

# Modeling the Inspiral of Compact Objects into Supermassive Black Holes

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## Outline

- Extreme mass-ratio inspirals as sources for LISA: Capture mechanism, estimates of S/N and detection rates
- Theoretical challenge: high accuracy computation of strong-field orbital evolution & waveforms (generic orbits, Kerr)
- Energy-momentum balance approach
- Self-force approach:
  - issues of principle (regularization, gauge,...) ✓
  - development of practical calculation methods ✓
  - implementation of calculation methods

## Massive black holes are abundant

- Growing evidence that Supermassive BHs are present in the nuclei of most galaxies (Kormendy & Richstone 1995)
  - theoretical (models of AGN engines)
  - circumstantial (time variability, superluminal jets)
  - observational (stellar and gas dynamics in galaxy cusps)
- A few dozens listed already, with masses  $10^6$ - $10^9 M_{\odot}$  (table)
- Evidence that in many cases SMBHs are rapidly rotating (Elvis, Risaliti & Zamorani 2002)
- Recently: observed examples of mid-size holes ( $\sim 1000 M_{\odot}$ ) (Miller; Strohmayr 2003)

## Composition of stellar cusps (after $10^{10}$ yr)

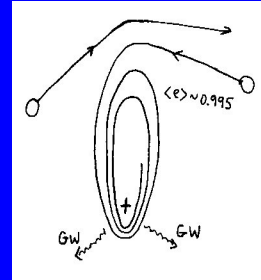
	Main seq.	WD	NS	BH
$\langle m \rangle$	0.3 $M_{\odot}$	0.7 $M_{\odot}$	1.4 $M_{\odot}$	9 $M_{\odot}$
% by mass	76%	18%	2%	3%
% by no.	90%	8%	2%	0.1%
	But since detection volume $\propto m^3 \dots$			
LISA sees		1	: 2	: 26

(S. Phinney 2001)

- Further bias in favor of BHs (capture cross section higher, dynamical friction more effective).

## Capture of a CO by a SMBH: mechanism

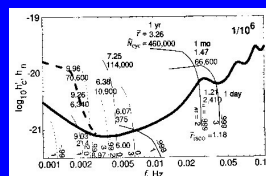
- CO kicked into "loss cone" through multibody scattering
- Orbit still highly eccentric ( $e=0.4-0.6$ ) when enters LISA band.
- $10^5-10^6$  orbits during last year, inside LISA band. (Finn & Thorne 2000)
- Gradual circularization, but still substantial ecc. at isco. (Cutler, Kennefick, & Poisson 1994)
- Rate:  $\sim 10^{-8}/\text{yr}/\text{galaxy}$



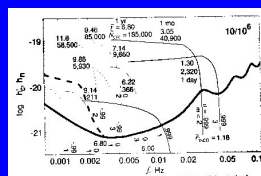
$\Rightarrow \sim 1/\text{year}$  out to 1 Gpc (w/ very conservative mass function)

If only one  $50M_{\odot}$  CO at each galaxy, then a few/year at  $z>1$ , all detectable by LISA! (Hils & Bender 1995, Sigurdson & Rees 1997)

## LISA S/N and detection rates



$1/10^6$  at 1 Gpc, circular orbit



$10/10^6$  at 1 Gpc, circular orbit

- During last year:  $S/N \sim 10(m/M_{\odot})(1 \text{ Gpc}/r)$  (Finn & Thorne 2000)
- Similar values for eccentric orbits (LB & Cutler 2003)
- $\Rightarrow$  LISA sees  $m > 50M_{\odot}$  inspirals from anywhere in the universe, but  $m \sim M_{\odot}$  inspirals only out to a few hundreds Mpc.
- But detection rate highly uncertain, mainly due to unknown ease of data analysis (how much S/N do we need?)

## Need high-accuracy theoretical modeling

- Data analysis difficult (17d para. Space/ 8-10 para. important)
  - Will lose much S/N due to template discretization -- crucial to minimize S/N loss due to inaccurate templates!
- To assure  $(\Delta\Phi)_{\text{total}} \ll 1$ , require

$$\Delta\Phi / \Phi \ll 1/N_{\text{cycles}} \sim m/M$$

- So need

$$\Delta\Phi / \Phi \ll 10^{-6}$$

## The theoretical challenge:

- Compute orbital evolution and subsequent waveform to fractional accuracy of  $10^{-6}$ , for
  - $1-100 M_{\odot}$  inspiralling onto  $10^6-10^8 M_{\odot}$  ( $\Rightarrow m/M=10^{-4}-10^{-8}$ )
  - Generic orbits (inclined, eccentric)
  - Kerr black hole\*
- Over the last 6 years ~70 papers, 6 dedicated conferences ("Capra Ranch"). Much progress.

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\* Spin of CO may be neglected; no sign of chaotic behavior in extreme-mass-ratio inspirals (Hartl 2003)

## Scientific payoffs

- Accurate mapping of the hole's strong field, test of "no hair" theorem [Kerr has only 2 independent mass multipoles; LISA could accurately measure at least 3-5 (Ryan 1997)]
  - Test of alternative theories of Gravity (scalar, YM,...)
  - Precise measurement of SMBHs masses and spins:  
 $\delta M/M \sim 10^{-4}-10^{-5}$ ,  $\delta S/S \sim 0.01$  (Ryan 1997)
  - Decide on SMBH growth mechanism (Hughes & Blandford 2002)
- 
- December 2001: LISA decides that LISA noise floor is to be determined by the requirement of detecting CO inspirals.

## Possible approaches

- PN methods well developed, but, in our case, not useful! (most S/N comes from strong-field region,  $v/c \sim 1$ )
- Full Numerical Relativity: Recent 1st attempt (Bishop, Gómez, Husa, Lehner, & Winicour 2003). Computed one orbit in Schwarzschild.
- Expansion in  $m/M$ : assuming point particle on a fixed background and using black hole perturbation theory (Teukolsky-Sasaki-Nakamura formalism). Two variants:
  - conservation law
  - local force ("self force")

## Conservation law method

- |   |   |                                     |
|---|---|-------------------------------------|
| Tanaka, Shibata, Sasaki, Tagoshi, & Nakamura (1993)     | } | $a=0$                               |
| Cutler, Kennefick, & Poisson (1994)                     |   |                                     |
| Mino, Tanaka, Shibata, Sasaki, Tagoshi, Nakamura (1998) |   |                                     |
| Kennefick (1998)  | } | $\Rightarrow$ circular, equatorial  |
| Finn & Thorne (2000)                                    |   |                                     |
| Hughes (2000, 2001)                                     |   | $\Rightarrow$ circular, inclined    |
| Glampedakis & Kennefick (2002)                          |   | $\Rightarrow$ eccentric, equatorial |

## Conservation law method - basic idea

- ◆ Evolution is adiabatic:  $(\tau_{\text{rad-reaction}}/\tau_{\text{orbit}}) \propto M/m \gg 1$ ;  
orbit is approximately geodesic along a few cycles
  - ↳ Solve for  $\psi_4^{lm\omega}$  with geodesic orbit  $\{E, L_z, Q\}$  as a source  
(use Teukolsky/Sasaki-Nakamura to reduce PDEs to ODEs)
  - ↳ From fluxes at infinity and through the horizon infer  $\{\dot{E}, \dot{L}_z, \dot{Q}\}$
  - ↳ Generate "radiation reaction grid" in phase space of orbits (e.g.)
  - ↳ Evolve trajectories across the grid (e.g.)
- 
- ◆ **Failure of method:** cannot calculate  $\dot{Q}$  for generic orbits in Kerr  
(also: does not account for conservative piece of force)

## Local (self-)force approach

- Perturbation  $h_{\alpha\beta} (\propto m)$  exerts a "self force"  $F^\alpha (\propto m^2)$ :

$$m(du^\alpha/d\tau) = 0 + F^\alpha$$

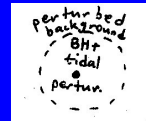
- Analogous to Abraham-Lorentz-Dirac EOM for electric charge in flat space:

$$m\vec{a} = \vec{F}_{ext}(\propto q) + \frac{2}{3}(q^2/c^2)\vec{a}$$

- Given  $F_\alpha$ , can
  - directly integrate EOM, or
  - obtain local  $\dot{C} = m^{-1}(\partial C/\partial u_\alpha)F_\alpha$  ( $C \equiv \{E, L_z, Q\}$ )
- Generalization to curved space by DeWitt & Brehme (1960) [\(feature\)](#)
- Generalization to gravitational SF (in arbitrary vacuum spacetime) by Mino, Sasaki, & Tanaka (1997), Quinn & Wald (1997)

## Gravitational self-force: regularization methods

- Mino, Sasaki, & Tanaka (1997):
  - (1) Hadamard expansion+ world-tube integration+ local conservation
  - (2) Matched asymptotic expansions
- Quinn & Wald (1997): (3) "Comparison axiom"

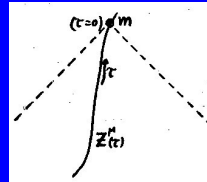


### Alternative methods:

- Zeta function (Lousto 2000)
- Extended object (Ori & Rosenthal 2002)
- power expansion (Mino, Nakano, & Sasaki 2002)
- Radiative Green's function (Detweiler & Whiting 2002)
- Kerr symmetry (Mino 2003)

All consistent with Mino-Quinn-Wald

## The gravitational self-force



- Has only "tail" contribution, no "light-cone" contribution:

$$F^\alpha = F_{\text{tail}}^\alpha = \lim_{\varepsilon \rightarrow 0^+} m^2 \int_{-\infty}^{-\varepsilon} d\tau \nabla^{\alpha\beta\gamma} G_{\beta\gamma\beta'\gamma'}[z(0), z(\tau)] u^{\beta'}(\tau) u^{\gamma'}(\tau)$$

- Alternative, useful, formulation:

$$F^\alpha = \lim_{x \rightarrow z(0)} F_{\text{tail}}^\alpha(x), \quad F_{\text{tail}}^\alpha(x) = \left( F_{\text{full}}^\alpha(x) = m \nabla^{\alpha\beta\gamma} h_{\beta\gamma}(x) \right) - \left( F_{\text{direct}}^\alpha(x) \right)$$

from full metric pert.      only light-cone cont.

- In practice: know how to get multipoles of  $h_{\text{full}}$  and  $F_{\text{full}}$ . But: each mode carries a mixed imprint of both "tail" and "direct".

## Practical calculation scheme: the mode-sum method

- LB & Ori 2000, 2003 (scalar, EM)
- LB 2001 (gravitational)

$$F_{\text{tail}} = \lim_{x \rightarrow z(0)} \sum_l \left( F_{\text{full}}^l(x) - F_{\text{direct}}^l(x) \right)$$

↓

$$F_{\text{tail}} = \sum_l \left( F_{\text{full}}^l(0) - A \cdot l - B - C/l \right) - \underbrace{\sum_l \left( F_{\text{direct}}^l(0) - A \cdot l - B - C/l \right)}_D$$

Multipole contributions finite at the particle,  $F_{\text{full}}^l, F_{\text{direct}}^l (l \rightarrow \infty) \propto l$

- "Regularization parameters"  $A_\alpha, B_\alpha, C_\alpha, D_\alpha$  derived analytically by local analysis:
  - LB, Mino, Nakano, Ori, & Sasaki (PRL 2002) - equ. orbit in Schwarz.
  - LB & Ori (PRL 2003) - generic orbit in Kerr



## Summary of prescription for computing the local self-force

- ↪ Solve (numerically) Teukolsky-Sasaki-Nakamura equations, with a geodesic source  $\rightarrow$  get  $\psi_0^{lm\omega}, \psi_4^{lm\omega}$
- ↪ Construct metric perturbation  $h_{\alpha\beta}^{lm\omega}$  using Wald-Chrzanowski-Ori (In Schwarz. can solve directly for  $h_{\alpha\beta}$  using RW-Zerilli-Moncrief)
- ↪ Construct the "full force" modes  $F_{\text{full}}^{\alpha l} = \sum_{m\omega} \nabla^{\alpha\beta\gamma} h_{\beta\gamma}^{lm\omega}$
- ↪ Apply mode-sum formula:

$$F^\alpha = \sum_l \left( F_{\text{full}}^{\alpha l} - A^\alpha \cdot l - B^\alpha - C^\alpha / l \right) - D^\alpha$$

## Implementation

- Static particle in Schwarz. (Burko 2000)
  - Circular orbit in Schwarz. (Burko 2000)
  - Radial trajectories in Schwarz. (LB & Burko 2000)
  - Static particle in Kerr (Burko & Liu 2001)
- } Scalar force
- Radial trajectories in Schwarz. (LB & Lousto 2002)
  - Circular orbit in Schwarz. (LB & Lousto, in progress)
- } Gravitational force

- 
- ◆ Local analysis can be pushed to higher orders in  $1/l$ , providing **analytic approximation** for the self force. For example:

$$F^{rl} = -\frac{15}{16} m^2 \frac{E^2}{r^2} (E^2 + 4M/r - 1) (l + 1/2)^{-2} + O(l^{-4})$$

Radial infall in Schwarz. (LB & Lousto 2002)

## The gauge problem

- Local self-force is gauge dependent:

$$x^\mu \rightarrow x^\mu - \xi^\mu;$$

$$h_{\alpha\beta} \rightarrow h_{\alpha\beta} + \xi_{\alpha;\beta} + \xi_{\beta;\alpha}$$

$$F^\alpha \rightarrow F^\alpha - m \left[ (g^{\alpha\lambda} + u^\alpha u^\lambda) \xi_{;\lambda} + R^\alpha{}_{\mu\lambda\nu} u^\mu \xi^\lambda u^\nu \right] \quad (\text{LB \& Ori 2001})$$

Gauge invariants (e.g., orbit integrated  $\hat{C}$ ) can be derived from  $F$  in whatever regular gauge.

- Mino/Quinn/Wald's result (and, consequently, mode-sum scheme) formulated in the "harmonic gauge".
- Problem:** to implement mode-sum scheme, need  $h$  in harmonic gauge; but standard pert. tools give  $h$  in "radiation" (or RW) gauge.
- Mode-sum formula "gauge invariant" if gauge regular (LB & Ori 2001):

$$F^\alpha(\text{any gauge}) = \sum_l \left[ F_{\text{full}}^{\alpha l}(\text{any gauge}) - A^\alpha \cdot l - B^\alpha - C^\alpha/l \right] - D^\alpha$$

- Problem:** radiation gauge becomes irregular in the presence of a particle [ $h$  is singular along a null ray - (LB & Ori 2001)]

## Solution to the gauge problem

(LB & Ori 2003)

- We devised an "intermediate" gauge, which is
  - > regular ( $h$  singular only at the particle)
  - > simply related to the radiation gauge

- Then:

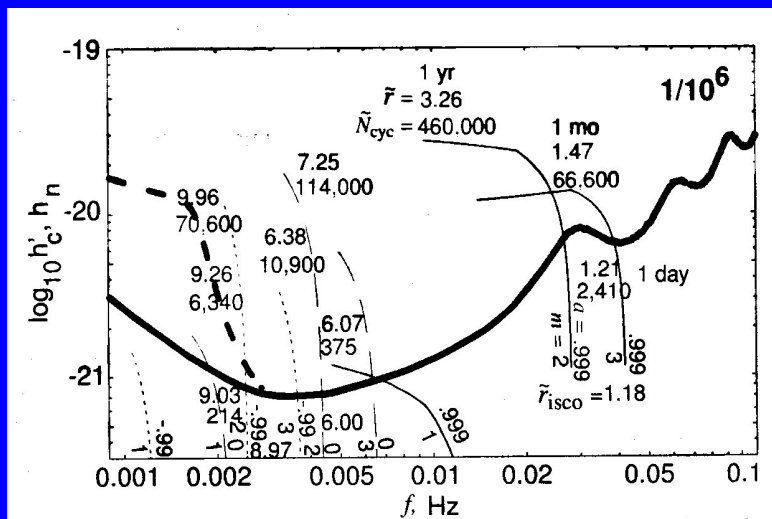
$$F^\alpha(\text{intermediate}) = \sum_l \left[ F_{\text{full}}^{\alpha l}(\text{radiation}) - A^\alpha \cdot l - B^\alpha - C^\alpha/l \right] - D^\alpha - \delta F^\alpha$$

- "Gauge correction term"  $\delta F^\alpha$  recently calculated explicitly for generic orbits in Kerr.
- $F(\text{intermediate})$  can now be used to calculate the long-term, gauge-invariant, orbital evolution

## What's next

- Compare outcome from self force conservation law full numerical calculations in cases where possible. Ongoing Work on circular orbits in Schwarzschild will provide a first opportunity.
- Implement calculation scheme for generic orbits, Kerr.
- In the longer term: 2nd-order perturbation theory and 2nd-order self-force needed for improving template accuracy.

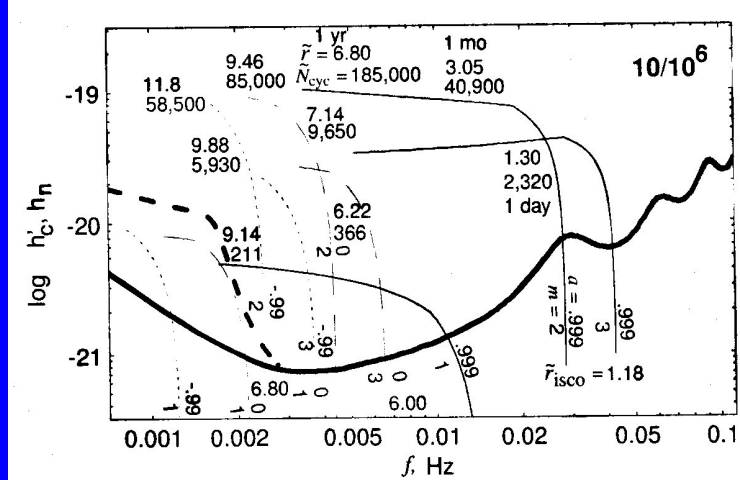
1  $M_{\odot}$  onto  $10^6 M_{\odot}$  at 1 Gpc, circular orbit (sky averaged)



(Finn & Thorne 2000)

# Modeling the Inspiral of Compact Objects into Supermassive Black Holes

10  $M_{\odot}$  onto  $10^6 M_{\odot}$  at 1 Gpc, circular orbit (sky averaged)



(Finn & Thorne 2000)

Census of Supermassive black holes as of March 2001

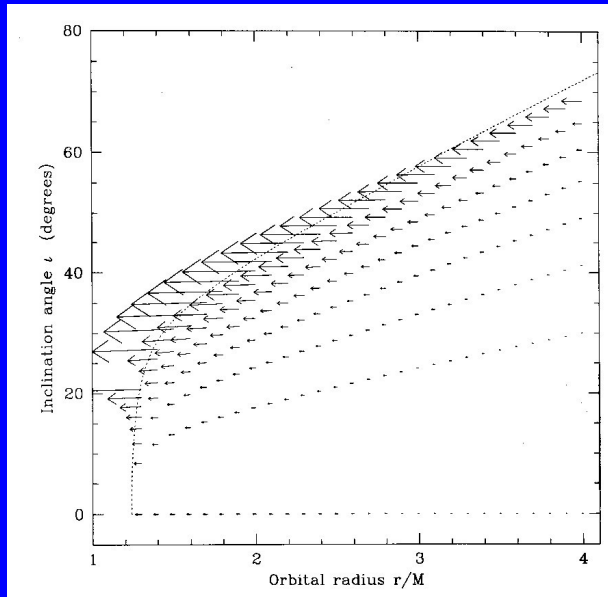
(Kormendy & Gebhardt 2001)

TABLE 1  
Census of Supermassive Black Holes (2001 March)

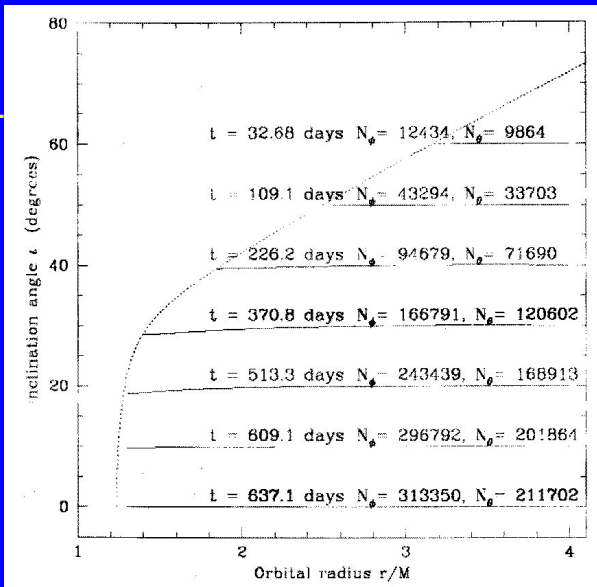
Galaxy	Type	$M_{B, \text{bol}}^c$	$M_{\bullet}$ ( $M_{\text{low}}$ - $M_{\text{high}}$ ) ( $M_{\odot}$ )	$\sigma_{\bullet}$ (km/s)	$D$ (Mpc)	$r_{\text{max}}$ (arcsec)	Reference
Galaxy M31	Sbc	-17.65	2.6 (2.4-2.8) e6	75	0.008	51.40	See notes
	Sb	-19.00	4.5 (2.0-8.5) e7	160	0.76	2.06	Dressler + 1988; Kormendy 1988a
M32	E2	-15.53	3.9 (3.1-4.7) e6	75	0.81	0.76	Tonry 1984, 1987
M81	Sb	-18.16	6.8 (5.5-7.5) e7	143	3.9	0.76	Bower + 2001b
NGC 821	E4	-20.41	3.9 (2.4-5.6) e7	209	24.1	0.03	Gebhardt + 2001
NGC 1023	S0	-18.40	4.4 (3.8-5.0) e7	205	11.4	0.08	Bower + 2001a
NGC 2778	E2	-18.59	1.3 (0.3-2.9) e7	175	22.9	0.02	Gebhardt + 2001
NGC 3115	S0	-20.21	1.0 (0.4-2.0) e9	230	9.7	1.73	Kormendy + 1992
NGC 3377	E5	-19.05	1.1 (0.6-2.5) e8	145	11.2	0.42	Kormendy + 1998
NGC 3379	E1	-18.94	1.0 (0.3-1.6) e8	206	10.6	0.20	Gebhardt + 2000a
NGC 3384	S0	-18.99	1.4 (1.0-1.9) e7	143	11.6	0.05	Gebhardt + 2001
NGC 3608	E2	-19.86	1.1 (0.8-2.5) e8	182	23.0	0.13	Gebhardt + 2001
NGC 4291	E2	-19.63	1.9 (0.8-3.2) e8	242	26.2	0.11	Gebhardt + 2001
NGC 4342	S0	-17.04	3.0 (2.0-4.7) e8	225	15.3	0.34	Cretton + 1999a
NGC 4473	E5	-19.89	0.8 (0.4-1.8) e8	190	15.7	0.13	Gebhardt + 2001
NGC 4486B	E1	-16.77	5.0 (0.2-9.9) e8	185	16.1	0.81	Kormendy + 1997
NGC 4564	E3	-18.92	5.7 (4.0-7.0) e7	162	15.0	0.13	Gebhardt + 2001
NGC 4594	Sa	-21.35	1.0 (0.3-2.0) e9	240	9.8	1.58	Kormendy + 1988b
NGC 4619	E1	-21.30	2.0 (1.0-2.5) e9	375	16.8	0.75	Gebhardt + 2001
NGC 4697	E4	-20.24	1.7 (1.4-1.9) e8	177	11.7	0.41	Gebhardt + 2001
NGC 4742	E4	-18.94	1.4 (0.9-1.8) e7	90	15.5	0.10	Kaiser + 2001
NGC 5845	E	-18.72	2.9 (0.2-4.6) e8	234	25.9	0.18	Gebhardt + 2001
NGC 7457	S0	-17.69	3.6 (2.5-4.5) e6	67	13.2	0.05	Gebhardt + 2001
NGC 2787	SB0	-17.28	4.1 (3.6-4.5) e7	185	7.5	0.14	Sarzi + 2001
NGC 3245	S0	-19.65	2.1 (1.6-2.6) e8	205	20.9	0.21	Barth + 2001
NGC 4261	E2	-21.09	5.2 (4.1-6.2) e8	315	31.6	0.15	Ferrarese + 1996
NGC 4371	E1	-21.36	4.3 (2.6-7.5) e8	296	18.4	0.24	Bower + 1998
NGC 4459	SA0	-19.15	7.0 (5.7-8.3) e7	167	16.1	0.14	Sarzi + 2001
M87	E0	-21.53	3.0 (2.0-4.0) e9	375	16.1	1.18	Harms + 1994
NGC 4596	SB0	-19.48	0.8 (0.5-1.2) e8	136	16.8	0.22	Sarzi + 2001
NGC 5128	S0	-20.80	2.4 (0.7-6.0) e8	150	4.2	2.26	Marconi + 2001
NGC 6251	E2	-21.81	6.0 (2.0-8.0) e8	290	106	0.06	Ferrarese + 1999
NGC 7052	E1	-21.31	3.3 (2.0-5.6) e8	266	58.7	0.07	van der Marel + 1998
IC 1459	E3	-21.39	2.0 (1.2-5.7) e8	323	29.2	0.06	Verdoes Kleijn + 2001
NGC 1068	Sb	-18.82	1.7 (1.0-3.0) e7	151	15	0.04	Greenhill + 1996
NGC 4258	Sbc	-17.19	4.0 (3.9-4.1) e7	120	7.2	0.36	Miyoshi + 1995
NGC 4945	Scd	-15.14	1.4 (0.9-2.1) e6		3.7		Greenhill + 1997

# Modeling the Inspiral of Compact Objects into Supermassive Black Holes

“Radiation reaction” grid  
 in  $(r, i)$  space  
 [circular orbit,  $a=0.998M$ ]  
 (S. Hughes 2001)

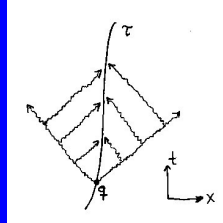


Inspiral trajectories  
 in  $(r, i)$  space  
 [circular orbit,  $a=0.998M$ ]  
 (S. Hughes 2001)



## Non-local nature of SF in curved space

GW back-scattered off spacetime curvature



Failure of Huygens principle;  
"breakdown of locality"

E.g.: a static charge inside a massive shell (Burko, Liu, & Soen 2000)

