

Caustics, focusing and Veselago lens for electrons in graphene PN junctions

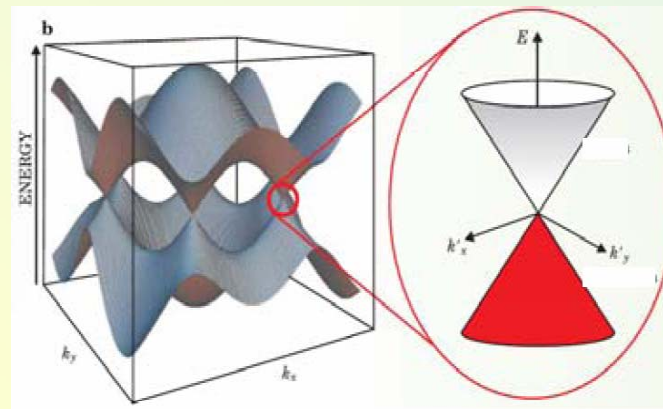
Vladimir Falko

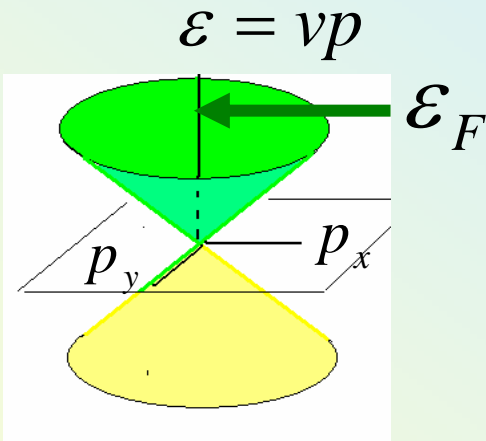
Vadim Cheianov

Centre for Nanoscale Dynamics
and Mathematical Physics



Boris Altshuler - Columbia U, NY





$$\hat{H} = v\vec{\sigma} \cdot \vec{p} = vp\vec{\sigma} \cdot \vec{n}$$

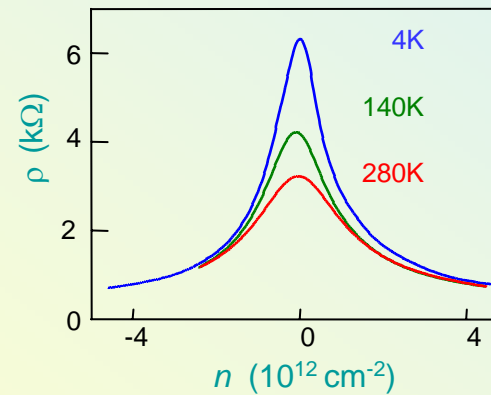
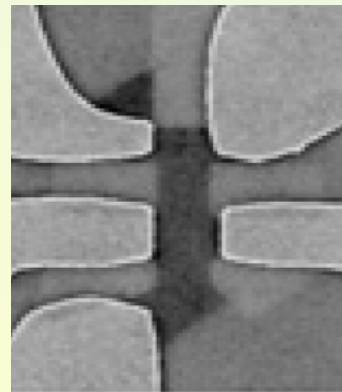
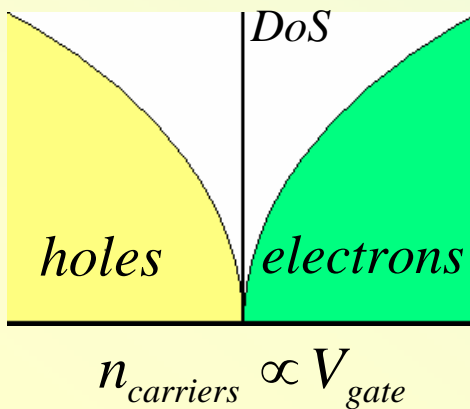
Bloch function amplitudes on the AB sites - 'isospin'

$$\psi = \begin{pmatrix} \varphi_A \\ \varphi_B \end{pmatrix}$$

Chiral electrons:

'isospin' direction is linked to the axis determined by the electron momentum:

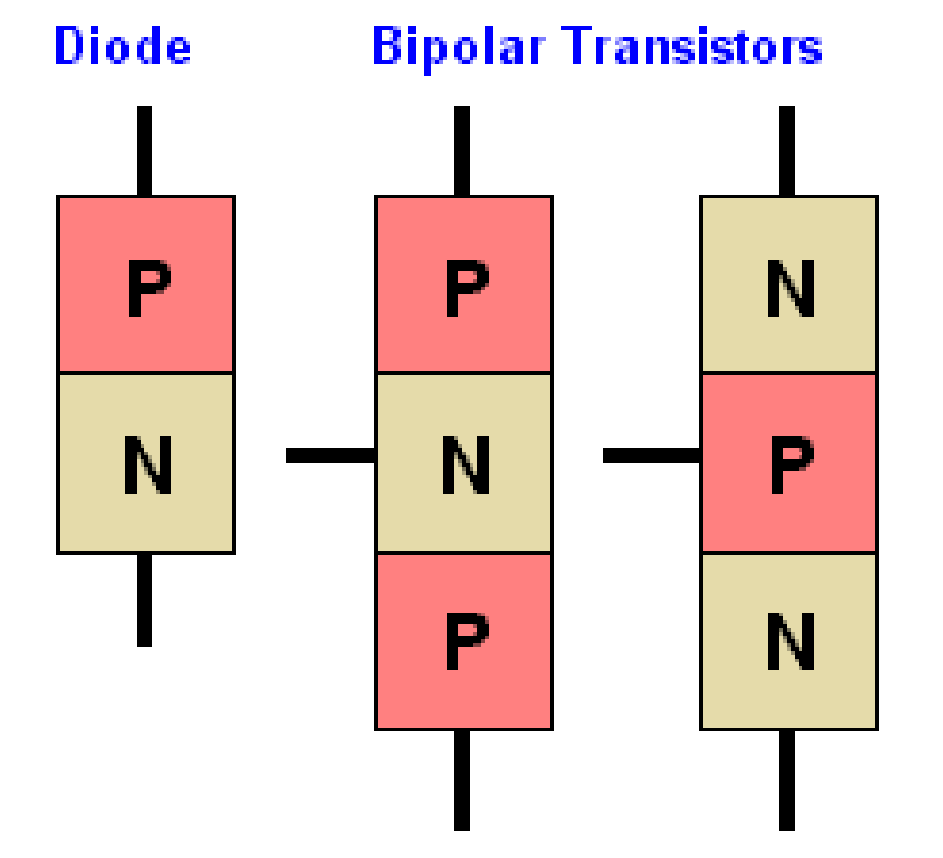
$$\vec{\sigma} \cdot \vec{n} = 1$$

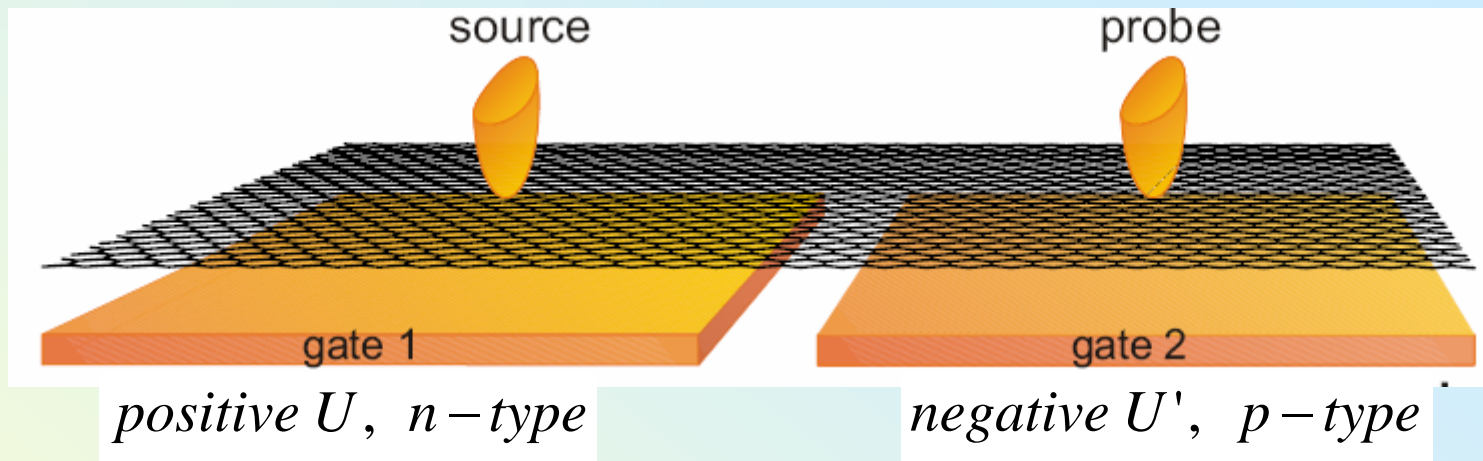


Graphene:
2D zero-gap semiconductor

Novoselov *et al* - Science 306, 666 (2004)

1939 - Jack Scaff and Henry Theurer discovered p-type and n-type regions in silicon at Bell Labs, Holmdel NJ

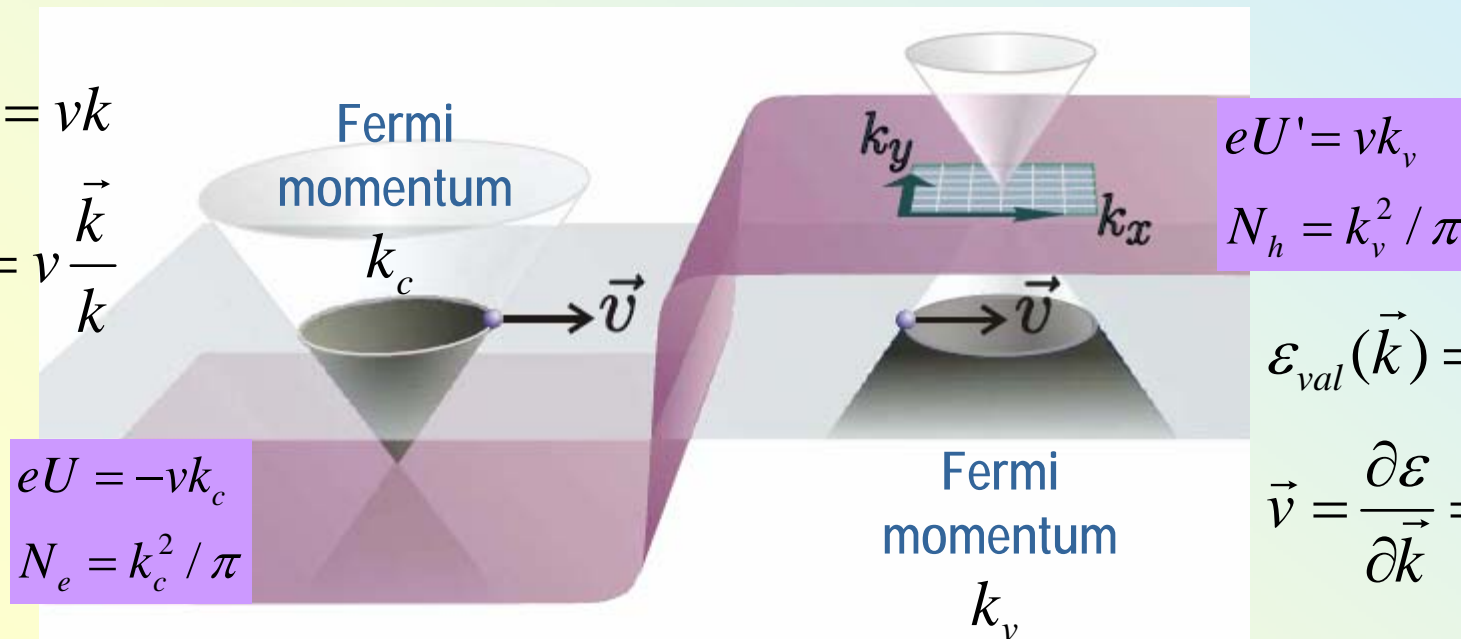




The PN junction in graphene

$$\varepsilon_{cond}(\vec{k}) = vk$$

$$\vec{v} = \frac{\partial \varepsilon}{\partial \vec{k}} = v \frac{\vec{k}}{k}$$

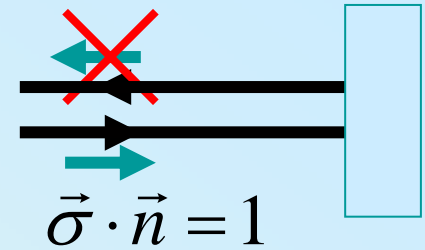


conduction band electrons

$$\vec{\sigma} \cdot \vec{n} = 1 \quad \vec{p}$$

$$\vec{\sigma} \cdot \vec{n} = -1 \quad \vec{p}$$

valence band



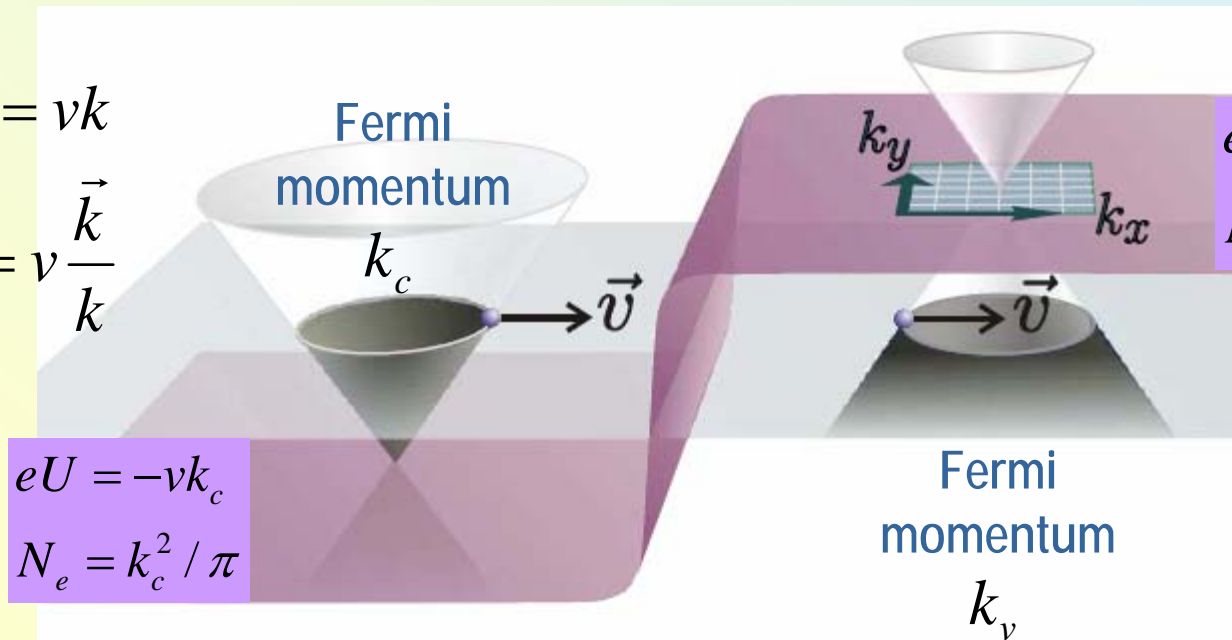
Due to the isospin conservation, A-B symmetric potential of *PN* junction cannot backward scatter chiral electrons,

Ando, Nakanishi, Saito, *J. Phys. Soc. Jpn* 67, 2857 (1998)

Cheianov, *VF - PR B* 74, 041403 (2006)

$$\varepsilon_{cond}(\vec{k}) = vk$$

$$\vec{v} = \frac{\partial \varepsilon}{\partial \vec{k}} = v \frac{\vec{k}}{k}$$



$$eU = -vk_c$$

$$N_e = k_c^2 / \pi$$

$$eU' = vk_v$$

$$N_h = k_v^2 / \pi$$

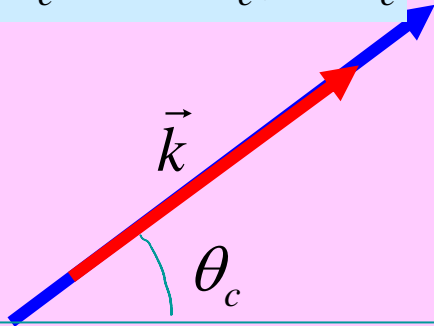
$$\varepsilon_{val}(\vec{k}) = -vk$$

$$\vec{v} = \frac{\partial \varepsilon}{\partial \vec{k}} = -v \frac{\vec{k}}{k}$$

$$k_y = k'_y \Rightarrow k_c \sin \theta_c = -k_v \sin \theta_v$$

PN junction

$$(v \cos \theta_c, v \sin \theta_c) = \vec{V}_c$$



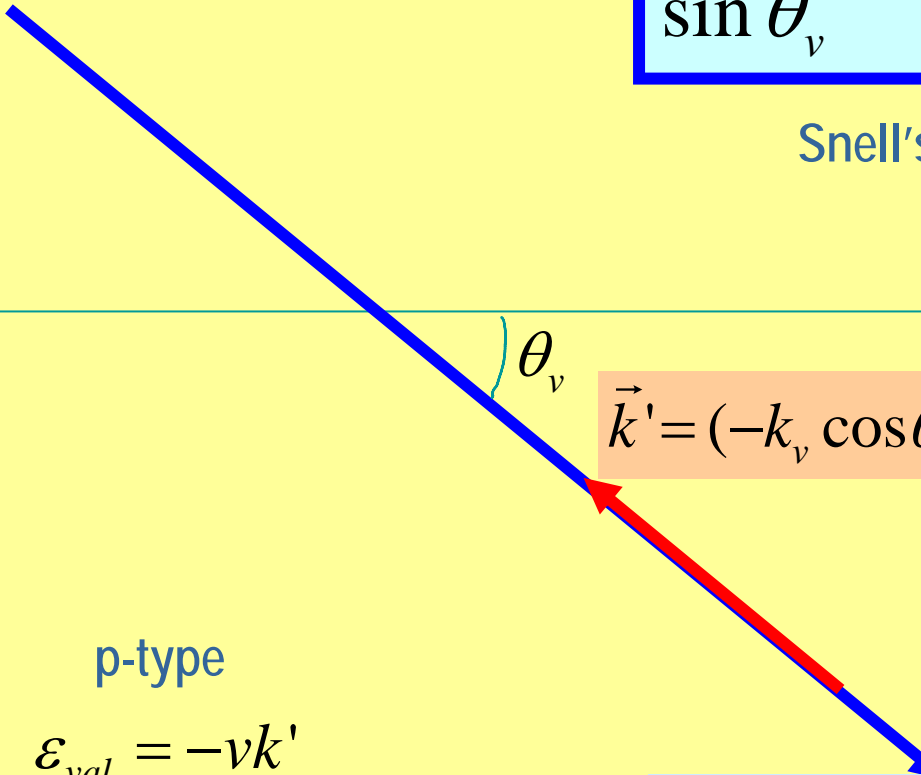
$$\vec{k} = (k_c \cos \theta_c, k_c \sin \theta_c)$$

n-type

$$\epsilon_{cond} = vk; \quad \vec{v} = v \frac{\vec{k}}{k}$$

$$\frac{\sin \theta_c}{\sin \theta_v} = -\frac{k_v}{k_c} = n$$

Snell's law



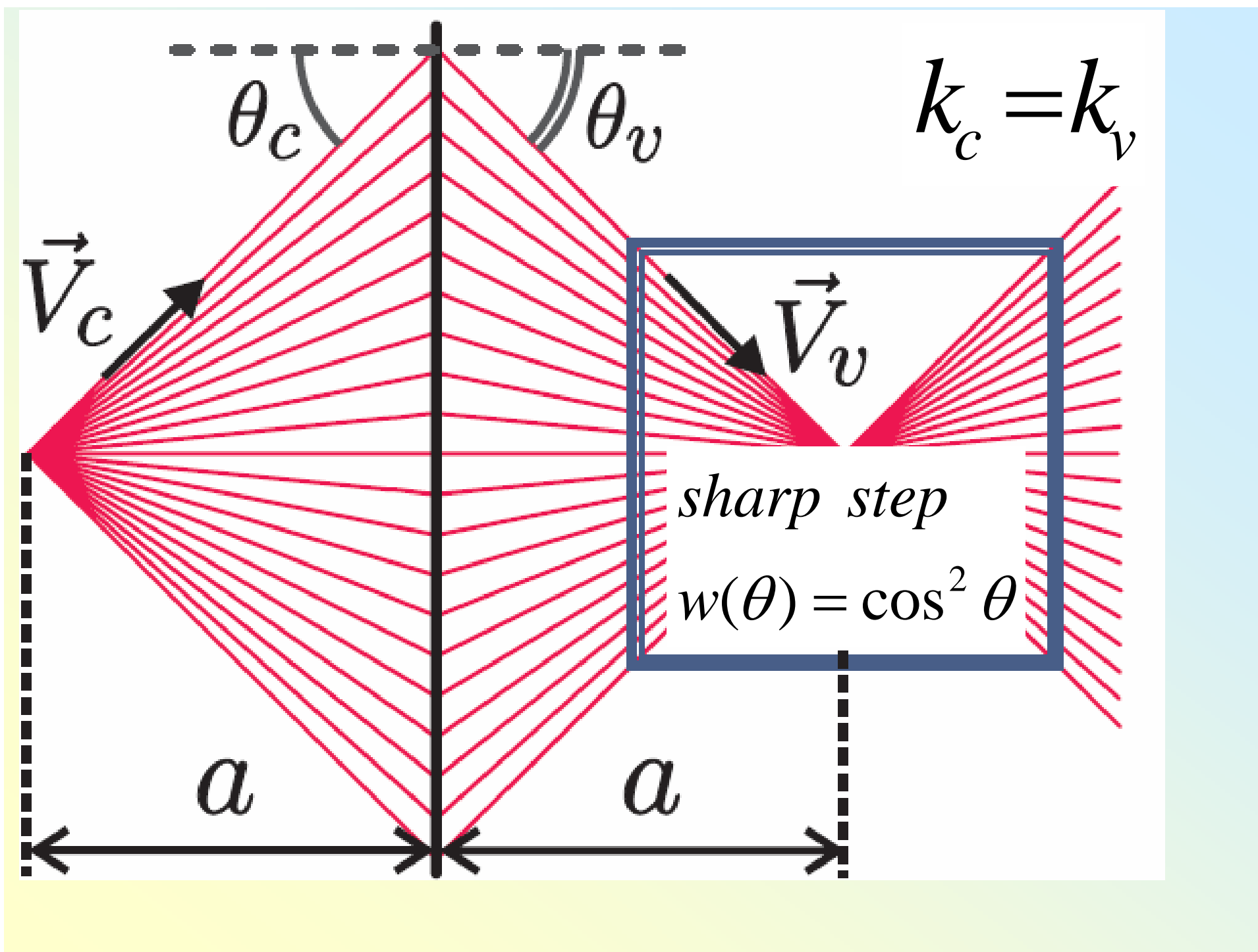
$$\vec{k}' = (-k_v \cos \theta_v, -k_v \sin \theta_v)$$

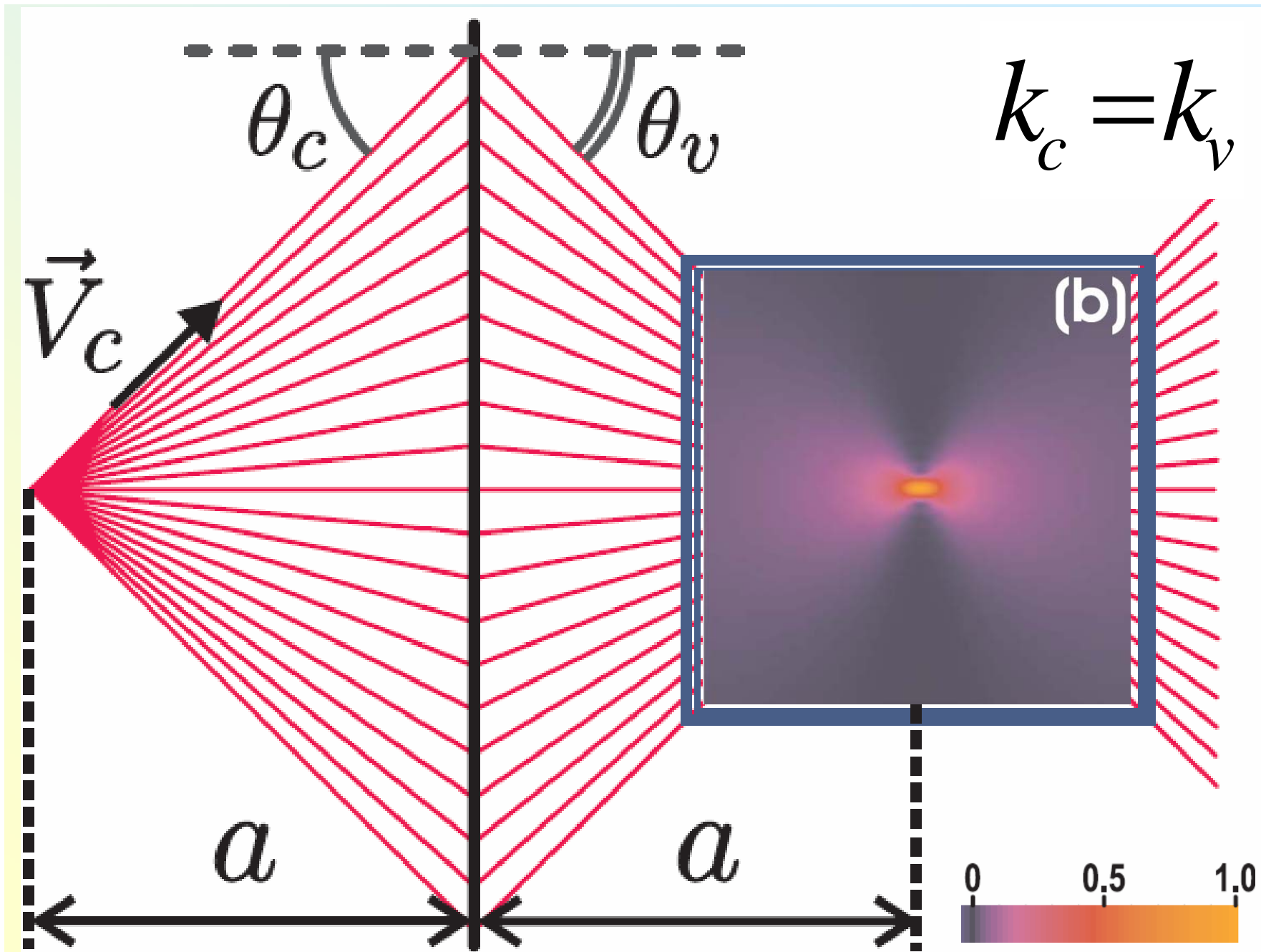
p-type

$$\epsilon_{val} = -vk'$$

$$\vec{v} = -v \frac{\vec{k}'}{k'}$$

$$\vec{V}_v = (v \cos \theta_v, v \sin \theta_v)$$





$$E = \varepsilon + U(x) = 0$$

Electrons at electro-chemical potential, $E=0$

$$\hat{H} = -iv\vec{\sigma}\vec{\nabla} + U(x)$$

$$[-iv\vec{\sigma}\vec{\nabla} + U]G(\vec{r}, \vec{r}_0) = \delta(\vec{r} - \vec{r}_0)$$

$$G(\vec{r}, \vec{r}_0) = \int dk_y e^{ik_y y} G(x, k_y)$$

$$\left[-i\sigma_x \partial_x + \sigma_y k_y + \begin{cases} -k_c, x < 0 \\ k_v, x > 0 \end{cases} \right] G(x, k_y) = \delta(x + a)$$

$$E = \varepsilon + U(x) = 0$$

Electrons at electro-chemical potential, $E=0$

$$\left[\partial_x - \sigma_z k_y + i \sigma_x \begin{cases} -k_c, x < 0 \\ k_v, x > 0 \end{cases} \right] G(x, k_y) = i \sigma_x \delta(x + a)$$

$$G(x, y) = \int dk_y M(k_y) e^{i\phi(x, y; k_y)}$$

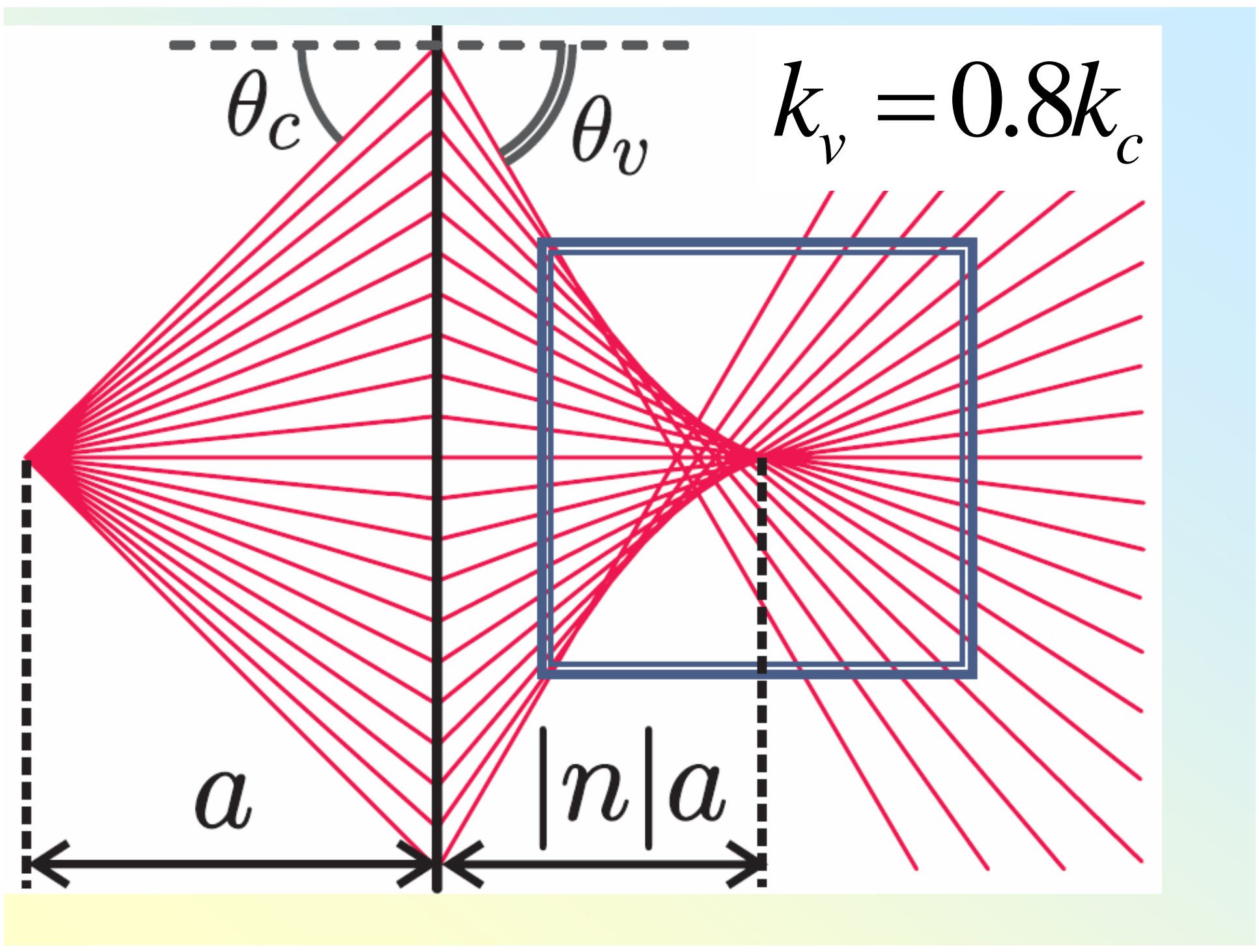
$$\phi(x, y; k_y) = a \sqrt{k_c^2 - k_y^2} - x \sqrt{k_v^2 - k_y^2} + y k_y$$

$$\frac{d\phi}{dk_y} = 0$$

Classical
trajectory

$$y = a \tan \theta_c + x \tan \theta_v$$

$$\frac{\sin \theta_c}{\sin \theta_v} = -\frac{k_v}{k_c} = -\sqrt{\frac{N_h}{N_e}} = n$$



$$y = a \tan \theta_c + x \tan \theta_v$$

$$\frac{\sin \theta_c}{\sin \theta_v} = -\frac{k_v}{k_c} = n$$

Snell's law

$$\left(\frac{d\phi}{dk_y} = 0 \right)$$

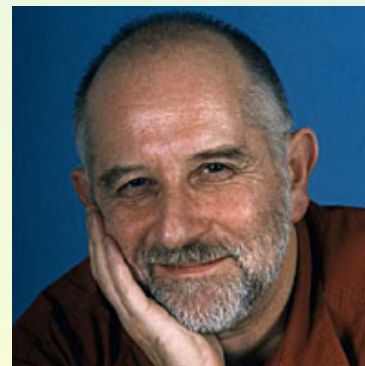
$$\frac{dy}{d\theta_c} = 0 \quad \text{caustic} \quad \left(\frac{d\phi}{dk_y} = \frac{d^2\phi}{dk_y^2} = 0 \right)$$

$$\frac{dy}{d\theta_c} = \frac{d^2y}{d\theta_c^2} = 0 \quad \text{cusp} \quad \left(\frac{d\phi}{dk_y} = \frac{d^2\phi}{dk_y^2} = \frac{d^3\phi}{dk_y^3} = 0 \right)$$

general
catastrophe
theory



Rene Thom



Michael Berry



Vladimir Arnold

$$y = a \tan \theta_c + x \tan \theta_v$$

$$\frac{\sin \theta_c}{\sin \theta_v} = -\frac{k_v}{k_c} = n$$

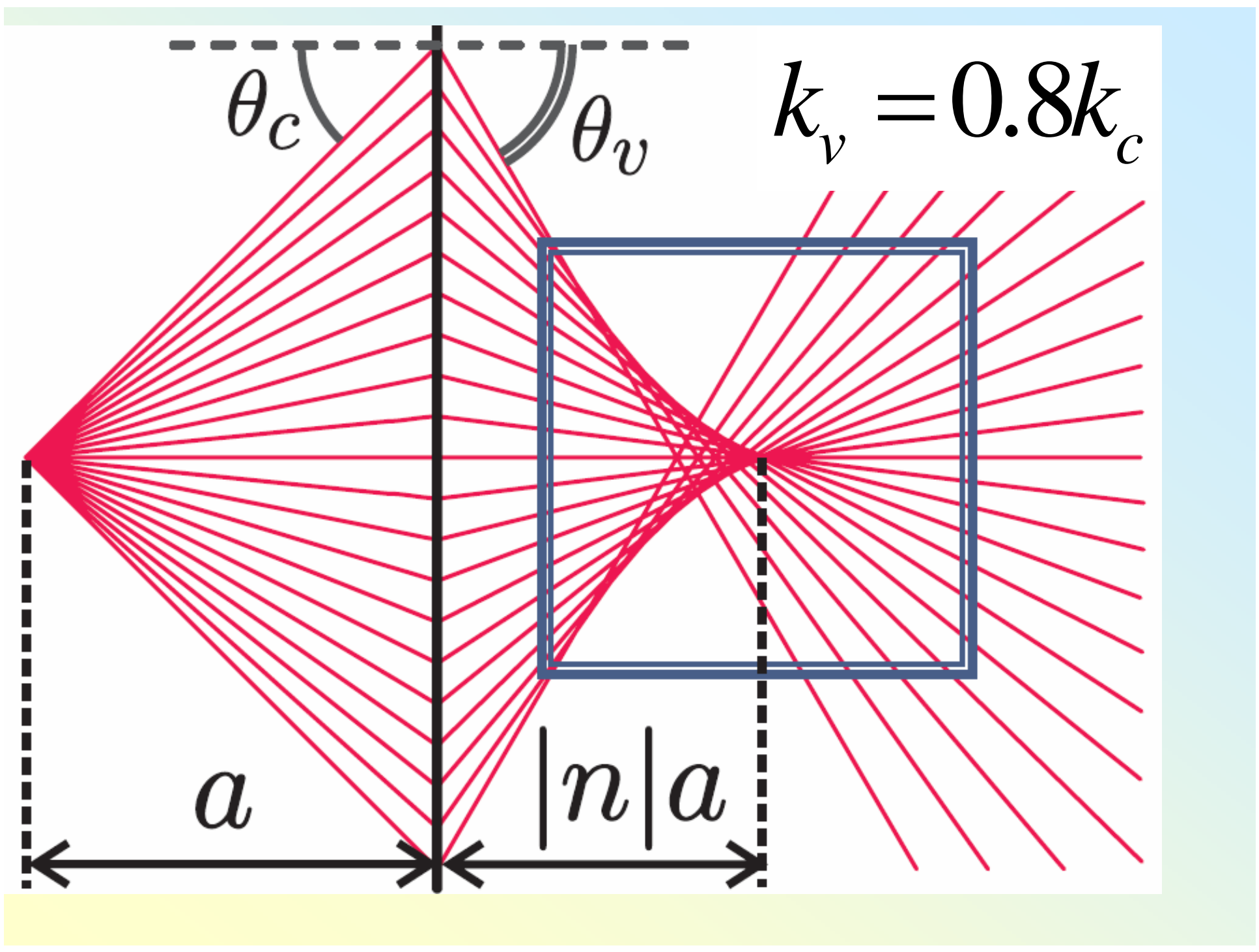
Snell's law

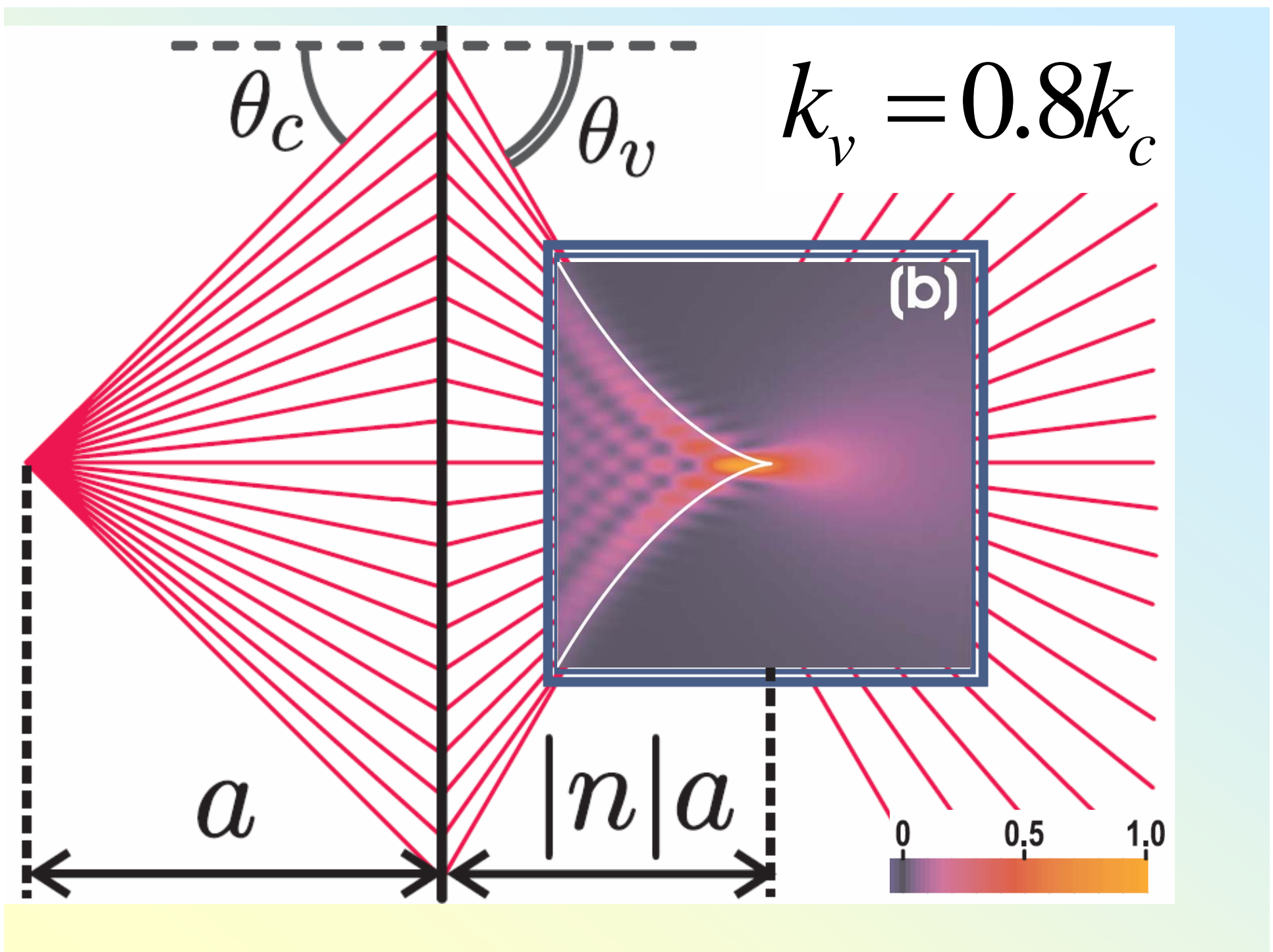
$$\left(\frac{d\phi}{dk_y} = 0 \right)$$

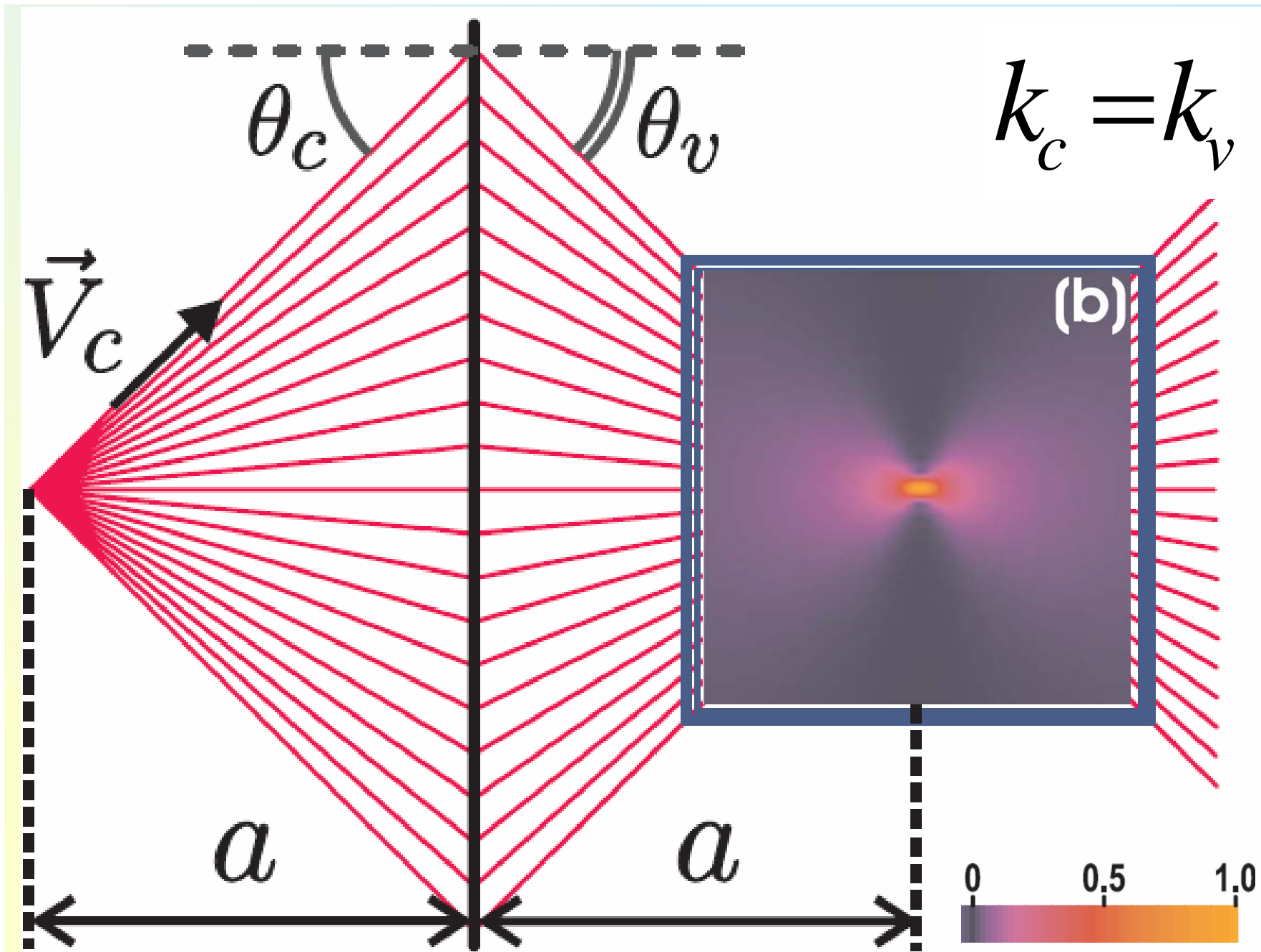
$$\frac{dy}{d\theta_c} = 0 \quad \text{caustic} \quad \left(\frac{d\phi}{dk_y} = \frac{d^2\phi}{dk_y^2} = 0 \right)$$

$$\frac{dy}{d\theta_c} = \frac{d^2y}{d\theta_c^2} = 0 \quad \text{cusp} \quad \left(\frac{d\phi}{dk_y} = \frac{d^2\phi}{dk_y^2} = \frac{d^3\phi}{dk_y^3} = 0 \right)$$

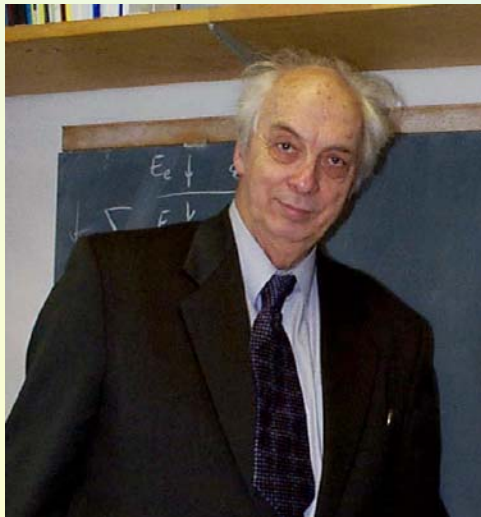
$$y_{\text{caust}}(x) = \pm \sqrt{\frac{[x^{2/3} - x_{\text{cusp}}^{2/3}]^3}{n^2 - 1}}, \quad x_{\text{cusp}} = |n|a$$



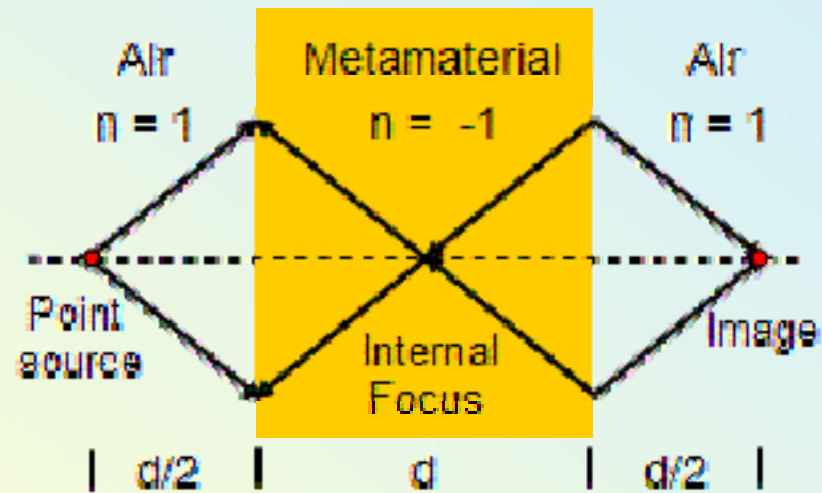




Veselago Lens

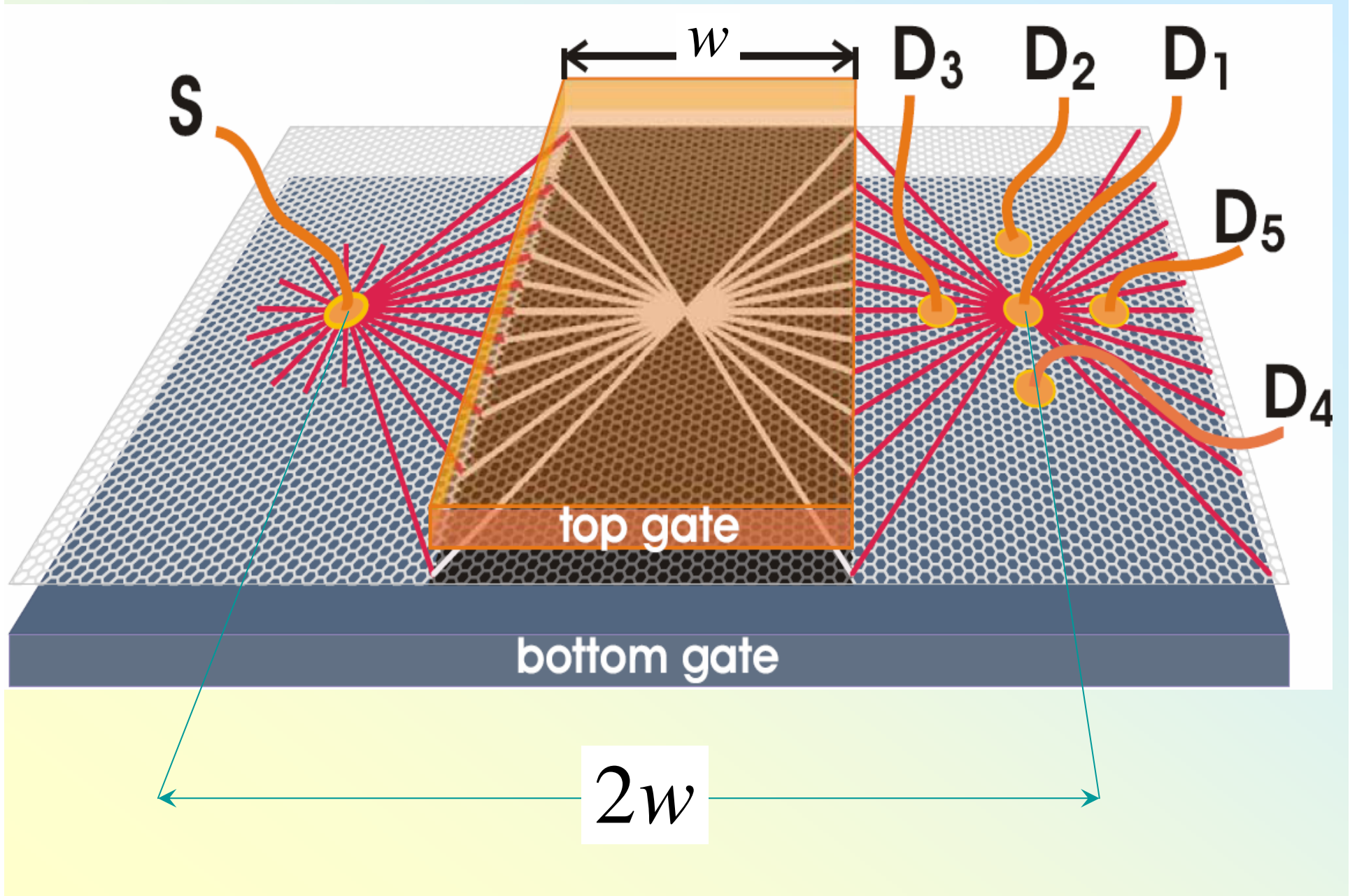


Veselago, *Sov. Phys.-Usp.*, 10, 509 (1968)

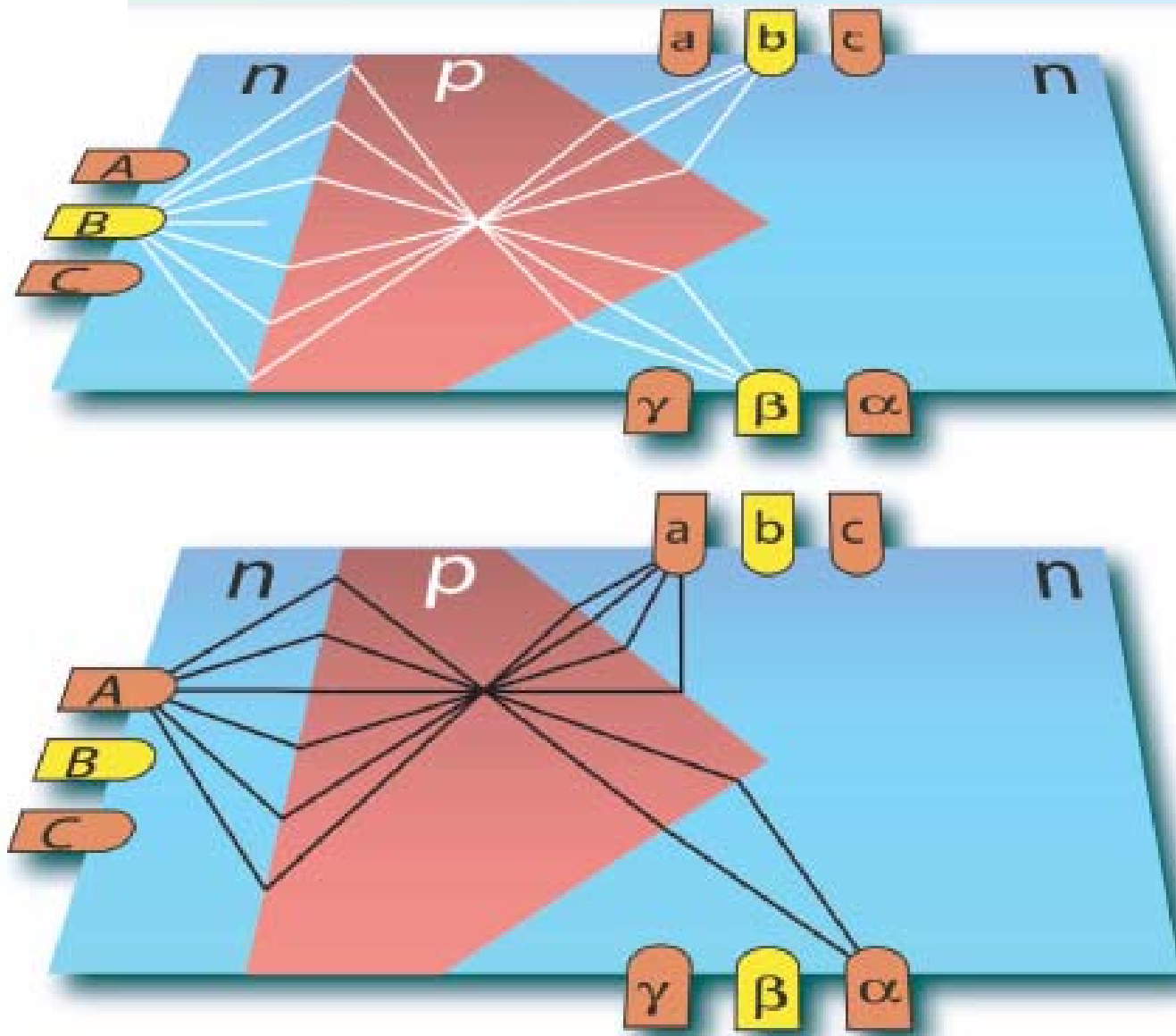


Pendry, *Phys. Rev. Lett.*, 85, 3966 (2000)

Graphene bipolar transistor: Veselago lens for electrons



Focusing beam-splitter for electrons



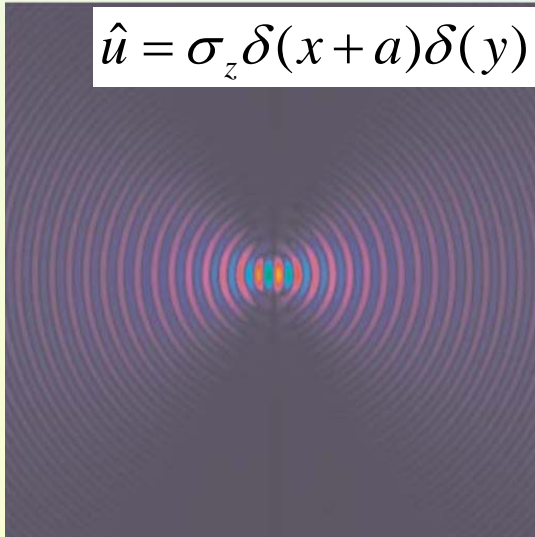
Wishful thinking about graphene microstructures:

Focusing/caustics and Veselago lens
in graphene *PN* junctions.

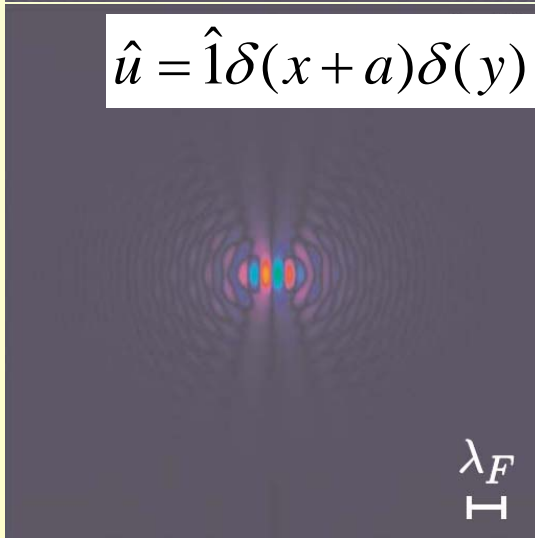
Cheianov, VF, Althsuler (2006)

Mirage of a perturbation u on the other side of a sharp PN junction represented by the local density of states image (STM).

$$\hat{u} = \sigma_z \delta(x+a)\delta(y)$$



$$\hat{u} = \hat{1}\delta(x+a)\delta(y)$$

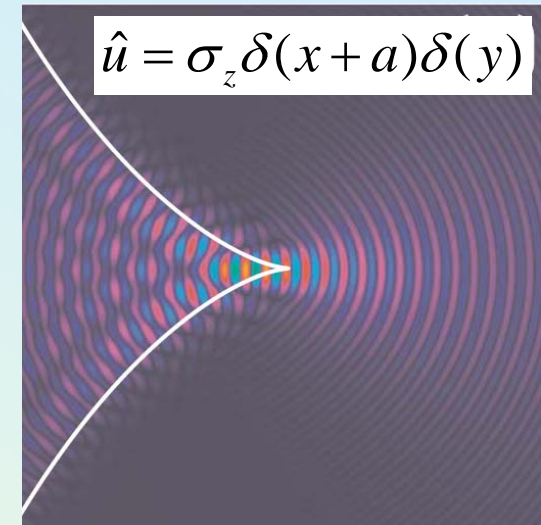


λ_F
H

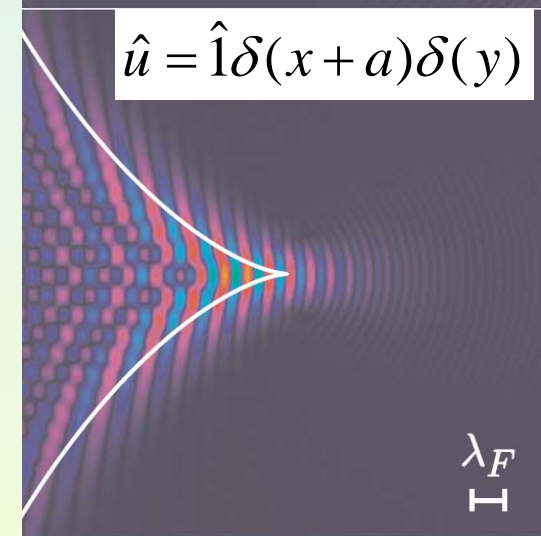
Image of a bilayer island ('dot') embedded in monolayer graphene: images show the modulation of the difference between tunnelling currents to the A and B sites.

Image of a charged tip on the other side of the junction: 'teleported' Friedel oscillations.

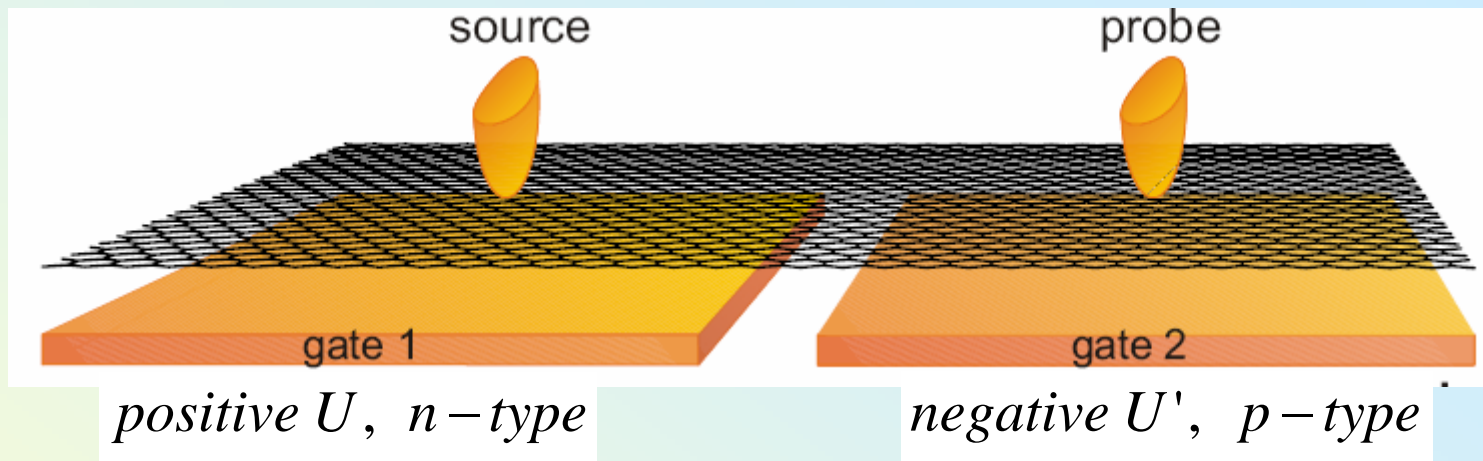
$$\hat{u} = \sigma_z \delta(x+a)\delta(y)$$



$$\hat{u} = \hat{1}\delta(x+a)\delta(y)$$



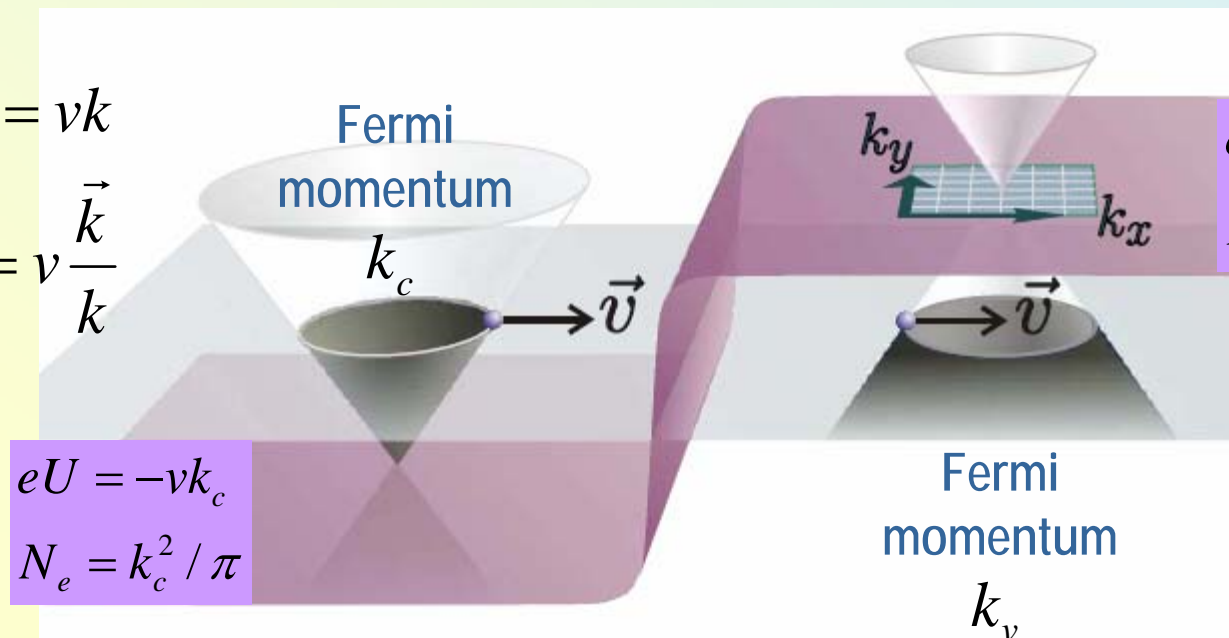
λ_F
H



A smooth 'high density' PN junction graphene, $d \gg \lambda_F$

$$\varepsilon_{cond}(\vec{k}) = vk$$

$$\vec{v} = \frac{\partial \varepsilon}{\partial \vec{k}} = v \frac{\vec{k}}{k}$$



$$eU = -vk_c$$

$$N_e = k_c^2 / \pi$$

$$eU = vk_v$$

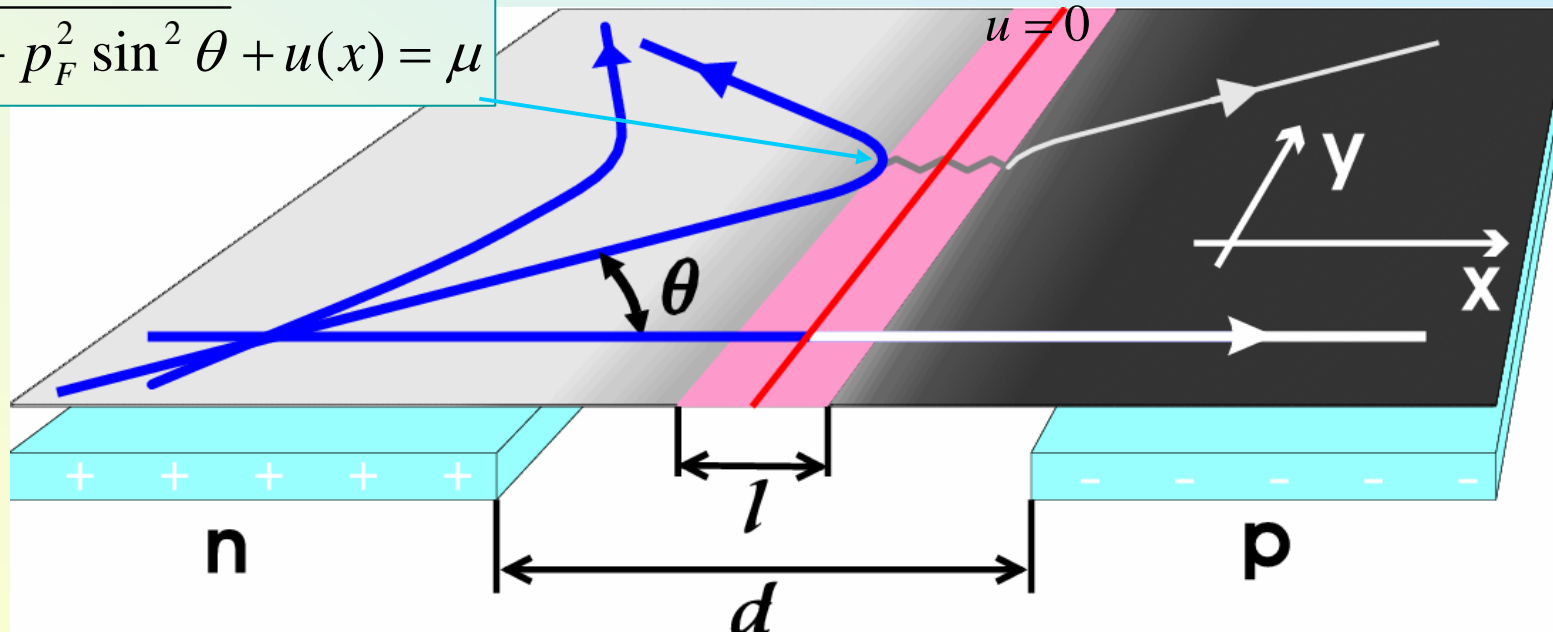
$$N_h = k_v^2 / \pi$$

$$\varepsilon_{val}(\vec{k}) = -vk$$

$$\vec{v} = \frac{\partial \varepsilon}{\partial \vec{k}} = -v \frac{\vec{k}}{k}$$

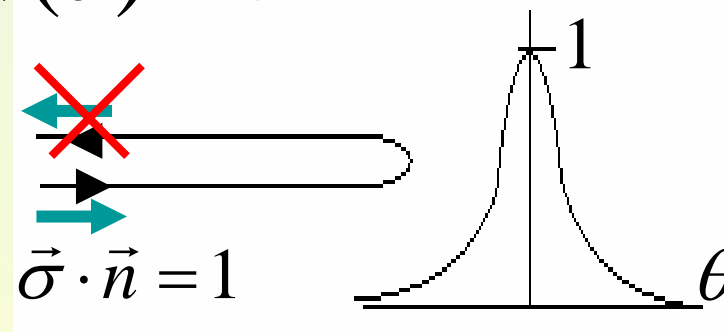
Transmission of chiral electrons through the PN junction in graphene

$$\sqrt{p_x^2 + p_F^2 \sin^2 \theta} + u(x) = \mu$$

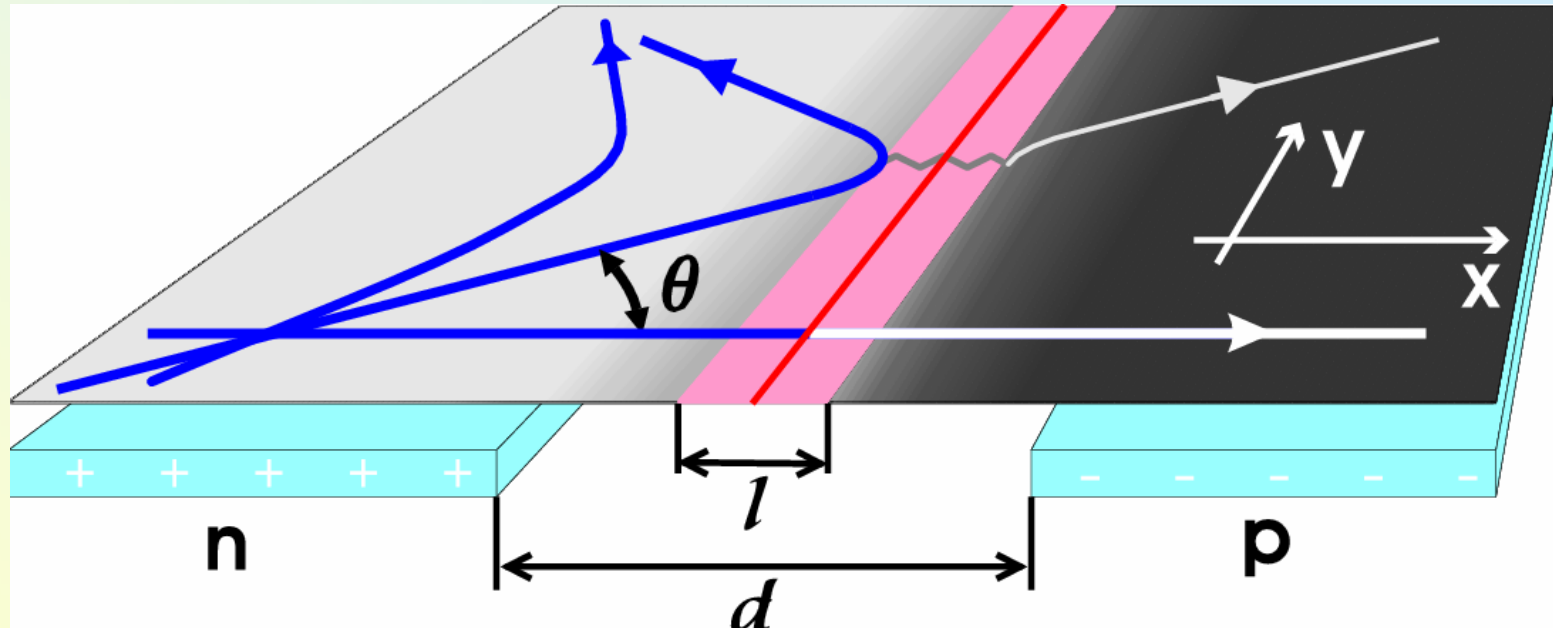


Due to the isospin conservation, electrostatic potential cannot scatter chiral fermions in backward direction.

$$w(\theta) = e^{-\pi k_F d \sin^2 \theta} \cos^2 \theta$$



Transmission of chiral electrons through the PN junction in graphene



Due to selective transmission of electrons with a small incidence angle, an PN junction in graphene should display a finite conductance per unit length (no pinch-off) and a characteristic Fano factor in the shot noise.

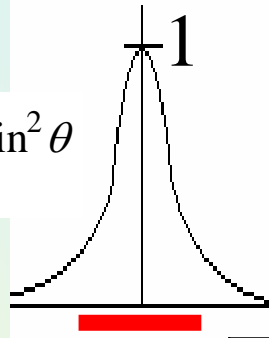
Cheianov, VF - PR B 74, 041403 (2006)

$$g_{np} = \frac{2e^2}{\pi h} \sqrt{\frac{k_F}{d}}$$

$$\langle I \cdot I \rangle = (1 - \sqrt{\frac{1}{2}}) eI$$

Smooth junction, $d \gg \lambda_F$

$$w(\theta) = e^{-\pi k_F d \sin^2 \theta}$$



$$|\theta| < \theta_0 = \sqrt{\pi k_F d} \ll 1$$

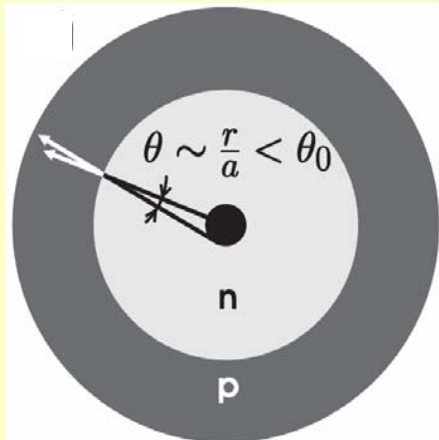
Selective transmission in a smooth junction, $d \gg \lambda_F$

$$\theta' \approx \frac{r}{r_c(B_*)} = \theta_0$$

weak-field magnetoresistance of ballistic $P-N$ junctions

$$R(B) = R_{cont} + \frac{2\pi a}{g_{np}} f\left(\frac{B}{B_*}\right)$$

$$g_{npn} = \frac{g_{np}}{\sqrt{\pi}} \int_{-\infty}^{\infty} \frac{dz}{e^{z^2} + e^{(z+B/B_*)^2} - 1}$$



$R(B) - R(0)$

