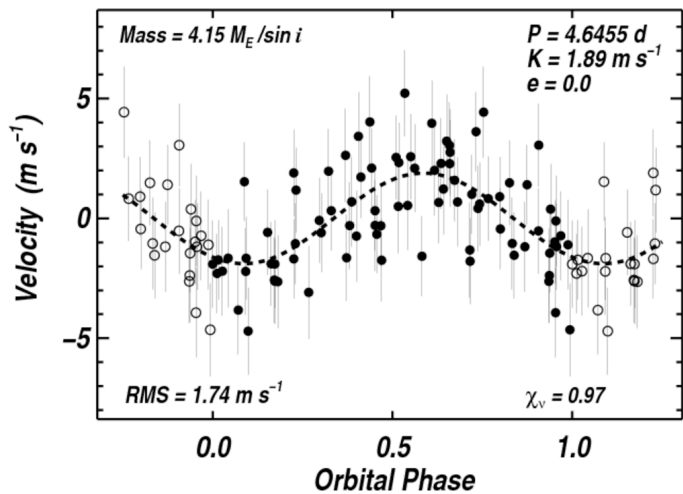


# ExoPlanet Physics from the Keck Observatory

Geoff Marcy



## Collaborators

**Andrew Howard**

**Jason Wright**

**Debra Fischer**

**John Johnson**

Howard Isaacson

Greg Henry

Julien Spronck

Jeff Valenti

Jay Anderson

Nikolai Piskunov

Katie Peek

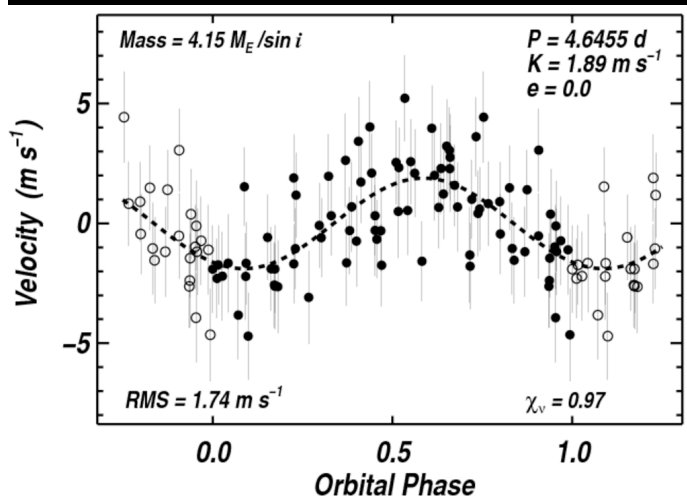
Doug Lin

Shigeru Ida

... many more!



The NASA-UC  
Eta-Earth Survey for  
Low-mass Planets From Keck Observatory  
- Andrew Howard & Geoff Marcy -



Collaborators

Jason Wright  
Debra Fischer  
John Johnson  
Howard Isaacson  
Greg Henry  
Julien Spronck

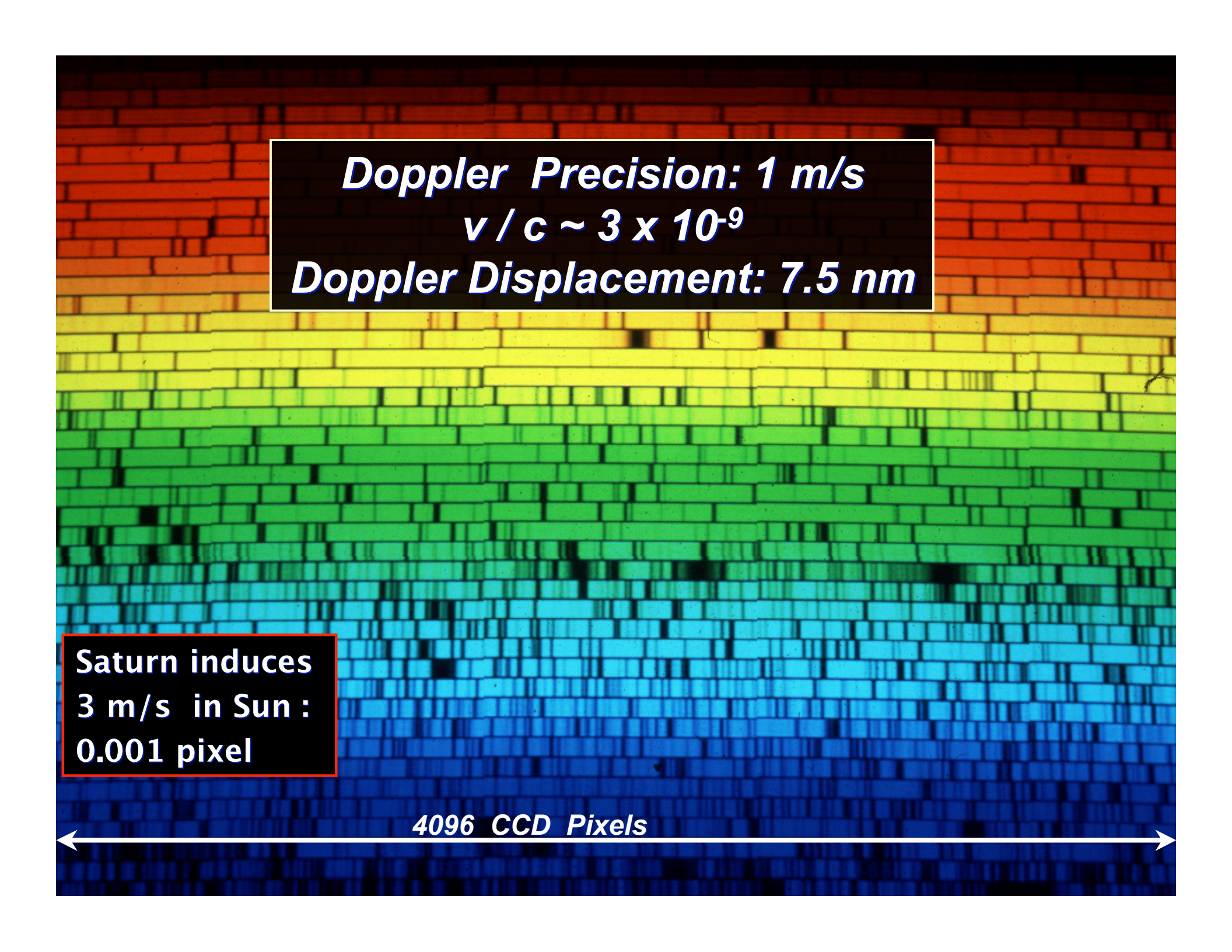
Doug Lin  
Shigeru Ida



# Keck Observatory







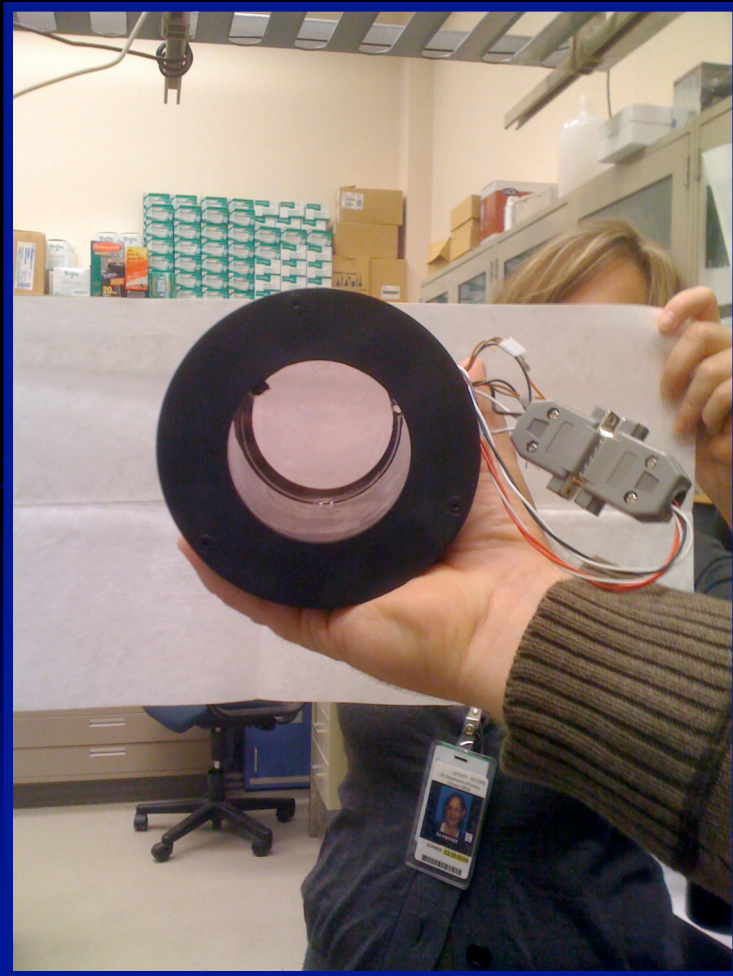
**Doppler Precision: 1 m/s**  
 **$v / c \sim 3 \times 10^{-9}$**   
**Doppler Displacement: 7.5 nm**

**Saturn induces  
3 m/s in Sun :  
0.001 pixel**

**4096 CCD Pixels**

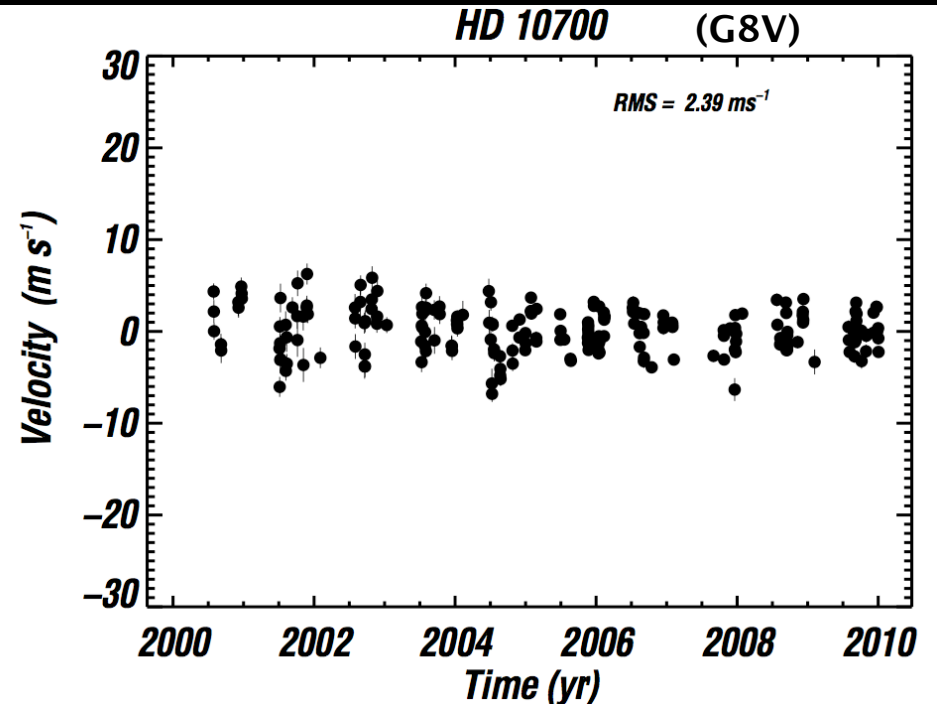
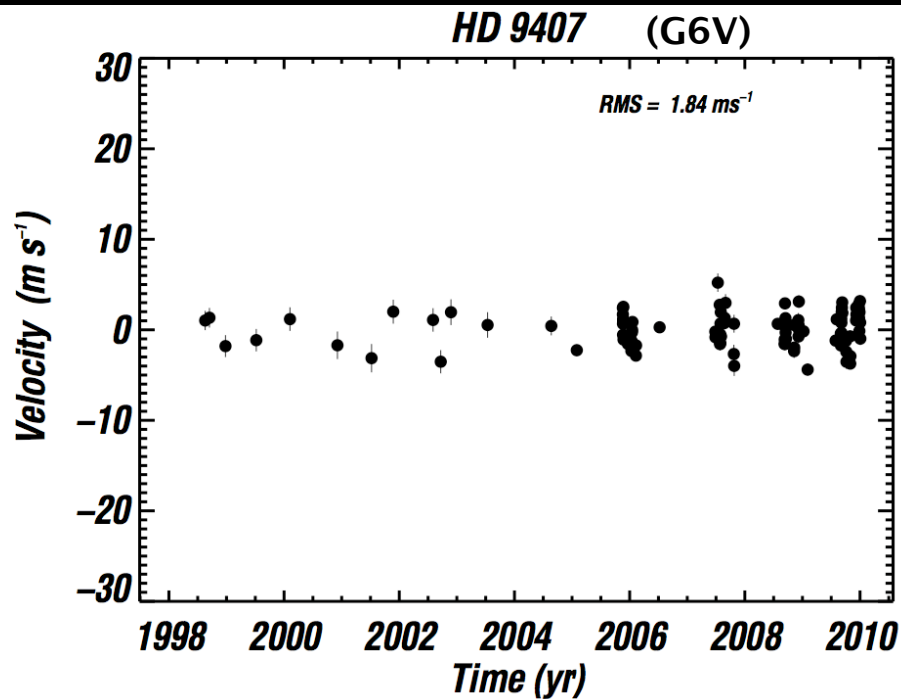


# Iodine Cell Invention: Indellible wavelengths and Instrumental Profile



# RV Standard Stars

Keck-HIRES & Iodine

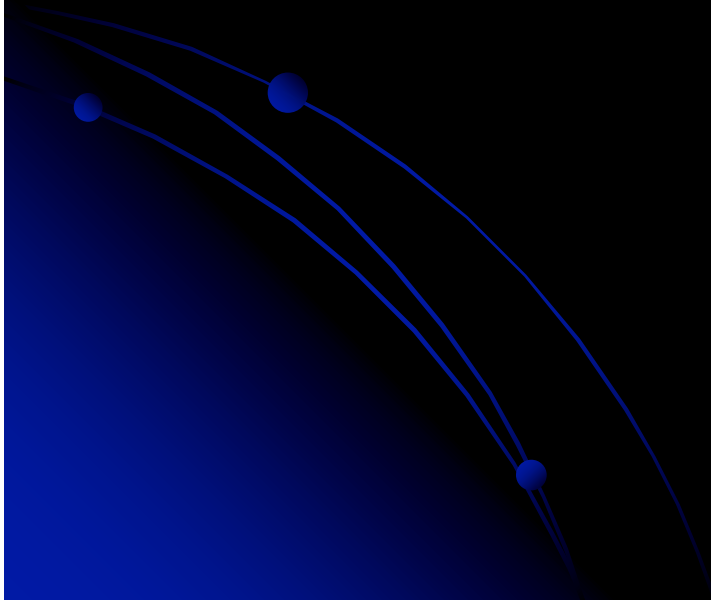


10–12 years  
Typical RMS: 2.0 m/s  
No long-timescale noise.

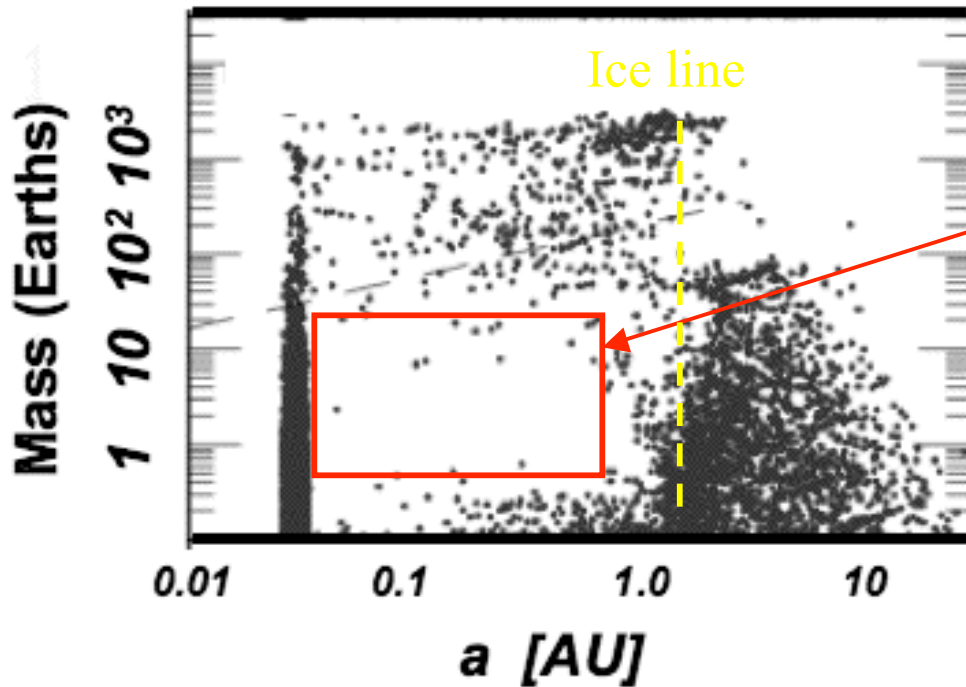


# Planet Formation Theory

- Start with rocky planetesimals and gas
- They grow, migrate inward, and accrete gas
- Artistry: Migration softening (Type 1 and 2) and condensation points



Predicted  
Mass vs. Orbital Distance  
(Ida & Lin 2008)



Planet Desert:  
 $a = 0.05 - 1.0$  AU  
 $M = 1 - 30 M_{\text{Earth}}$

Desert: Type 1 Migration Off

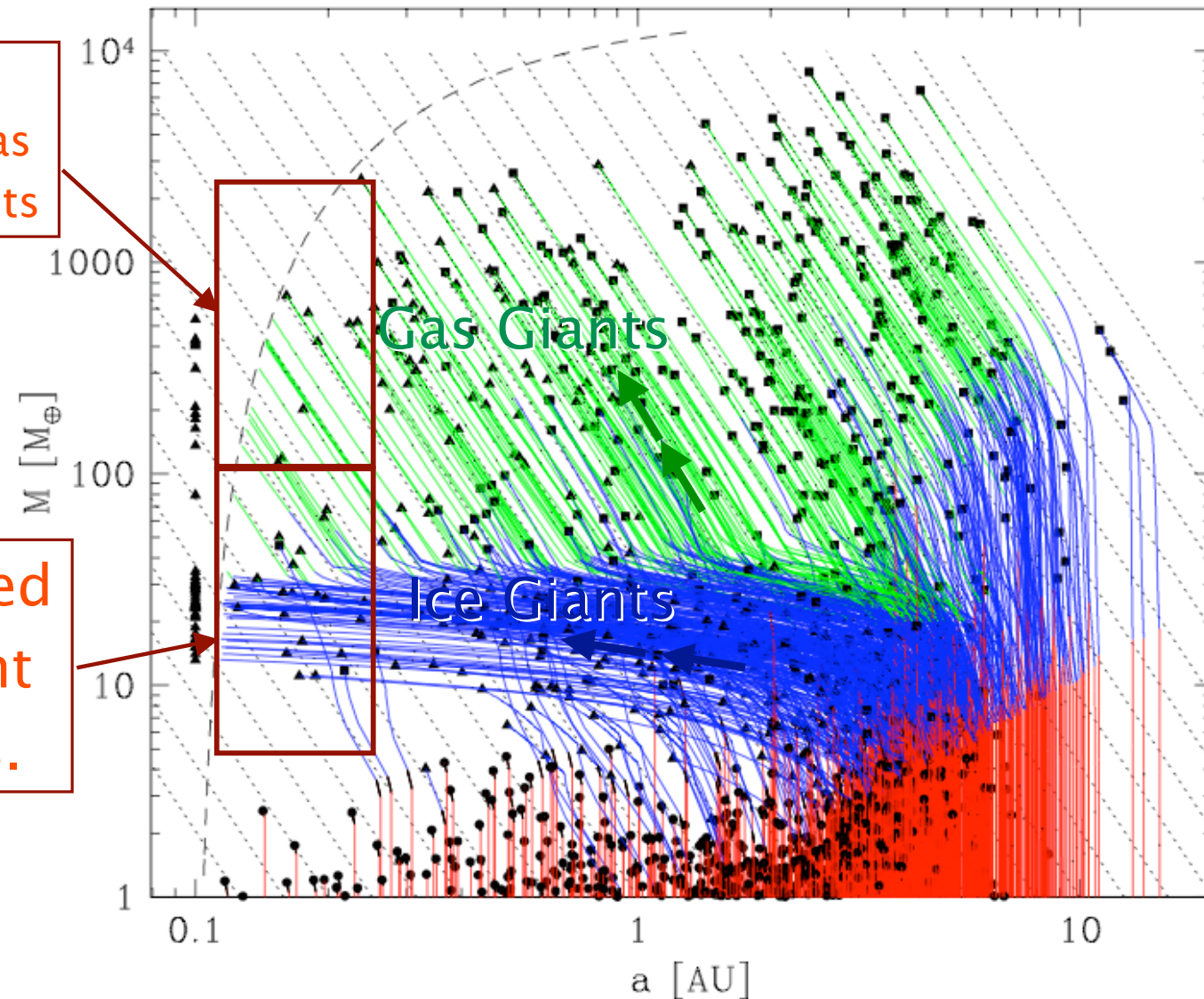
Type 2 Migration Tuned down

Gas Giants grow migrate; Neptunes stall

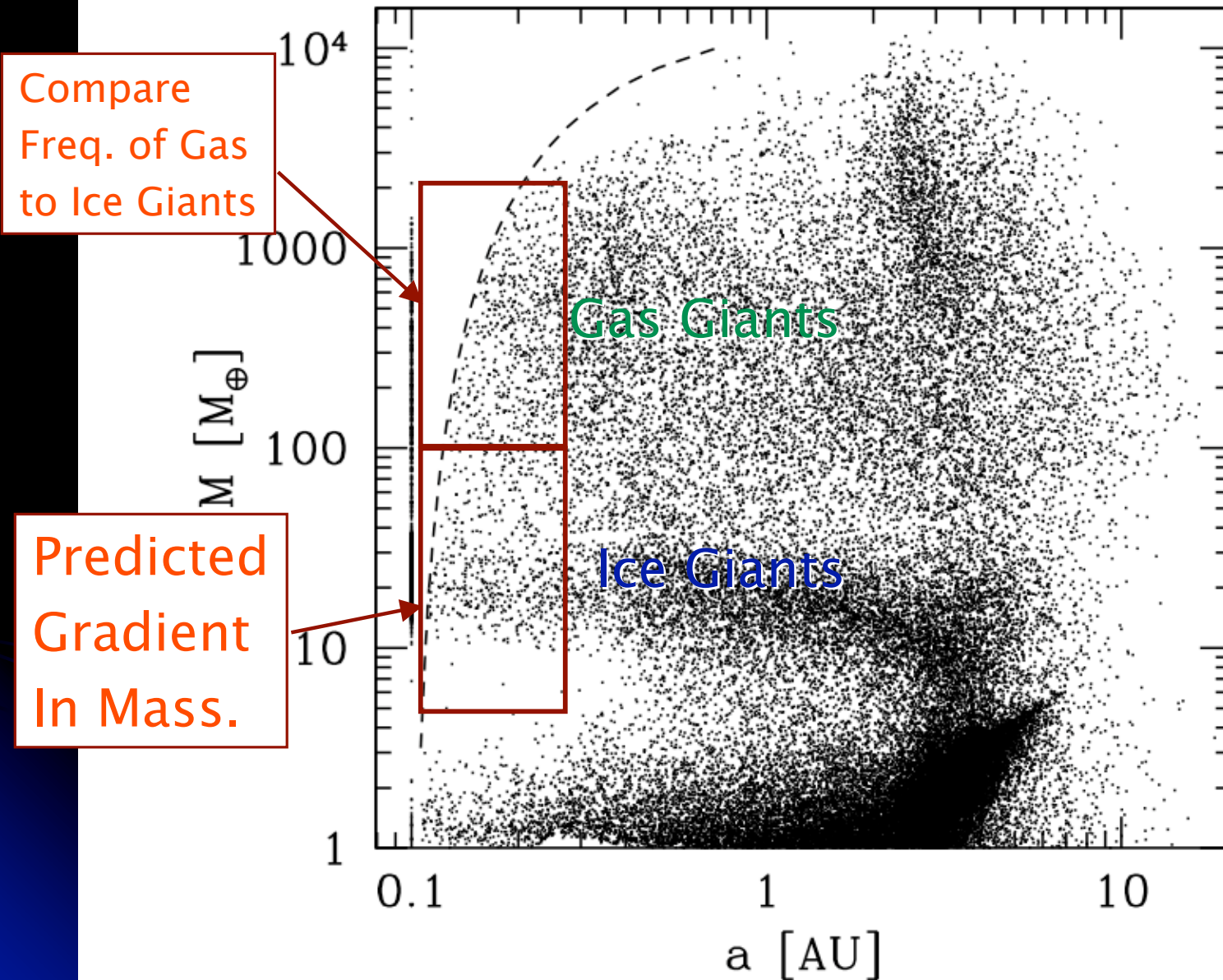


Compare  
Freq. of Gas  
to Ice Giants

Predicted  
Gradient  
In Mass.



**Fig. 8.** Planetary formation tracks in the mass-distance plane. The large black symbols show the final position of a planet. The shape of the symbols is explained in the text. Planets reaching the feeding limit at  $a_{\text{touch}}$  (indicated by the long dashed line) have arbitrarily been set to 0.1 AU. The short dashed lines have a slope of  $-\pi$  (discussion in §5.1.3). Each track is color-coded according to the migration mode, and small black dots are plotted on the tracks all 0.2 Myr to indicate the temporal evolution of a planet.



**Fig. 13.** Final mass  $M$  versus final distance  $a$  of  $N_{\text{synt}} \approx 50\,000$  synthetic planets of the nominal planetary population. The feeding limit at  $a_{\text{touch}}$  is plotted as dashed line. Planets migrating into the feeding limit have been put to 0.1 AU. As  $a_{\text{touch}}$  gets very large for  $M \gtrsim 20M_{\oplus}$ , also a few extremely massive planets are in the feeding limit which should however be regarded as a simulation artifact because our simplification of putting planets that reach the feeding limit to 0.1 AU ceases to be justified.



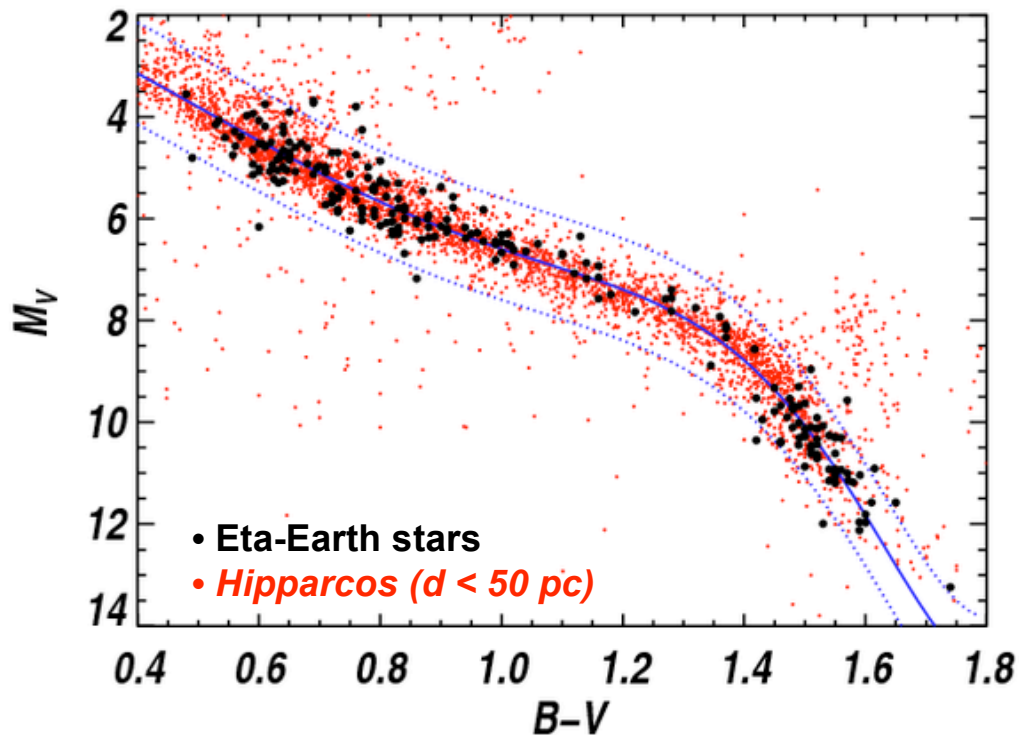
# Occurrence of Planets Within 0.3 AU?

## Accessible Domain of Planet-Formation Theory from 10–1000 $M_{\text{Earth}}$

- Theory predicts few 1–20  $M_{\text{earth}}$  planets in short-period orbits
- Geneva group reports 50% of GK stars have rocky or Neptune planets inward of 50-day orbits

# NASA-UC Eta-Earth Program

- Doppler Search for planets:  $M \sin i = 3-30 M_{\text{Earth}}$
- Stars: 238 GKM dwarfs:
  - 39% G stars
  - 33% K stars
  - 28% M stars



## Selection Criteria:

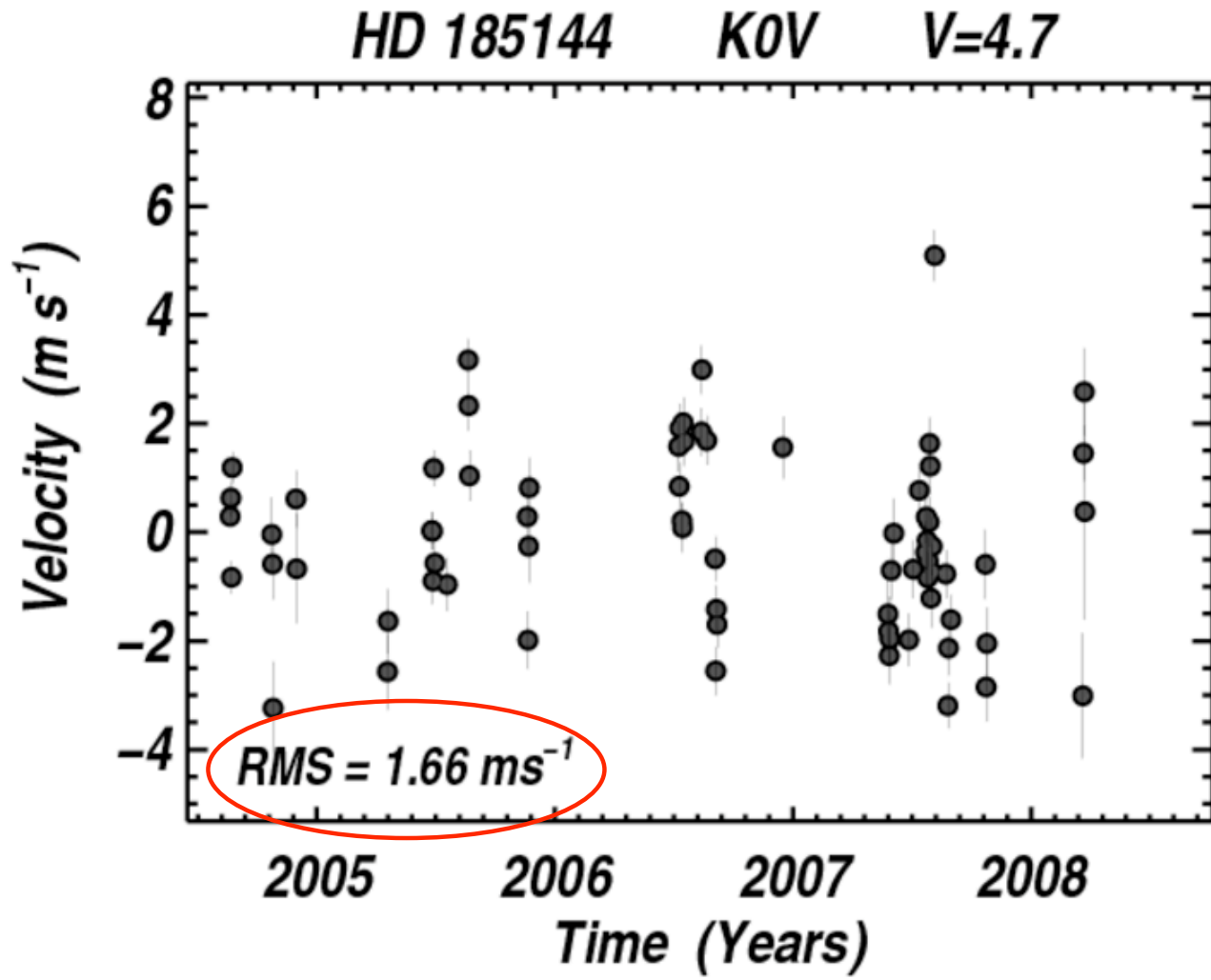
- Distance < 25 pc
- $V < 11$  mag
- $\log R'_{\text{HK}} < -4.7$  (inactive)

## Solar Neighborhood Population

Howard & Marcy 2010

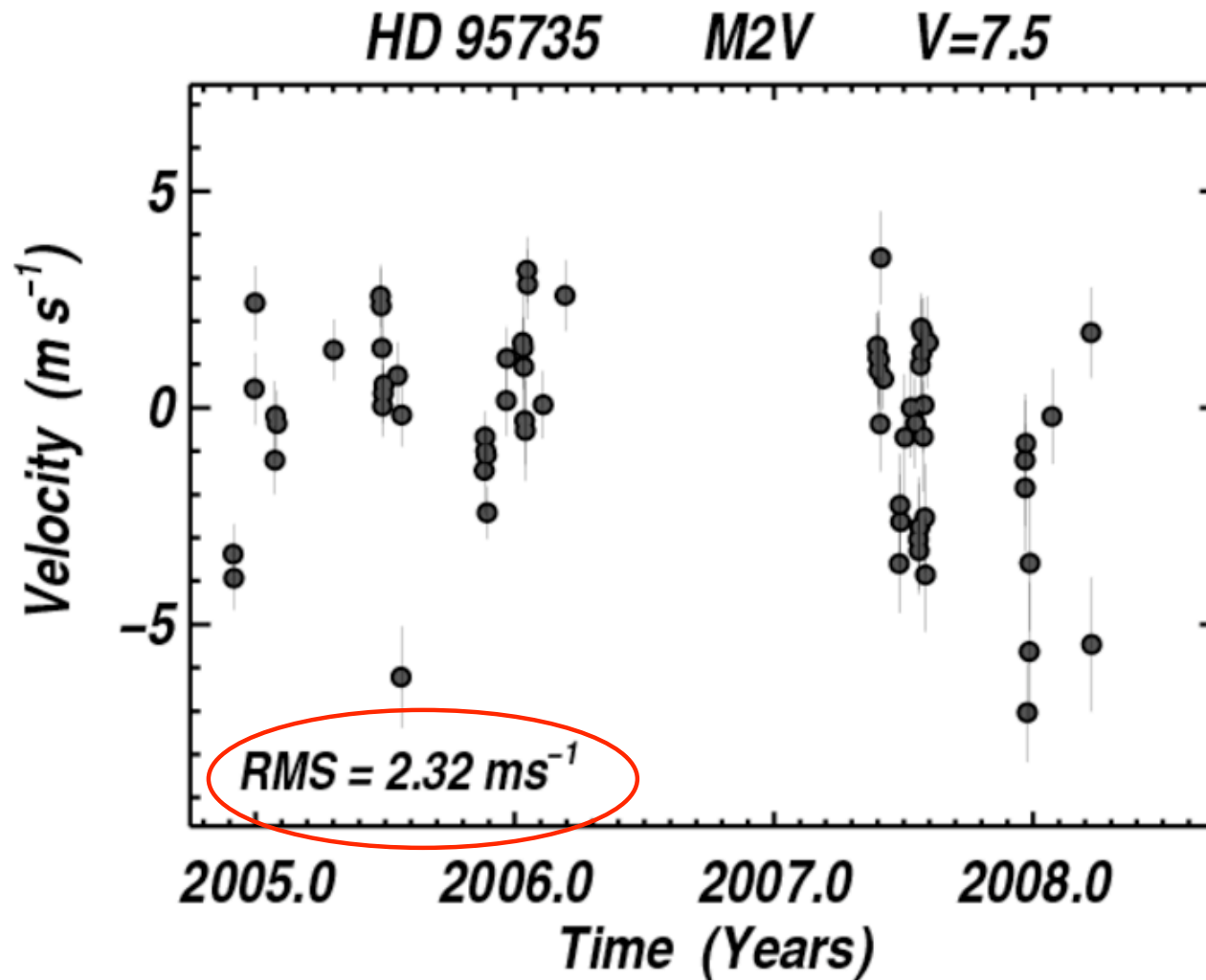


# Eta-Earth Doppler Results





# Eta-Earth Doppler Results

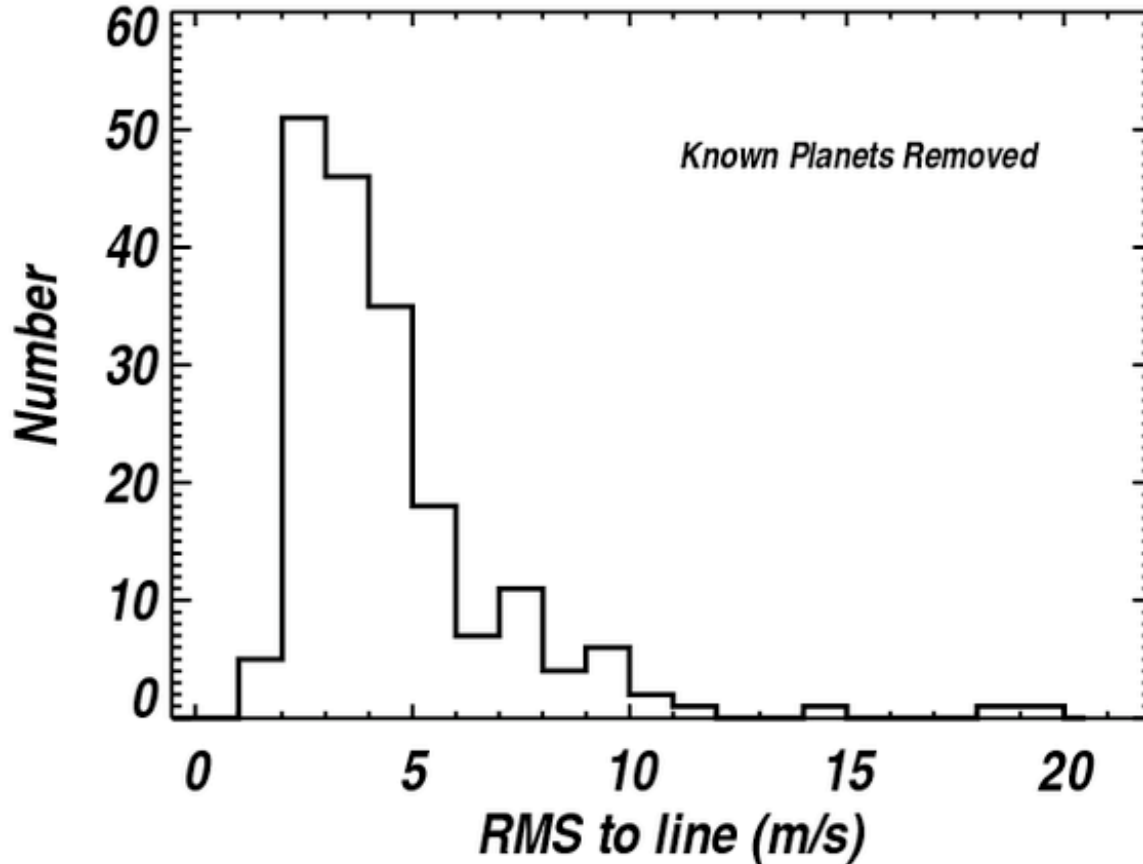




*Eta-Earth*

# Doppler Precision

Velocity RMS of Eta-Earth stars

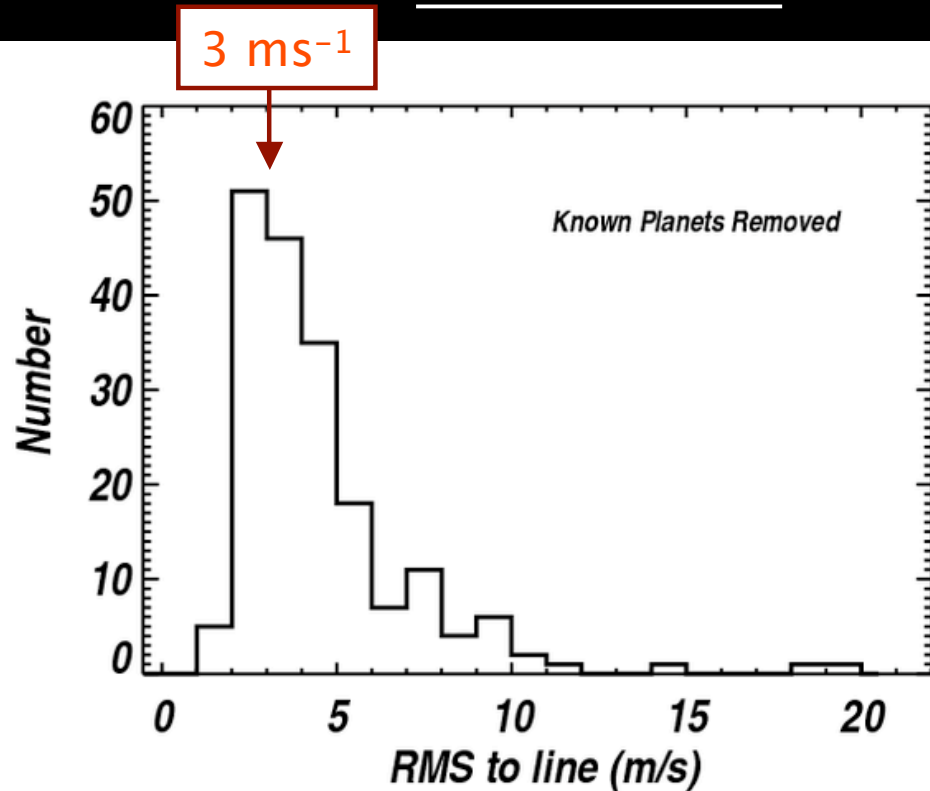


Limited by:

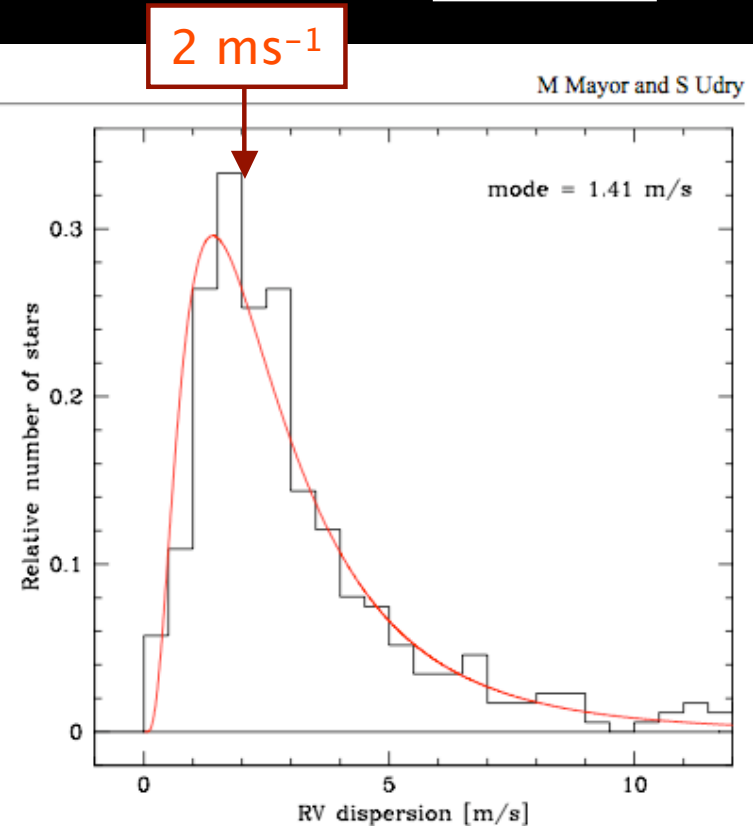
- Stellar jitter
- Photon noise
- Spectrometer PSF

# Doppler Noise

## Keck/HIRES



## HARPS



*Eta-Earth* GKM stars:  
Chromospherically quiet  
20-100 observations each

**Figure 2.** Histogram of radial-velocity rms for the stars in the high-precision HARPS subprogramme aiming at detecting very low-mass planets. Part of the 'large' rms observed in the tail of the distribution results from stellar activity or from still undetected planetary systems.

Mayor and Udry, 2008,  
Phys. Scr. T130, 014010

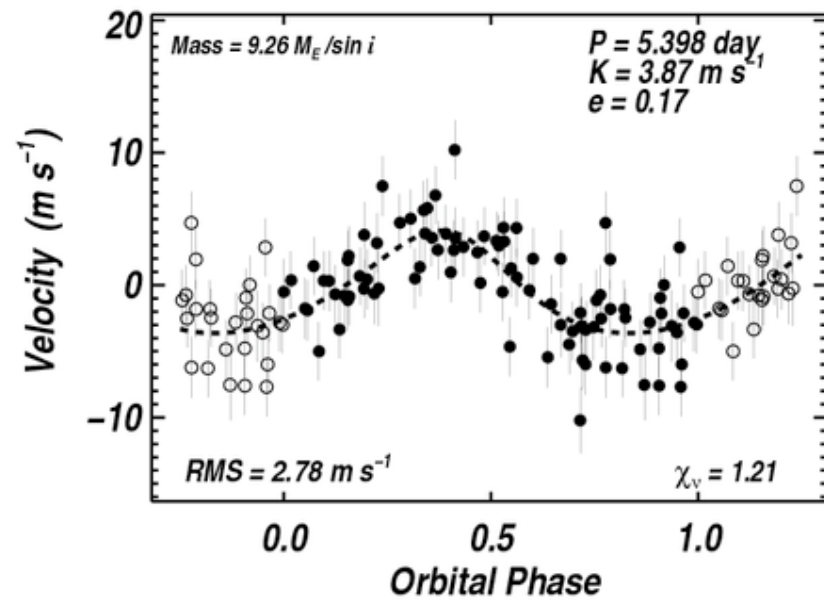
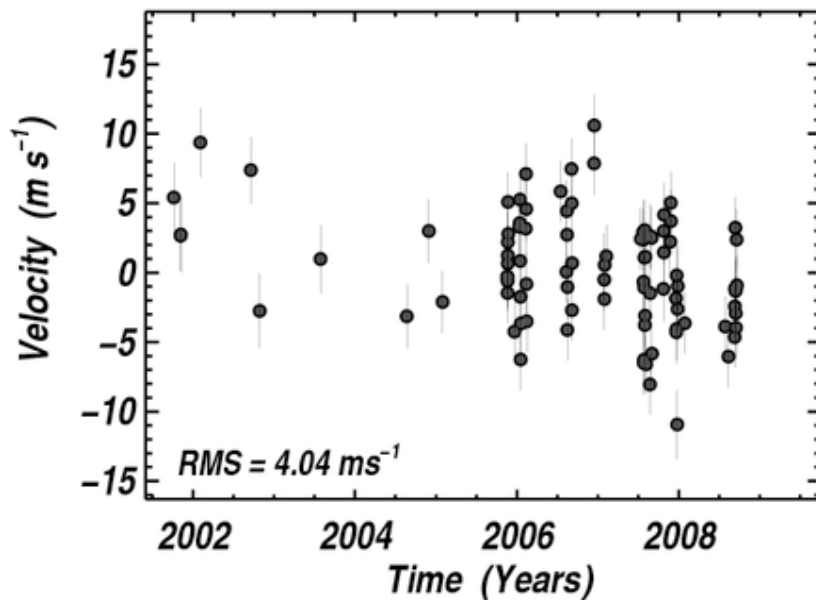
# HD 7924b - Super-Earth Detection

Star: HD 7924 (K0V)

Planet:  $M \sin i = 9.3 M_{\text{Earth}}$

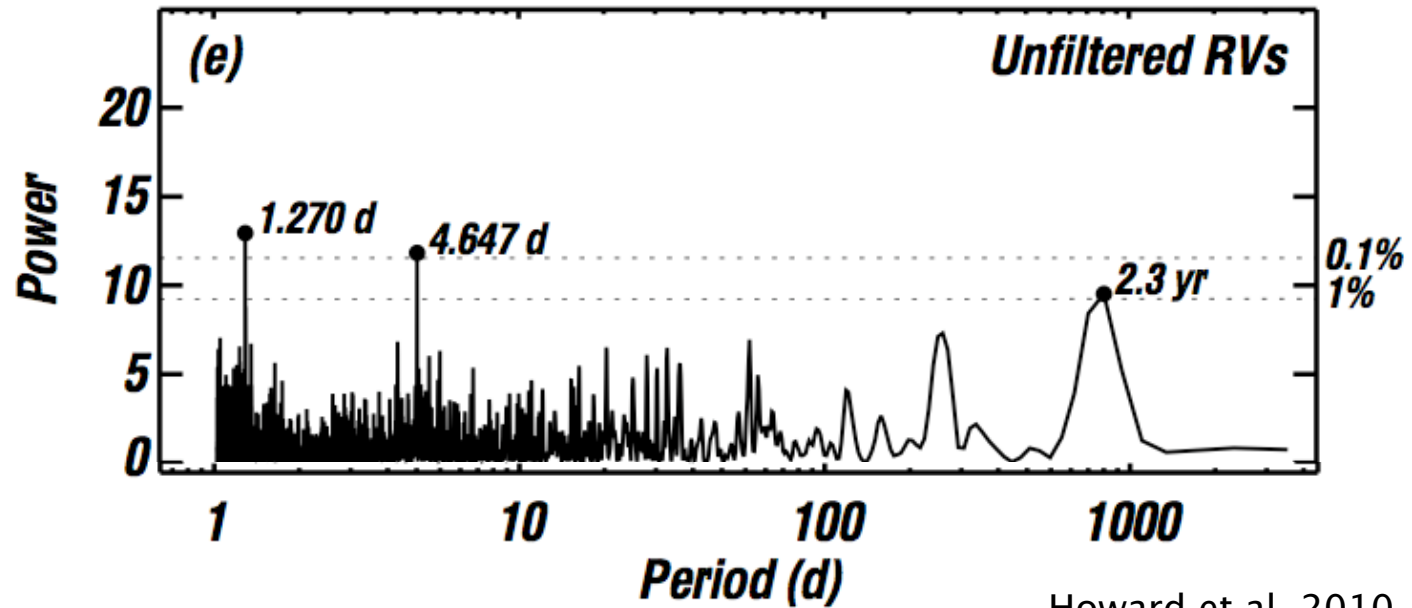
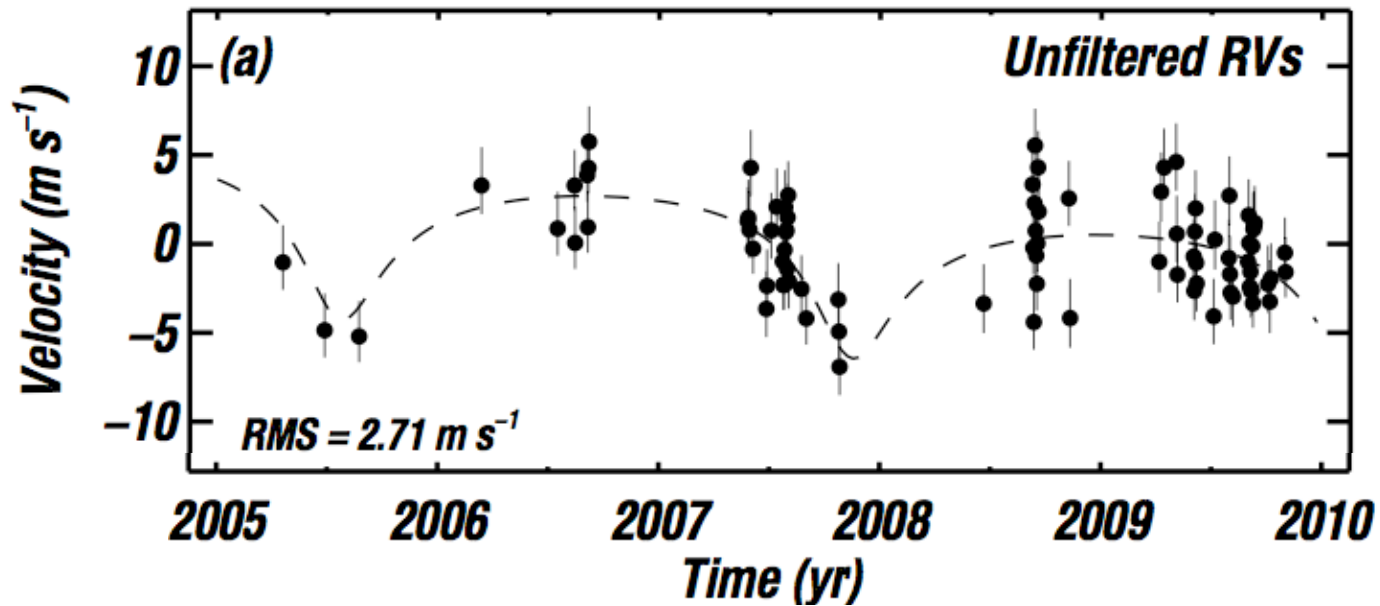
$P = 5.398 \text{ d}$

$e = 0.17$  (consistent with circular)

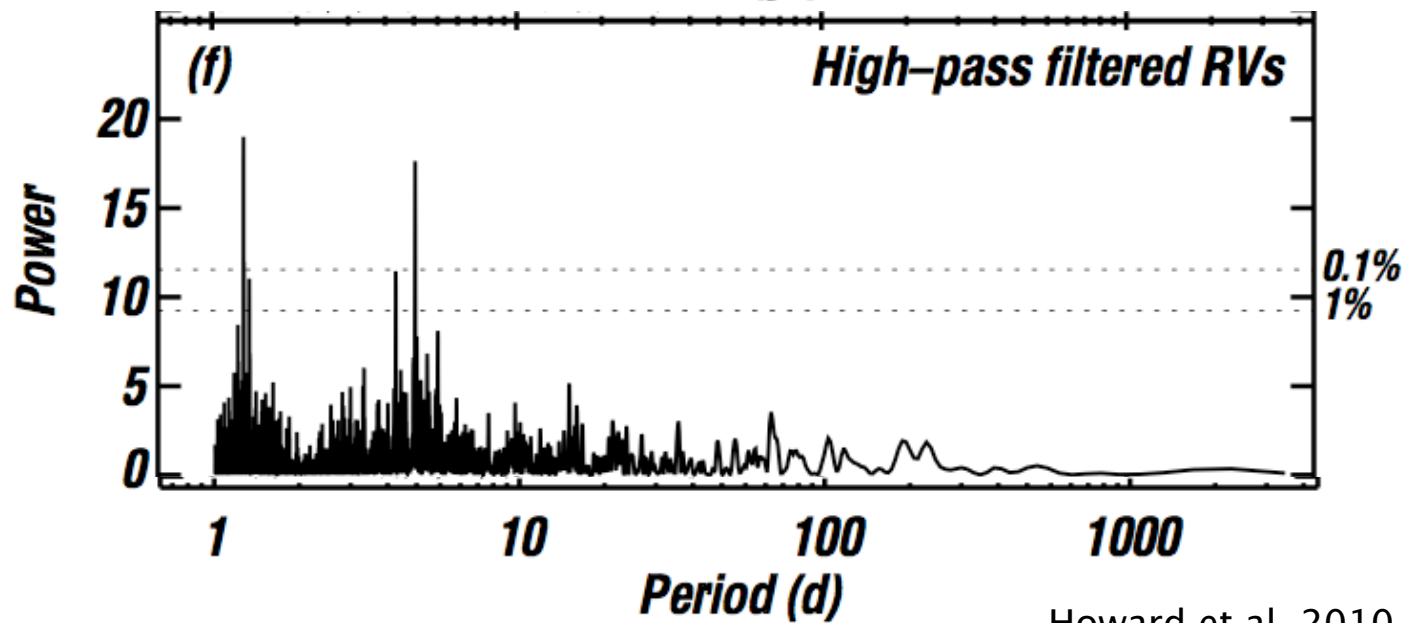
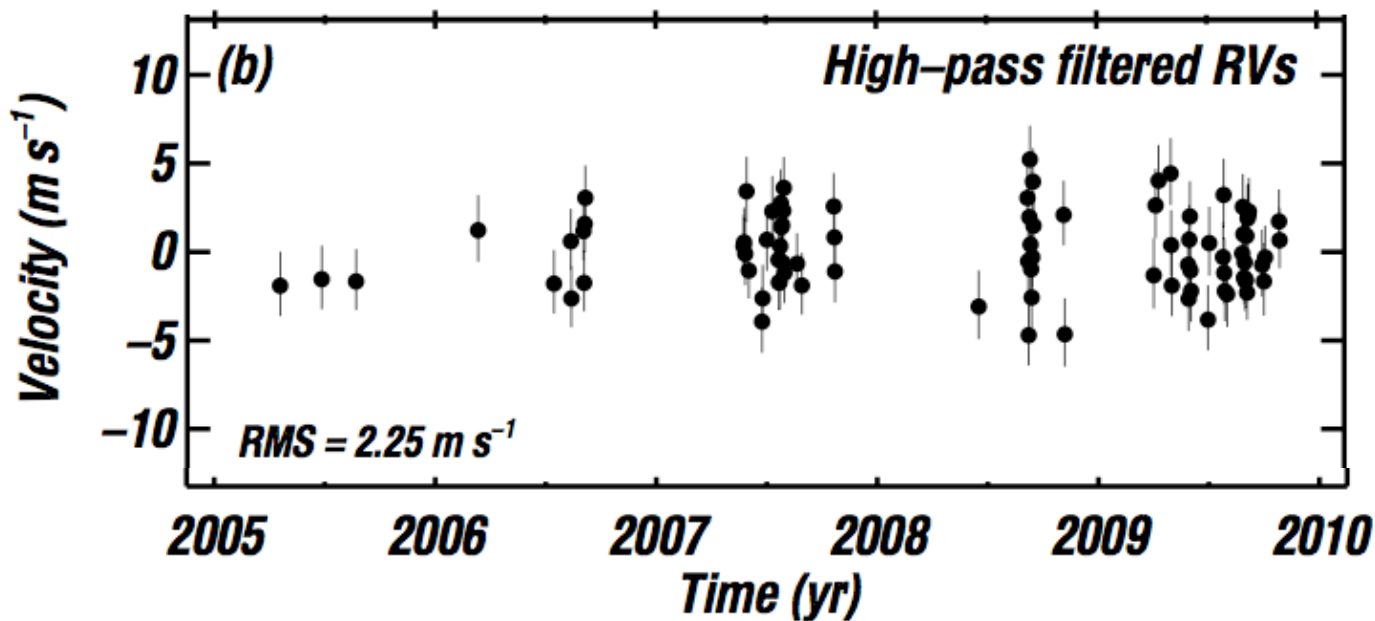




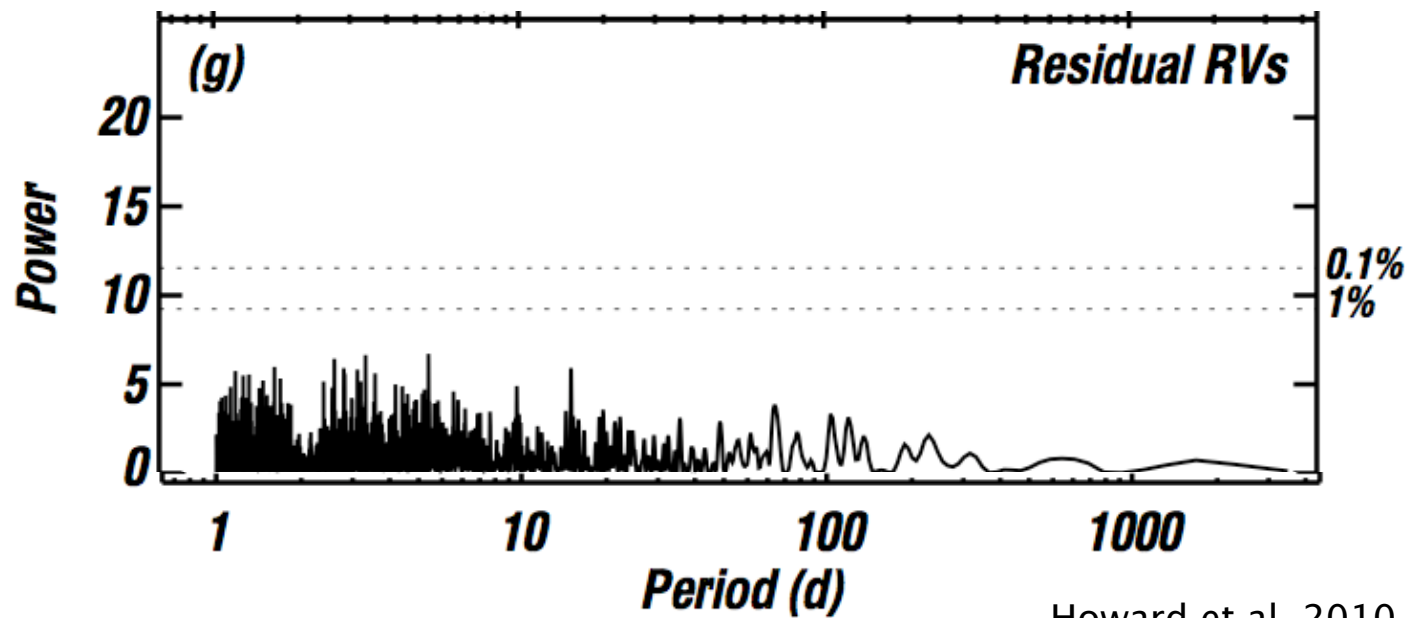
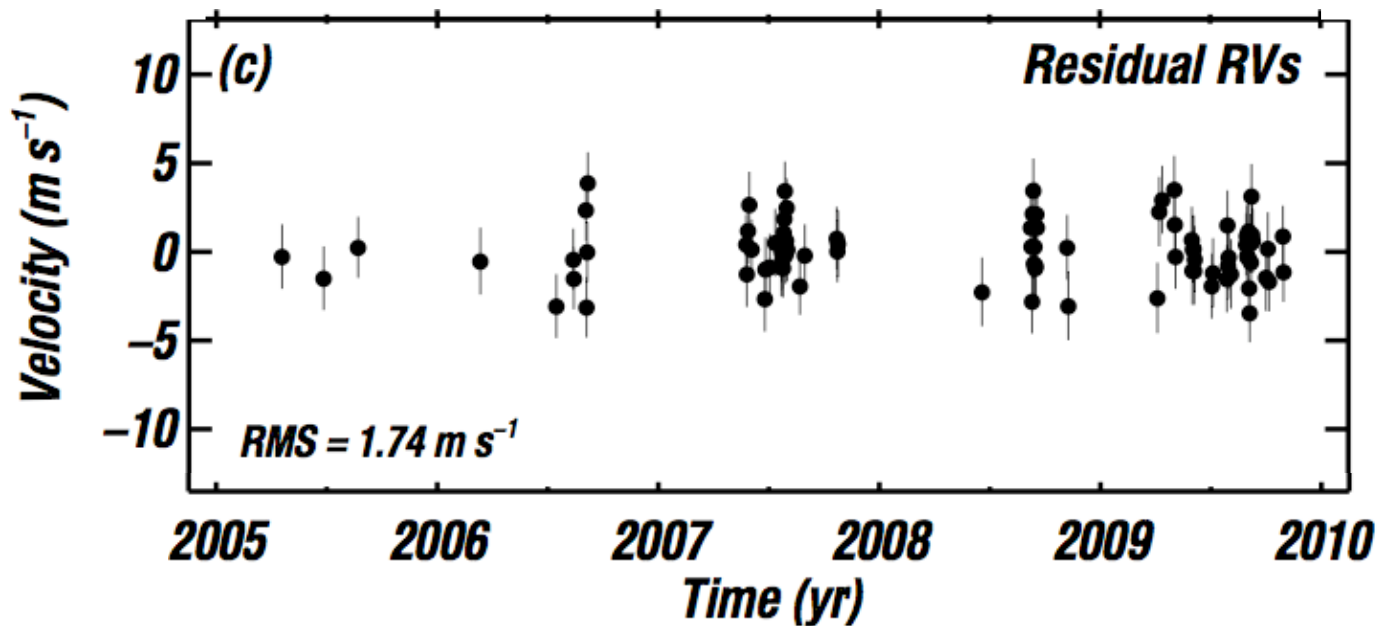
# HD 156668b - Discovery RVs



# HD 156668b - Discovery RVs

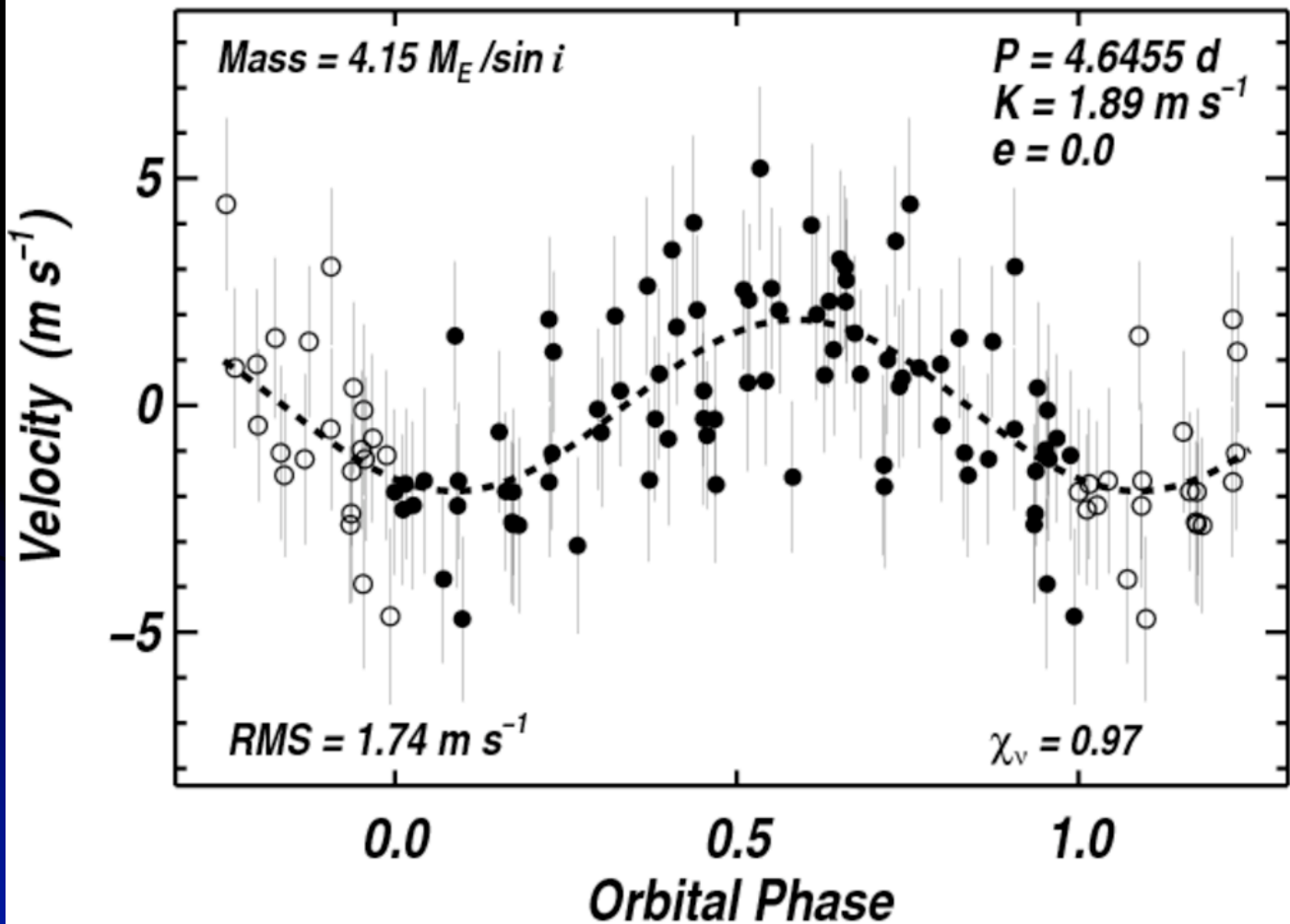


# HD 156668b - Discovery RVs





# HD 156668b - Super-Earth Detection



## Star:

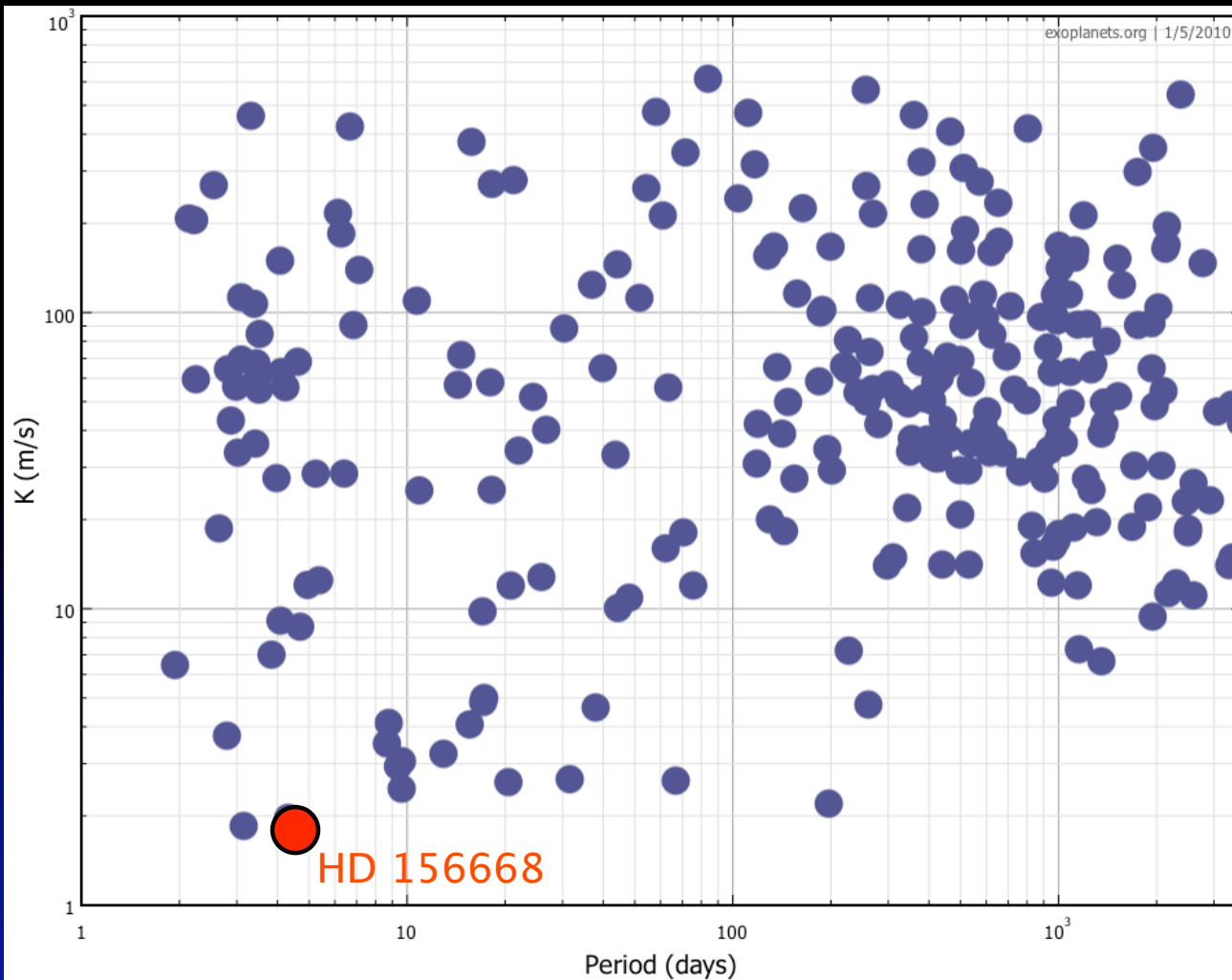
HD 156668 (K3V)  
distance = 24 pc  
 $V = 8.3$   
 $[\text{Fe}/\text{H}] = +0.05$   
Magnetically quiet

## Planet:

$M \sin i = 4.15 M_E$   
 $P = 4.6455 \text{ d}$   
 $e = 0$  (fixed)

# Doppler: Lowest Amplitude (K)

K (m/s) vs. P (days)



K = 1.89 m/s

Smallest Doppler Amplitude (tied with GJ 581e)

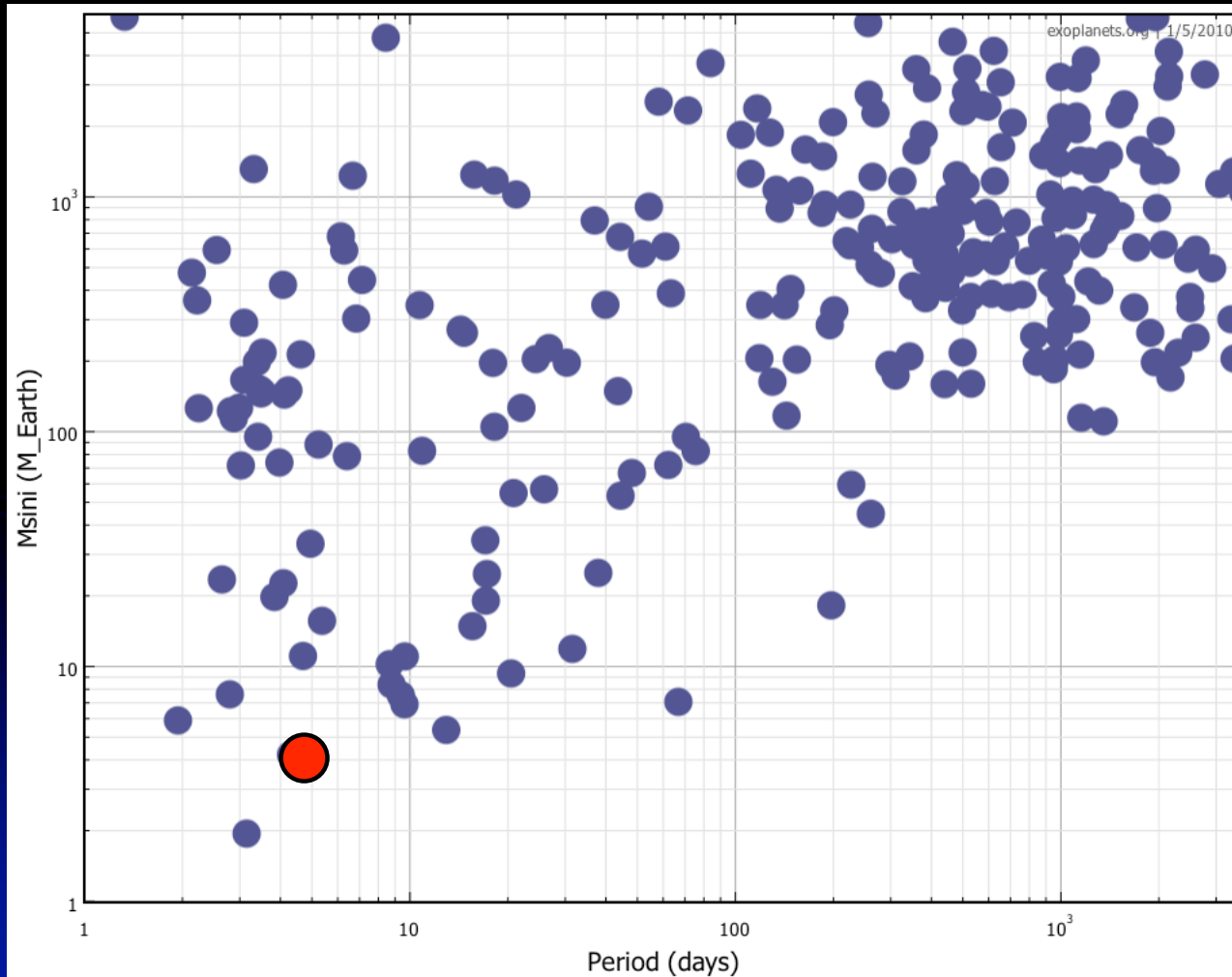
Pushing down to the RV limit

Howard et al. 2010

To make plots like this, see [exoplanet.org](http://exoplanet.org) (Jason Wright)

# Among Lowest $M_{\text{sin}i}$

$M_{\text{sin}i}$  ( $M_{\text{Earth}}$ ) vs.  $P$  (days)



$M_{\text{sin}i} = 4.15 M_{\text{Earth}}$

2<sup>nd</sup> smallest  
 $M_{\text{sin}i}$  ever!

(GJ 581e has  
 $M_{\text{sin}i} = 1.9 M_{\text{Earth}}$ )

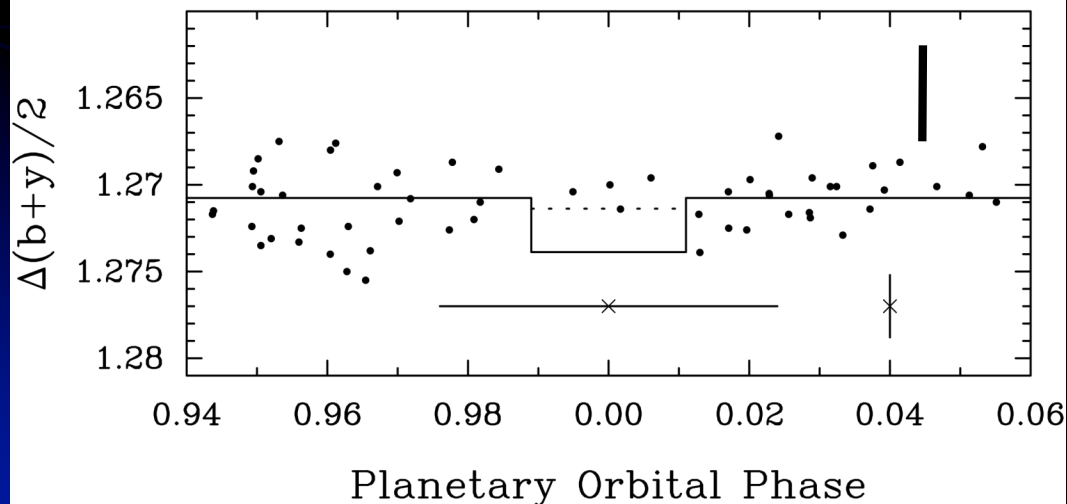
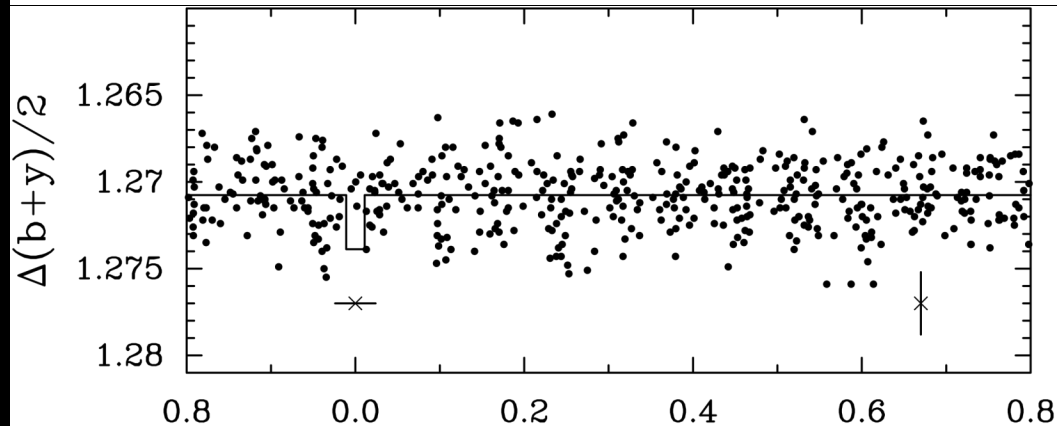
Pushing down  
to the RV limit  
to the lowest  
masses

To make plots like this: [exoplanets.org](http://exoplanets.org) (Jason Wright)



# Transit Search

Photometry of HD 156668



Photometry by Greg Henry using APTs

5% transit probability

$V=8.4$  – Easy follow-up

No transits detected, but no dedicated search, yet.

Can rule out bloated planets:  
depth  $< 3$  mmag  
 $R < 4.5 R_{\text{earth}}$

Possible compositions (toy models):

Hydrogen	$4.5 R_{\text{E}}$	3.1 mmag
Water	$2.0 R_{\text{E}}$	0.61 mmag
Silicate	$1.5 R_{\text{E}}$	0.35 mmag
Iron	$1.2 R_{\text{E}}$	0.35 mmag

# Eta-Earth Survey: 41 Detected Planets

## $P < 10d$ :

HD 156668 b	$P = 4.646$ days,	$M_{\text{J}} = 0.01$	$M_{\text{E}} = 4.1$
55 Cnc e	$P = 2.797$ days,	$M_{\text{J}} = 0.02$	$M_{\text{E}} = 7.6$ (multiplanet system)
HD 1461 b	$P = 5.77$ days,	$M_{\text{J}} = 0.02$	$M_{\text{E}} = 8$
HD 7924 b	$P = 5.398$ days,	$M_{\text{J}} = 0.03$	$M_{\text{E}} = 9.3$
HD 69830 b	$P = 8.667$ days,	$M_{\text{J}} = 0.03$	$M_{\text{E}} = 10.2$ (multiplanet system)
51 Peg b	$P = 4.231$ days,	$M_{\text{J}} = 0.46$	$M_{\text{E}} = 146.6$
HD 217107 b	$P = 7.127$ days,	$M_{\text{J}} = 1.39$	$M_{\text{E}} = 442.9$ (multiplanet system)

## $10d < P < 50d$ :

HD 69830 c	$P = 31.560$ days,	$M_{\text{J}} = 0.04$	$M_{\text{E}} = 11.9$ (multiplanet system)
HD 90156 b	$P = 49.6$ days,	$M_{\text{J}} = 0.05$	$M_{\text{E}} = 16.7$
HD 190360 c	$P = 17.111$ days,	$M_{\text{J}} = 0.06$	$M_{\text{E}} = 18.7$ (multiplanet system)
HD 99492 b	$P = 17.043$ days,	$M_{\text{J}} = 0.11$	$M_{\text{E}} = 33.7$
55 Cnc c	$P = 44.379$ days,	$M_{\text{J}} = 0.17$	$M_{\text{E}} = 53.4$ (multiplanet system)
55 Cnc b	$P = 14.651$ days,	$M_{\text{J}} = 0.83$	$M_{\text{E}} = 263.9$ (multiplanet system)
rho CrB b	$P = 39.845$ days,	$M_{\text{J}} = 1.06$	$M_{\text{E}} = 338.2$

## $50d < P < 1yr$ :

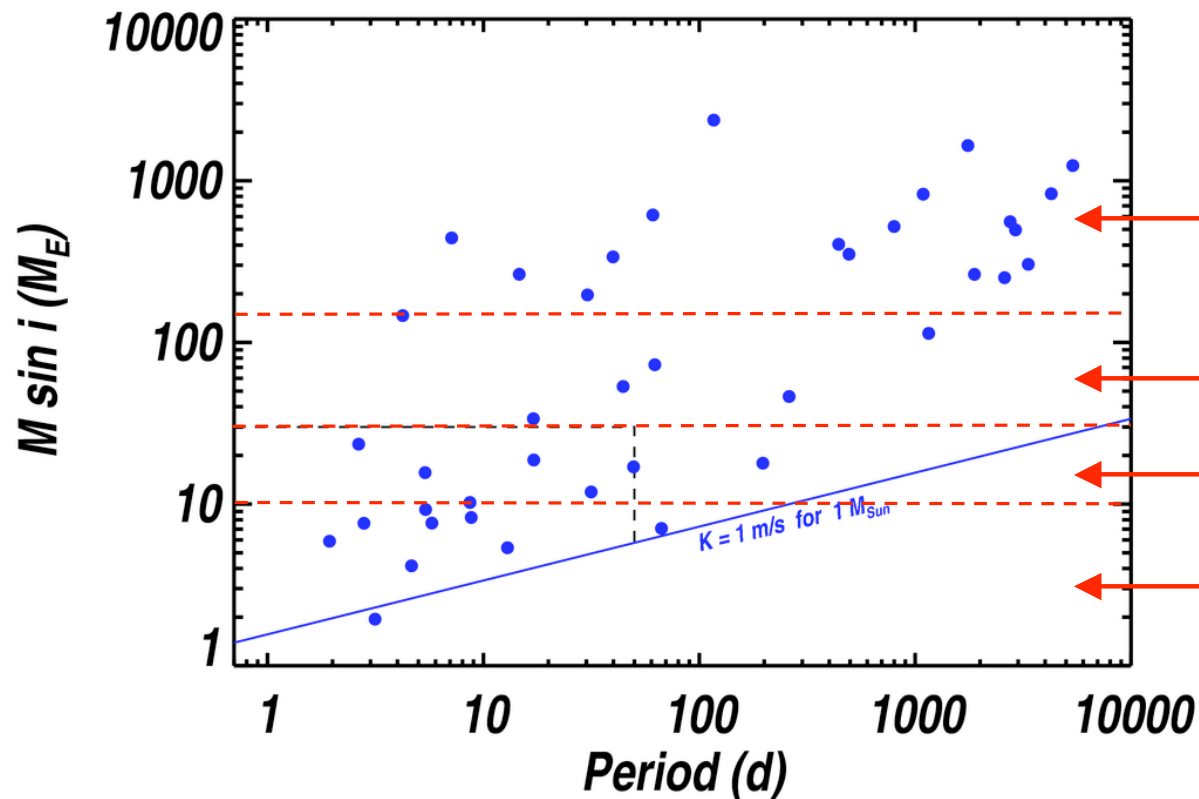
HD 69830 d	$P = 197.$ days,	$M_{\text{J}} = 0.06$	$M_{\text{E}} = 17.9$ (multiplanet system)
55 Cnc f	$P = 260.6$ days,	$M_{\text{J}} = 0.15$	$M_{\text{E}} = 46.3$ (multiplanet system)
HD 3651 b	$P = 62.2$ days,	$M_{\text{J}} = 0.23$	$M_{\text{E}} = 72.8$
70 Vir b	$P = 116.6$ days,	$M_{\text{J}} = 7.46$	$M_{\text{E}} = 2371.6$

## $P > 1yr$ :

HD 164922 b	$P = 1155.$ days,	$M_{\text{J}} = 0.36$	$M_{\text{E}} = 113.8$
47 UMa c	$P = 2594.$ days,	$M_{\text{J}} = 0.79$	$M_{\text{E}} = 251.6$ (multiplanet system)
HD 154345 b	$P = 3341.$ days,	$M_{\text{J}} = 0.96$	$M_{\text{E}} = 304.2$
HD 114783 b	$P = 493.$ days,	$M_{\text{J}} = 1.11$	$M_{\text{E}} = 351.2$
HD 210277 b	$P = 442.$ days,	$M_{\text{J}} = 1.27$	$M_{\text{E}} = 404.5$
HD 190360 b	$P = 2915.$ days,	$M_{\text{J}} = 1.56$	$M_{\text{E}} = 496.6$ (multiplanet system)
16 Cyg B b	$P = 798.$ days,	$M_{\text{J}} = 1.64$	$M_{\text{E}} = 521.3$
HD 87883 b	$P = 2754.$ days,	$M_{\text{J}} = 1.76$	$M_{\text{E}} = 558.1$
47 UMa b	$P = 1089.$ days,	$M_{\text{J}} = 2.60$	$M_{\text{E}} = 826.0$ (multiplanet system)
HD 217107 c	$P = 4270.$ days,	$M_{\text{J}} = 2.62$	$M_{\text{E}} = 831.3$ (multiplanet system)
55 Cnc d	$P = 5371.$ days,	$M_{\text{J}} = 3.91$	$M_{\text{E}} = 1241.4$ (multiplanet system)
14 Her b	$P = 1754.$ days,	$M_{\text{J}} = 5.19$	$M_{\text{E}} = 1651.0$

# 41 Known Planets in Eta-Earth Survey

Orbiting 27 stars  
out of 238 stars in Eta-Earth Survey



Known Eta-Earth Planets  
By Mass:

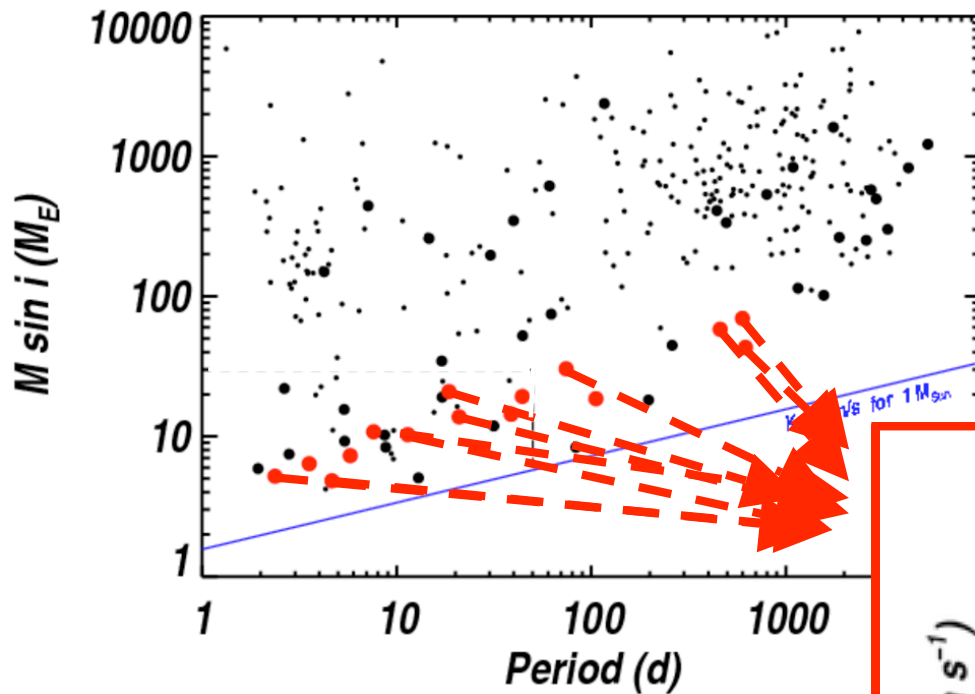
19 Jupiters

6 Saturns

7 Neptunes

9 Super-Earths

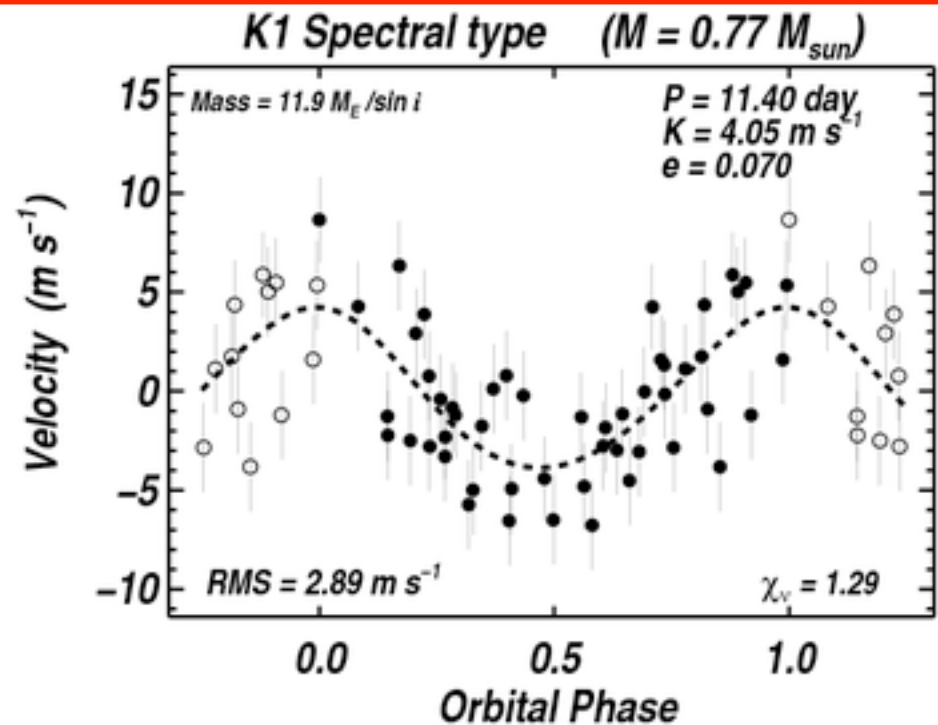
# Candidate Planets in Eta-Earth Survey



Candidate planets need additional observations because:

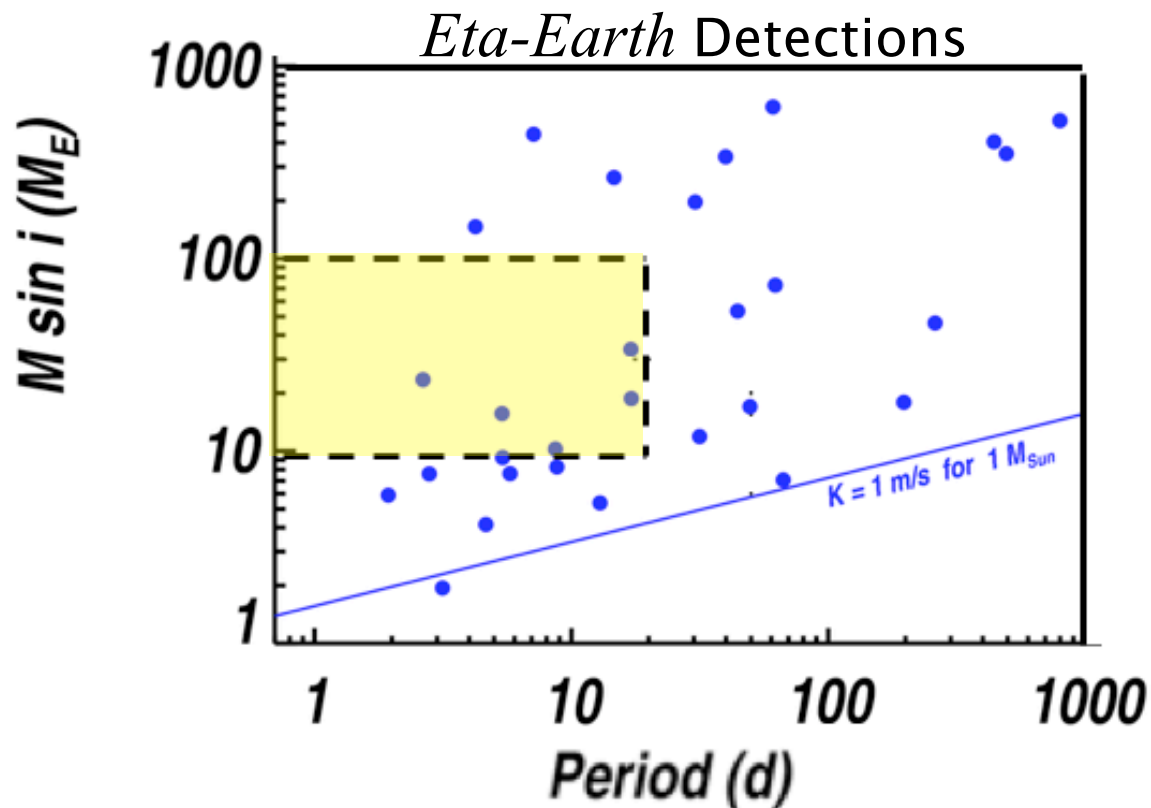
- Non-unique orbital solution
- Multiple planets in system
- False Alarm Probability (FAP) too high

- Planets in Eta-Earth
- **Candidate Planets**
- All RV Exoplanets





# Frequency of Planets: 10-100 $M_{\text{Earth}}$ and Period < 20 d



171 G + K Stars

6 Secure Planets

4 Candidate Planets

10 Total Planets

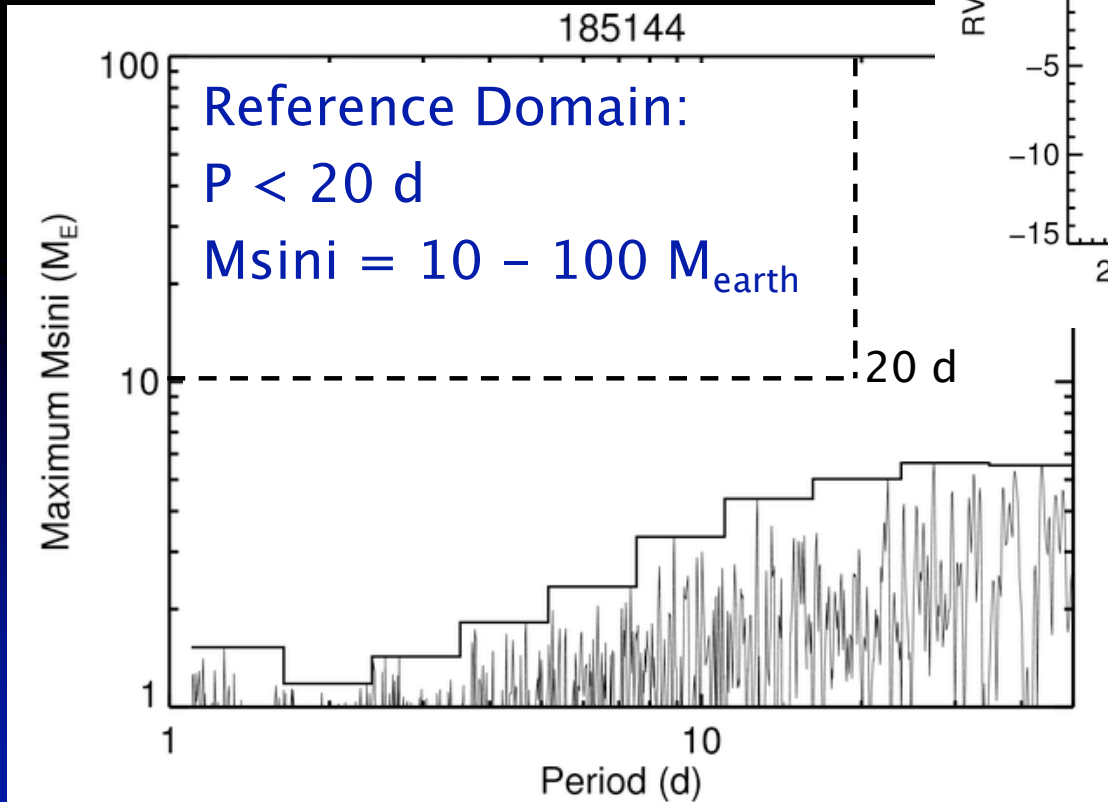
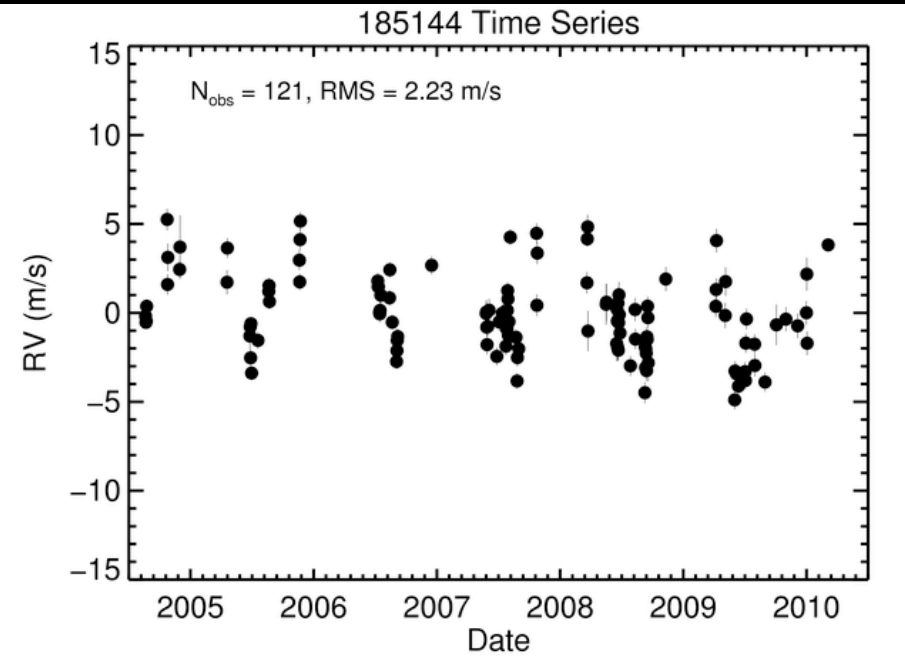
Frequency:

$$10 / 171 = 5.8 \%$$

Incompleteness:

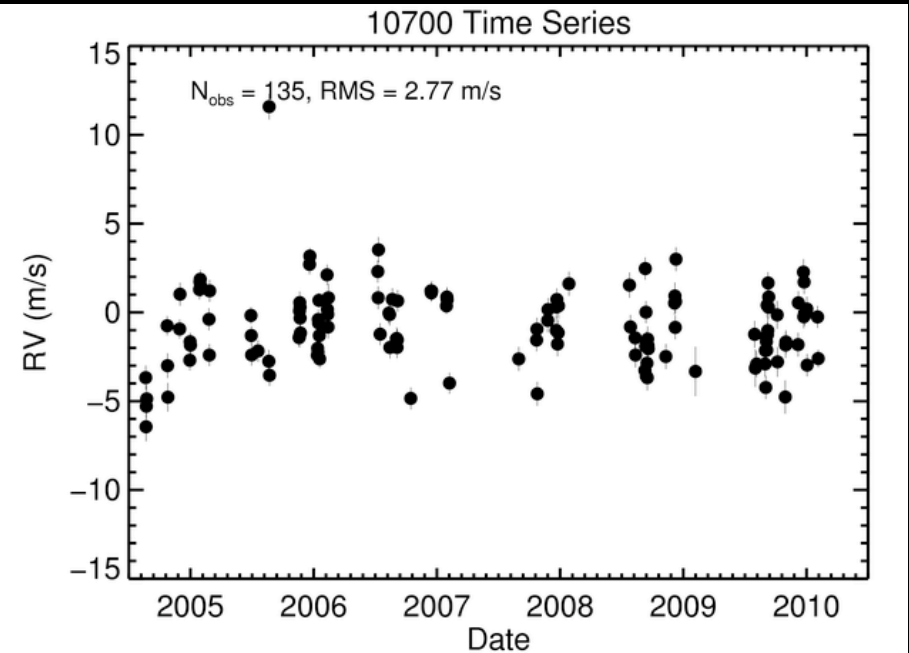
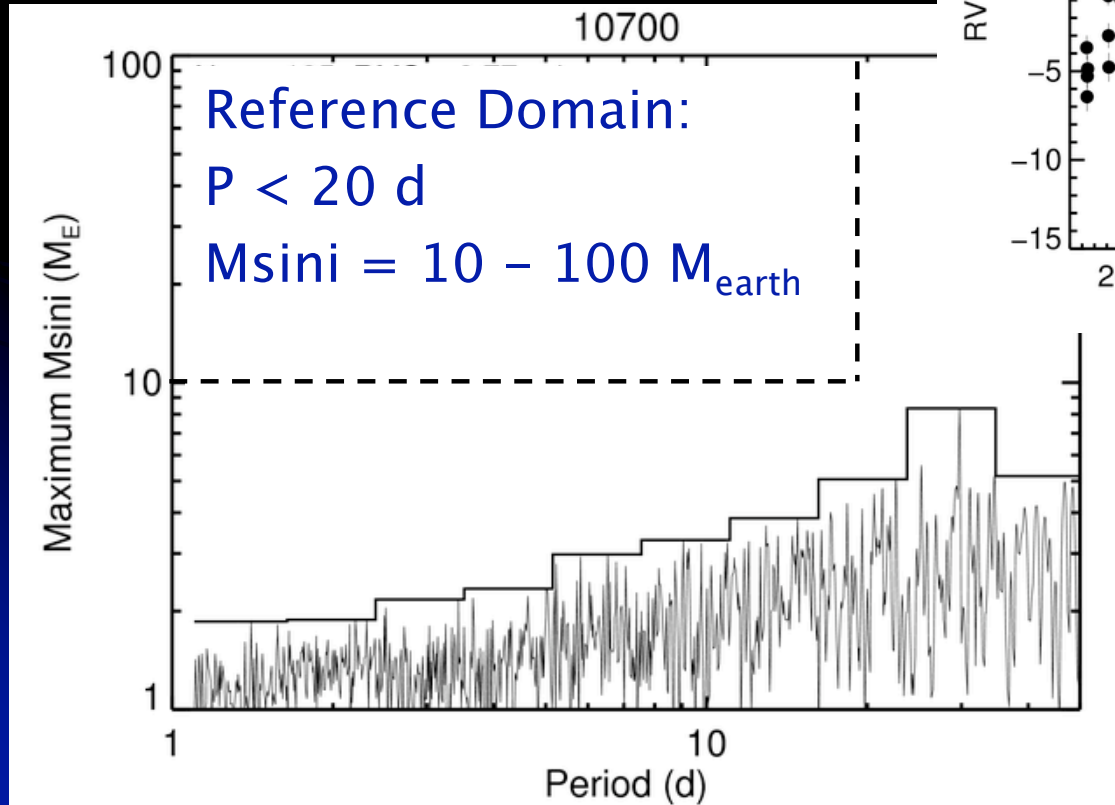
Poorly Observed Stars

# Limits on Planets Mass in Well-Observed Star

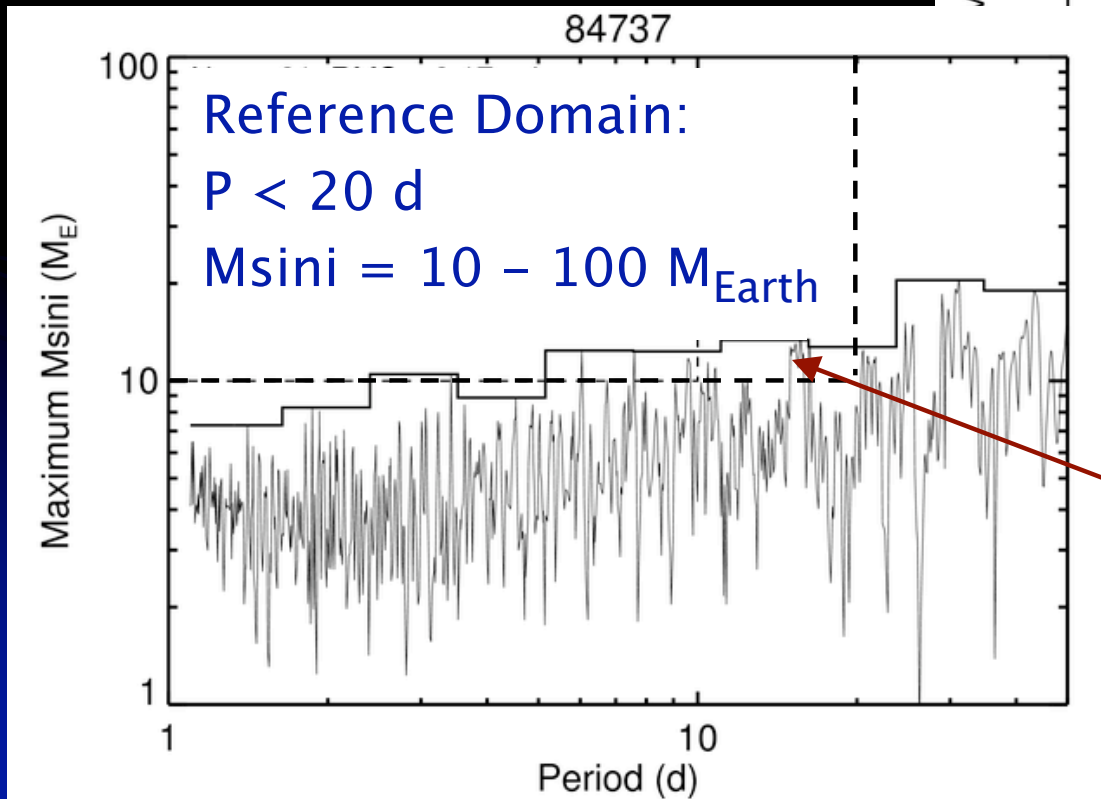
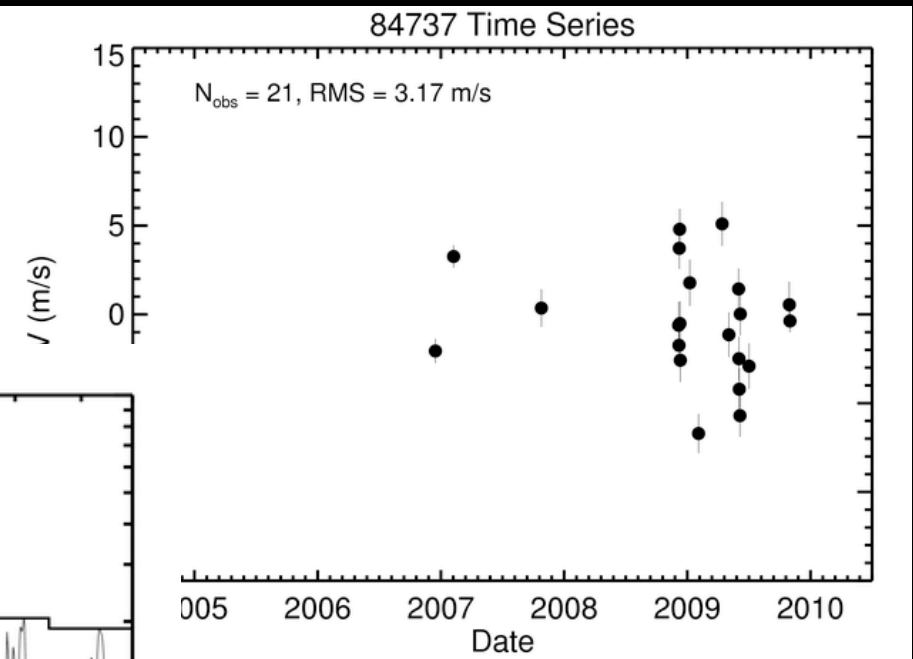


Reference Domain:  
 $P < 20 \text{ d}$   
 $M_{\text{sini}} = 10 - 100 M_{\text{earth}}$

# Limits on Planets Mass in Well-Observed Star

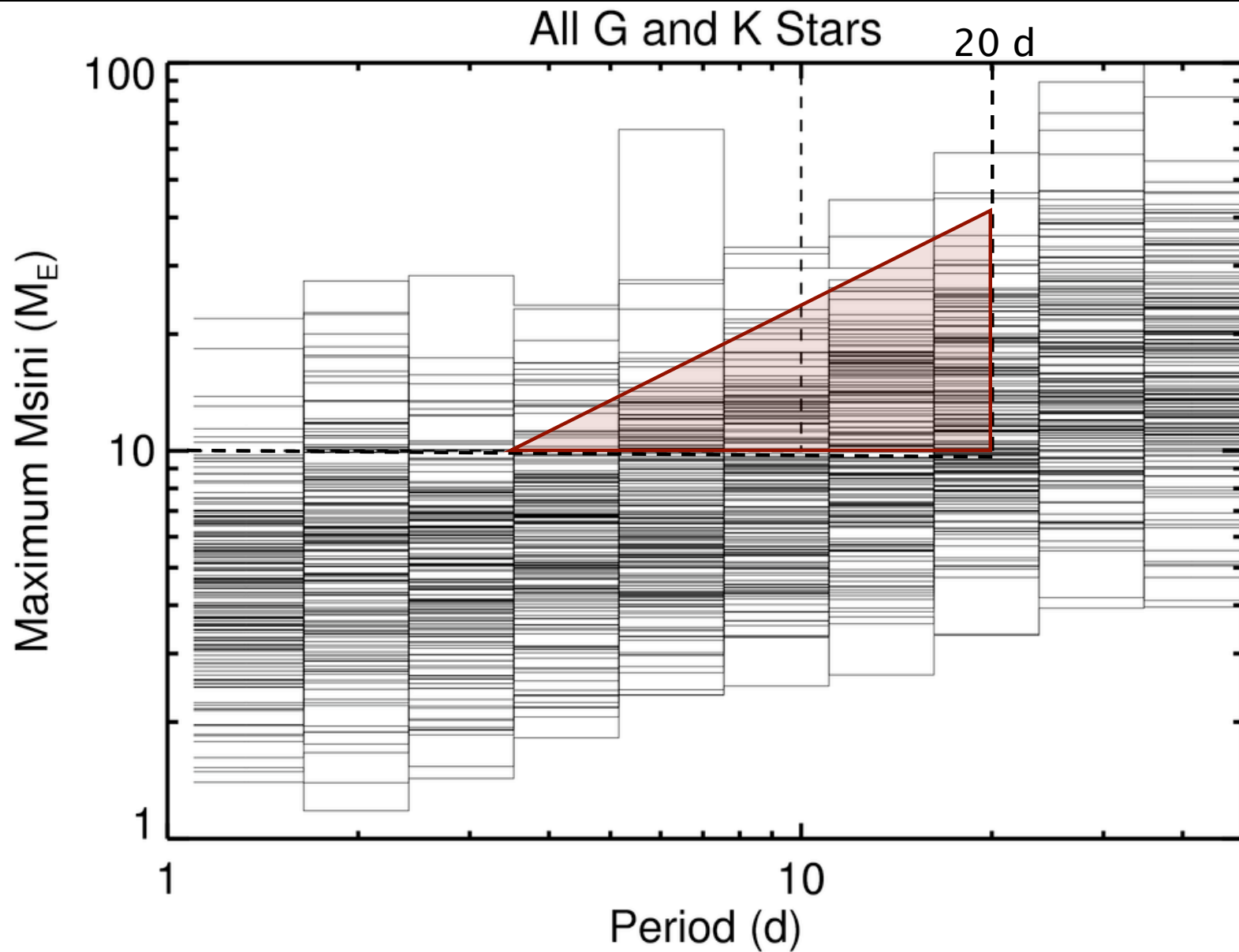


# Planet Mass Limits in Poorly Observed Star



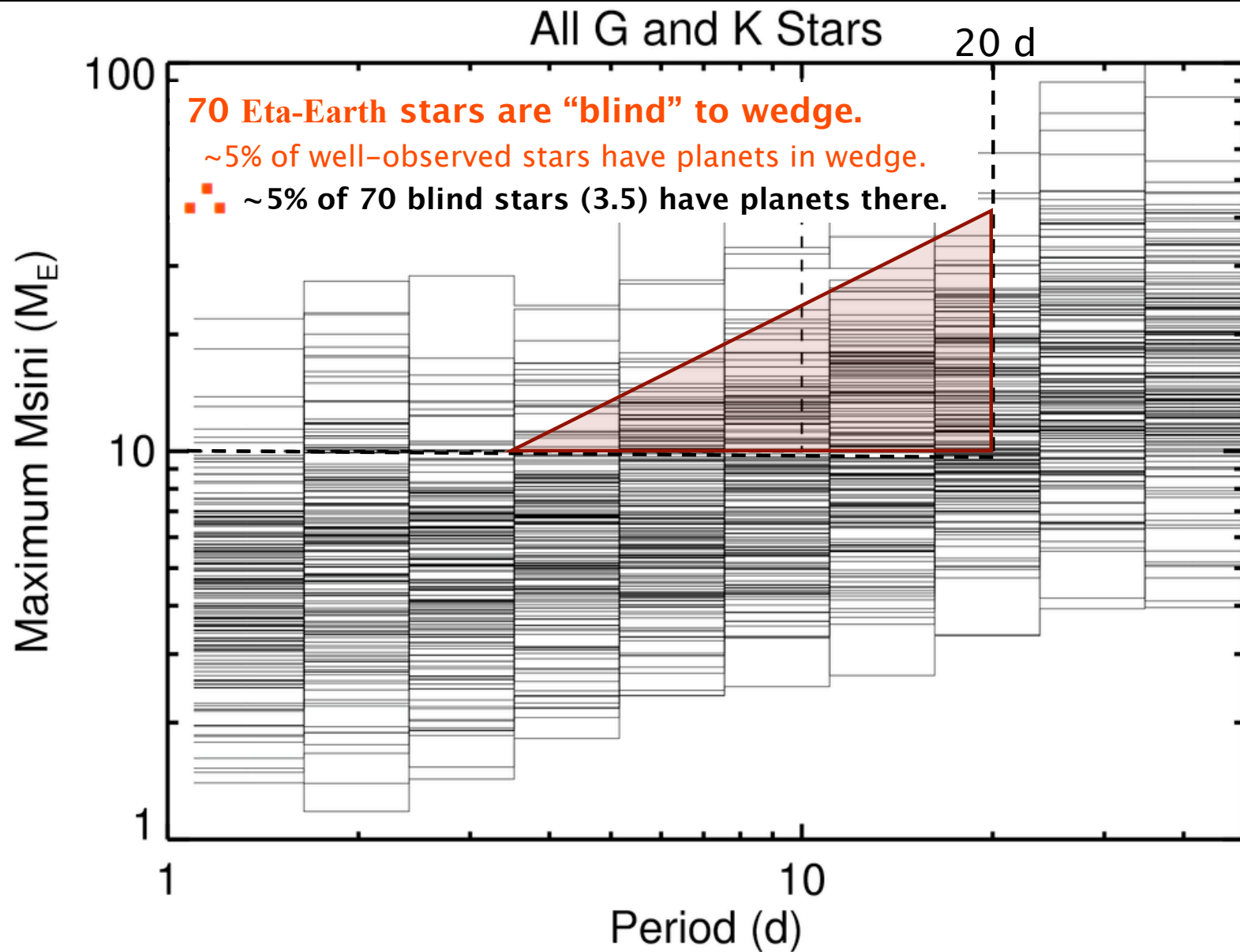
Planet possible in  
Slim wedge:  
 $P = 5-20$ d,  $M_{\text{sini}} \sim 10$

# Limits on $M \sin i$ for All Non-Detections

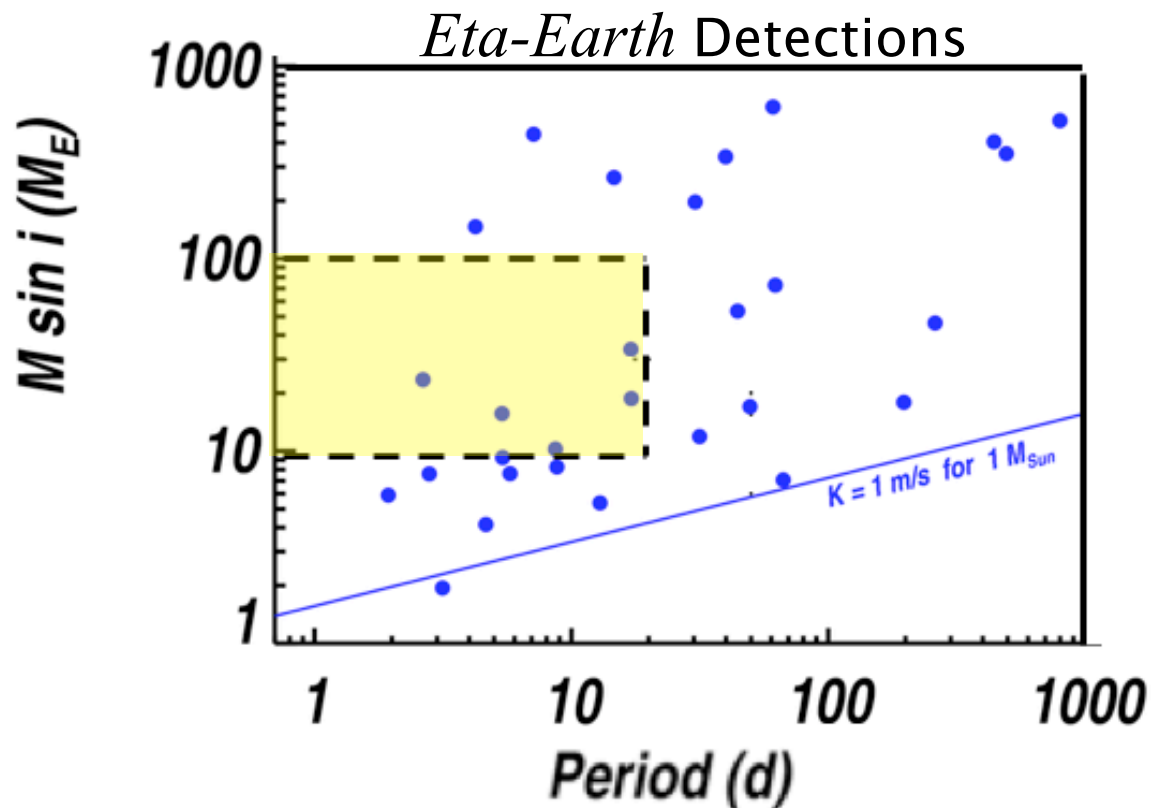




# Limits on $M \sin i$ for All Non-Detections



# Frequency of Planets: 10-100 $M_{\text{Earth}}$ and Period < 20 d



171 G + K Stars

6 Secure Planets

4 Candidate Planets

10 Total Planets

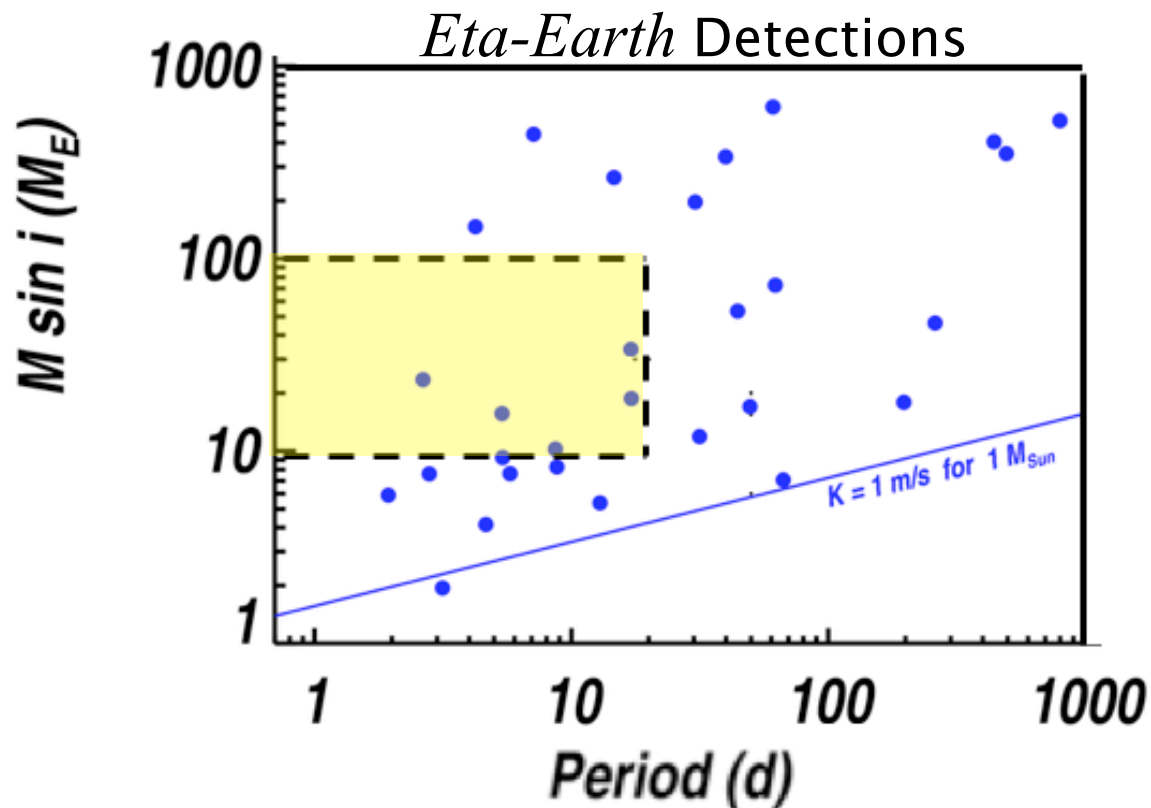
Frequency:

$$10 / 171 = 5.8 \%$$

Incompleteness:

~3.5 Missed planets.

# Frequency of Planets: 10-100 $M_{\text{Earth}}$ and Period < 20 d



171 G + K Stars

6 Secure Planets

4 Candidate Planets

3.5 Missed Planets

13.5 Total Planets

Frequency:

$13.5 / 171 = 7.9 \%$

# Doppler Periodicities from Starspots: RMS Doppler Velocity = $0.5 \Delta\text{mag} V_{\text{eq}} \sin i$

## STARSPOT JITTER IN PHOTOMETRY, ASTROMETRY AND RADIAL VELOCITY MEASUREMENTS

V.V. Makarov<sup>1</sup>, C.A. Beichman<sup>1</sup>, J.H. Catanzarite<sup>2</sup>, D.A. Fischer<sup>3</sup>, J. Lebreton<sup>1</sup>, F. Malbet<sup>1,4</sup>, M. Shao<sup>2</sup>

<sup>1</sup>NASA Exoplanet Science Institute, Caltech,  
Pasadena, CA 91125

<sup>2</sup>JPL, Pasadena, CA 94550

<sup>3</sup>Department of Physics and Astronomy, San Francisco State University, San Francisco,  
CA 94132

<sup>4</sup>Centre National de la Recherche Scientifique, Paris, France

vvm@caltech.edu

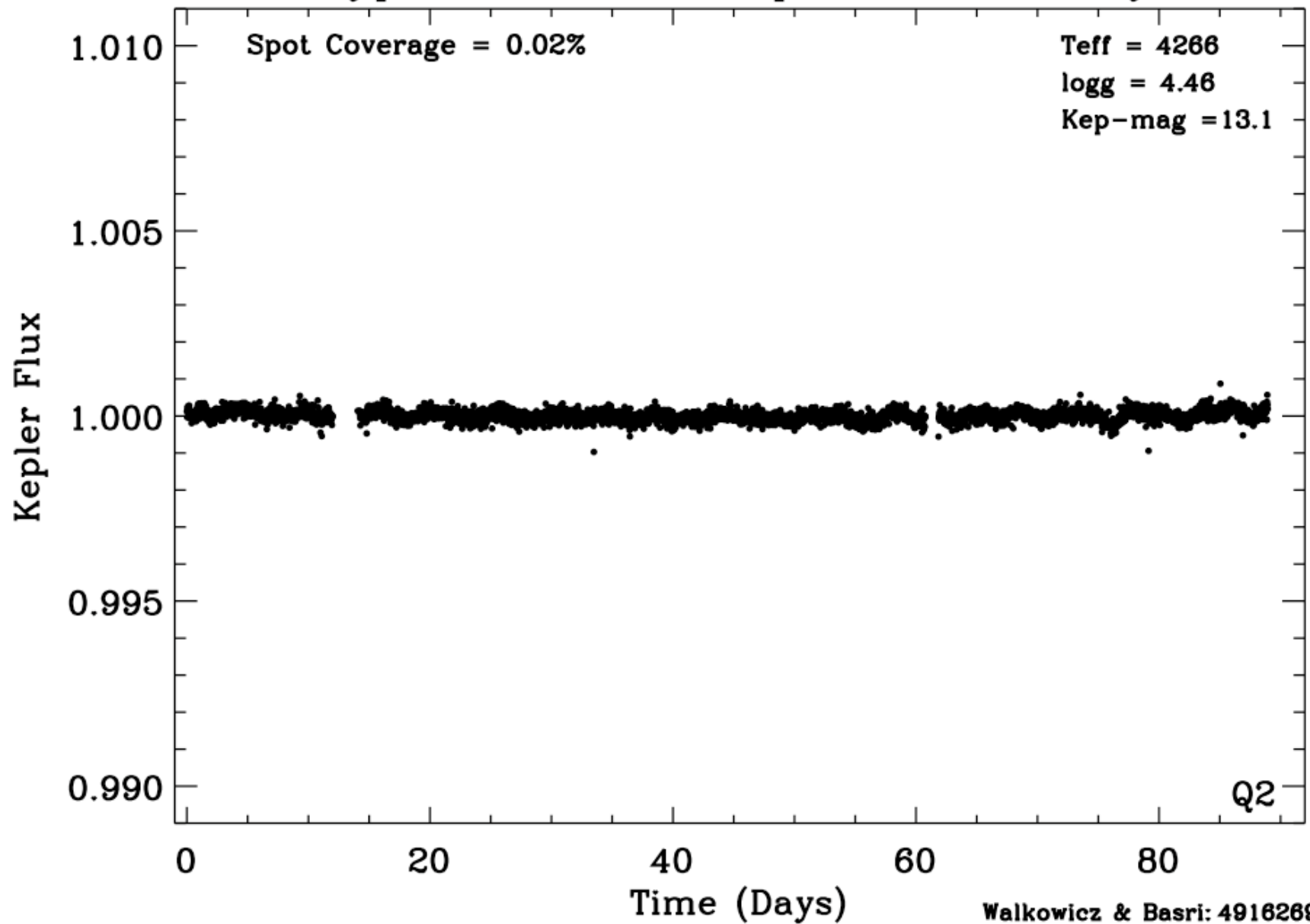
### ABSTRACT

Analytical relations are derived for the amplitude of astrometric, photometric and radial velocity perturbations caused by a single rotating spot. The relative power of the star spot jitter is estimated and compared with the available data for  $\kappa^1$  Ceti and HD 166435, as well as with numerical simulations for  $\kappa^1$  Ceti and the Sun. A Sun-like star inclined at  $i = 90^\circ$  at 10 pc is predicted to have a RMS jitter of  $0.087 \mu\text{as}$  in its astrometric position along the equator, and  $0.38 \text{ m s}^{-1}$  in radial velocities. If the presence of spots due to stellar activity is the ultimate limiting factor for planet detection, the sensitivity of SIM Lite to Earth-like planets in habitable zones is about an order of magnitude higher than the sensitivity of prospective ultra-precise radial velocity observations of nearby stars.

Sun,  $V_{\text{eq}} = 2 \text{ km s}^{-1}$  and  $R_{\odot} = 4650 \mu\text{AU}$ . We estimate a relative flux variability of the Sun of  $\text{RMS}(\Delta F/F) = 3.24 \cdot 10^{-4}$  after subtracting a 10-yr period solar cycle light curve from the solar irradiance PMOD data (Fröhlich & Lean 1998). Therefore, the sunspot-related jitter is not greater than  $\Delta m R$  ( $1.5 \mu\text{AU}$  for the Sun) in position and than  $\Delta m V_{\text{eq}}$  ( $0.65 \text{ m s}^{-1}$  for the Sun) in radial velocities, where  $\Delta m$  is the characteristic magnitude jitter.

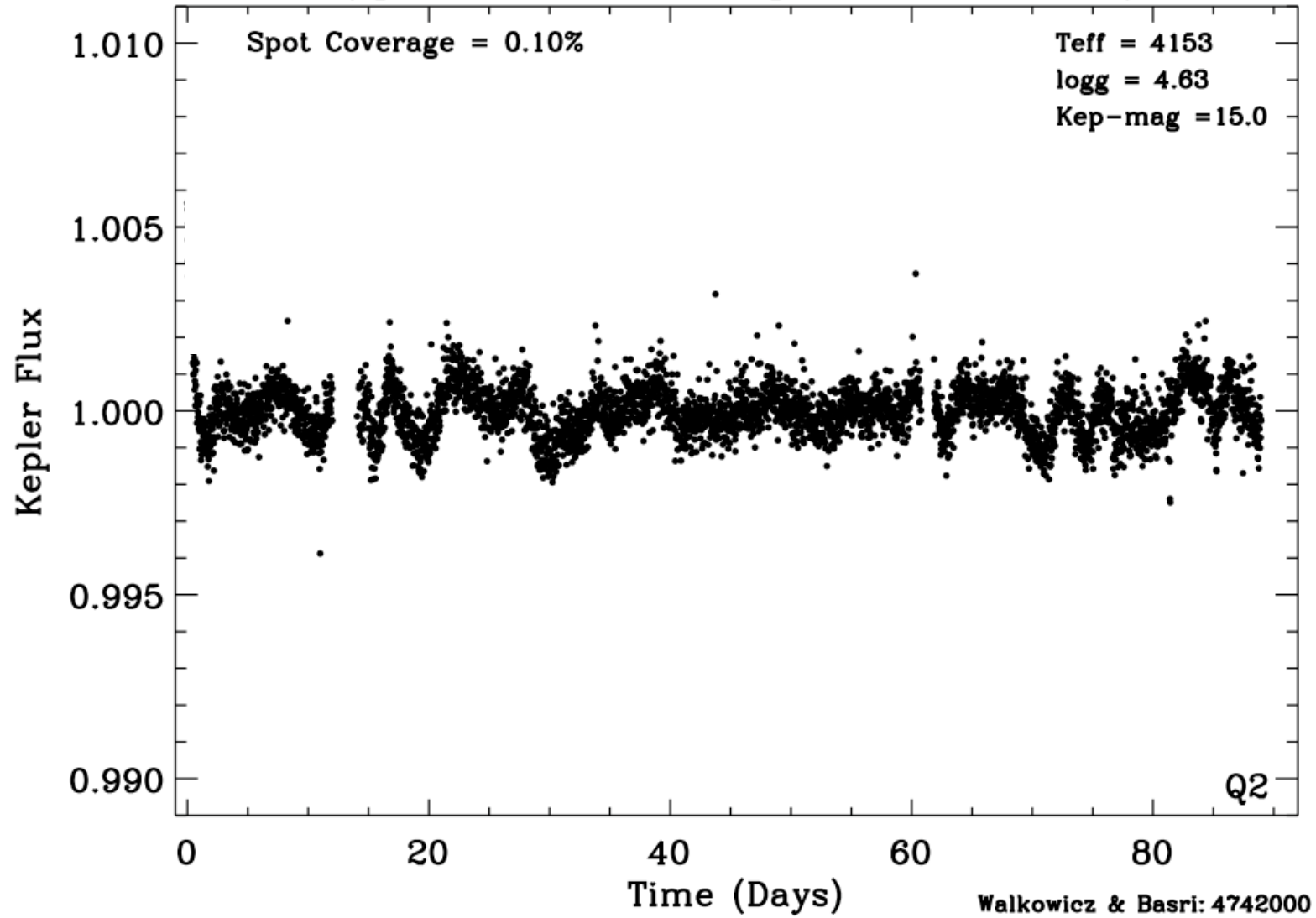
Makarov et al.  
2010

# Typical K dwarf: Kepler Photometry

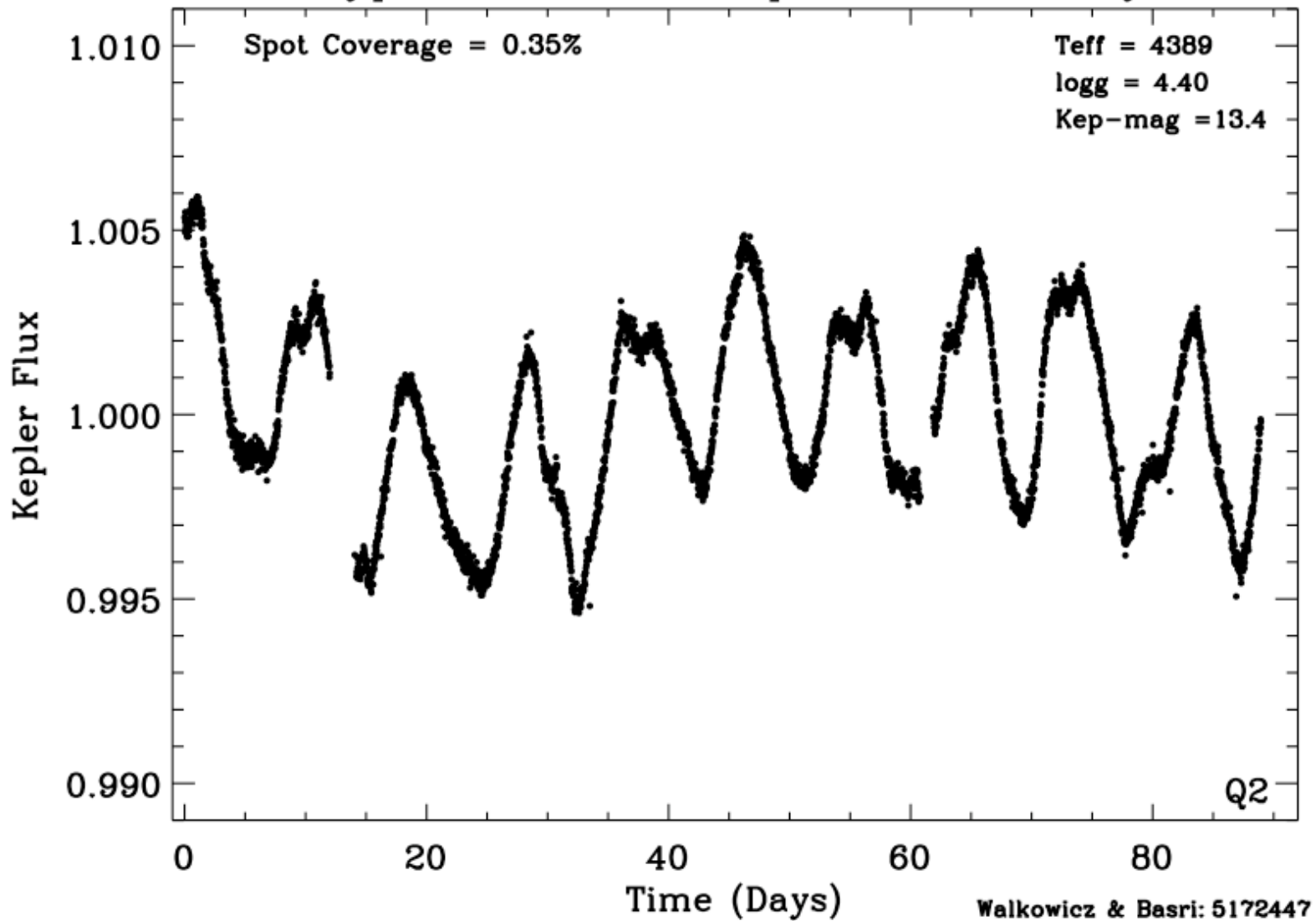




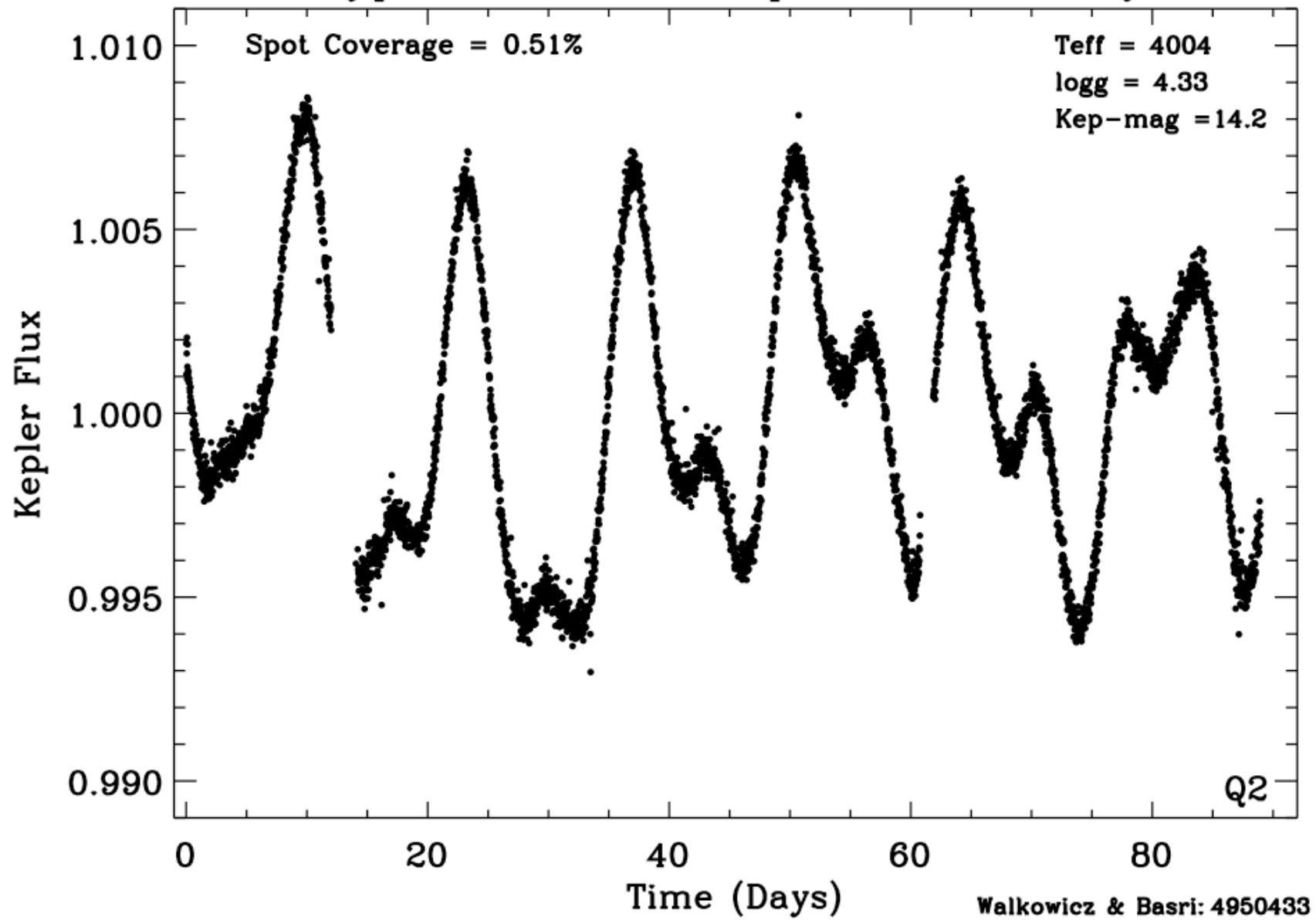
# Typical K dwarf: Kepler Photometry



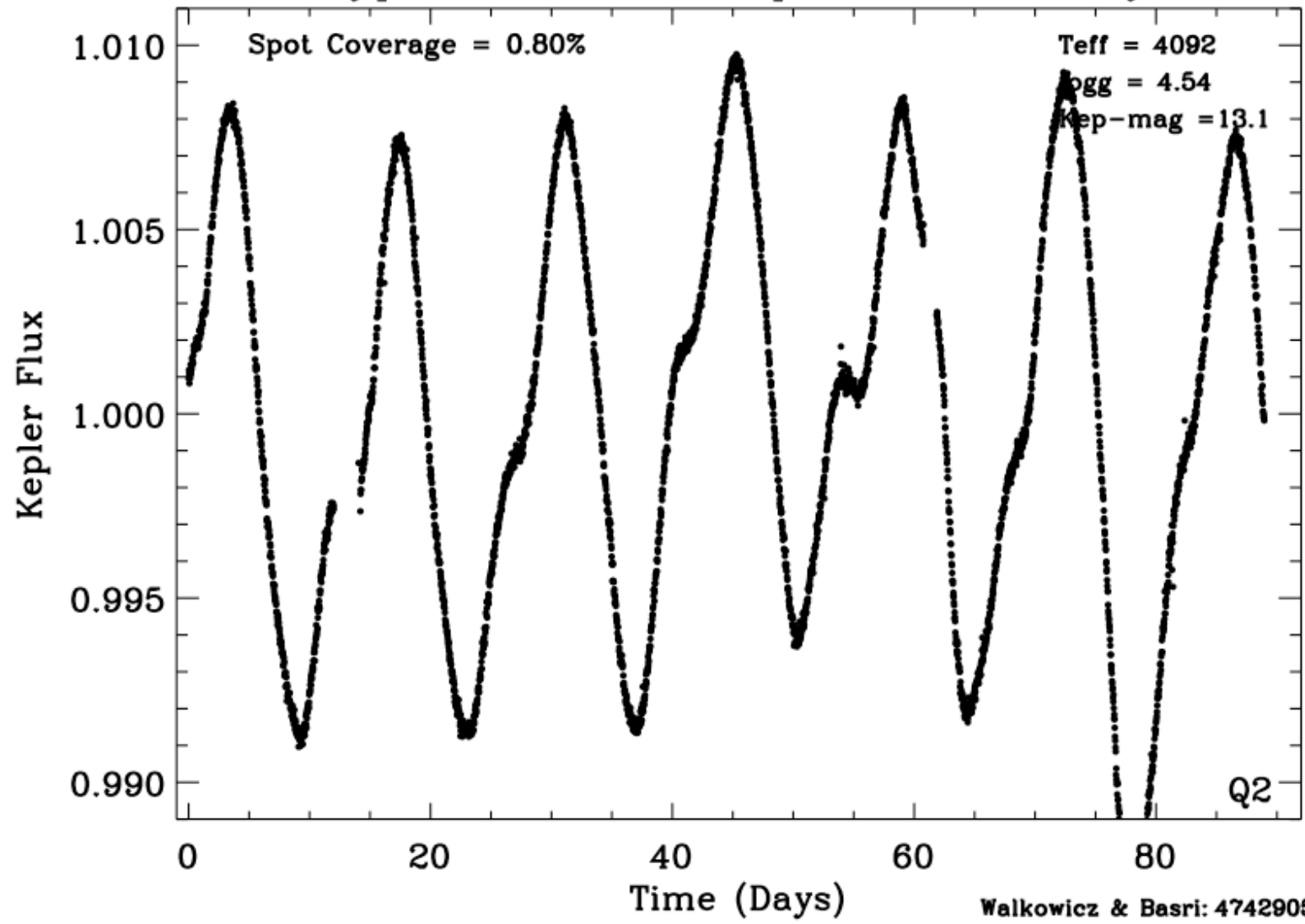
# Typical K dwarf: Kepler Photometry



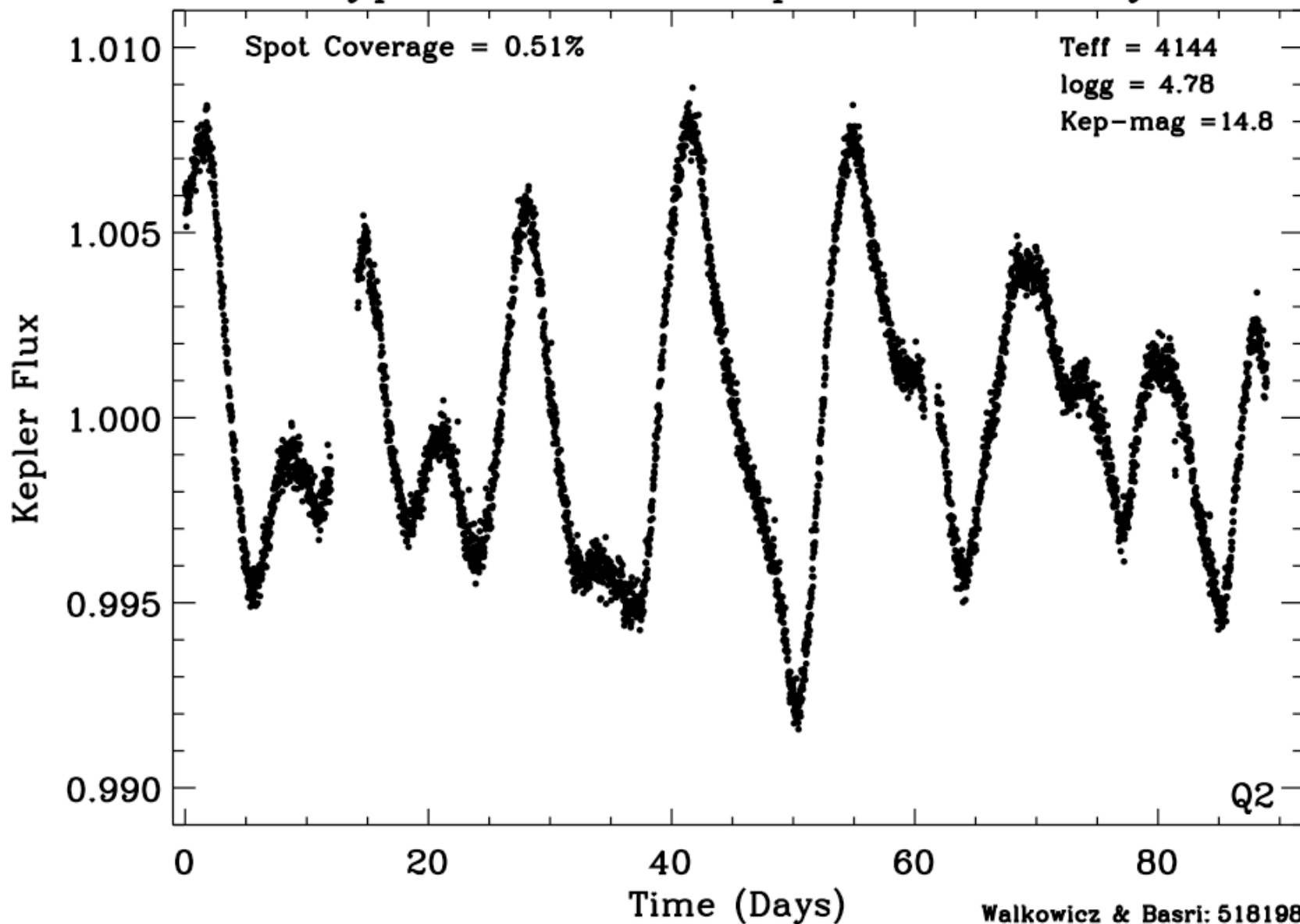
# Typical K dwarf: Kepler Photometry



# Typical K dwarf: Kepler Photometry



# Typical K dwarf: Kepler Photometry





Summary:  
**Eta-Earth Survey**

1. Doppler Planet Search of 171 GK Stars: Complete
2. 65 M Dwarfs Still Incomplete
3. Examine Domain:  $P < 20$  d,  $M_{\text{Sini}} = 10-100 M_{\text{Earth}}$
4. 6 Planets + 4 Candidates + 3.5 Likely Missed

8 % of Solar-Type Stars have  
10-100  $M_{\text{Earth}}$  Planets  
with Periods  $< 20$  days



Thanks: Andrew Howard, U.C., NASA

