

"GW + DMFT"

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Cf. early related ideas by
P. Sun, G. Kotliar, S. Savrasov, A. Lichtenstein

Motivation:

* DMFT local: $\Sigma(\mathbf{k}, \omega)$

* "realistic interactions" ?

"LDA + DMFT":

$$H = H_{LDA} + \text{Hubbard-}U - \text{Double counting}$$

Reality:

long-range interactions, screening ...

Basic idea:

* $\left\{ \begin{array}{l} \text{local physics} \rightarrow \text{DMFT} \\ \text{nonlocal aspects} \rightarrow \text{GW} \end{array} \right.$

* interactions from GW/E-DMFT

Functional point of view

[R. Chitra & G. Kotliar, PRB 63, 115110 (2001),

C.O. Almbladh et al., J. Mod. Phys. 813, 535 (1999)]

Free energy:

$$\Gamma[G, W] = \text{Tr} \ln G - \text{Tr} [(G_0^{-1} - G^{-1}) G] \\ - \frac{1}{2} \text{Tr} \ln W + \frac{1}{2} \text{Tr} [(V^{-1} - W^{-1}) W] \\ + \mathcal{F}[G, W]$$

is a functional of $G = \langle \psi \psi \rangle$

and $W = V - V \chi V$

with

$$\mathcal{F}[G, W] = i \int_0^1 dx \int d\mathbf{r} d\tau \langle \phi(\mathbf{r}, \tau) (\psi^\dagger(\mathbf{r}, \tau) \psi(\mathbf{r}, \tau) - h(\mathbf{r})) \rangle$$

Construction of $\mathcal{F}[G, W]$

$$H = -\frac{1}{2} \sum_i [\nabla_i^2 + v_{\text{ext}}(\mathbf{r}_i)] + \frac{1}{2} \sum_{i \neq j} V(\mathbf{r}_i - \mathbf{r}_j)$$

$$Z = \int \mathcal{D}\psi \mathcal{D}\psi^\dagger e^{-S}$$

$$S = -\int \psi^\dagger (i\omega - H_0 - V_{\text{Hartree}}) \psi + \frac{1}{2} \int : \hat{n}(\mathbf{r}) : V(\mathbf{r} - \mathbf{r}') : \hat{n}(\mathbf{r}') :$$

Introduce:

- Hubbard-Stratonovich field $\varphi(\mathbf{r}, \tau)$
- coupling constant α
- source terms $\int \Sigma \psi^\dagger \psi + \frac{1}{2} \int \mathcal{P} \varphi \varphi$

Define $G = -\langle T \psi \psi^\dagger \rangle$
 $W = \langle T \varphi \varphi \rangle$

$$\mathcal{F}[\Sigma, \mathcal{P}] = \ln \int \mathcal{D}\psi \mathcal{D}\psi^\dagger \mathcal{D}\varphi e^{-S[\Sigma, \mathcal{P}]}$$

$$S[\Sigma, \mathcal{P}] = -\int \psi^\dagger G_0^{-1} \psi + \frac{1}{2} \int \varphi V^{-1} \varphi - i\alpha \int \varphi (\psi^\dagger \psi - n) \\ + \int \Sigma \psi^\dagger \psi + \frac{1}{2} \int \mathcal{P} \varphi \varphi$$

Legendre transf. w.r.t. $G = -\frac{\delta \mathcal{F}}{\delta \Sigma}$, $W = 2 \frac{\delta \mathcal{F}}{\delta \mathcal{P}}$

$$\Gamma[G, W] = \mathcal{F} + \text{tr}[\Sigma G] - \frac{1}{2} \text{tr}[\mathcal{P} W]$$

$$\equiv \Gamma_{\alpha=0}[G, W] + \mathcal{F}[G, W]$$

Approximations ?

$$E\text{-DMFT} : \quad \mathcal{F} = \mathcal{F}_{\text{imp}}$$

$$GW : \quad \mathcal{F}_{\text{GW}} = -\frac{1}{2} \text{Tr} GWG$$

$$\begin{aligned} \text{"GW + DMFT"} : \quad \mathcal{F} &= \mathcal{F}_{\text{local}} + \mathcal{F}_{\text{nonlocal}} \\ &= \mathcal{F}_{\text{imp}} + \mathcal{F}_{\text{GW}}^{\text{nonlocal}} \end{aligned}$$

E-DMFT (Chitra & Kotliar; Si & Smith ...)

\mathcal{F}_{imp} from local impurity model :

$$S_{\text{imp}} = \int d\tau d\tau' \left[-\sum c_{L_1}^+(\tau) \mathcal{J}_{L_1 L_1'}^{-1}(\tau-\tau') c_{L_1}(\tau') \right.$$

$$\left. + \frac{1}{2} \sum : c_{L_1}^+(\tau) c_{L_2}(\tau) : U_{L_1 L_2 L_3 L_4}(\tau-\tau') : c_{L_3}^+(\tau') c_{L_4}(\tau') : \right]$$

$$G_{\text{imp}}(\tau) = -\langle T c(\tau) c^+(0) \rangle_{S_{\text{imp}}}$$

$$\Sigma_{\text{imp}} = \mathcal{J}_0^{-1} - G_{\text{imp}}^{-1}$$

GW (L. Hedin)

$$\mathcal{F} = -\frac{1}{2} \text{Tr} GWG$$

$$\Sigma = \frac{\delta \mathcal{F}}{\delta G} = -GW$$

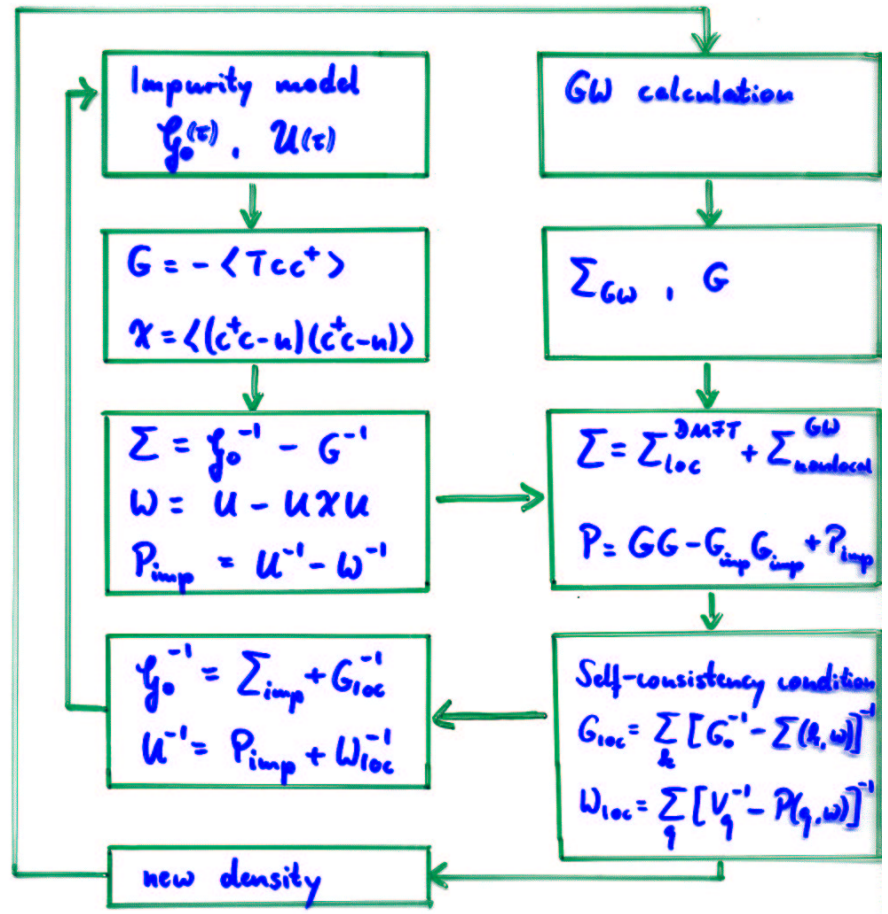
$$P = -\frac{\delta \mathcal{F}}{\delta W} = GG$$

"GW + DMFT"

$$\chi = -\frac{1}{2} \int d\tau \sum_{l_1 \dots l_2} \sum_{R \neq R'} G_{l_1 l_1'}^{RR'}(\tau) W_{l_1 l_2 l_1' l_2'}^{RR'}(\tau) G_{l_2 l_2'}^{R'R}(-\tau) + \chi_{imp}$$

$$= \chi_{GW} - \chi_{GW}^{local} [G^{RR}, W^{RR}] + \chi_{imp}$$

$$\Rightarrow \begin{cases} \Sigma^{xc}(k, i\omega) = \Sigma_{GW}^{xc}(k, i\omega) - \sum_{\xi} \Sigma_{GW}^{xc} + \Sigma_{imp}(i\omega) \\ P(q, i\nu_n) = P^{GW}(q, i\nu_n) - \sum_q P^{GW}(q, i\nu_n) + P_{imp}(i\nu_n) \end{cases}$$



Technical aspects

* dynamical impurity model

- P. Sun & G. Kotliar
- S. Florens, work in progress

here: static approximation

* "local" = "onsite" in LMTO sense

* "two-particle basis"

$$\text{E.g. } W(\tau, \tau') = \sum_{RR'} \sum_{\alpha\beta} \mathcal{P}_\alpha^R(\tau) W_{\alpha\beta}^{RR'}(\omega) \mathcal{P}_\beta^{R'}(\tau')^*$$

\mathcal{P} = linear combination of $\phi_L(\tau) \phi_S(\tau)$

Simplified implementation

$$G_{loc}(i\omega) = \sum_{\mathbf{k}} [G_0^{-1} - \Sigma(\mathbf{k}, i\omega)]^{-1}$$

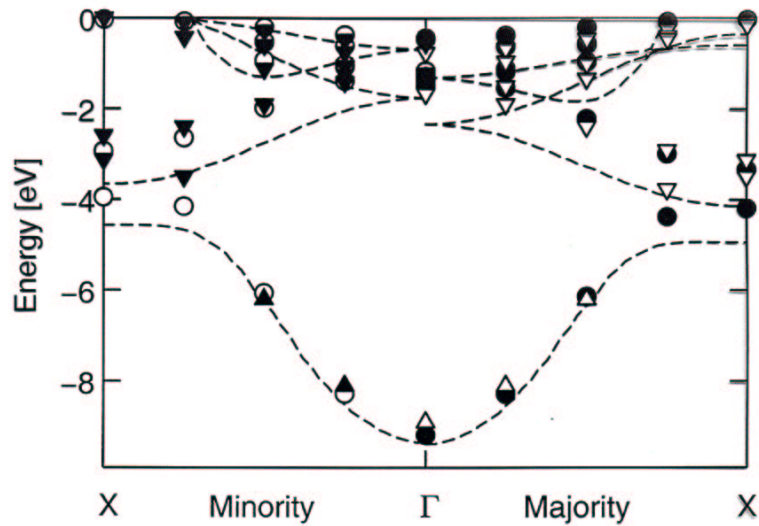
$$= \sum_{\mathbf{k}} \left[i\omega + \mu - H_{LDA} + V_{xc}^{LDA} - \underbrace{\sum_{GW}^{nonlocal} - \sum_{DMFT}} \right]^{-1}$$

replaced by

$$\sum_{\mathbf{k}} \left[i\omega + \mu - H_{LDA} + V_{xc}^{LDA} \right]_{nonlocal} + \sum_{double\ counting} - \sum_{GW}^{nonlocal} - \sum_{DMFT} \Big]^{-1}$$

\Rightarrow Non-selfconsistent GW + local Σ
from static impurity model

Ni bands from " $\Sigma_{GW}^{nonlocal} + \Sigma_{imp}$ "



circles : "GW + DMFT"
 dashed : LDA
 triangles : experiment (Biermann et al. '02,
 & Mårtensson & Nilsson, 1984)

$\Sigma_{GW}^{nonlocal} + \Sigma_{imp}$

