

# Non-Fermi liquid and Charge Fractionalization in low dimensions

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Low dimensional electron systems

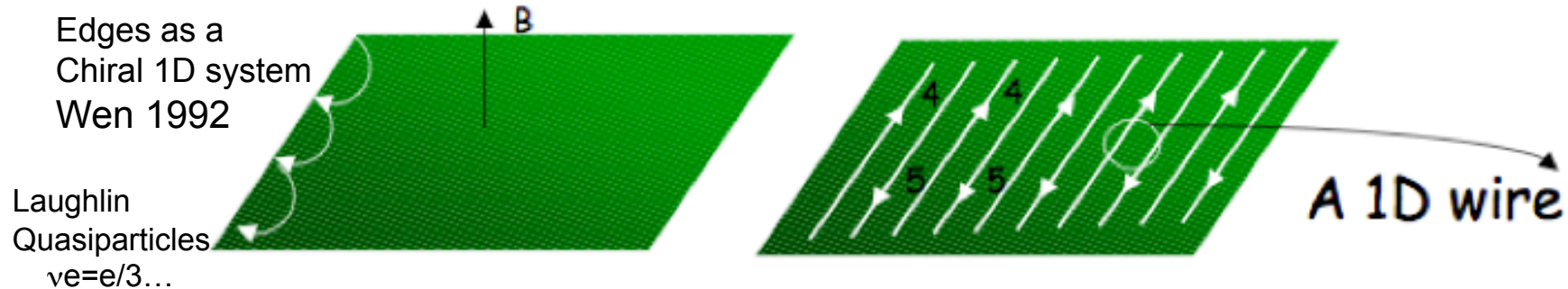


Collaborators:  
Bertrand I. Halperin  
Amir Yacoby



# dimensional Reduction and new physics...

2D systems - Chiral edges of the QH and FQHE  
Striped phase at high Landau levels



3D systems - Crystals of 1D molecules - Polyacetylene  
Stripes in High Tc superconductors



Graphene

Pseudogap phase of high-Tc cuprates

**Coupled wires systems**

*"modes confined to the same spatial channel"*

Non-chiral systems & charge sector

# Main objectives of this talk

Understand better the breakdown of Fermi liquid in one dimension

*K. Le Hur PRB 74, 165104 (2006)*

*Electron Decoherence and Applications to Interferometry:*

*Karyn Le Hur, Phys. Rev. Lett. 95, 076801 (2005) & PRB 65, 233314 (2002)*

1st step towards Charge fractionalization in non-chiral Luttinger liquids **using Momentum-Resolved tunneling**

**Novel transport measurement: asymmetry**

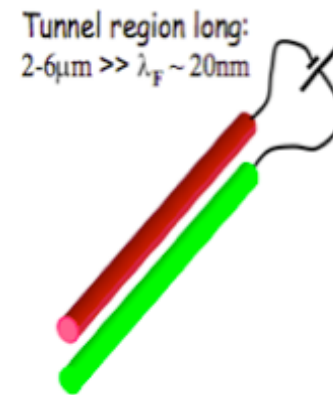
*Hadar Steinberg, Gilad Barak, Amir Yacoby,*

*Loren N. Pfeiffer, Ken W. West,*

*Bertrand I. Halperin, Karyn Le Hur, Nature Physics 4, 116 (2008)*

*Theory: K. Le Hur, B. I. Halperin, A. Yacoby*

*Annals of Physics, 323, 3037-3058 (2008)*



# Luttinger Paradigm

*Tomonaga 1950 following Bloch 1933, Haldane 1981*

particle/hole pair “excitations”

$$\rho_+^e(q) = \sum_{\mathbf{k}} a_{\mathbf{k}+\mathbf{q}}^\dagger a_{\mathbf{k}} \quad \text{and} \quad \rho_-^e(q) = \sum_{\mathbf{k}} b_{\mathbf{k}+\mathbf{q}}^\dagger b_{\mathbf{k}}$$

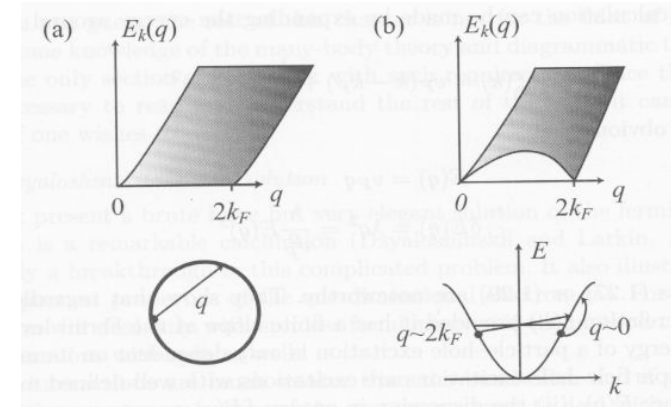
Plasmon (boson) waves:

$$\omega(q) = |q| \sqrt{\left(v_F + \frac{g_4(q)}{2\pi}\right)^2 - \left(\frac{g_2(q)}{2\pi}\right)^2}$$

$g_4$ : forward scattering and  $g_2$ : backward scattering

$$g = \left(\frac{1 + y_4/2 - y_2/2}{1 + y_4/2 + y_2/2}\right) \quad y_i = g_i/(\pi v_F)$$

$$g_2 = g_4 \longrightarrow vg = v_F$$



$$Z(\omega) = \omega \frac{g+g^{-1}}{2} - 1$$

# Non-Fermi liquid in 1D: a weak-interaction argument

Inject a spinless electron at the right Fermi point  $+k_F$

energy conservation = momentum conservation

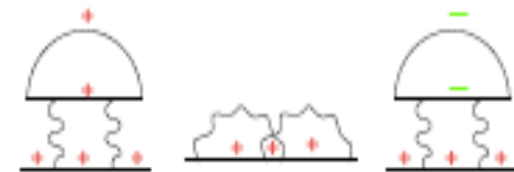
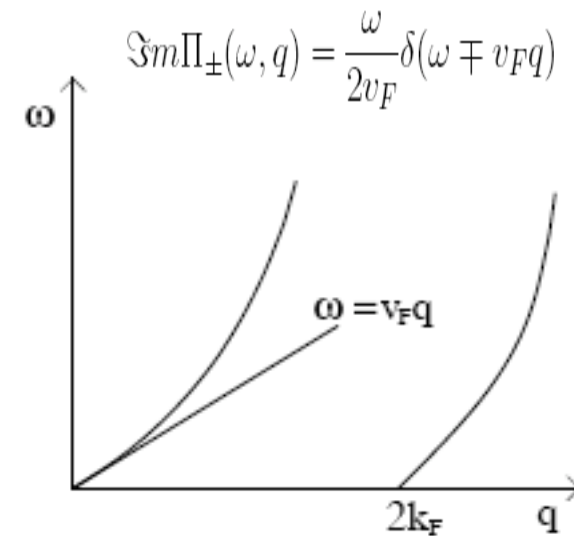
$$\Im \Sigma(k_F, \omega, T) = -\alpha^2 \max(\omega, T)$$

$$\Re \Sigma(\omega) = -\alpha^2 \omega \ln \omega$$

$$Z(\omega) \propto \omega^{\alpha^2} \quad \alpha = \frac{U}{E_F} = \frac{g_2}{v_F}$$

Quasiparticle weight vanishes at  $\omega=0$

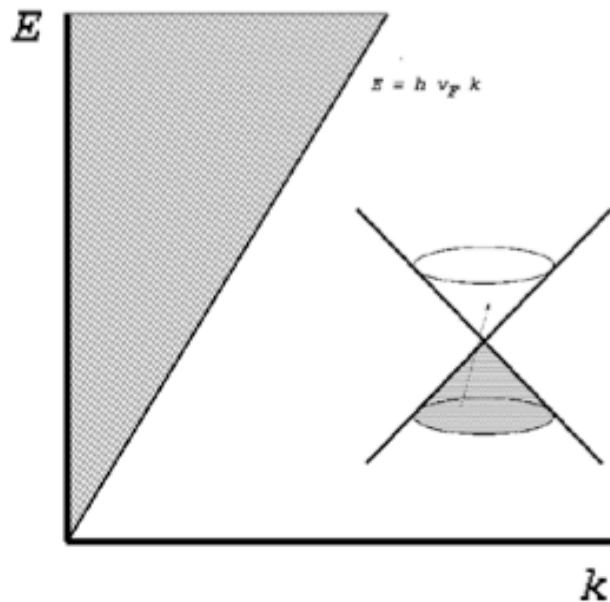
For spinless fermions, the first 2 (forward) diagrams cancel each other...



+: right-moving particle  
-: left-moving particle

# Note: Non-Fermi liquid in graphene...

Decay of a quasiparticle:



(in contrast to the electron gas)

Also renormalization of Fermi velocity

$$\lim_{\omega \rightarrow \epsilon_p + 0^+} \Im m \Sigma(\omega, \vec{p}) = \frac{1}{48} \left( \frac{e^2}{\epsilon_0 v_F} \right)^2 v_F |\vec{p}|$$

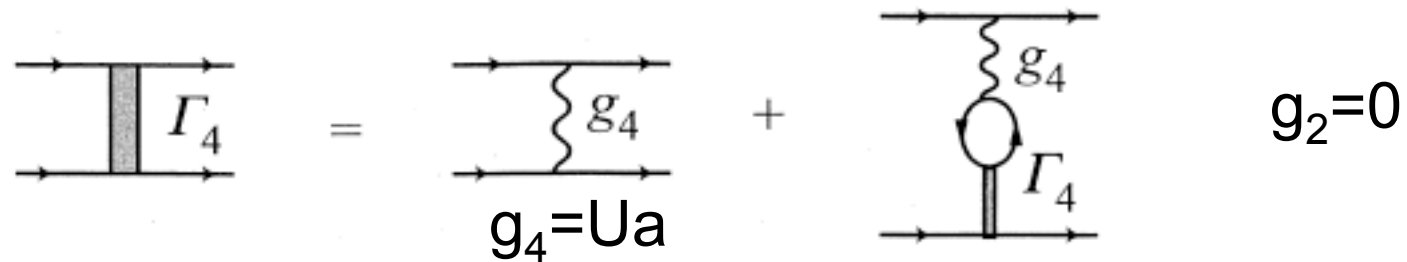
(perturbation theory in fine-structure cst)

$$\Pi(k, E) \approx \frac{k^2}{\sqrt{v_F^2 k^2 - E^2}}$$

Imaginary for  $E > v_F k$

Gonzalez, Guinea,  
Vozmediano 1994,96

# Forward scattering and velocity renormalization

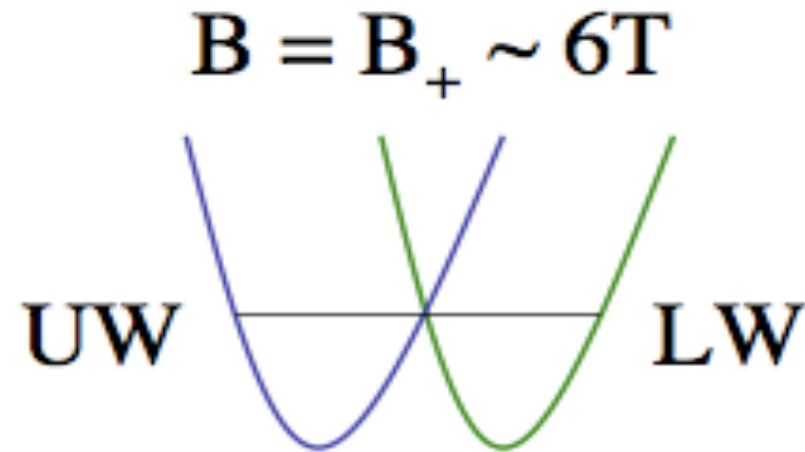
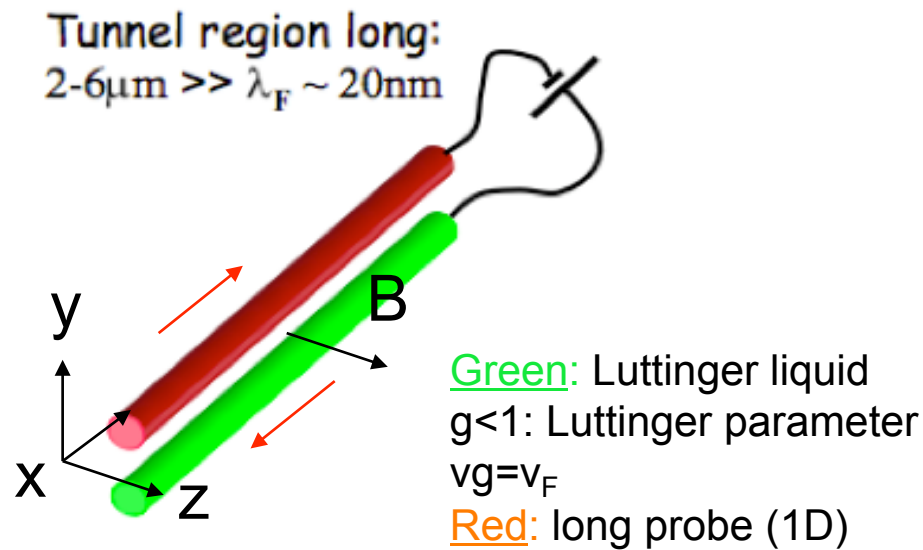


$$\Gamma_4 = \frac{g_4(\omega - v_F q)}{\omega - v q}$$

$$v q = \left( v_F + \frac{g_4}{2\pi} \right) q$$

Understand better the non-Fermi liquid requires to inject a bare electron at one Fermi point (uni-directional injection)

# Unidirectional Injection: Momentum-resolved Tunneling



Momentum is conserved during the tunneling process

A transverse field  $B$  produces a momentum boost

$$q_B = 2\pi B d / \phi_0$$

e.g.: Landau gauge  $A_y = xB$

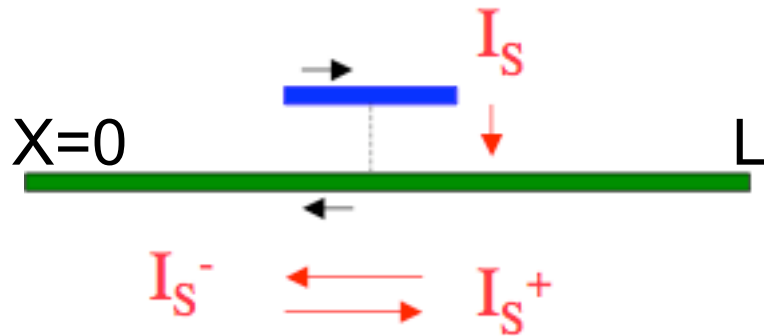
Ideal situation when

$$k_{FL} + k_{FU} = q_B$$



# Is the current uni-directional?

K. Le Hur, B. I. Halperin, and A. Yacoby, *Annals of Physics* 323, 3037-3058 (2008)



$$H_t = \lim_{q \rightarrow 0} \int dx \sum_{s=\uparrow, \downarrow} t e^{-iqx} \Psi_{-Ls}^\dagger(x) \Psi_{+Us}(x) + h.c.$$

$$q = q_B - (k_{FL} + k_{FU}) \rightarrow 0$$

$$|\langle I(x=0) \rangle| = \frac{1+g}{2} I_S = I_S^-$$

$$\langle I(x=L) \rangle = \frac{1-g}{2} I_S = I_S^+$$

(those equalities are true beyond the weak-tunneling regime)

$$\frac{dI_S}{dV_{SD}} = |t|^2 \frac{2e^2}{h} \frac{1}{(\hbar v_F)^2} \frac{(T/\Lambda)^\nu}{\Gamma(\nu+1)} \frac{L_F^2}{1+q^2 L_F^2}$$

# Understanding of the effect?

Charge sector: chiral basis

*I. Safi & H. Schulz, 1995*

*M. Fisher & L. Glazman, 1996*

*T. Giamarchi's book, ...*

The Luttinger 1D Hamiltonian takes the general form

$$H = \int dx (v\hbar/4g)[\rho_+^2 + \rho_-^2] - W\rho_- - Y\rho_+$$

$W$  &  $Y$  are the associated chemical potentials

Here,  $\rho_{\pm}$  represent the chiral densities

Notice that  $\rho_+ = f(x-vt)$  and  $\rho_- = f(x+vt)$

At equilibrium, we obtain  $(Y, W) = (v\hbar/2g)\rho_{\pm}$  (e=1)

# Averaged chiral charges

Suppose we inject  $N$  electrons such that  $J = N_+^e - N_-^e$   
 $N_+$  and  $N_-$  are the averaged charges of the chiral waves

Conservation laws:

$$\begin{aligned}(N_+ + N_-) &= N \\ v(N_+ - N_-) &= vgJ\end{aligned}$$

Current in a Luttinger liquid reads  $vgJ$

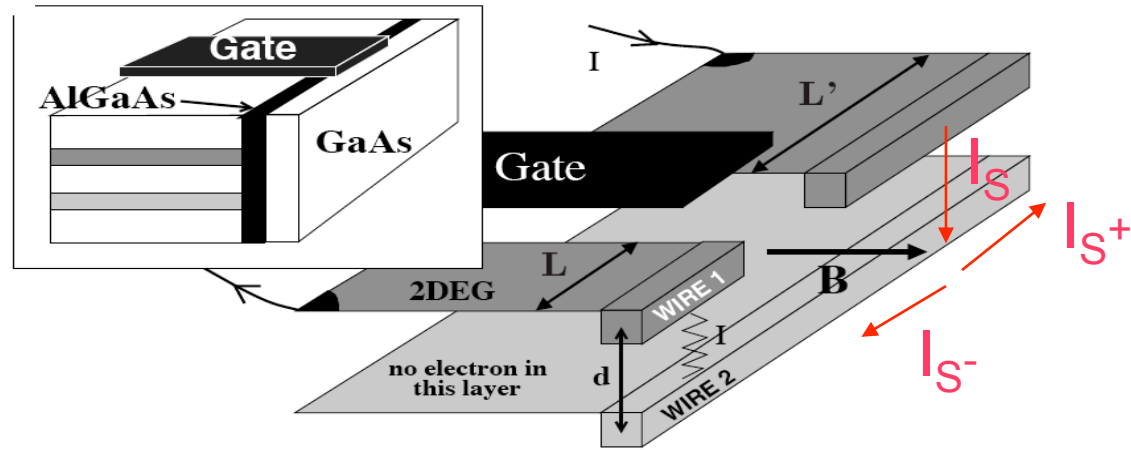
Unidirectional electron:  $N_- = (1+g)/2 = f$  &  $N_+ = (1-g)/2 = (1-f)$

*K.-V. Pham, Lederer, Gabay, 99; I. Safi & H. Schulz, 96; K. Le Hur, 2002;...*

Weak backscattering from impurities results in  $J = \pm 2$  and  $N = 0$ ; thus  $N_{\pm} = \pm g$

*Attempt of shot-noise measurements in carbon nanotubes: Yamamoto et al. 2007, PRL (Stanford)*

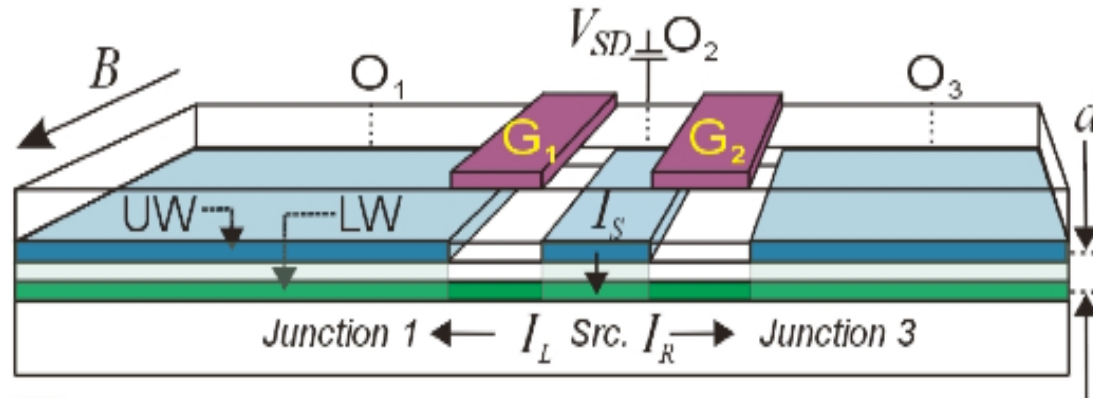
# Asymmetry: novel quantity



$$\frac{I_S^- - I_S^+}{I_S} = (2f - 1) = g$$

Not so simple...

Currents detected at the left and right contacts are not  $I_S^-$  and  $I_S^+$



For symmetric couplings with left and right leads, then:

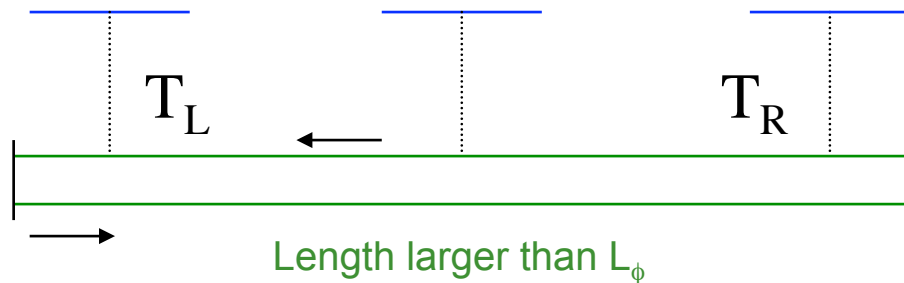
$$\frac{A_S(2e^2/h)}{G_2} = \frac{1}{g} \left( \frac{I_S^- - I_S^+}{I_S} \right) = 1$$

$$A_S = I_L - I_R / (I_L + I_R)$$

$G_2$ : 2-terminal conductance

# Glimpse on free electrons

$$T_L = |t_L|^2 \text{ and } T_R = |t_R|^2$$



Free electrons:  
Landauer picture

$$R_L = 1 - T_L, R_R = 1 - T_R$$

$$A_S = T_L - (1 - T_L)T_R + (1 - T_L)(1 - T_R)T_L - (1 - T_L)^2(1 - T_R)T_R + \dots$$

Sum over all possible paths in the lower wire

$$\text{For } T_L = T_R \quad A_S = (T_L)(2 - T_L)^{-1}$$

# Two-terminal conductance



2 scatterers in cascade

$$G_2 = (2e^2/h)T_{\text{eff}}$$

A simple calculation leads to the celebrated result:

$$T_{\text{eff}} = \frac{T_L T_R}{1 - (1 - T_L)(1 - T_R)}$$

Therefore for  $T_L = T_R$ , we observe that  $A_S(2e^2)/(hG_2) = 1$

(For  $T_L \gg T_R$ , however  $A_S \sim 1$  whereas  $G_2 \propto T_R$ )

# Interacting case...

## Including couplings with left and right wires (leads)

- General way of doing it: Using current conservation
- Not limited to weak or strong couplings
- Very general boundary condition for interacting « wires »**  
D. B. Chklovskii and B. I. Halperin, PRB 57, 3781 (1998)

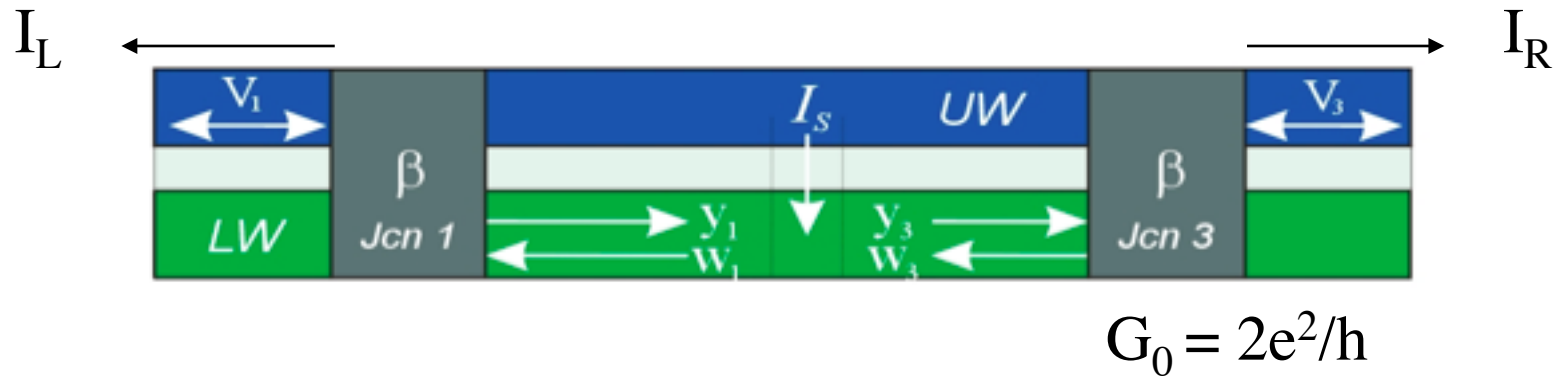
### Useful Formulas for a 1D wire:

The chiral currents are defined as:  $I^\pm = e \rho_\pm v = (2eg/h)(Y,W)$

The current in a Luttinger liquid obeys:

$$I = I^- - I^+ = (2eg/h)(W-Y)$$





-current conservation:  $I_S = I_L + I_R = I_S^- + I_S^+$

-currents on each part of the central junction in the wire

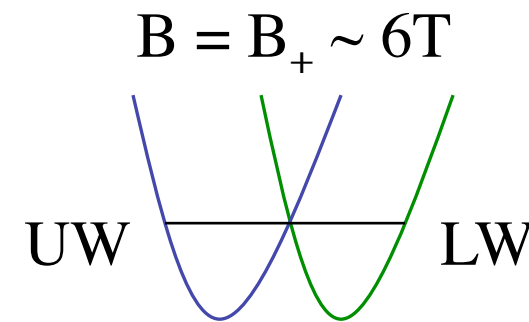
$$I_L = I_L^- - I_L^+ = gG_0(W_1 - Y_1)$$

$$I_R = I_R^+ - I_R^- = gG_0(Y_3 - W_3)$$

-Fractionalization equations:

$$gG_0(W_1 - W_3) = I_S^- = I_S f$$

$$gG_0(Y_3 - Y_1) = I_S^+ = I_S(1-f) \quad f = (1+g)/2$$

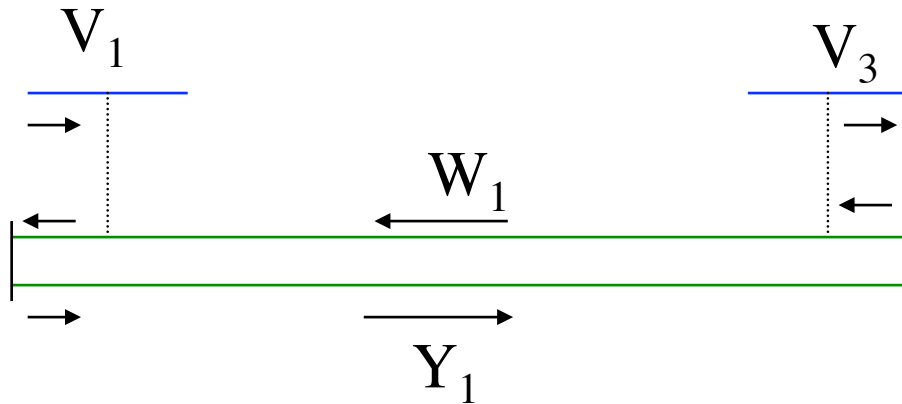


-coupling with leads using current conservation

$$y_1 = \beta V_1 + (1-\beta)W_1$$

$$W_3 = \beta V_3 + (1-\beta)Y_3$$

# Two-terminal conductance



$$I_S = 0$$

$$\begin{aligned} I_R &= gG_0(Y_3 - W_3) \\ &= gG_0(Y_1 - W_1) \end{aligned}$$

$$\beta(V_1 - V_3) = (2 - \beta)(Y_1 - W_1)$$

$$G_2 = g \frac{2e^2}{h} \frac{\beta}{2 - \beta}$$

- $g=1$ , free electrons:  $T_i = 1 - R_i = \beta_i$
- consistent with incoherent electron transport
  - Maximum value of  $G_2 = 2e^2/h$  and  $\beta_{\max} = 2/(1+g)$

$$\beta_{\max} \text{ corresponds to } (2ge^2/h)(Y_1 - W_1) = (2e^2/h)(V_1 - V_3)$$

# Asymmetry and Universal Ratio

For symmetric couplings with left/right leads

$$A_S = \left( \frac{I_S^- - I_S^+}{I_S} \right) \frac{\beta}{2 - \beta} = \left( \frac{I_S^- - I_S^+}{I_S} \right) \frac{G_2 h}{2e^2 g}$$

$$\frac{A_S 2e^2}{hG_2} = \left( \frac{I_S^- - I_S^+}{I_S} \right) \frac{1}{g} = 1$$

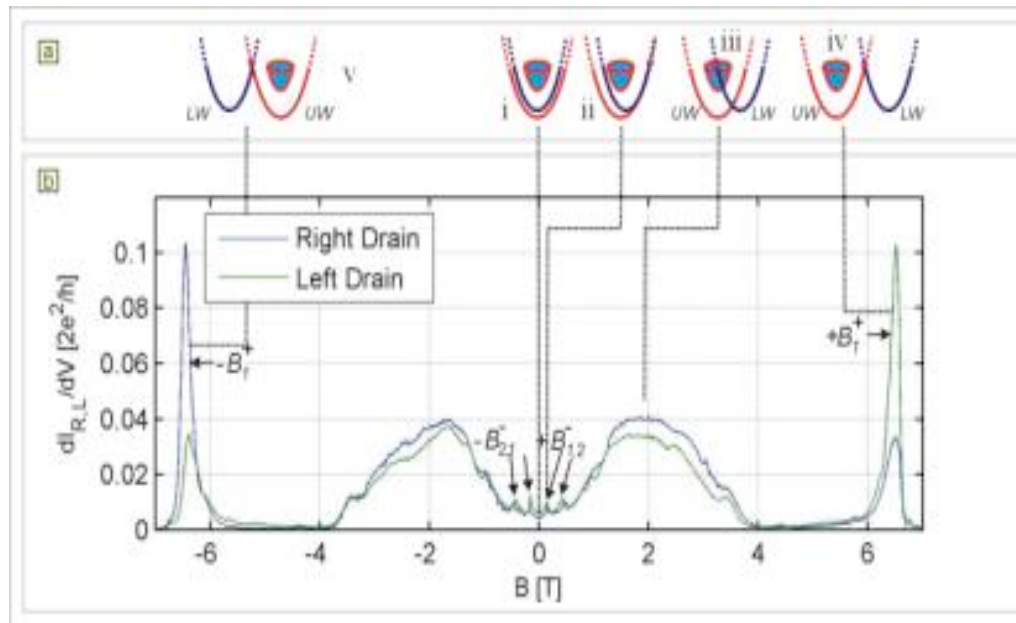
Test of counterpropagating currents

Microscopic justification of  $\beta$  both in weak & strong coupling limit

K. Le Hur, B. I. Halperin, and A. Yacoby, *Annals of Physics* 323, 3037-3058 (2008)

# Momentum resolved tunneling

*Hadar Steinberg, Gilad Barak, Amir Yacoby,  
Loren N. Pfeiffer, Ken W. West,  
Bert Halperin, Karyn Le Hur, Nature Physics 4, 116 Jan. 2008*



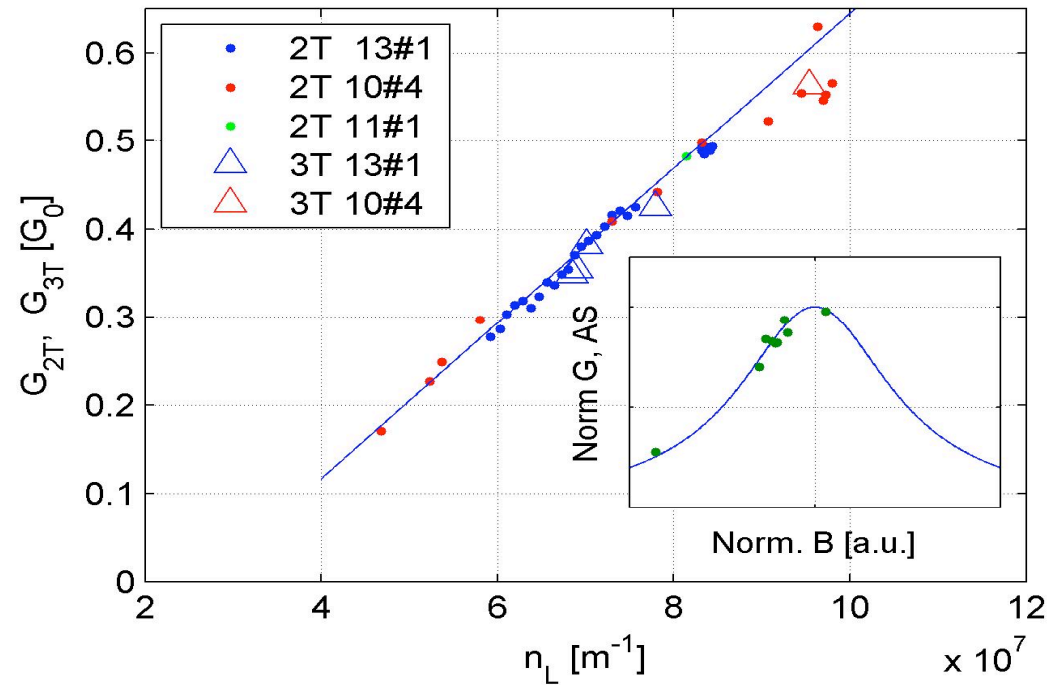
Prerequisite for unidirectional electron injection:  $q = q_B - (k_{FU} + k_{FL}) \sim 0$

(Densities in the wires slightly different)

# Experimental results:

$$\frac{A_S(2e^2/h)}{G_2} = \frac{1}{g} \left( \frac{I_S^- - I_S^+}{I_S} \right) = 1$$

$$G_{3T} = A_S G_0$$



Temperature is 0.25K

# Luttinger exponent

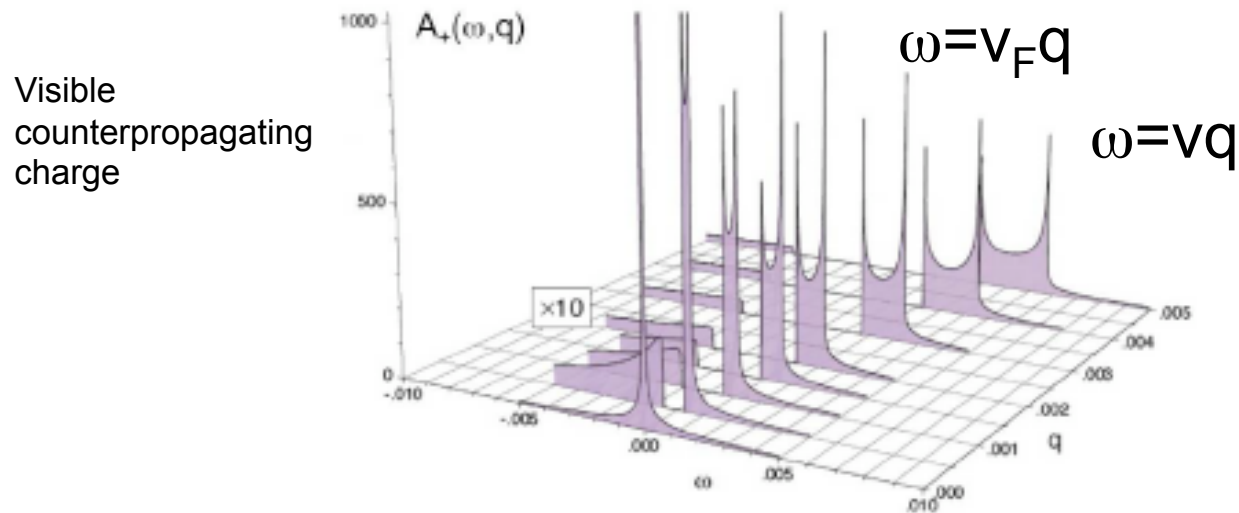


Fig. 5. Electron spectral function in the Luttinger theory (for an electron from the right Fermi branch) as a function of frequency  $\omega$  for different wavevectors  $q$  measured from  $k_F$  ( $\Lambda/\hbar = 1$  and  $u = 1$ ). Temperature is zero,  $g = 1/2$ , and for simplicity,  $L_F \rightarrow +\infty$  (a finite  $L_F$  produces the broadening of the different peak structures). The  $\omega < 0$ -part has been multiplied by 10 for clarity. Far from the Fermi “surface” (point), the electron spectral function reveals two peak features associated with the spin and right-moving charge mode (the counterpropagating charge mode also gives some spectral weight at negative  $\omega$ ). One can determine  $g$  from these two peaks.

$$0.4 < v_F/v = g < 0.5$$

See also D. Carpentier, C. Peca, L. Balents PRB 66, 153304 (2002)

# noise ...

“The noise is the signal” was a saying of Rolf Landauer, one of the founding fathers of mesoscopic physics. What he meant is that fluctuations in time of a measurement can be a source of information that is not present in the time-averaged value. A physicist may delight in noise, in a way reminiscent of figure 1.

(Ignoring lead effects)

$$S(\omega=0) = 2e^* \langle I \rangle$$

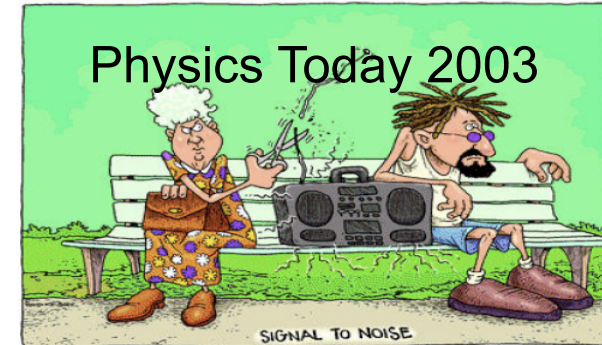
$e^*$ : Transferred charge in unit of  $e$

In the nonchiral Luttinger liquid

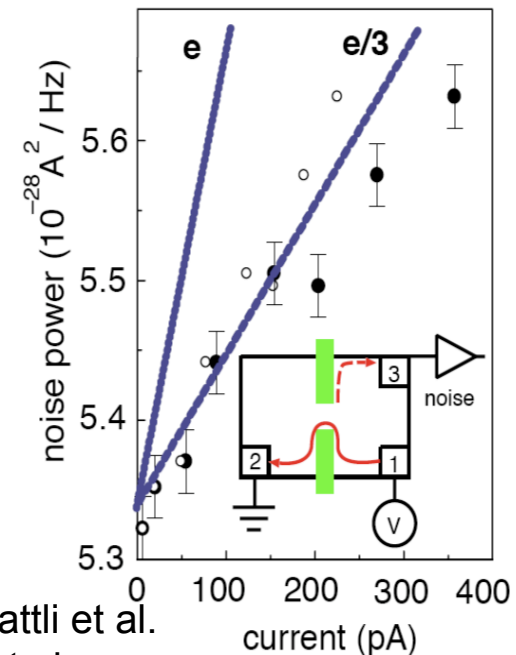
$$e^* = fe \text{ or } e^* = (1-f)e$$

$$f = \frac{1+g}{2}$$

Saclay's experiment  
L. Saminadayar, C. Glattli et al.  
See also M. Heiblum et al.



Carlo Beenakker & Christian Schönberger



# Charge: Beyond the quantum average

Pham, Lederer, Gabay, 1999 following M. Stone & M.P.A. Fisher, 1994

Eigenstates are built from the chiral vertex operators

$$V_{N_{\pm}}^{\pm}(x) = \exp -i\sqrt{\pi}N_{\pm}\Theta_{\pm}$$

$$\begin{aligned} [\hat{Q}, V_{N_{\pm}}^{\pm}(x)] &= N_{\pm}V_{N_{\pm}}^{\pm}(x) \\ \longrightarrow [\rho(x), V_{N_{\pm}}^{\pm}(y)] &= N_{\pm}\delta(x-y)V_{N_{\pm}}^{\pm}(x) \\ [\hat{J}, V_{N_{\pm}}^{\pm}(x)] &= \frac{N_{\pm}}{g}V_{N_{\pm}}^{\pm}(x) \end{aligned}$$

This operator identities suggest that the charge is sharp (not the result of a quantum average)

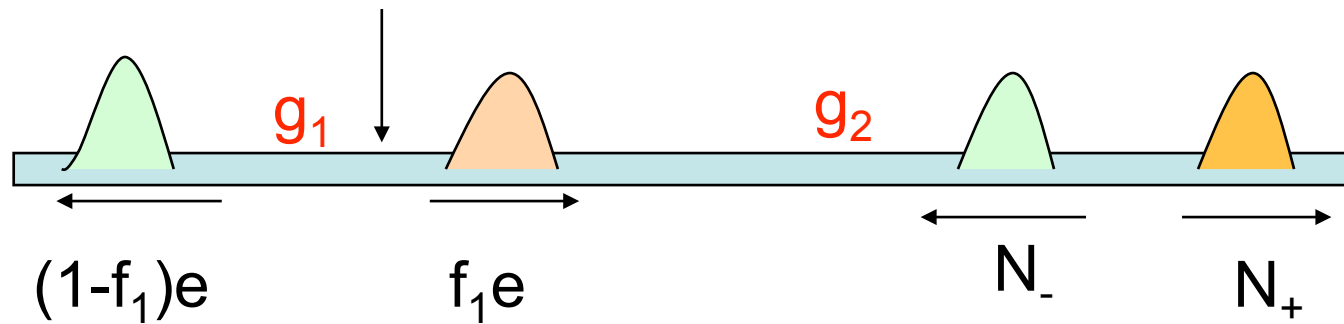
$$H_{\pm} = \sum_{\pm q > 0} v|q|b_q^{\dagger}b_q + \frac{\pi v}{Lg} \left( \frac{\hat{Q} \pm g\hat{J}}{2} \right)^2$$

$\hat{Q}$  = total charge operator  
 $\hat{J}$  = total current operator



# Excitations with arbitrary charge

K. Le Hur, B. I. Halperin, and A. Yacoby, *Annals of Physics* 323, 3037-3058 (2008)



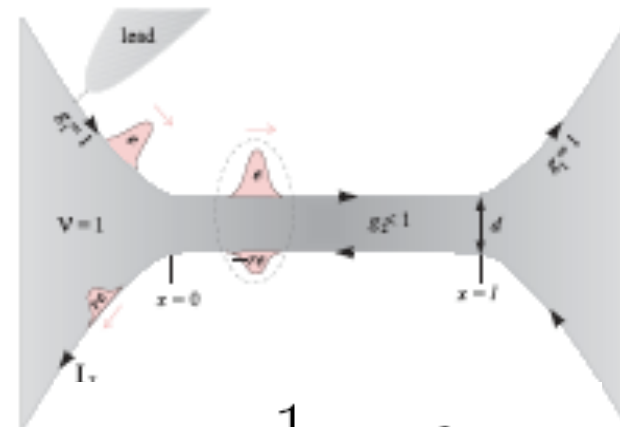
$$f_1 = (1 + g_1)/2$$

E. Berg et al, arXiv:0812.4321

$$N_- = f_1 r$$

$$N_+ = f_1 \frac{2g_2}{g_1 + g_2} = f_1 (1 - r)$$

$$r = \frac{g_1 - g_2}{g_1 + g_2}$$



$$r = \frac{1 - g_2}{1 + g_2}$$

# Summary

## Charge Fractionalization in 1D non-chiral systems

First step: current is not unidirectional (unidirectional injection)  
New Universal Ratio reflecting charge Fractionalization

Charge excitations in gapless systems can be arbitrary

Those charges exist beyond the quantum average:  
Current noise? (strongly modified by leads at  $\omega=0$ )