{gr}^{EX} = 2^{-1/2} ; \xi_{ex}^{GR} = 2^{-1/2}$$

Measure for adiabatically connected processes:

$$I_{AC} = 2 \xi_{gr}^{EX} \xi_{ex}^{GR}$$

Measure for Franck-Condon processes:

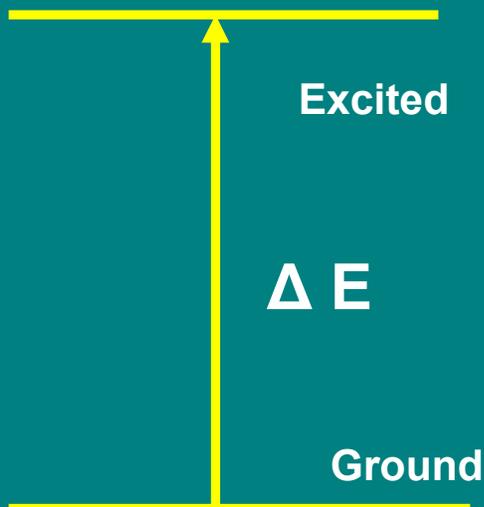
$$I_{AC} = 1 - I_{FC}$$

Interconfigurational mixing time:

$$\tau_{ic} = 1 / \langle D_{GR \rightarrow EX} \rangle$$

Nonadiabatic mixing

K.A.Kikoin and A.S.Mishchenko,
Sov.Phys.JETP, vol. 77, 828 (1993).



$$D = (M/\Delta E) n_{\beta}^{1/2} + (M/\Delta E)^2$$
$$M \sim \alpha^{1/2} ; n_{\beta} \sim \Delta E ; \Delta E \sim \alpha^2$$

Maximal mixing between
ground and excited state:

$$\xi_{gr}^{EX} = 2^{-1/2} ; \xi_{ex}^{GR} = 2^{-1/2}$$

Measure for adiabatically
connected processes:

$$I_{AC} = 2 \xi_{gr}^{EX} \xi_{ex}^{GR}$$

Measure for Franck-Condon
processes:

$$I_{AC} = 1 - I_{FC}$$

$$I_{FC} = [1 + 4 (T_{exp} / T_{ic})^2]^{-1}$$

$$T_{ic} = 1 / \langle D_{GR \rightarrow EX} \rangle$$

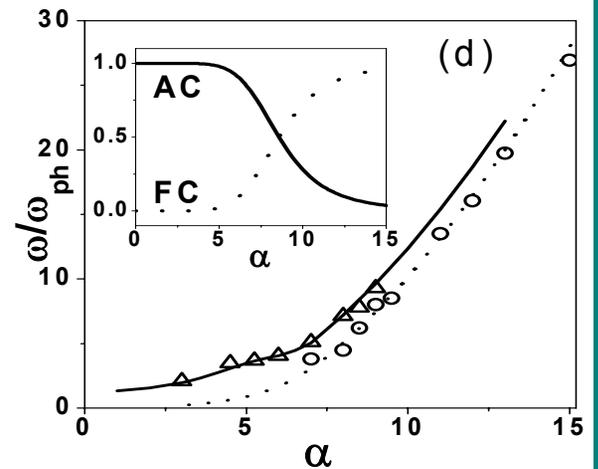
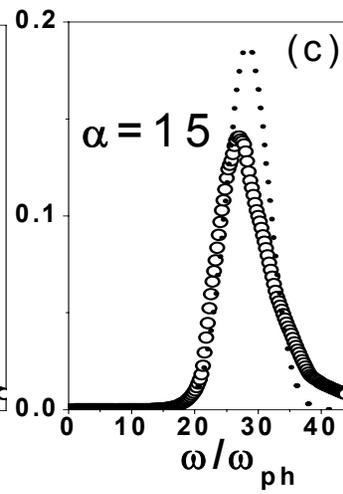
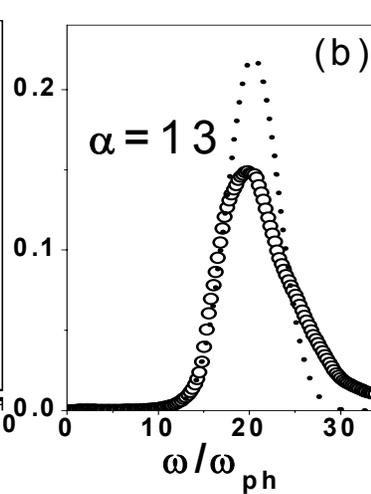
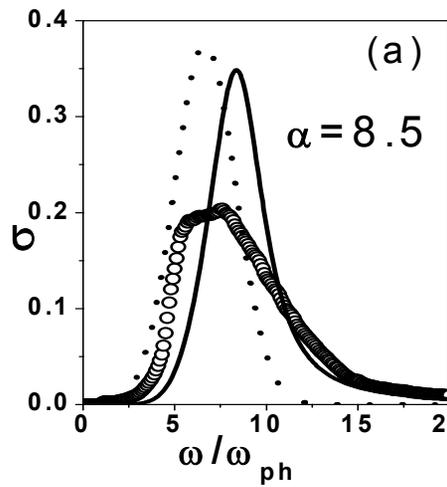
$$T_{exp} = 1 / (\Delta E)$$

Nonadiabatic mixing

$$I_{FC} = [1 + 4 (\tau_{\text{exp}} / \tau_{\text{ic}})^2]^{-1}$$

$$\tau_{\text{ic}} = 1 / \langle D_{\text{GR} \rightarrow \text{EX}} \rangle$$

$$\tau_{\text{exp}} = 1 / (\Delta E)$$



Problems of theoretical description of ARPES spectra in $Sr_2CuO_2Cl_2$ and $Ca_{2-x}Na_xCuO_2Cl_2$

Theoretical results for undoped insulators:

1. Lehman spectral function at all momenta has a **sharp** quasiparticle peak
2. Sharp quasiparticle peak has dispersion with the bandwidth of the order of exchange constant J .

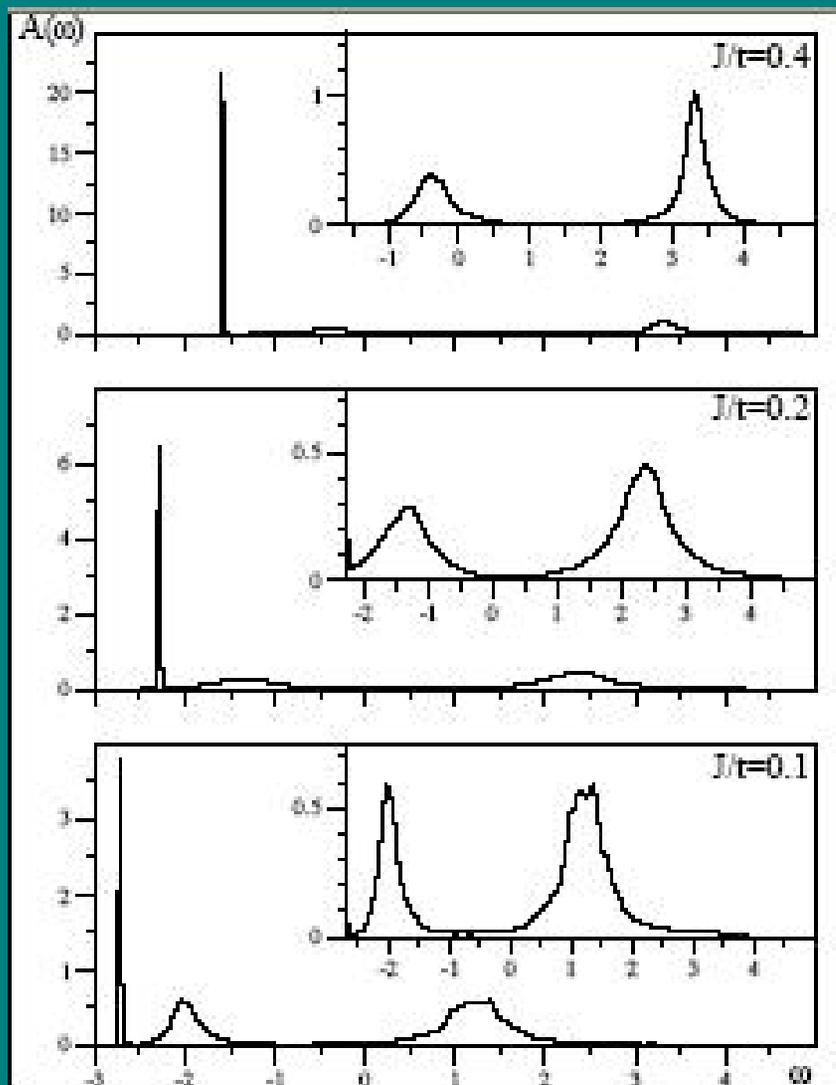
Experimental results:

1. ARPES data at all momenta demonstrate a very **broad** quasiparticle peak in the low energy part with incoherent continuum at high energies.
2. The dispersion of broad quasiparticle peak coincides with prediction of extended t - J model (t - t' - t'' - J model).

**Complete success of theory in explanation of dispersion and
Complete failure of theory in explanation of linewidth.**

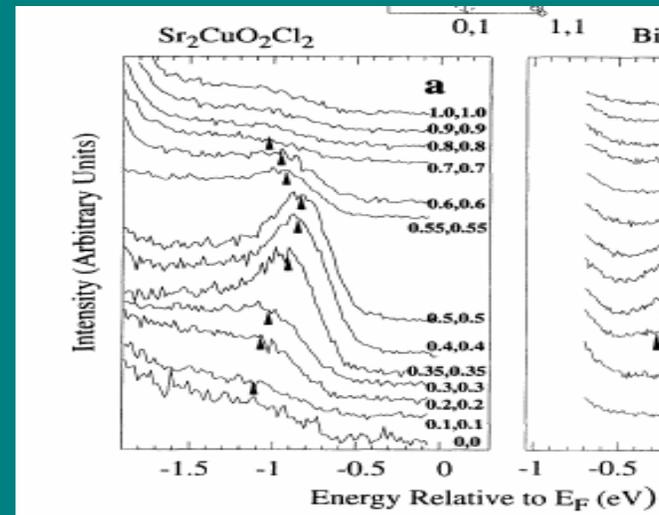
Main problem is the LINE SHAPE

Theory

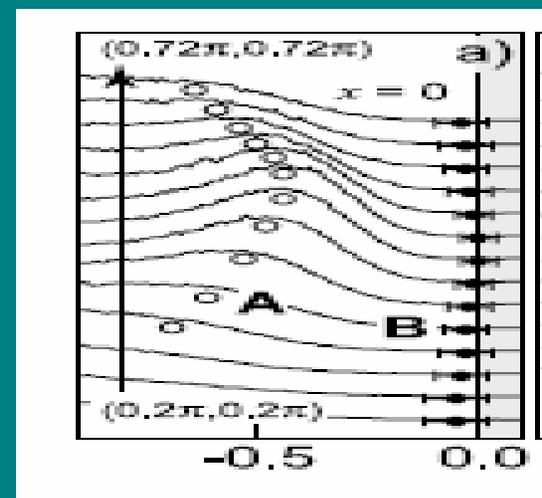


*A.S. Mishchenko, B.V. Svistunov,
N.V. Prokof'ev, PRB, vol. 64, 033101 (2001)*

Experiment



Wells et al, PRL (1995)



K. M. Shen et al, PRL (2004)

Spin-wave approximation in momentum representation for single hole in t - t' - t'' - J model interacting with phonons

Hole with dispersion $\varepsilon(k)$ in magnon and phonon bathes

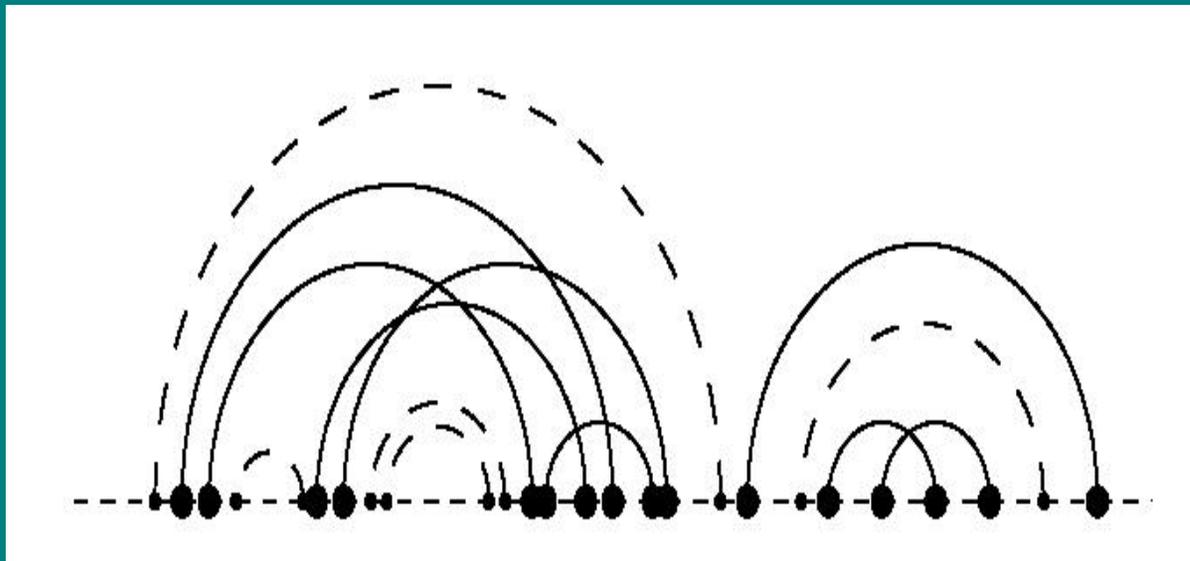
$$H^{(0)}_{tt't''-J} = \sum_{\mathbf{k}} \varepsilon(\mathbf{k}) h_{\mathbf{k}}^{\dagger} h_{\mathbf{k}} + \sum_{\mathbf{k}} v(\mathbf{k}) \alpha_{\mathbf{k}}^{\dagger} \alpha_{\mathbf{k}} + \sum_{\mathbf{k}} \omega_{\text{ph}} b_{\mathbf{k}}^{\dagger} b_{\mathbf{k}}$$

$$\text{Scattering on magnons: } H_{h-m} = N^{-1} \sum_{\mathbf{k}, \mathbf{q}} M_{\mathbf{k}, \mathbf{q}} [h_{\mathbf{k}}^{\dagger} h_{\mathbf{k}-\mathbf{q}} \alpha_{\mathbf{q}} + \text{h.c.}]$$

$$\text{Scattering on phonons: } H_{h-ph} = N^{-1} \sum_{\mathbf{k}, \mathbf{q}} \gamma [h_{\mathbf{k}}^{\dagger} h_{\mathbf{k}-\mathbf{q}} b_{\mathbf{q}} + \text{h.c.}]$$

Dimensionless EPI constants: $\lambda = \gamma^2 / 4t\omega_{\text{ph}}$

Feynman expansion which is sufficient for problem of one hole in t-J model coupled to phonons.



1. Intercrossing of phonon propagators is taken into account.

2. Magnon-magnon vertex corrections are neglected because coupling of the hole to magnons is weak.

This expansion can be summed by numerically exact Diagrammatic Monte Carlo method where all Feynman graphs are generated by Monte Carlo and summed up without systematic errors.

1. A.S.Mishchenko, N.V.Prokof'ev, A.Sakamoto, and B.V.Svistunov, Phys.Rev. B, vol.62, 6317 (2000).
2. A.S.Mishchenko and N.Nagaosa, Phys.Rev.Lett., vol.86, 4624 (2001).
3. E.A.Burovski, A.S.Mishchenko, N.V.Prokof'ev and B.V.Svistunov, Phys.Rev.Lett., vol.87, 186402 (2001).
4. A.S.Mishchenko, N.Nagaosa, N.V.Prokof'ev, A.Sakamoto, and B.V.Svistunov, Phys.Rev.Lett., vol.91, 236401 (2003).

Demonstration of the importance of phonon-phonon vertex corrections

Ordinary 2D Holstein model

Near neighbour hopping of hole

$$H_{t-J}^{(0)} = \sum_{\mathbf{k}} \varepsilon_{\mathbf{k}} h_{\mathbf{k}}^{\dagger} h_{\mathbf{k}}; \quad \varepsilon_{\mathbf{k}} = 2t \sum_{i=x,y} \cos(k_i)$$

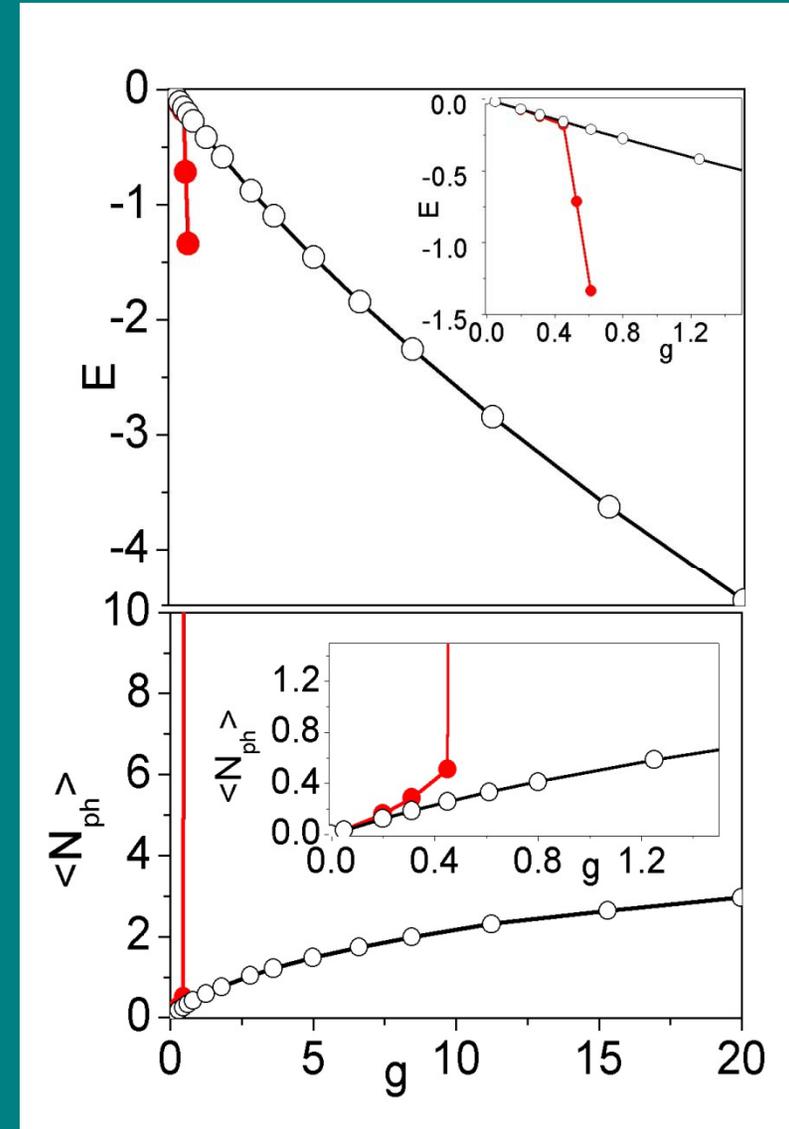
Scattering of the hole by phonons

$$H_{e-ph} = \Omega \sum_{\mathbf{k}} b_{\mathbf{k}}^{\dagger} b_{\mathbf{k}} + N^{-1} \gamma \sum_{\mathbf{k}, \mathbf{q}} [h_{\mathbf{k}}^{\dagger} h_{\mathbf{k}-\mathbf{q}} b_{\mathbf{q}} + \text{h.c.}]$$

For polaron problem DMC method is able to sum up Feynman graphs exactly:

- (a) The whole sequence
- (b) In non-crossing approximation

$t=1, \Omega=0.1$
Dimensionless constant
 $g=\gamma^2/(8t\Omega)$

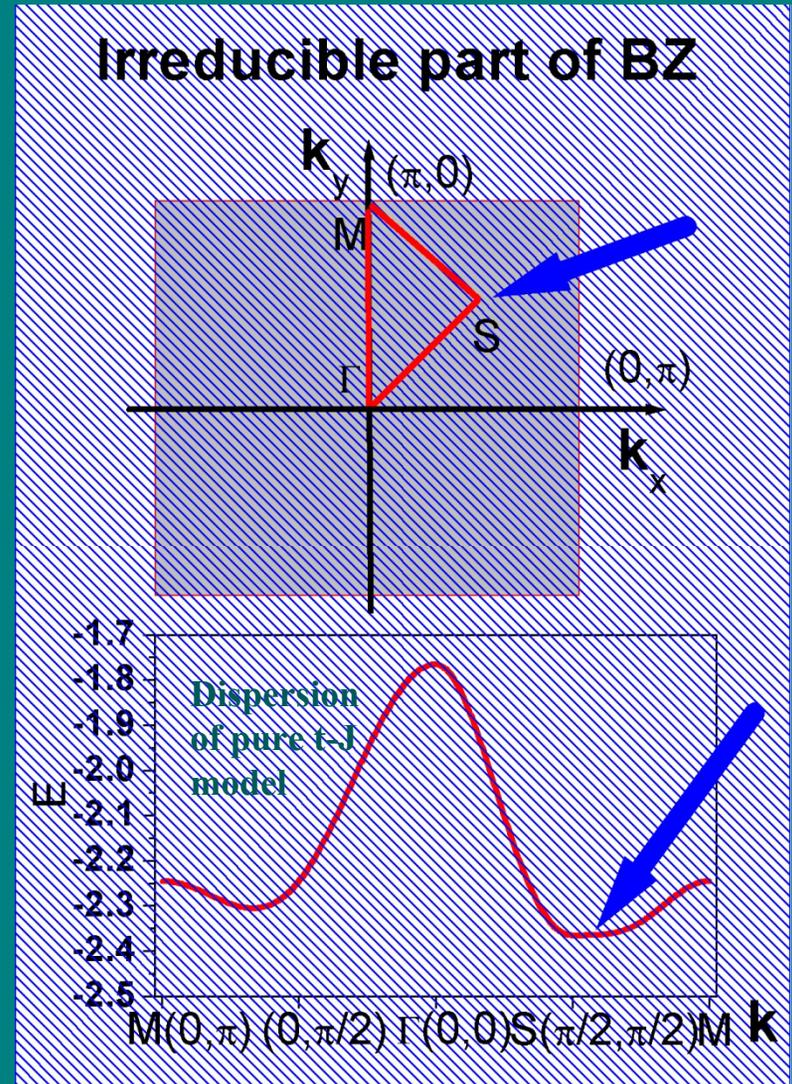
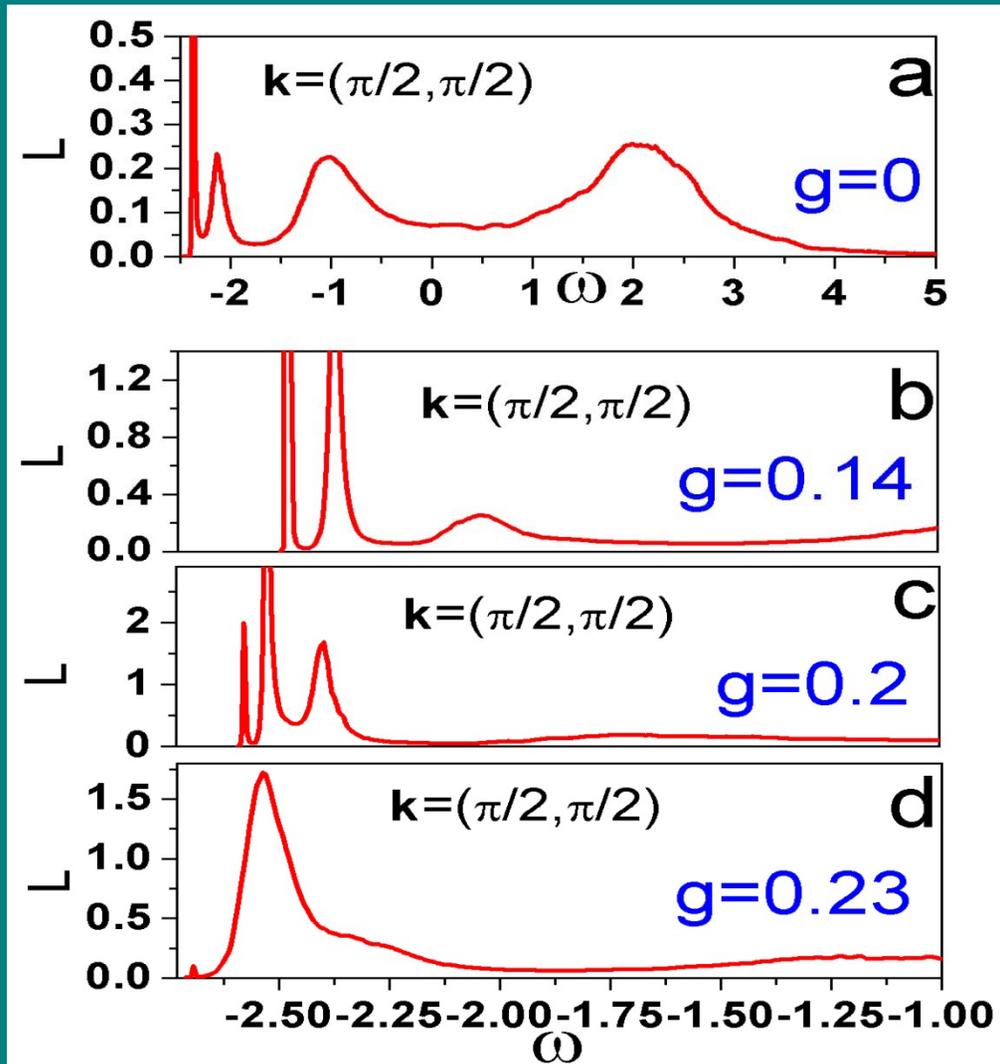


Transition into strong coupling regime:

(a) Occurs at $\lambda=1$ in exact summation

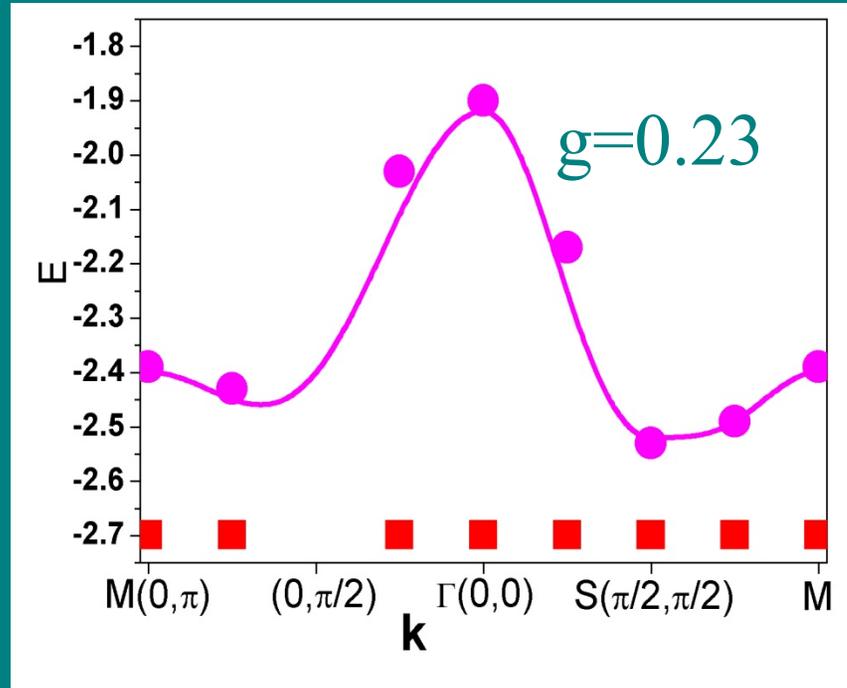
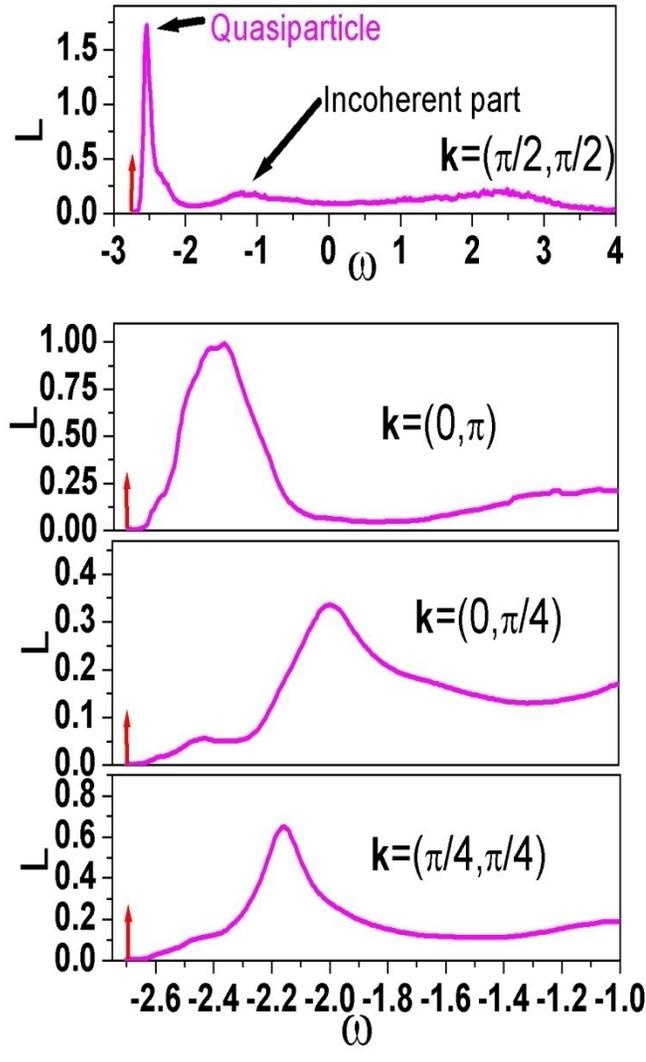
(b) Newer occurs in NCA ($\lambda < 60$)

Dependence of ARPES spectrum in ground state S on the interaction constant g



Dispersion of the broad peak in strong coupling regime

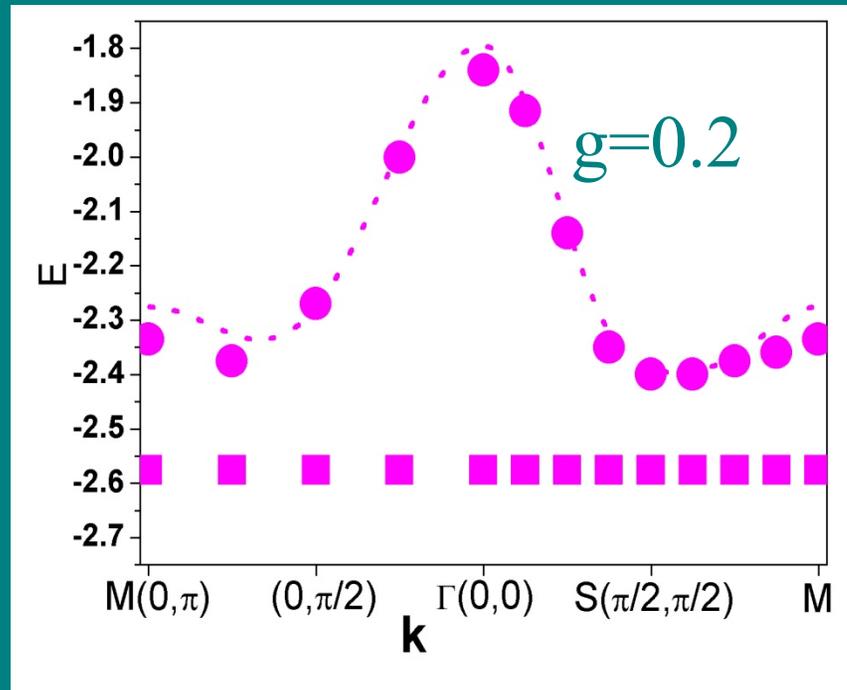
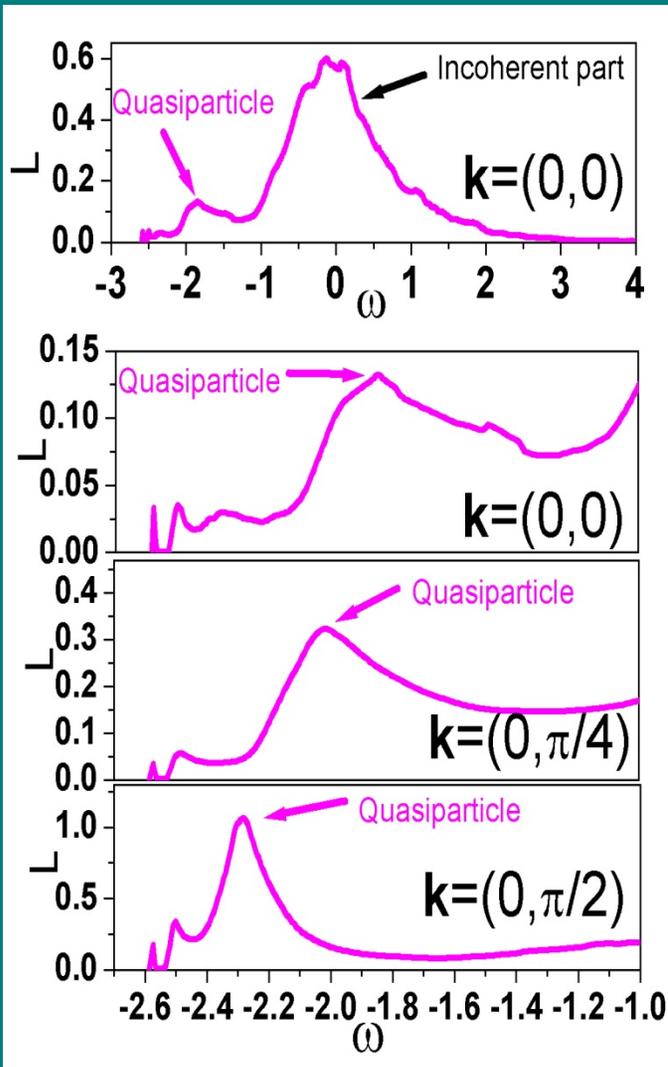
$g=0.23$



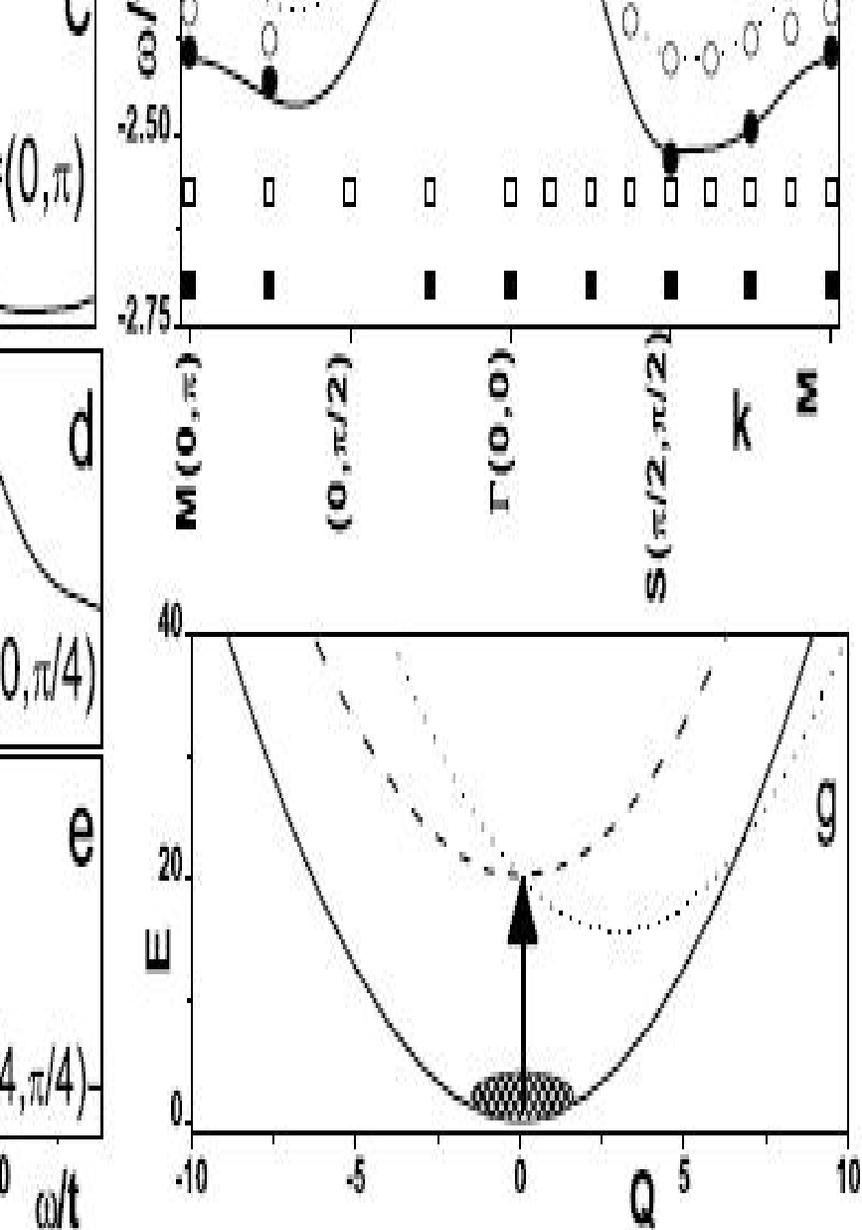
Great surprise: the dispersion of broad peak is exactly the same as that of pure t - J model.

Dispersion of the broad peak in strong coupling regime

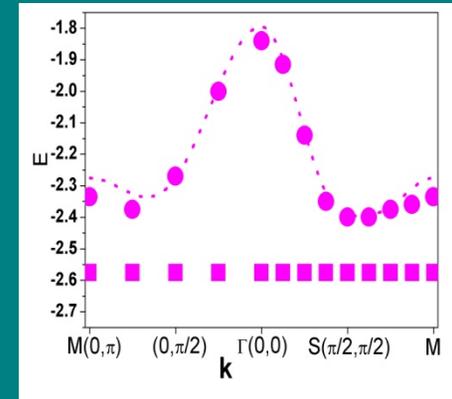
$g=0.2$



Similar dispersion of broad peak in strong coupling regime and that of pure t-J model is the general feature of strong coupling regime



O. Rosch
and
O. Gunnarsson
Europhys. J. B
v. 43, 11 (2005)



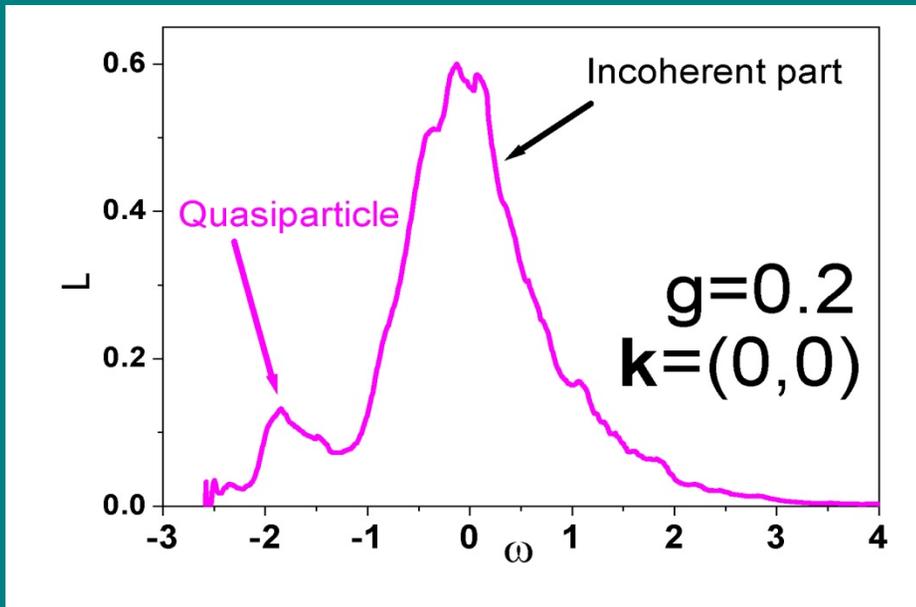
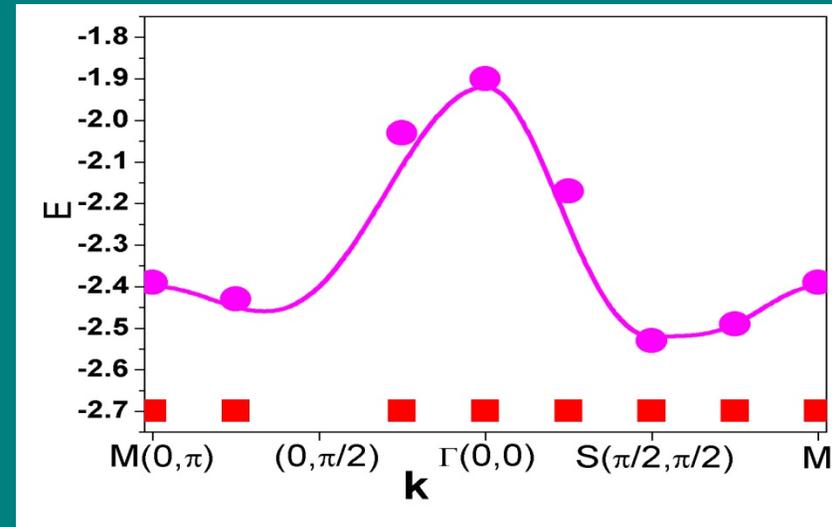
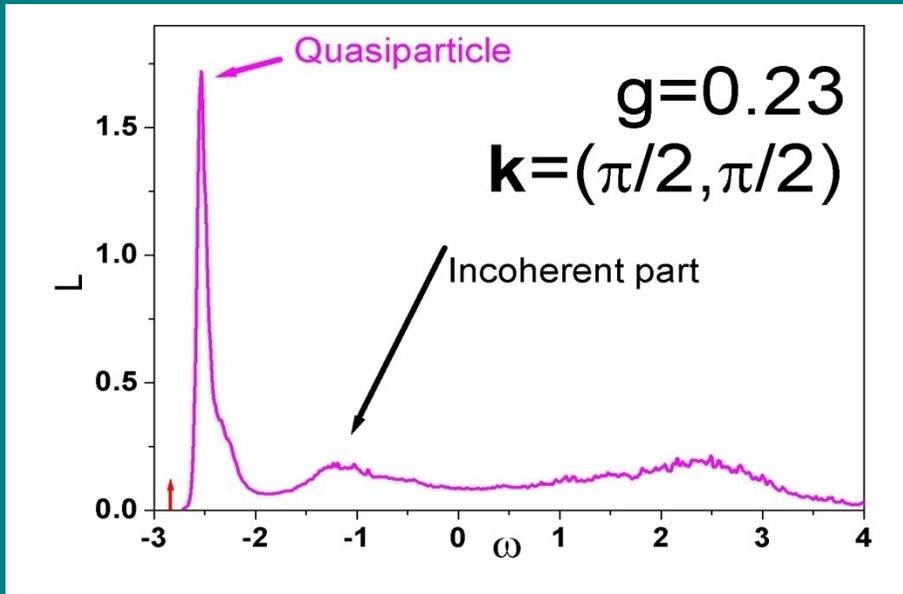
$$E_{\text{low}} = Q^2/2$$

$$E_{\text{up}} = D + Q^2/2 - \lambda Q$$

$$E_{\text{up}} = D + (Q-\lambda)^2/2 - \lambda^2/2$$

$$Q = 0 \quad \text{!!!!!!!}$$

Theoretical predictions are consistent with experiment

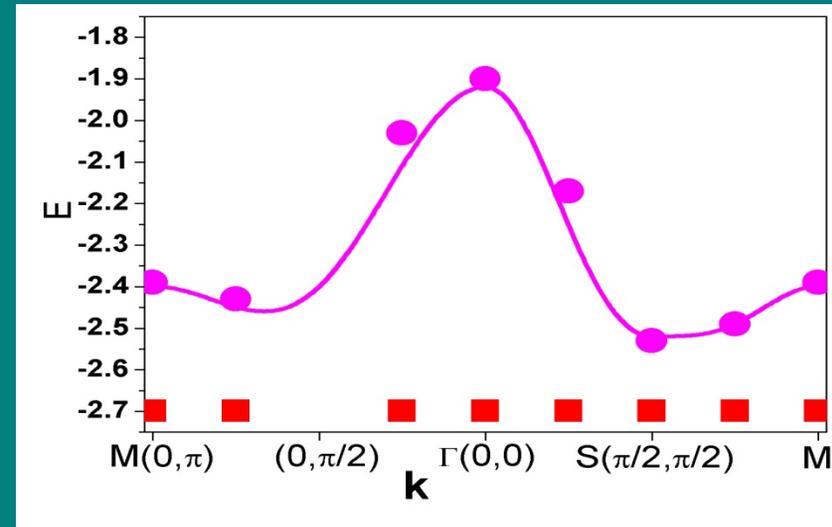
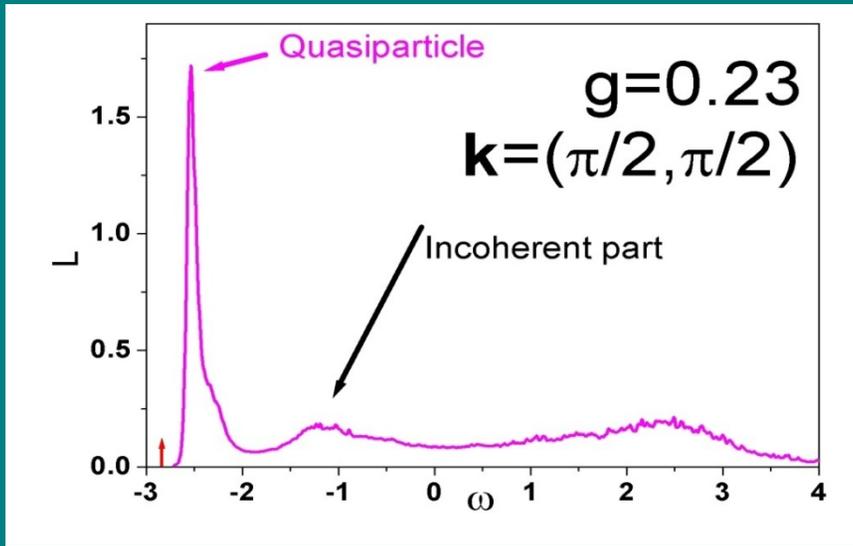


1. Broad quasiparticle has dispersion like in pure t-J model.

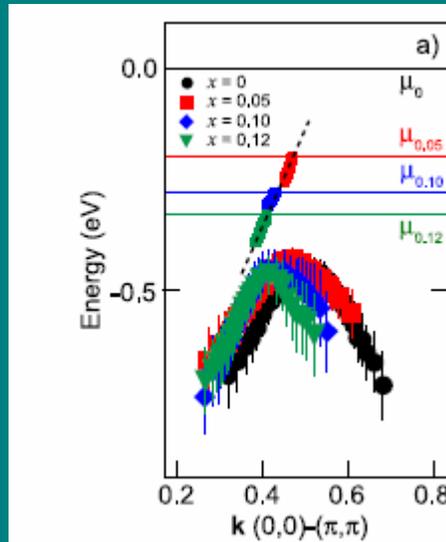
2. Weights of broad peaks are the same as in pure t-J model.

3. Nondispersive peak of ground state has small weight and can not be seen in ARPES.

Theoretical predictions are consistent with experiment

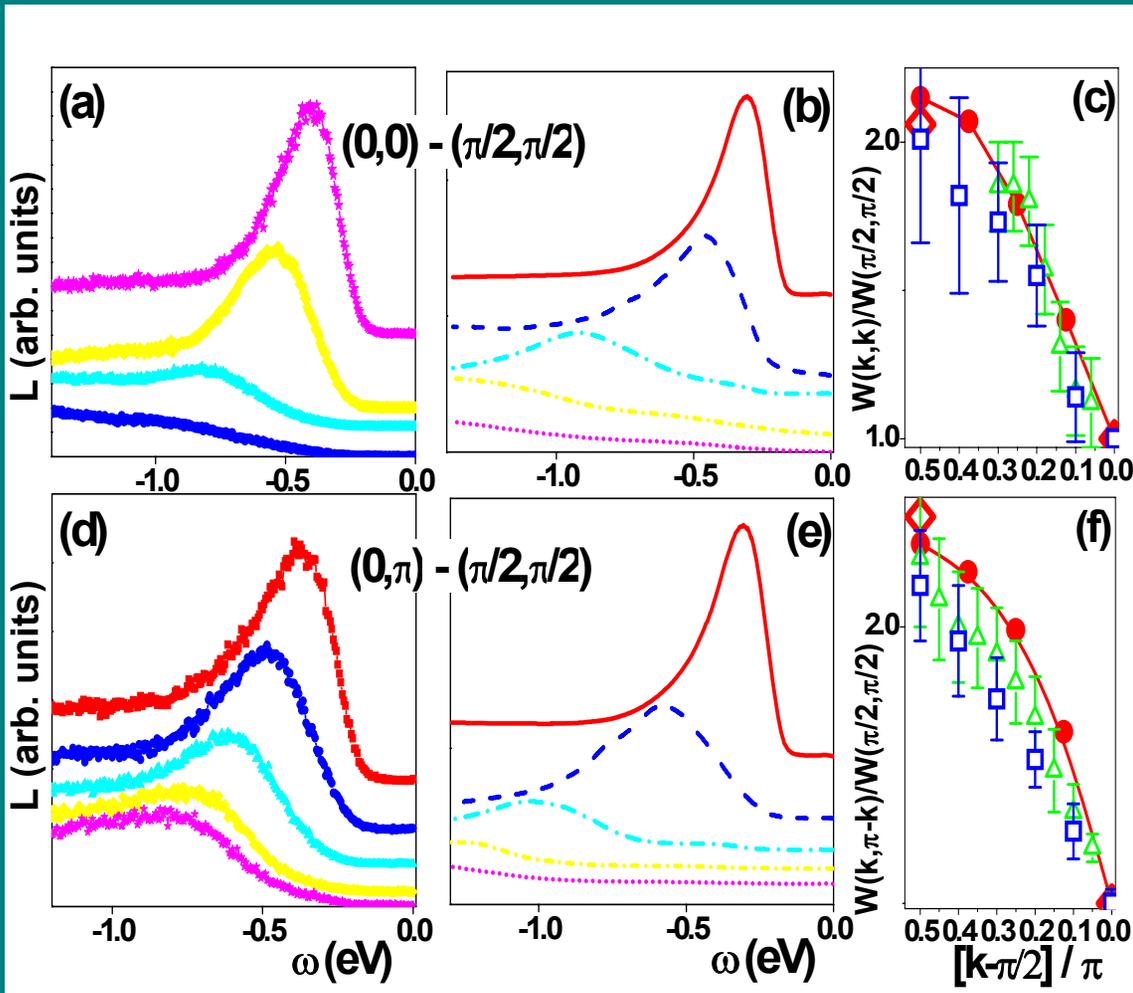


K M Shen
 et al, PRL,
 2004



Chemical potential
 must be separated
 from the “fake”
 quasiparticle?

Linewidth ratio $W(x,x)/W(\pi/2,\pi/2)$
exactly reproduces experiment

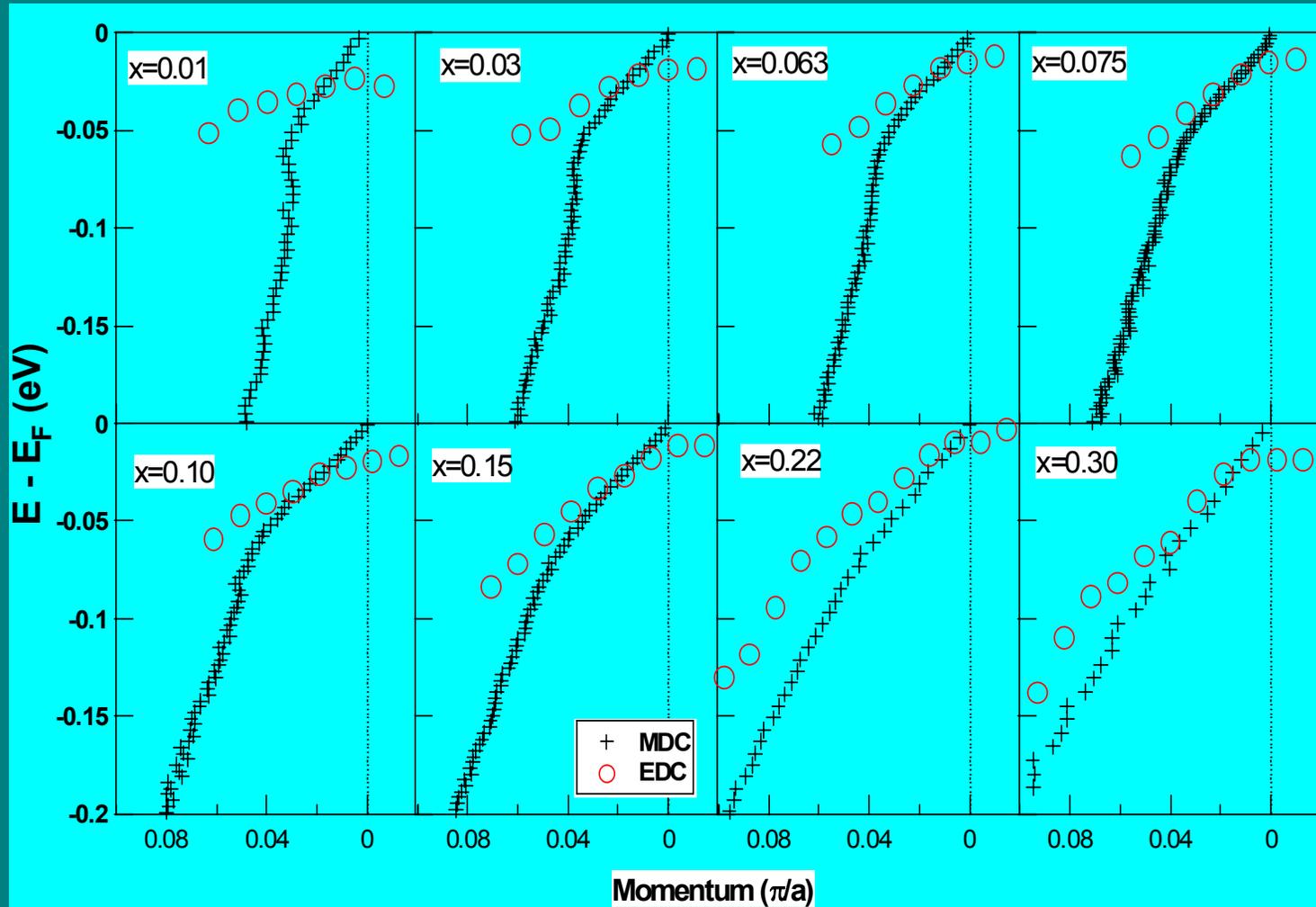


The ratio $W(x,x) / W(\pi/2,\pi/2)$
is **universal**
in theory
for any λ in
strong coupling
regime
($\lambda=0.7, 1.0$)
and **universal**
in experiment
for different
compounds
($\text{Sr,Ca})_2\text{CuO}_2\text{Cl}_2$

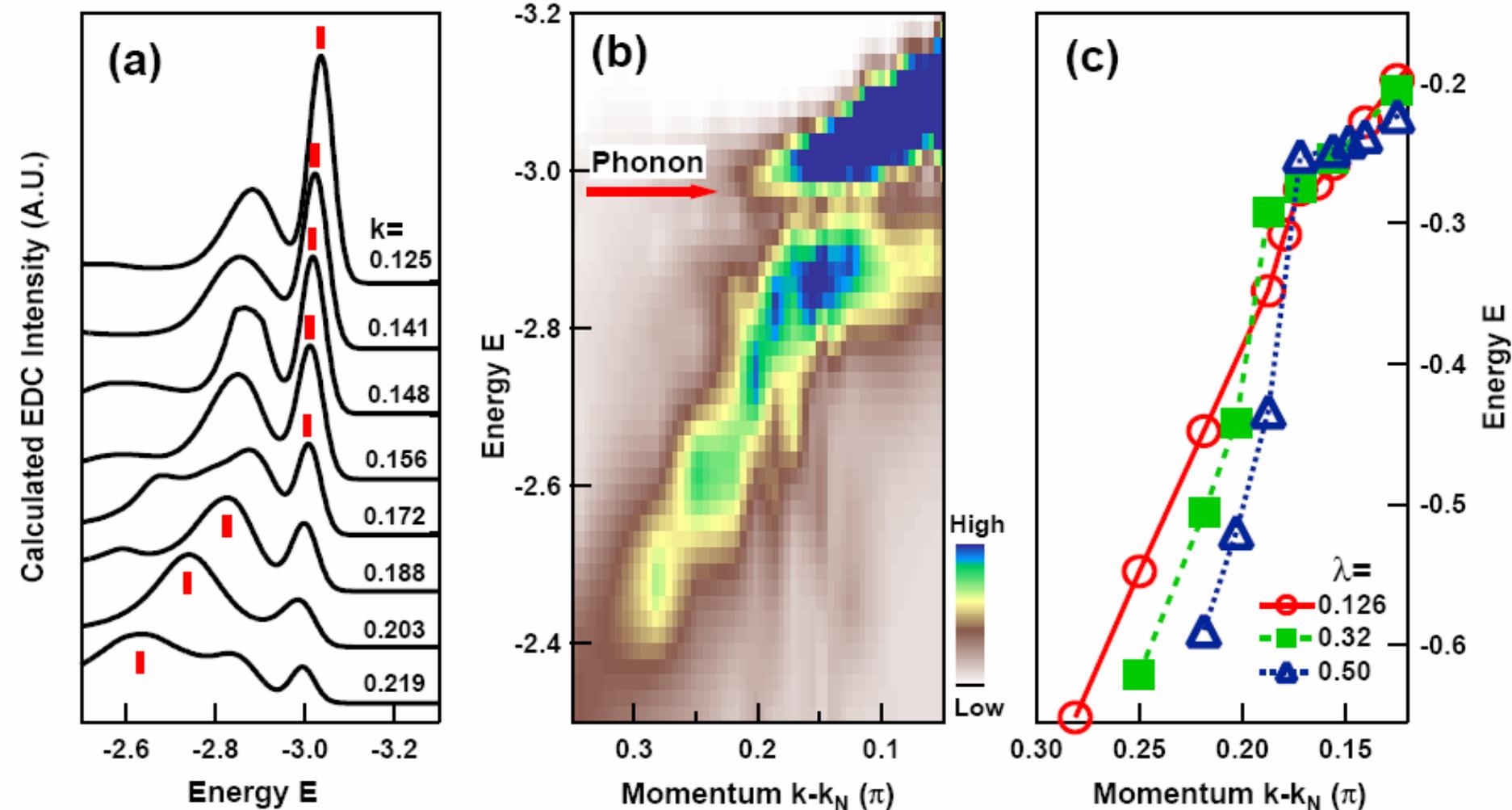
Doping dependence of the effective one-particle e-ph coupling

- 1. Spectral function measured by ARPES is the one-particle property of many-particle system.**
- 2. Presence of other particles reduces the effective electron-phonon coupling which is seen by one particle.**
- 3. Assuming mean-field like suppression of effective one-particle coupling we try to determine the doping dependence of effective electron-phonon coupling.**

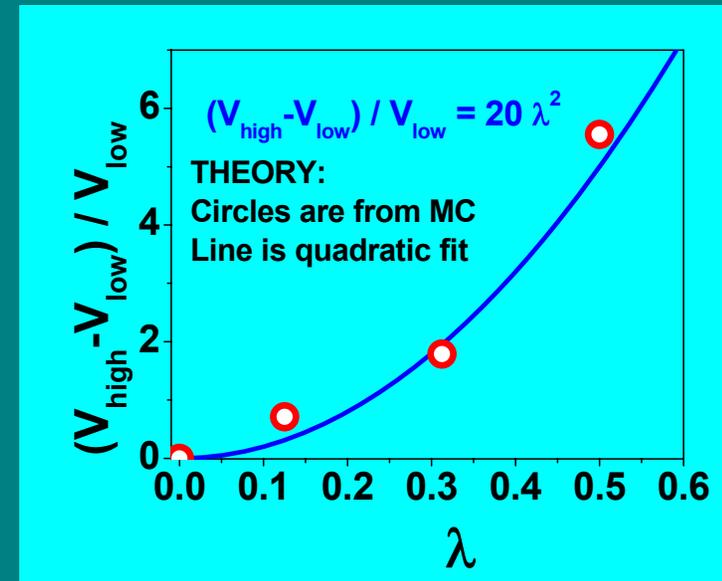
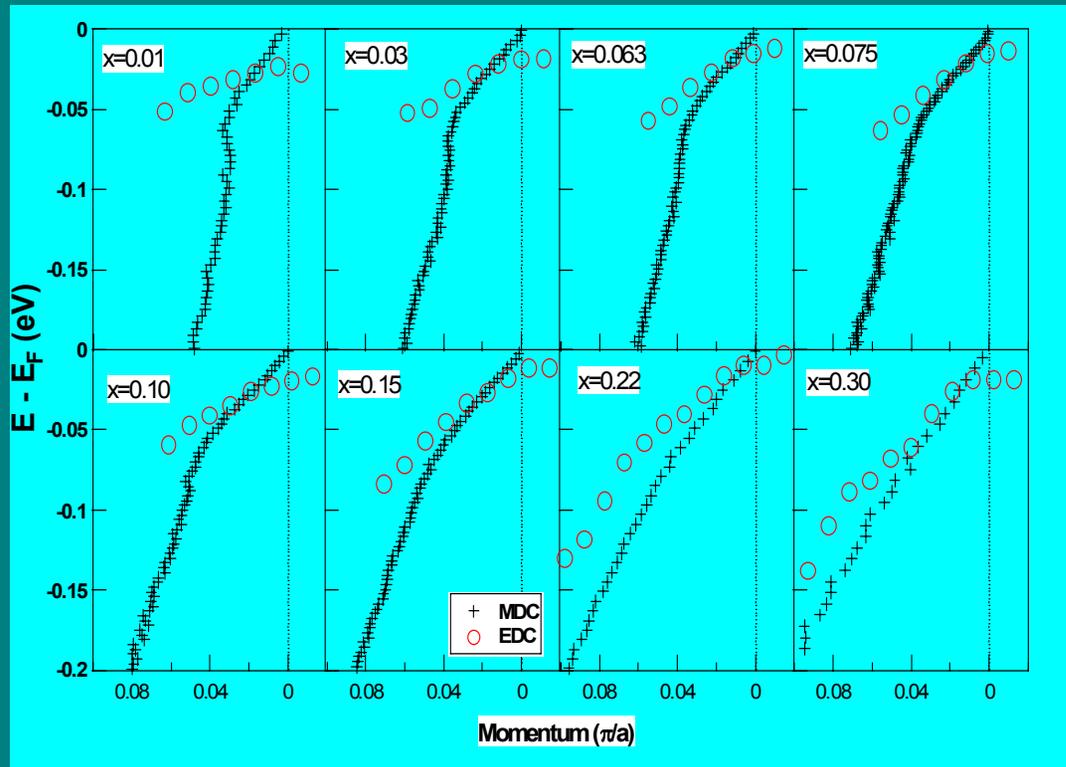
EDC and MDC Dispersion of LSCO: experiment



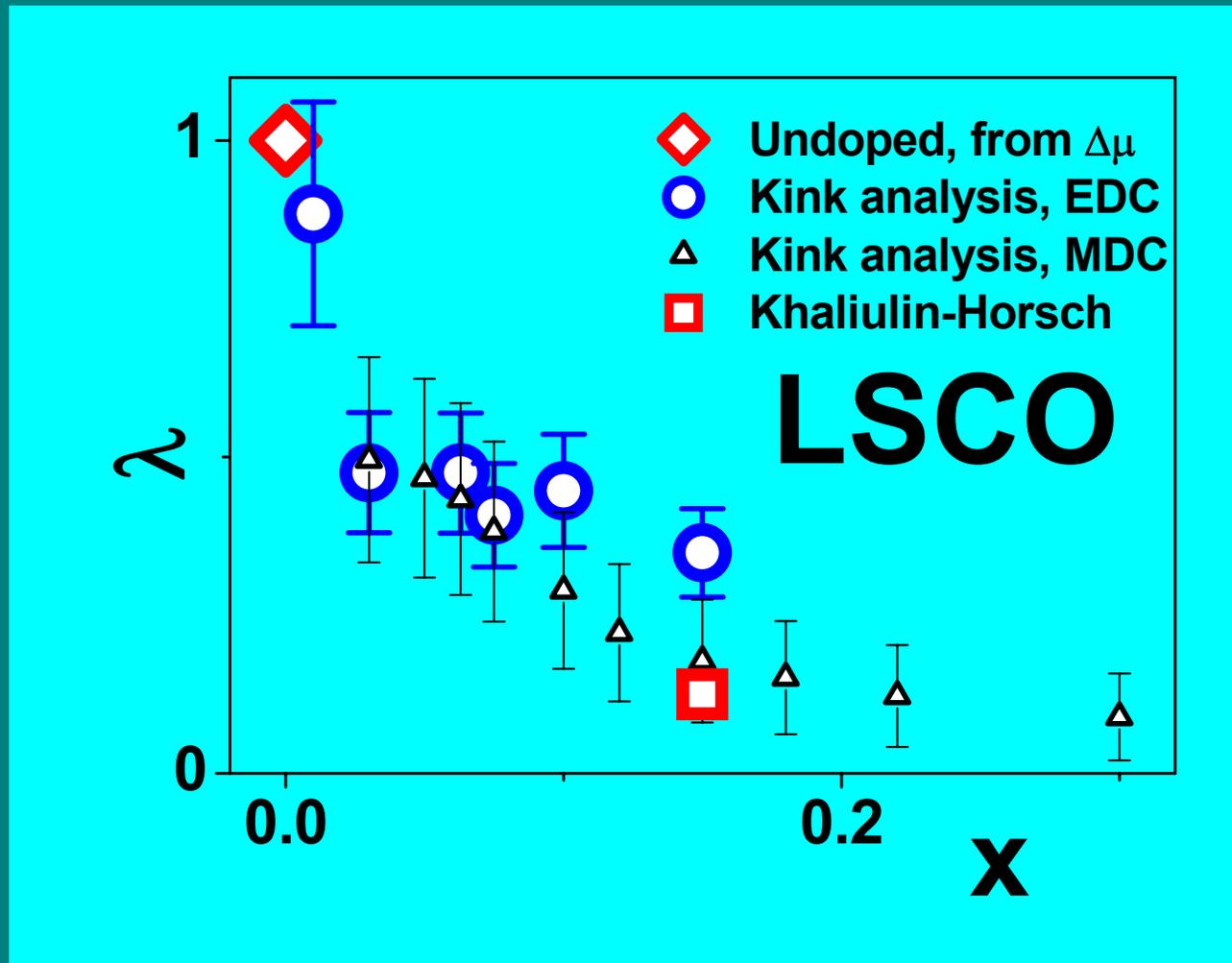
Theoretical data: theory or experiment?



EDC and MDC Dispersion of LSCO



Dopping dependence of λ in LSCO



MIR in experiment

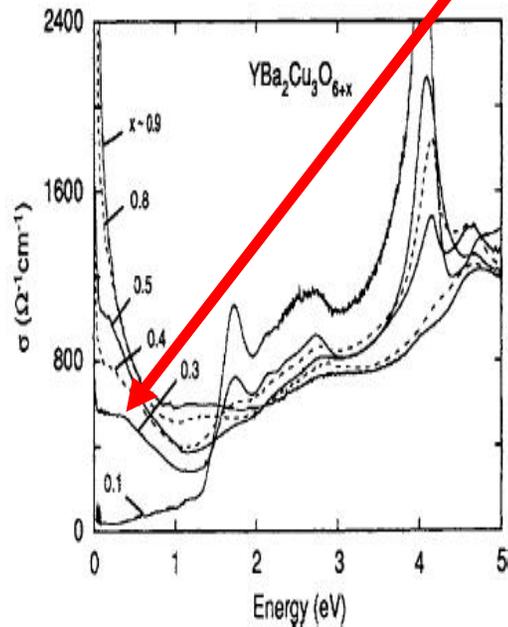


FIG. 10. In-plane ($E \perp c$) optical conductivity $\sigma(\omega)$ obtained from a Kramers-Kronig analysis of the reflectivity data for various compositions of $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$. Adapted from Cooper, Reznik, *et al.*, 1993.

Cooper, Reznik et al 1993

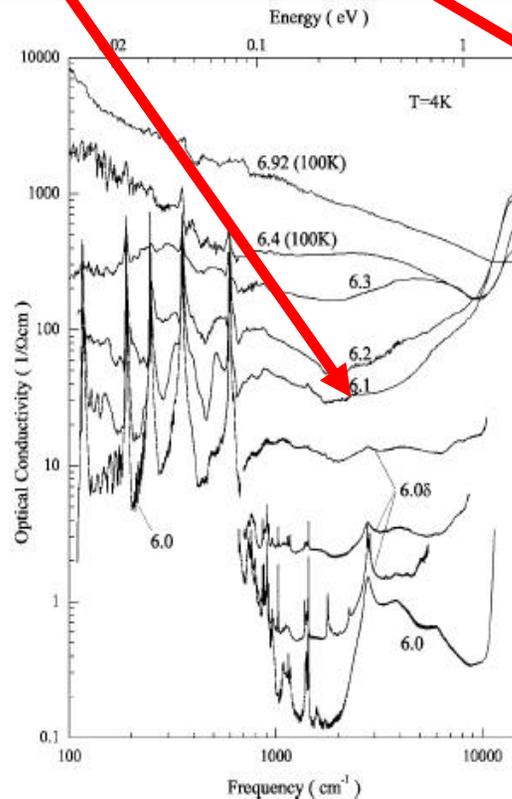


FIG. 9. Doping dependence of $\text{YBa}_2\text{Cu}_3\text{O}_x$ at 4 K (100 K for the superconducting samples with $x=6.4$ and 6.92). From Gruninger, 1999.

Gruninger 1999

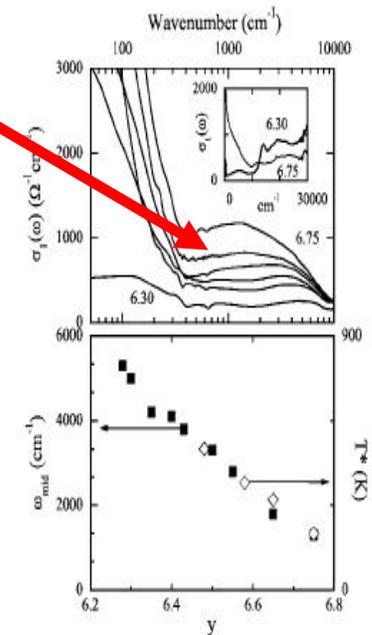
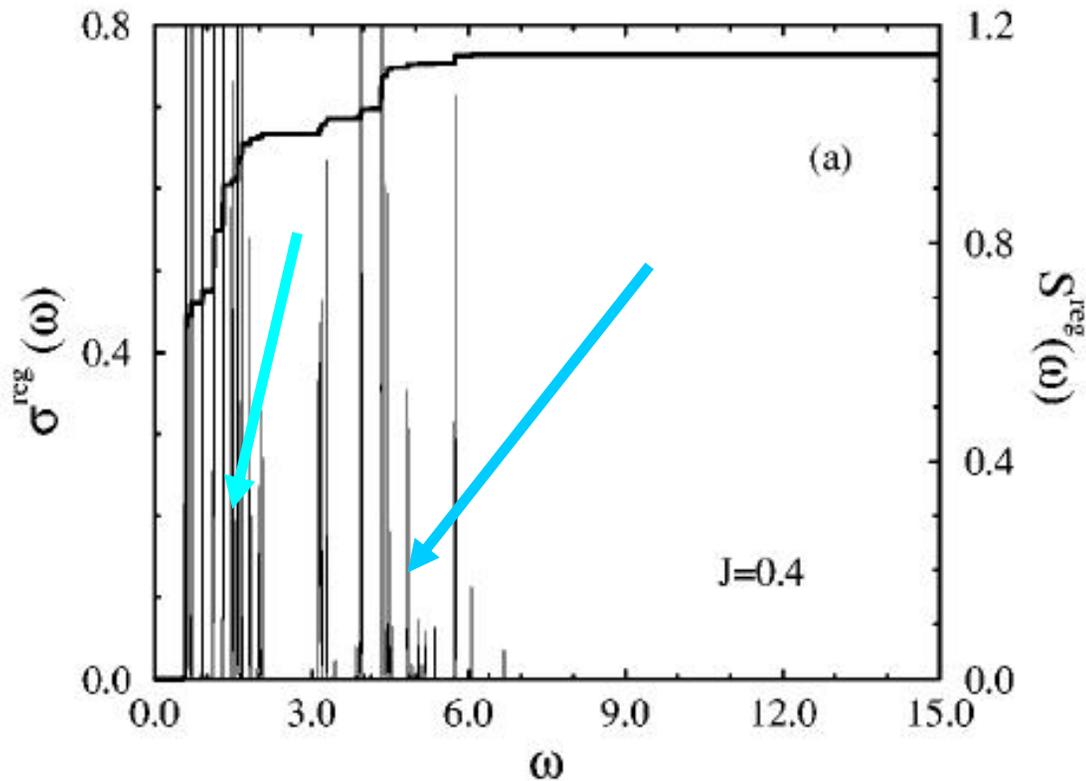


FIG. 5. (Top panel) Doping dependent $\sigma_1(\omega)$ at 10 K or at $T \approx T_c$ for $y=6.30, 6.35, 6.43, 6.50, 6.55,$ and 6.75 . For clarity, the sharp phonon structures are removed. Inset shows the $\sigma_1(\omega)$ up to $30\,000\text{ cm}^{-1}$ for $y=6.30$ and 6.75 . (Bottom panel) Doping dependences of the peak position of mid-IR absorption ω_{mid} (solid square) and the pseudogap onset temperature T^* (open square) quoted from Ref. 22. The $\omega_{\text{mid}}/k_B T^*$ values are estimated to be 7–9.

Lee et al 2005

MIR – attempts of theoretical interpretation in the framework of the t-J model

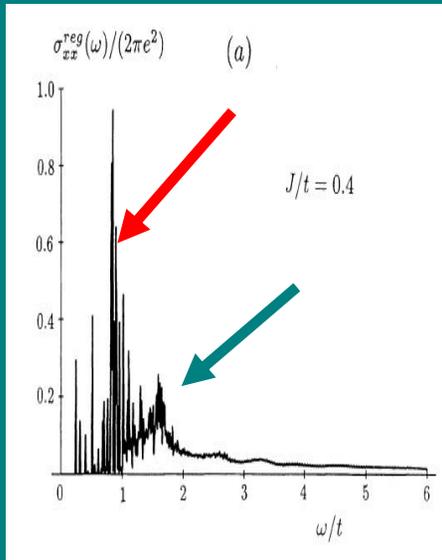
ED: Fehske,
Poilblanc:



1. Main peak at $2J$
2. Difficult to see secondary peak
3. Peak at $5t$

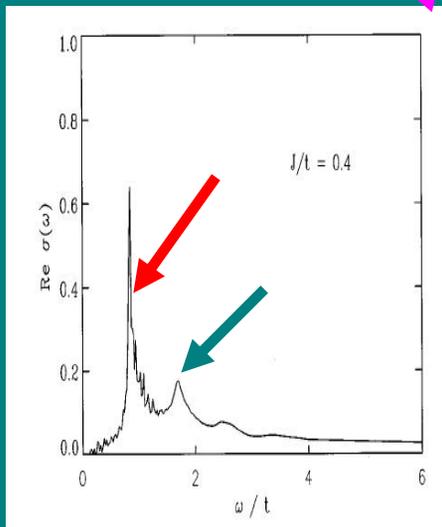
MIR – contradictions between theory and experiment: 1

Theory



In experiment:
MIR energy = 0.5 eV
at low doping

In theory:
 $t=0.4$ eV, $J=0.35t$.
MIR energy = 0.28 eV



Experiment

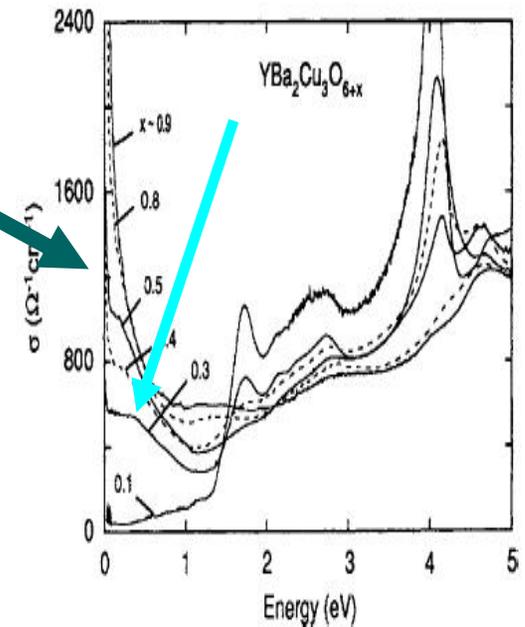


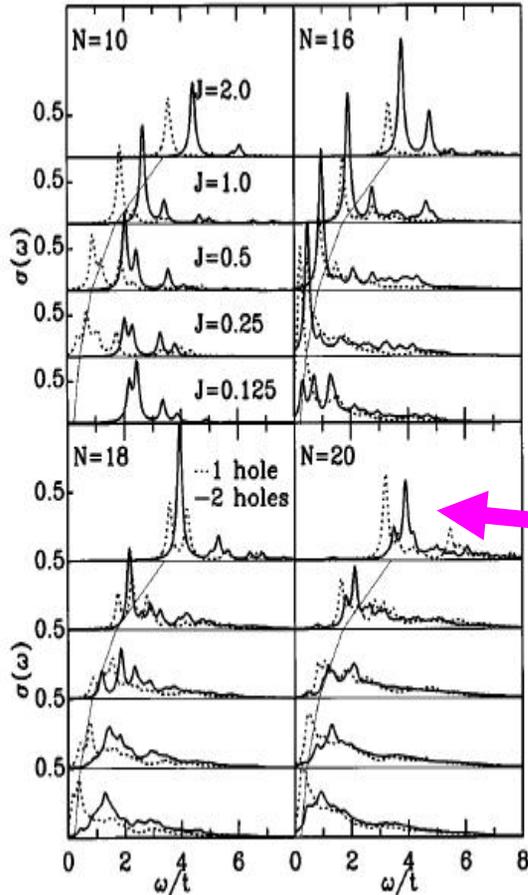
FIG. 10. In-plane ($E \perp c$) optical conductivity $\sigma(\omega)$ obtained from a Kramers-Kronig analysis of the reflectivity data for various compositions of $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$. Adapted from Cooper, Reznik, *et al.*, 1993.

MIR – contradictions between theory and experiment: 2

Theory

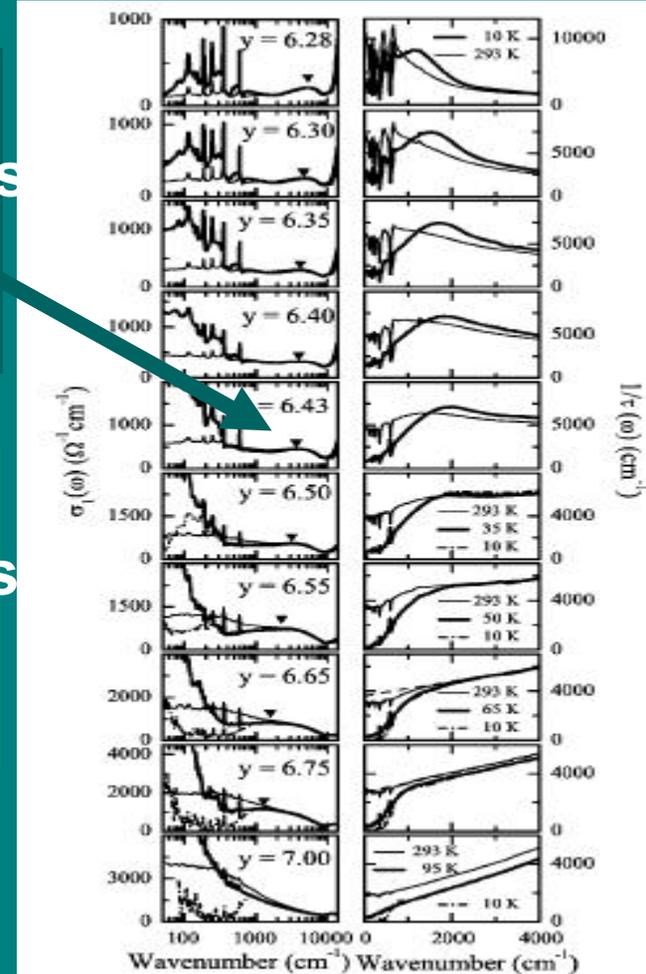
experiment: 2

Experiment



In experiment:
MIR energy decreases
with increase of
doping

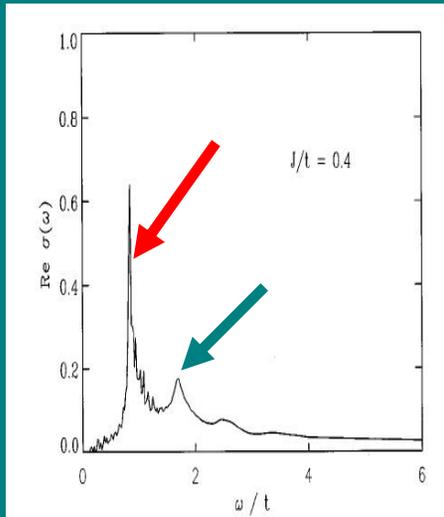
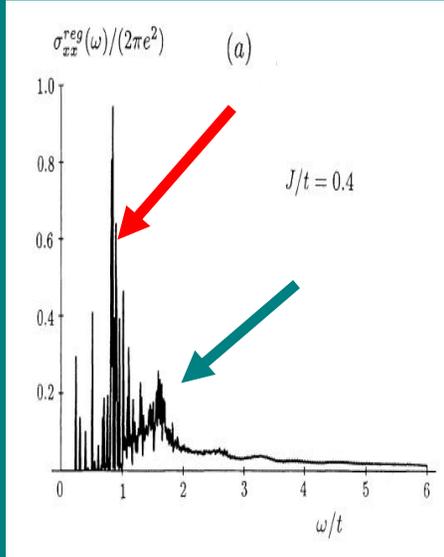
In theory:
MIR energy increases
with increase of
doping



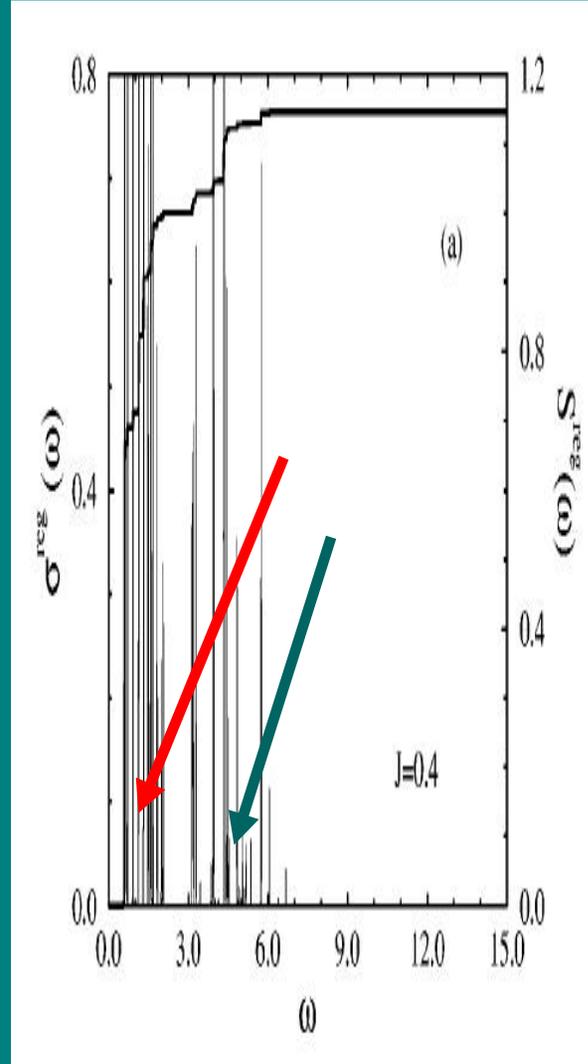
PHONONS?

DMC is better than ED and SCBA – infinite system, resolution.

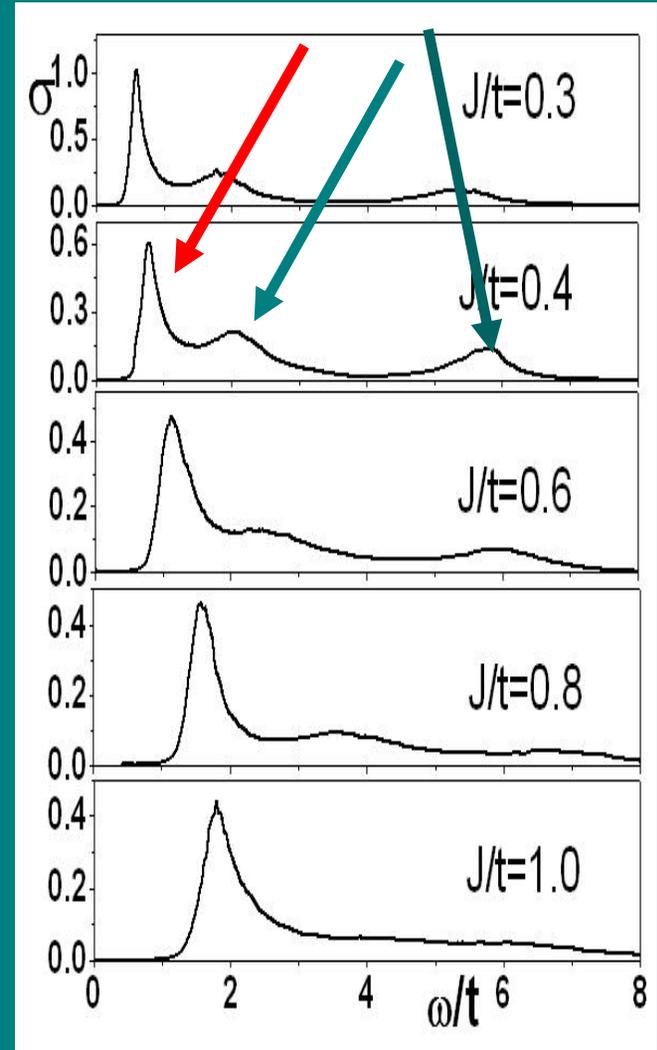
SCBA



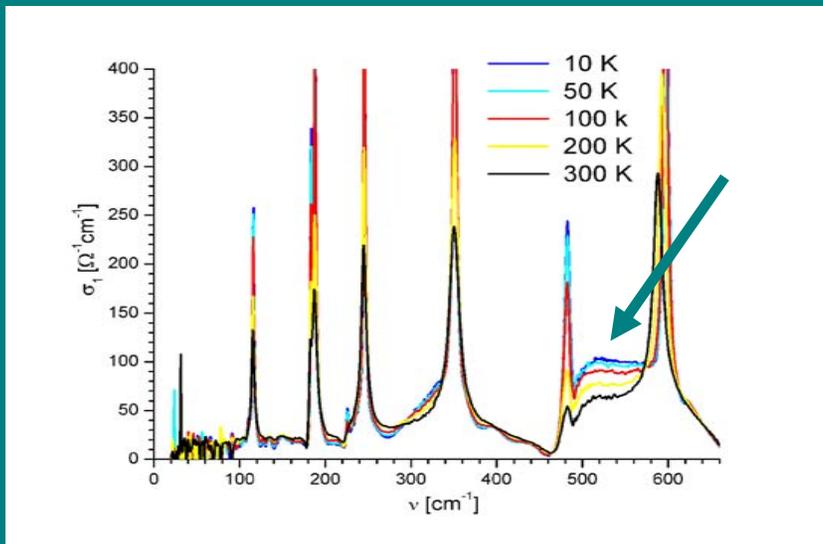
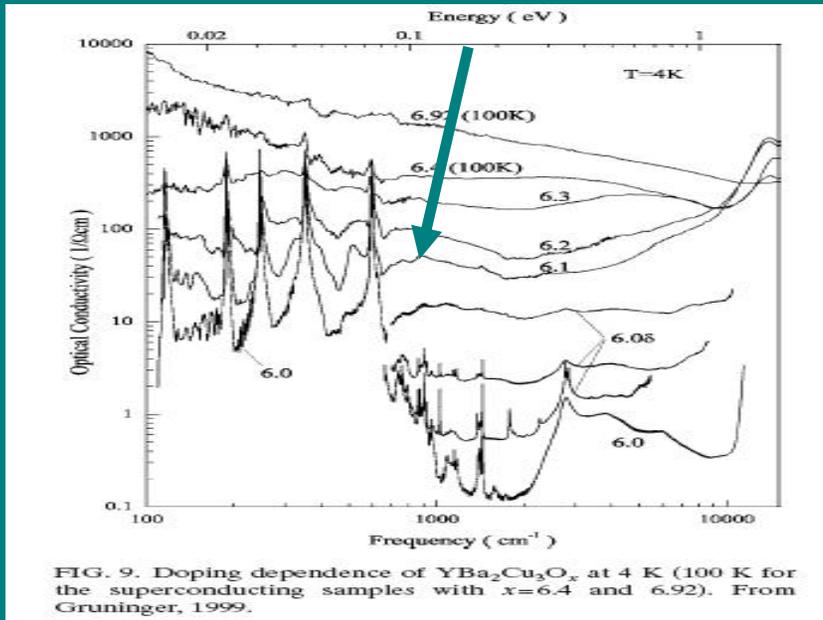
ED



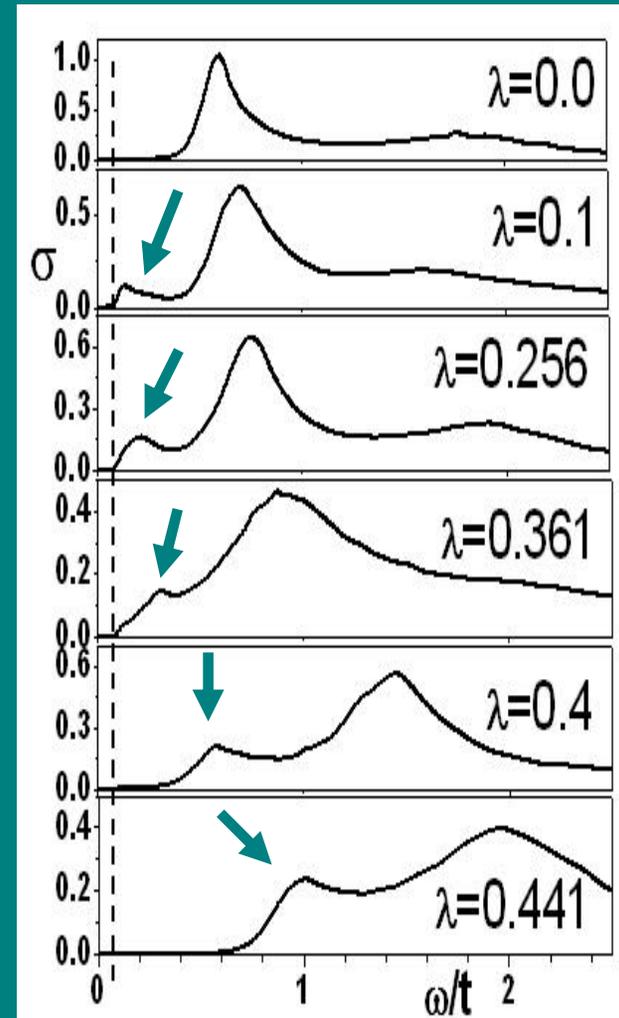
DMC t-J



Phonon-scattering feature is known from experiment



DMC t-J-Holstein



From C. Bernhard

Doping dependence of effective electron-phonon coupling strength

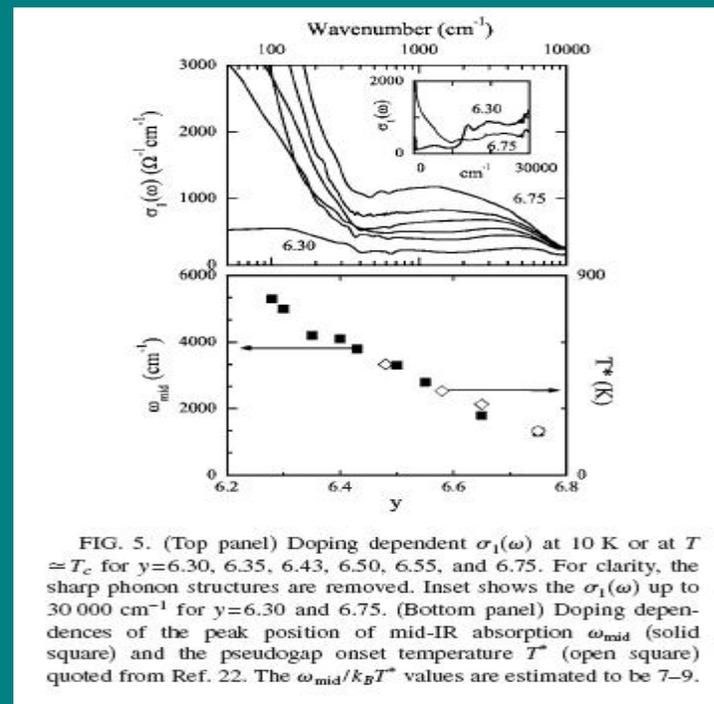
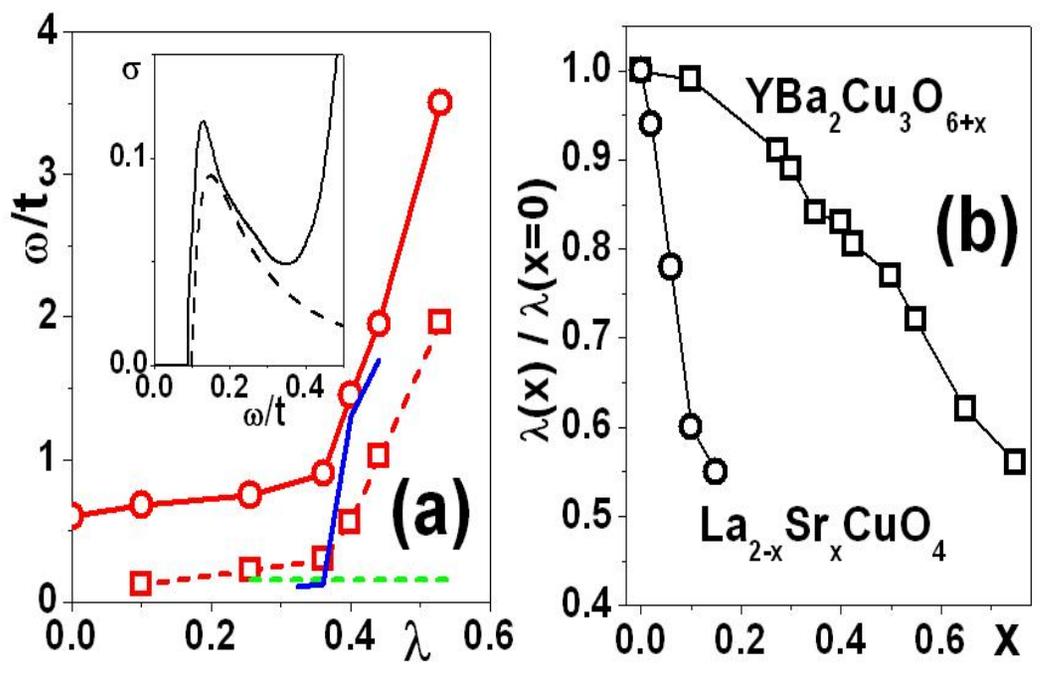


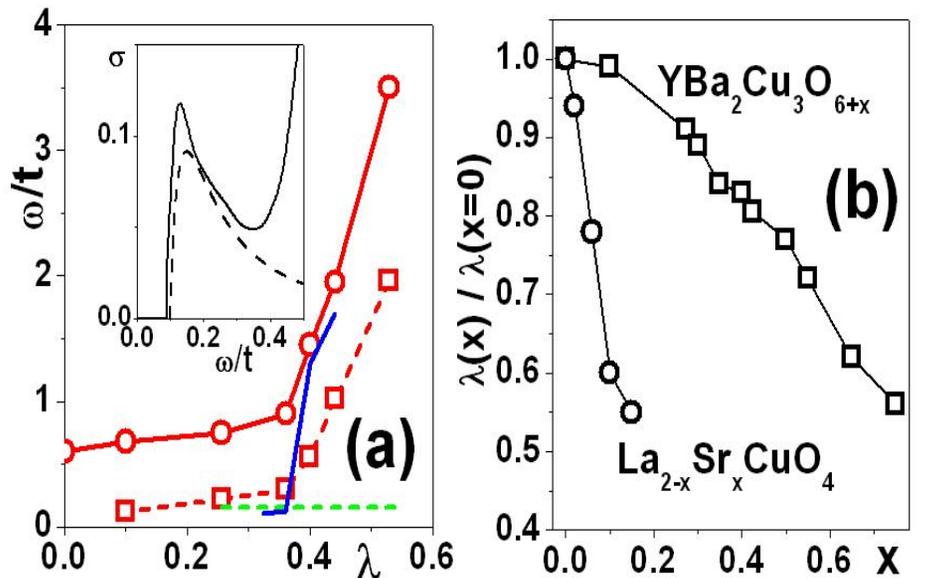
FIG. 5. (Top panel) Doping dependent $\sigma_1(\omega)$ at 10 K or at $T \approx T_c$ for $y=6.30, 6.35, 6.43, 6.50, 6.55,$ and 6.75 . For clarity, the sharp phonon structures are removed. Inset shows the $\sigma_1(\omega)$ up to 30000 cm^{-1} for $y=6.30$ and 6.75 . (Bottom panel) Doping dependences of the peak position of mid-IR absorption ω_{mid} (solid square) and the pseudogap onset temperature T^* (open square) quoted from Ref. 22. The $\omega_{\text{mid}}/k_B T^*$ values are estimated to be 7–9.

$$\text{Scaling } \lambda_c^{\text{t-t-t-J}} = 1.5 \lambda_c^{\text{t-J}}$$

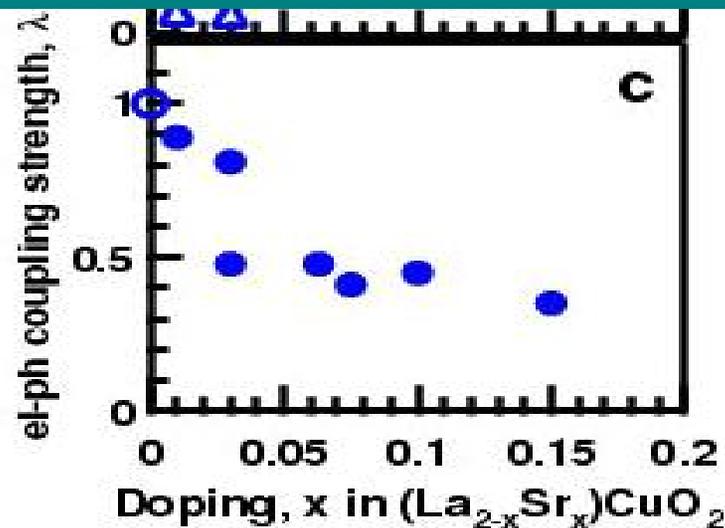
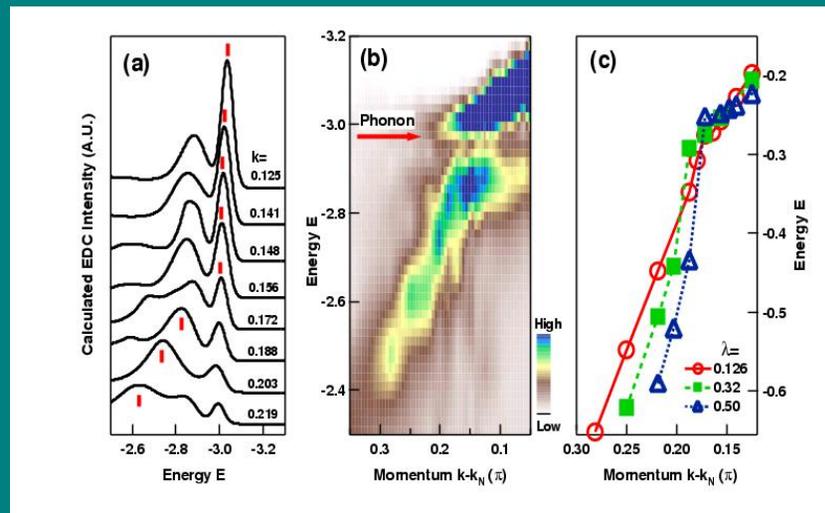
We determine the effective coupling for given doping from the the experimental position of MIR.

Doping dependence of effective electron-phonon coupling strength

Optical conductivity

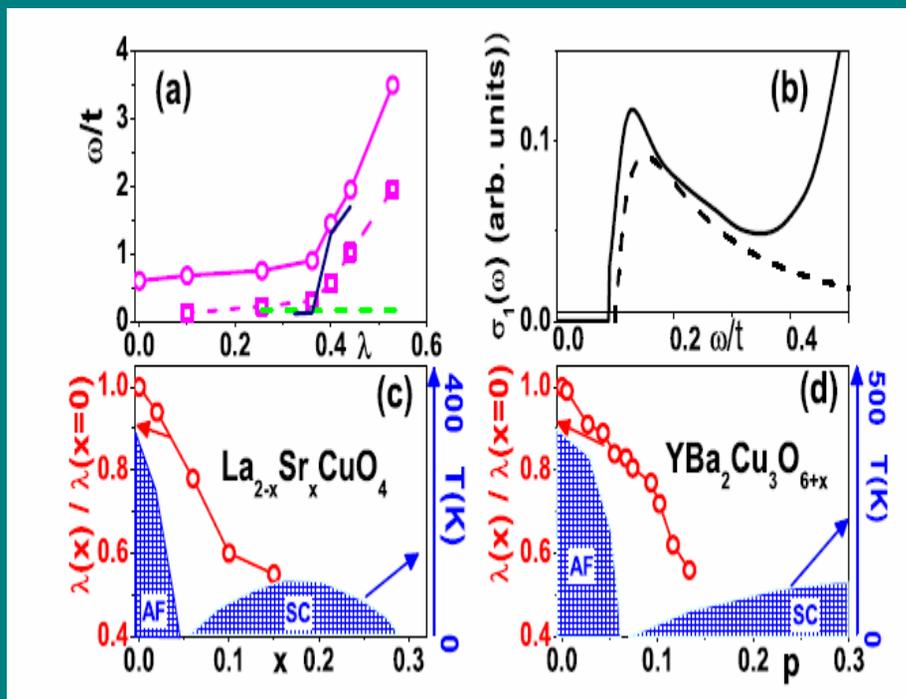


ARPES



Doping dependence of effective electron-phonon coupling strength

Optical conductivity



ARPES

