

# *Supertubes and the 4D black hole*

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# Introduction

## Goal

- Find smooth sugra solutions for ground states of 4D black hole, i.e. **D1-D5-KK** system

## Motivations

- Interesting to find smooth geometries for  $\frac{1}{8}$  BPS 3-charge systems
- Will provide geometries dual to chiral primaries of  $(4, 0)$  CFT.
- For zero entropy, BPS black rings are singular. Near ring geometry is that of **D1-D5-KK**. Want to resolve this singularity to find smooth microstate geometries.
- Relation to black hole entropy Mathur

## Results

- We'll find all  $U(1) \times U(1)$  invariant geometries (chiral primaries with equal length cycles)
- Solutions are asymptotically flat in 4D
- Solutions carry electric and magnetic charges

$$N_e = \frac{N_1 N_5}{n}, \quad N_m = N_K$$

and angular momentum

$$J = \frac{1}{2} \frac{N_1 N_5 N_K}{n} = \frac{1}{2} N_m N_e$$

- Same  $J$  as for widely separated electric and magnetic charges. Marginal stability.

## Naive metric for D1-D5-KK

- Recall metric of KK-monopole (Gross, Perry; Sorkin)

$$ds^2 = -dt^2 + d\vec{x}_\perp^2 + ds_{TN}^2$$

$$ds_{TN}^2 = Z_K(dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2) + \frac{1}{Z_K}(Rd\psi + Q_K(1 - \cos \theta)d\phi)^2$$

$$Z_K = 1 + \frac{Q_K}{r}$$

- Absence of Dirac string  $\Rightarrow Q_K = \frac{1}{2}N_K R$ .
- Small  $r$  behavior:

$$ds_{TN}^2 \approx d\tilde{r}^2 + \tilde{r}^2(d\tilde{\theta}^2 + \sin^2 \tilde{\theta} d\tilde{\psi}^2 + \cos^2 \tilde{\theta} d\tilde{\phi}^2),$$

$$(\tilde{\psi}, \tilde{\phi}) \cong \left(\tilde{\psi} + \frac{2\pi}{N_K}, \tilde{\phi} + \frac{2\pi}{N_K}\right)$$

So have  $R^4/Z_{N_K}$  singularity at origin.

## Naive metric for D1-D5-KK cont.

- D1 – D5 – KK metric given by harmonic function rule

$$ds^2 = \frac{1}{\sqrt{Z_1 Z_5}} (-dt^2 + dx_5^2) + \sqrt{Z_1 Z_5} ds_{TN}^2 + \sqrt{\frac{Z_1}{Z_5}} ds_{T^4}^2$$

$$Z_{1,5} = 1 + \frac{Q_{1,5}}{r}$$

- Near horizon geometry is

$$AdS_3 \times S^3 / Z_{N_K} \times T^4, \quad \ell_{AdS}^2 \sim \sqrt{Q_1 Q_5 Q_K}$$

- With compact  $x_5$ , geometry is singular

$$ds_{AdS}^2 = \frac{\ell^2}{z^2} (-dt^2 + dz^2 + dx_5^2), \quad x_5 \cong x_5 + 2\pi R_5, \quad \text{singular}$$

## *D1-D5-KK CFT*

- Recall that  $D1 - D5$  CFT has  $(4, 4)$  susy with R-symmetry

$$SU(2)_L \times SU(2)_R \approx SO(4) \quad \text{from } S^3$$

Replacing  $S^3 \rightarrow S^3/Z_{N_K}$ , R-symmetry is reduced as

$$SU(2)_L \times SU(2)_R \rightarrow SU(2)_L$$

corresponding to  $(4, 0)$  susy.

- central charge is  $c = 6N_1N_5N_K$ .
- Ramond ground states carry angular momentum

$$-\frac{c}{12} \leq J \leq \frac{c}{12} \quad \Rightarrow \quad |J| \leq \frac{1}{2}N_1N_5N_K$$

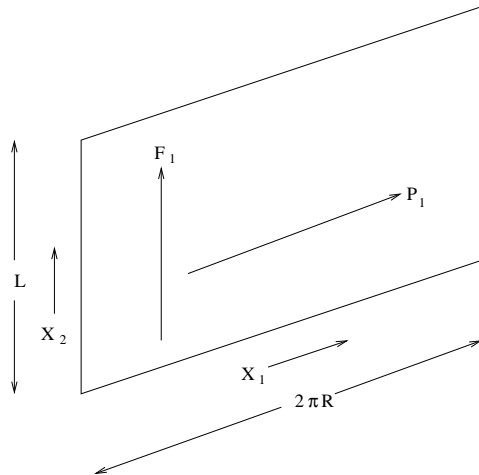
- Chiral primaries related to Ramond ground states by spectral flow (bulk diffeomorphism).
- Bulk geometries will be nonsingular due to expansion into KK-supertube:  
 $D1 - D5 \rightarrow kk$ .

## Review of supertubes (Mateos, Townsend)

Start with a flat Dp-brane in  $x^{0,1,\dots,p}$ , and turn on worldvolume electric and magnetic fields

$$2\pi F_{02} = 1, \quad 2\pi F_{12} = B$$

Induces F1-strings, D(p-2)-branes, and  $P_1$ :



$$\begin{aligned} N_{p-2} &\approx BRL \\ N_{F1} &\approx RT_p/B \\ P_1 &\approx RLT_p \end{aligned}$$

- $N_{p-2}N_{F1} - J = 0, \quad J \equiv P_1R$

Born-Infeld action gives

$$\mathcal{L}_{BI} = -(-\det[\eta_{\mu\nu} + 2\pi F_{\mu\nu}])^{1/2} \approx -B$$

and so the energy is

$$\mathcal{H} = \pi_E F_{02} - \mathcal{L}_{BI} = Q_{F1} + Q_{p-2}$$

- BPS, and no contribution from Dp-brane

## Open string quantization

Fluxes described by open string metric:

$$\langle X^\mu(\tau_1) X^\nu(\tau_2) \rangle = -G^{\mu\nu} \ln |\tau_1 - \tau_2|^2 + \frac{i}{2} \theta^{\mu\nu} \epsilon(\tau - \tau')$$

$$G^{\mu\nu} = \begin{pmatrix} -1 + B^{-2} & -B^{-1} & 0 \\ -B^{-1} & 0 & 0 \\ 0 & 0 & B^{-2} \end{pmatrix}$$

- $G^{11} = 0!$   $\Rightarrow$   $\langle X^1(z_1) X^1(z_2) \rangle = 0$

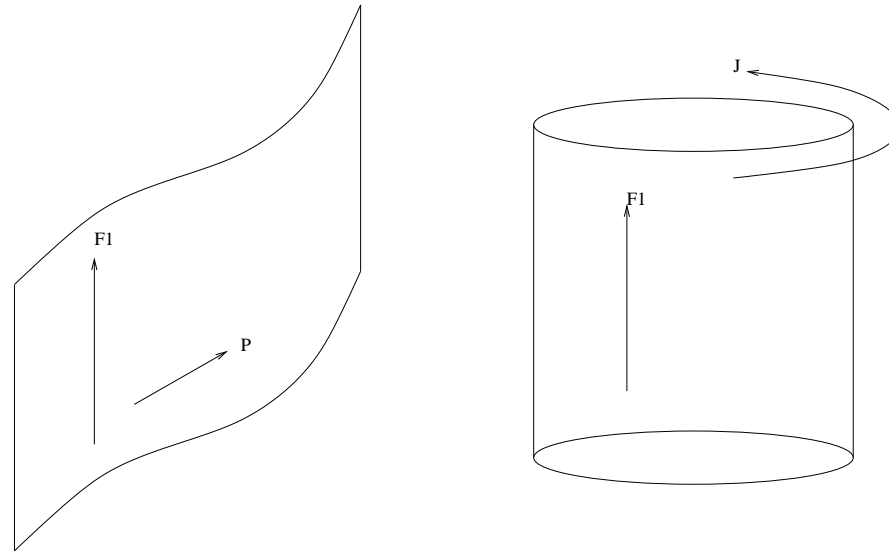
So we can start with a zero momentum vertex operator  $\epsilon_\mu \partial_{n,t} X^\mu$  and attach a factor  $e^{ip_1 X^1}$  to get a dimension 1 primary

$$V = \epsilon_\mu \partial_{n,t} X^\mu e^{ip_1 X^1}, \quad G^{\mu\nu} \epsilon_\mu p_\nu = 0$$

- Adds momentum  $P_1$  but no energy or other charge.
- Multiple such operators can be added, and exponentiated



The Dp-brane can change its shape and local flux density at no cost in energy



- In the tubular case  $J$  is angular momentum. For a circular tube

$$J = N_{p-2} N_{F1}$$

- Supertube radius is  $R^2 \sim g_s$ , so at weak coupling the tube structure is lost. Makes counting at weak coupling more subtle.
- But since tubes become large at strong coupling, they are more directly related to finite size gravitational description.

## 3-charge supertubes (Bena, P.K.)

To compare with black hole physics would like a tube carrying D1-D5-P charges. But more convenient to dualize and take D0-D4-F1 since F1 appears in supertube construction.

Starting from

$$D0 + F1 \rightarrow d2$$

and dualizing, we have

$$\begin{aligned} D4 + F1 &\rightarrow d6 \\ D0 + D4 &\rightarrow ns5 \end{aligned}$$

- So we expect a tube with 3 independent dipole charges: d2, d6, and ns5.
- In absence of  $ns_5$  can find Born-Infeld description in terms of fluxes on  $d6$ -brane. Can include  $ns_5$  by T-dualizing  $ns5 \rightarrow kk \approx A_N$  singularity. Or, work in M-theory (Elvang et. al.)

## Supertube with ns5 dipole charge

- Including NS5 in the flat case yields a brane carrying charges D2-D6-NS5-P. These yield a 4d black hole after compactification on  $T^6$ .
- Entropy given by quartic  $E_{7(7)}$  invariant:

$$S = 2\pi\sqrt{J_4}$$

$$-J_4 = x^{ij}y_{jk}x^{kl}y_{li} - x^{ij}y_{ij}x^{kl}y_{kl}/4 + \epsilon^{ijklmnop}(x^{ij}x^{kl}x^{mn}x^{op} + y^{ij}y^{kl}y^{mn}y^{op})$$

with the charges identified as

$$\begin{aligned} x_{12} &= N_{D0}, & x_{34} &= N_{D4}, & x_{56} &= N_{F1}, & x_{78} &= 0 \\ y^{12} &= n_{d6}, & y^{34} &= n_{d2}, & y^{56} &= n_{ns5}, & y^{78} &= J \end{aligned}$$

- System now has finite size  $S^2 \times T^6$  horizon. As before, we can instead curl up one direction into a circle and compactify on  $T^5$ . Result should be a horizon of topology  $S^1 \times S^2$  in  $D = 5$  — a black ring. Entropy should agree with above. Related approach (Cyrrier, Guica, Mateos, Strominger).

# Black rings in D1-D5 CFT

Supergravity solution for 3-charge supertube was found by (Elvang, Emparan, Mateos, Reall) and generalized further by (Bena, Warner; EEMR; Gauntlett, Gutowski)

In IIB frame solutions carries charges

$$N_1 \quad D1(5), \quad N_2 \quad D5(56789), \quad N_3 \quad P(5)$$

and dipole charges

$$n_1 \quad d5(x6789), \quad n_2 \quad d1(x), \quad n_3 \quad k(x56789)$$

- $N_i$  are conserved charges measured at infinity. Ring itself is best thought of as made up of charges  $\bar{N}_i$ :

$$\bar{N}_1 = N_1 - n_2 n_3, \quad \text{and permutations}$$

- "Harmonic" functions  $Z_i$  are no longer harmonic

$$Z_1 = 1 + \frac{\bar{Q}_1}{\Sigma} + \frac{q_2 q_3 \rho^2}{\Sigma^2}$$

with  $\Sigma = \sqrt{(\rho^2 - R^2)^2 + 4R^2 \rho^2 \cos^2 \theta}$ .

- $1/\Sigma$  is a harmonic function sourced on the ring:  $\rho = R, \cos \theta = 0$ .  $R = 0$  gives BMPV.

- Solution carries angular momenta

$$J_\phi = J_{BMPV}, \quad J_\psi = -J_{BMPV} + J_{tube}$$

$$J_{BMPV} = -\frac{1}{2} \sum n_i \bar{N}_i - n_1 n_2 n_3, \quad J_{tube} \sim (q_1 + q_2 + q_3) R^2$$

- Entropy is

$$\begin{aligned} S &= 2\pi \left[ -\frac{1}{4} (n_1^2 \bar{N}_1^2 + n_2^2 \bar{N}_2^2 + n_3^2 \bar{N}_3^2) \right. \\ &\quad \left. + \frac{1}{2} (n_1 n_2 \bar{N}_1 \bar{N}_2 + n_1 n_3 \bar{N}_1 \bar{N}_3 + n_2 n_3 \bar{N}_2 \bar{N}_3) - n_1 n_2 n_3 (J_\psi + J_\phi) \right]^{1/2} \\ &= 2\pi \sqrt{J_4} \end{aligned}$$

- Solutions have **7 free parameters**, but only **5 conserved charges**. So these black objects have “hair”. Makes it especially interesting to understand them on gauge theory side.

## *Decoupling limit*

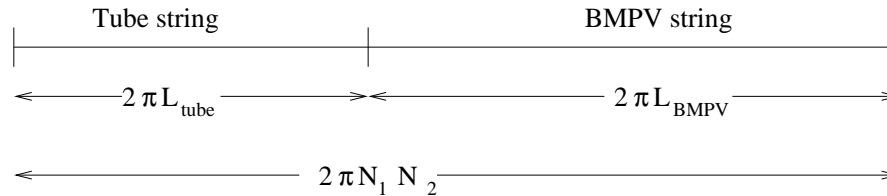
- As with usual D1-D5-P system, we drop the **1** from the D1 and D5 harmonic functions, but keep it in the P harmonic function.
- Solution is then asymptotic to the **same**  $\text{AdS}_3 \times S^3 \times T^4$  as for usual D1-D5-P, so we should be able to understand the black rings as states in the usual CFT.
- Work at orbifold point. Have an effective string of length  $N_1 N_2$  which can be broken up into any number of integer length components. Each component has 4 bosons and 4 fermions. Fermions are doublets under  $SO(4) \approx SU(2)_L \times SU(2)_R$  R-symmetry (rotation) group.
- Diagonal generators are

$$J_L = J_\psi - J_\phi, \quad J_R = J_\psi + J_\phi$$

- Black rings combine properties of BMPV and 2-charge supertubes, and we know how to describe these at orbifold point, so can hope for same with rings.

## Black ring entropy in D1-D5 CFT

- Natural to divide effective string into a tube part and a BMPV part:



- Tube string further breaks up into components of length  $\ell_c$ , and carries  $J_{\text{tube}}$  but no entropy. BMPV string carries  $J_{\text{BMPV}}$  and all entropy.
- $L_{\text{tube}}$  fixed by  $\frac{L_{\text{tube}}}{\ell_c} = J_{\text{tube}}$ .
- $\ell_c = n_3$  for large class of states, but in general need to make phenomenological assumption for  $\ell_c$ . Testable via time delay experiments.
- With this assumption, black ring entropy then takes BMPV form in terms of  $J_{\text{BMPV}}$ ,  $L_{\text{BMPV}}$ ,  $N_3$ , and angular momenta are correctly reproduced.

## Near ring geometry

- In the UV (AdS boundary) we have the usual (4, 4) CFT with  $c_{UV} = 6N_1N_2$ .
- In the IR (near the ring) the dipole charges dominate, and we see the CFT of the D1-D5-KK system with (4, 0) susy and  $c_{IR} = 6n_1n_2n_3$ .
- In between have a highly nontrivial RG flow. Note  $c_{IR} < c_{UV}$ .
- In simplest zero entropy case (microstate?) define

$$\tilde{\psi} = \psi - \frac{1}{q_3}x^+, \quad \tilde{\phi} = \phi + \frac{1}{q_3}x^+, \quad \tilde{x}^+ = q_3\psi$$

to yield near ring

$$AdS_3 \times S^3 / Z_{n_3} \times T^4$$

with

$$\ell_{AdS}^2 = \ell_{S^3}^2 = q_1q_2q_3^2, \quad V_{T^4} \sim \left(\frac{q_1}{q_2}\right)^{1/2}$$

- Old angular coordinate becomes new coordinate parallel to AdS
- $\tilde{x}^+$  compact and cycle shrinks to zero size: singular.



## Geometries for D1-D5-KK

- Look for solutions with Taub-NUT base metric ( $T^4$  suppressed)

$$ds^2 = \frac{1}{\sqrt{Z_1 Z_5}} [-(dt + k)^2 + (dx_5 - k - s)^2] + \sqrt{Z_1 Z_5} ds_{TN}^2$$

$$ds_{TN}^2 = Z_K (dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2) + \frac{1}{Z_K} (Rd\psi + Q_K \cos \theta d\phi)^2$$

- Demand  $U(1) \times U(1)$  symmetry:

$$k = k_\psi(r, \theta) d\psi + k_\phi(r, \theta) d\phi, \quad \text{same for } s$$

and  $Z_{1,5} = Z_{1,5}(r, \theta)$ .

- BPS equations reduce to (e.g. Gauntlett, Gutowski, Hull, Pakis, Reall)

$$ds = \star_4 ds, \quad da = -\star_4 da, \quad \nabla^2 Z_{1,5} = 0$$

(away from sources) with  $a = k + \frac{1}{2}s$ .

- We take

$$Z_{1,5} = 1 + \frac{Q_{1,5}}{\Sigma}, \quad \Sigma = (r^2 + \tilde{R}^2 + 2\tilde{R}r \cos \theta)^{1/2}$$

corresponding to ring of branes around KK circle.

- Need to find closed (anti) self-dual 2 forms  $\Theta^+ = ds$ ,  $\Theta^- = da$ . All such  $U(1) \times U(1)$  invariant 2-forms can be related to harmonic functions on  $R^3$  base of Taub-NUT.
- Write Taub-NUT as

$$ds^2 = Z_K d\vec{x}^2 + \frac{1}{Z_K} (Rd\psi + \vec{A} \cdot \vec{x})^2, \quad Z_K = 1 + \frac{Q_K}{|\vec{x}|}$$

Let  $P^-$  and  $Z_K P^+$  be harmonic functions (with sources). Then 2-forms are

$$\Theta_{\psi i}^{\pm} = R\partial_i P^{\pm}, \quad \Theta_{ij}^{\pm} = A_i \partial_j P^{\pm} - \partial_i P^{\pm} A_j + Z_K \epsilon_{ij}^k \partial_k P^{\pm}$$

- $k$  and  $s$  obtained by integration.

- Take general form

$$P^- = c_1 + \frac{c_2}{r} + \frac{c_3}{\Sigma}$$

$$Z_K P^+ = d_1 + \frac{d_2}{r} + \frac{d_3}{\Sigma}$$

Fix coefficients by demanding smoothness and asymptotic flatness. Potential singularities at  $r = 0$ ,  $\Sigma = 0$ , and Dirac-Misner strings at  $\sin \theta = 0$ .

- All free coefficients, as well as ring radius  $\tilde{R}$  are uniquely fixed.

## Properties of solutions

- Ring radius  $\tilde{R}$  determined by

$$1 + \frac{Q_K}{\tilde{R}} = \frac{R_5^2}{4Q_1Q_5}$$

- Get 4D metric after KK reduction on  $x_5$  and  $\psi$ .
- Mass given by

$$M = Q_1 + Q_5 + Q_K$$

- Gauge field  $A^{(\psi)}$  carries both electric and magnetic charge

$$N_e = N_1N_5, \quad N_m = N_K$$

- Angular momentum is

$$J = \frac{1}{2}N_KN_1N_5 = \frac{1}{2}N_eN_m$$

- Easy to generalize solutions to allow for  $Z_n$  singularity at  $\Sigma = 0$ , corresponding to  $n$  coincident KK monopole rings. Only effect on charges is that  $J$  and  $N_e$  are reduced by  $n$ . Relation  $J = \frac{1}{2}N_eN_m$  maintained.

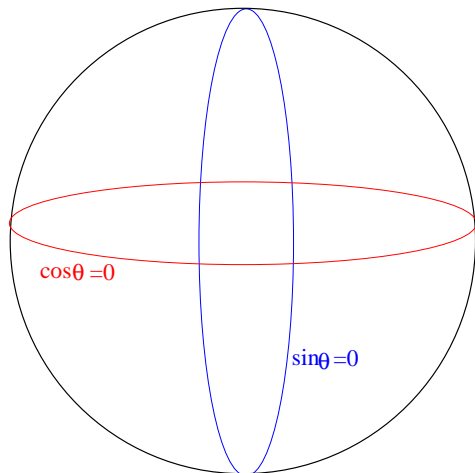
## Near horizon decoupling limit

- Check identifications by taking near horizon limit. More complicated than just omitting 1s from harmonic functions.
- After series of coordinate transformations, find near horizon  $AdS_3 \times S^3 / Z_{N_K} \times T^4$ , with  $Z_n$  conical defect

$$ds^2 = -\left(1 + \frac{\tilde{r}^2}{\ell^2}\right)dt^2 + \frac{dr^2}{\left(1 + \frac{\tilde{r}^2}{\ell^2}\right)} + \tilde{r}^2 d\chi^2 + \ell^2(d\tilde{\theta}^2 + \sin^2 \theta d\tilde{\psi}^2 + \cos^2 \tilde{\theta} d\tilde{\phi}^2)$$

$$(\tilde{\psi}, \tilde{\phi}) \cong \left(\tilde{\psi} + \frac{2\pi}{N_K}, \tilde{\phi} + \frac{2\pi}{N_K}\right), \quad (\chi, \tilde{\phi}) \cong \left(\chi + \frac{2\pi}{n}, \tilde{\phi} + \frac{2\pi}{n}\right)$$

- Sources for harmonic functions are mapped to nonintersecting circles on  $S^3$ :



$$r = 0 \Rightarrow (\tilde{r} = 0, \sin \tilde{\theta} = 0)$$

$$\Sigma = 0 \Rightarrow (\tilde{r} = 0, \cos \tilde{\theta} = 0)$$

## *Comments and questions*

- Found nonsingular 3-charge solutions representing the ground states of the D1-D5-KK system (a.k.a. 4D black hole).
- Can dualize to smeared D1-D5-P system.
- Solutions may resolve singularity of zero entropy black rings.
- What is generalization to non  $U(1) \times U(1)$  invariant geometries? Probably need to deform Taub-NUT base.