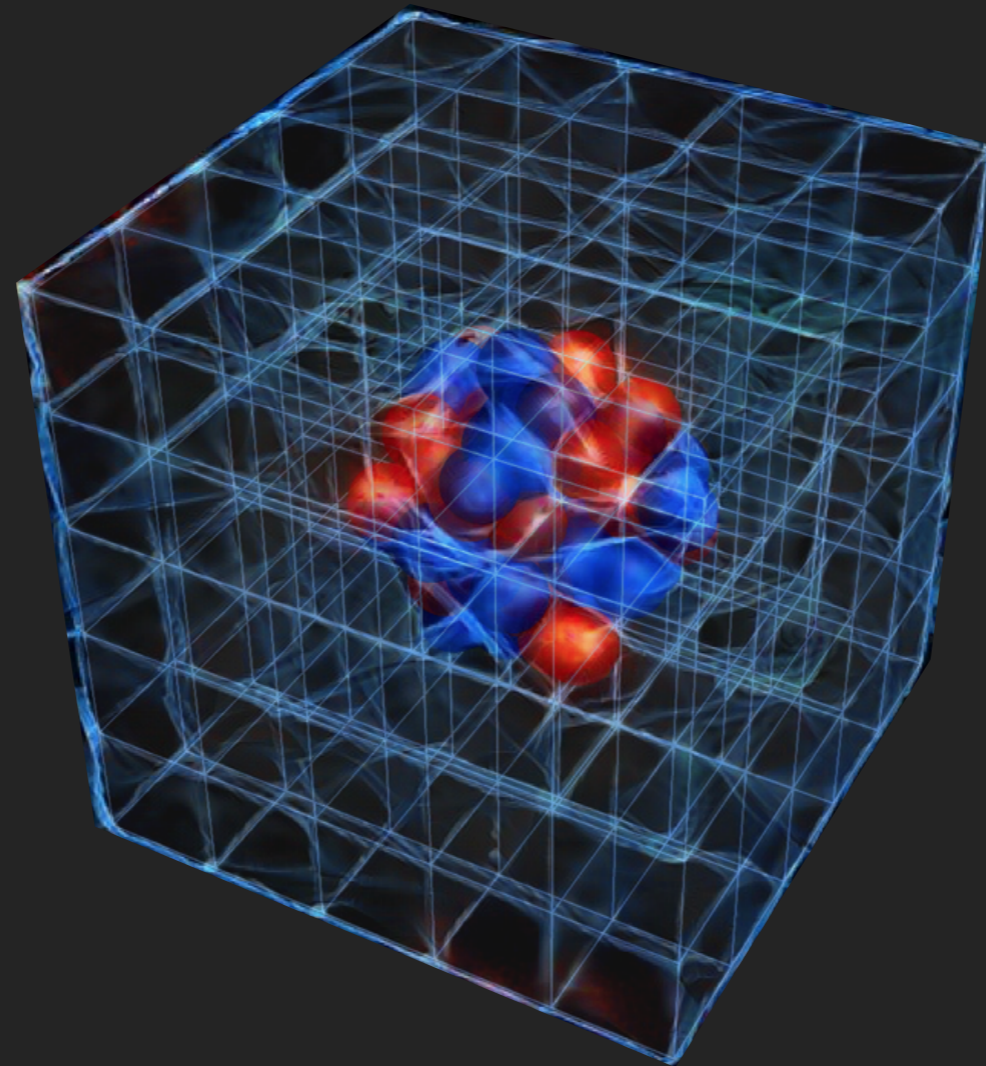


# Machine Learning for Lattice Field Theory



Phiala Shanahan



Massachusetts  
Institute of  
Technology

# The structure of matter

What is everything made of?

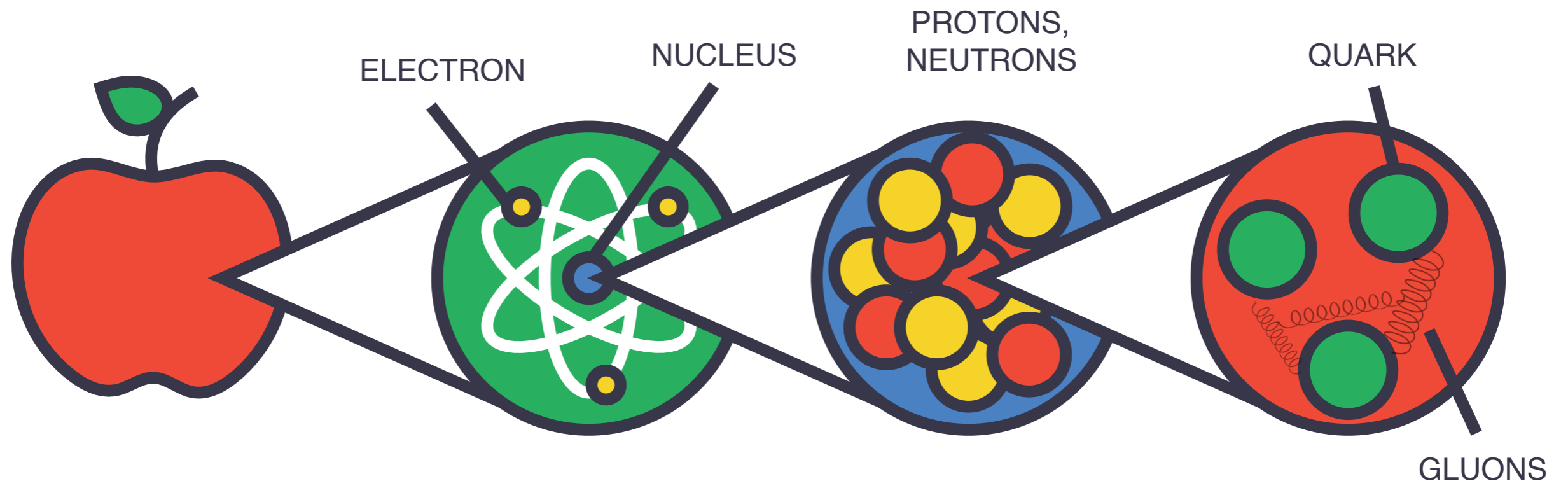
What laws describe the properties of matter?

**MATTER**

**ATOM**

**NUCLEUS**

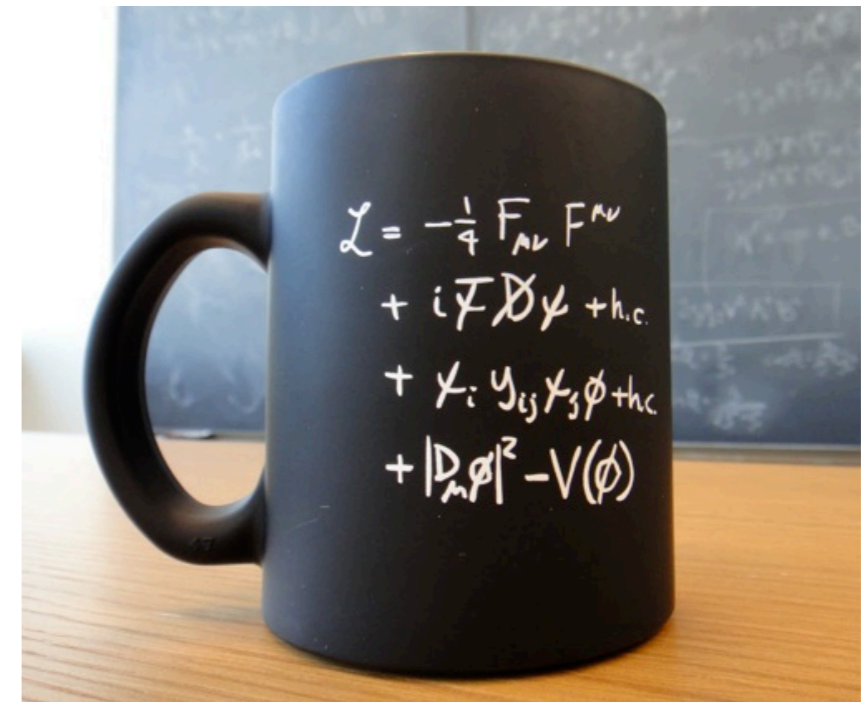
**NUCLEON**



# The structure of matter

## The Standard Model of nuclear and particle physics

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	
Quarks	$u$ up	$c$ charm	$t$ top	Gauge Bosons
	$d$ down	$s$ strange	$b$ beauty	
	$e$ electron	$\mu$ muon	$\tau$ tau	
Leptons	$\nu_e$ neutrino electron	$\nu_\mu$ neutrino muon	$\nu_\tau$ neutrino tau	
				$\gamma$ photon
				$H$ Higgs Boson
			$W^\pm$ W boson	
			$Z^0$ Z boson	
			$g$ gluon	

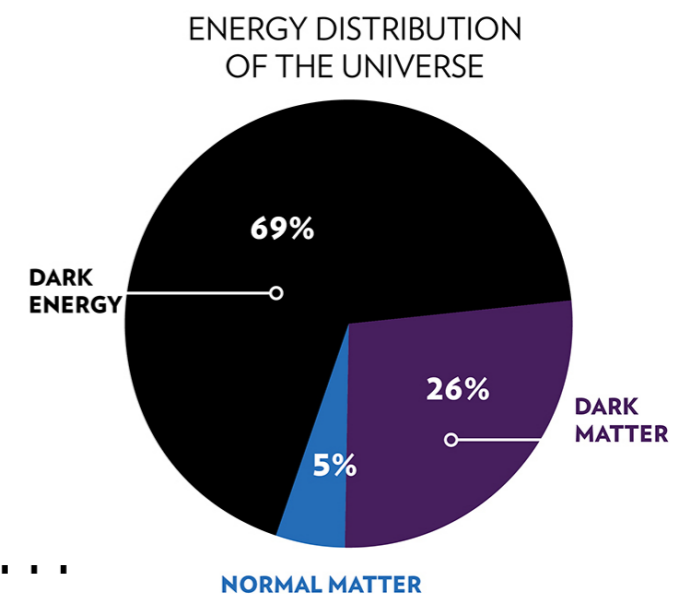
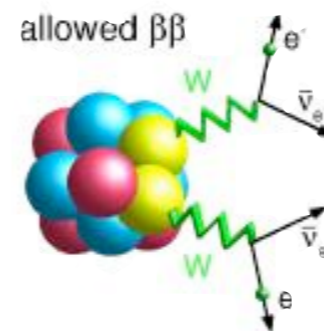


# The search for new physics

## Precise experiments seek new physics at the “Intensity Frontier”

- Sensitivity to probe the rarest Standard Model interactions
- Search for beyond—Standard-Model effects

- Dark matter direct detection
- Neutrino physics
- Charged lepton flavour violation,  $\beta\beta$ -decay, proton decay, neutron-antineutron oscillations...





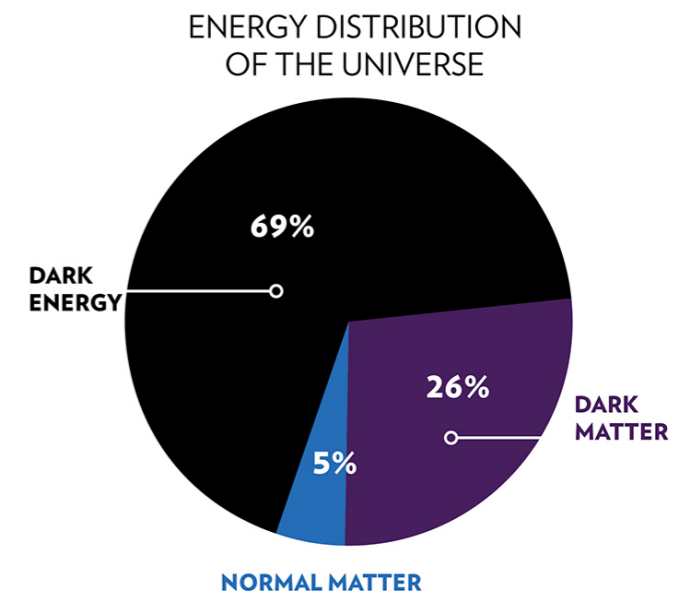
# The search for new physics

## Precise experiments seek new physics at the “Intensity Frontier”

- Sensitivity to probe the rarest Standard Model interactions
- Search for beyond—Standard-Model effects

**EXPERIMENTS USE NUCLEAR TARGETS**

**NEED TO UNDERSTAND STANDARD MODEL PHYSICS OF NUCLEI**

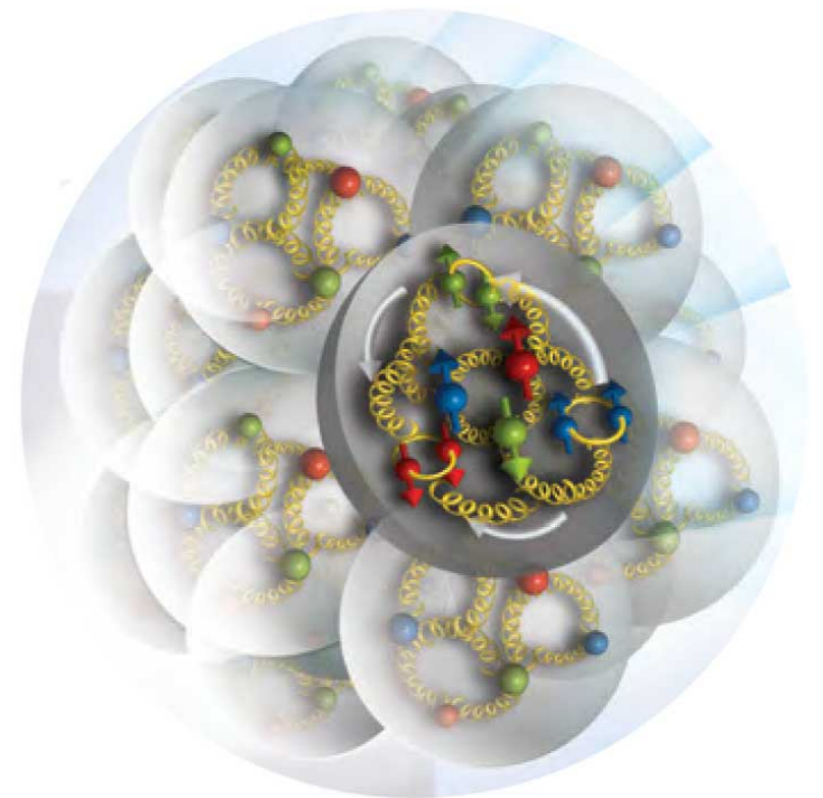


# The structure of matter

## Nuclear structure from the Standard Model

Emergence  
of complex  
structure in  
nature

Backgrounds  
and benchmarks  
for searches for  
new physics



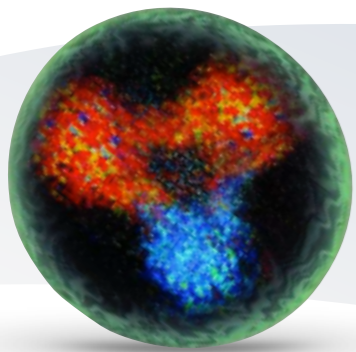
# Strong interactions

Study nuclear structure from the strong interactions

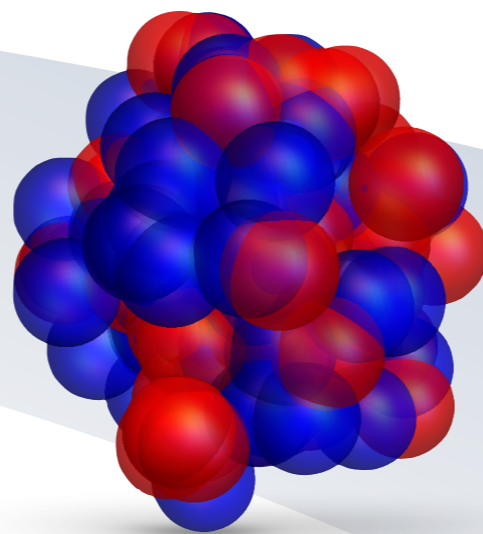
## Quantum Chromodynamics (QCD)

Strongest of the four forces in nature

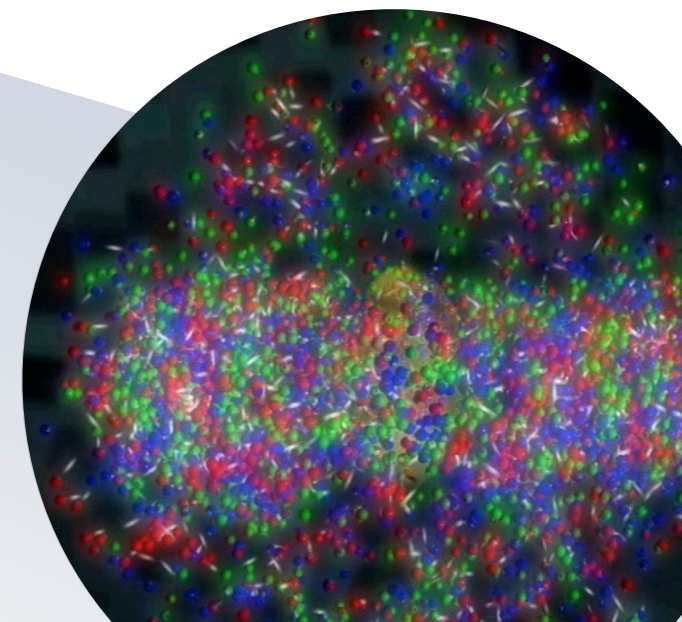
Forms other types of exotic matter e.g., quark-gluon plasma



Binds quarks and gluons into protons, neutrons, pions etc.



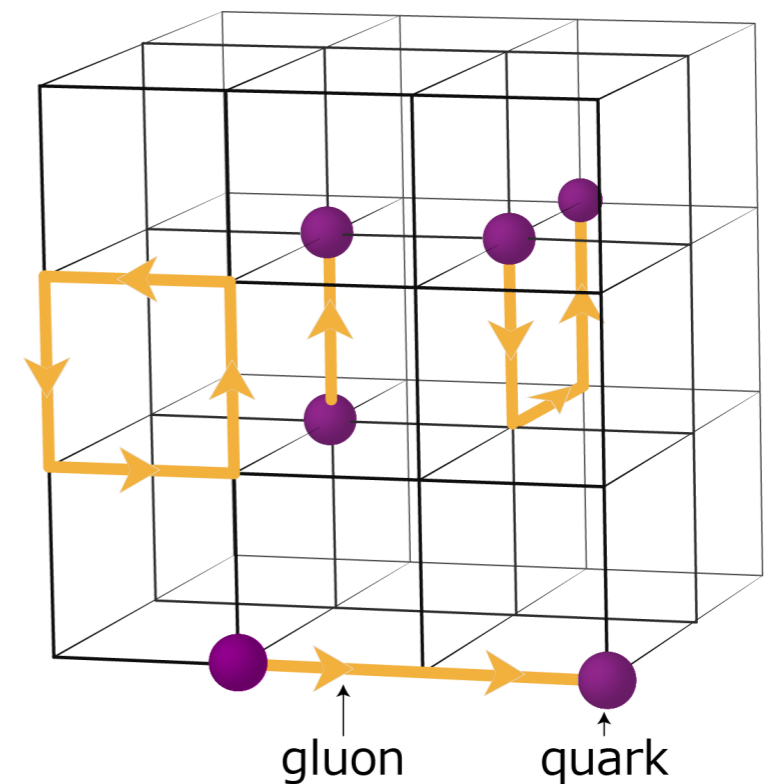
Binds protons and neutrons into nuclei



# Lattice QCD

Numerical first-principles approach to non-perturbative QCD

- Discretise QCD onto 4D space-time lattice
- Approximate QCD path integral using Monte-Carlo methods and importance sampling
- Run on supercomputers and dedicated clusters
- Take limit of vanishing discretisation, infinite volume, physical quark masses

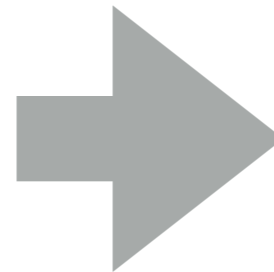


# Lattice QCD

Numerical first-principles approach to  
non-perturbative QCD

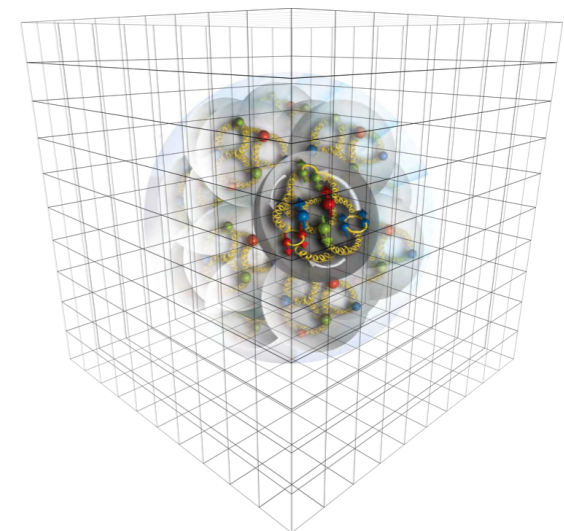
## INPUT

- Lattice QCD action has same free parameters as QCD: quark masses,  $\alpha_S$
- Fix quark masses by matching to measured hadron masses, e.g.,  $\pi, K, D_s, B_s$  for  $u, d, s, c, b$
- One experimental input to fix lattice spacing in GeV (and also  $\alpha_S$ ), e.g.,  $2S-1S$  splitting in  $Y$ , or  $f_\pi$  or  $\Omega$  mass



## OUTPUT

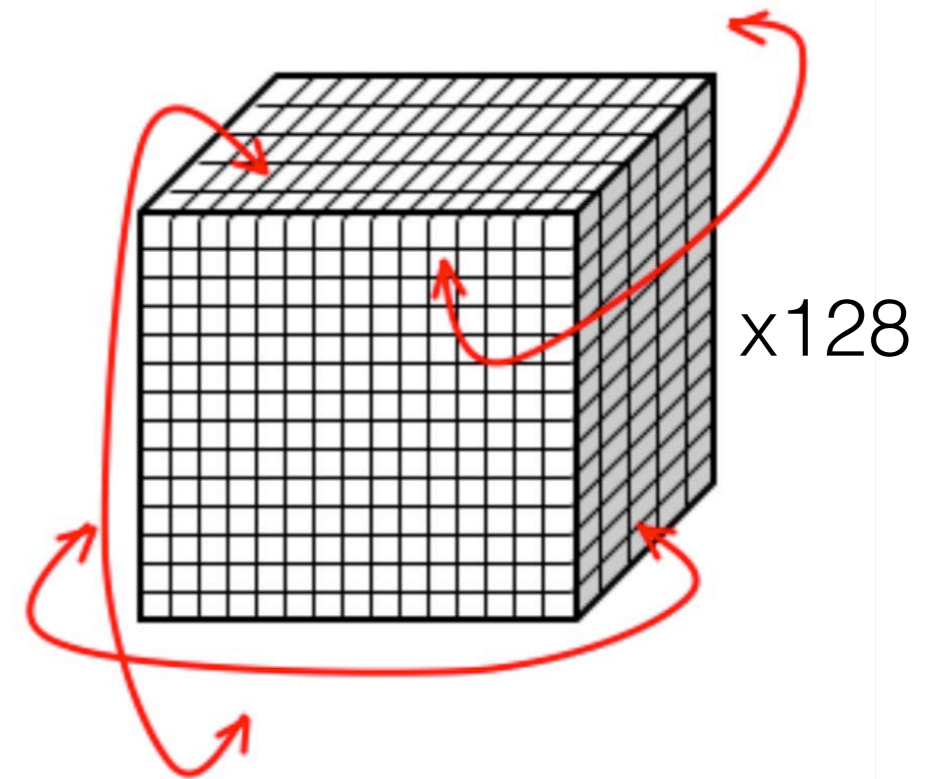
Calculations of all other quantities are QCD predictions





# Lattice QCD

- Numerical first-principles approach to non-perturbative QCD
- Euclidean space-time  $t \rightarrow i\tau$ 
  - Finite lattice spacing  $a$
  - Volume  $L^3 \times T \approx 32^3 \times 64$
  - Boundary conditions
- Some calculations use larger-than-physical quark masses (cheaper)



Approximate the QCD path integral by **Monte Carlo**

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi \mathcal{O}[A, \bar{\psi}\psi] e^{-S[A, \bar{\psi}\psi]} \rightarrow \langle \mathcal{O} \rangle \simeq \frac{1}{N_{\text{conf}}} \sum_i^{N_{\text{conf}}} \mathcal{O}([U^i])$$

with field configurations  $U^i$  distributed according to  $e^{-S[U]}$

# Lattice QCD

## Workflow of a lattice QCD calculation

**1** Generate configurations via Hybrid Monte Carlo

- Leadership-class computing
- $\sim 100\text{K}$  cores or  $1000\text{GPU}$ s,  $10$ 's of TF-years
- $O(100-1000)$  configurations, each  $\sim 10-100\text{GB}$



**2** Compute propagators

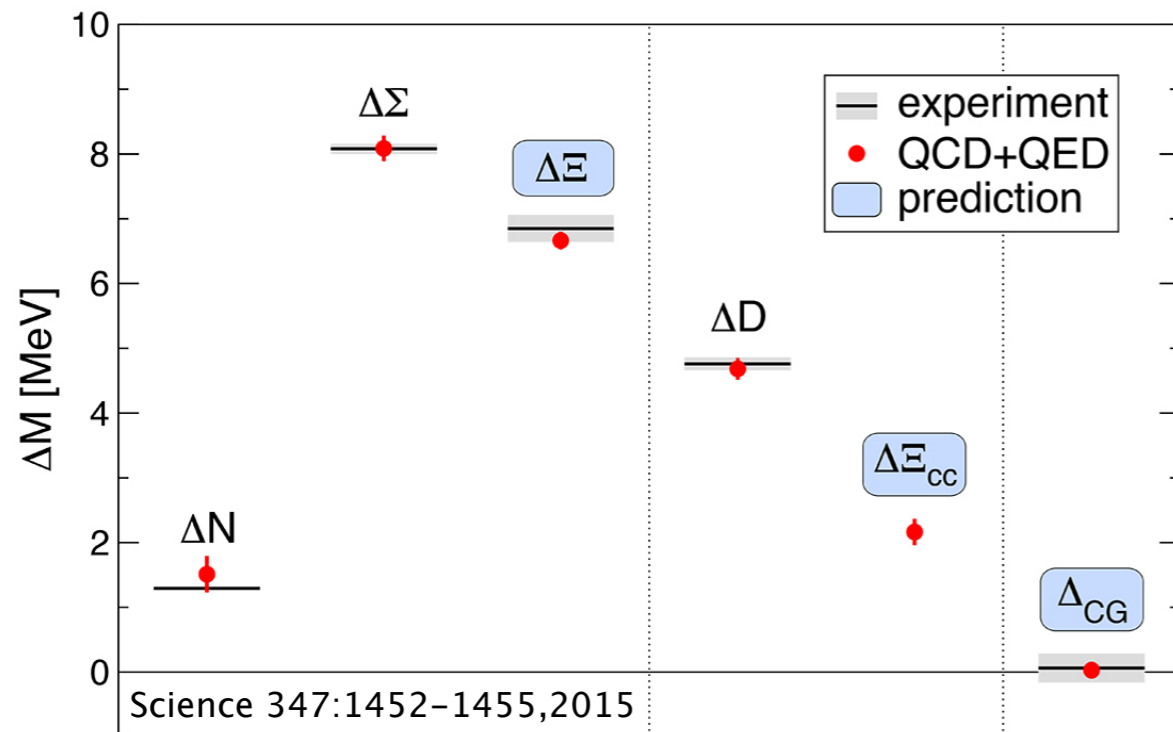
- Large sparse matrix inversion
- $\sim$ few  $100$ s GPU's
- $10\times$  gauge field in size, many per config

**3** Contract into correlation functions

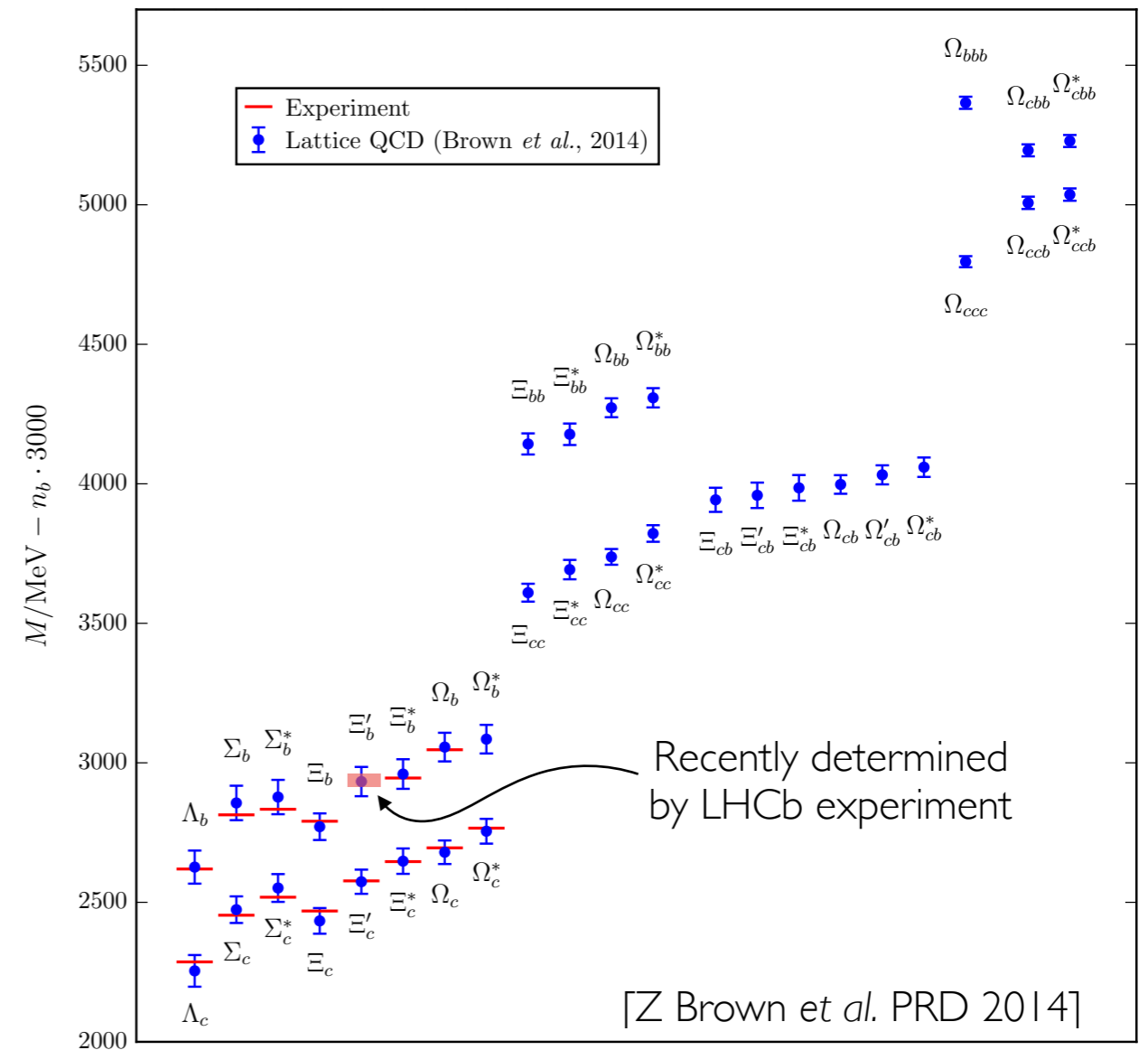
- $\sim$ few GPU's
- $O(100\text{k}-1\text{M})$  copies

# Lattice QCD works

- Ground state hadron spectrum reproduced
- p-n mass splitting reproduced
- ...



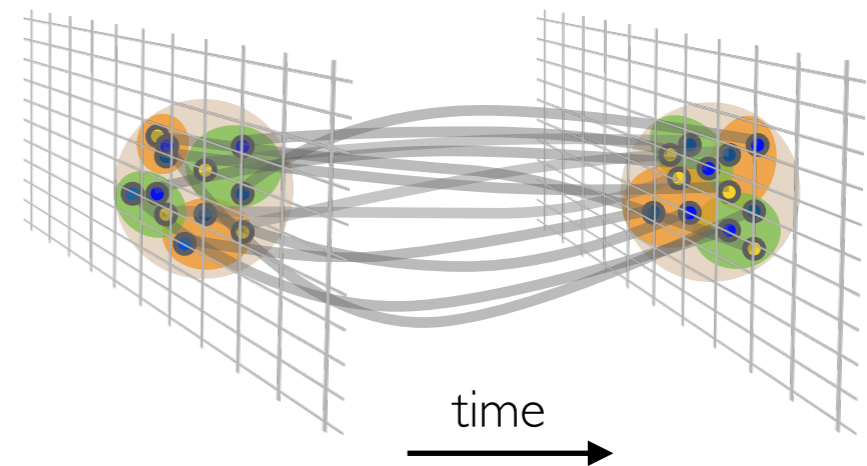
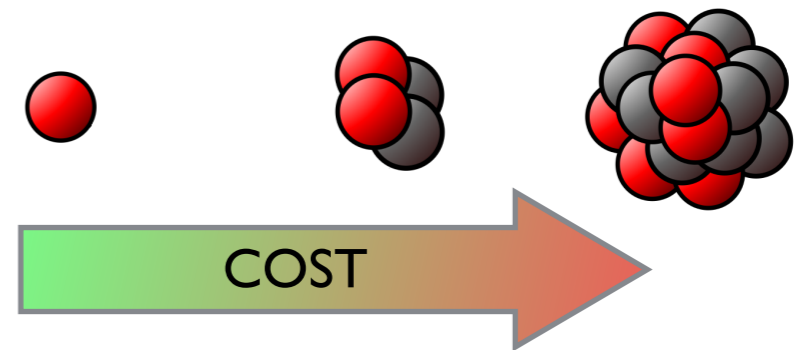
- Predictions for new states with controlled uncertainties



# Nuclear physics from LQCD

## Nuclei on the lattice: HARD

- **Noise:**  
Statistical uncertainty grows exponentially with number of nucleons
- **Complexity:**  
Number of contractions grows factorially



Calculations possible for  $A < 5$  (unphysically heavy quark masses)

# Dark matter

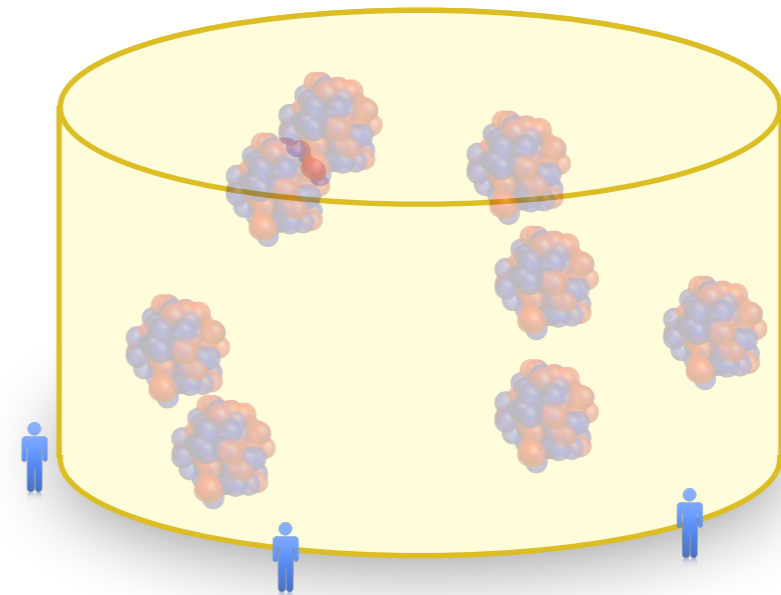
## How do we find dark matter?

- Dark (does not interact with light)
- Interacts through gravity

### WIMP

Weakly-interacting  
massive particles

## Direct detection Wait for DM to hit us



## Detection rate depends on

- Dark matter properties
- Probability for interaction with nucleus

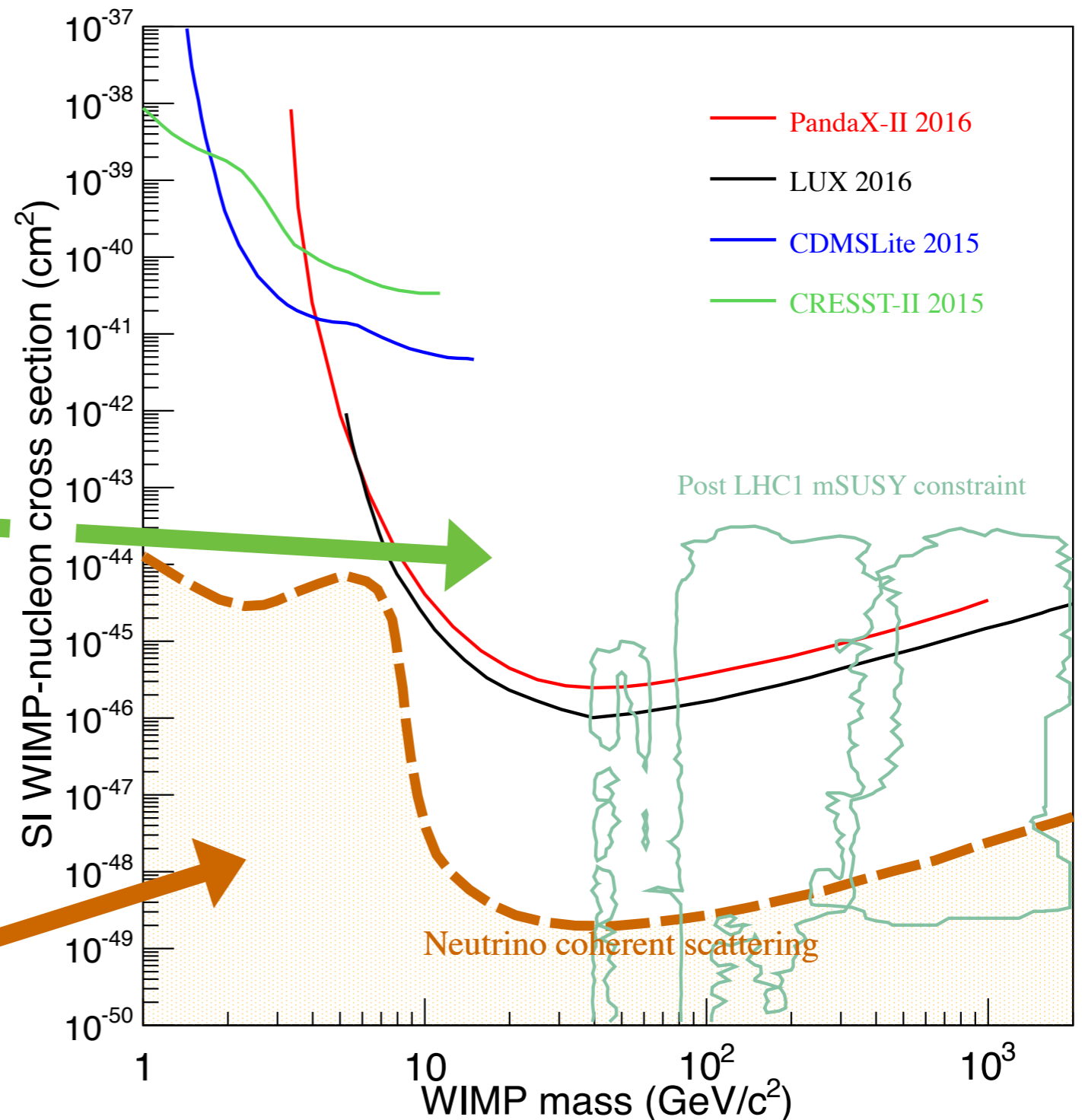


# Dark matter direct detection

Limits on WIMP-nucleon interaction from direct detection experiments

Ruled out above the solid lines

Background



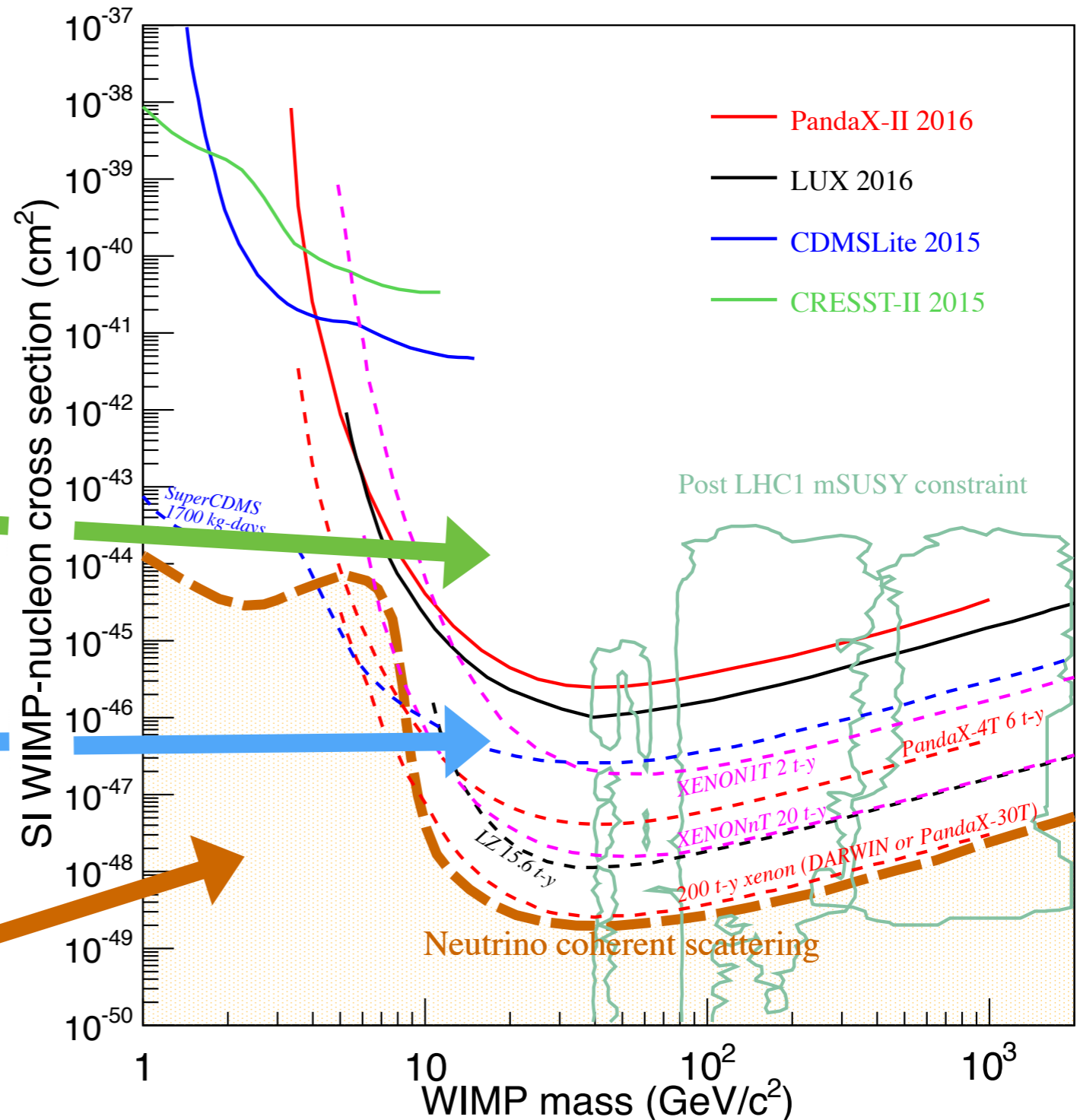
# Dark matter direct detection

Limits on WIMP-nucleon interaction from direct detection experiments

Ruled out above the solid lines

Projected limits from future experiments

Background



# Dark matter

Determine interaction cross-section  
(with nucleus) for a given dark matter model

- Born approximation – interacts with a single nucleon

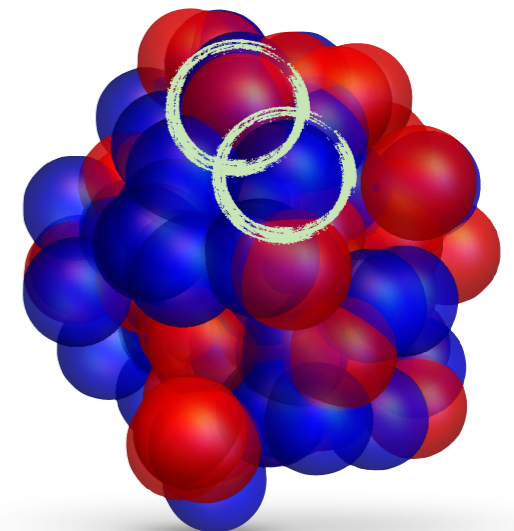
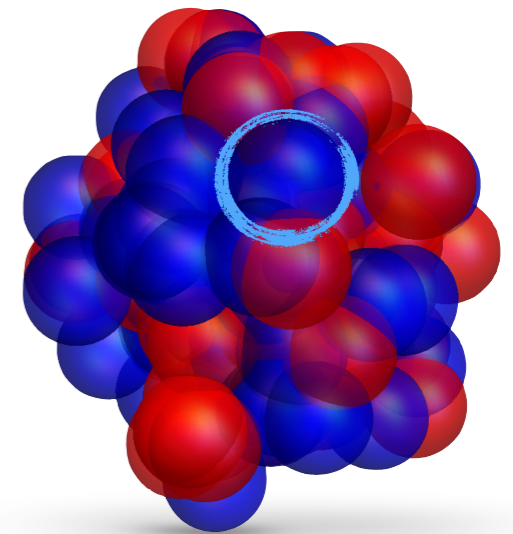
$$\sigma \sim |A \langle N | DM | N \rangle|^2$$

known from LQCD

- Interacts non-trivially with multiple nucleons

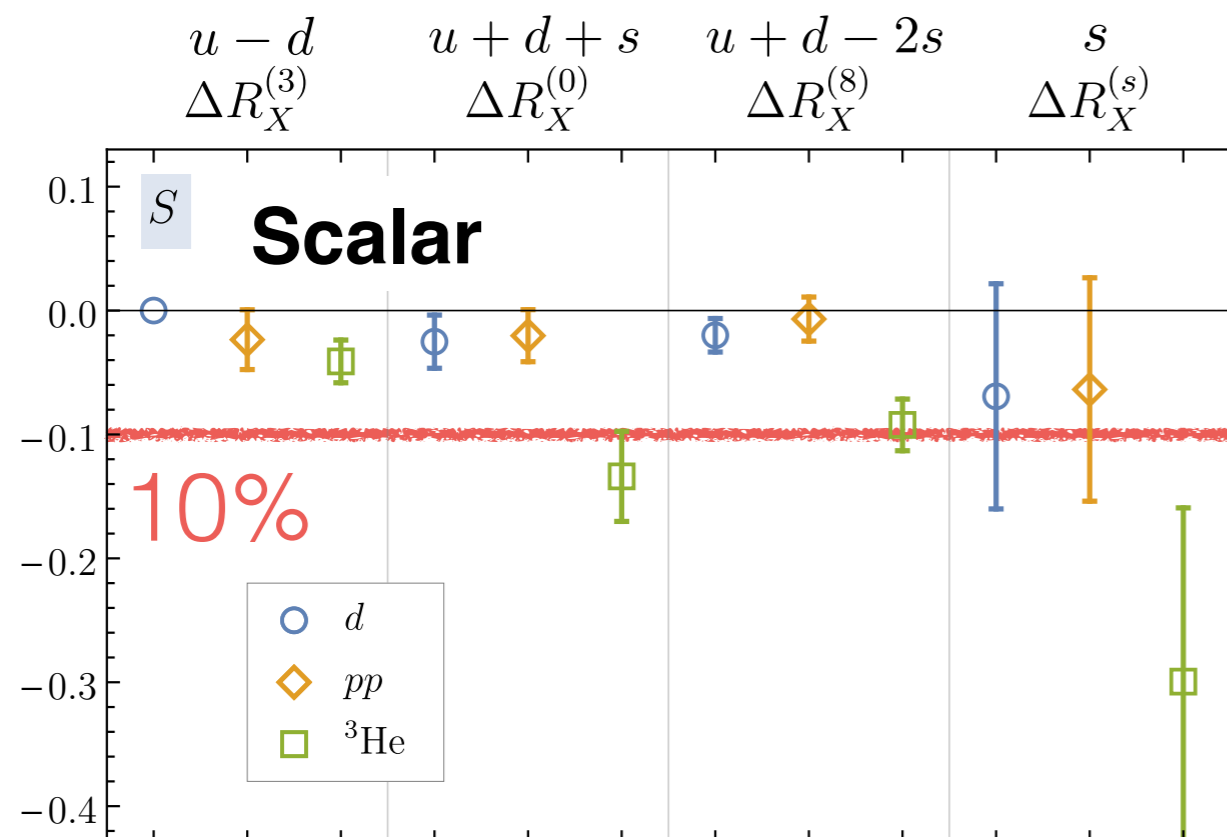
$$\sigma \sim |A \langle N | DM | N \rangle + \alpha \langle NN | DM | NN \rangle + \dots|^2$$

poorly known!



# Scalar matrix elements

- Spin-independent scattering of many WIMP candidates governed by scalar matrix elements
- Lattice QCD calculation shows 10% nuclear effects!  
(CAVEAT: still significant systematics, computation limited)

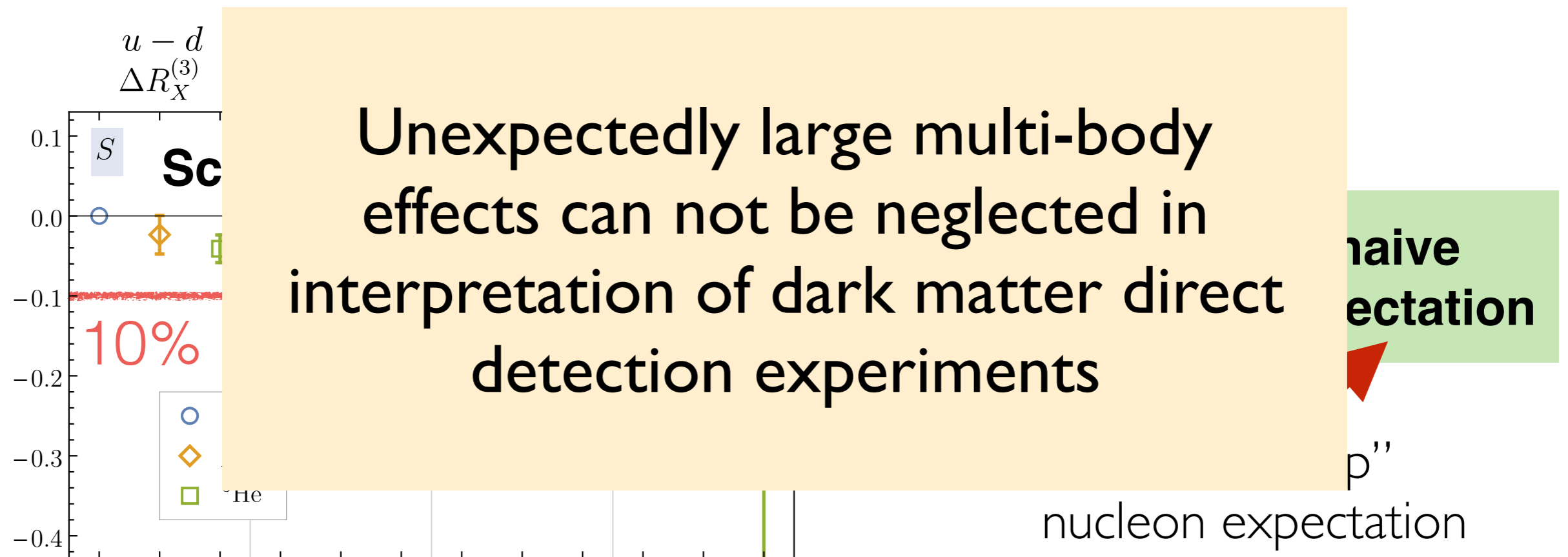


**ME**  
 $\frac{\text{ME}}{\text{Nucleon ME}}$  — **naive expectation**

“Multiply up”  
 nucleon expectation

# Scalar matrix elements

- Spin-independent scattering of many WIMP candidates governed by scalar matrix elements
- Lattice QCD calculation shows 10% nuclear effects!  
(CAVEAT: still significant systematics, computation limited)





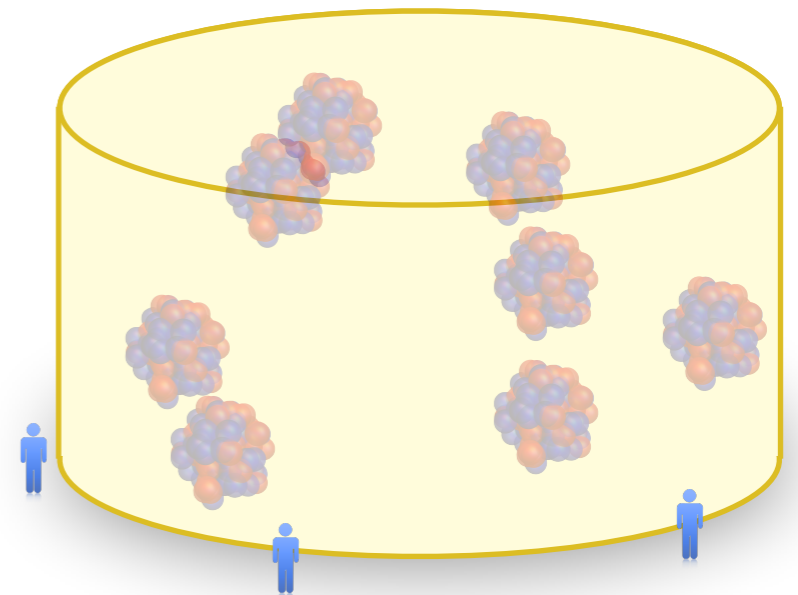
# Motivation: ML for LQCD

## First-principles nuclear physics beyond $A=4$

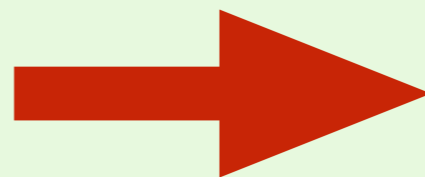
How finely tuned is the emergence of nuclear structure in nature?

Interpretation of intensity-frontier experiments

- Scalar matrix elements in  $A=131$   
XENONIT dark matter direct detection search
- Axial form factors of Argon  $A=40$   
DUNE long-baseline neutrino expt.
- Double-beta decay rates of Calcium  $A=48$



Exponentially harder  
problems



Need exponentially  
improved algorithms

# Machine learning for LQCD

## APPROACH

Machine learning as ancillary tool for lattice QCD

- Accelerate gauge-field generation
- Optimise extraction of physics from gauge field ensemble
- **ONLY** apply where quantum field theory can be rigorously preserved

Will need to accelerate all stages of lattice QCD workflow to achieve physics goals

# Generate QCD gauge fields

Generate field configurations  $\phi(x)$  with probability

$$P[\phi(x)] \sim e^{-S[\phi(x)]}$$

## Molecular dynamics

Classical motion with

$$H = \sum_x \frac{\pi^2(x)}{2} + S[\phi(x)]$$

*conjugate*

- Reversible
  - Volume-preserving
- BUT**
- Energy non-conservation for numerical integrators

## Markov Chain Monte Carlo

Propose update using integrated molecular dynamics trajectory

Accept/ reject with probability

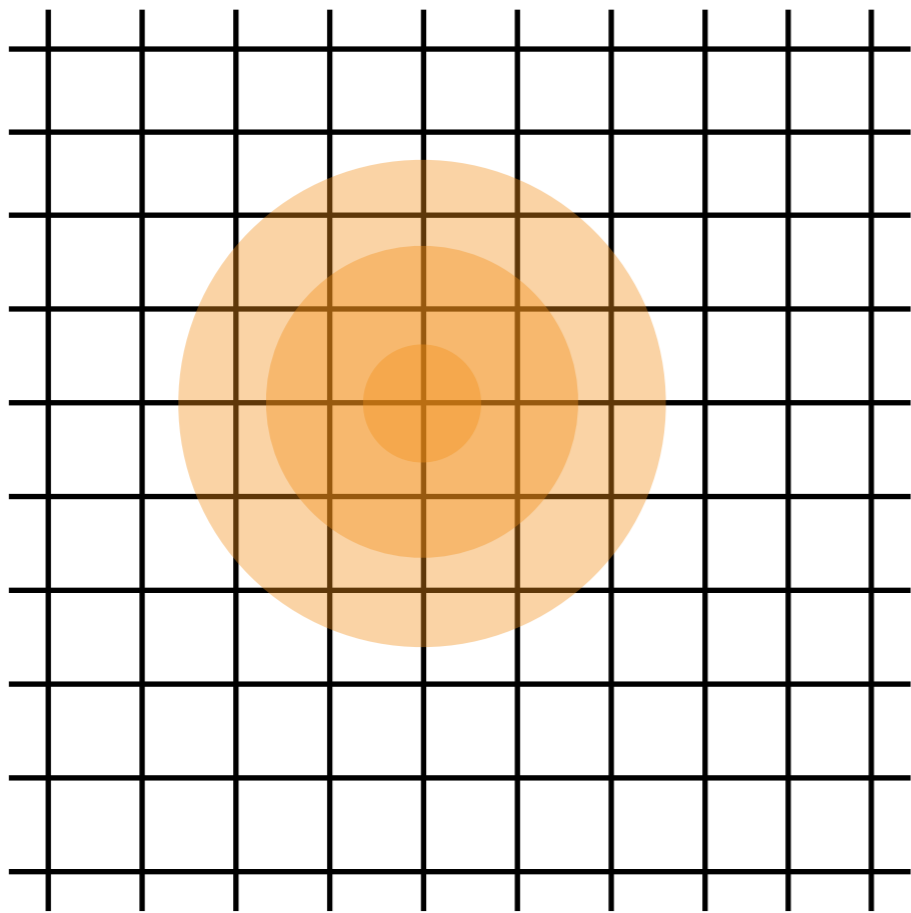
$$\alpha = \min(1, e^{(-S[\phi'(x)]+S[\phi(x)])})$$

- Numerical error corrected by accept/reject
- BUT**
- Short trajectories for high acceptance

# Accelerating HMC: action matching


QCD gauge field configurations sampled via

Hamiltonian dynamics + Markov Chain Monte Carlo



Updates diffusive

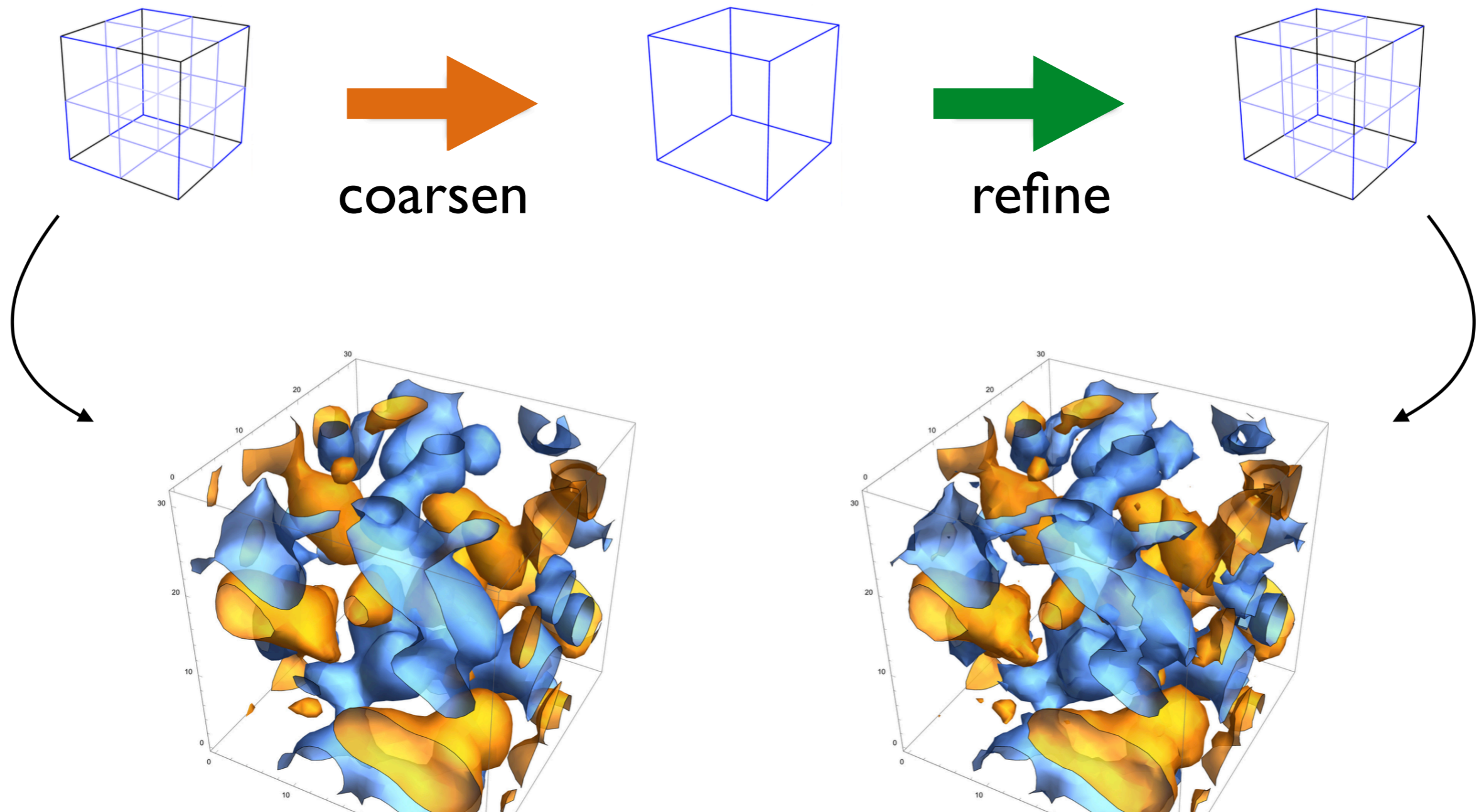
Lattice spacing  0

Number of updates to change fixed physical length scale   $\infty$

“Critical slowing-down”  
of generation of uncorrelated samples

# Multi-scale HMC updates

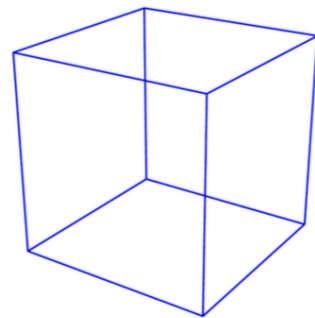
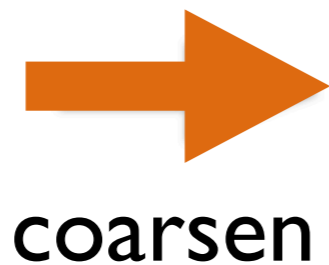
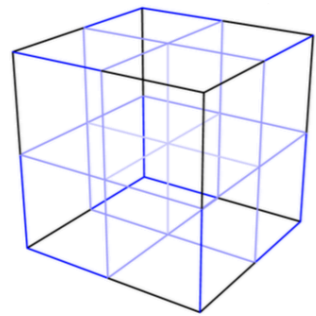
Given coarsening and refinement procedures...



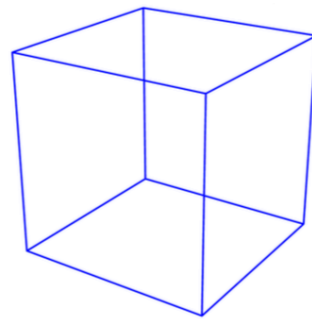
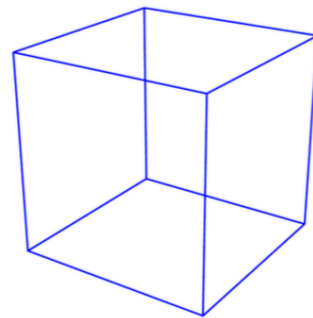


# Multi-scale HMC updates

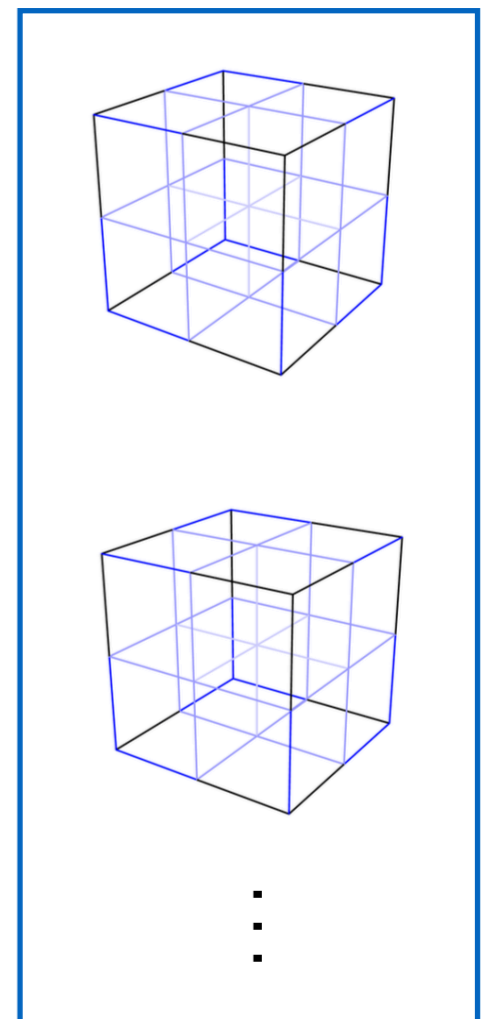
Perform HMC updates at coarse level



HMC ↓



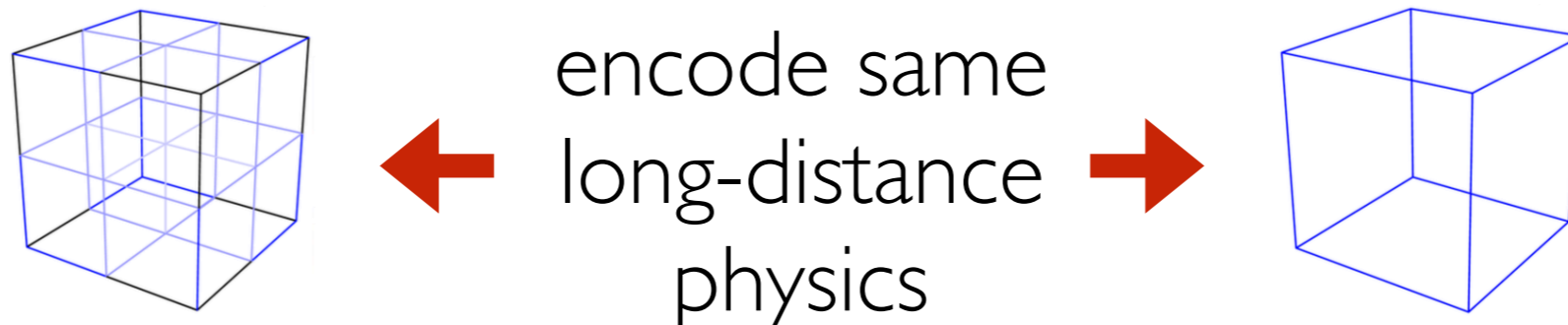
Fine ensemble  
rethermalise  
with fine action  
to make exact



Multiple layers of  
coarsening  
↓  
Significantly cheaper  
approach to  
continuum limit

# Multi-scale HMC updates

Perform HMC updates at coarse level



**MUST KNOW**  
parameters of coarse QCD action that reproduce ALL physics parameters of fine simulation

Map a subset of physics parameters in the coarse space and match to coarsened ensemble

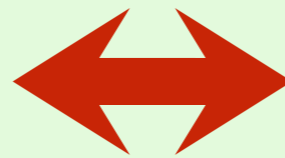
**OR**

Solve regression problem directly: "Given a coarse ensemble, what parameters generated it?"

# Machine learning LQCD

Neural networks excel on problems where

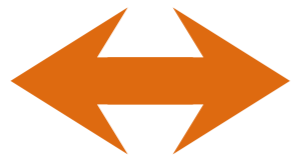
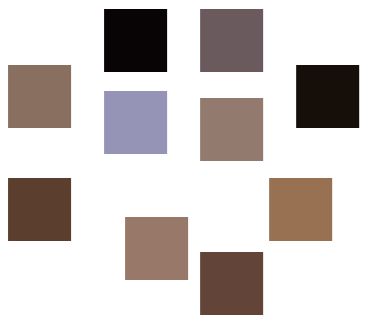
Basic data unit  
has little meaning



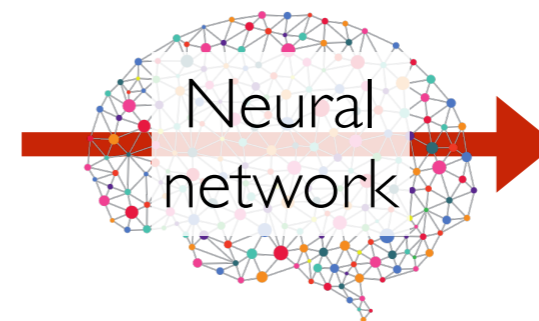
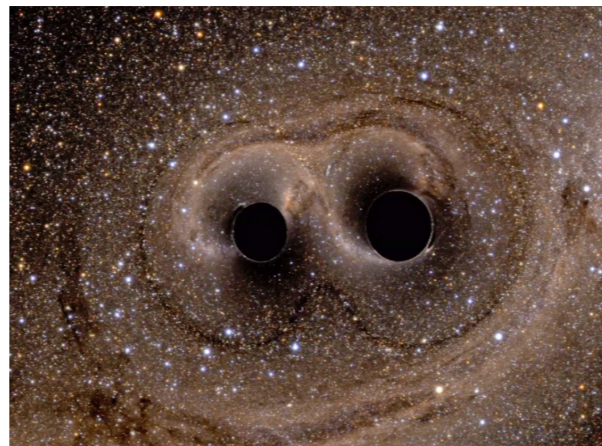
Combination of units  
is meaningful

## Image recognition

Pixel



Image



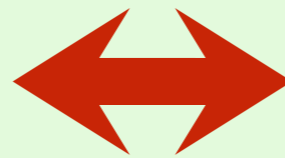
Label

“Colliding  
black holes”

# Machine learning LQCD

Neural networks excel on problems where

Basic data unit  
has little meaning

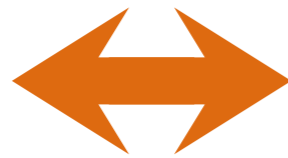


Combination of units  
is meaningful

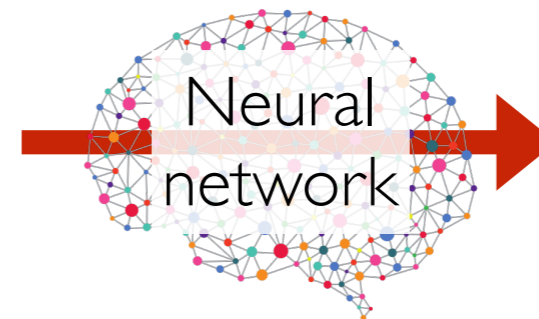
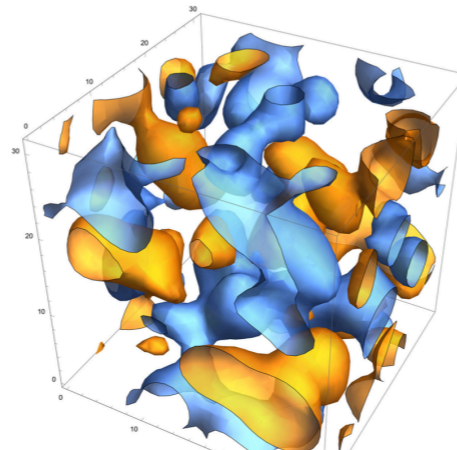
## Parameter identification

Element of a colour  
matrix at one discrete  
space-time point

0 6 7 5  
2 8 3 4 1  
6



Ensemble of lattice QCD  
gauge field configurations



Label

Parameters  
of action

# Machine learning LQCD

## CIFAR benchmark image set for machine learning

- $32 \times 32$  pixels  $\times$  3 cols  
 $\approx 3000$  numbers
- 60000 samples
- Each image has meaning
- Local structures are important
- Translation-invariance within frame

## Ensemble of lattice QCD gauge fields

- $64^3 \times 128 \times 4 \times N_c^2 \times 2$   
 $\approx 10^9$  numbers
- $\sim 1000$  samples
- Ensemble of gauge fields has meaning
- Long-distance correlations are important
- Gauge and translation-invariant with periodic boundaries

# Symmetries of LQCD gauge fields

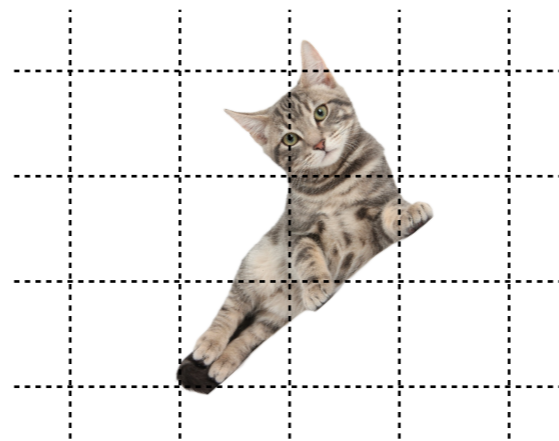
**Physics** encoded by lattice QCD gauge fields is invariant under specific field transformations

- **Rotation (4D)**

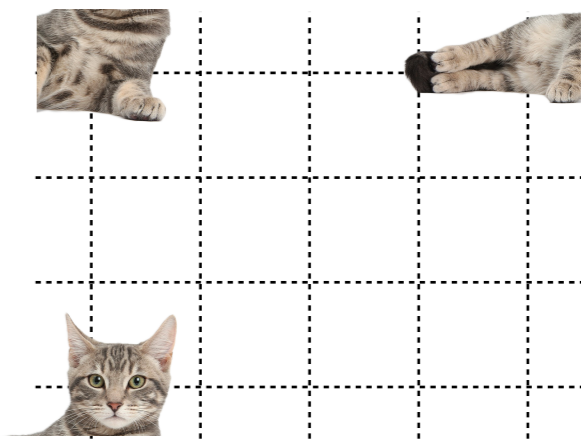
- **Translation**

with periodic boundary conditions

Gauge field configuration



Transformed gauge field configuration



Encode same physics

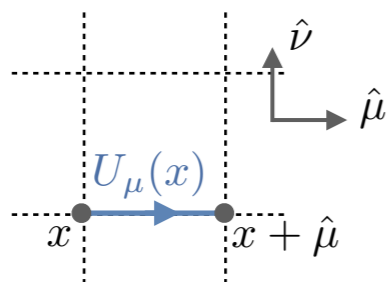


# Symmetries of LQCD gauge fields

Physics encoded by lattice QCD gauge fields is invariant under specific field transformations

## Gauge transformation

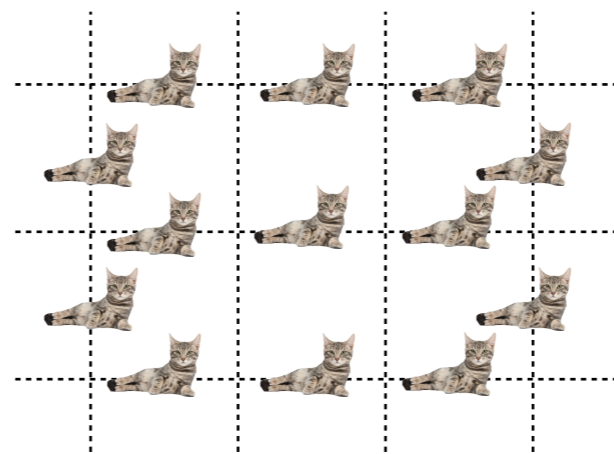
Separate group transformation of each link matrix  $U_\mu(x)$



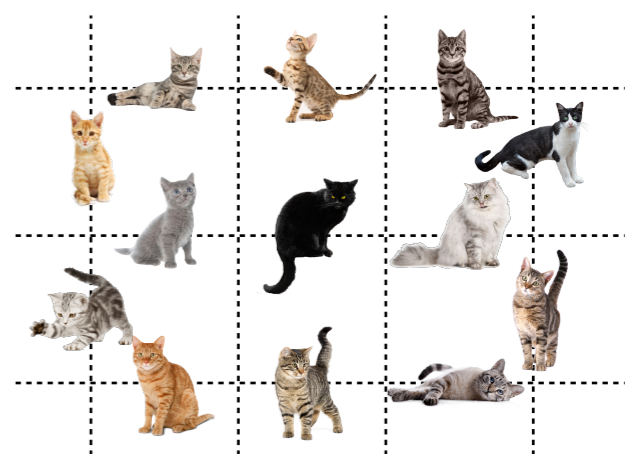
$$U_\mu(x) \rightarrow U'_\mu(x) = \Omega(x)U_\mu(x)\Omega^\dagger(x + \hat{\mu})$$

for all  $\Omega(x) \in \text{SU}(3)$

Gauge field configuration



Transformed gauge field configuration

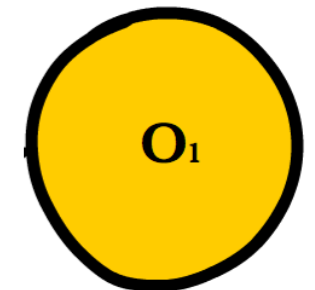
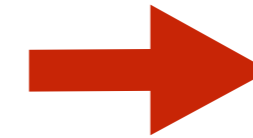
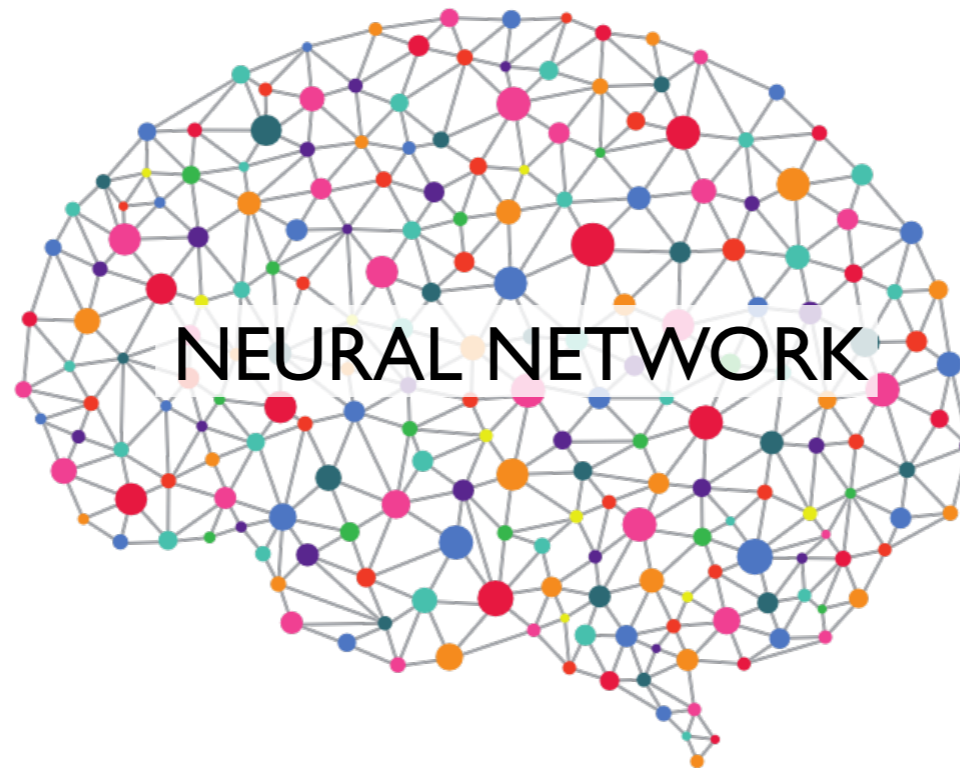
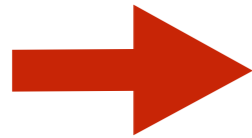
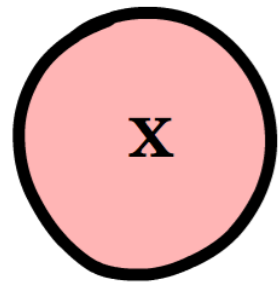


Encode same physics

# Regression by neural network

Lattice QCD  
gauge field

$\sim 10^7 - 10^9$  real  
numbers



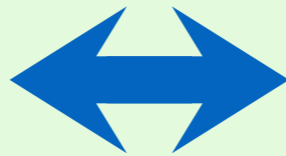
Parameters of  
lattice action

Few real  
numbers

- **Complete:** not restricted to affordable subset of physics parameters
- **Instant:** once trained over a parameter range

# Naive neural network

Simplest approach



Ignore physics symmetries

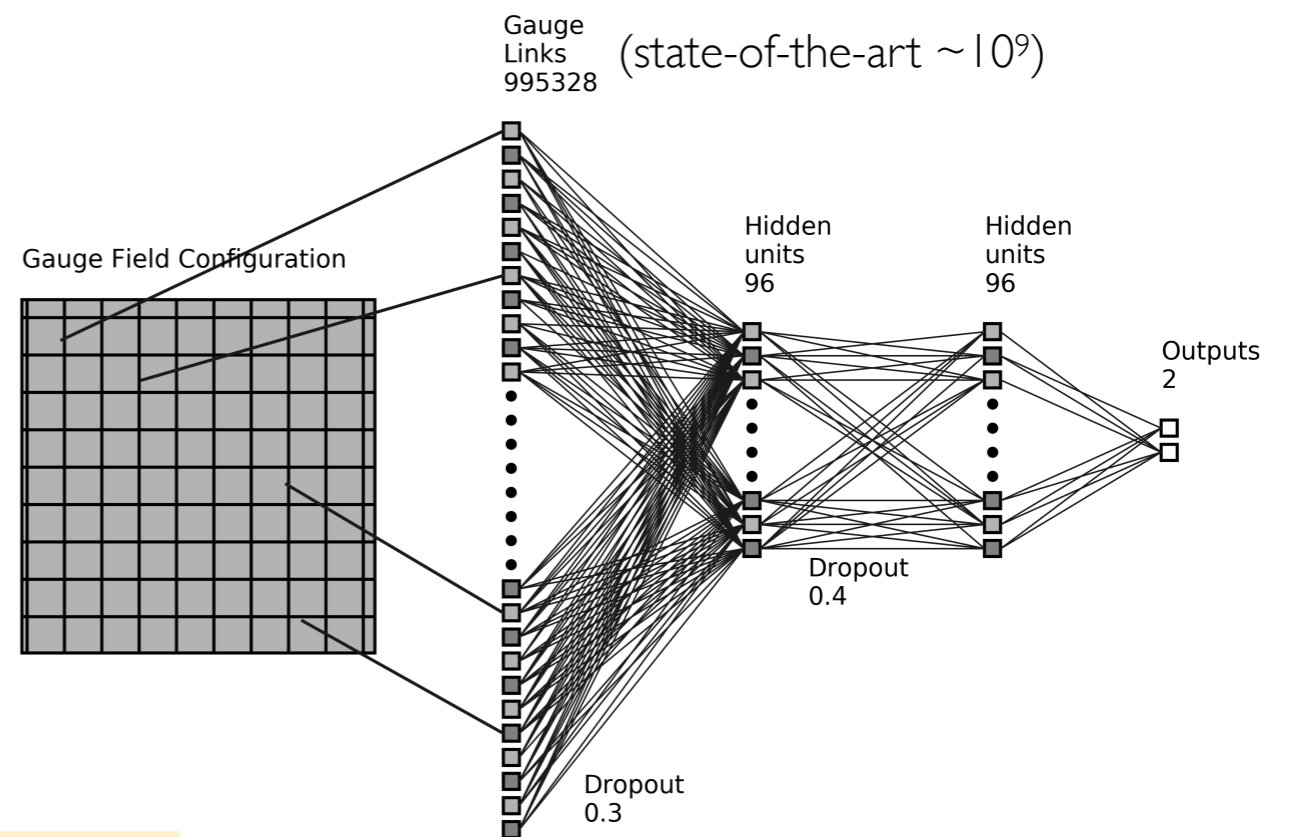
Train simple neural network on regression task

- Fully-connected structure
- Far more degrees of freedom than number of training samples available

“Inverted data hierarchy”



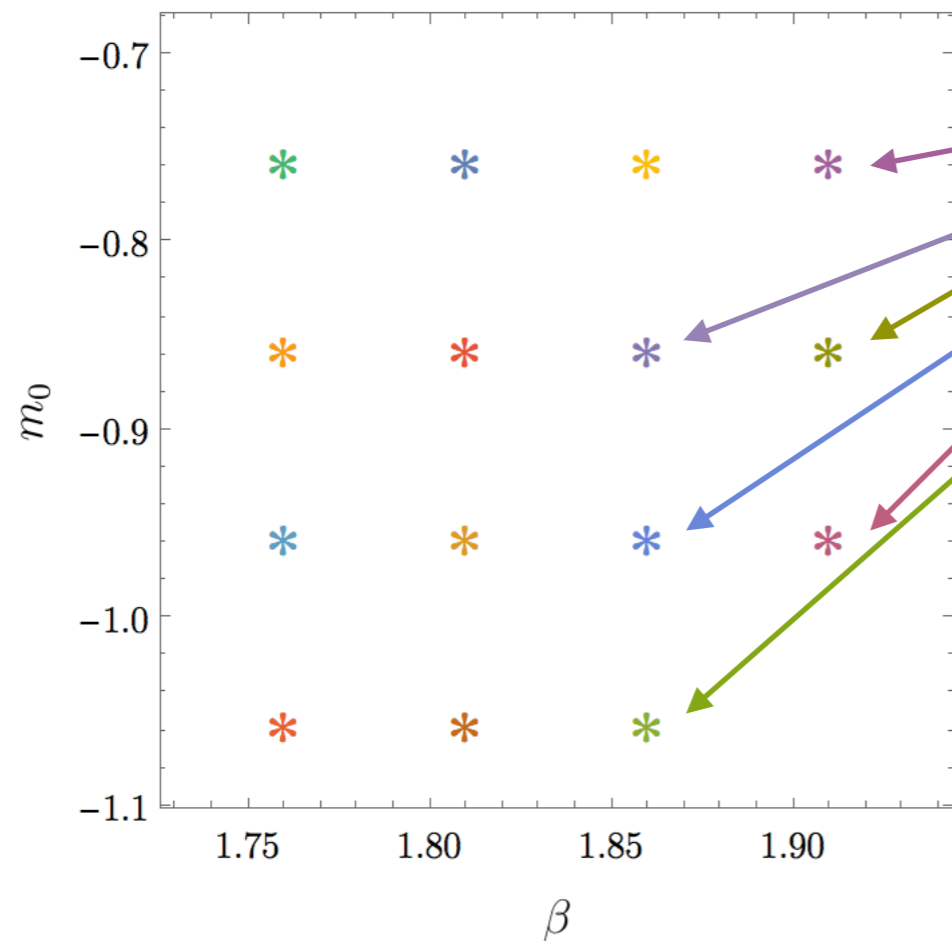
Recipe for overfitting!



# Naive neural network

## Training and validation datasets

Quark mass parameter



Parameter related to lattice spacing

\* Parameters of training and validation datasets

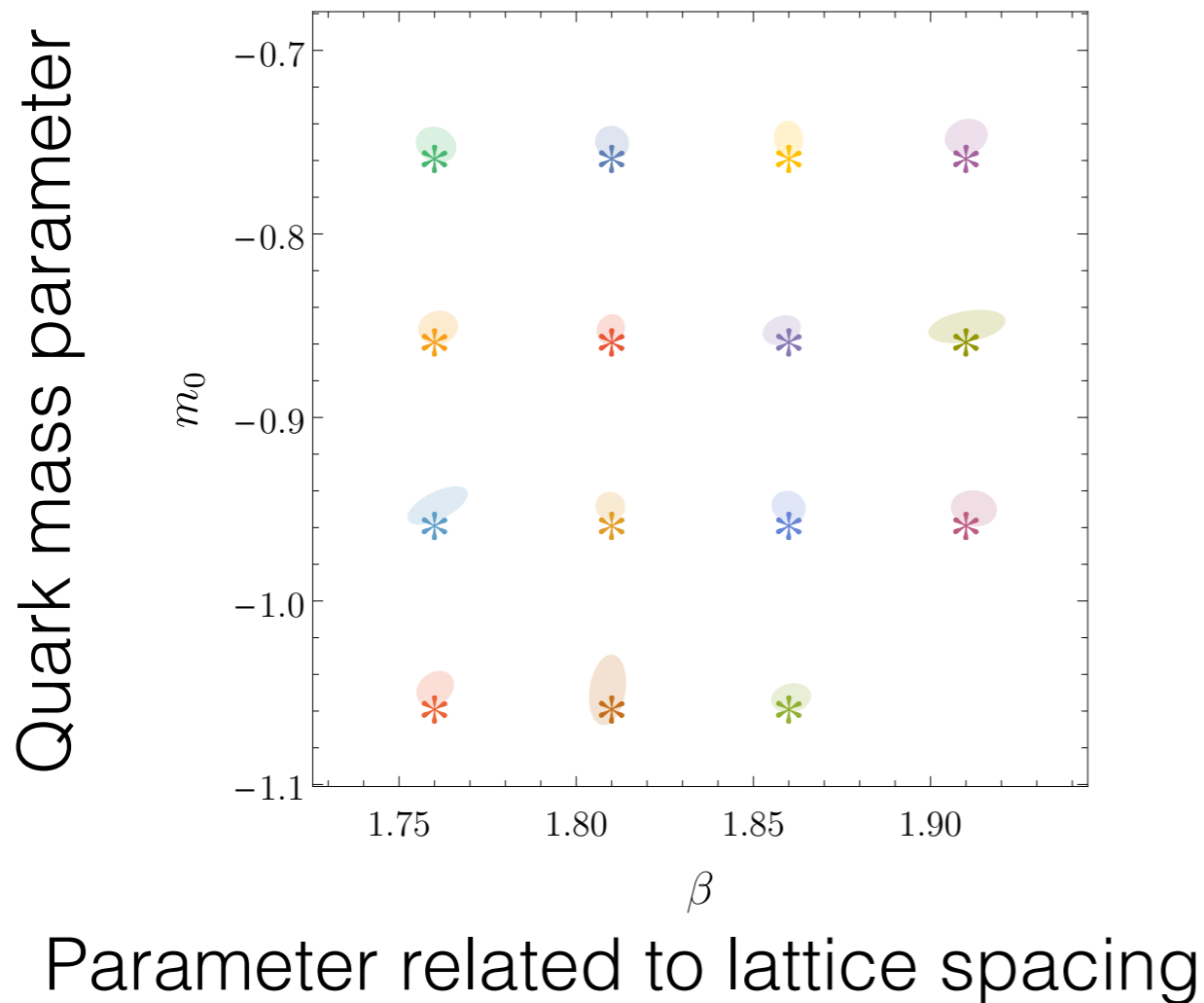
■  $O(10,000)$  independent configurations generated at each point

■ Validation configurations randomly selected from generated streams

Spacing in evolution stream  $\gg$  correlation time of physics observables

# Naive neural network

## Neural net predictions on validation data sets



- \* True parameter values
- Confidence interval from ensemble of gauge fields

**SUCCESS?**

**No sign of overfitting**

- Training and validation loss equal
- Accurate predictions for validation data

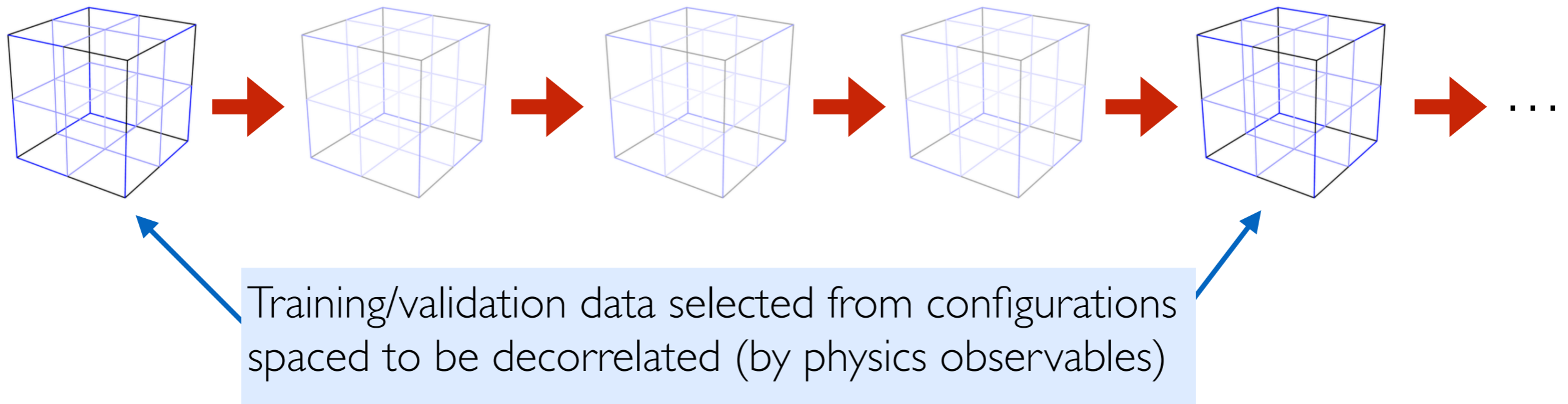
**BUT fails to generalise to**

- Ensembles at other parameters
- New streams at same parameters

**NOT POSSIBLE IF CONFIGS ARE UNCORRELATED**

# Naive neural network

Stream of generated gauge fields at given parameters



- Network succeeds for validation configs from same stream as training configs
- Network fails for configs from new stream at same parameters

**Network has identified feature with a longer correlation length than any known physics observable**



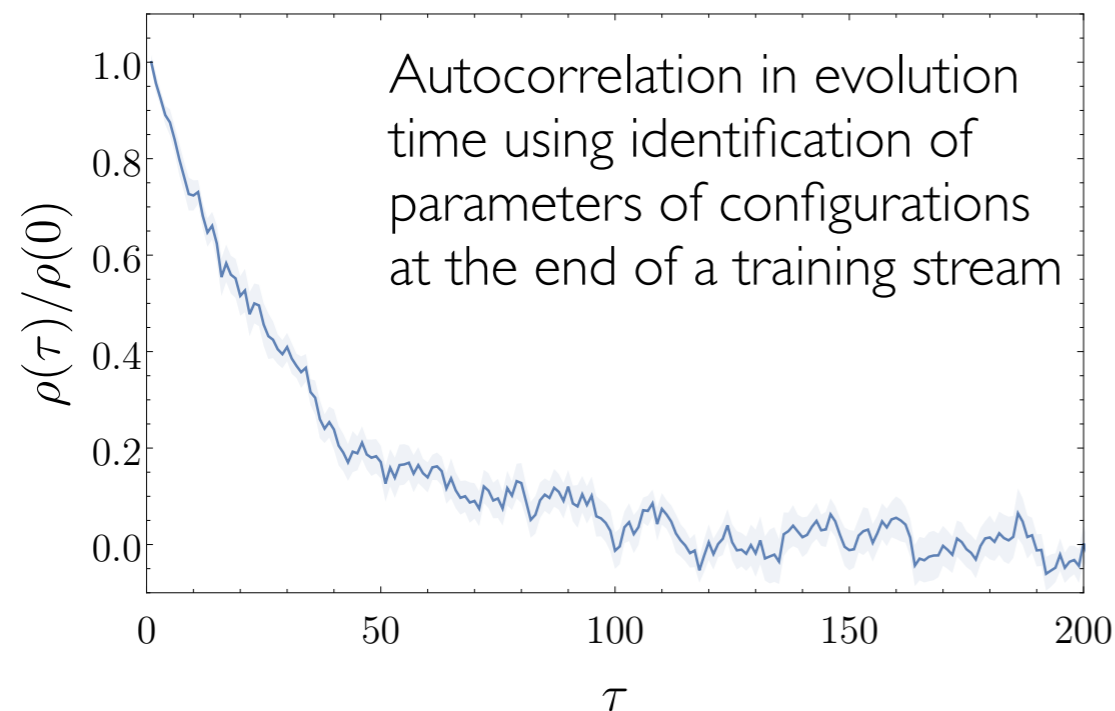
# Naive neural network

- Naive neural network that does not respect symmetries fails at parameter regression task

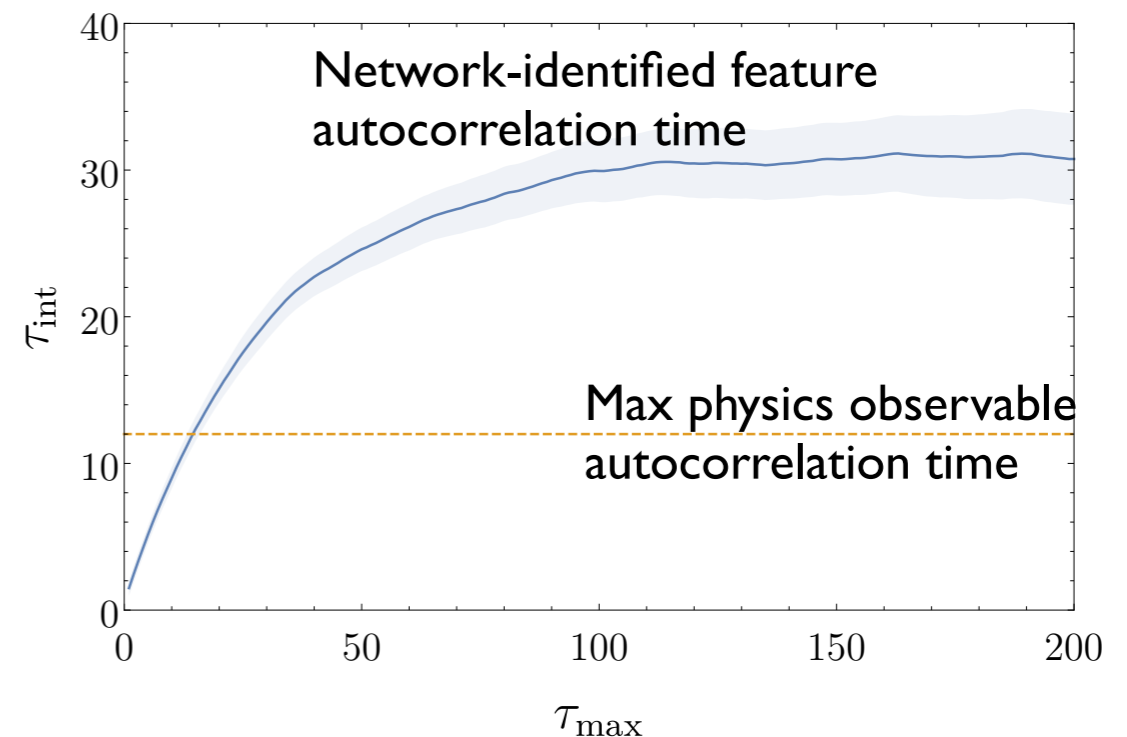
**BUT**

- Identifies unknown feature of gauge fields with a longer correlation length than any known physics observable

**Network feature autocorrelation**



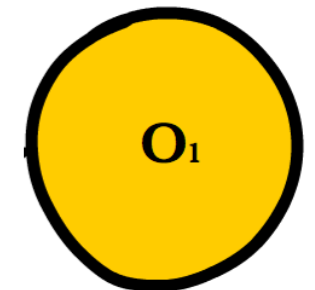
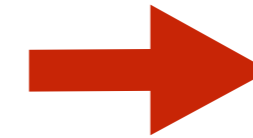
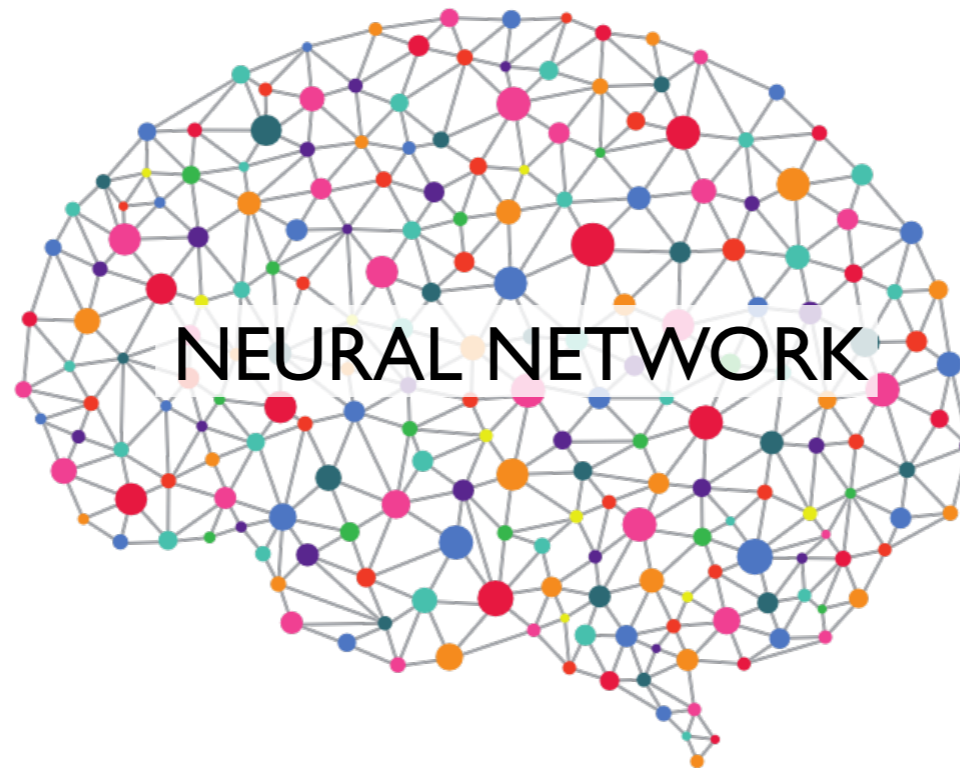
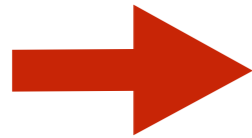
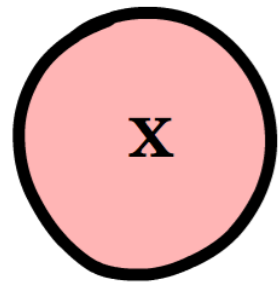
$$\tau_{\text{int}} = \frac{1}{2} + \lim_{\tau_{\text{max}} \rightarrow \infty} \frac{1}{\rho(0)} \sum_{\tau=0}^{\tau_{\text{max}}} \rho(\tau)$$



# Regression by neural network

Lattice QCD  
gauge field

$\sim 10^7 - 10^9$  real  
numbers



Parameters of  
lattice action

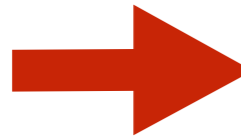
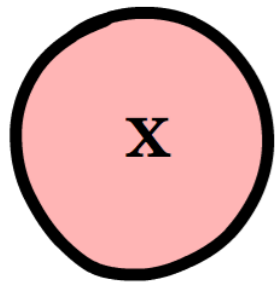
Few real  
numbers

- **Complete:** not restricted to affordable subset of physics parameters
- **Instant:** once trained over a parameter range

# Regression by neural network

Lattice QCD  
gauge field

$\sim 10^7 - 10^9$  real  
numbers

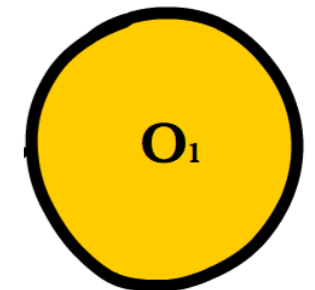
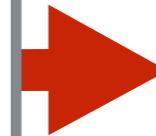


Custom network structures  
(or data preprocessing)

- Respects gauge-invariance, translation-invariance, boundary conditions
- Emphasises QCD-scale physics
- Range of neural network structures find same minimum

Parameters of  
lattice action

Few real  
numbers

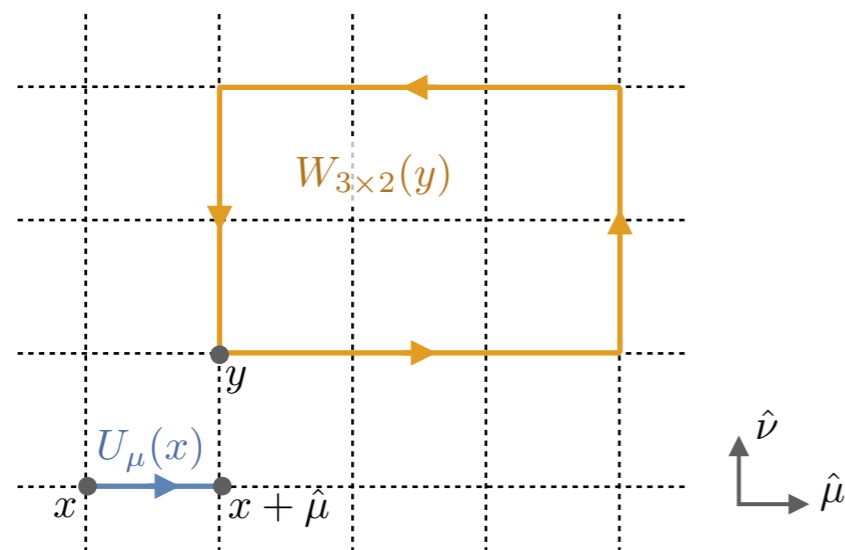


- **Complete:** not restricted to affordable subset of physics parameters
- **Instant:** once trained over a parameter range

# Symmetry-preserving network

Network based on symmetry-invariant features

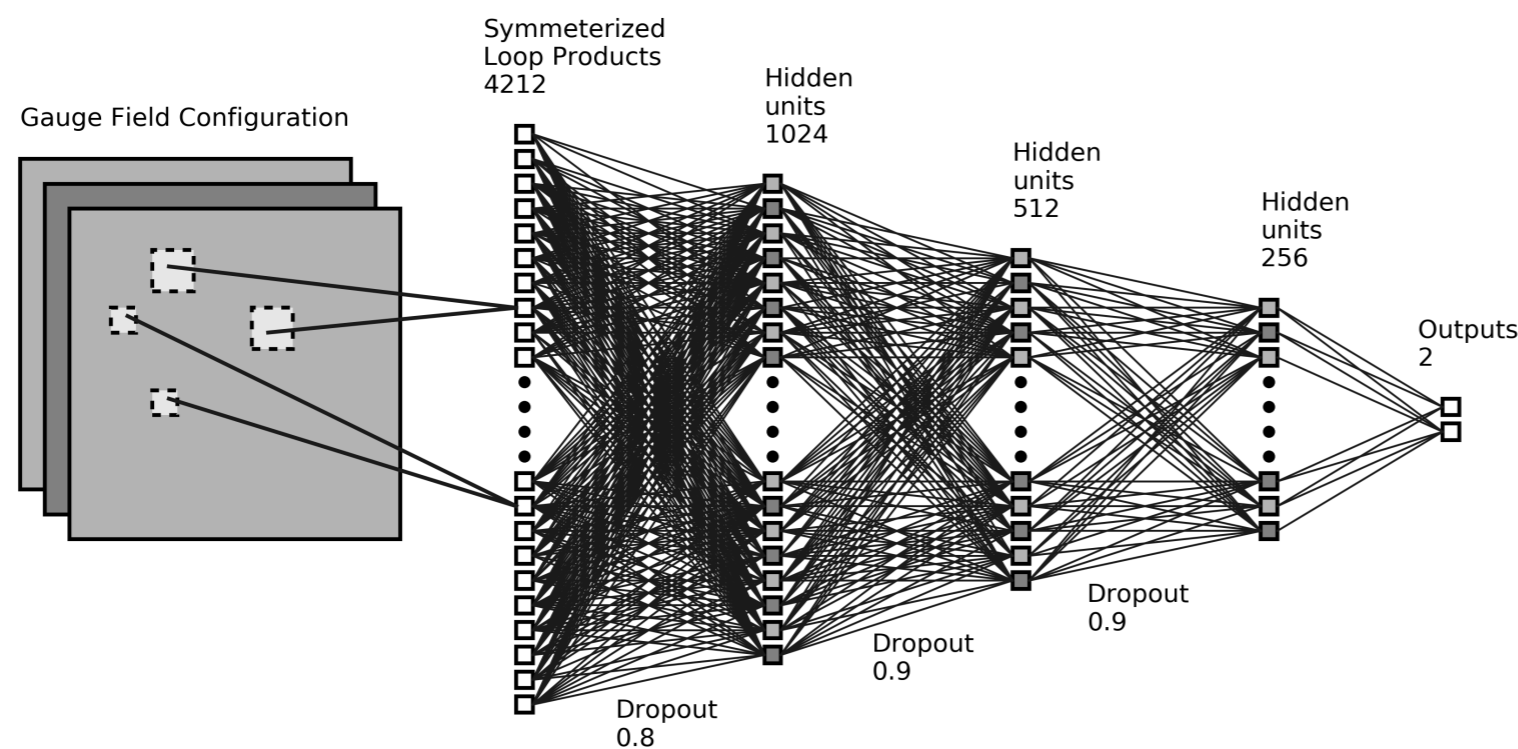
Closed Wilson loops  
(gauge-invariant)



- Loops
- Correlated products of loops at various length scales
- Volume-averaged and rotation-averaged

# Symmetry-preserving network

## Network based on symmetry-invariant features

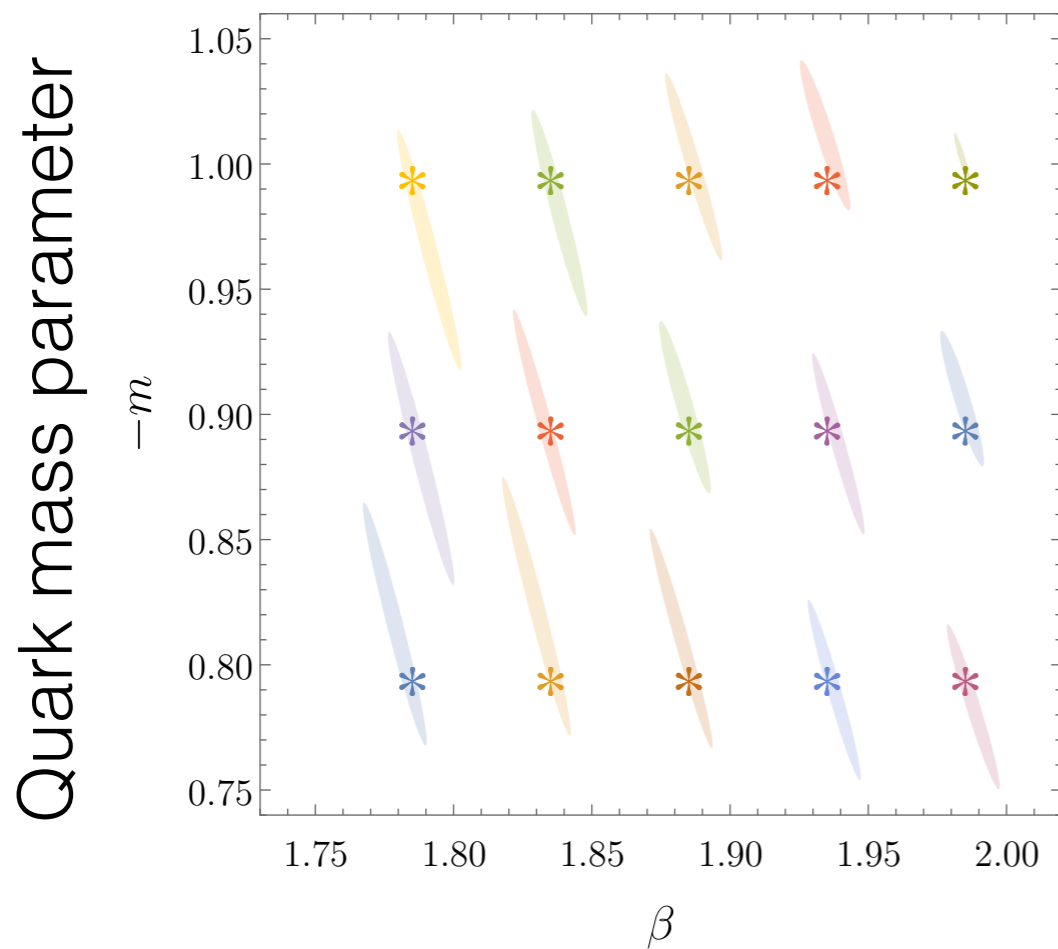


- Fully-connected network structure
- First layer samples from set of possible symmetry-invariant features

Number of degrees of freedom of network comparable to size of training dataset

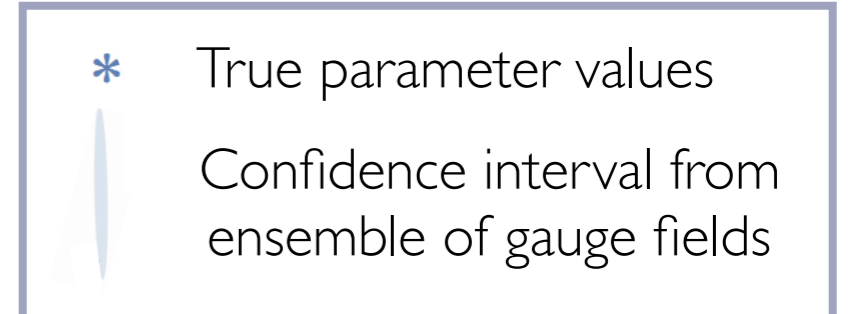
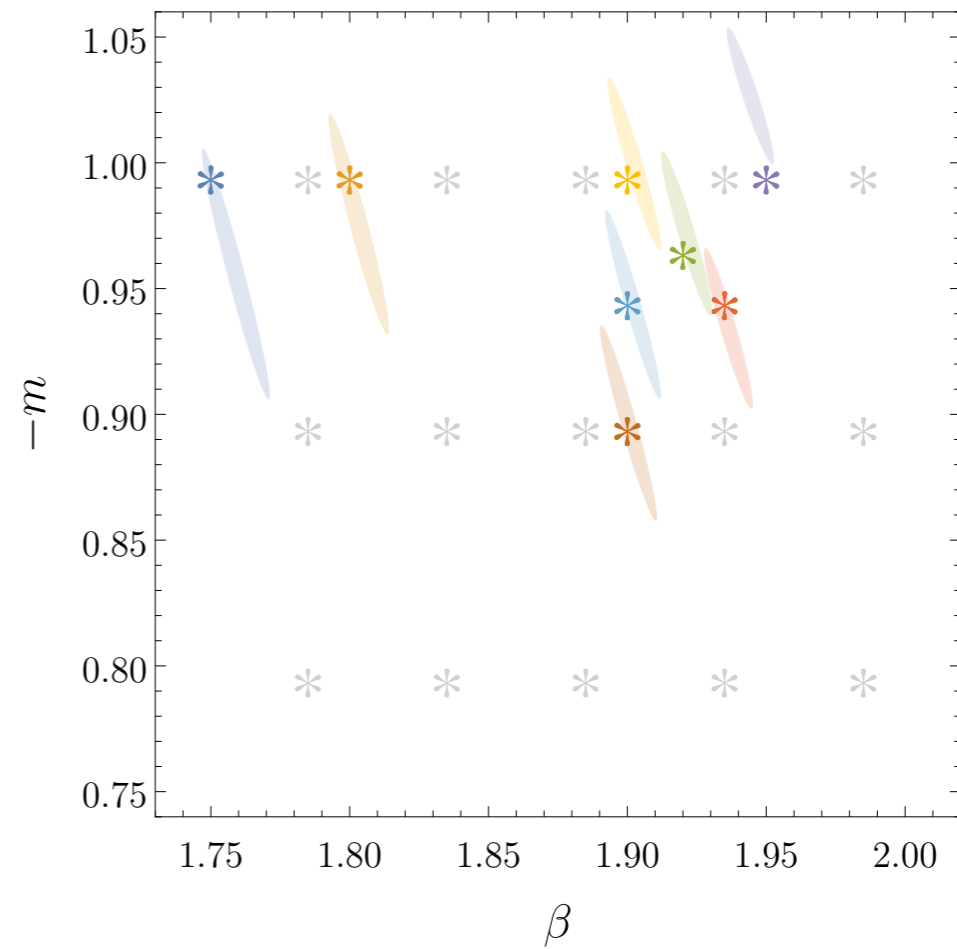
# Gauge field parameter regression

## Neural net predictions on validation data sets



Parameter related to lattice spacing

## Predictions on new datasets

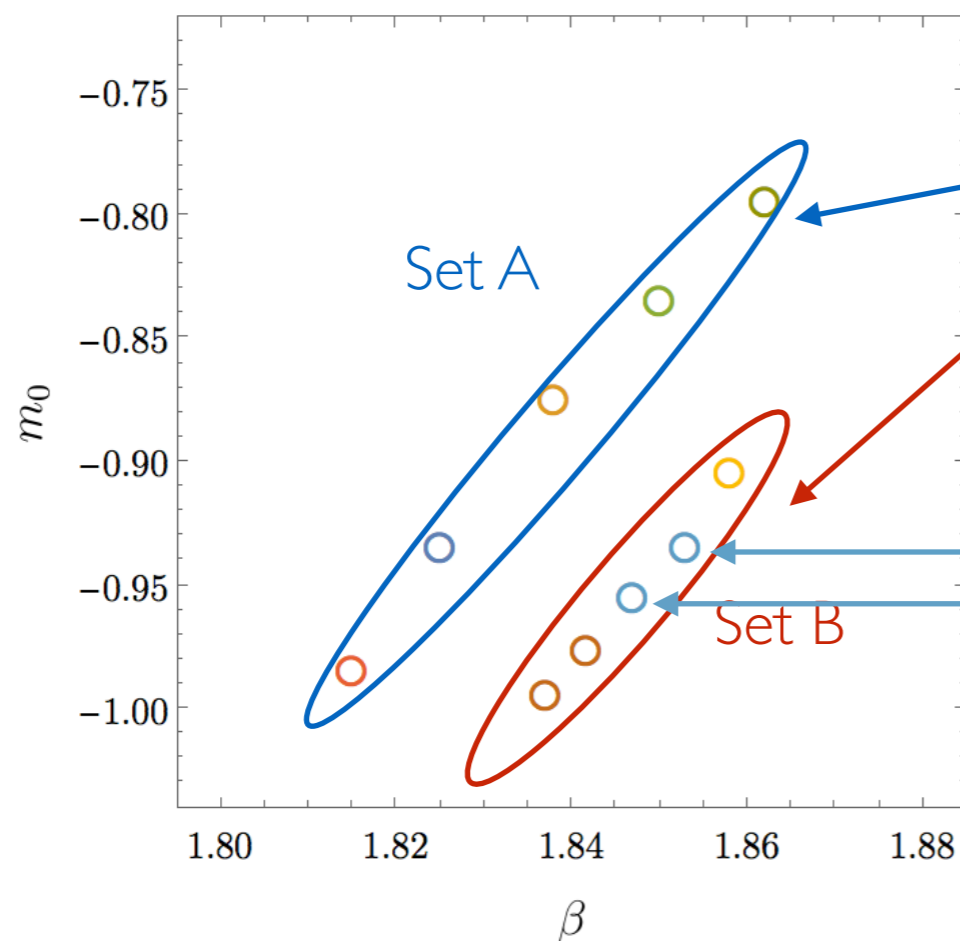




# Tests of network success

How does neural network regression perform compared with other approaches?

Consider very closely-spaced validation ensembles at new parameters



Sets along lines of **constant  $|x|$  Wilson loop** (most precise feature allowed by network)

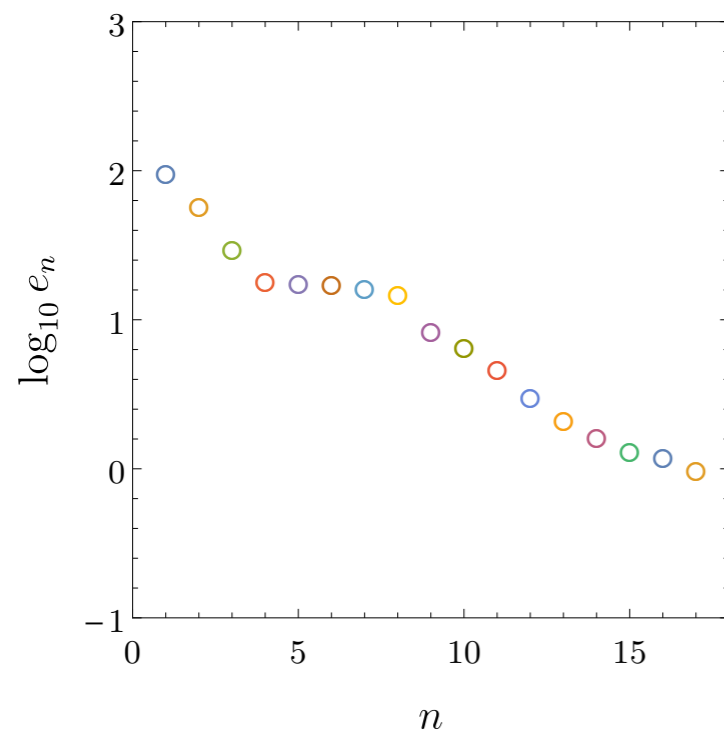
Much closer spacing than separation of training ensembles

# Tests of network success

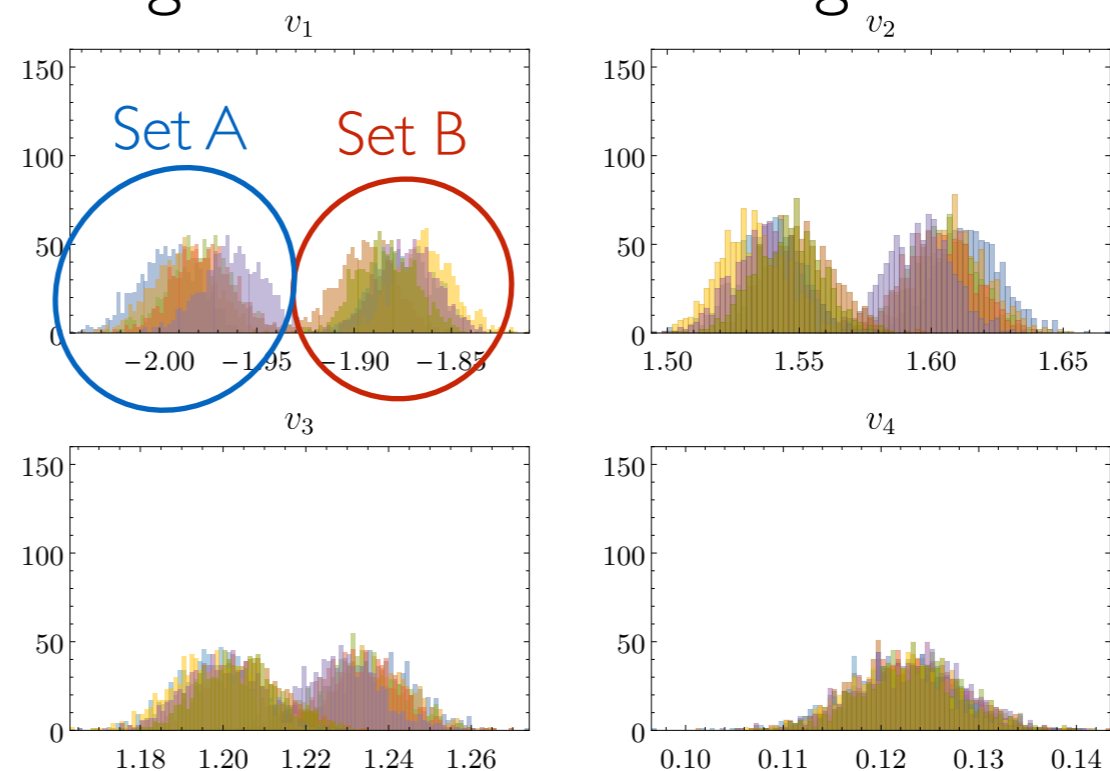
How does neural network regression perform compared with other approaches?

Consider very closely-spaced validation ensembles at new parameters: **not distinguishable to principal component analysis in loop space**

Eigenvalues



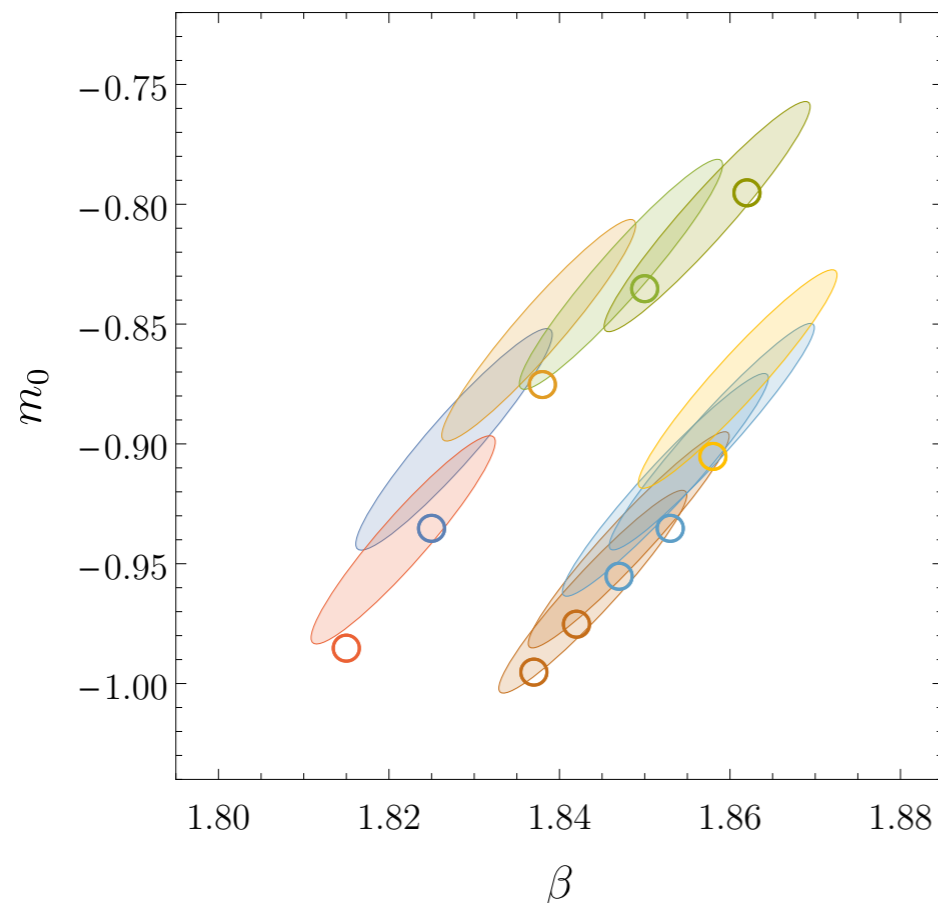
Histograms of dominant eigenvectors



# Tests of network success

How does neural network regression perform compared with other approaches?

Consider very closely-spaced validation ensembles at new parameters: **distinguishable to trained neural network**

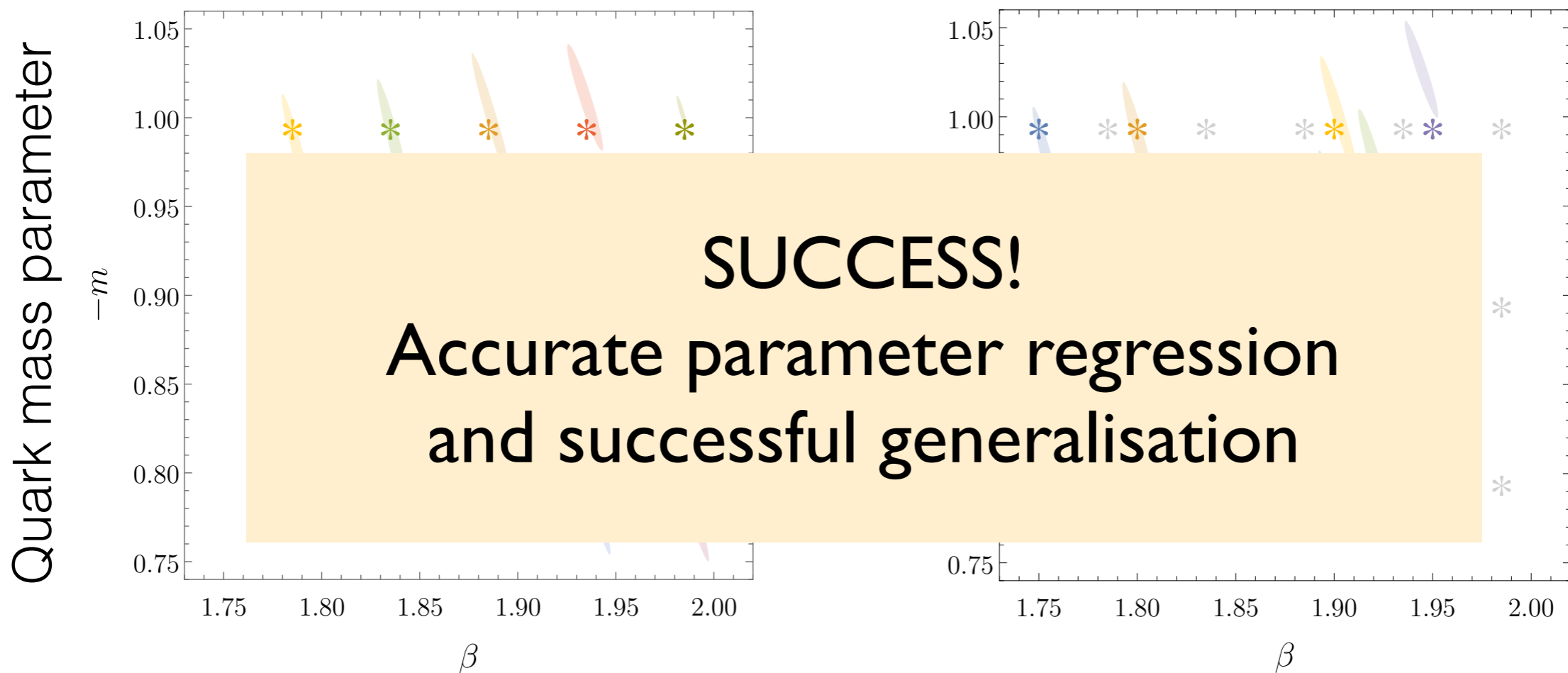


- Correct ordering of central values
- Accurate regression differences even at very fine resolution

# Gauge field parameter regression

Neural net predictions  
on validation data sets

Predictions on  
new datasets



# Gauge field parameter regression

## PROOF OF PRINCIPLE

Step towards fine lattice generation  
at reduced cost

1. Generate one fine configuration
2. Find matching coarse action
3. HMC updates in coarse space
4. Refine and rethermalise

Guarantees  
correctness

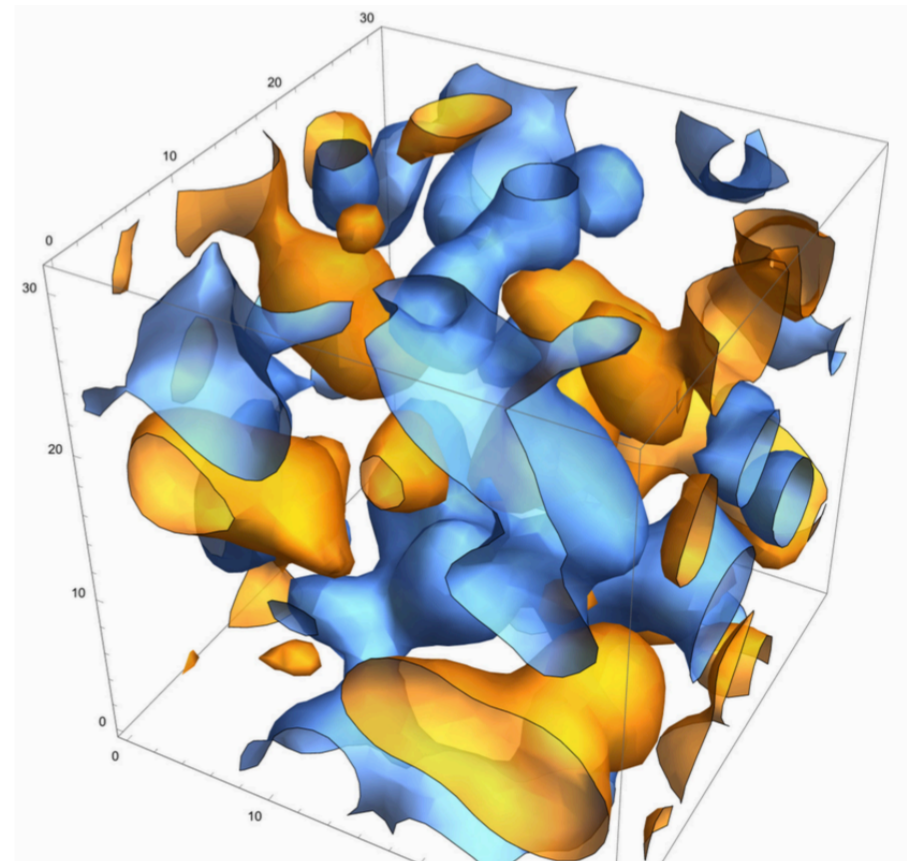


Accurate matching  
minimises cost of  
updates in fine space

# Machine learning QCD

## Accelerate gauge-field generation

- Multi-scale matching **PROOF OF PRINCIPLE** ✓
- Generative models to replace expensive HMC **IN PROGRESS**
- Learn parameters of a complicated pure-gauge action (cheap) to reproduce action with dynamical fermions (expensive)



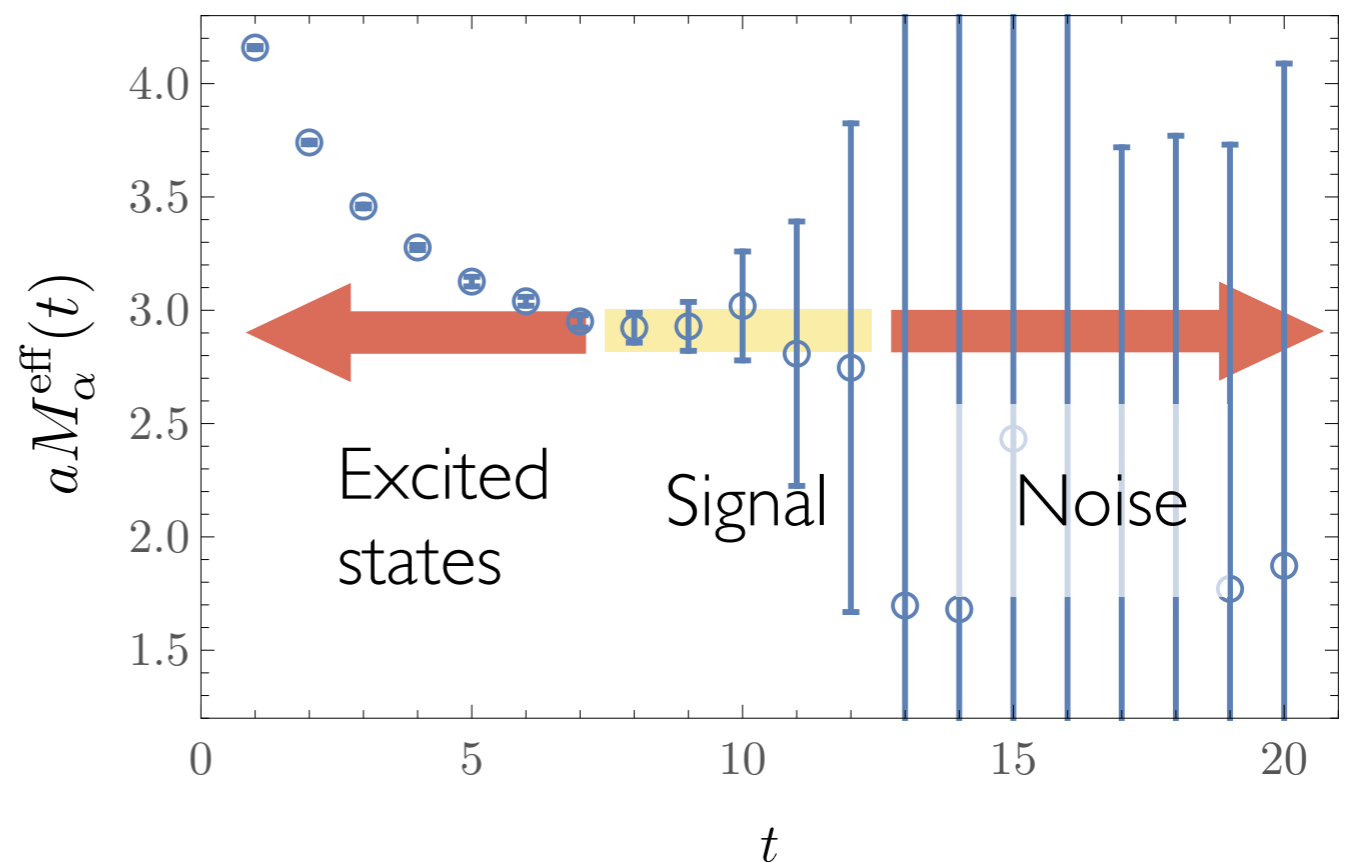


# Machine learning QCD

## Optimise extraction of physics from gauge fields

- Optimise source operator construction
  - ➔ beat down excited states
- New analysis approaches to maximise signal-to-noise
  - ➔ beat down noise

**EXPONENTIAL  
IMPROVEMENTS**



Huge potential to enable first-principles nuclear physics studies