

# Goldstone fluctuations in the SYK model

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to be published ...

# Sachdev-Ye-Kitaev model

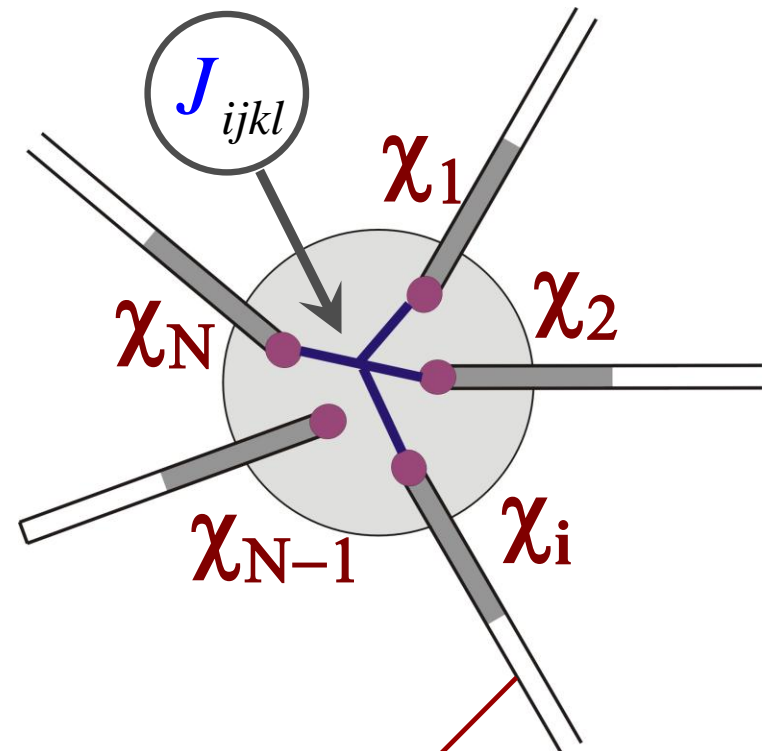
S. Sachdev, PRX 5 (2015) 041025

A. Kitaev, talks at KITP, April & May 2015

$$\hat{H} = \frac{1}{4!} \sum_{ijkl} J_{ijkl} \chi_i \chi_j \chi_k \chi_l$$

Couplings  $J$ 's are quenched random Gaussian variables,

$$\left\langle \left( J_{ijkl} \right)^2 \right\rangle = 3! J^2 / N^3$$



Class D Majorana wire

$$\{\chi_i, \chi_j\} = \delta_{ij}$$

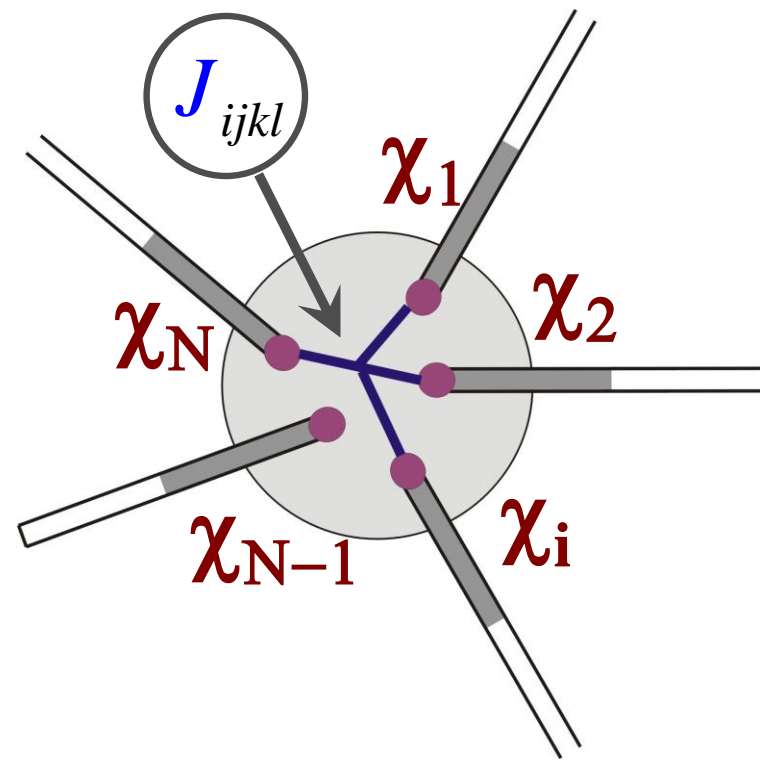
# SYK model

- $\text{AdS}_2/\text{CFT}_1$  holography

black-hole physics, dilaton gravity, quantum information paradox, gravitational shock-wave scattering, etc.

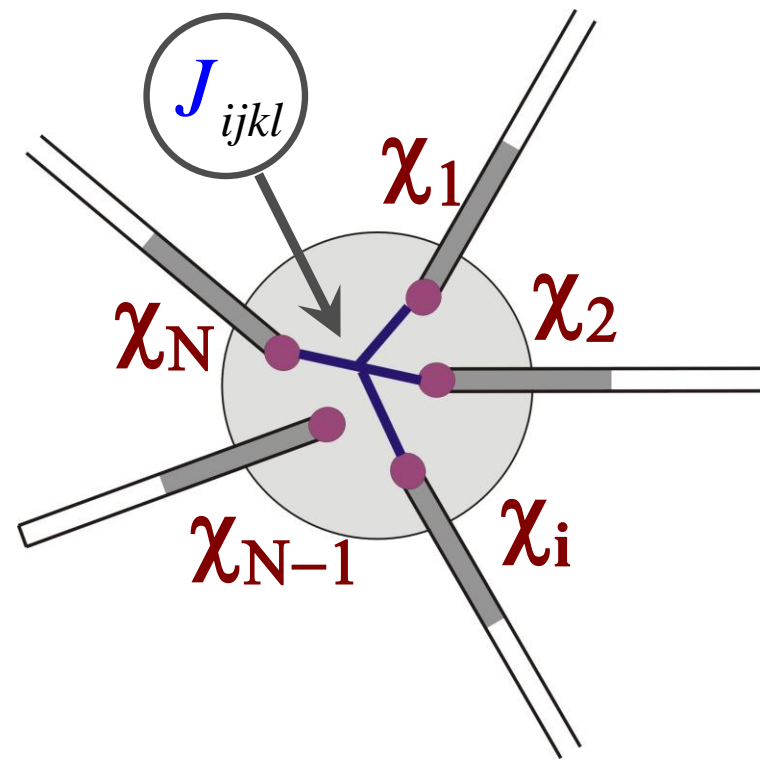
- Strong-correlation physics

Many-body (de)-localization, RMT-like level statistics, quantum chaos, strange metals, ...



# This talk

- Reparametrization (‘conformal’) symmetry in SYK
- Schwarzian action & Liouville quantum mechanics
- Complex SYK model: emergent Coulomb blockade
- Quantum chaos & OTO correlators



# Infra-red 'conformal' symmetry

A. Kitaev' 2015

- averaging over disorder with the replica trick

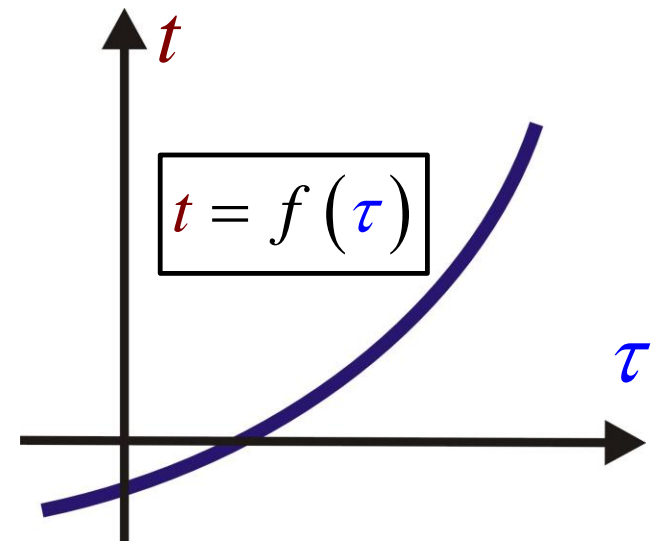
$$\left\langle Z^R = \exp \left( - \sum_{a=1}^R \int (\dot{\chi}^a \chi^a - H^a) dt \right) \right\rangle \quad \hat{H}^a = \frac{1}{4!} \sum_{ijkl} J_{ijkl} \chi_i^a \chi_j^a \chi_k^a \chi_l^a$$

Replicated partition sum

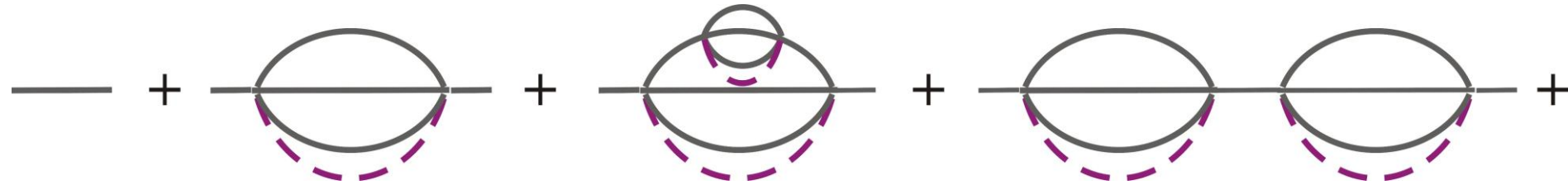
- reparametrizations of the time

$$\tilde{\chi}^a(\tau) = [f'(\tau)]^{1/4} \chi^a(t)$$

fermion's scaling dimension

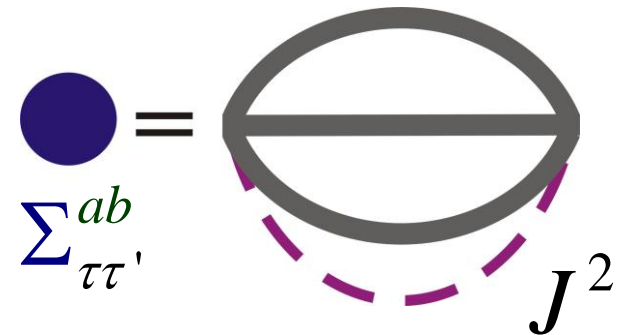


# Mean field solution



- **Self-consistent Dyson equation** (S. Sachdev, J. Ye '1993)

$$-\left(\cancel{\partial_t} + \Sigma\right) \bullet G = 1, \quad \Sigma_{\tau\tau'}^{ab} = J^2 \left[ G_{\tau\tau'}^{ab} \right]^3$$



- **Mean-field solution (T=0)**

$$\bar{G}_{t-t'}^{ab} \propto -\frac{\delta^{ab}}{\sqrt{J}} \frac{1}{|t-t'|^{1/2}}$$

- is of conformal form with scaling dimension  $\Delta = 1/4$

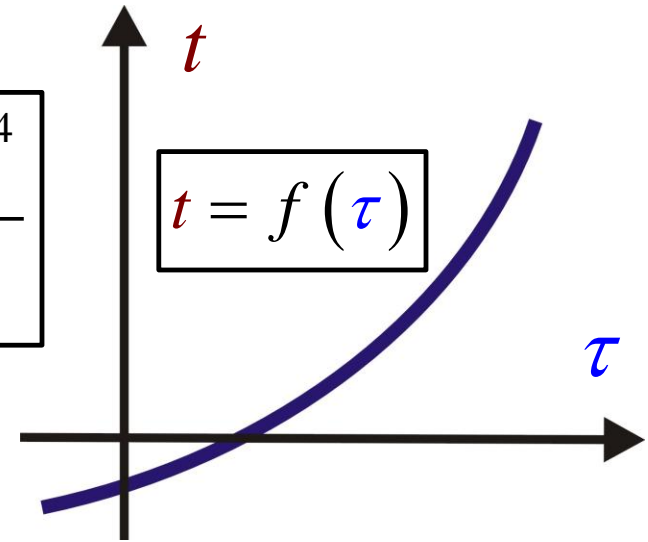
# Goldstone mode manifold

Kitaev' 2015

- Mean-field solution is not unique!

- in the conformal limit one has infinite set of solutions

$$G(\tau_1, \tau_2) \propto \frac{[f'(\tau_1)]^{1/4} [f'(\tau_2)]^{1/4}}{|f(\tau_1) - f(\tau_2)|^{1/2}}$$



- Mean-field solution

- Invariant under conformal transformation  $SL(2, \mathbf{R})$

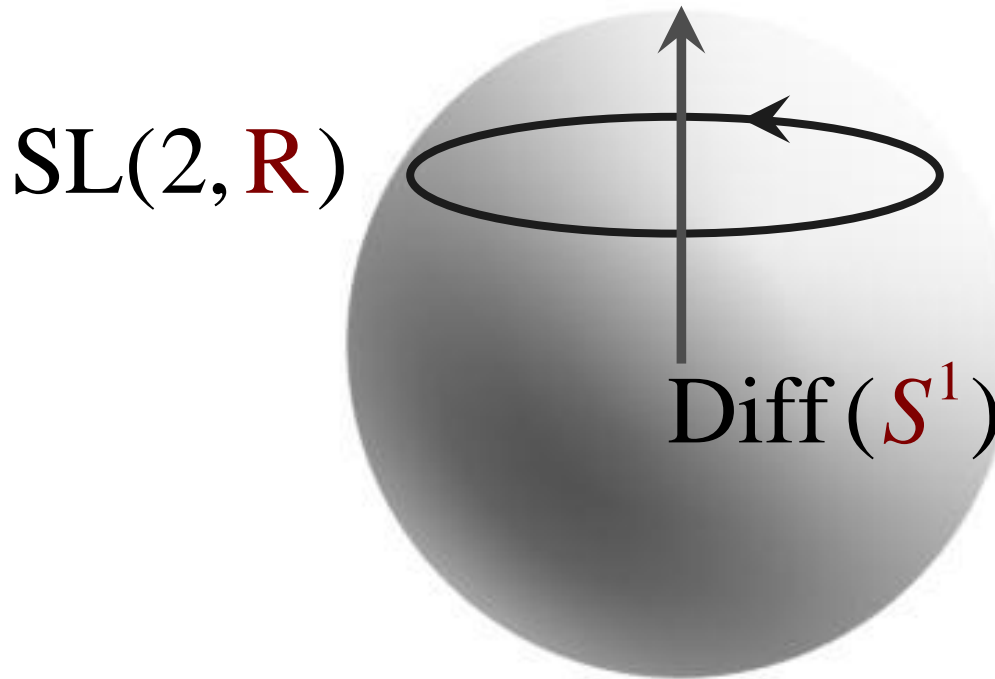
$$\bar{G}_{t-t'}^{ab} \propto -\frac{\delta^{ab}}{\sqrt{J}} \frac{1}{|t-t'|^{1/2}}$$

$$f(\tau) = \frac{a\tau + b}{c\tau + d} \in SL(2, \mathbf{R})$$

# Goldstone mode manifold

- emergence of infinite dimensional soft-mode manifold

$$\text{Diff}(S^1)/\text{SL}(2, \mathbf{R})$$



- **Heisenberg magnet:**  $\text{SU}(2)/\text{U}(1) \sim S^2$



# **Schwarzian action & Liouville quantum mechanics**

# Goldstone action

Kitaev' 2015; J. Maldacena & D. Stanford '2015

- Schwarzian action of reparametrizations

$$S_0[f] = -M \int_{-\infty}^{+\infty} \{f, \tau\} d\tau$$

$$\text{tr} \left( \partial_{t_1} \left( \bar{G}_{12}[f] \right) \partial_{t_2} \left( \bar{G}_{21}[f] \right) \right)$$

The diagram shows a lens-shaped region bounded by two arcs. The top arc is labeled  $\bar{G}_{12}[f]$  and the bottom arc is labeled  $\bar{G}_{21}[f]$ . At the left vertex, there is a purple arrow pointing left labeled  $\partial_{t_1}$ . At the right vertex, there is a purple arrow pointing right labeled  $\partial_{t_2}$ . The entire expression is enclosed in large parentheses.

at  $t > M$  fluctuations become strong

- the Schwarzian derivative is defined by

$$\{f, \tau\} \equiv \frac{f'''}{f'} - \frac{3}{2} \left( \frac{f''}{f'} \right)^2, \quad M \propto N \ln N / J$$

- and respects the coset structure versus  $H = \text{SL}(2, \mathbb{R})$

$$\{h \circ f, \tau\} = \{f, \tau\} \quad \text{if } h(t) = \frac{at + b}{ct + d} \in \text{SL}(2, \mathbb{R})$$

# Green's function

**Q: What is the IR limit of Green's function?**

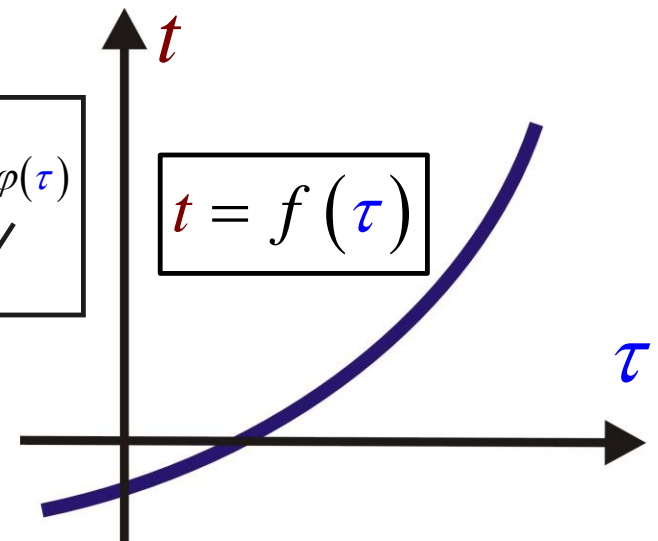
$$G(\tau_1 - \tau_2) \propto \mp \int_{G/H} Df(\tau) \times \frac{[f'(\tau_1)]^{1/4} [f'(\tau_2)]^{1/4}}{|f(\tau_1) - f(\tau_2)|^{1/2}} \times e^{-S_0[f]}$$

- average the mean-field result over Goldstone modes

• **Phase representation (measure is flat!)**

$$S_0[\varphi] = \frac{M}{2} \int_{-\infty}^{+\infty} [\varphi'(\tau)]^2 d\tau, \quad f'(\tau) = e^{\varphi(\tau)}$$

non-compact phase



# Green's function

**Q: What is the IR limit of Green's function?**

$$G(\tau_1 - \tau_2) \propto \int_0^{+\infty} \frac{d\alpha}{\sqrt{\alpha}} \left\langle e^{\frac{1}{4}\varphi(\tau_1)} e^{\frac{1}{4}\varphi(\tau_2)} \exp \left[ -\alpha \int_{\tau_1}^{\tau_2} e^{\varphi(\tau)} d\tau \right] \right\rangle_{\varphi}$$

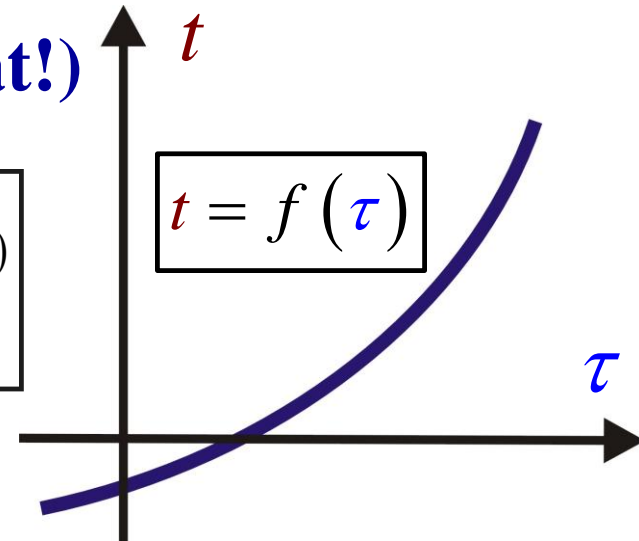
Vertex operators

Liouville potential

• Phase representation (measure is flat!)

$$S_0[\varphi] = \frac{M}{2} \int_{-\infty}^{+\infty} [\varphi'(\tau)]^2 d\tau, \quad f'(\tau) = e^{\varphi(\tau)}$$

non-compact phase



# Liouville QM

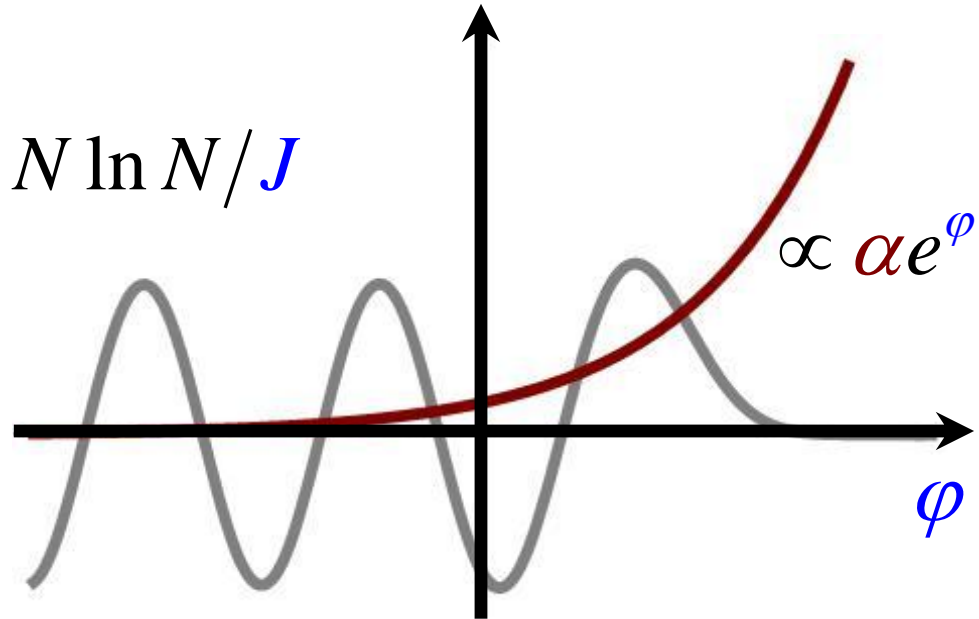
- Effective Hamiltonian

$$\langle \varphi | k \rangle \propto K_{2ik} \left( \sqrt{8M\alpha} e^{\varphi/2} \right)$$

$$\hat{H} = -\frac{\partial_{\varphi}^2}{2M} + \alpha e^{\varphi}, \quad M \sim N \ln N / J$$

"effective mass"

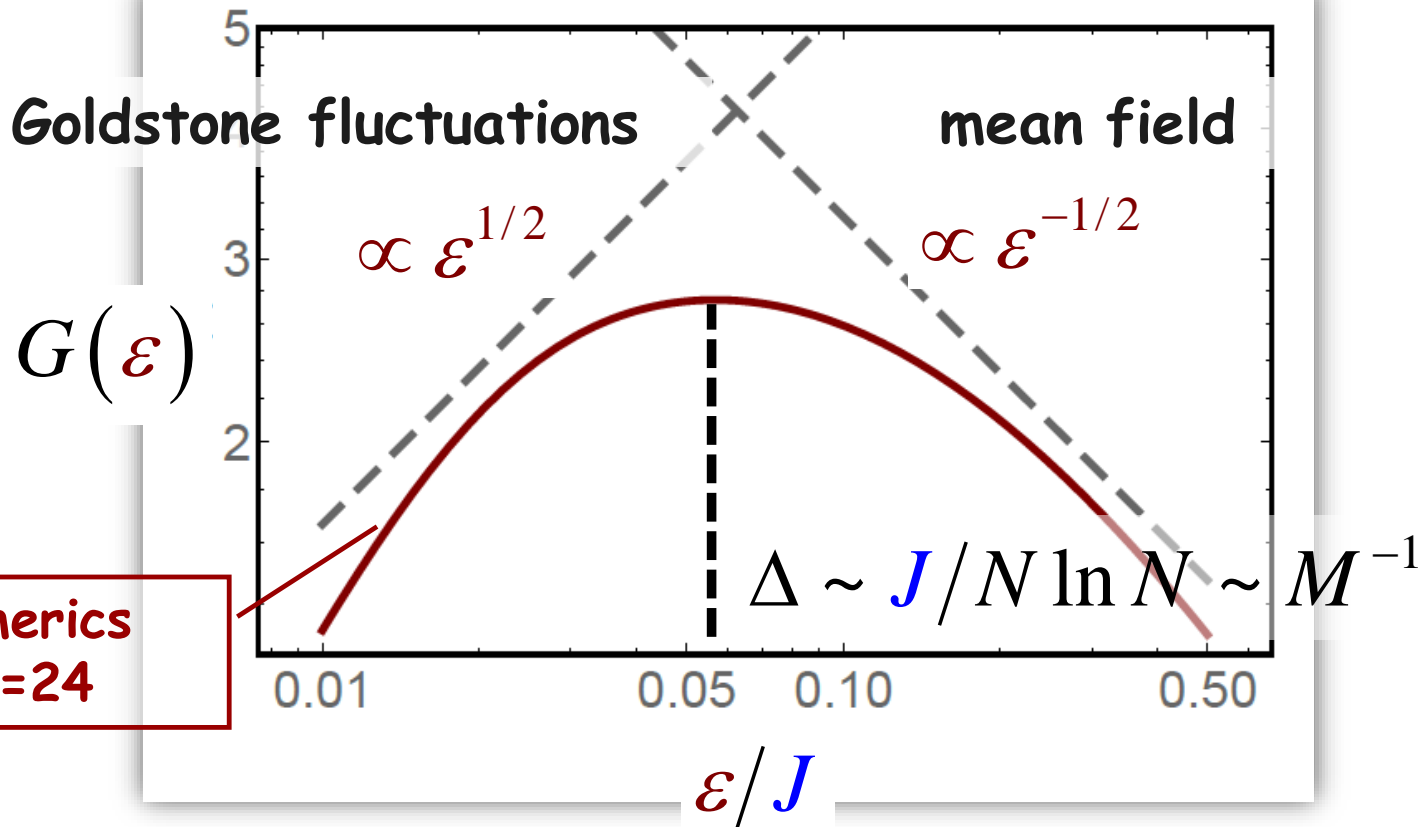
$$k \in \mathbb{R}^+$$



- Spectral decomposition of the Green's function

$$G(\tau) \propto \mp \int_0^{+\infty} \frac{d\alpha}{\sqrt{\alpha}} \sum_k \langle 0 | e^{\frac{1}{4}\varphi} | k_{\alpha} \rangle e^{-|\tau|k^2/2M} \langle k_{\alpha} | e^{\frac{1}{4}\varphi} | 0 \rangle$$

# Green's function



- Time domain:

$$G(\tau) \propto \pm \frac{1}{\sqrt{J}} \begin{cases} |\tau|^{-1/2}, & \tau < 1/\Delta \\ \Delta^{-1} |\tau|^{-3/2}, & \tau > 1/\Delta \end{cases}$$

# Four-point Green's function

$$G_4(\tau_1, \tau_2, \tau_3, \tau_4) = \frac{1}{N^2} \sum_{i,j} \langle \chi_i(\tau_1) \chi_i(\tau_2) \chi_j(\tau_3) \chi_j(\tau_4) \rangle$$

• Time ordering:



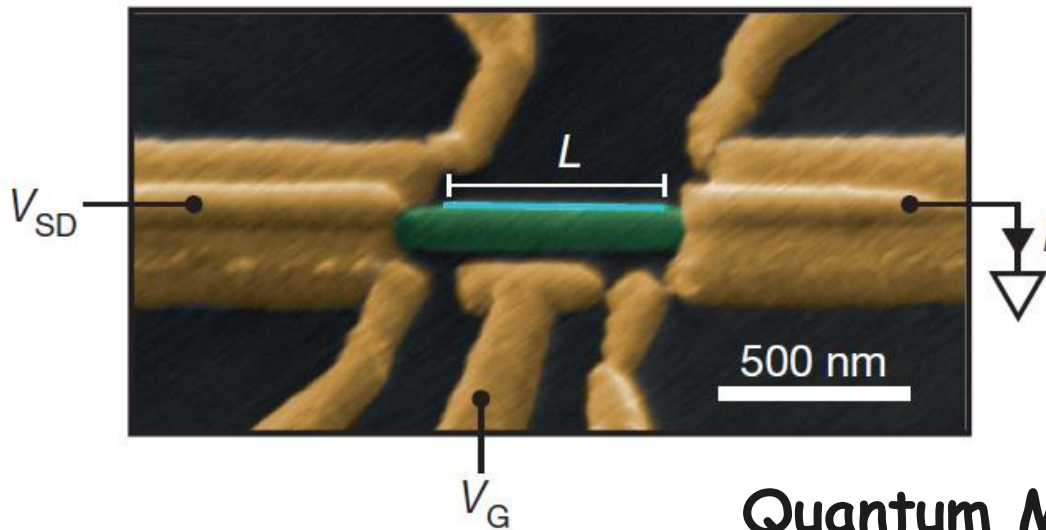
$$G_4(\tau) \propto \begin{cases} |\tau|^{-1}, & \tau < 1/\Delta \\ \Delta^{-1/2} |\tau|^{-3/2}, & \tau > 1/\Delta \end{cases}$$

$\Delta \sim J/N \ln N$   
single-particle level  
spacing

universal long-time decay

# Random mass Dirac model

L. Balents & M. Fisher '97, D. Shelton & A. Tsvelik '98



$$\hat{H} = \begin{pmatrix} -iu\partial_x & m(x) \\ m(x) & iu\partial_x \end{pmatrix}$$

$$\langle m(x)m(x') \rangle_{\text{dis}} \propto \delta(x-x')$$

Quantum Majorana wire at criticality

- Statistics of zero-energy wave functions

$$\left\langle |\psi_0(x)\psi_0(0)|^p \right\rangle_{\text{dis}} \sim L^{-1} |x|^{-3/2}$$

universal (p-independent) decay



# **Complex Sachdev-Ye model**

# Sachdev-Ye model

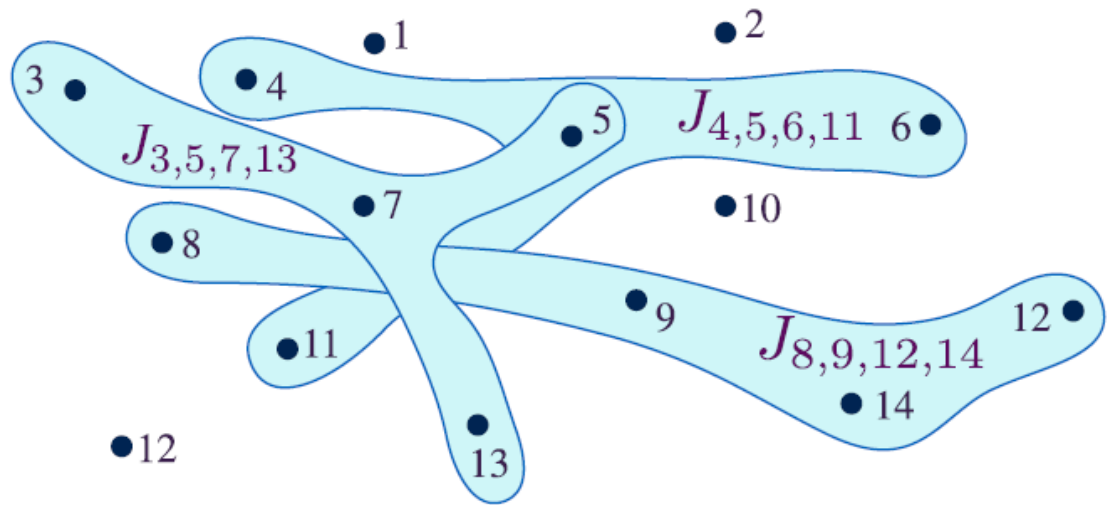
S. Sachdev, PRX 5 (2015) 041025

$$\hat{H} = \frac{1}{(2N)^{3/2}} \sum_{ij,kl}^N J_{ij;kl} c_i^+ c_j^+ c_k c_l - \mu \sum_i c_i^+ c_i$$

Complex couplings  $J$ 's  
are quenched Gaussian  
distributed variables:

$$\left\langle \left| J_{ijkl} \right|^2 \right\rangle = J^2$$

$\{c_i^+, c_j\} = \delta_{ij}$  - true spinless fermions



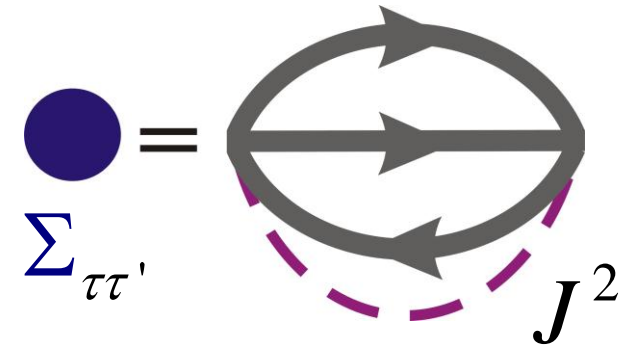
# Mean field solution

- Self-consistent Dyson equation (S. Sachdev, J. Ye '1993)

$$-\left(\cancel{\partial_\tau} + \Sigma\right) \bullet G = 1, \quad \Sigma_{\tau\tau'} = -J^2 \left[ G_{\tau\tau'} \right]^2 G_{\tau'\tau}$$

- Mean-field solution (T=0)

$$\bar{G}_{t-t'} = -\langle c_i(t) c_i^+(t') \rangle \propto \mp \frac{\sin(\pi/4 \pm \theta)}{|t-t'|^{1/2}}$$



- Charge

$$Q = \frac{1}{2} - \frac{\theta}{\pi} + \frac{\sin(2\theta)}{4}$$

Analogue of Fermi momentum

# Soft-mode manifold

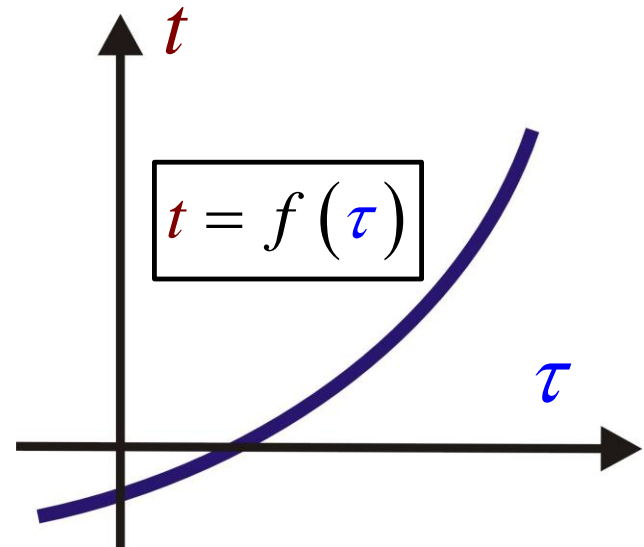
- Mean-field solution is not unique!
  - in the conformal limit one has infinite set of solutions

$$G(\tau_1, \tau_2) \propto \mp \sin(\pi/4 \pm \theta) \frac{[f'(\tau_1) f'(\tau_2)]^{1/4}}{|f(\tau_1) - f(\tau_2)|^{1/2}} e^{i(\sigma(\tau_1) - \sigma(\tau_2))}$$

- Two Goldstone modes:

- $f(t) \in \text{Diff}(\mathbb{R})$
- $\sigma(t) \in U(1)$

Emergent  $U(1)$ -gauge symmetry!



# High-T saddle point

$$\bar{G}_\tau \propto \mp \frac{\sin(\pi/4 \pm \theta)}{\sin^{1/2}[\pi|\tau|/\beta]} e^{-2\pi\Xi\tau/\beta}$$

- reparametrization & U(1) phase:  $f(\tau) = \tan[\pi\tau/\beta]$ ,

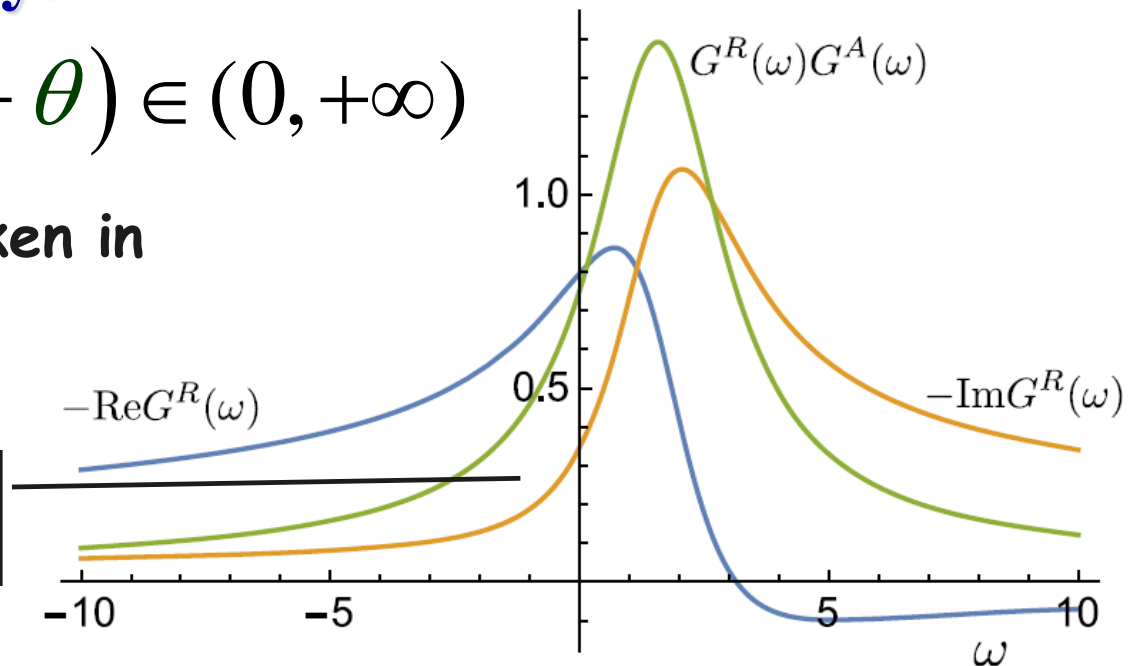
$$\sigma(\tau) = 2\pi i \Xi \tau / \beta$$

## • Spectral asymmetry:

$$e^{2\pi\Xi} = \tan(\pi/4 + \theta) \in (0, +\infty)$$

p/h-symmetry is broken in complex SYK model

$$T > J/N \ln N$$



# Goldstone action

- Schwarzian action coupled to U(1) gauge field

$$S_0[\varphi, \sigma] = \frac{M}{2} \int_{-\infty}^{+\infty} [\varphi'(\tau)]^2 d\tau + \frac{K}{2} \int_{-\infty}^{+\infty} [\sigma'(\tau)]^2 d\tau + \frac{i\Lambda}{2} \int_{-\infty}^{+\infty} \varphi'(\tau) \sigma'(\tau) d\tau$$

non-compact  
phase/Schwarzian

U(1) compact  
phase

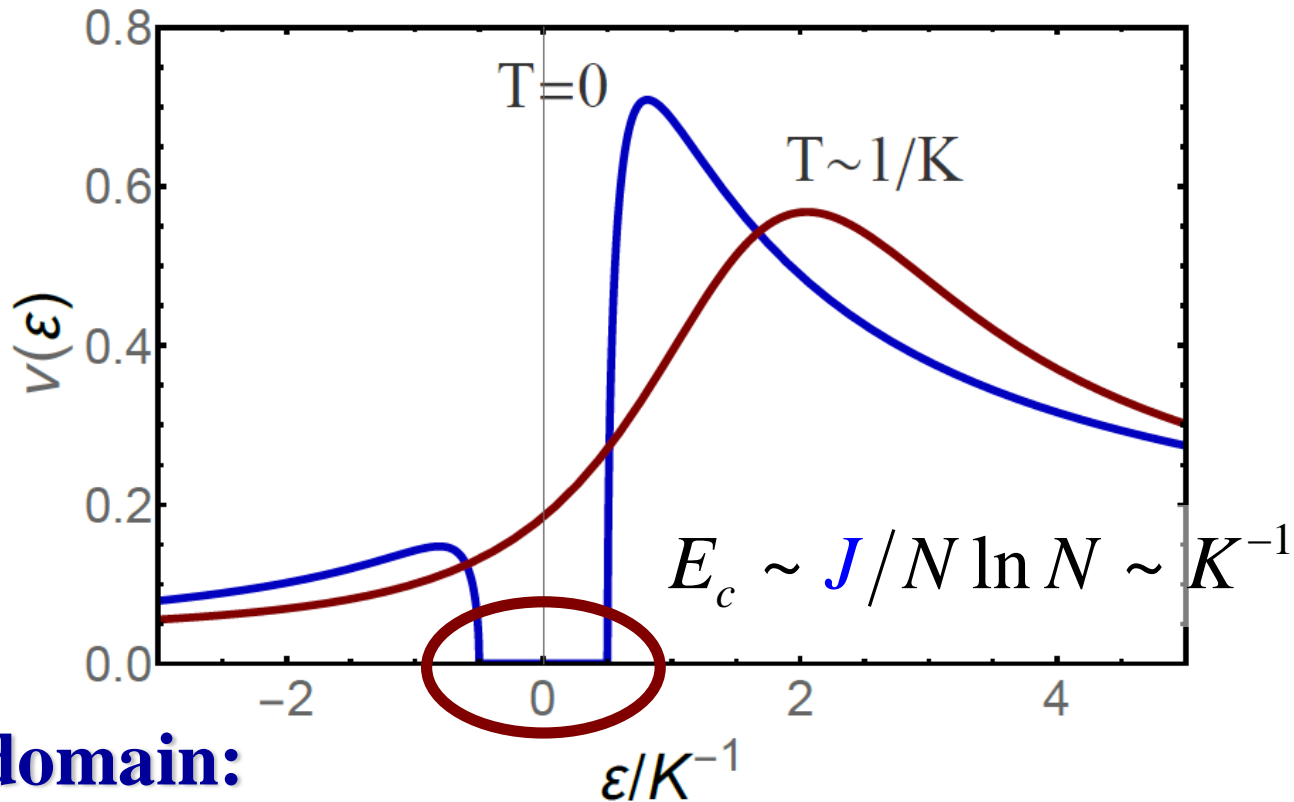
- Coupling constants:  $M \sim K \propto N \ln N / J$ ,  $\Lambda \sim K / \ln N \ll K$

- Two-point Green's function beyond mean-field:

$$G(\tau_{12}) \propto \mp \int_{G/H} D[f_\tau, \sigma_\tau] \times \frac{[f'(\tau_1) f'(\tau_2)]^{1/4}}{|f(\tau_1) - f(\tau_2)|^{1/2}} e^{i(\sigma(\tau_1) - \sigma(\tau_2))} \times e^{-S_0[f, \sigma]}$$

# Tunneling DoS

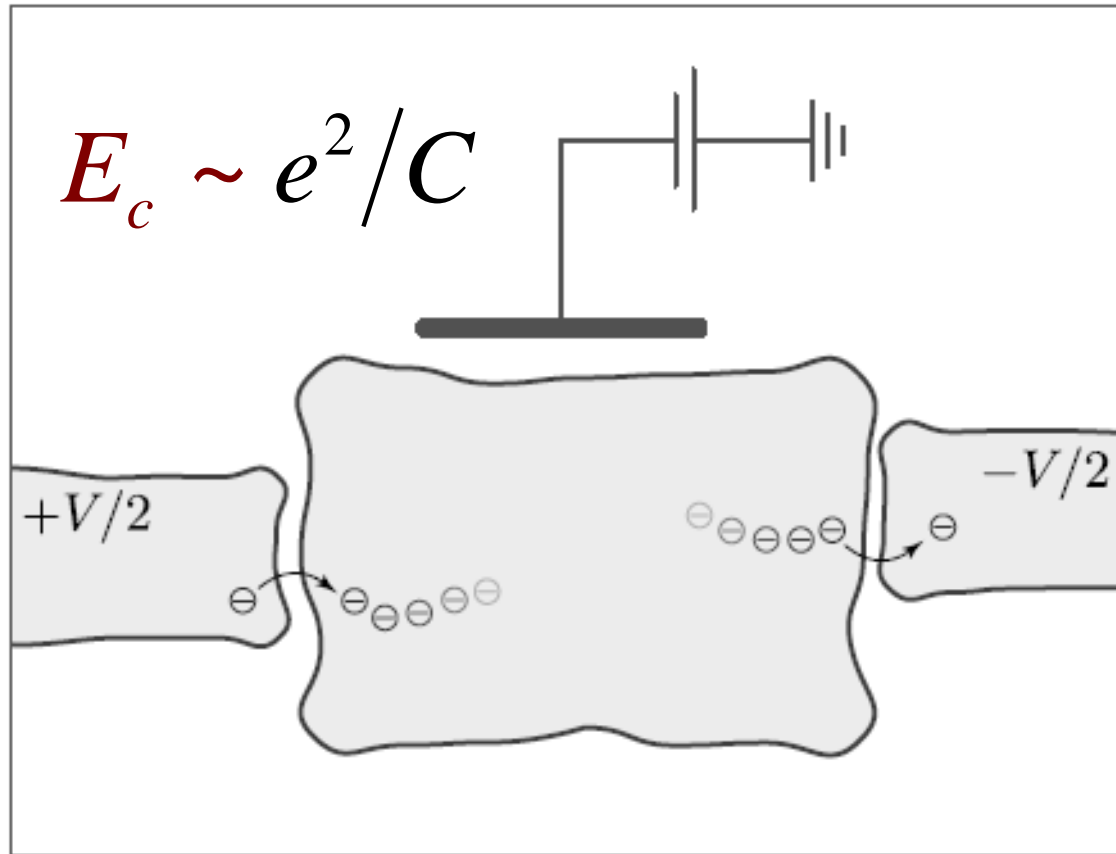
complex SYK model shows Coulomb blockade at low T



• **Time domain:**

$$G(\tau) \propto \pm \begin{cases} |\tau|^{-1/2}, & \tau < 1/E_c \\ E_c^{-1} |\tau|^{-3/2} e^{-E_c|\tau|/2}, & \tau > 1/E_c \end{cases}$$

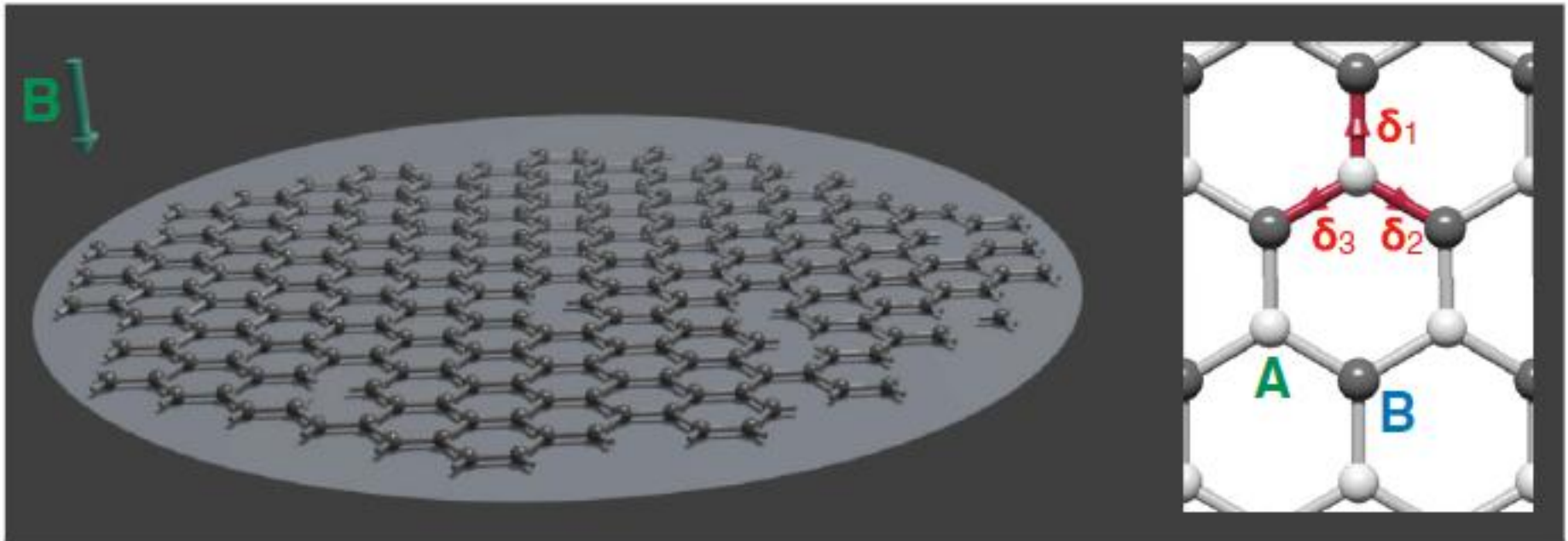
# Coulomb blockade



- Mesoscopic quantum dot: transport is blocked at low  $eV < E_c$ ; U(1) phase stems from real e.m. field



- **Quantum Holography in a Graphene Flake with an Irregular Boundary**
  - the proposal for an experimental realization of the complex SYK model



A. Chen, R. Ilan, F. de Jaun, D. Pikulin and M. Franz,  
PRL 121, 036403 (2018)

# Summary

- **Conformal symmetry breaking in SYK model leads to large Goldstone mode fluctuations**
- **Fluctuations qualitatively affect physics at large time scales and low energies,**

$$t > N \ln N / J$$

- **... and modify correlation functions**
- **Complex SYK model shows emergent Coulomb blockade at low T**