

# Superconductivity due to massless boson exchange.

Andrey Chubukov University of Wisconsin

## Consultations



Artem  
Abanov  
Texas A&M



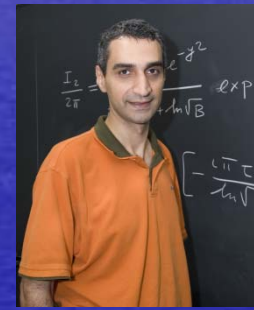
Mike  
Norman  
ANL



Joerg  
Schmalian  
ISU



Boris  
Altshuler  
Columbia



Emil  
Yuzbashyan  
Rutgers

Critical issues related to high temperature superconductors, Santa Barbara, June 2009



**1. In the case of electron-electron interactions, is the concept of a pairing "glue" even meaningful?**

**2. If your theory advocates an instantaneous interaction, does this mean the pairs have no dynamics, or just that the theory has not developed to the extent to address this question?**

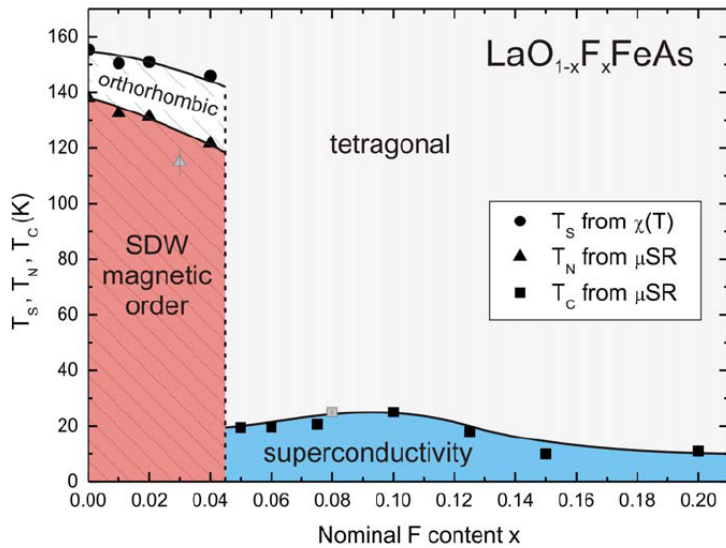
**3. If your theory ignores phonons, can you really get away with that? Do you think phonons are even relevant?**

**4. What is the spectroscopic signatures predicted for your theory? Is a McMillan-Rowell inversion or related procedure possible for your theory? Is this question meaningful?**

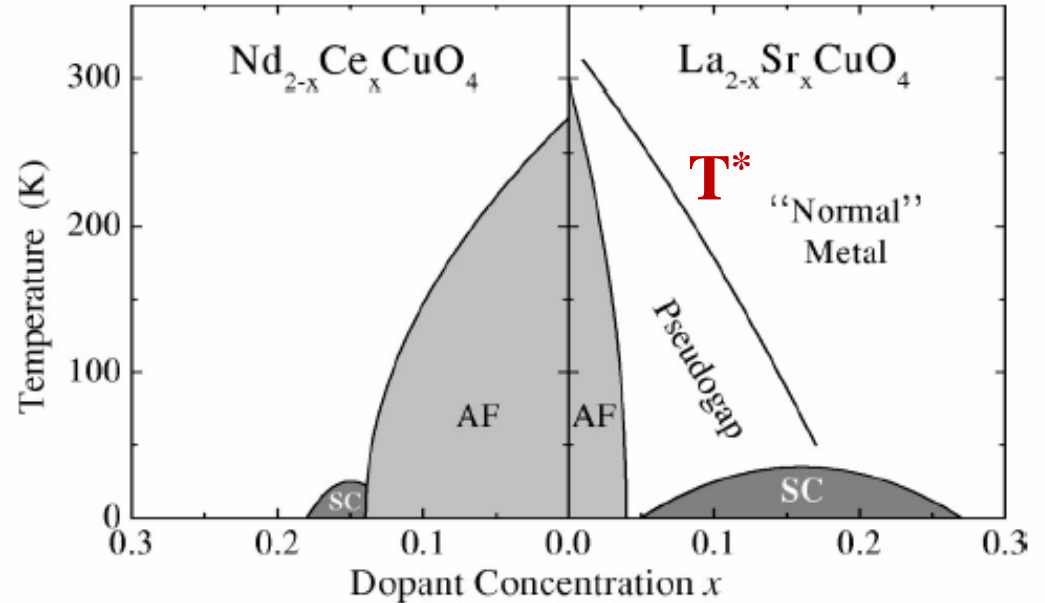
**5. What would your theory predict in regards to collective modes? Is this even an important question?**

# Pairing glue

## Pnictides



## Cuprates



Is the pairing due to electron-electron interaction mediated by collective spin fluctuations?

Can  $T^*$  line be understood in the context of a Fermi liquid, as the result of a collective mode exchange?

1. In the case of electron-electron interactions, is the concept of a pairing "glue" even meaningful?

Yes, but the exact prove is lacking

The goal is to re-write electron-electron interaction as the exchange of collective degrees of freedom: spin, charge, or pairing fluctuations

**RPA, constant U:**  
**Fermi**

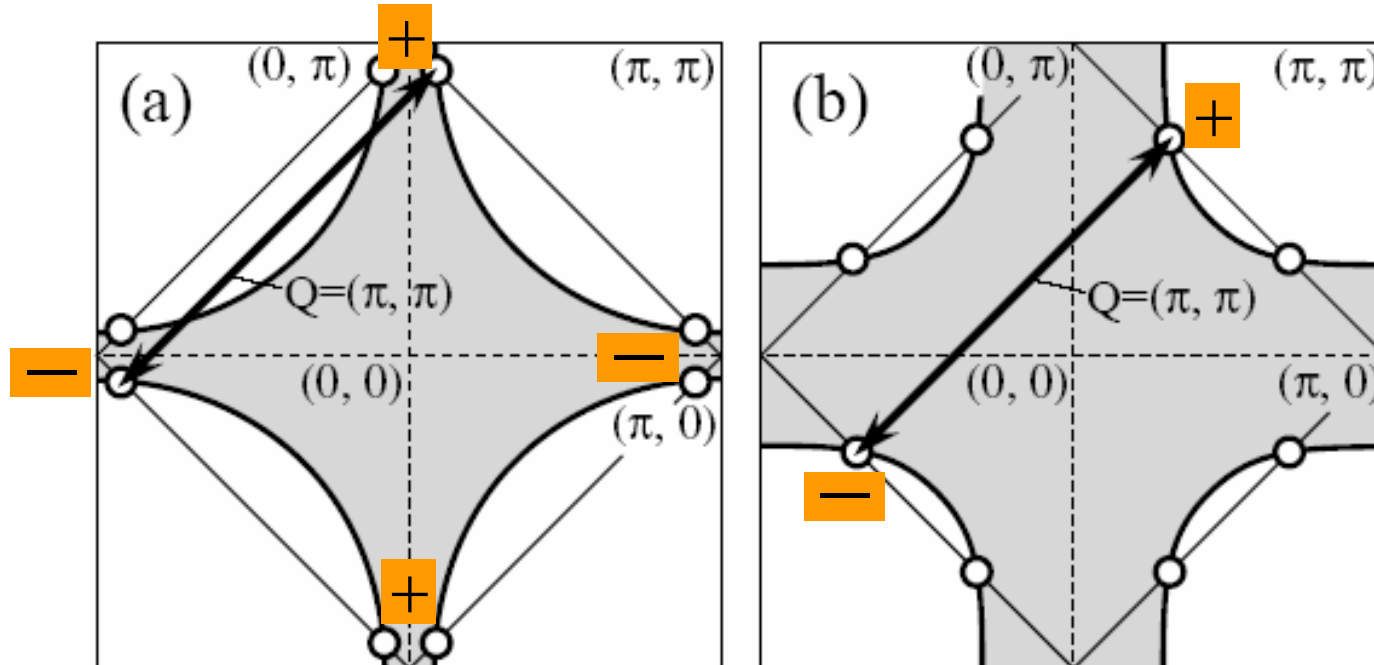
$$\Gamma_{\alpha\beta,\gamma\delta}^{\omega} = \underbrace{\frac{U}{2} (\sigma_{\alpha\delta} \sigma_{\gamma\beta} - \delta_{\alpha\delta} \delta_{\gamma\beta})}_{\text{bare interaction}} + \frac{U^2 \Pi(k-p)}{2} \left( \underbrace{\frac{\sigma_{\alpha\delta} \sigma_{\gamma\beta}}{1 - U \Pi(k-p)}}_{\text{spin fluctuation exchange}} + \underbrace{\frac{\delta_{\alpha\delta} \delta_{\gamma\beta}}{1 + U \Pi(k-p)}}_{\text{charge fluctuation exchange}} \right)$$

Near a magnetic instability with momentum Q

$$\Gamma^{\text{eff}}(q) \approx \frac{U}{2} \frac{\sigma_{\alpha\delta} \sigma_{\gamma\beta}}{1 - U \Pi(q+Q)}, \quad U \Pi(Q) \approx 1$$

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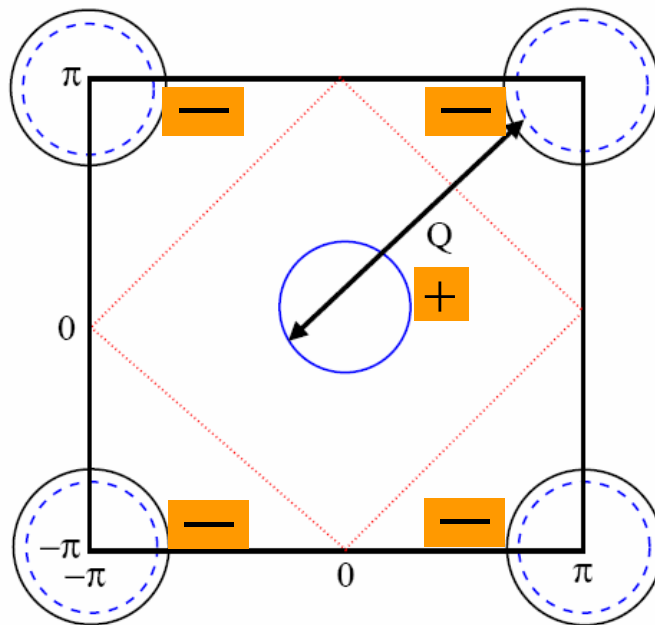
**Advantage:** the effective interaction is momentum dependent and contributes to non-s-wave channels.



$$\Delta(\theta) = \Delta_0 (\cos k_x - \cos k_y)$$

Scalapino, Loh, Hirsh  
Bickers, Scalapino, Scalettar...

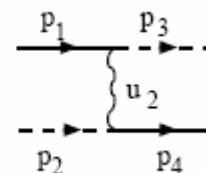
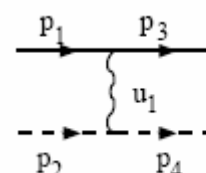
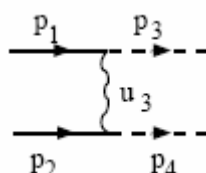
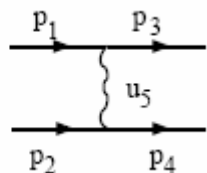
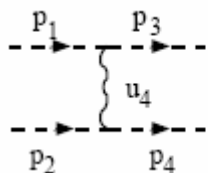
# Pnictides



$$\Delta(\theta) = \Delta_0 (\cos k_x + \cos k_y)$$

**sign-changing , extended s-wave gap**

Mazin et al, Graser et al,  
Gorkov & Barzykin, Kuroki et al...



**Intra-band repulsion**

**Pair hopping**

**Inter-band forward  
and “back-scattering”**

$$\Gamma_{\alpha\beta,\gamma\delta}^{s+} = \underbrace{\frac{u_4 - u_3}{2} (\sigma_{\alpha\delta} \sigma_{\gamma\beta} - \delta_{\alpha\delta} \delta_{\gamma\beta})}_{\text{s-wave bare interaction}} - \underbrace{\frac{1}{2} \sigma_{\alpha\delta} \sigma_{\gamma\beta} \frac{(u_1 + u_3)^2 \Pi(k-p)}{1 - (u_1 + u_3)\Pi(k-p)}}_{\text{spin fluctuation exchange}}$$

**s-wave bare  
interaction**

**spin fluctuation  
exchange**

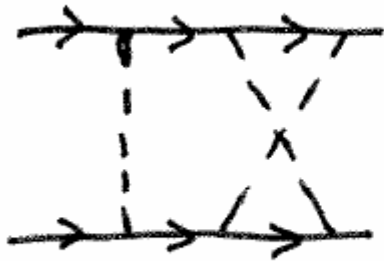
## Problem:

In general,  $\Pi(Q) \sim 1/E_F$ , hence  $U_{\text{cr}} \sim E_F$

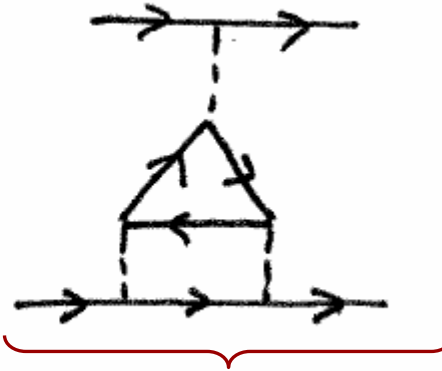
$$\Gamma^{\text{eff}}(q) \approx \frac{U}{2} \frac{\sigma_{\alpha\delta} \sigma_{\gamma\beta}}{1 - U\Pi(q+Q)}$$

**No small parameter**

## Third order



$\approx$



**Coupling between  
ph and pp channels**

**Non-RPA diagrams  
In the ph channel**

$$\Gamma^{\text{eff}}(q) \approx \frac{U}{2} \frac{\sigma_{\alpha\delta} \sigma_{\gamma\beta}}{1 - U\Pi(q+Q)}, \quad U\Pi(Q) \approx 1$$

**Obtained within RPA, but no proof beyond RPA (or 1 loop RG)**

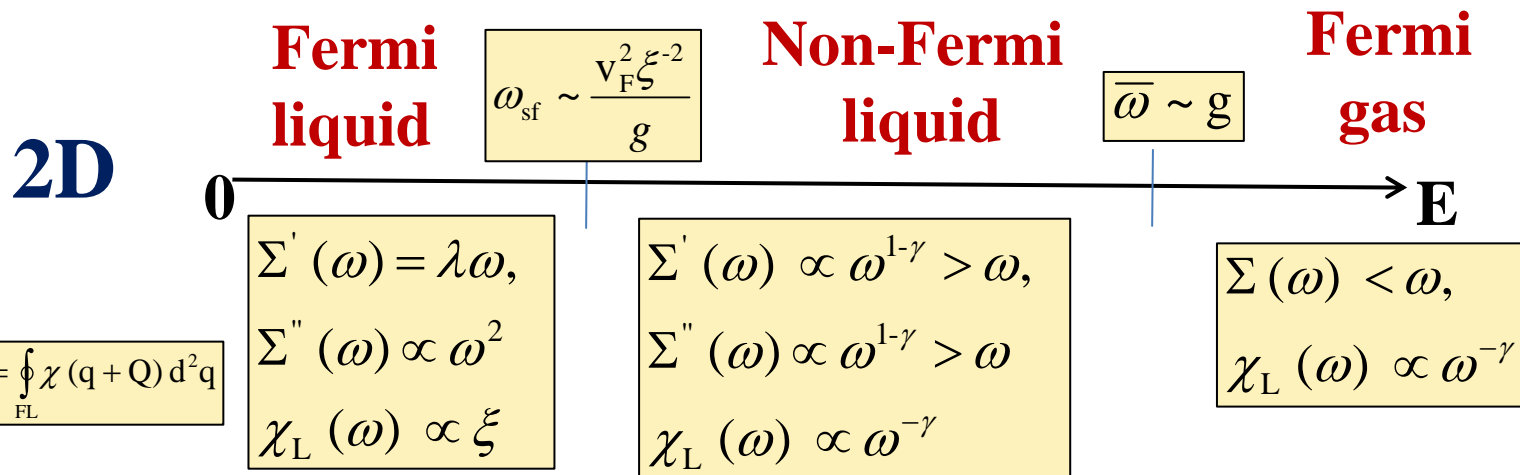
**2. If your theory advocates an instantaneous interaction, does this mean the pairs have no dynamics, or just that the theory has not developed to the extent to address this question?**

**The bare interaction is instantaneous**

$$H^{\text{eff}} = g (c_{\alpha}^{\dagger} \vec{\sigma}_{\alpha\delta} c_{\delta}) (c_{\gamma}^{\dagger} \vec{\sigma}_{\gamma\beta} c_{\beta}) \chi(q + Q)$$

$$\chi(q + Q) = \frac{1}{q^2 + \xi^{-2}} \text{ or } \dots$$

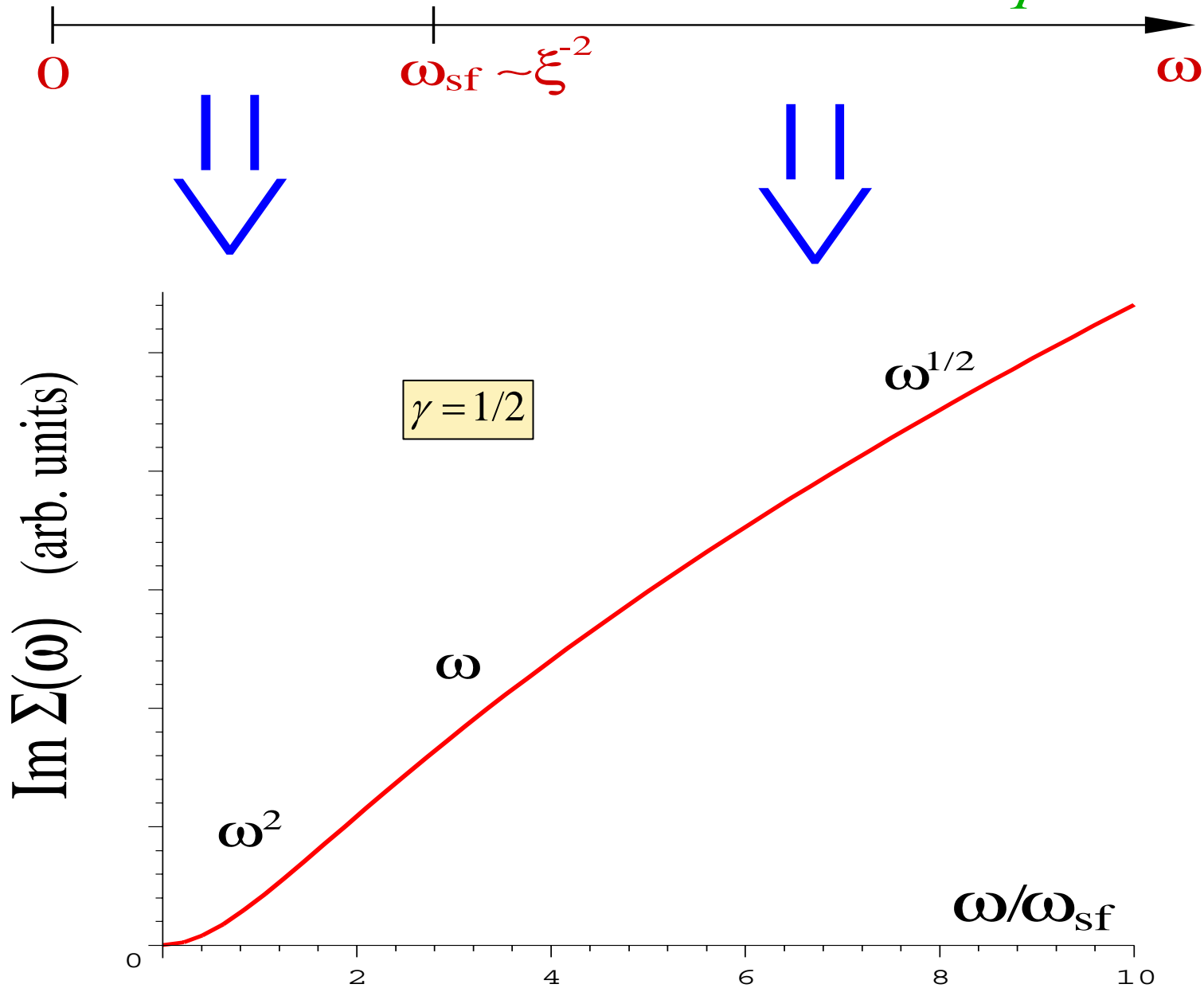
**The dynamics of  $\chi$  (the Landau damping) is generated within the theory and in turn affects the dynamics of fermions**

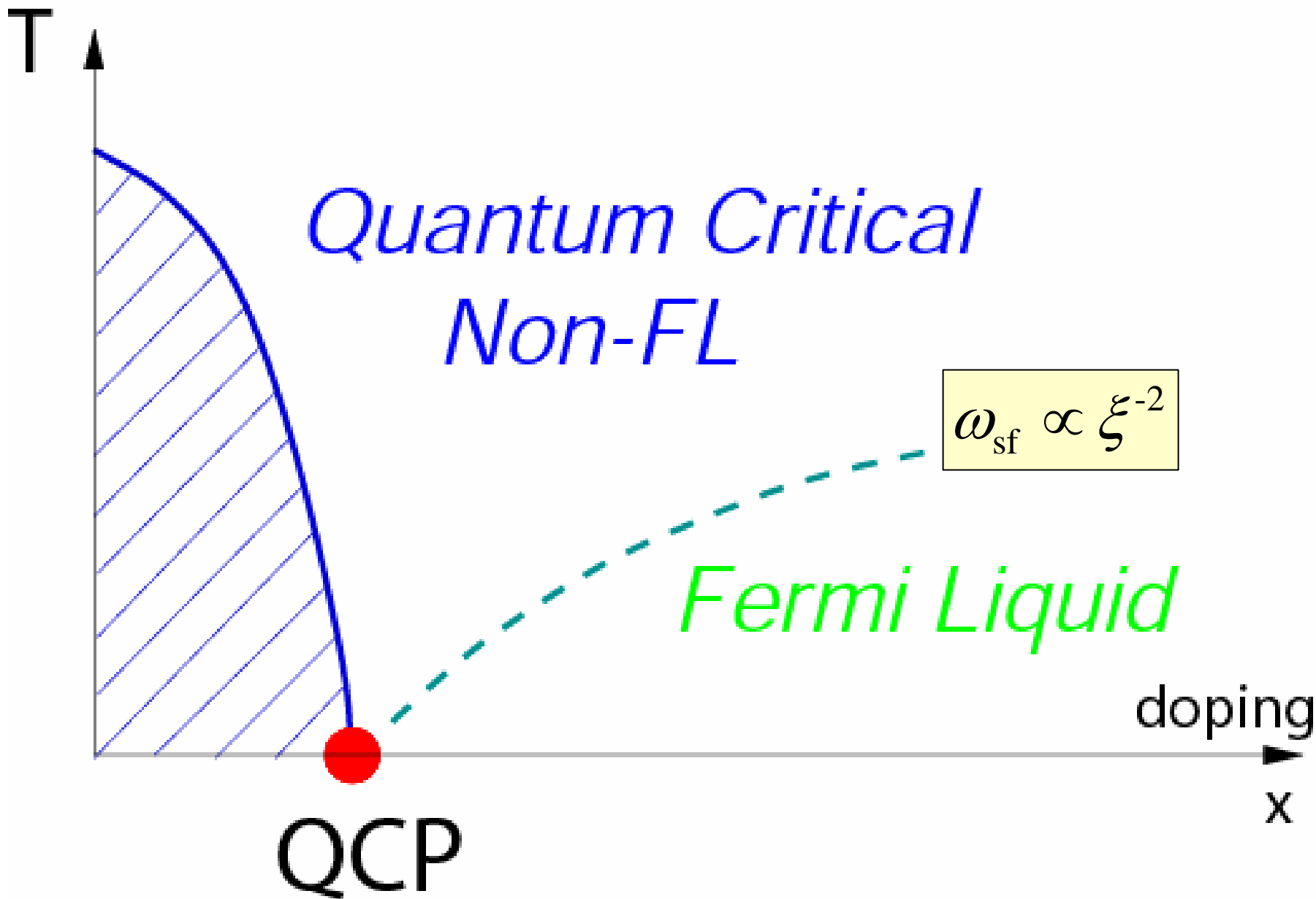




*Fermi Liquid*

*Quantum Critical  
Non-Fermi Liquid*





*Quantum Critical  
Non-FL*

$$\omega_{\text{sf}} \propto \xi^{-2}$$

*Fermi Liquid*

QCP

doping

$x$

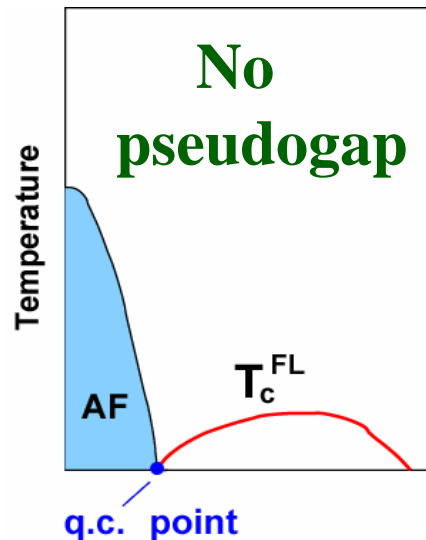


**Pairing in the Fermi liquid regime is essentially d-wave BCS**

$$T_c \propto \xi^{-2} \exp\left(-\frac{\xi + \xi_0}{\xi}\right)$$

**In analogy with McMillan formula for phonons**

$$T_c \sim \omega_D \exp[-(1 + \lambda)/\lambda]$$





**Pairing in non-Fermi liquid regime is a new phenomenon**

**Pairing vertex  $\Phi$  becomes frequency dependent  $\Phi(\Omega)$**

**Gap equation has non-BCS form**

$$\Phi(\Omega) = \bar{\lambda} \pi T \sum_{\omega} \frac{\Phi(\omega)}{|\omega|^{1-\gamma} |\Omega - \omega|^\gamma} \frac{1}{1 + (|\omega|/\bar{\omega})^\gamma}, \quad \bar{\lambda} = \frac{1-\gamma}{2}$$

$\Sigma(\omega) \propto \omega^{1-\gamma}$

$\chi_L(\omega) \propto \omega^{-\gamma}$

$1 + \omega/\Sigma(\omega), \text{ soft cutoff}$

**This is NOT BCS pairing – summing up logarithms leads nowhere**

**There exists a threshold  $\bar{\lambda}_{cr}(\gamma)$**

**This problem is quite generic (not only cuprates)**

$$\Phi(\Omega) = \frac{1-\gamma}{2} \int_0^g d\omega \frac{\Phi(\omega)}{|\omega|^{1-\gamma} |\Omega-\omega|^\gamma}$$

She, Zaanen

$$\gamma = 1/2$$

**Antiferromagnetic QCP**

Abanov, A.C., Finkelstein, hot spots

$$\gamma = 1/3$$

**Ferromagnetic QCP**

Haslinger et al, Millis et al, Bedel et al...  
 $\Omega^{2/3}$  problem: gauge field, nematic ....

$$\gamma = 1/4$$

**$2k_F$  QCP**

Krotkov et al, electron-doped

$$\gamma = 2$$

**Pairing by near-gapless phonons**

$$T_c^{\text{ad}} = 0.1827 g$$

Allen, Dynes, Carbotte, Marsiglio, Scalapino,  
 Combescot, Maksimov, Bulaevskii, Dolgov, .....

$$\gamma = +0 \text{ (log } \omega)$$

**3D QCP, Color superconductivity**

Son, Schmalian, A.C....

$$\gamma = 1$$

**Z=1 pairing problem**

$$\gamma = +0 \rightarrow \gamma = 1$$

**pairing in the presence of SDW**

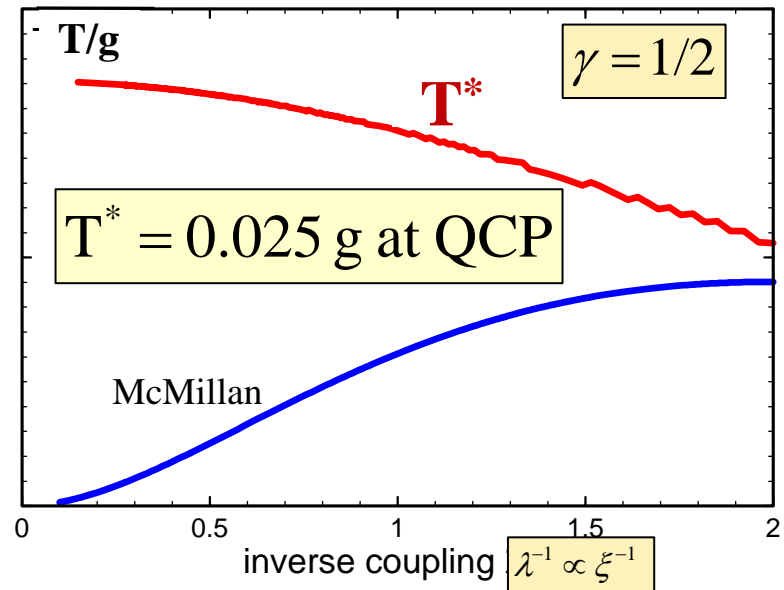
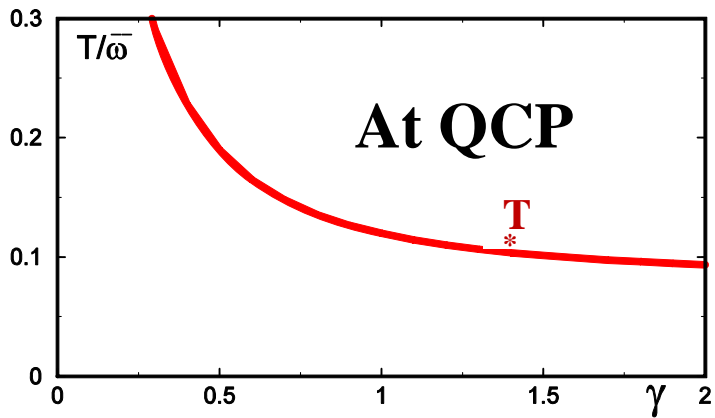
Moon, Sachdev

$$\gamma \approx 0.7$$

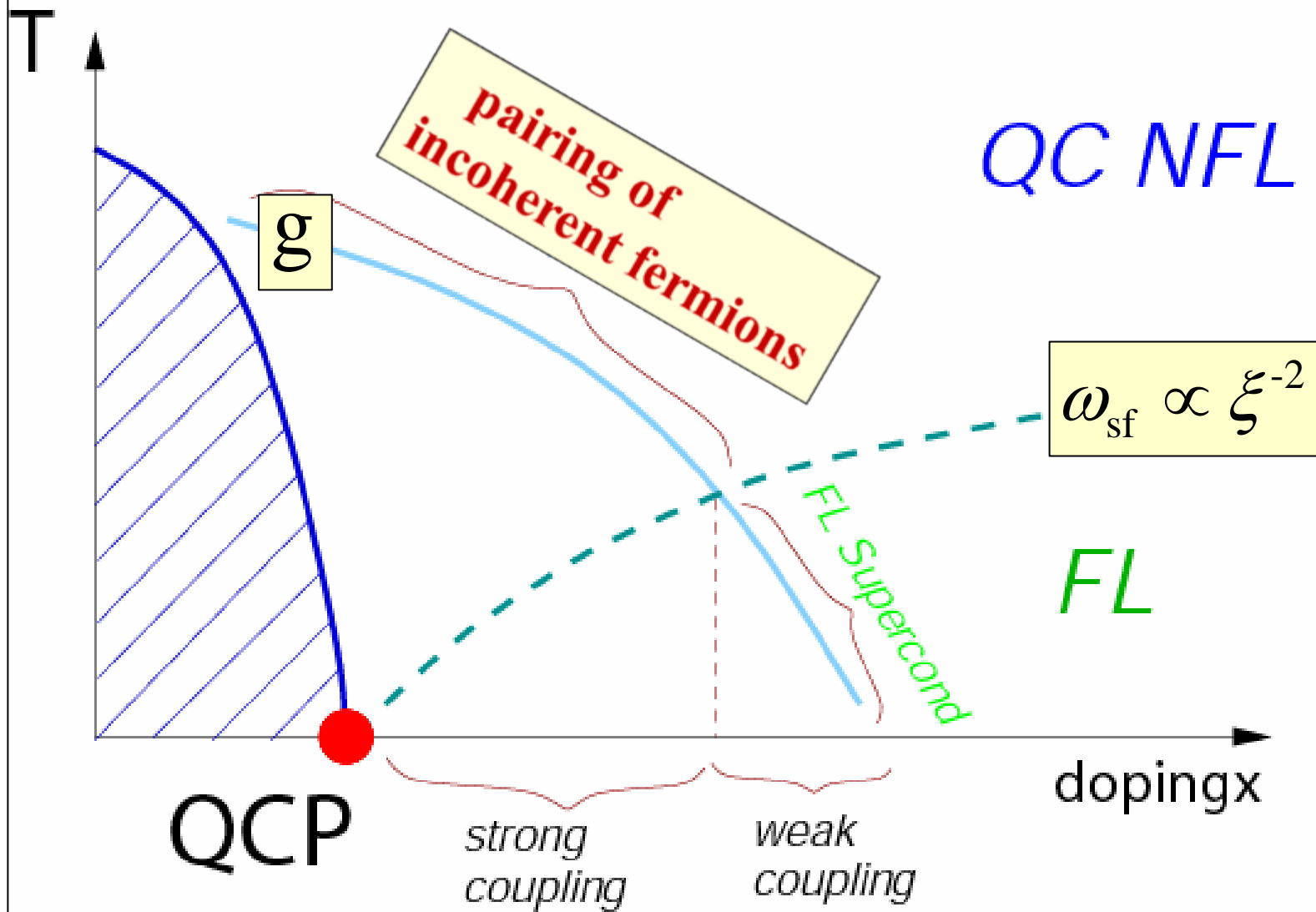
**fermions with Dirac cone dispersion**

Metzner et al

It turns out that for all  $\gamma$ , the coupling  $(1 + \gamma)/2$  is larger than the threshold



# Dome of a pairing instability above QCP



## Problems:

1. Calculations are performed within Eliashberg approximation,  $\Sigma(\mathbf{k}, \omega) \approx \Sigma(\omega)$

Valid when bosons are slow compared to fermions

Bosons (spin fluctuations) are Landau-damped  $q_{\text{typ}} \sim \sqrt{\omega}$

Free fermions have  $q_{\text{typ}} \sim \omega$ , i.e. are fast compared to bosons

Dressed fermions have  $q_{\text{typ}} \sim \Sigma(\omega) \sim \sqrt{\omega}$ , i.e. are comparable to bosons

Large  $N$  (actual  $N=2$ ,  $N = \text{infinity}$  makes Eliashberg approximation exact)

First order in  $1/N$ :  $\chi(q, \omega) \propto \frac{1}{(q^2 + |\omega|)^\eta}$   $\eta = 1 - \frac{1}{2N}$

Then, the only change is  $\gamma \rightarrow \frac{1}{2} - \frac{1}{2N}$

Apparently, Eliashberg approximation is OK

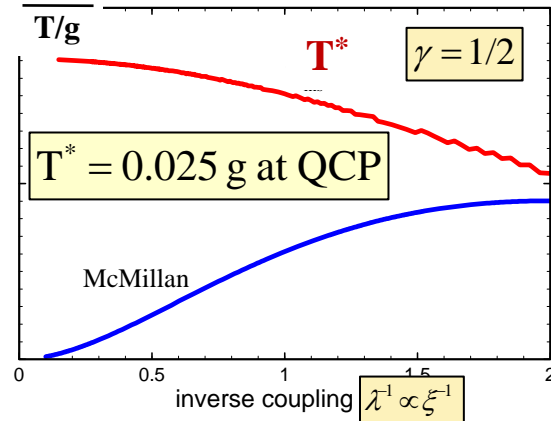


## Problems:

2. The coupling  $g$  is assumed to be smaller than  $E_F \sim v_F/a$

$$T^* = g \Psi_\xi$$

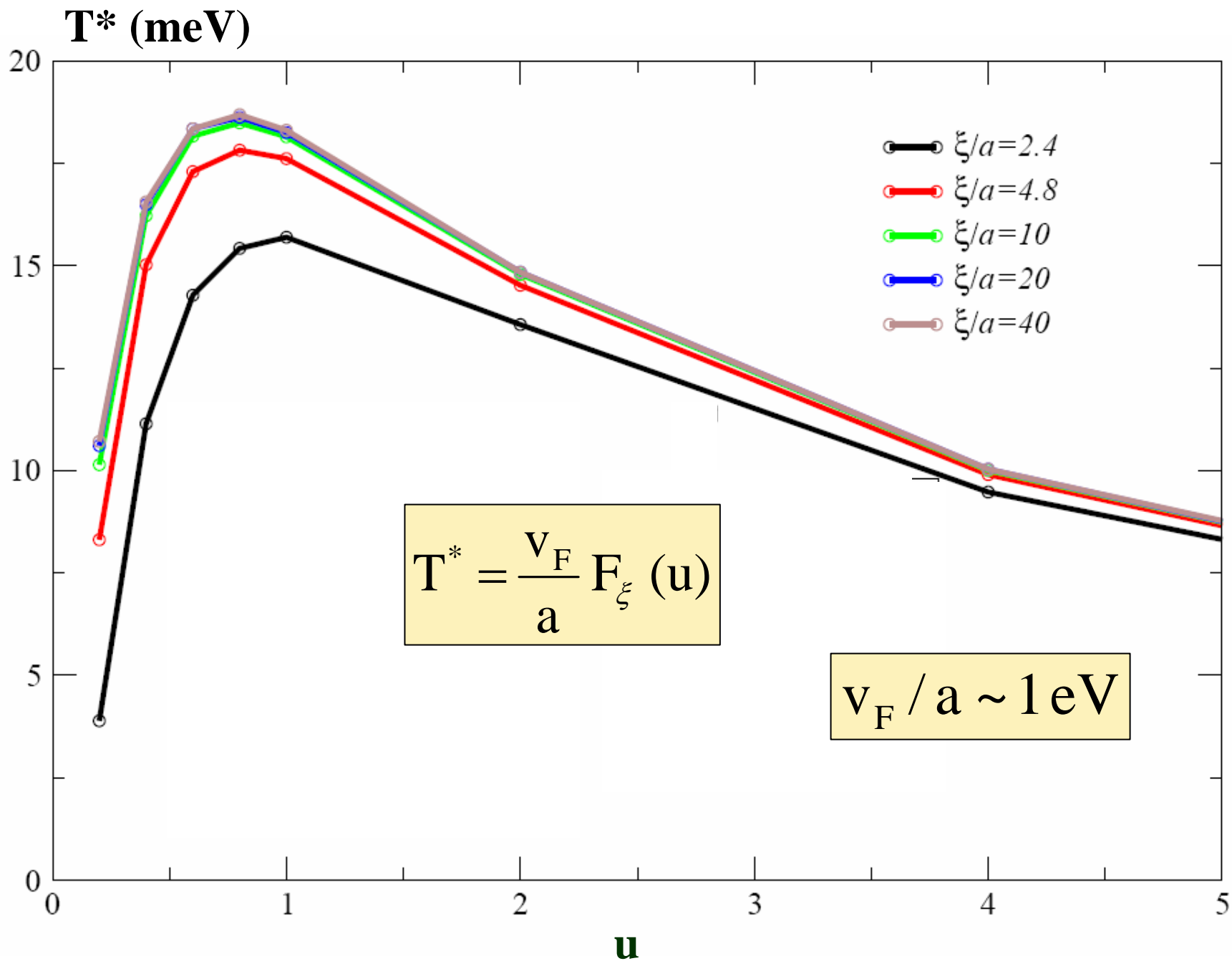
The larger is  $g$ ,  
the larger is  $T^*$



In general, we have two parameters,

$$u = \frac{g a}{v_F} \quad \text{and} \quad \lambda = u \xi$$

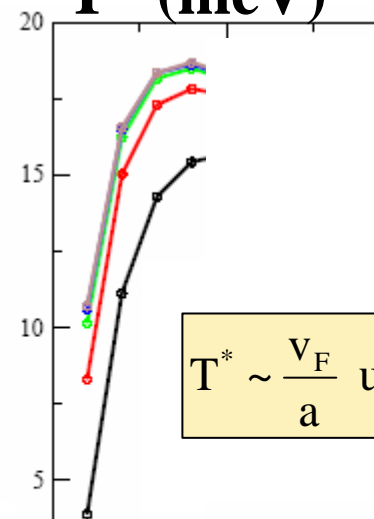
$$T^* = \frac{v_F}{a} F_\xi(u) \quad \left( \Rightarrow g \Psi_\xi \text{ when } u \text{ is small} \right)$$



$u < 1, \lambda = u \xi > 1$

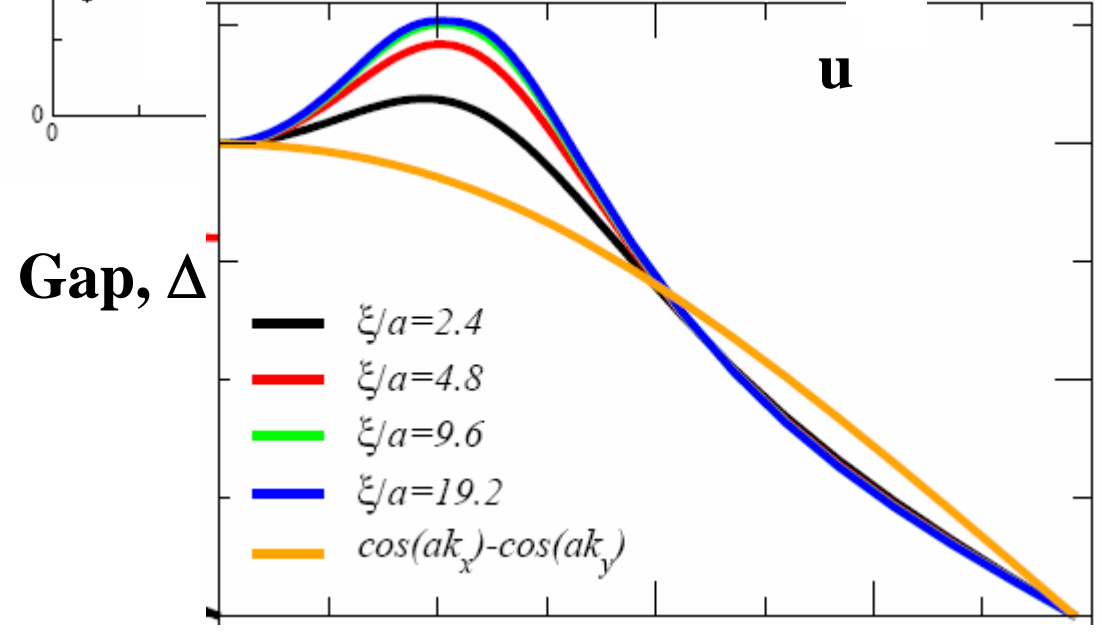
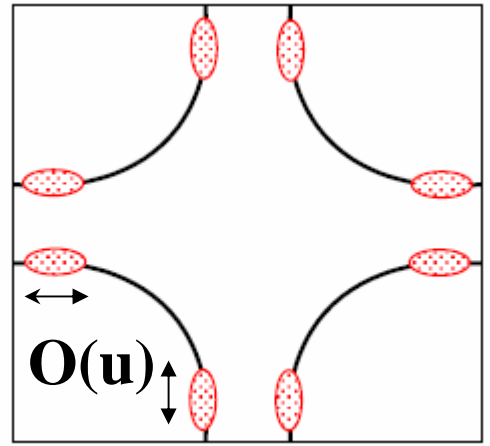
$u \sim g a/v_F$

$T^*$  (meV)



**Hot spot story:  
Pairing involves only  
fermions near hot spots**

$T^* \sim \frac{v_F}{a} u \quad (= 0.025 g)$

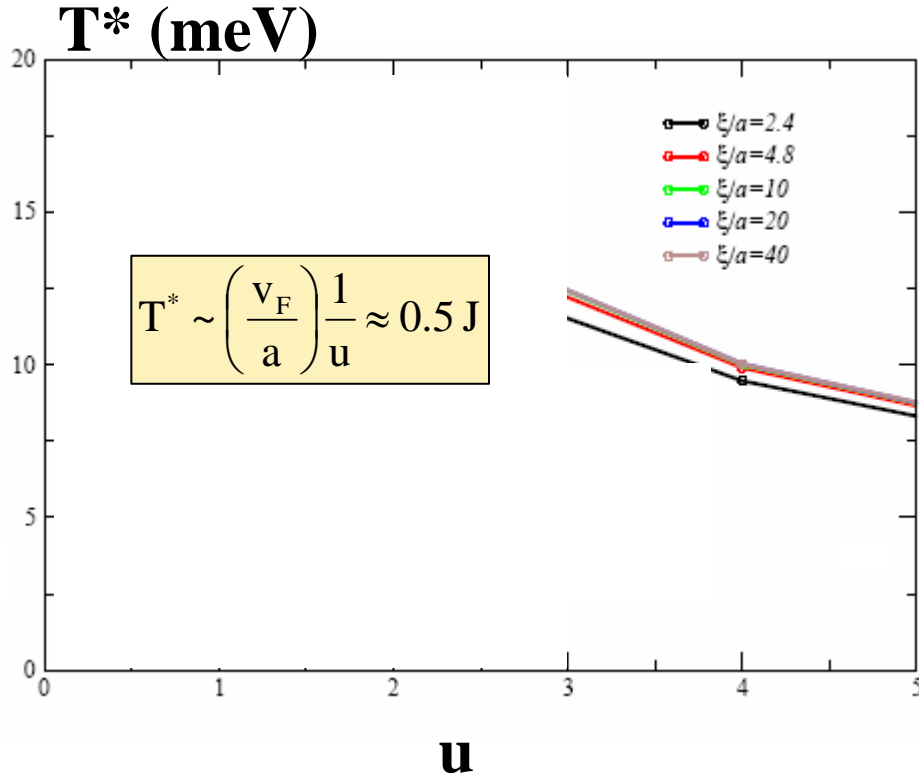


**The gap is  
anisotropic, not  
 $\cos k_x - \cos k_y$**

**Angle along the Fermi surface**

# Strong coupling, $u$

$>1$



$$\chi_q(\Omega) = \frac{1}{(q - \pi)^2 + \xi^{-2} + \frac{16}{3} u \frac{|\Omega|}{v_F a}}$$

d-wave attraction

A tendency towards  $\chi(\Omega) \sim 1/\Omega$

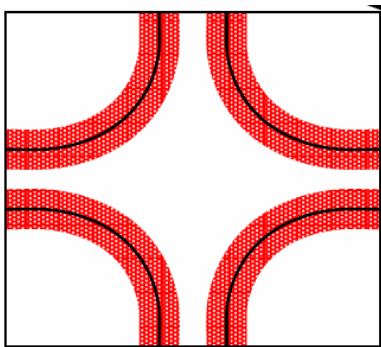
**Balance when**

$$T u \sim v_F/a$$

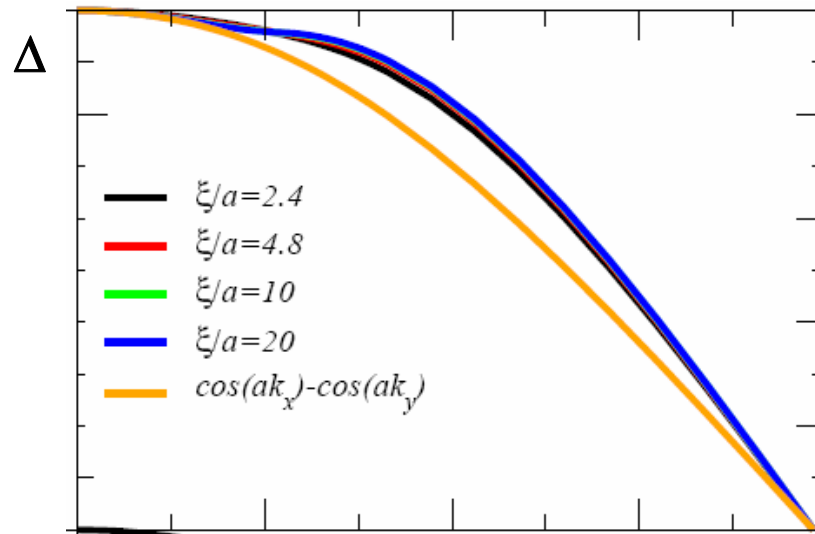
**At strong coupling,  $T^*$  scales with the magnetic exchange  $J$**

# Strong coupling, $u > 1$

The whole Fermi surface is involved in the pairing



## Gap variation along the Fermi surface

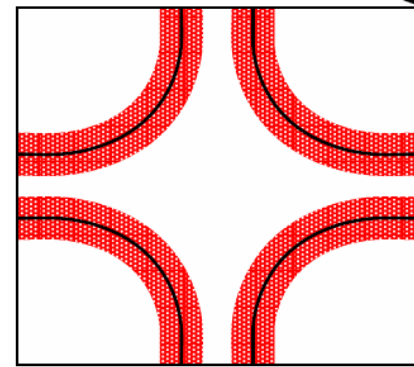


Angle along the Fermi surface

Almost  $\cos k_x - \cos k_y$  d-wave gap  
(as if the pairing is between nearest neighbors)

**Strong coupling,  $u > 1$**

**On one hand, the whole Fermi surface is involved in the pairing**

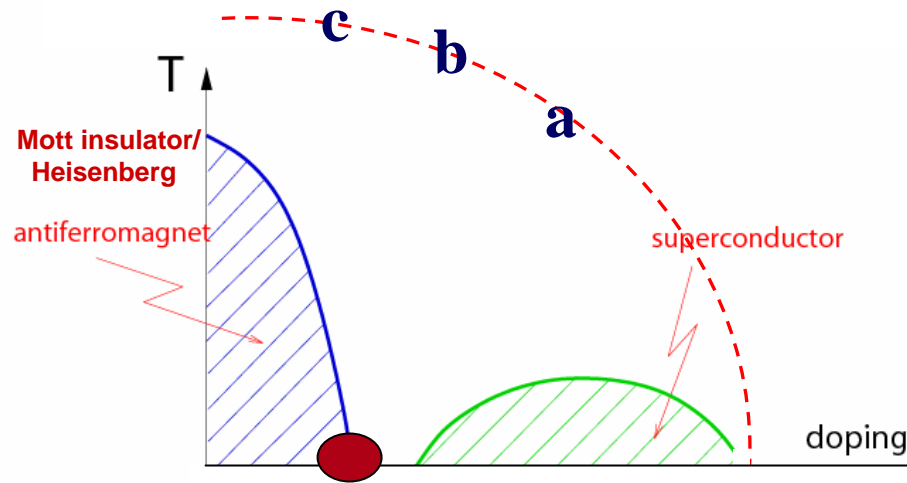
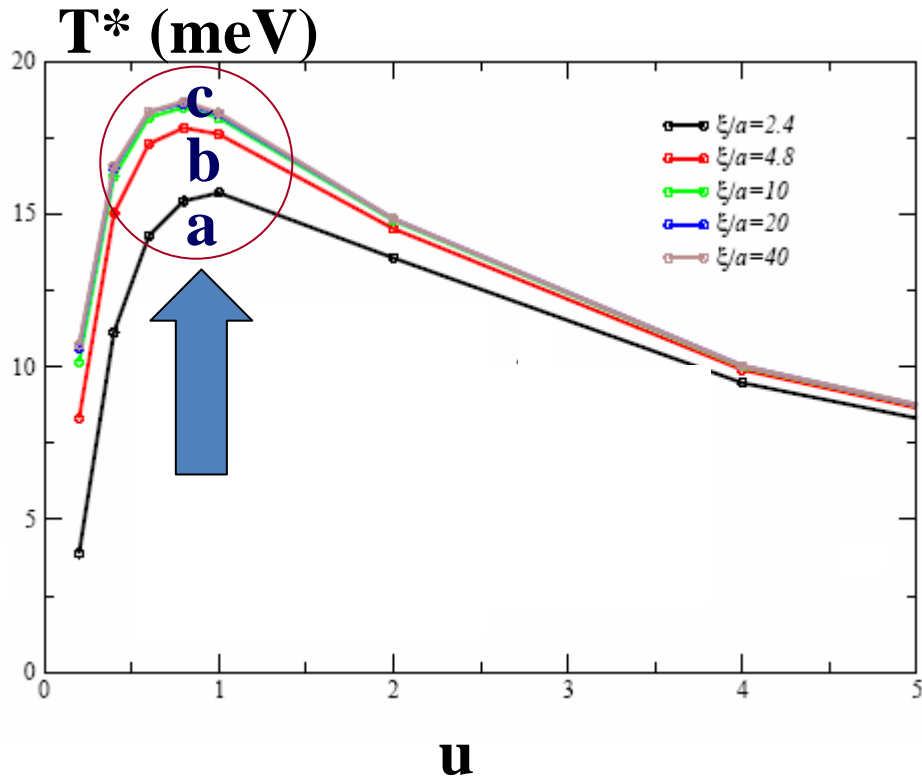


**On the other, the fact that  $T^*$  does not grow with  $u$  restricts relevant fermionic states:  $\varepsilon_{\mathbf{k}} \approx v_F (\mathbf{k} - \mathbf{k}_F) \sim J \ll v_F/a$**

$$|\mathbf{k} - \mathbf{k}_F| \sim \frac{(3-4)J}{v_F} \sim 0.1 \frac{\pi}{a} \ll \frac{\pi}{a}$$

**d-wave pairing at strong coupling involves fermions in the near vicinity of the Fermi surface**

# Intermediate $u = O(1)$



$T_{\max}^* \sim 200 - 250 \text{ K}$   
a

Universal pairing scale

## Robustness of $T^*_{\max}$



**FLEX (similar but not identical to Eliashberg)**

**Monthoux, Scalapino  
Monthoux, Pines,  
Eremin, Manske,  
Bennemann, Schmalian,  
Dahm, Tewordt ....**

$$T^* \sim (0.01-0.015) \frac{V_F}{a} \sim 100-150 \text{ K for } u \sim 0.25$$

**CDA, cluster DMFT**

**Maier, Jarrell, ...  
Haule, Kotliar, Capone ...  
Tremblay, Senechal, ....**

$$T^* \sim 0.01 \frac{V_F}{a} \text{ for } u \sim 0.25, T^* \sim 0.015 \frac{V_F}{a} \text{ for } u = 0.75$$

**FLEX with  
experimental inputs**

**Scalapino, Dahm, Hinkov,  
Hanke, Keimer, Fink, Borisenko,  
Kordyuk, Zabolotny, Buechner**

$$T^* \sim 170 \text{ K}$$

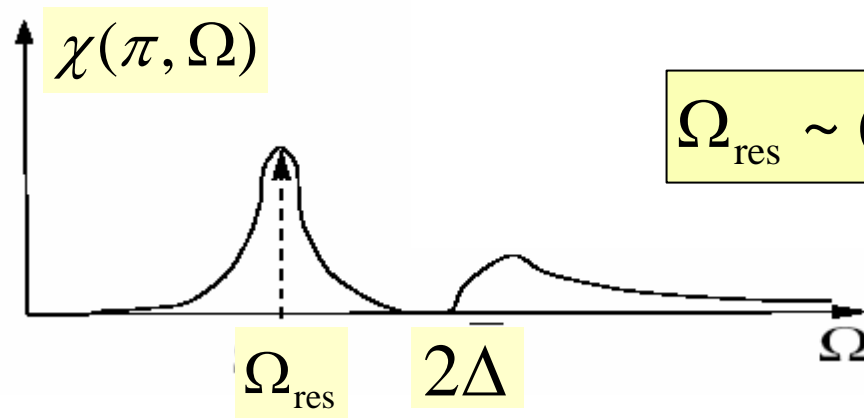


5. What would your theory predict in regards to collective modes?  
Is this even an important question?

**Spin resonance, B1g Raman resonance, ...**

**Most important is the spin resonance**

**Feedback from a d-wave superconductivity on the pairing boson**



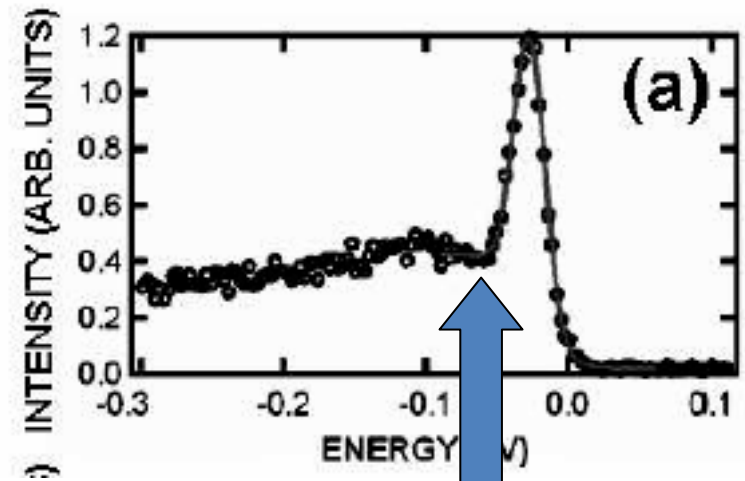
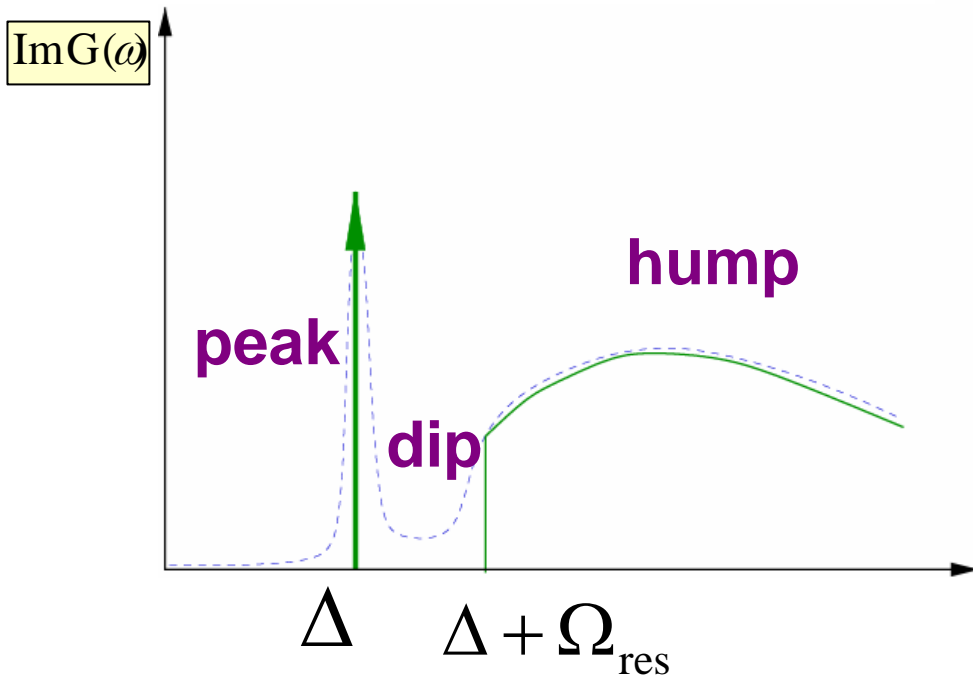
$$\Omega_{res} \sim (g \omega_{sf})^{1/2} \sim \xi^{-1}$$

$$\chi(\Omega) \sim \frac{1}{\Omega^2 - \Omega_{res}^2}$$

**The pairing boson becomes a mode (+ a gapped continuum)**

By itself, the resonance is **NOT** a fingerprint of spin-mediated pairing,  
nor it is a glue to a superconductivity –

What must be observable at strong coupling is how the  
resonance peak affects the electronic behavior,  
if the spin-fermion interaction is the dominant one

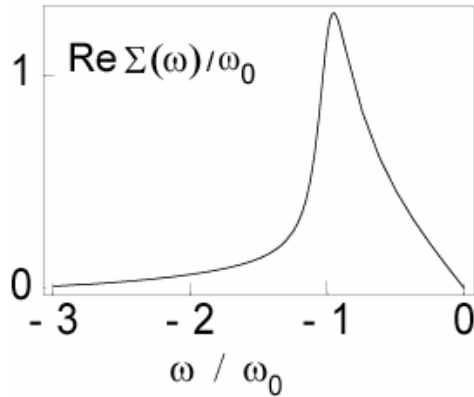


$\Omega_{\text{mode}} \sim 38 - 40 \text{ meV}$

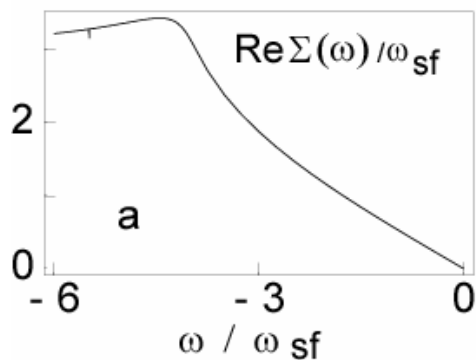
# Dispersion anomalies along the Fermi surface

Norman, AC

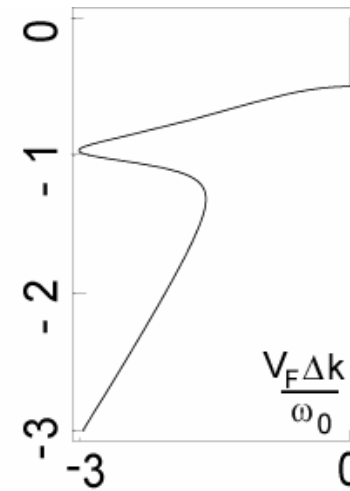
## The self-energy



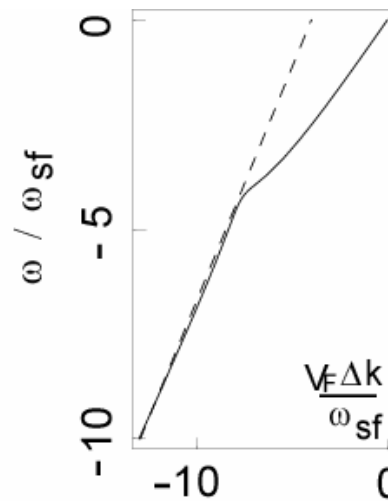
$$\omega_0 = \Delta + \Omega_{\text{res}}$$



## The dispersion



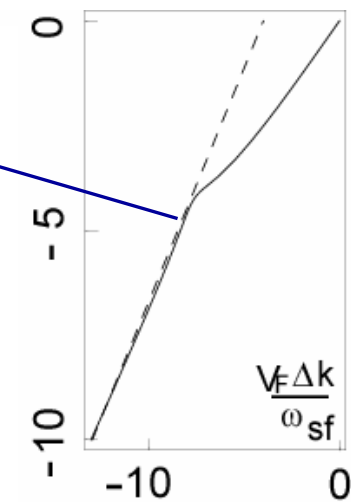
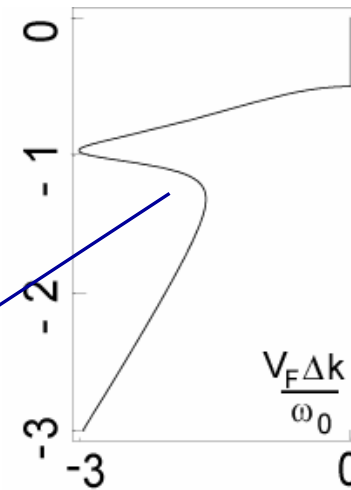
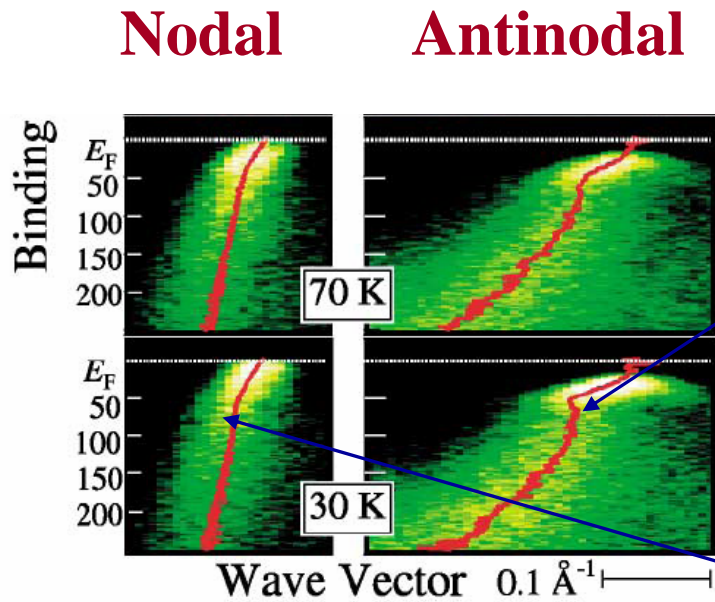
the S-shape dispersion



The kink

Antinodal direction

Nodal direction



**The S-shape disappears at  $T_c$**

**Another role of the resonance mode: at T=0 we have a superconductor with a low-energy mode,**

$$\Omega_{\text{res}} \sim g/\lambda \ll \Delta \sim g$$

**which is not a fluctuation of the sc order parameter**

$$\chi(\Omega) \sim \frac{1}{\Omega^2 - \Omega_{\text{res}}^2}$$

**attractive at**  $\Omega < \Omega_{\text{res}} < \Delta$

**repulsive at**  $\Omega > \Omega_{\text{res}}$

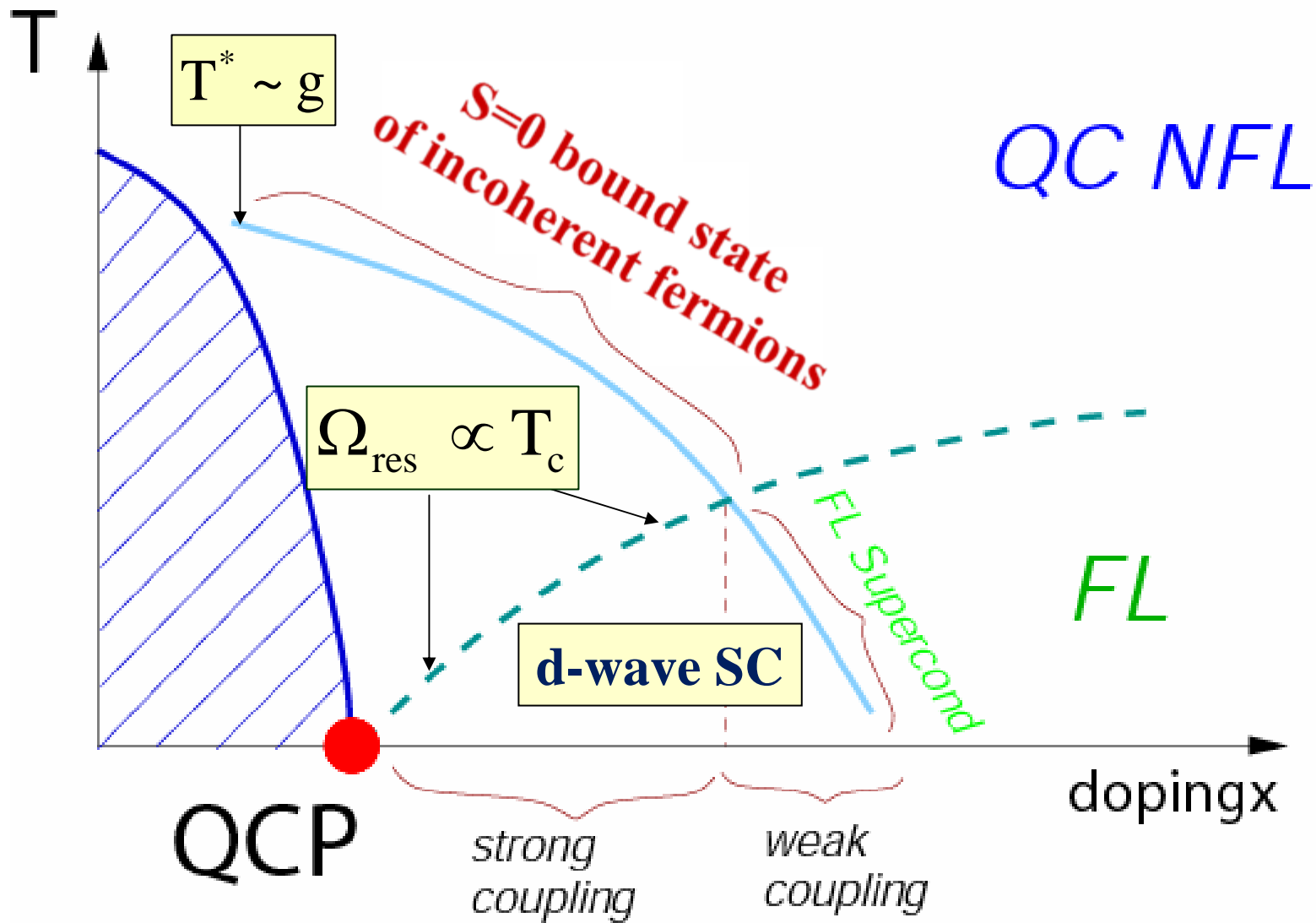
**The pairing gap**

$$\Delta(T=0) \sim T^* \sim g \quad (2\Delta(0)/T^* \approx 4)$$

**The superconducting stiffness  
(estimates)**

$$\rho_s \sim \Omega_{\text{res}} \sim g/\lambda$$

Abanov, AC



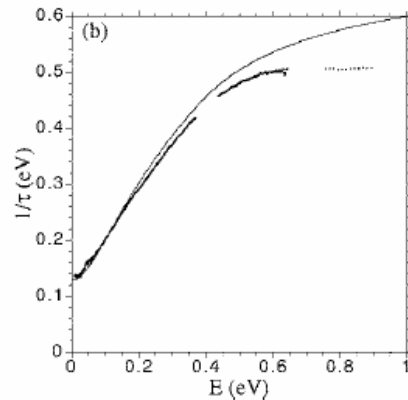
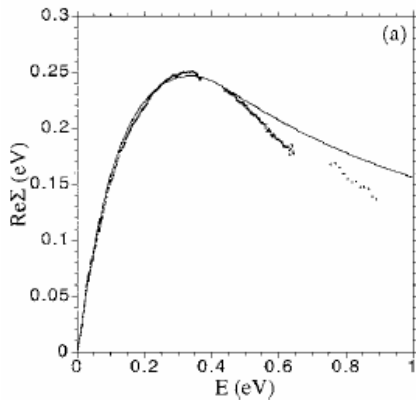
3. If your theory ignores phonons, can you really get away with that? Do you think phonons are even relevant?

4. What is the spectroscopic signatures predicted for your theory? Is a McMillan-Rowell inversion or related procedure possible for your theory? Is this question meaningful?

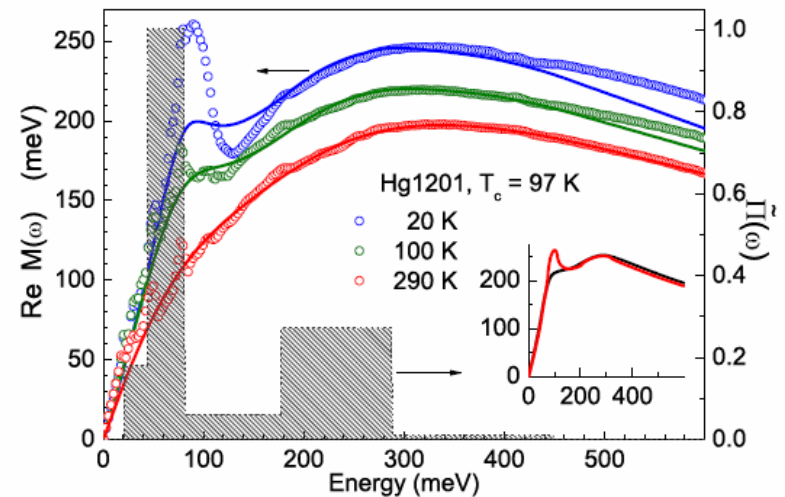
The effective

$$\alpha^2 F(\omega) = \oint_{\text{FS}} \text{Im} \chi(\mathbf{q}, \omega) d^2 \mathbf{q}$$

Above  $T_c$  : “spin” interaction is with the continuum.



Norman, AC

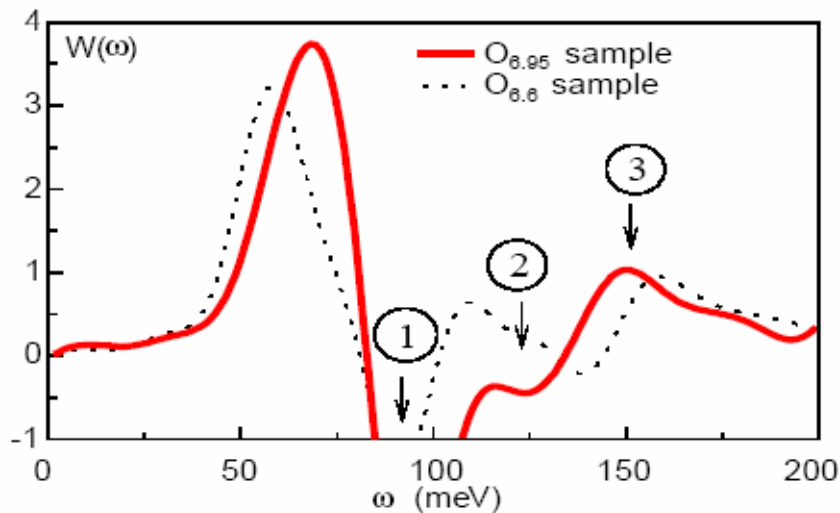


Van Heumen et al

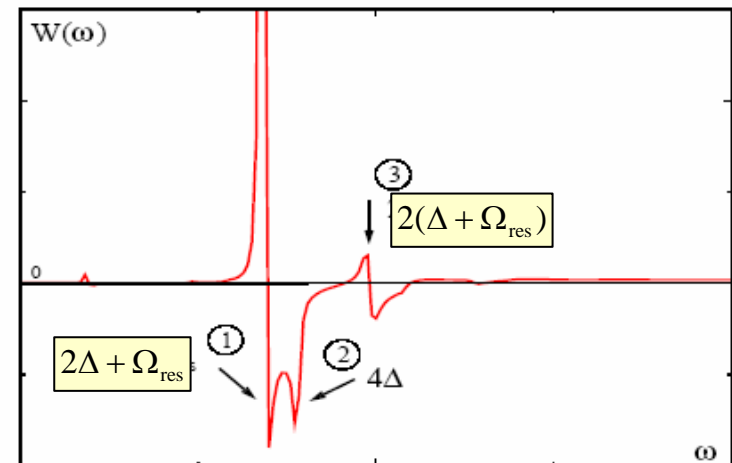
Below  $T_c$  --mode surely affects optical conductivity

$$W(\omega) = \frac{d^2}{d\omega^2} \left[ \omega \operatorname{Re} \frac{1}{\sigma(\omega)} \right]$$

**YBCO<sub>6.95</sub>**



**Theory**



Basov et al,  
Timusk et al,  
J. Tu et al.....

$$\Delta \approx 30 \text{ meV}, \quad \Omega_{\text{res}} \approx 40 \text{ meV}$$

Abanov et al  
Carbotte et al

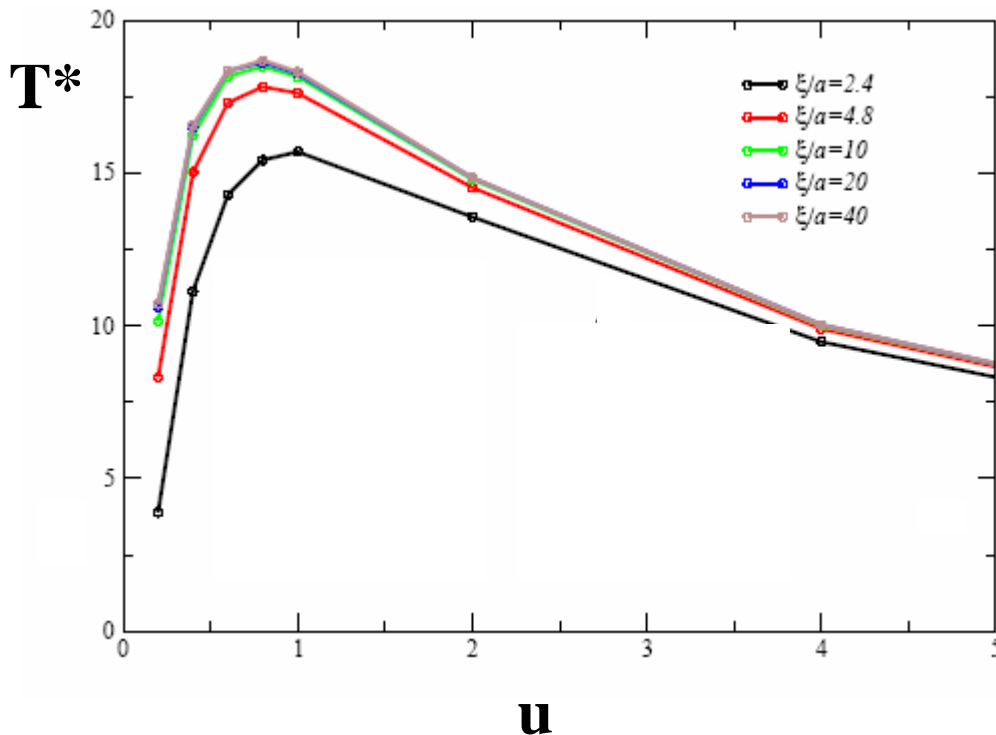


# Conclusions

**Effective interaction between low-energy fermions,  
mediated by a collective degree of freedom**

**Some phenomenology is unavoidable (or RPA)**

**Once we set the model, to get  $\Sigma(\omega)$  and the pairing is a  
legitimate theoretical issue (and not only for the cuprates)**



**Universal pairing scale**

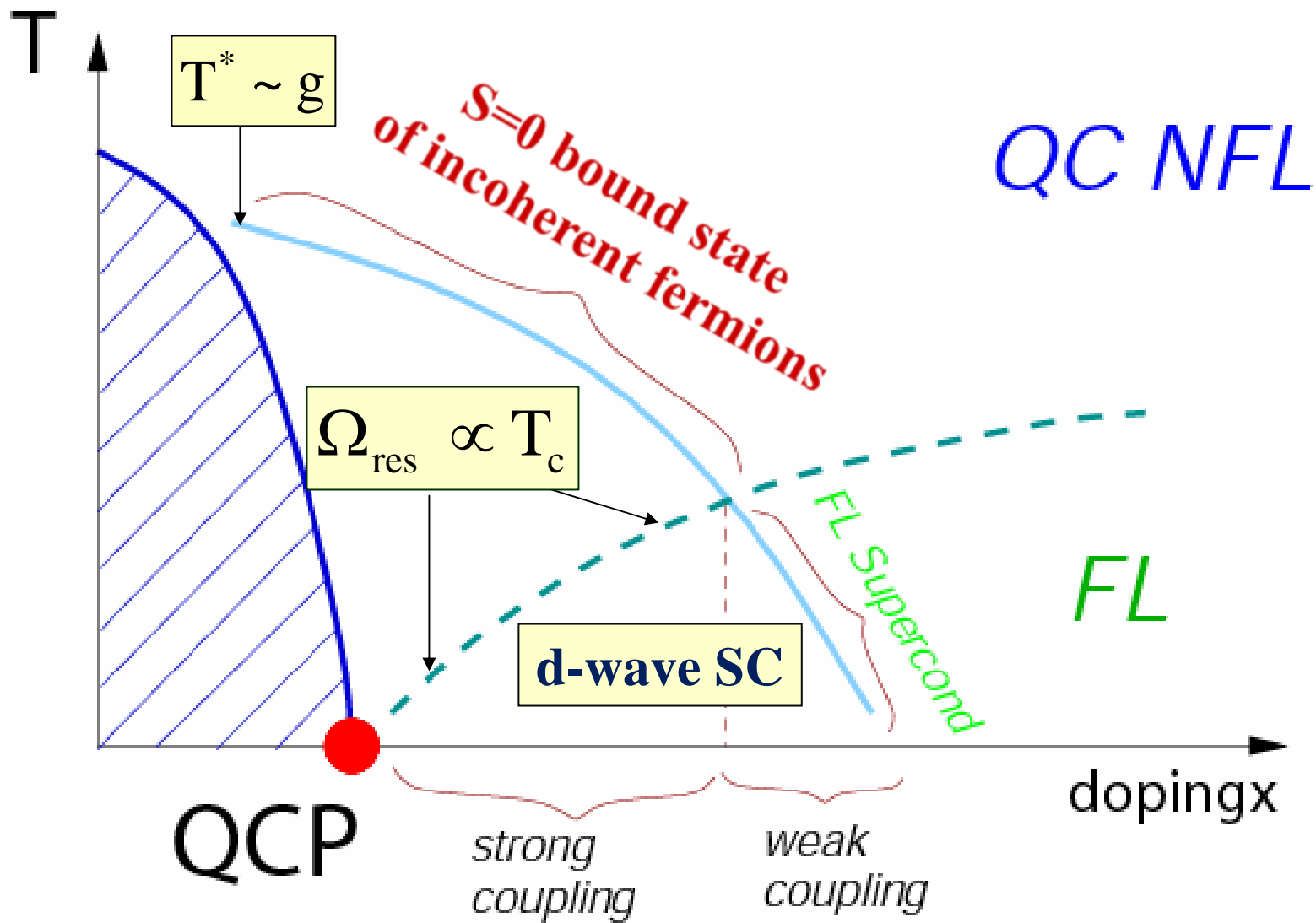
$$T_{\max}^* \sim 0.02 \frac{V_F}{a}$$

**The gap**

$$\Delta(\mathbf{k}) \approx \Delta (\cos k_x - \cos k_y)$$

**Low-energy collective mode**

$$\Omega_{\text{res}} \sim \Delta / \lambda < \Delta$$



**THANK YOU**