van der Waals - London Dispersion Interactions For Metallic and Semiconducting Carbon Nanotubes From ab initio Unixial Optical Properties

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Datamining I: R. F. Rajter, W. Y. Ching, R. H. French Datamining II:R. F. Rajter, R. H. French, R. Podgornik, V. A. Parsegian, and W.Y. Ching End User: R. F. Rajter and R. H. French, International Journal of Materials Research



### **Optical Contrast Visually – Simple Attract/Repulsion**

Basic Attractive/Repulsive vdW-Ld Interactions Mirror Buoyancy Density ~ Dielectric/Optical Property Contrast or Polarizability Darker Colors Represent Higher Density/Polarizability And Vice Versa





## Introduction: Assembling Nanoscale Devices

#### **CNT Processing And Assembly Technologies**

- Separation By Metallic vs. Semiconducting,
- Sorting By Chirality
- Placement Of CNTs
- Alignment Of CNTs

#### Can vdW-Ld Interactions Be Used For CNT Processing?

London Dispersion Interactions: Universal, Long Range

#### ab Initio Optical Properties Of CNTs

Opens The vdW-Ld Door For Previously Unsolvable Systems

#### Solid Cylinder vdW-Ld Formulations

vdW-Ld For Optical & Morphological Anisotropy



### **Working Separation Example: Zheng Anion IEC Experiments**

#### Anion Ion Exchange Chromatography: Stick/Strip Via Screening Electrostatics



#### Initially (2003) Separation Only Between Semiconductor And Metallic Classes

• M. Zheng And Et. Al., Science, 302, 1545 (2003)



#### Years Later (2006), Separate Semiconductors Of Identical Diameter/Band Gap

• M. Zheng And E. D. Semke, J. Am. Chem. Soc., 129, 6084 (2007)

#### Question: Do Known SWCNT Band Gap Trends Also Contribute A Chirality Dependent Vdw-Id Interaction To Assist This Process?



## **Origin of The vdW-London Dispersion Interaction**

London Dispersion Interactions

- Of the Van der Waals Interaction
- Thermodynamic Free Energy



### **Arises From Oscillating Dipoles**

Interatomic Bonds of Electronic Structure

J<sub>cv</sub> => London Disp. Spectra

#### A - Hamaker Constant





R. H. French, **J. Amer. Ceram. Soc., 83,** 9, 2117-46 (2000). R. H. French, K. I. Winey, M. K. Yang, W. Qiu, **Aust. J. Chem., 60**, 251-63, (2007). DuPont Co. Central Research R. H. French © 2008

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### **SWCNTs: All Layers of Abstraction Part A**



#### P. Lambin, C. R. Phys. 4, 1009 2003.



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### **SWCNTs: All Layers of Abstraction Part B**







Ab initio Optical Properties Of Graphene And SWCNTs

Density Functional, OLCAO Calculations

Of Electronic Structure and Optical Properties



### **Optical Properties 1: Introducing ab-initio Method**

#### 240 Needs $\varepsilon$ '' Spectra From 0 To 30+ eV 220 Hamaker Coefficient A121 (zJ) 200 180 Many CNT Studies Use 160 **Tight Binding Approximation** 140 Forces An Unrealistic Symmetry Of 120 Conduction/Valence Bands 100 Distorts Optical Properties 80 Typically 0-6 eV 60 40 20 0 ົດ 5 10 15 20 25 30 35 40 45 50

#### ab initio Electronic Structure Calculation

- Basis Set Up To 3d And 4s
- ALL Single Electron Transitions From 0 To 45+ eV
- Robust: Al2o3 ab initio And Experimental Results Are Comparable To ~ 30 eV
  - W. Y. Ching And et. al., J. Phys. Condensed Matter 16, 2891 (2004)

$$\epsilon_{ij}^{\prime\prime}(\omega) = \frac{4\pi^2 e^2}{\Omega m^2 \omega^2} \sum_{knn'\sigma} \langle kn\sigma | p_i | kn'\sigma \rangle \langle kn'\sigma | p_j | kn\sigma \rangle f_{kn}(1 - f_{kn'}) \delta(e_{kn'} - e_{kn} - \hbar\omega).$$

Cutoff Energy (eV)



### **Graphene: Partial Density Of States**

#### $\pi$ Bond Arise From Out-Of-Plane p<sub>z</sub> Orbitals

 $\pi$ Upper Valence & Lower Conduction Bands

#### Transport Properties Of CNTs From $\pi$ Bonds

• "Band" Metals vs. "Optical" Metals (Drude Metal Peak)

#### Optical Properties From $\pi$ & $\sigma$ Bonds



 $\pi$  Bond: From p<sub>z</sub>

 $\sigma$  Bond: From Sp<sup>2</sup> Hybridization s, p<sub>x</sub>, p<sub>y</sub>



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Density Of States

### **Graphene: Ab initio Optical Properties**



VuGraph 13

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## **60 SWCNTs**

#### **OLCAO Band Structure Calculations**

Density Functional Theory





VuGraph 14

10 10





#### **Radial Directions Have Similar Properties**

#### **Axial Directions Very Different**

- Due To Metallic Axial Property Of [9,3,m]
  - [9,3,m] ε" Max of 933 at 0.04 eV





#### LDS Crossings => Complex Behavior

• e.g. Surficial Films Of Water On Ice

#### Water vdW-Ld Spectrum Max of 78 at 0 eV

[9,3,m] vdW-Ld Spectrum Max of 333 at 0 eV



#### Non-retarded Solution In Near And Far Limits



R. Rajter, R. Podgornik, V. A Parsegian, R. H. French, W. Y. Ching, Physical Review B., 76, 045417 (2007).

### Formulation: Derivation & Comparison to Simple Systems



R. F. Rajter, R. Podgornik, V. A. Parsegian, R. H. French, W. Y. Ching, Phys. Rev. B 76, 045417 (2007).

R. F. Rajter and R. H. French, J. Phys.: Conf. Ser. 94, 012001, (2008).

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### The Devil's in the Delta's

**All Systems Have Identical Summations** 

$$\mathcal{A} = \frac{3kT}{2} * \frac{1}{2\pi} \int_{\phi=0}^{2\pi} \sum_{n=0,1,2..}^{\infty} \Delta_{ij} * \Delta_{kj} \, d\phi \qquad \qquad \Delta_{ij} = \frac{\epsilon_i - \epsilon_j}{\epsilon_i + \epsilon_j} \qquad \Delta_{kj} = \frac{\epsilon_k - \epsilon_j}{\epsilon_k + \epsilon_j}$$

#### Changing ∆'s Changes How The Optical Contrast Is Weighted



#### **Hamaker Coefficient Dependencies**

- Material Properties (Optical Inputs)
- Geometry (Changes Spectral Mismatch / Weighting Functions)

R. F. Rajter, R. Podgornik, V. A. Parsegian, R. H. French, W. Y. Ching, **Phys. Rev. B** 76, 045417 (2007). DuPont Co. Central Research R. H. French © 2008 October 16, 2008

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### Separation (S2SS) And Angle Dependence

Equally Important: Surface-To-Surface Separation Scaling

 $g(\ell, \theta, a) = -\frac{\sqrt{2a} \left(\mathcal{A}^{(0)} + \mathcal{A}^{(2)} \cos^2 \theta\right)}{24 \ \ell^{3/2}}. \qquad g(\ell, \theta) = -\frac{(\pi a^2) \left(\mathcal{A}^{(0)} + \mathcal{A}^{(2)} \cos^2 \theta\right)}{6\pi \ \ell^3}$ Rod-Substrate Near-Limit Rod-Substrate Far-Limit

**Optical Anisotropy Determines Angle-dependent Interactions Of Rod-Substrate** 

 $G(\ell, \theta; a) = -\frac{a}{6 \ \ell \ \sin \theta} \left( \mathcal{A}^{(0)} + \mathcal{A}^{(2)} \cos^2 \theta \right) \quad G(\ell, \theta) = -\frac{(\pi a^2)^2 \left( \mathcal{A}^{(0)} + \mathcal{A}^{(2)} \cos^2 \theta \right)}{2\pi \ \ell^4 \ \sin \theta}.$ Rod-Rod Near-Limit  $e^{\epsilon_{\parallel}} e^{\epsilon_{\perp}} e^{\epsilon_{\parallel}} e^{\epsilon_{\perp}} e^{\epsilon_{\parallel}} e^{\epsilon_{$ 

#### **Optical Anisotropy & Shape Affect Angle-dependent Interactions For Rod-Rod**

R. F. Rajter, R. Podgornik, V. A. Parsegian, R. H. French, W. Y. Ching, Phys. Rev. B 76, 045417 (2007).

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### Hamaker Coefficients Versus distance



Near Limit Is < 2 Diameters, And Far Limit Is > 2 Diameters Semiconducting CNT's Hamaker Coefficient Larger Than Metallic Far Limit Hamaker Coeff.s <u>Larger</u> Than Near Limit

• Due To  $\Delta$  parallel

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### **Alignment Forces of Interacting Rods**

vdW-Ld Torques Arise When There Is A Preferred Interaction Direction



Again, Any Rod-substrate Alignment Is Purely Due To Optical Properties Rod-rod Alignment Can Have Optical And Geometrical Effects



## vdW-Ld Interactions Of SWCNTs

vdW-Ld Interactions In The Elution Experiment Mixing: Hollow Cylinders And Large Diameter CNTs Multi-wall Carbon Nanotubes Datamining 60 CNTs: Trends And Classification



### We Can Now Answer Elution Question: Optical Properties & vdW-Ld Vary With Chirality

#### **Separation Is Repeatable And Distinct**

#### **Even Between SWCNTs Of Identical Band Gap And Radius**

• e.g. [6,5,s] Versus [9,1,s])

### Analysis Of The Optical Properties Shows There Is A Difference

#### **The Overall Theme**

Chirality => Geometry => Band Structure => Optical Properties => vdW-Ld Interactions



### **Elution Experiment Example Summary**



#### There Is A 5% Difference In The Hamaker Coefficients For [6,5,s] And [9,1,s]

• This Correlates With The Experimentally Observed Trend

#### **Even Though These Tubes Are Almost Identical**

• Their Dispersion Interaction Distinguishes Them

#### **Demonstrates The Utility Of Full Spectra Optical Properties Of Materials**

#### Now Consider Other Materials To Enhance Or Mitigate Elution

• By Calculating the Hamaker Coefficients Of A (Substrate | Medium | SWCNT)

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## Mixing: Hollow Cylinders And Large Diameter CNTs

Multi-wall Carbon Nanotubes



### **Real SWCNTs Versus Solid Cylinders**

#### **Real SWCNTs Are Hollow And Have Surfactants**

• Large Diameter CNTs Could Be Filled



#### **Current "Solid Cylinder" Formulations Have No Means For Such An Input**

• No Add-a-layer Like Solution Exists (e.g. Plane-plane Geometry)

#### However, Can Create Effective "Bulk Averaged" Spectra By Optical Mixing

- Typically Done For Optical Properties Of Composites (e.g. Metal In Glass)
- Using Bruggeman Effective Medium Approximation



### **Blending the Components Into One Material**

#### Multi-component Materials Can Be Blended Into Bulk Average

Use Effective Medium Approximation

#### One Key Detail Remains...

- What To Use In Near Limit, At Contact?
- What To Use At Far Limit?

#### A Typical Rule Of Thumb Is Thus:

- If S2ss > 2 \* Feature Size, Mixing Can Be Used
- If Close To Contact, No Mixing Because Outer Material Interactions Dominate
- In Far Limit Use Mixing Result For Optical Properties







#### All Models Converge For Very Small Optical Contrast

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### Multiwalled CNTs By "Mixing" The SWCNTs

Example: Making MWCNTs out of SWCNT components.

Consider A [16,0,s + 7,0,s] ZigZag MWCNT [16,0,s] with [7,0,s] inside

Compare Direct LDA Calculation Of MWCNT

With Mixing Of [16,0,s] & [7,0,s] Optical Properties





## Multiwalled CNTs By "Mixing" The SWCNTs

**Example: Making MWCNTs out of SWCNT components.** 



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## Datamining 60 CNTs: Trends And Classification



## Datamining: ab initio Optical Properties Of 60 CNTs

#### We Have ab initio Optical Properties Of 60 SWCNTs

• And Numerous MWCNTs

#### **Consider The 5 CNT Types**

- "Metals": Armchair, Zigzag, Chiral
- Semiconductors: Zigzag, Chiral

#### How To Understand Trends In vdW-Ld Interactions?

#### **Explore Trends With CNT Radius & Chirality**

- Dielectrophoresis Experiments Have Already Shown A Correlation
  - Of Interaction Energy With SWCNT Diameter<sup>1</sup>

#### What Is A "Metal"?

#### From Band Structure Or Transport Perspective

• Want Electron Transport At 0 eV

#### From Optical Property, Drude Metal Perspective

- Want Large Drude Metal Peak, Near 0 eV, In Axial Direction
- 1. H. Peng, N. T. Alvarez, C. Kittrell, R. H. Hauge, H K. Schmidt, J. Am. Chem. Soc. 2006, 128, 8396-8397



### From [n,m] to Band Structure

SWCNTs: Small Difference In Chirality, Big Changes In Observable Properties



Small [n,m] Change Determines XYZ, Cutting Lines, Band Structure, ε'', vdW-Lds, Hamaker Coefficients, And Total Energies.

P. Lambin, **C. R. Phys**. 4, 1009 2003.

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### A New Classification

#### Many SWCNT Classifications Schemes Exist But...

- Tend To Focus On Bandgap Region 0-5 eV
- Primarily Concerned With Electronic Conduction

#### vdW-Ld Interactions Equally Dominated By 5-30+ eV Range

#### 0-5 eV Range Is Highly Correlated To Electronic Conduction

• (i. e. Metal, Semiconductor, Or "Small-gap" Semiconductor)

#### 5-30 eV Range Is Highly Correlated To Structure

• (i. e. Zigzag Vs. Armchair Vs. Chiral)



VuGraph 36

Merge The Two: The vdW-Lds Classification =

Ec Conduction (Metal Vs. Semiconductor) +

Structure (Armchair, Zigzag, Or Chiral).



### ZigZag vs. Armchair SWCNTs

Notable Trends Both Within And Between Classes From 0-5 eV

#### Notable Trends Between Classes From 5-30 eV







### "Drude" Metal Peak Height vs. CNT Radius



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# **Classification Of CNT Types: Optical Perspective**

**Comparing the different "Metals"** 



Electronic Conduction And Optical Perspectives: Confusing Terminology & Classifications

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VuGraph 40

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### Armchair "Metal" CNTs: Semi-metals

#### **Animations: Large Diameter To Small**

• Have [3,3,m] to [24,24,m]

#### Large Diameter

• Simple Trending Behavior

#### More Bond Strain/Re-hybridization

At Small CNT Diameters

#### Axial Direction: No Drude Peak

• Semi-metal, Not Good Metal



### **Armchair "Metals" Trend Stability**

#### SWCNTs Below A 0.6-0.8 Nm Radius Break From Trends

Exhibit New Features





#### **Greater Variation = Greater Ability For Chirality Specific Interaction**

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### SWCNTs 2: Armchair Example $\varepsilon$ '' to vdW-LD Spectra

Manipulations Or Variations In  $\varepsilon$ '' Have Systematic Effects On vdW-Ld Spectra SWCNTs Have Known Band Gap Variations With Classification And Radius



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### Armchair Example of System Design (Buoyancy)

System: Bare SWCNT + Polystyrene Substrate + High Index Medium



A Critical Radius Of Attractive/Repulsive Divide Changing The Index Can Change The Critical Radius Location Multi Stage Experiments CouLd Create Mono-disperse Populations More Parameters To Explore (Surfactants, Mwcnts, Etc.)



## Conclusions

#### van der Waals–London Dispersion Interactions Of Carbon Nanotubes Requires

- ab initio Optical Properties From Band Structures
- Non-Plane Parallel vdW-Ld Development

#### **Solid Cylinder Lifshitz Formulation**

Forces and Torques

### **CNT Optical Properties Differ**

- Axial versus Radial
- $\pi \Rightarrow \pi *, \sigma \Rightarrow \sigma *$  Transitions

#### Strong Dispersion Torques & Forces

- Optical Anisotropy  $\gamma$  And Optical Contrast  $\Delta$ 

#### vdW-Ld Of CNTs Vary With

- Type: Armchair, Zigzag, Chiral
- Character: Drude Metal, Semi-metal, Small Gap Semiconductor, Semiconductor

### Each CNT Chirality ⇒ Unique Properties And Interactions

#### Anisotropic vdW-Ld Interactions Provide A Method

- For CNT Separation By Type And Chirality
- For Mutual Alignment Of CNTs



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### Conclusions Visually

#### Status of a SWCNT vdW-Ld Calculation After We Introduced Spectra Mixing

