

# Cooling of self-interacting dark matter halos & the birth of the first supermassive black holes

Yi-Ming Zhong

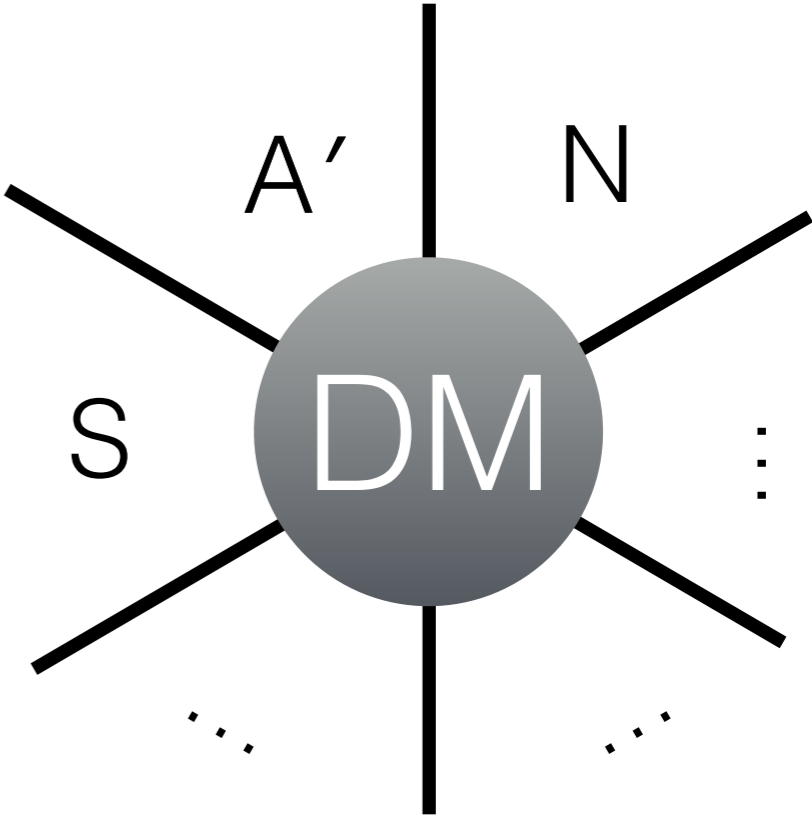
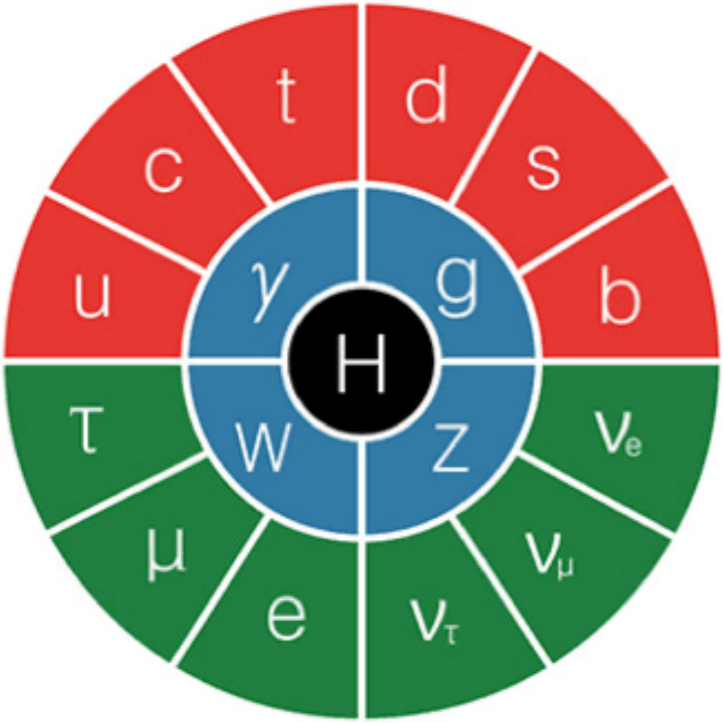
Boston University

In collaboration w/ R. Essig, S. McDermott, H.-B. Yu  
Work in progress

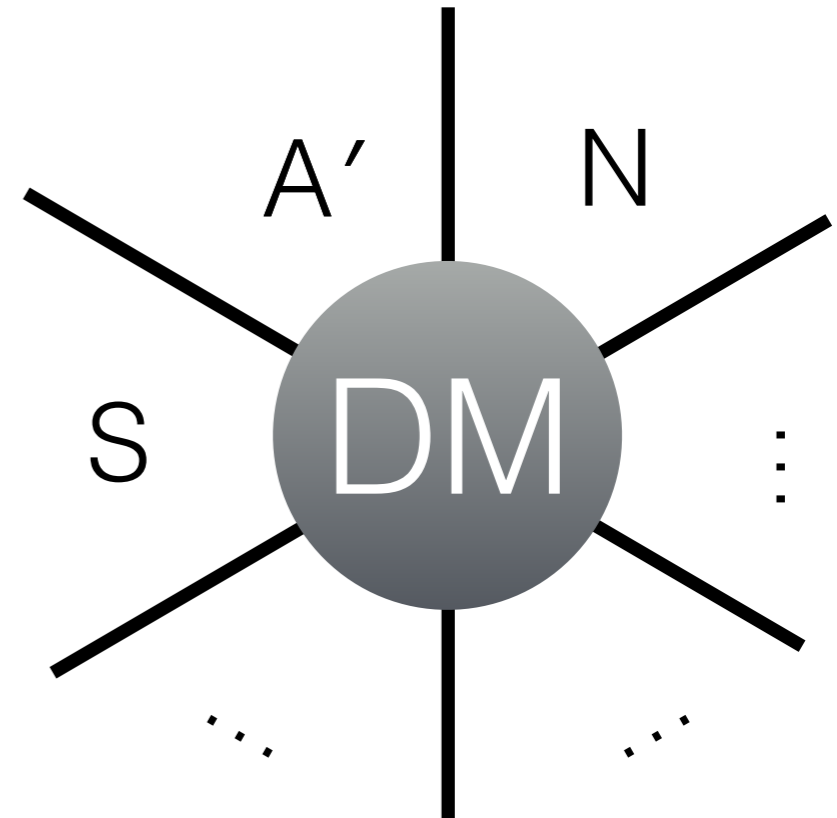
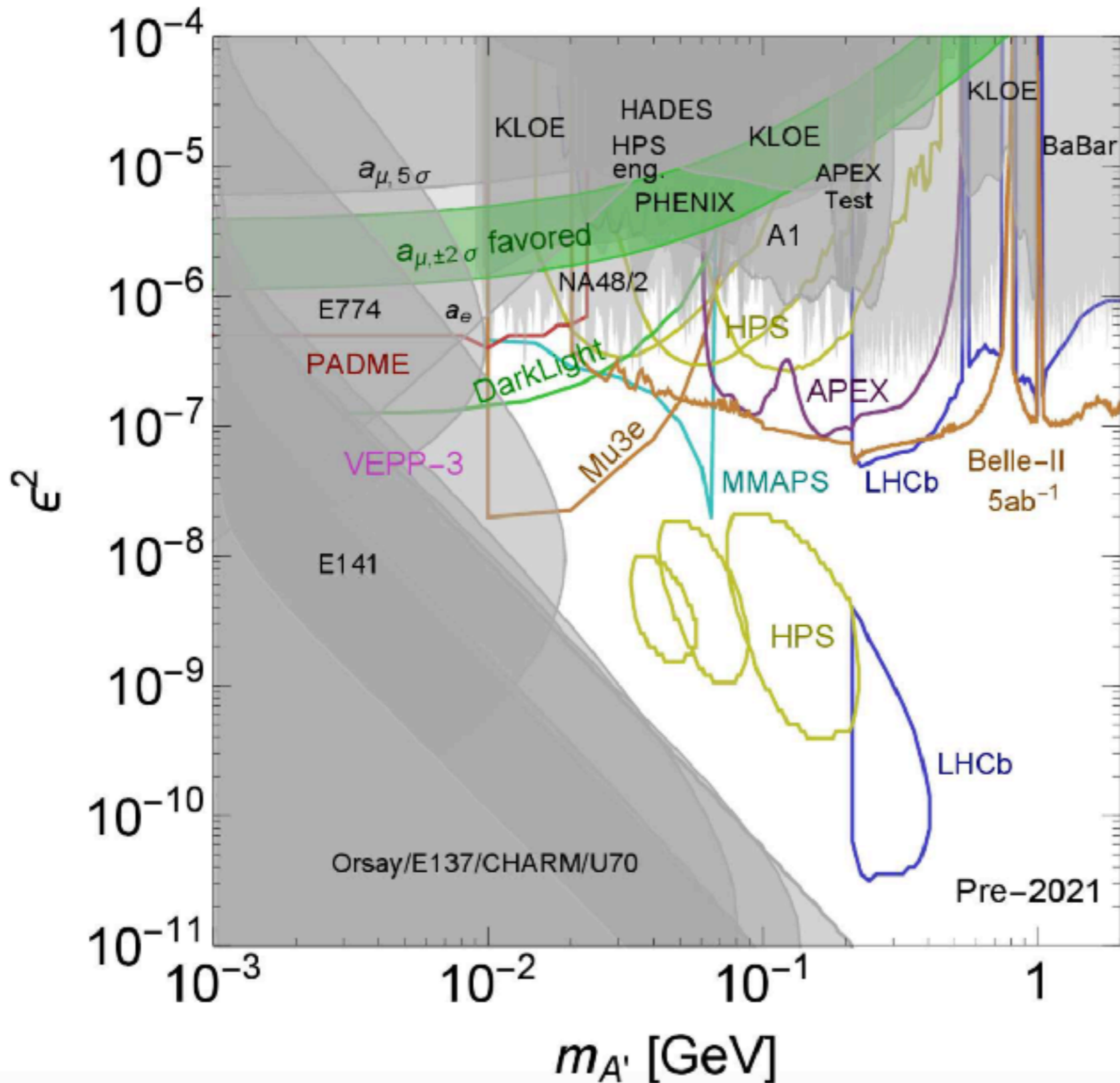
The Small-Scale Structure of Cold(?) Dark Matter, KITP, 05/16/2018

# The dark sector paradigm

from Particle Fever



# The dark sector paradigm

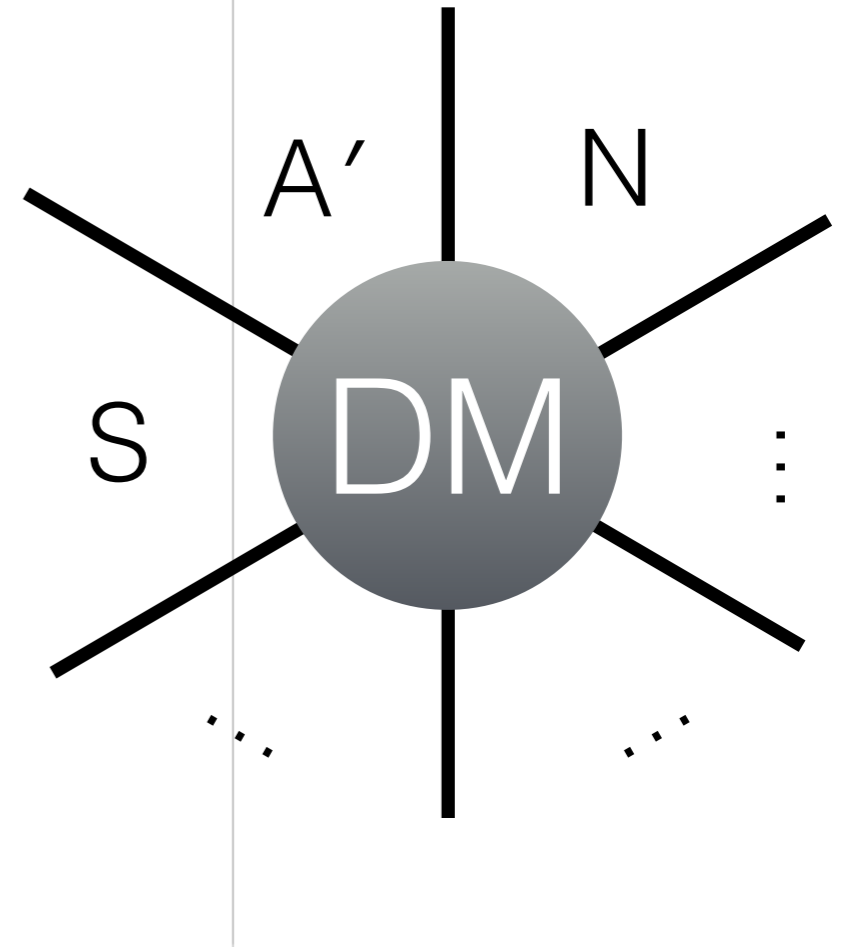
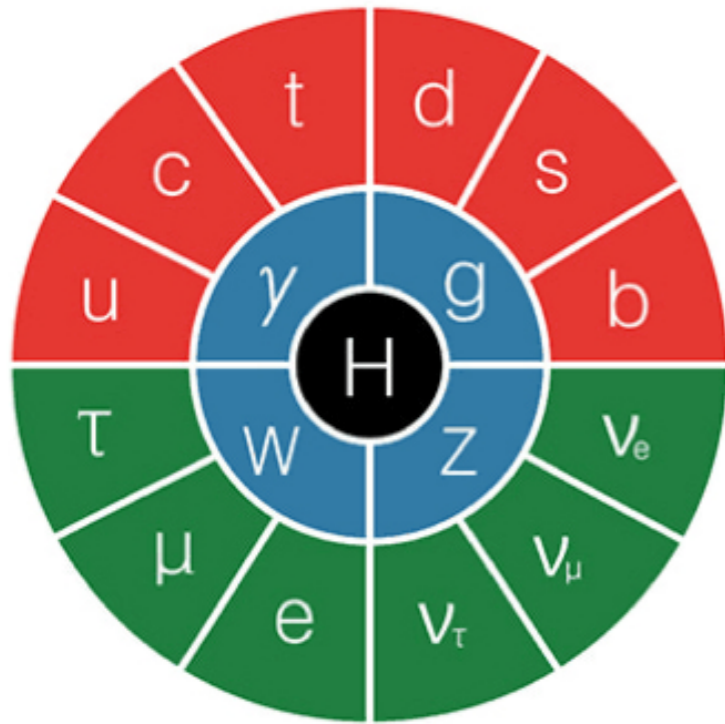


A' search  
(2017)

from US Cosmic Visions

# The dark sector paradigm

from Particle Fever

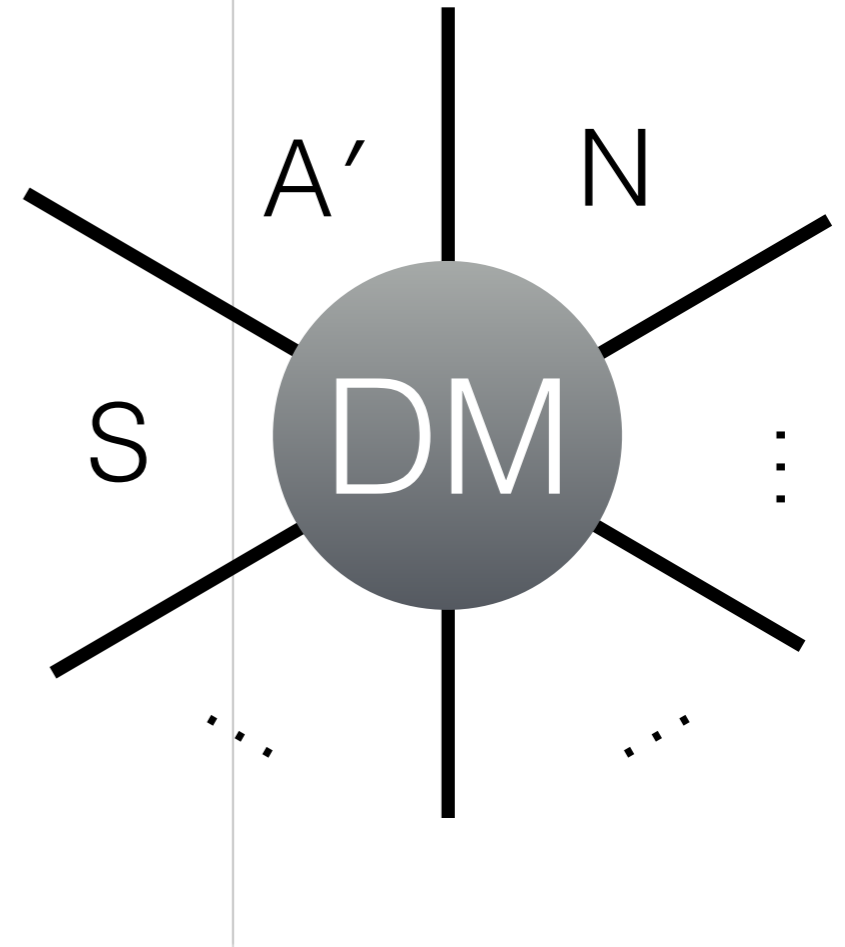
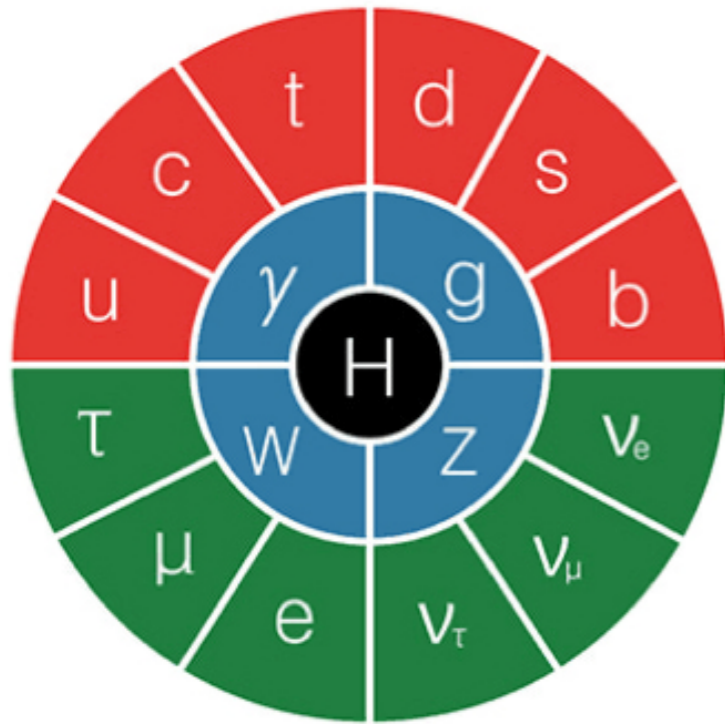


**What if dark sectors completely decouple from the visible sector?**



# The dark sector paradigm

from Particle Fever

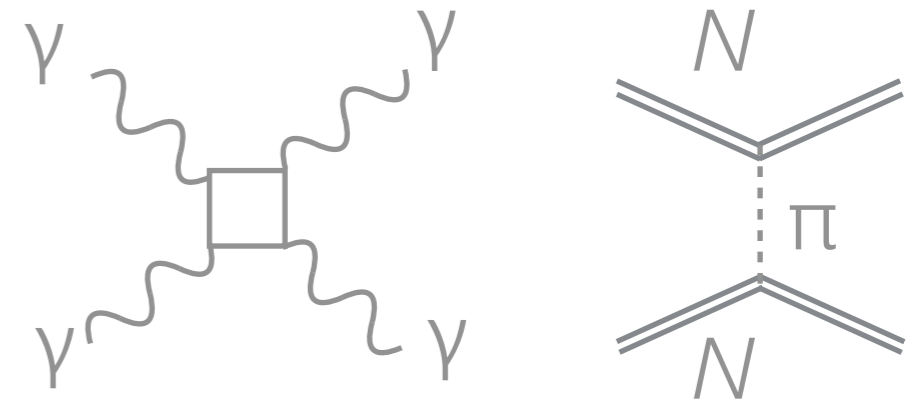


**Use gravitational probes**

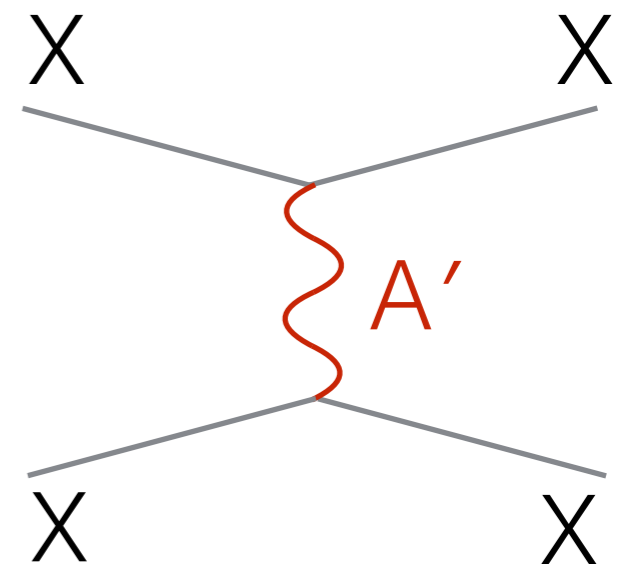
# Example: self-interacting DM

1. Self-interactions are common for normal matter

Why not dark matter?

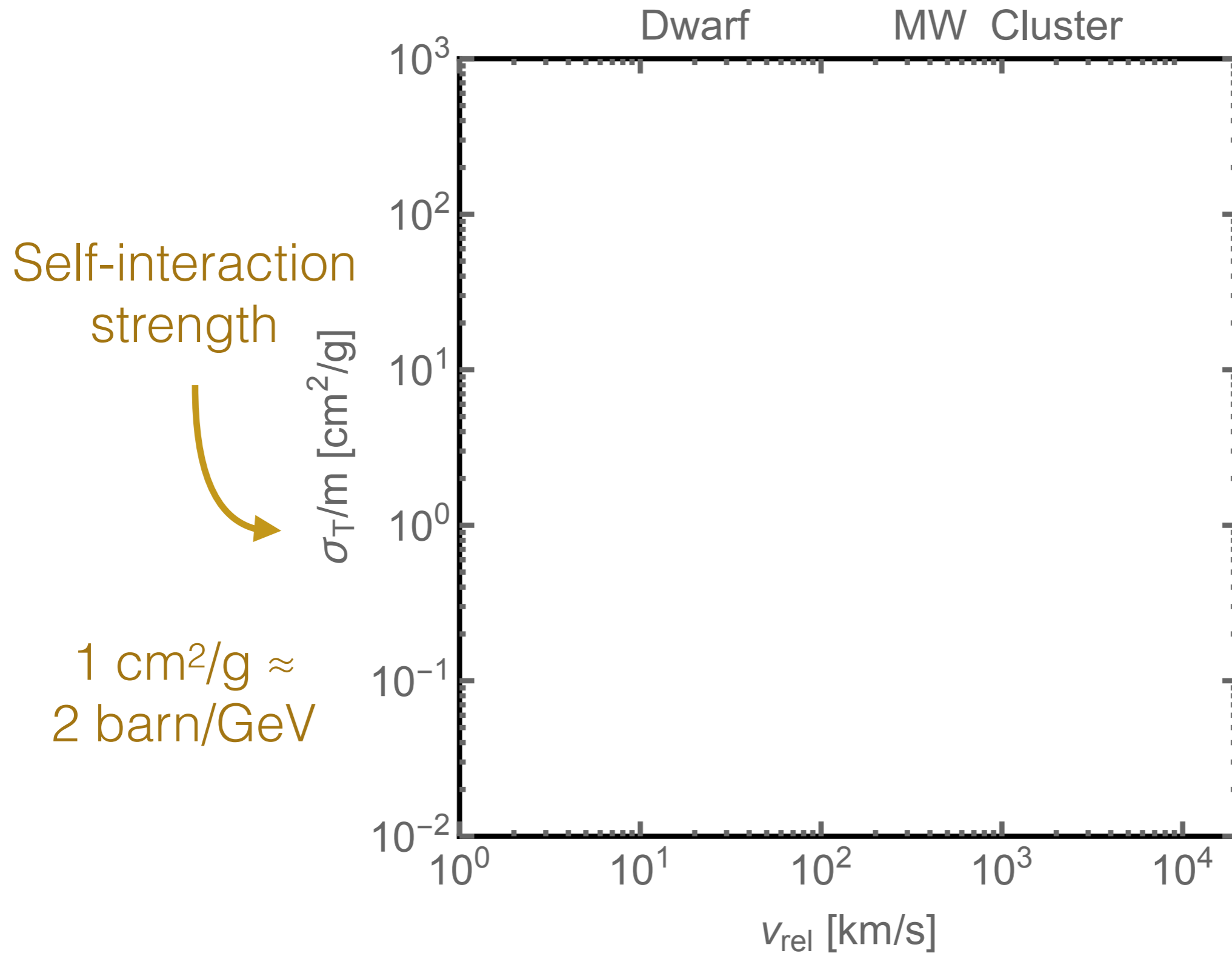


2. Significant self-interaction in DM dense regions (e.g. center of a halo)
3. Negligible self-interaction in DM sparse regions (e.g. large scale)

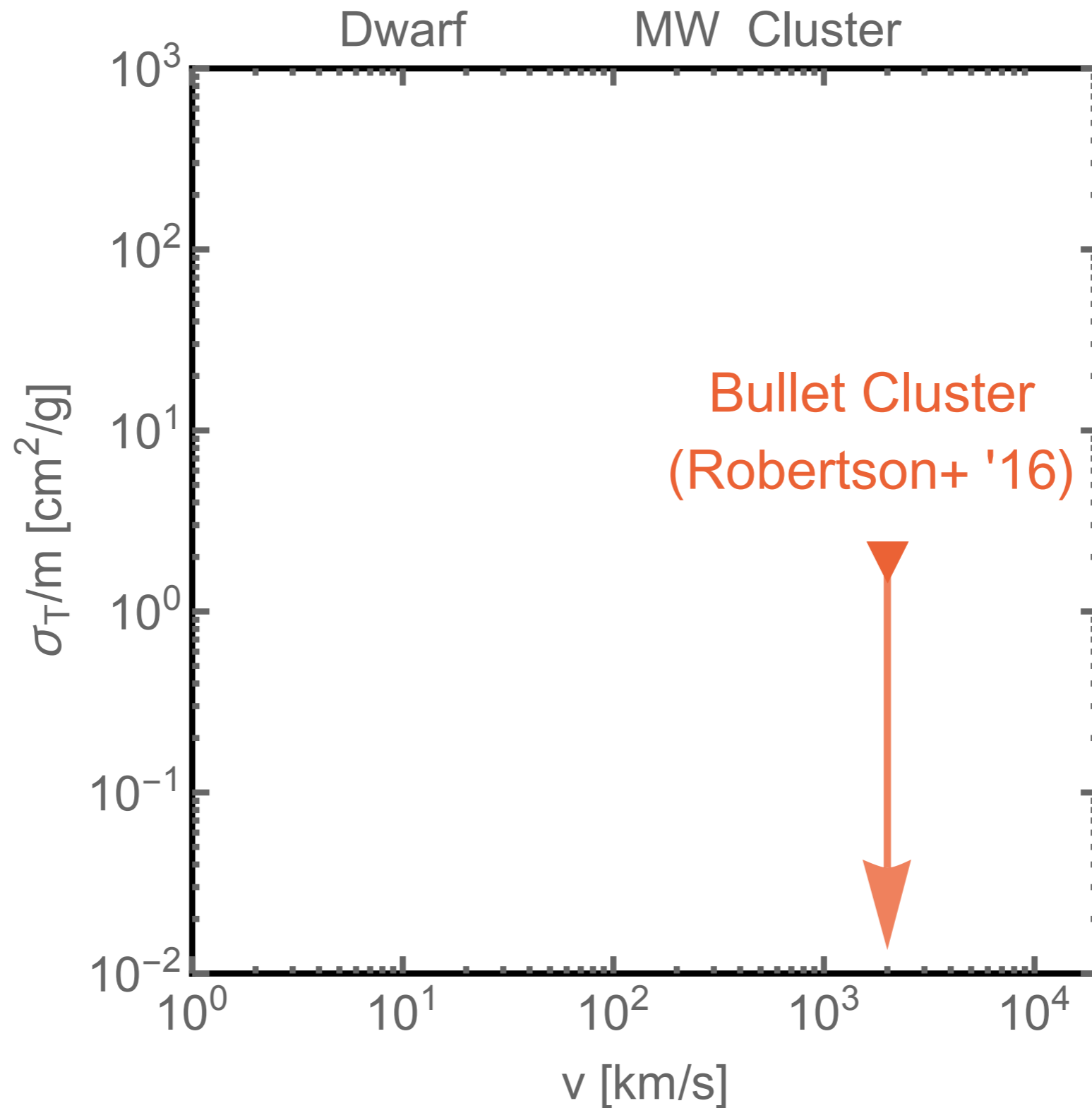


Spergel & Steinhardt '00  
see review by Tulin & Yu '16

# Probing SIDM in astrophysics

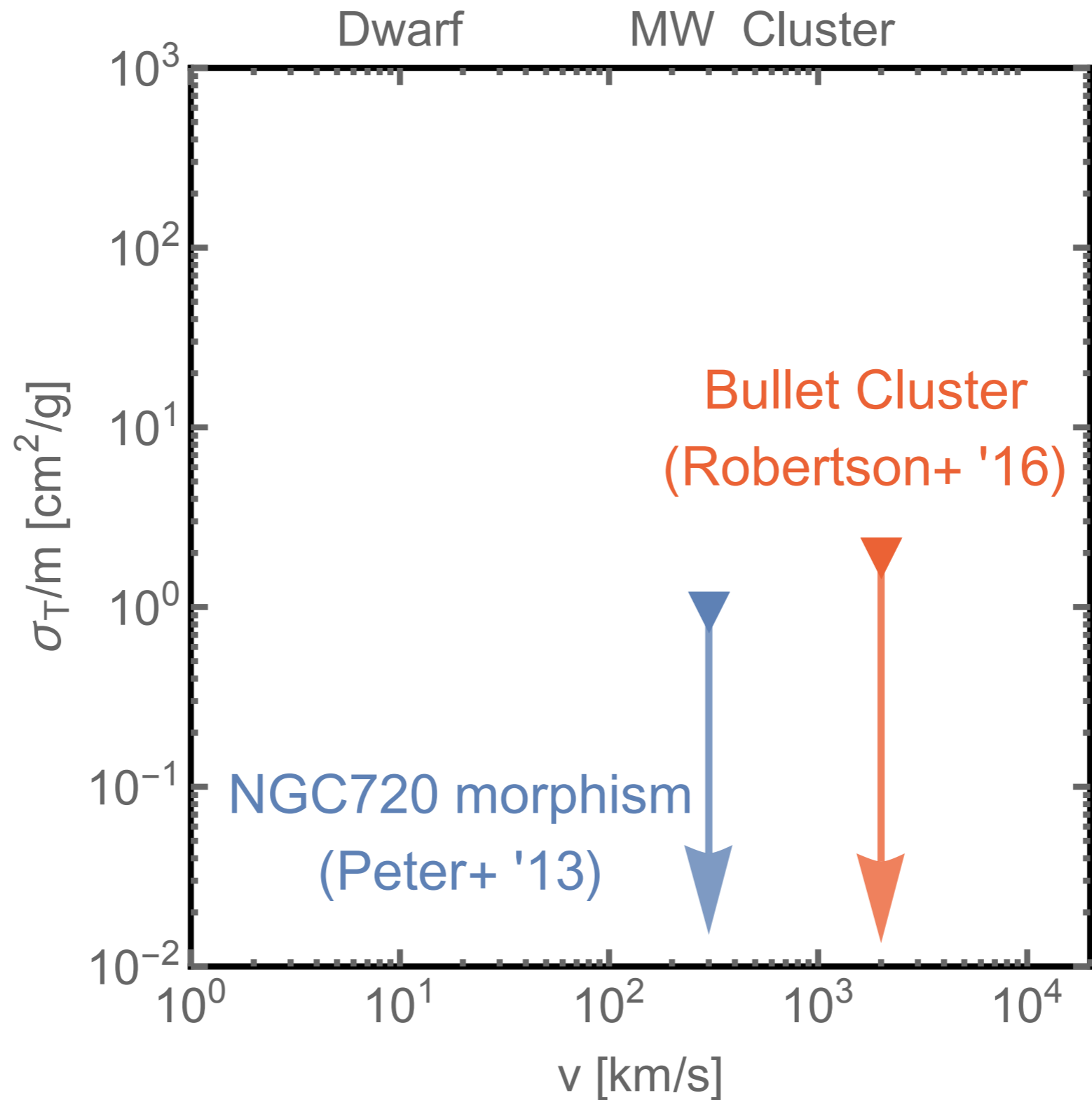


# Cluster crossing

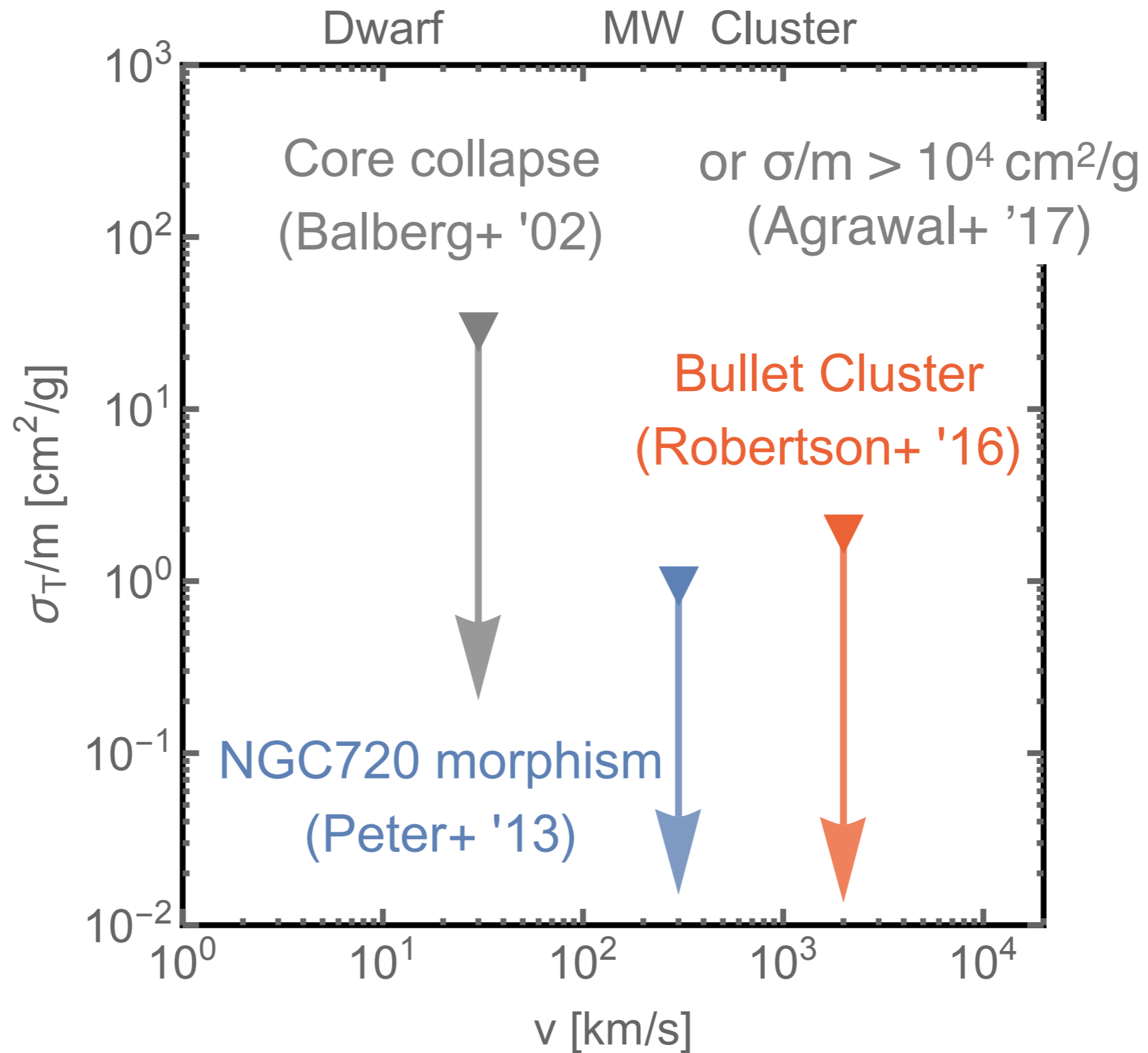




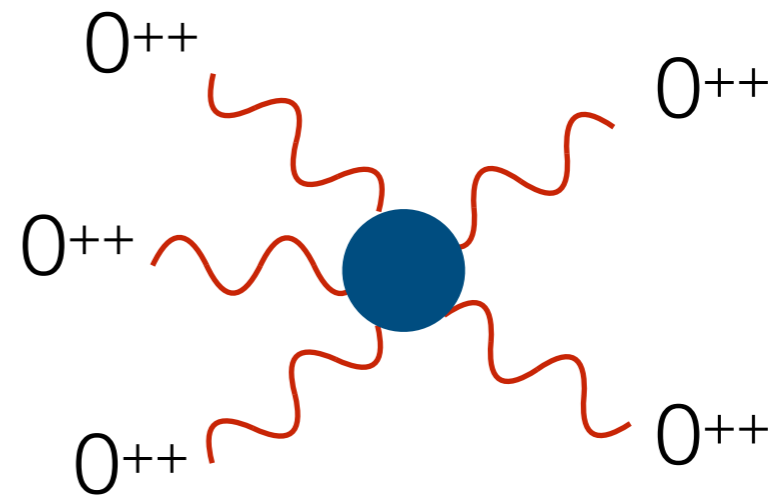
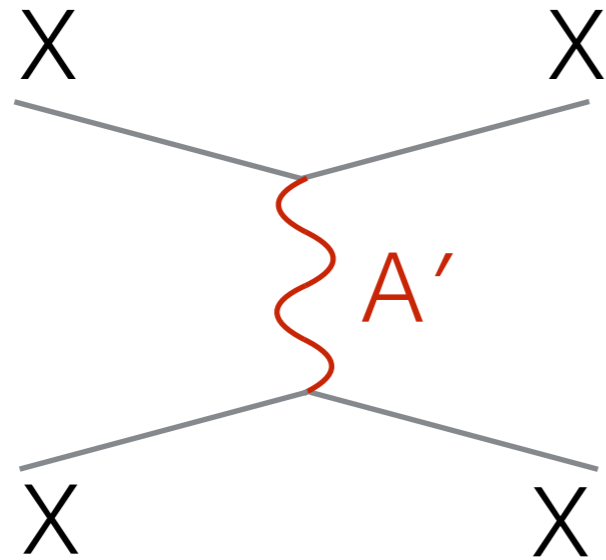
# Galaxy morphism



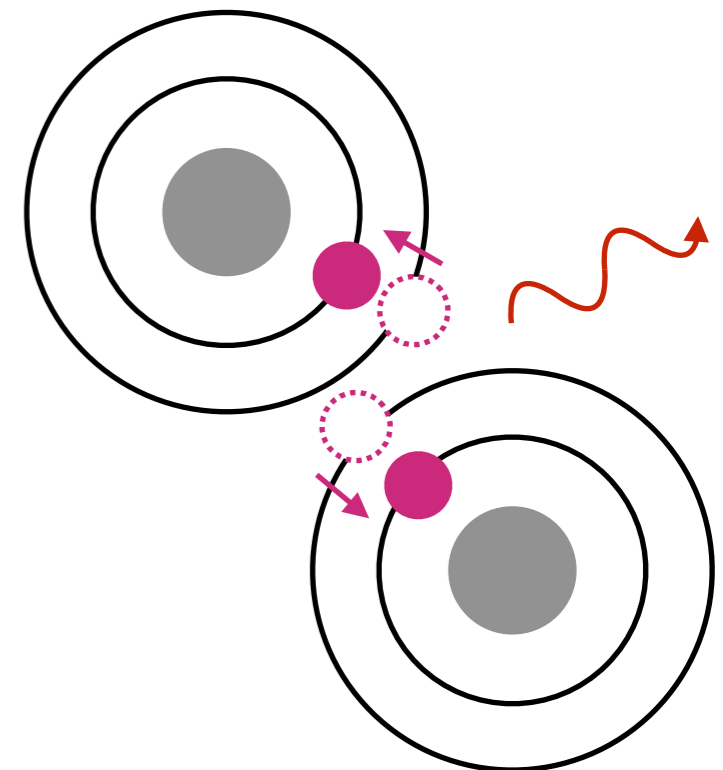
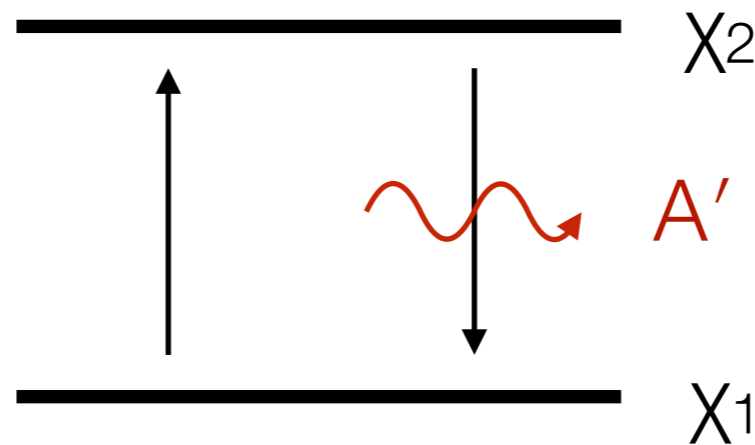
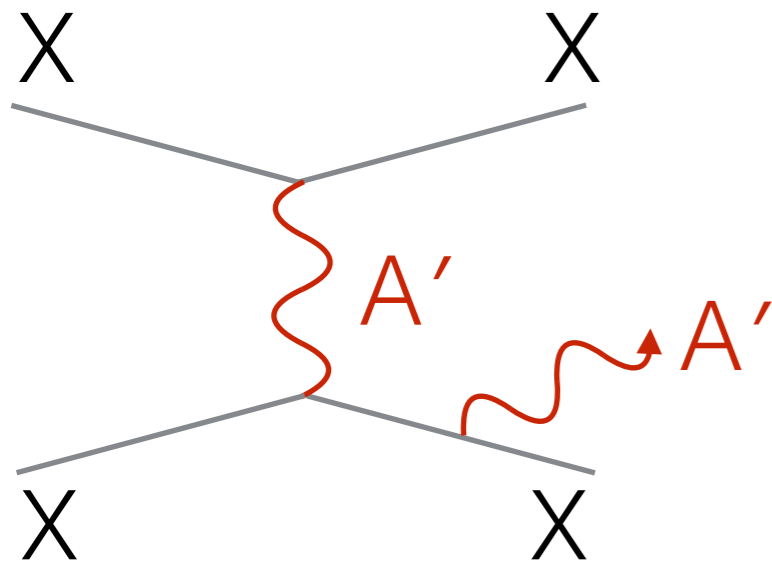
# Gravothermal collapse



# Dark sector is richer



e.g. Ackerman et al '09, Feng et al '09 '10,  
Loeb & Weiner '10, Tulin et al '10 '12 '13  
Boddy et al '16.....

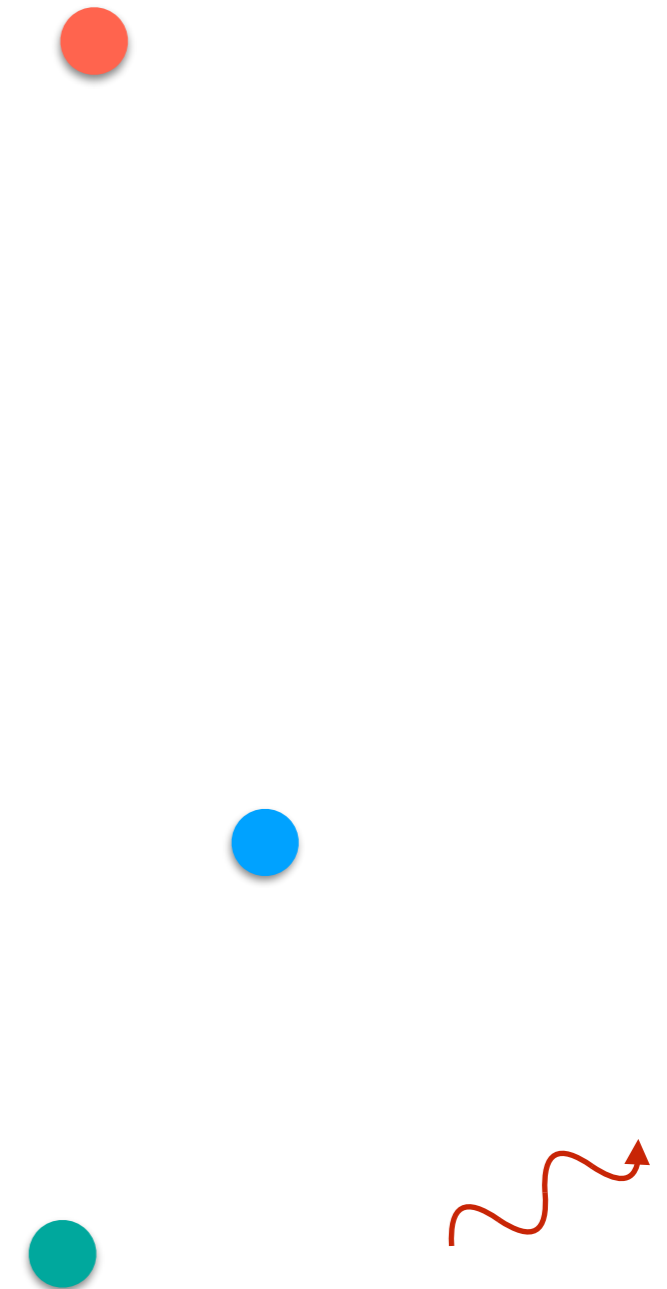


# Outline

- Gravo-thermal evolution
- Simulation
- Results and constraints on SIDM cooling
- \* Give birth to the first super massive black holes (SMBH)
- Summary

# Why do SIDM halos collapse?

- Because the SIDM halo gets cooled
- Elastic scattering
  - ⇒ redistribute kinetic energy
  - ⇒ heat flow
  - ⇒ gravothermal instability
- Dissipative scattering
  - ⇒ lose energy through dark radiation



a.k.a gravothermal catastrophe, core collapse

# Gravothermal collapse

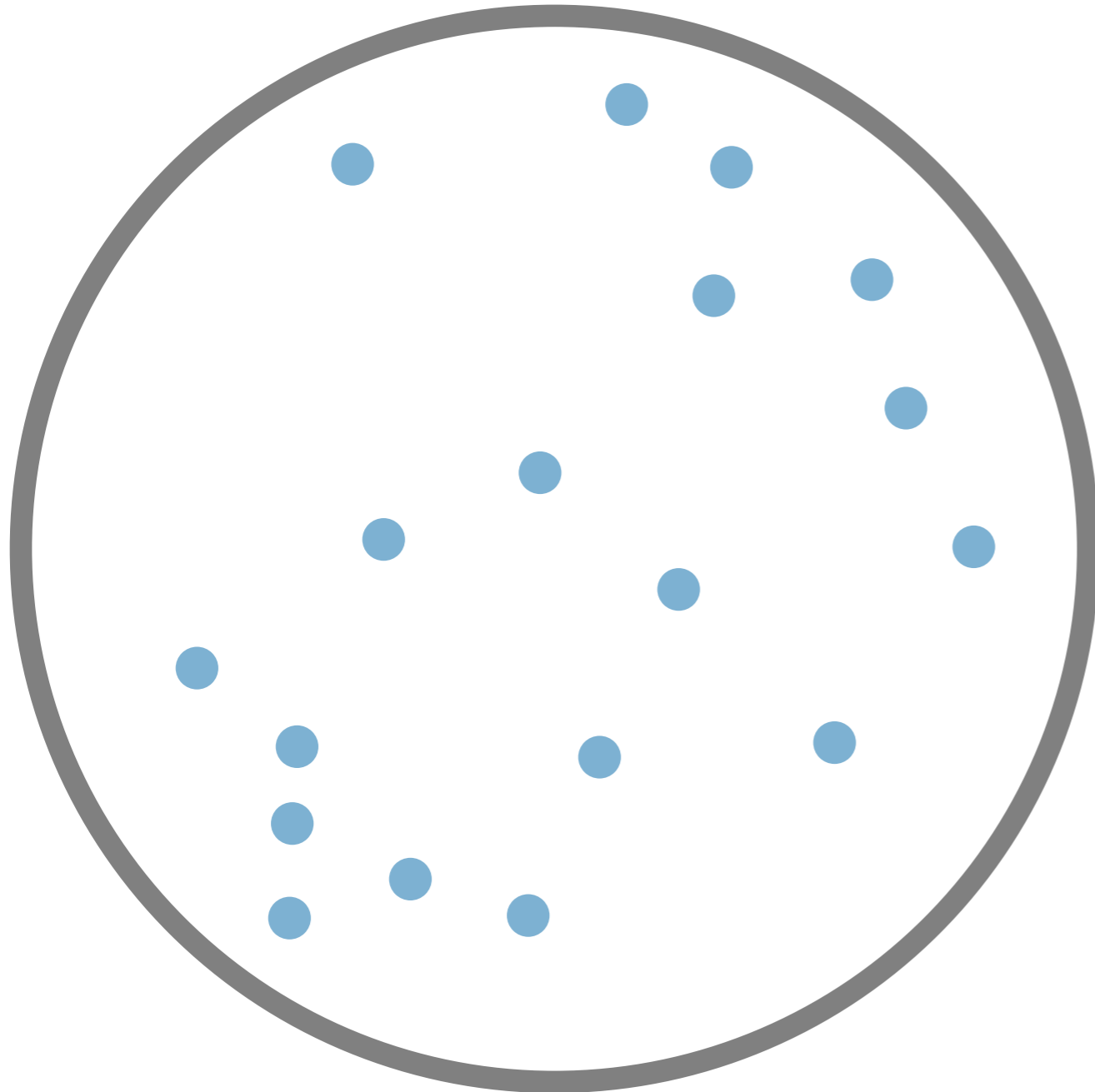
$$\text{Virial theorem:}$$
$$2 \text{ K.E.} + \text{P. E.} = 0$$

$$\Rightarrow E_{\text{tot}} = - \text{K.E.}$$

$$\Rightarrow \text{K.E.} / E_{\text{tot}} < 0$$

**Negative heat capacity**

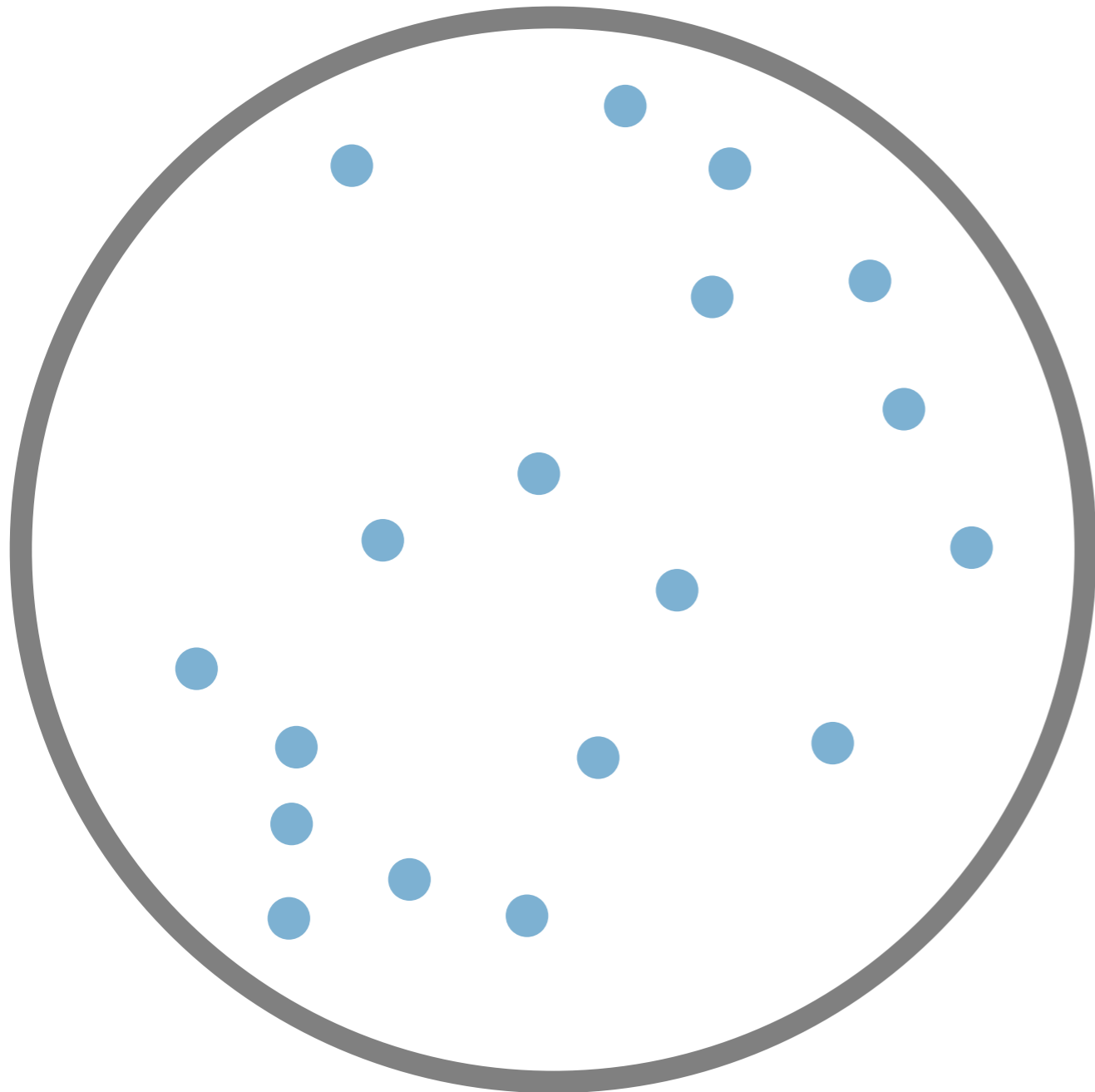
# Gravothermal collapse



Take a halo w/  
an iso-thermal  
profile

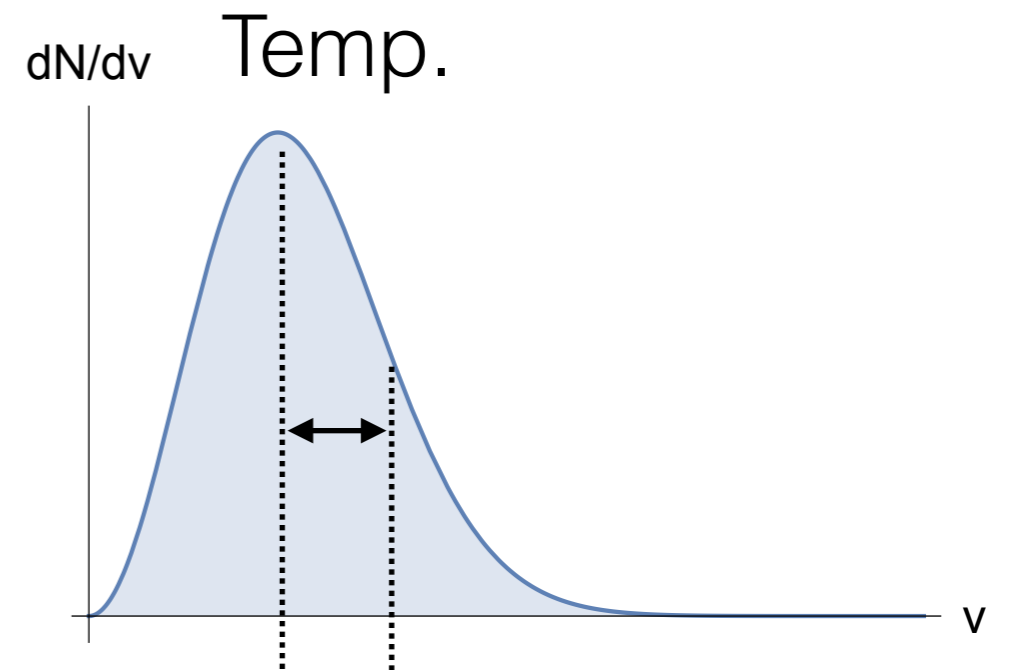
Core region of a halo

# Gravothermal collapse



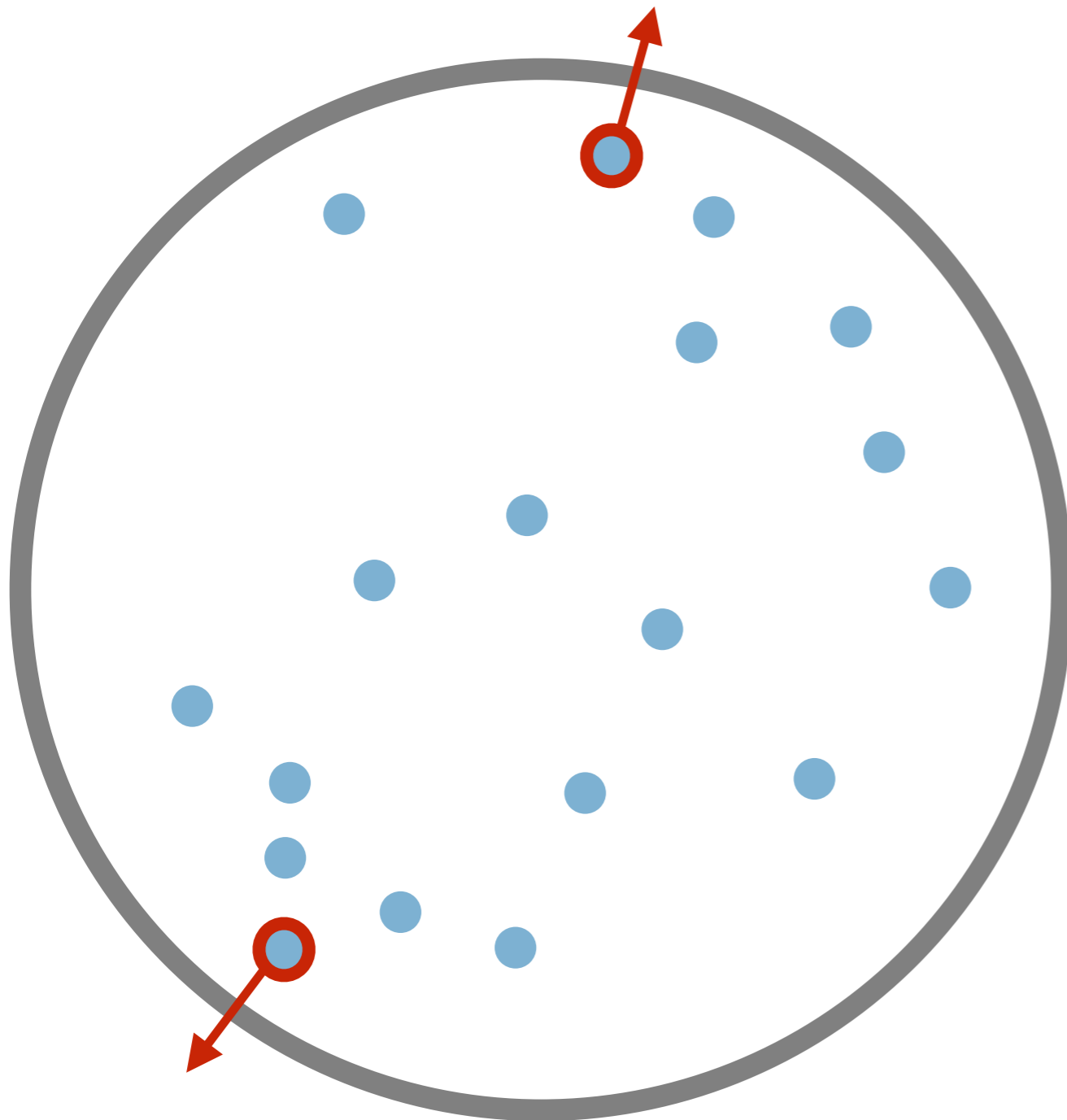
Core region of a halo

Velocity-distribution  
of DM particles



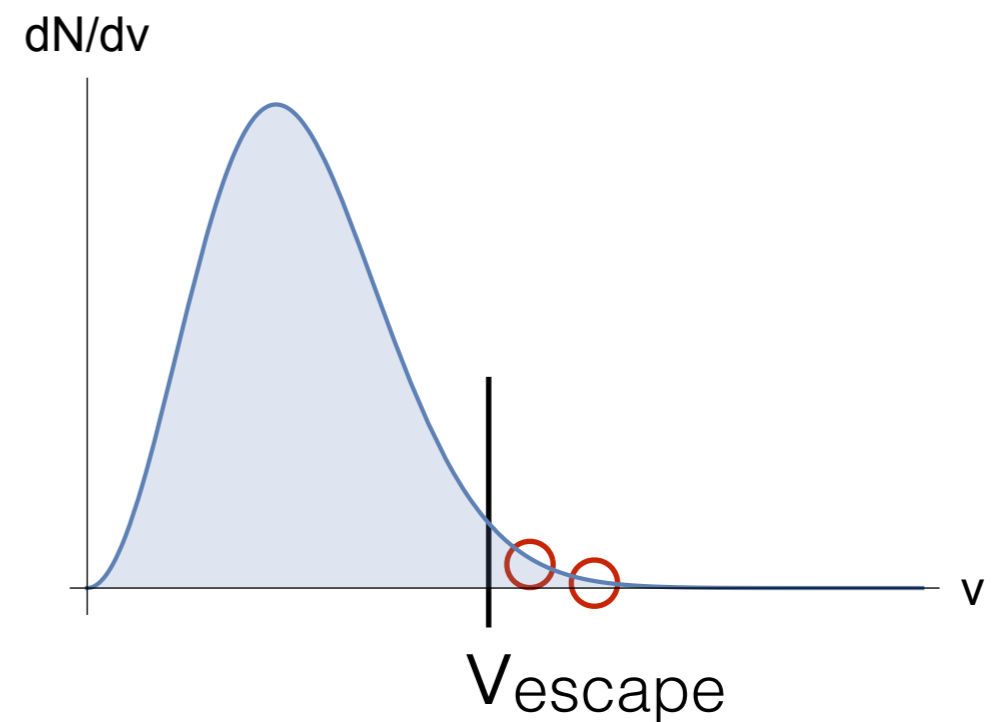


# Gravothermal collapse



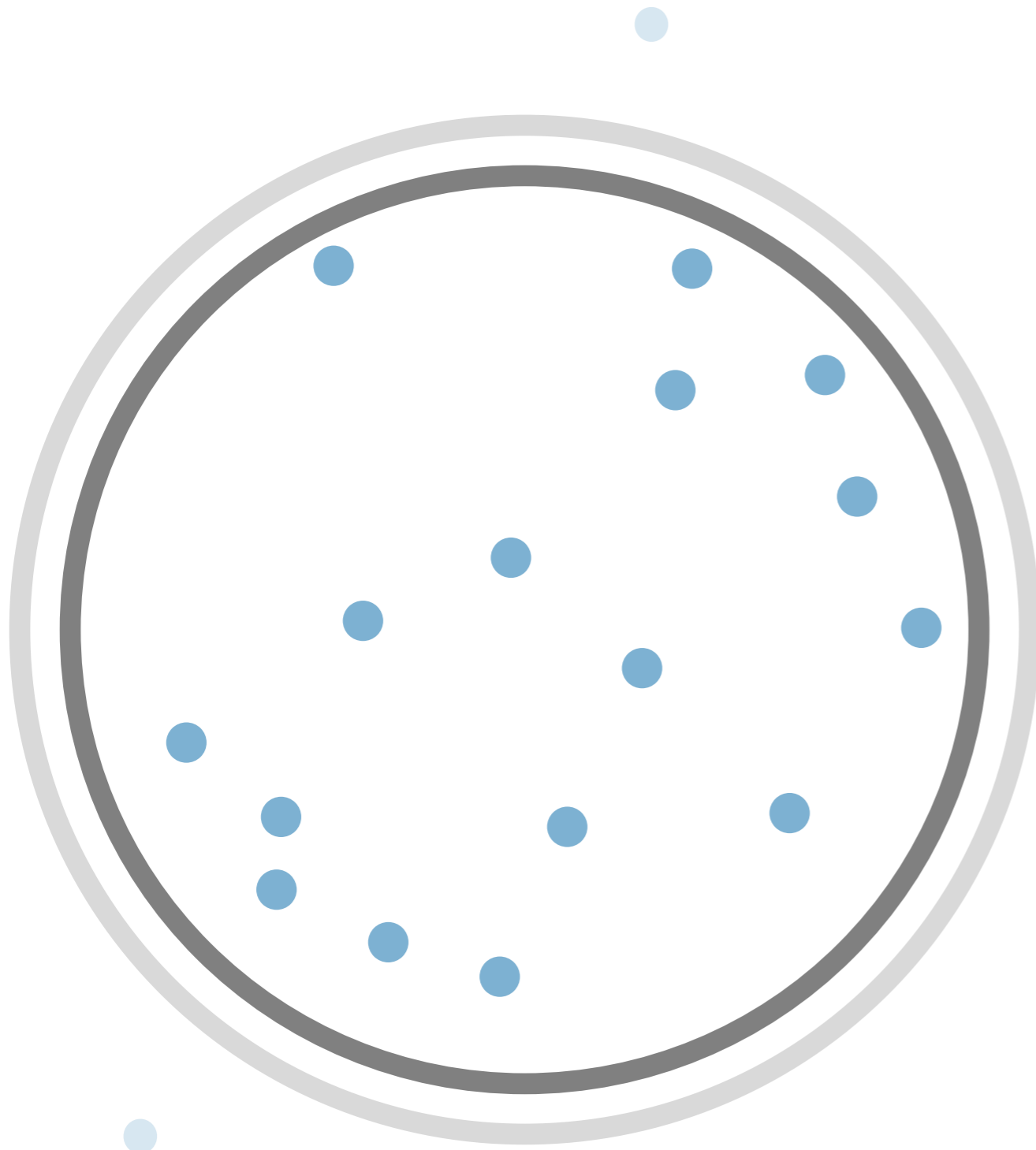
Core region of a halo

Velocity-distribution  
of DM particles



Particles in the “tail”  
can evaporate

# Gravothermal collapse



Core region of a halo

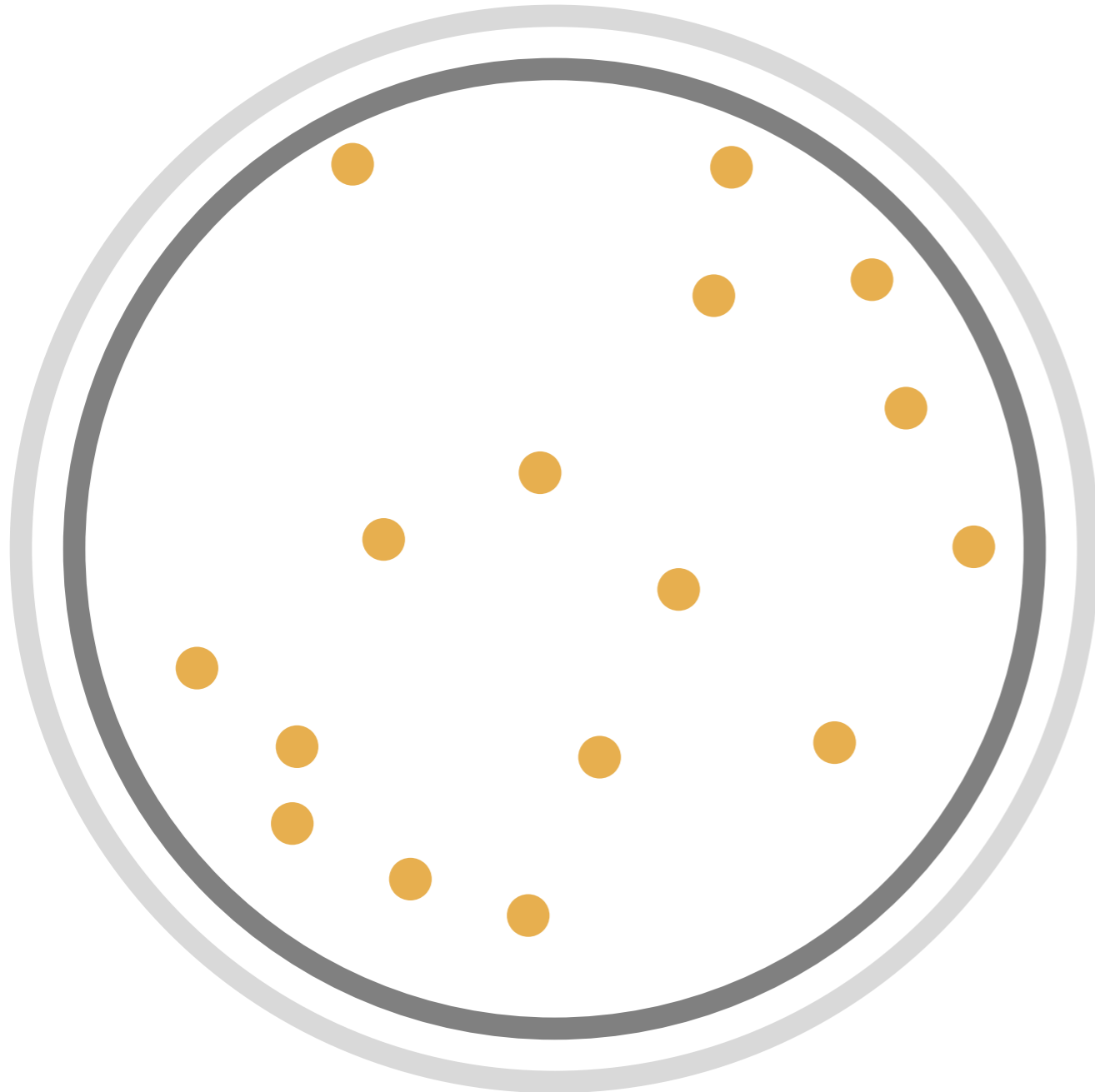
K.E. ↓ P.E. ↑

But overall  
 $2 \text{ K.E.} + \text{P.E.} < 0$

Out of virial

Gravity is no longer  
supported by  
random motion

# Gravothermal collapse

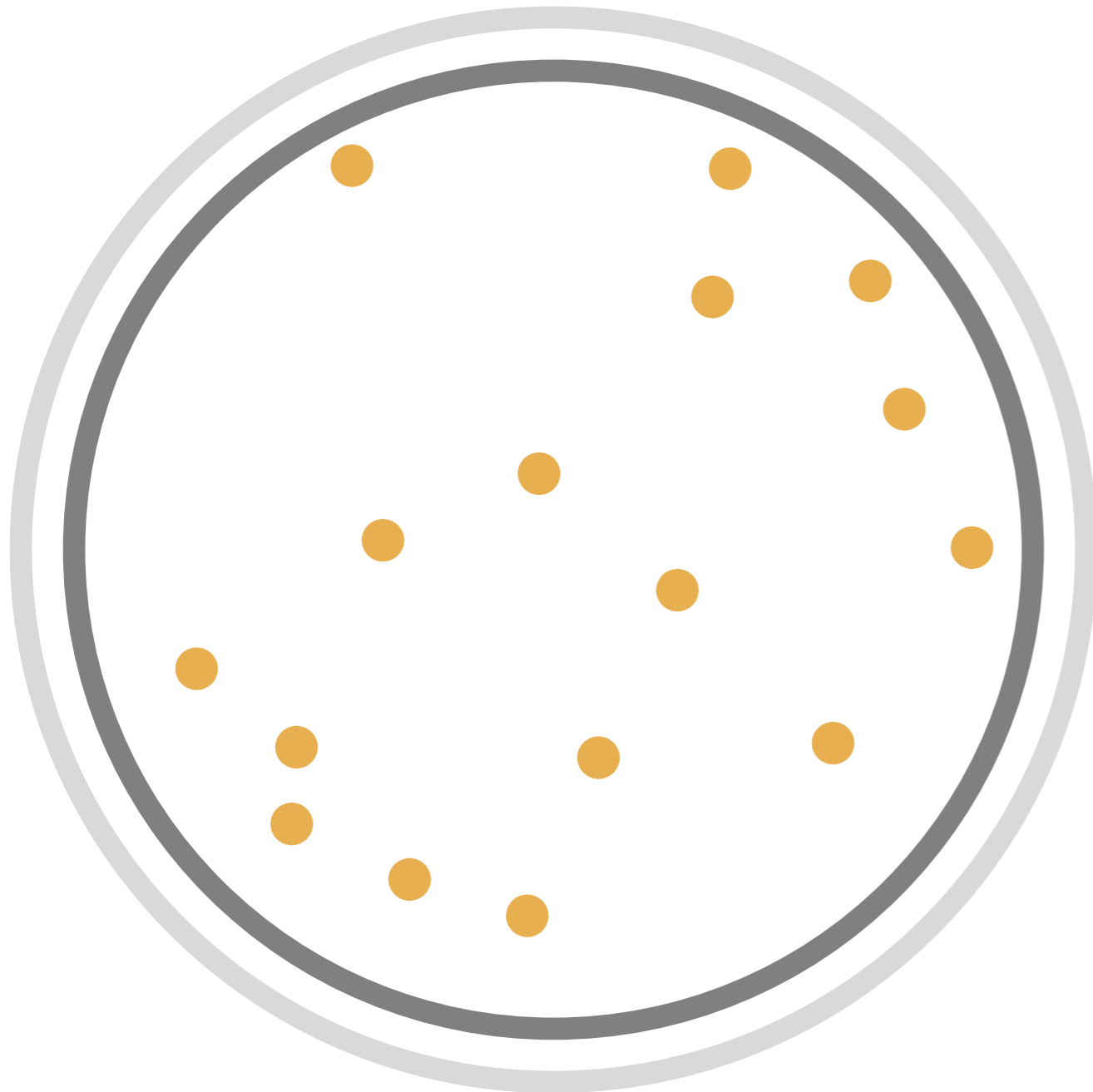


Core region of a halo

Back to virial:

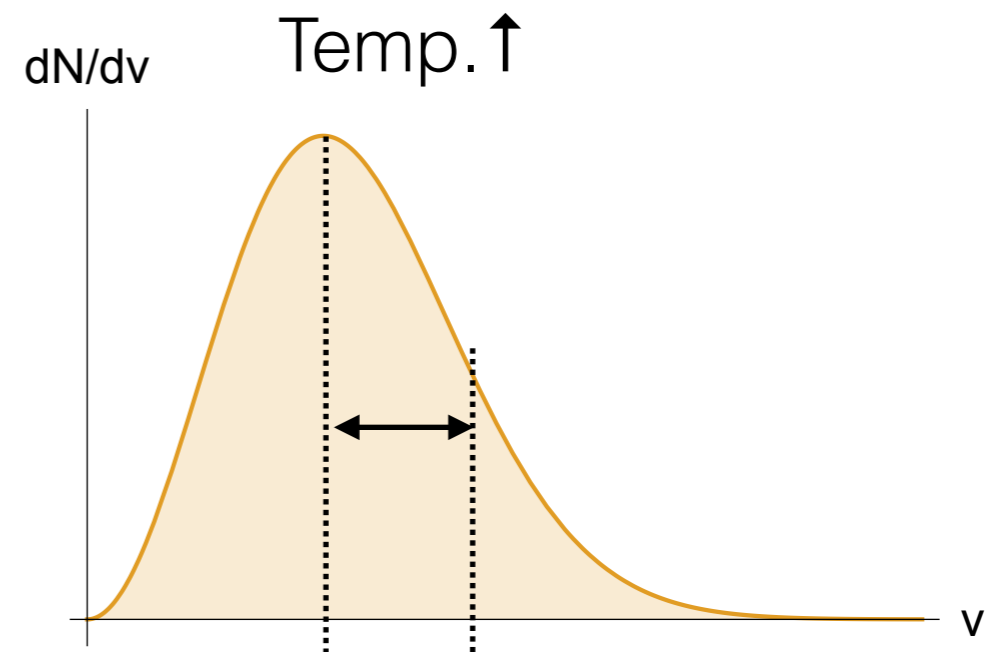
Averaged velocity  
increases (temp.  $\uparrow$ )

# Gravothermal collapse



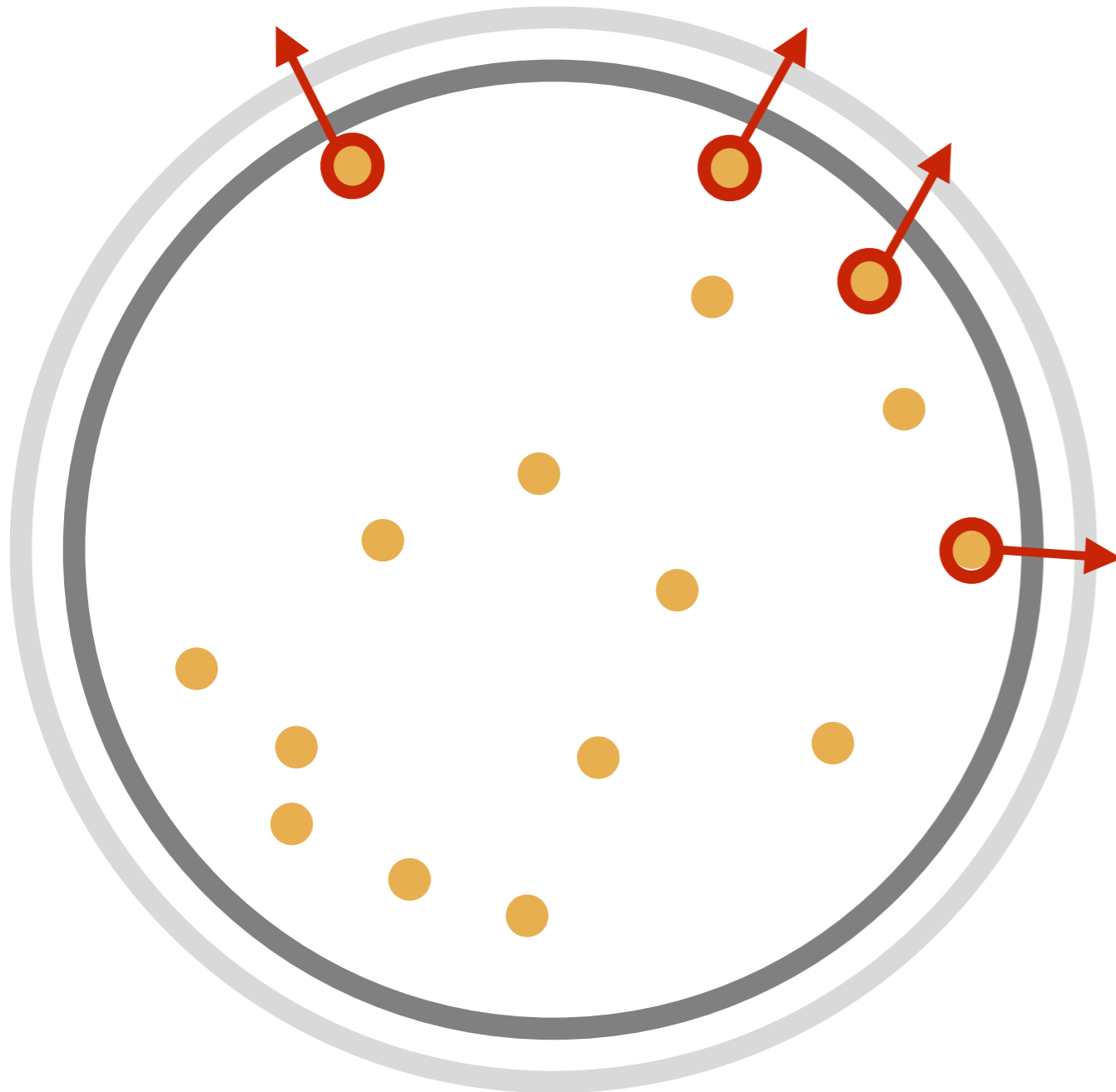
Core region of a halo

But...



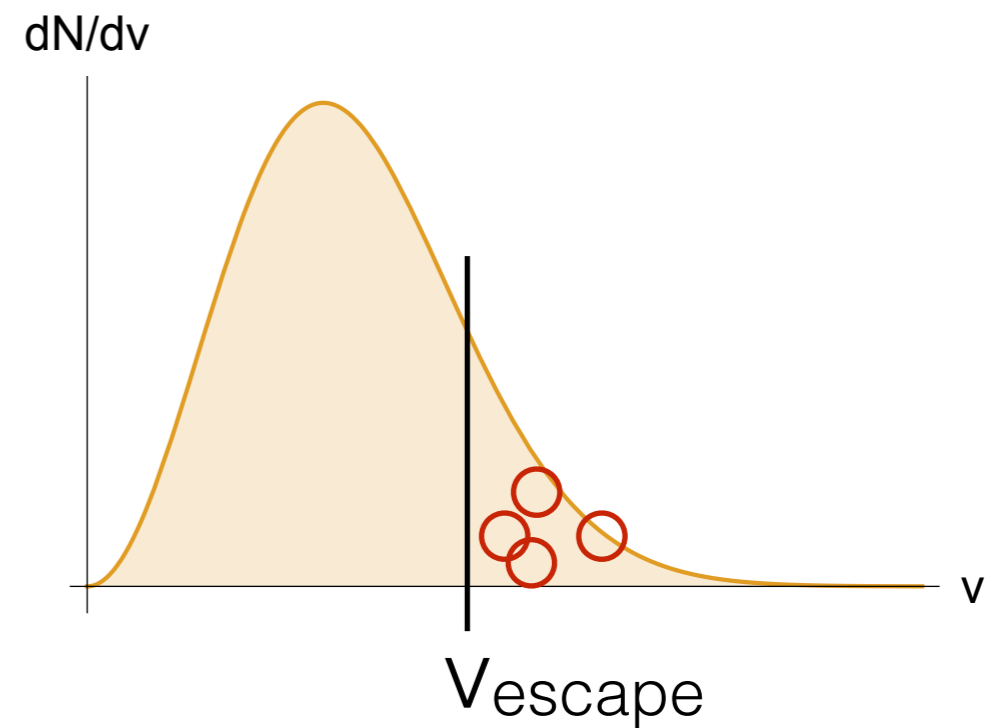
A fatter velocity distribution

# Gravothermal collapse



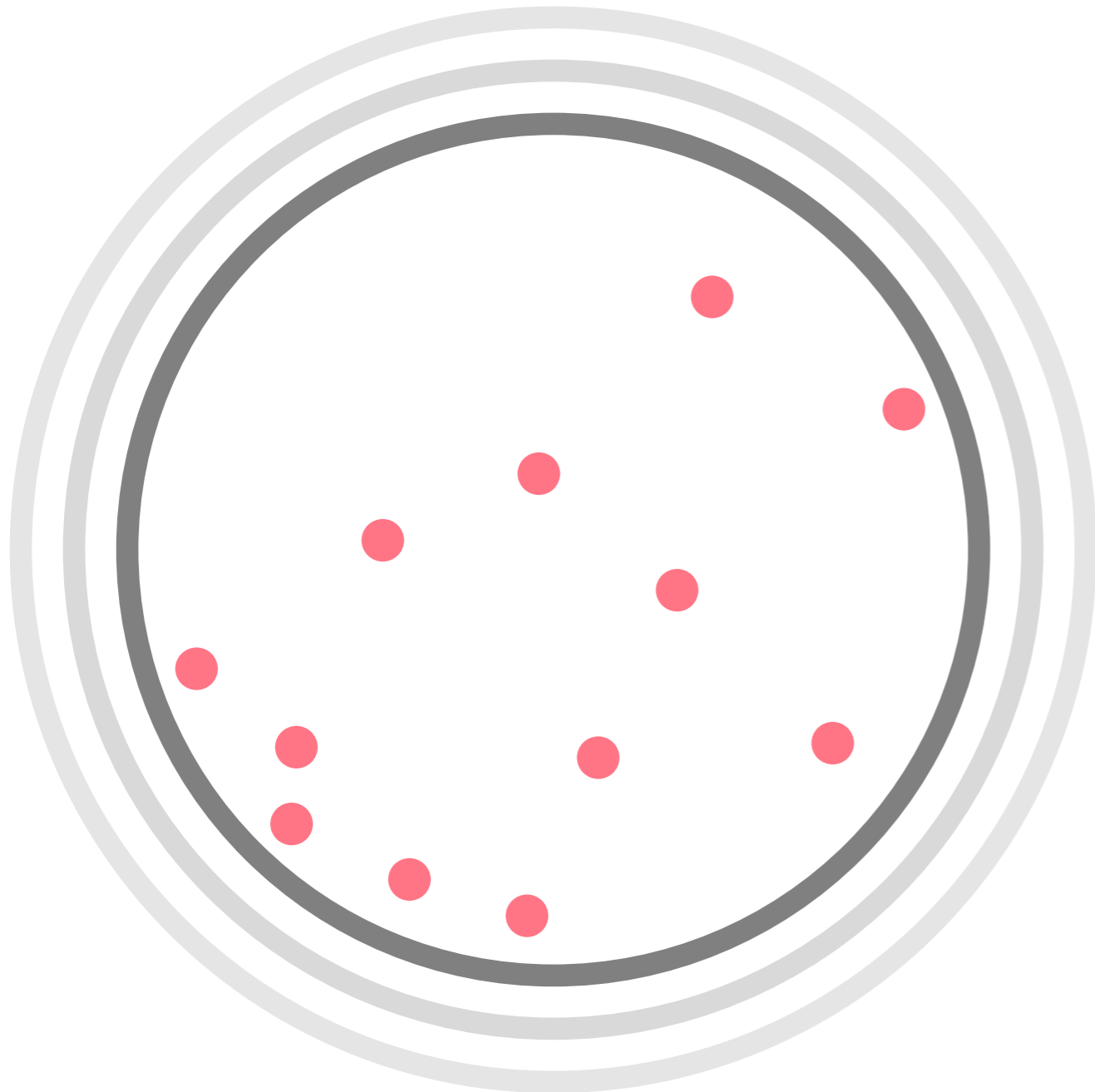
Core region of a halo

But...



More particles in the "tail"

# Gravothermal collapse



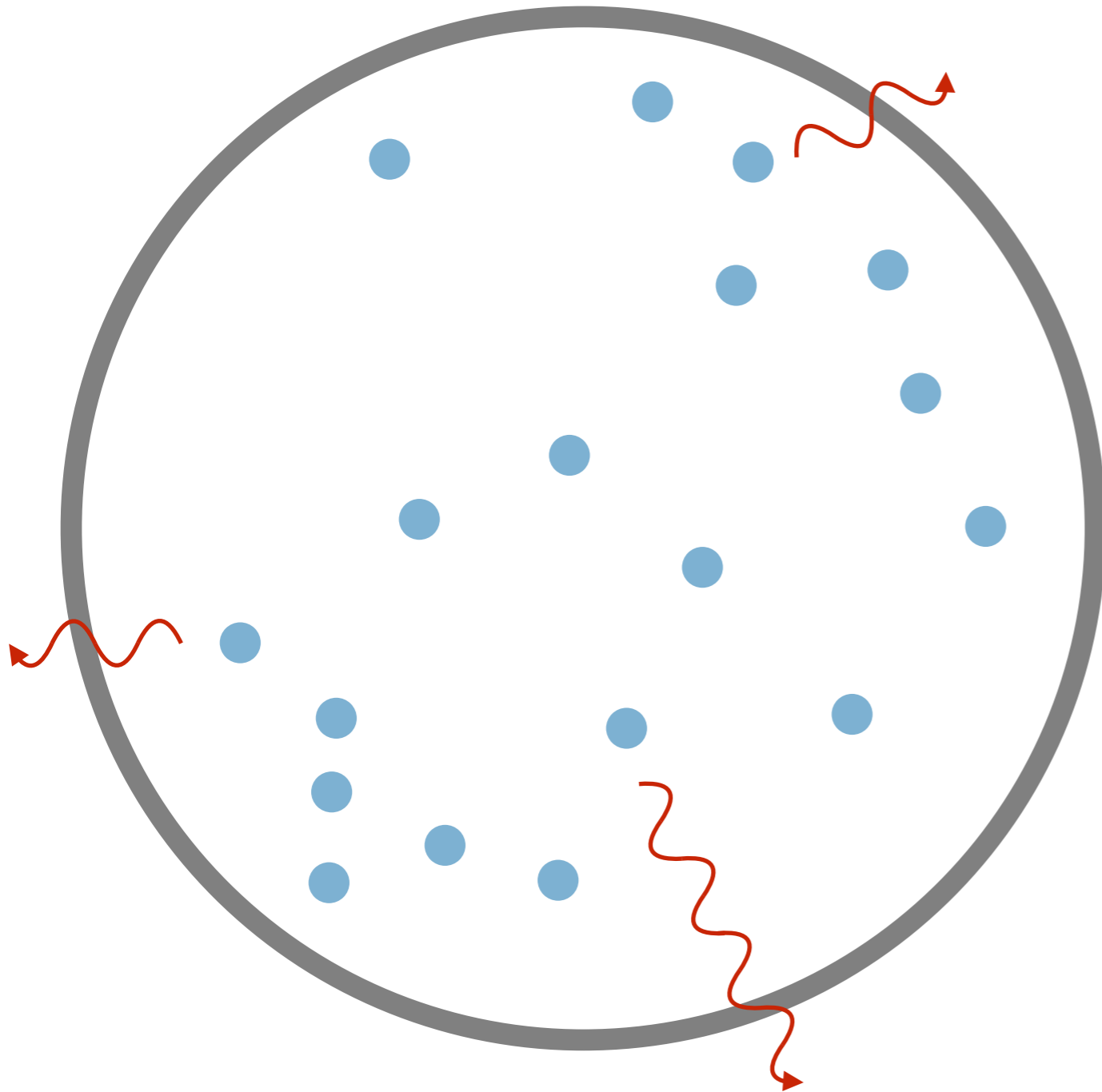
Core region of a halo

More evaporation  
Further shrink  
Core gets hotter  
Even more evaporation

.....

**Runaway collapse!**

# Bulk cooling



- Dissipative scattering causes extra kinetic energy loss (e.g. carried away by dark radiations)
- Assume the halo is optical thin to the dark radiation (no re-absorption)
- Happens everywhere

# Simulation



# Method

## Semi-analytic method

## N-body simulation

approximate

first principle

easy to resolve deep profiles

hard to resolve deep profiles

more intuitive physical picture to interpret results

conceptually simple, but difficult to interpret results

can be done on laptop, easy for parameter scan

computational costly, especially for high resolution

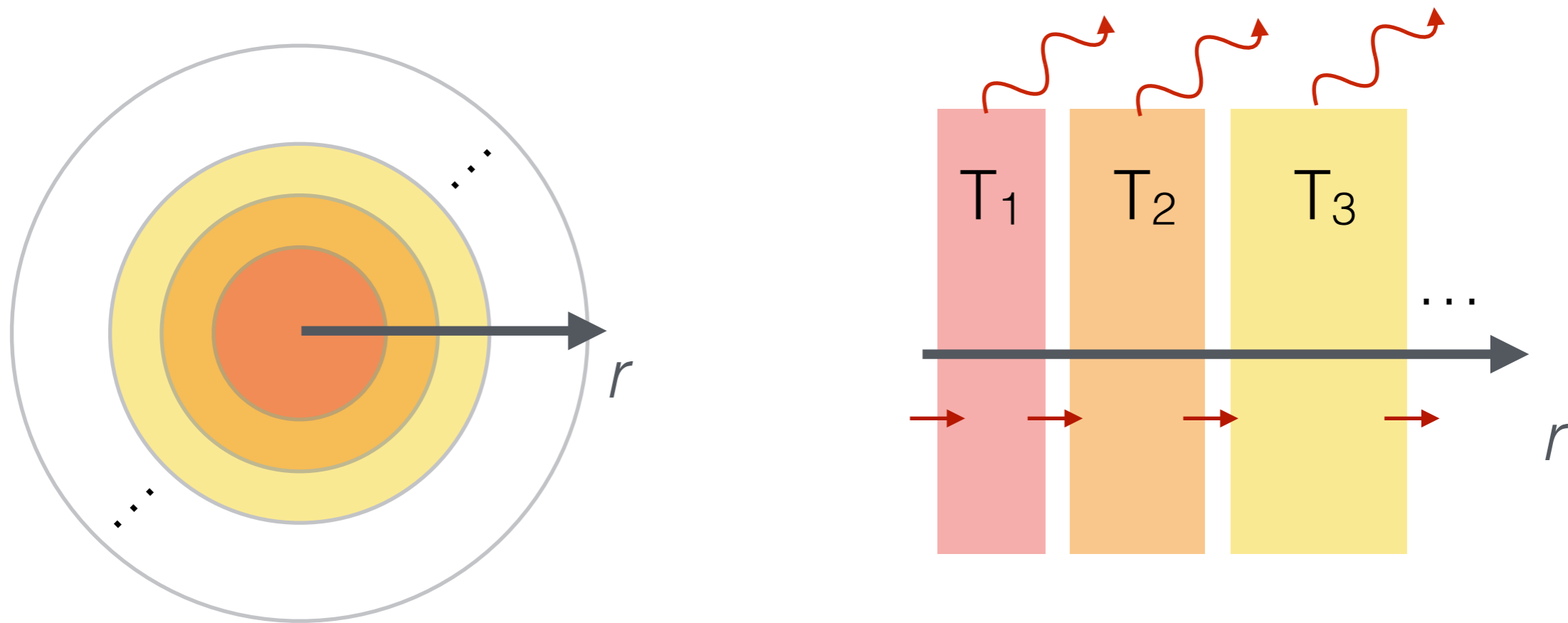
# Fluid model

- Used to study **isolated, non/low-spin, single-component, no/low-baryonic content & spherical** halo
- First adopted in studies of gravothermal evolution of the globular clusters
- Later adopted in studies of the gravothermal evolution of the SIDM halos

Hachisu et al '78, Lynden-Bell & Eggleton, '80;  
Inagaki & Lynden-Bell '83; Heggie '84; Goodman '84;

Balberg & Shapiro, '02; Balberg et al '02;  
Ahn & Shapiro, '08; Koda & Shapiro, '11;  
Pollack et al, '15

# Fluid model



- Assume each shell is in its thermal equilibrium. Different shells have different temperatures.
- Evolution: temperature change  $\rightarrow$  hydrostatic relaxation  $\rightarrow$  temperature change  $\rightarrow$  hydrostatic relaxation  $\rightarrow$  ...

# Sets of equations

1. Mass conservation

$$\frac{\partial M}{\partial r} = 4\pi r^2 \rho$$

2. Momentum conservation

$$\frac{\partial}{\partial r} p = - \frac{GM\rho}{r^2}$$

p: pressure (=  $\rho v^2$ )  
v: 1-dim vel. dispersion

# Sets of equations

## 3. Energy conservation

$$\frac{p}{\gamma - 1} \left( \frac{\partial}{\partial t} \right)_M \ln \frac{p}{\rho^\gamma} = - \frac{1}{4\pi r^2} \frac{\partial L}{\partial r} - C$$

entropy

surface luminosity

$\gamma$ : adiabatic index

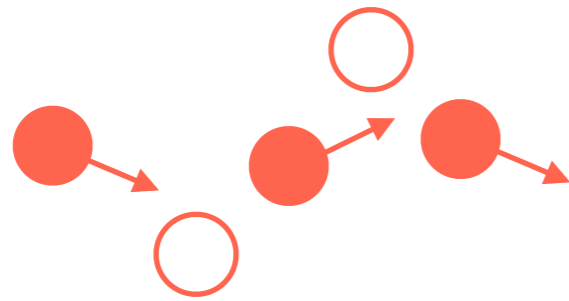
bulk cooling rate  
energy loss  
in unit vol.  
& unit time

- Self-interactions are encoded in the **conductivity** & **bulk cooling rate**

$$\frac{L}{4\pi r^2} = - \kappa \frac{\partial T}{\partial r}$$

conductivity

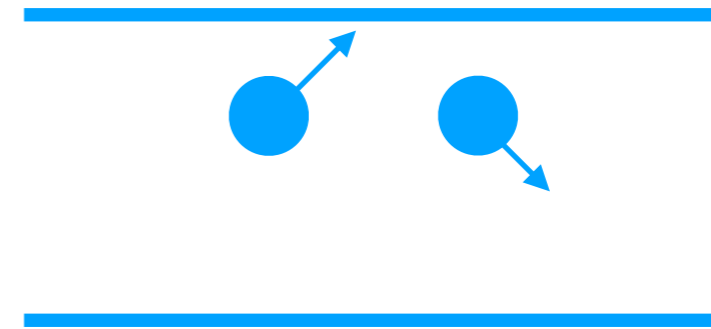
# More on $\kappa$



- Collisions w/ other particles
- Characterized by mean free path of the self-scattering:

$$\lambda = 1/(n\sigma)$$

- $\kappa_{\text{smfp}} \sim n\nu\lambda \sim \frac{\nu}{\sigma}$



Lynden-Bell & Eggleton, '80

- Collisions w/ the “wall”
- Characterized by the orbit height of the halo

$$H = \sqrt{\nu^2/4\pi G\rho}$$

- $\kappa_{\text{lmfp}} \sim (n\nu H) \frac{H/\nu}{t_r} \sim \frac{n\nu^3\sigma}{Gm}$

# More on $\kappa$

- $Kn \equiv \lambda/H$
- $Kn > 1 \Rightarrow$  **gravitational** conduction dominates  
**(long-mean-free-path region)**
- $Kn < 1 \Rightarrow$  **self-interaction** conduction dominates  
**(short-mean-free-path region)**
- Combine the two  $\kappa = (\kappa_{\text{lmfp}}^{-1} + \kappa_{\text{smfp}}^{-1})^{-1}$

# More on C

- We consider collisional cooling

energy loss per collision

energy loss  
in unit vol.  
& unit time

$$C = \left\langle \frac{n E_{\text{loss}}}{t'_r} \right\rangle$$

$t_{\text{in}}$ : relaxation time for inelastic scattering

$$= \frac{4}{\sqrt{\pi}} \frac{\sigma'}{m} \rho^2 \nu \nu_{\text{loss}}^2 \left( 1 + \frac{\nu_{\text{loss}}^2}{\nu^2} \right) e^{-\frac{\nu_{\text{loss}}^2}{\nu^2}}$$

$$T \geq E_{\text{loss}}$$

$$e^{-\frac{\nu_{\text{loss}}^2}{\nu^2}}$$

$$\nu_{\text{loss}} \equiv \sqrt{E_{\text{loss}}/m}$$

a "soft" cutoff



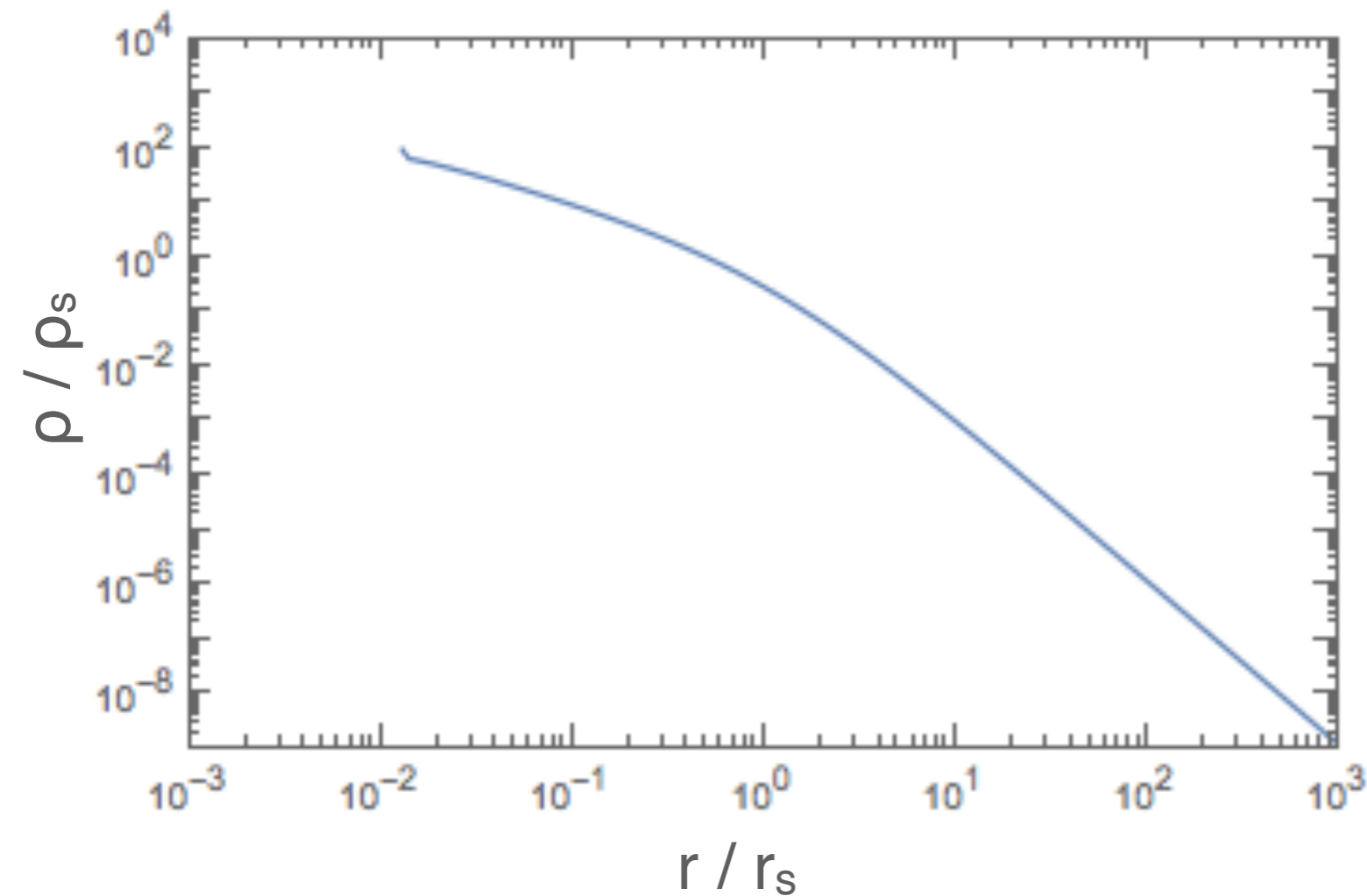
# Other setup

- Initial density profile: NFW  $\rho = \frac{\rho_s}{(r/r_s)(1+r/r_s)^2}$
- Boundary condition:  $M = 0, L = 0 @ r = 0$   
 $M = \text{const.}, L = 0 @ r = r_{\text{max}}$
- Small self-interaction strength  $\Rightarrow$  evolution starts from the optical thin region
- Mild cooling  $\Rightarrow$  cooling time  $\gg$  free-fall time  
 $\Rightarrow$  not isothermal/free-fall collapse

**Result**

# Evolution of Density Profile

Conduction only



Virialized halo

Expansion

Max core expansion

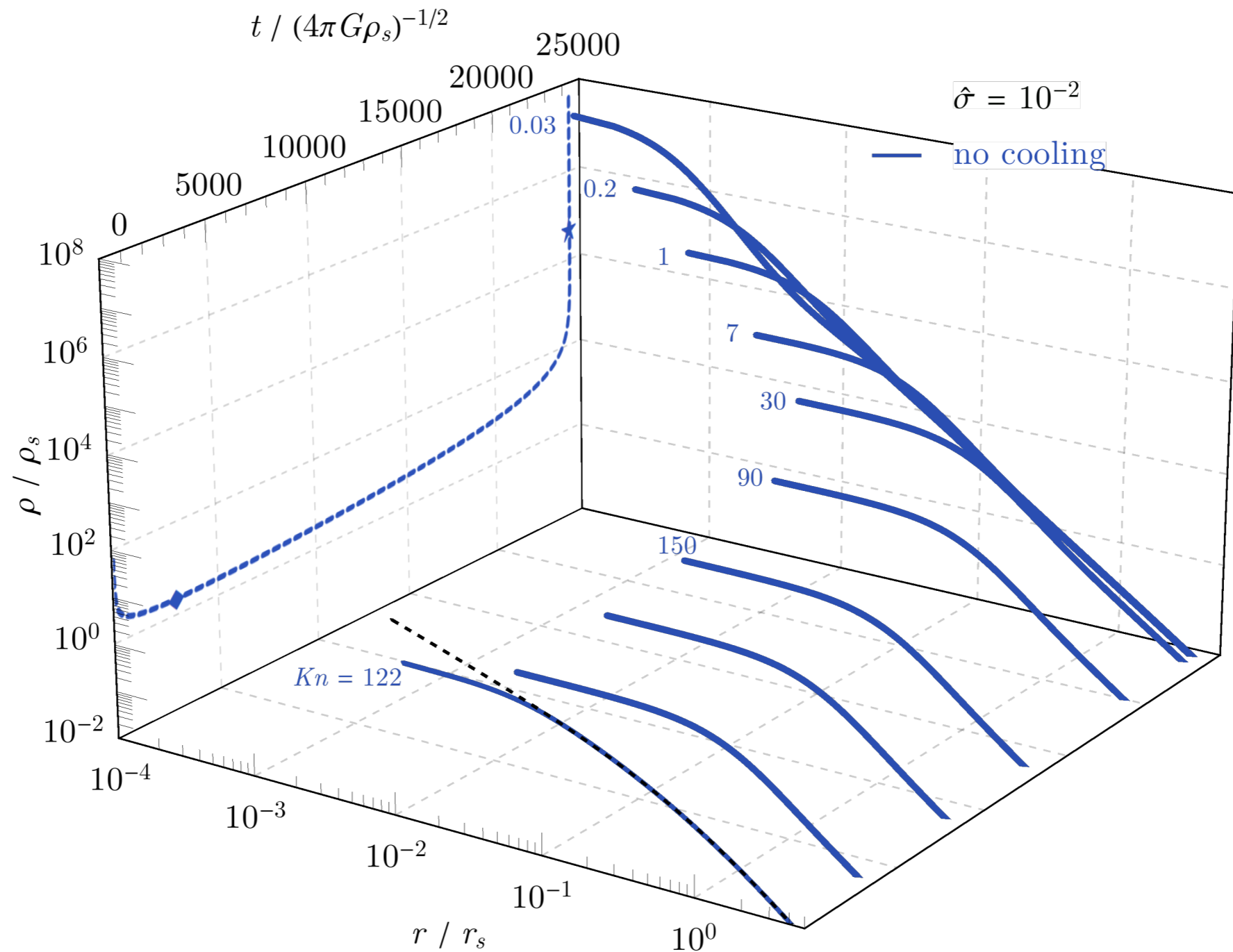
Runaway collapse

Develop a "2<sup>nd</sup> core"

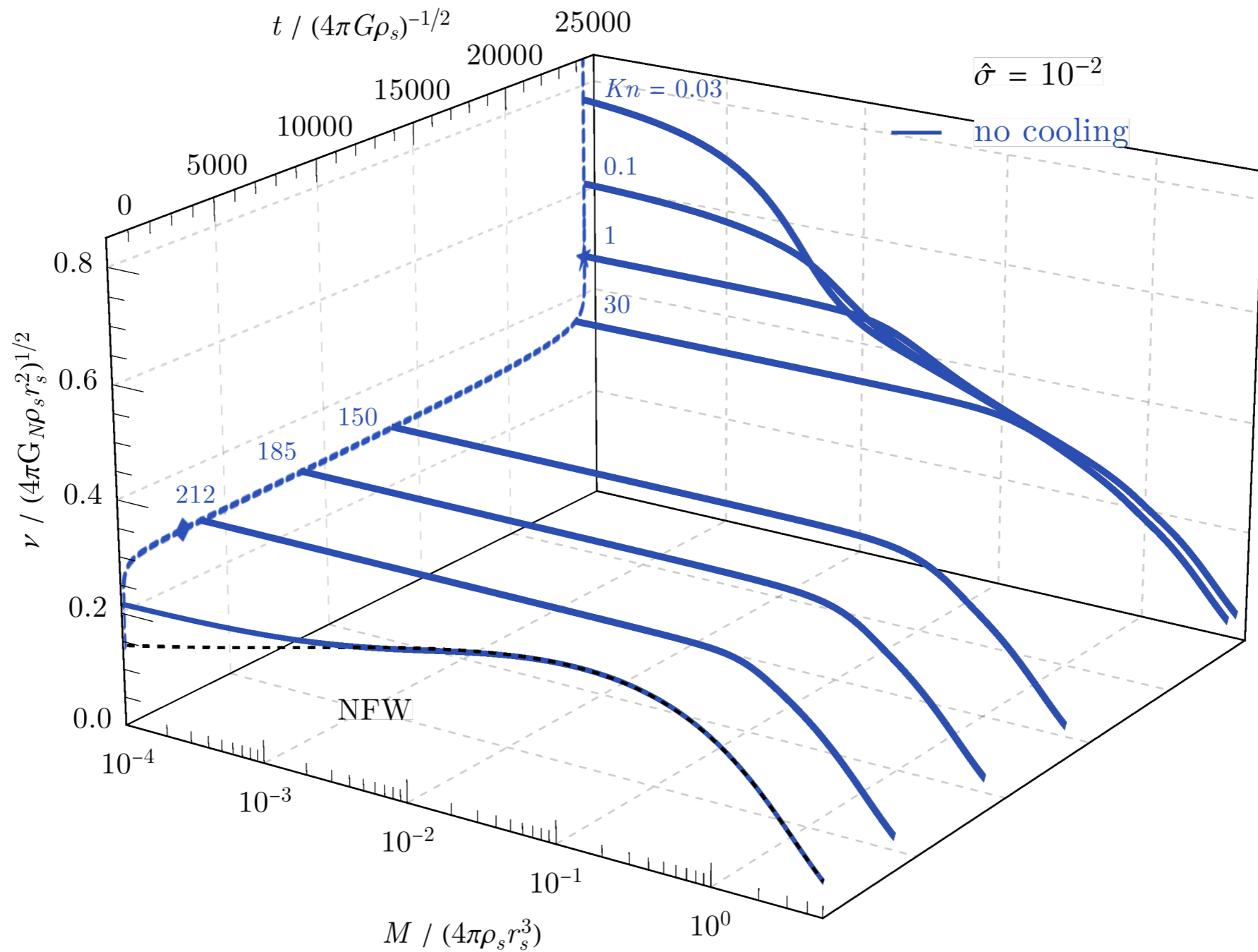
Cont. collapse

a singular state

# Evolution of density profile

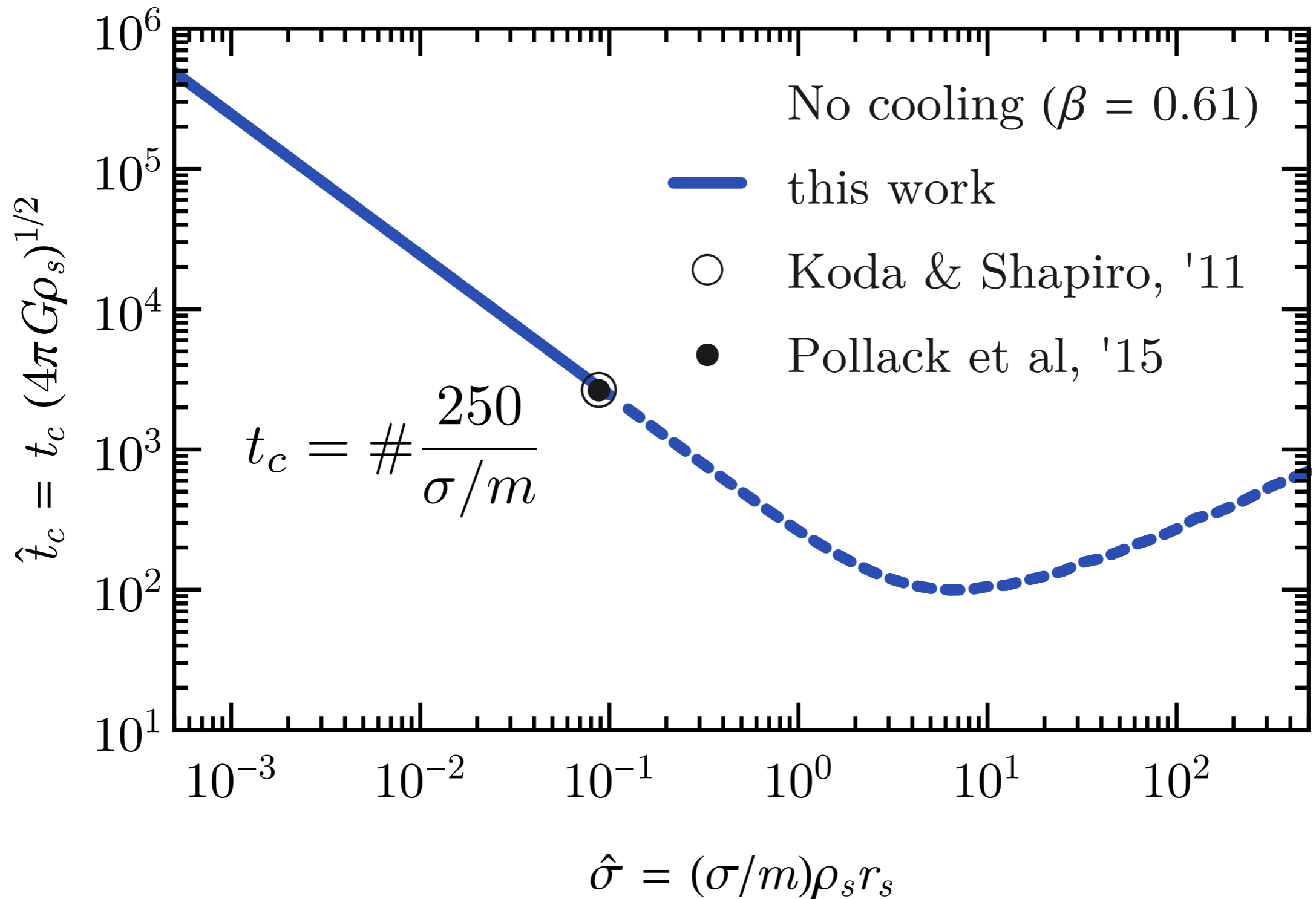


# Evolution of velocity dispersion profile

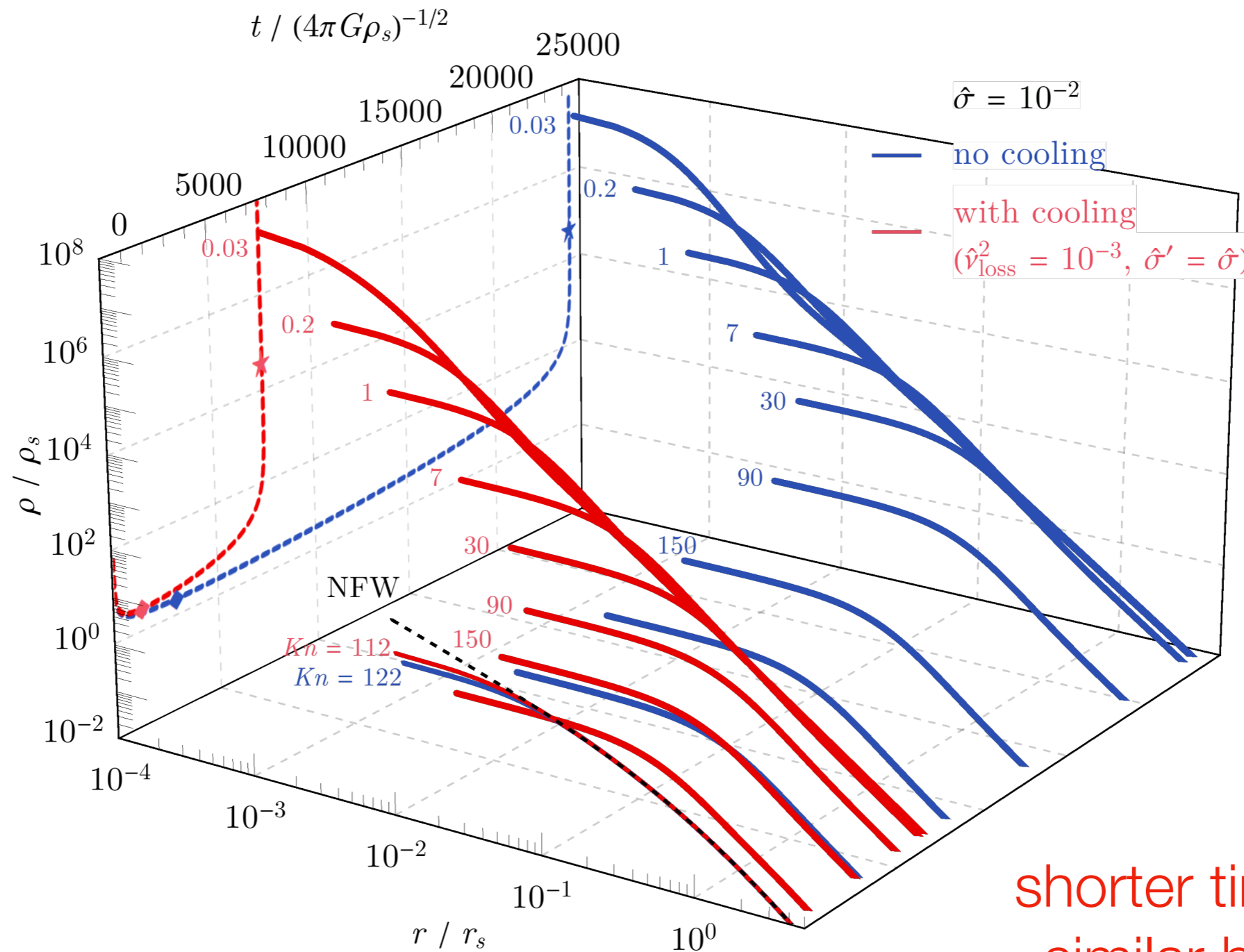


$$\hat{\sigma} \equiv (\sigma/m)\rho_s r_s$$

# The collapse time

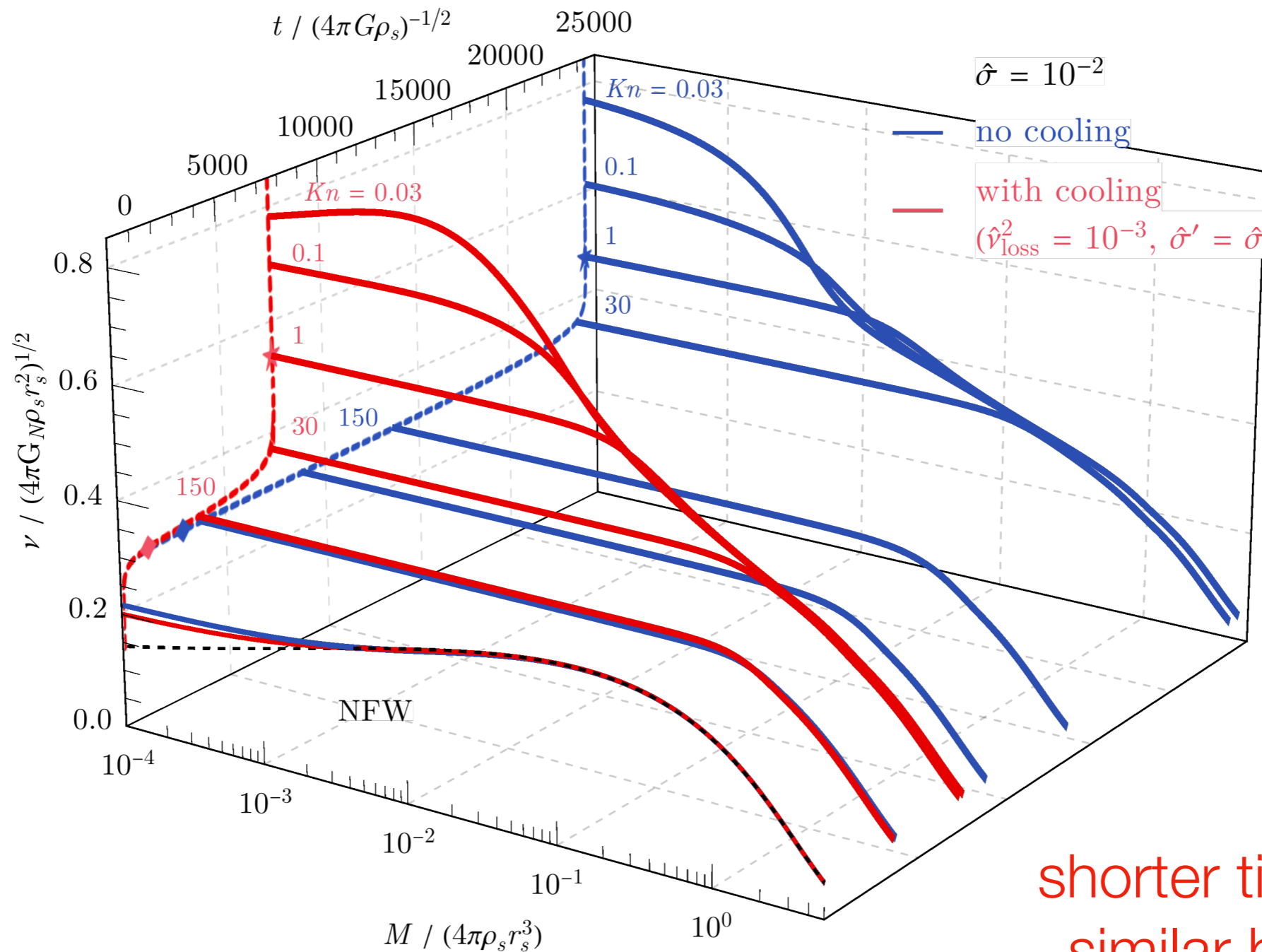


# Add a mild cooling



shorter time scale  
similar behavior

# Add a mild cooling



$$\hat{\sigma} \equiv (\sigma/m)\rho_s r_s$$

$$\nu_{\text{loss}} \equiv \sqrt{E_{\text{loss}}/m}$$

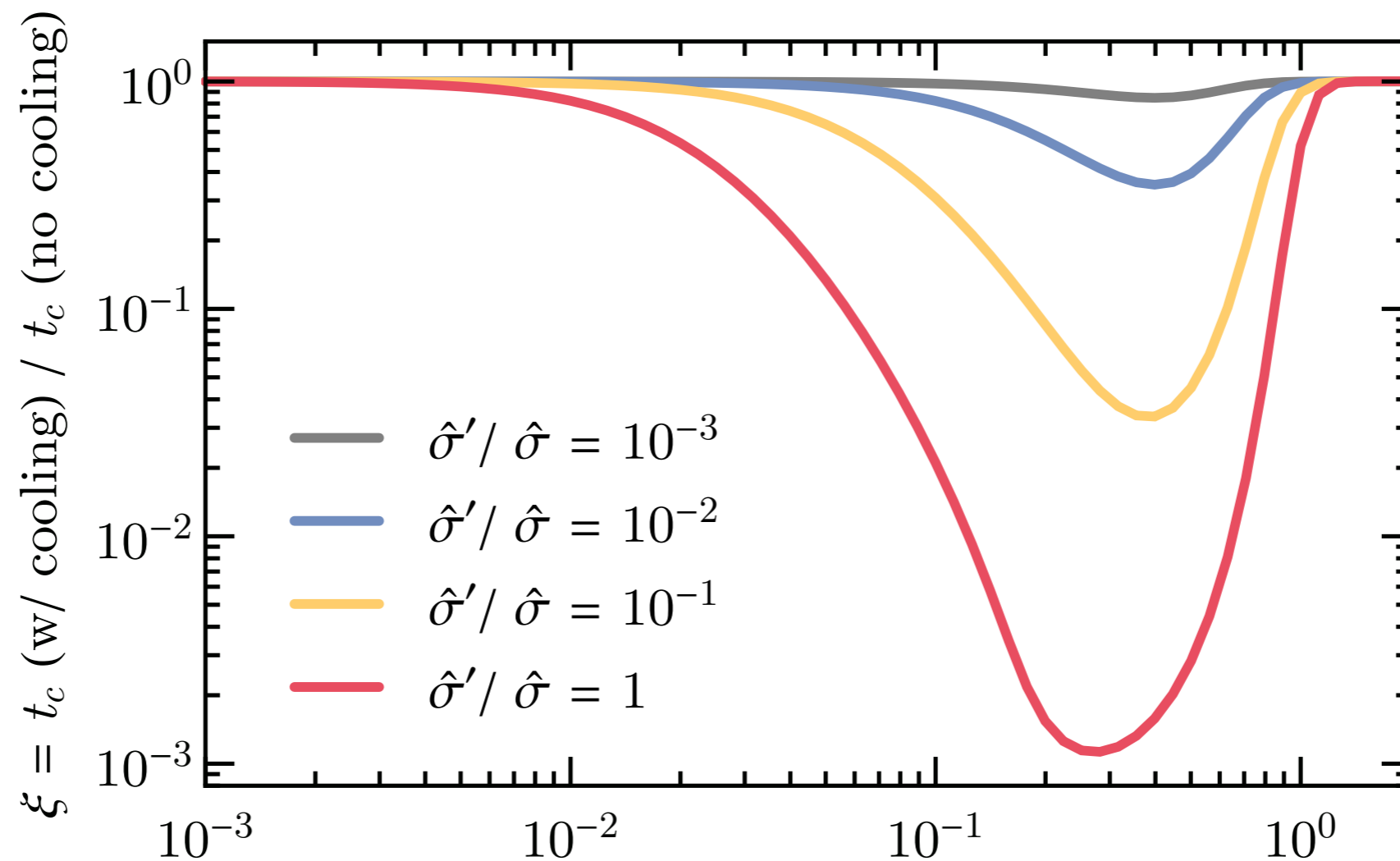
$$\hat{\nu}_{\text{loss}} \equiv \frac{\nu_{\text{loss}}}{\sqrt{4\pi G \rho_s r_s^2}}$$

shorter time scale  
similar behavior



# The reduction in the collapse time

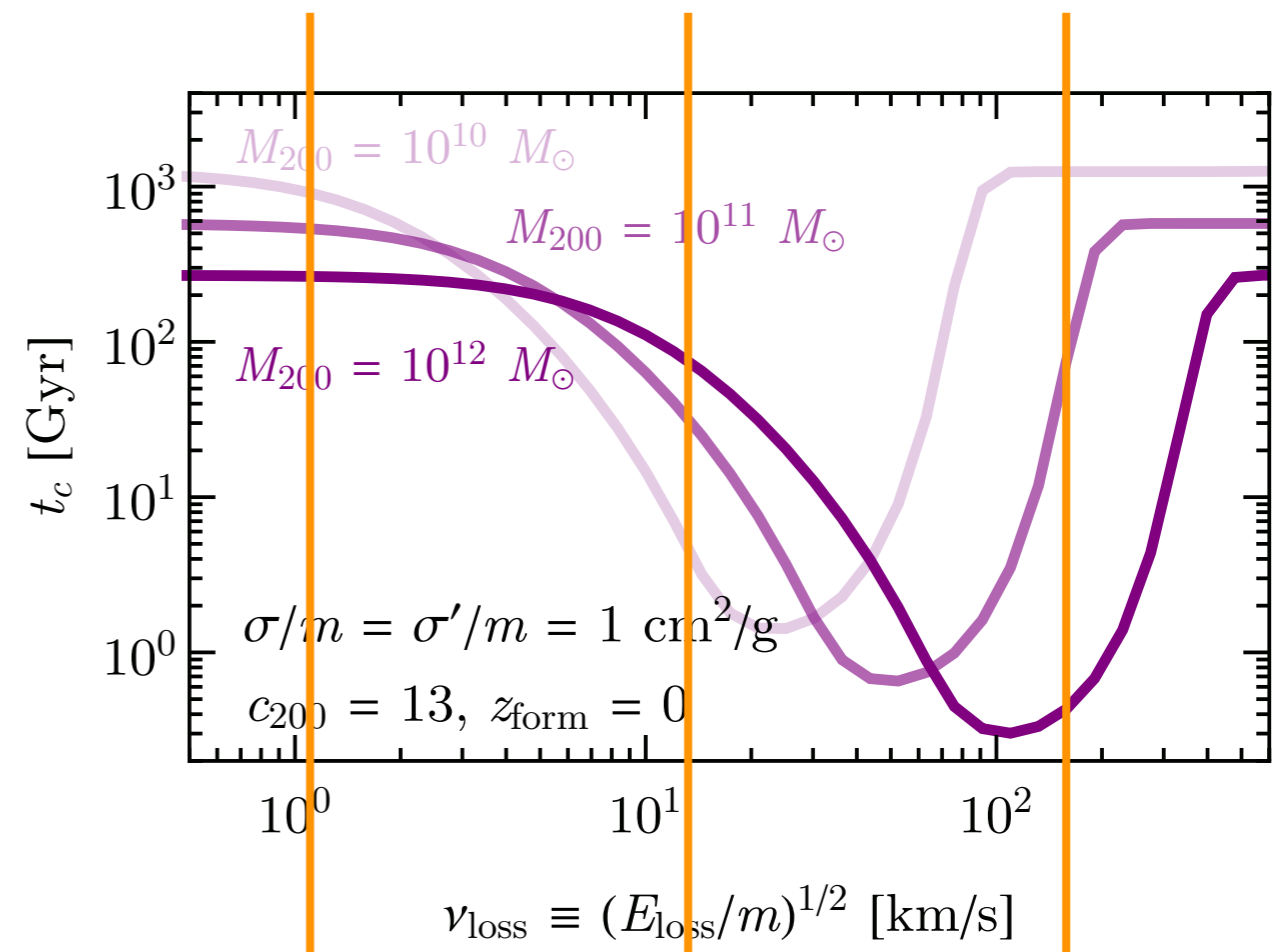
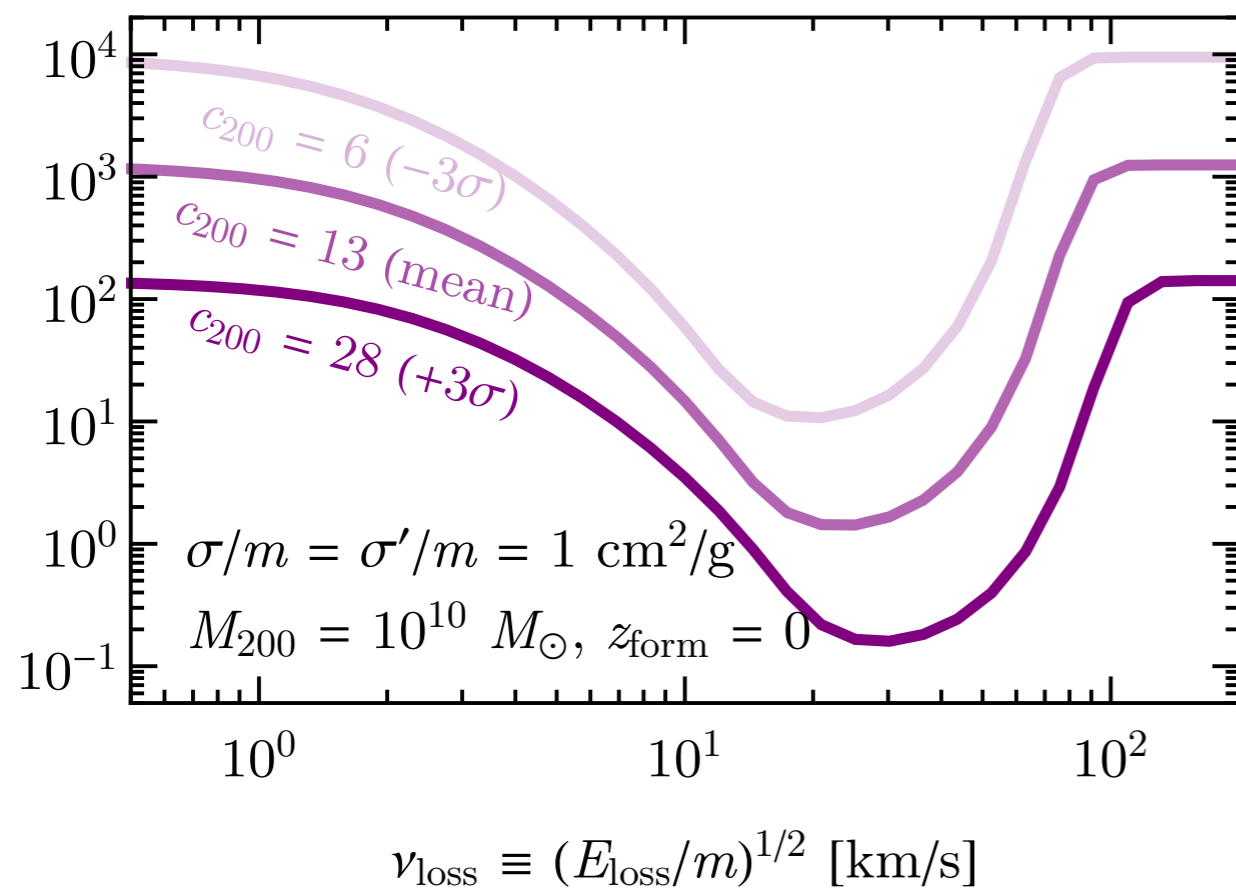
Scan over  $(\hat{\sigma}, \hat{\sigma}', \hat{\nu}_{\text{loss}})$  and compute the time reduction



$$\hat{\nu}_{\text{loss}} = \nu_{\text{loss}} / (4\pi G \rho_s r_s^2)^{1/2}$$

# Back to dimensional quantities

$$\rho_s = \frac{200\rho_c c_{200}^3}{3K_{c_{200}}}, \quad r_s = \left( \frac{3M_{200}}{800\pi c_{200}^3 \rho_c} \right)^{1/3}$$

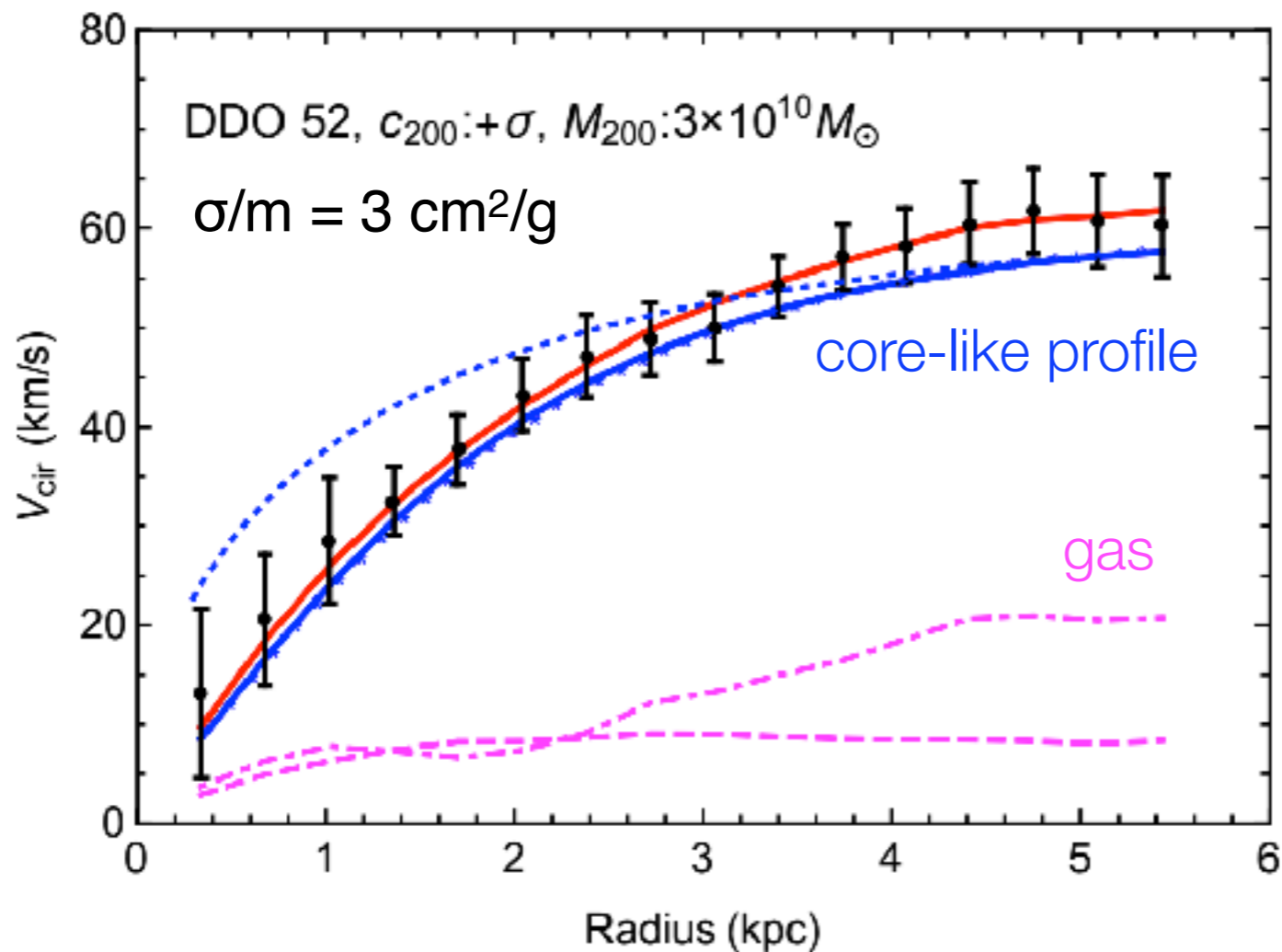


Halos with higher concentrations collapse faster

More complicated dependence on halo mass

# Dwarf/LSB disfavored

Dwarfs/LSB w/  
low-baryonic content

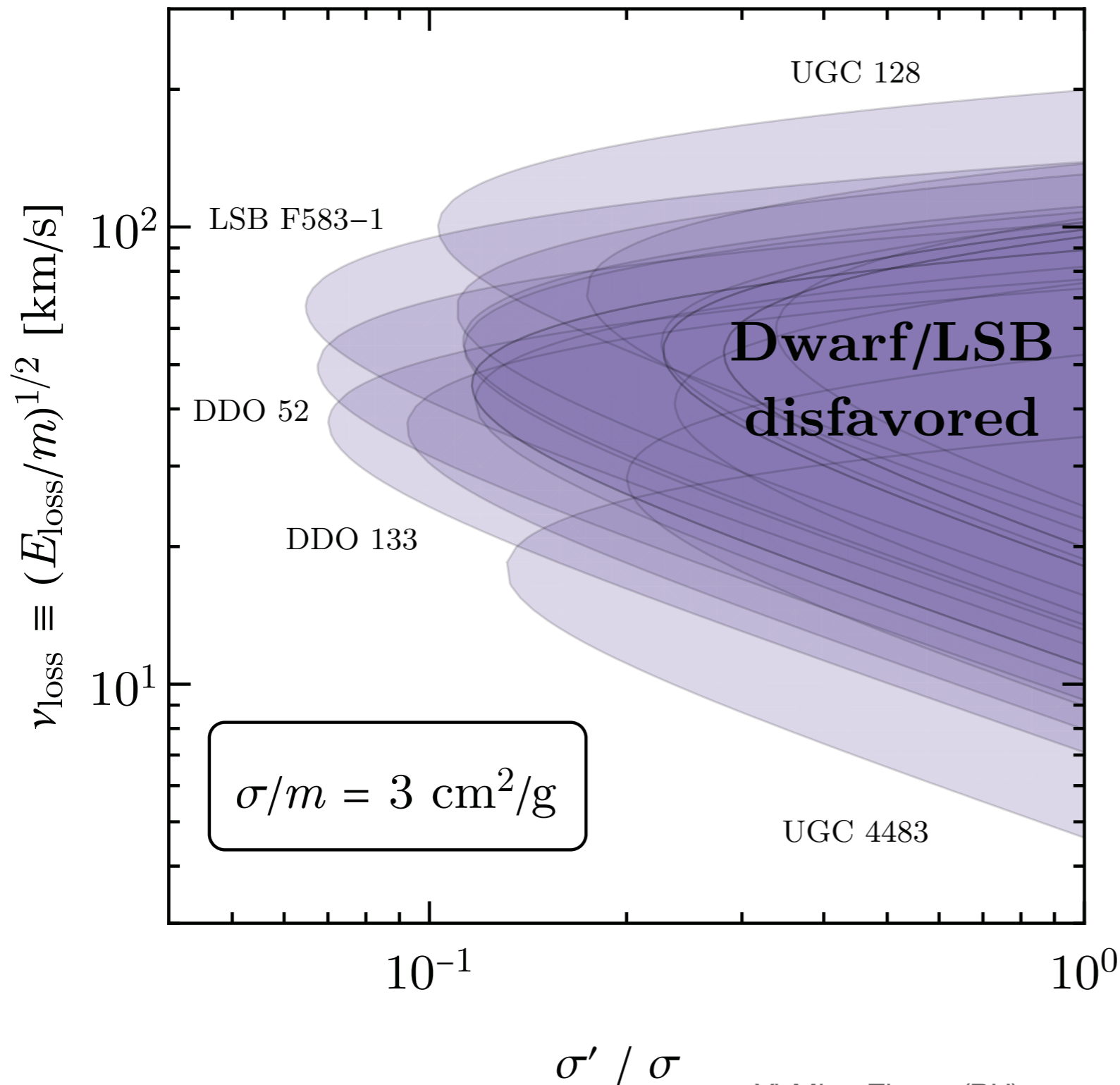


Kamada et al, '16, data from Oh eta al '15

Name	$c_{200}$	$M_{200} [M_{\odot}]$
UGC 4483	6.4	$1.5 \times 10^9$
DDO 126	16.1	$9 \times 10^9$
DDO 133	10.4	$1.2 \times 10^{10}$
DDO 154	16.8	$1.3 \times 10^{10}$
NGC 2366	14.7	$2.3 \times 10^{10}$
UGCA 442	11.2	$3 \times 10^{10}$
UGC 1281	12.2	$3 \times 10^{10}$
<b>DDO 52</b>	<b>8</b>	<b><math>3 \times 10^{10}</math></b>
DDO 87	15.3	$3.5 \times 10^{10}$
NGC 3109	11.9	$5.5 \times 10^{10}$
NGC 1560	11.9	$6 \times 10^{10}$
UGC 3371	7.4	$8 \times 10^{10}$
LSB F583-1	11.1	$8 \times 10^{10}$
UGC 5750	13.9	$8 \times 10^{10}$
IC 2574	7.4	$9 \times 10^{10}$
UGC 3371	6.4	$9 \times 10^{10}$
UGC 5750	7.3	$9 \times 10^{10}$
UGC 11707	5.4	$10^{11}$
IC 2574	10.5	$1.5 \times 10^{11}$
UGC 5005	7.7	$1.8 \times 10^{11}$
UGC 128	9.2	$3.8 \times 10^{11}$

Not see core collapse

# Dwarf/LSB disfavored



Dwarfs/LSB w/  
low-baryonic content

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UGC 5005	7.7	$1.8 \times 10^{11}$
UGC 128	9.2	$3.8 \times 10^{11}$

Not see core collapse

# Discussions

- Environment effects:
  - Major merger  $\Rightarrow$  re-virialize the merger halo  $\Rightarrow$  reset the clock of the evolution
  - Continuous infall/minor merger  $\Rightarrow$  heat the halo if significant
- Baryonic effects
- Spin

**Give birth to the first  
SMBHs**

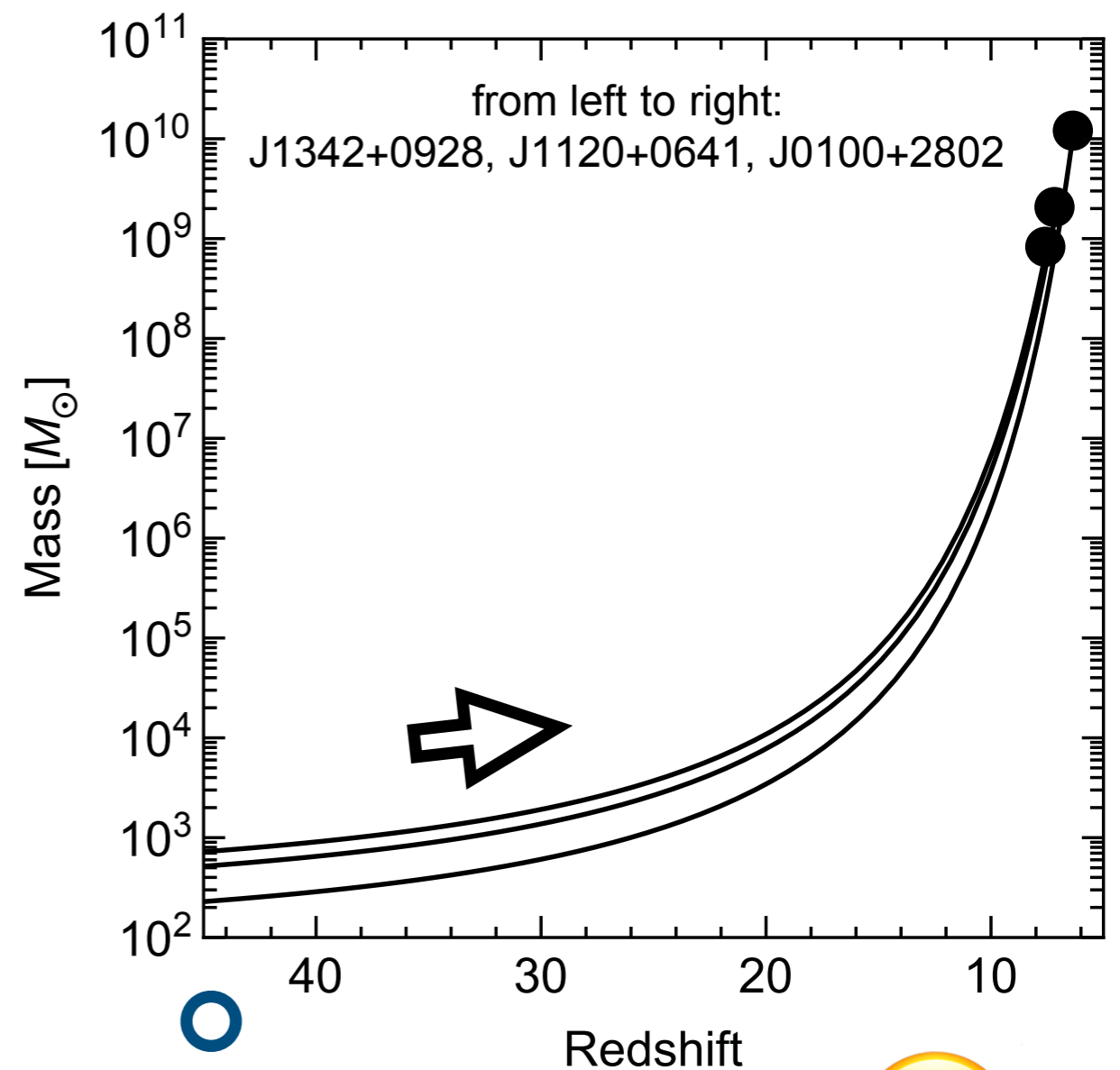
# The first SMBHs puzzle

- We see several BH's with mass  $\geq 10^8 M_{\odot}$  at a very high redshifts ( $z > 6$ ). e.g.:
  - J1342+0928:  $M = 7.8 \times 10^8 M_{\odot}$ ,  $z = 7.54$  Bañados et al, '17
  - J1120+0641:  $M = 2.0 \times 10^9 M_{\odot}$ ,  $z = 7.09$  Mortlock et al, '11
  - J0100+2802:  $M = 1.2 \times 10^{10} M_{\odot}$ ,  $z = 6.33$  Wu et al, '15
- **So massive & so ancient. How do they form??**

# The first SMBH puzzle

see review by Volonteri '10

- Classical solution:
  - PopIII star collapses (10-100  $M_{\odot}$ )  $\Rightarrow$  seed BH  $\Rightarrow$  Eddington accretion  $\Rightarrow$  massive BH
  - Need to fine tune the baryonic physics





# The SMBH puzzle

- More likely solutions:

1. Faster accretion:  
BH merger...

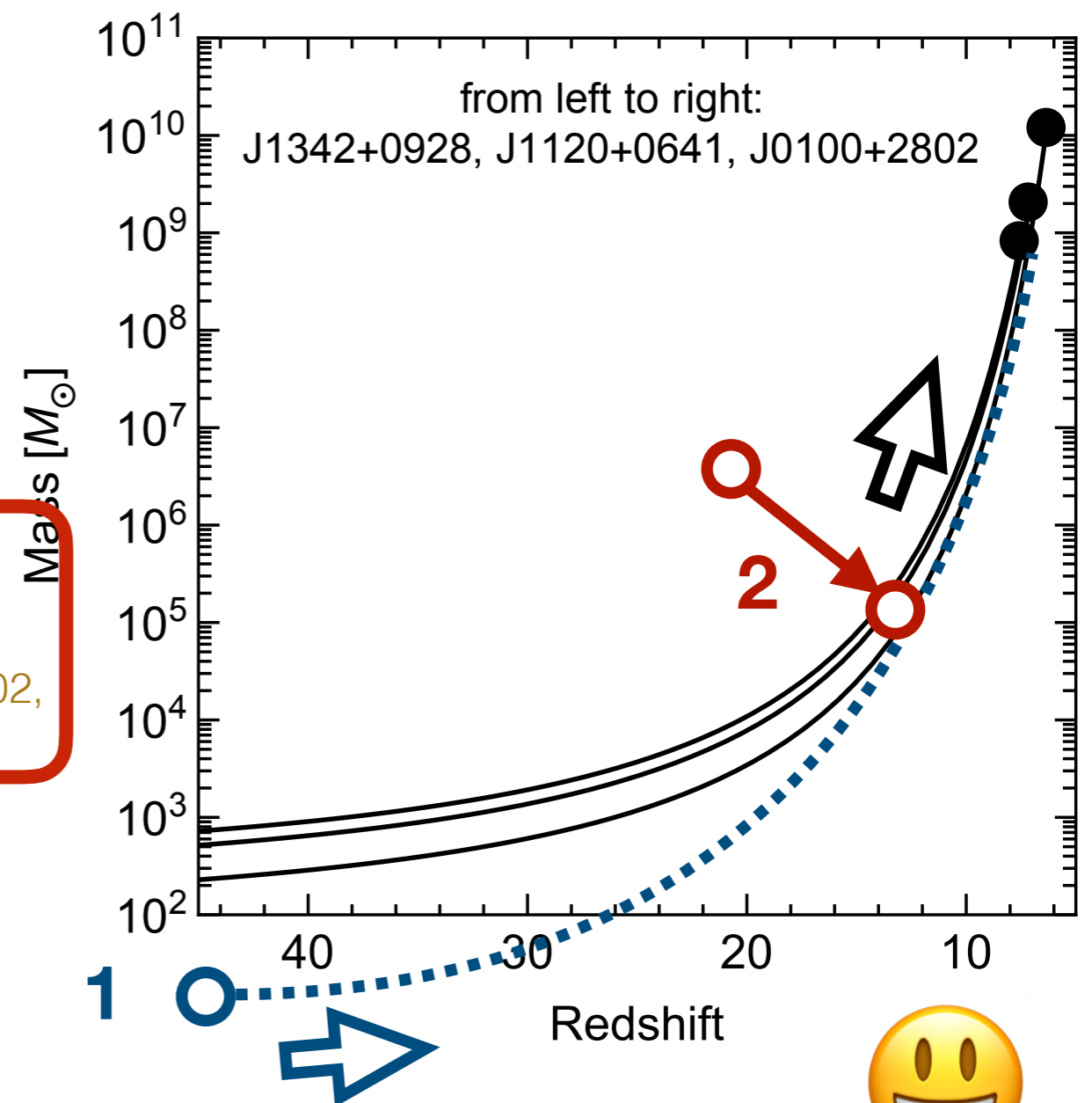
2. Larger seed BH:

gravothermal collapse  
of SIDM halos,

Balberg & Shapiro '02,  
Pollack et al '15

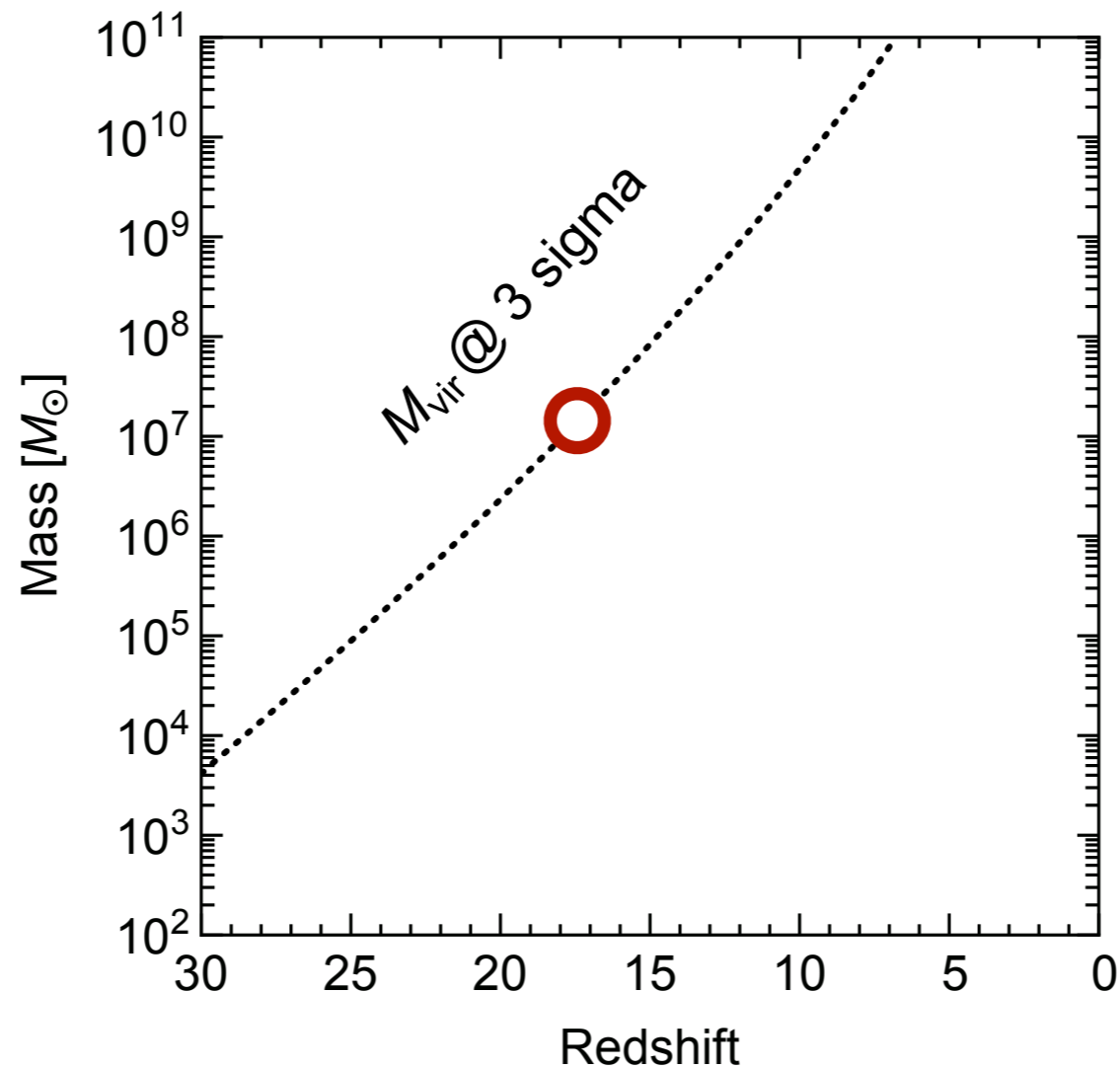
direct collapse of pre-  
galactic gas discs...

Lodato & Natarajan '06



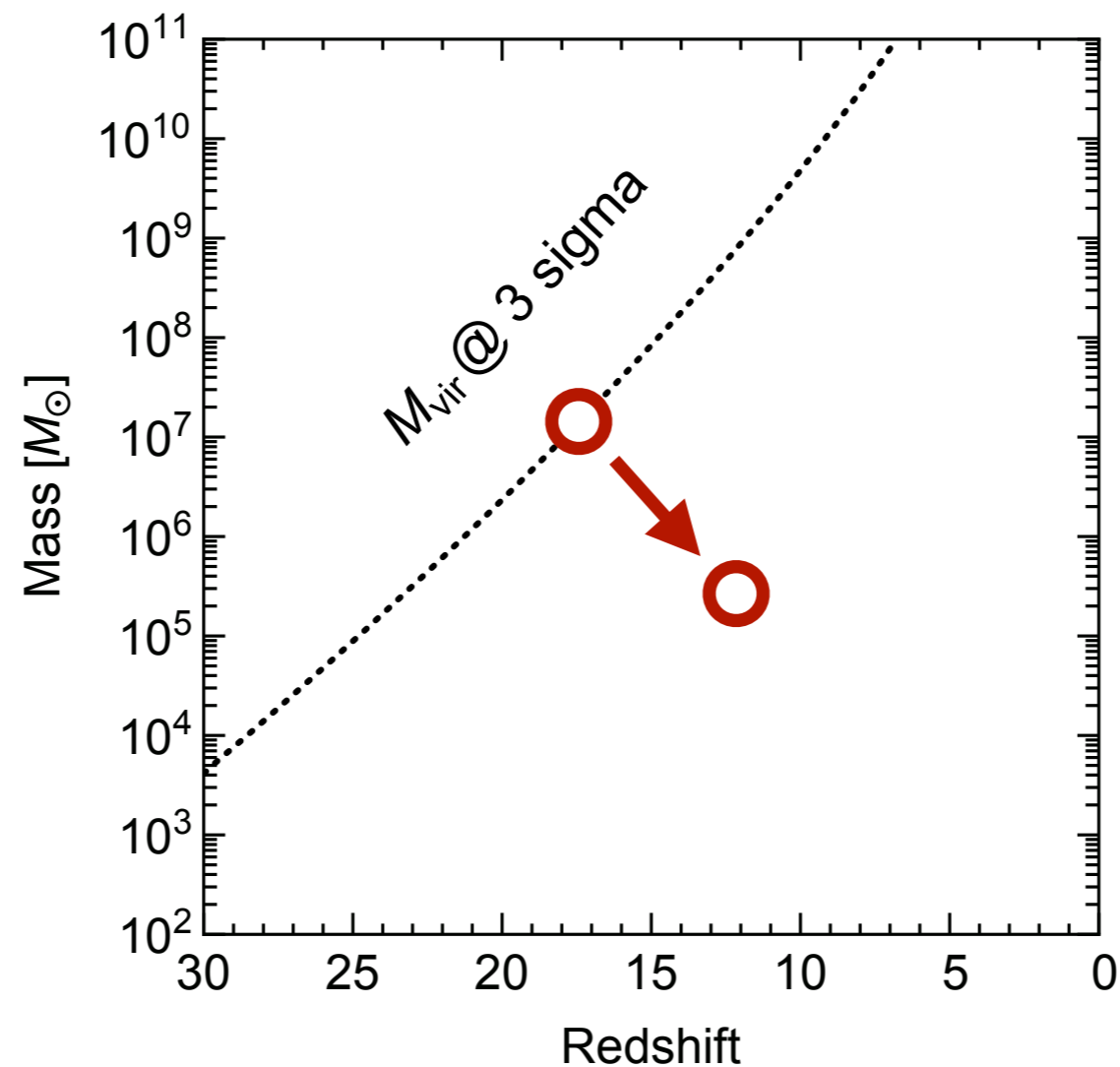
# Brief history of SMBH

1. Take an initial halo from cosmological density perturbation (e.g.  $3\sigma$  fluctuation from Press-Schechter)



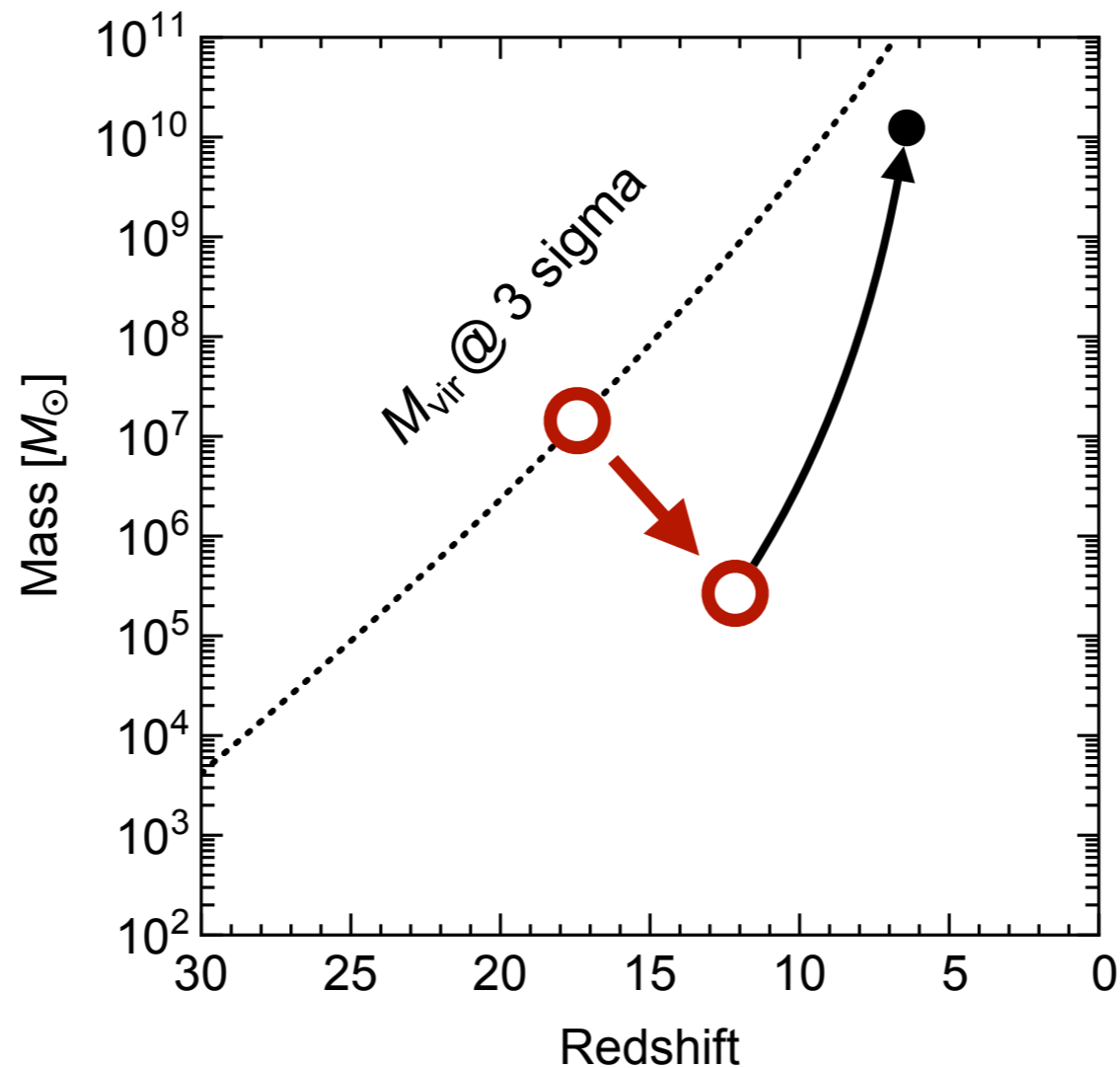
# Brief history of SMBH

2. Collapse according to  
gravothermal evolution

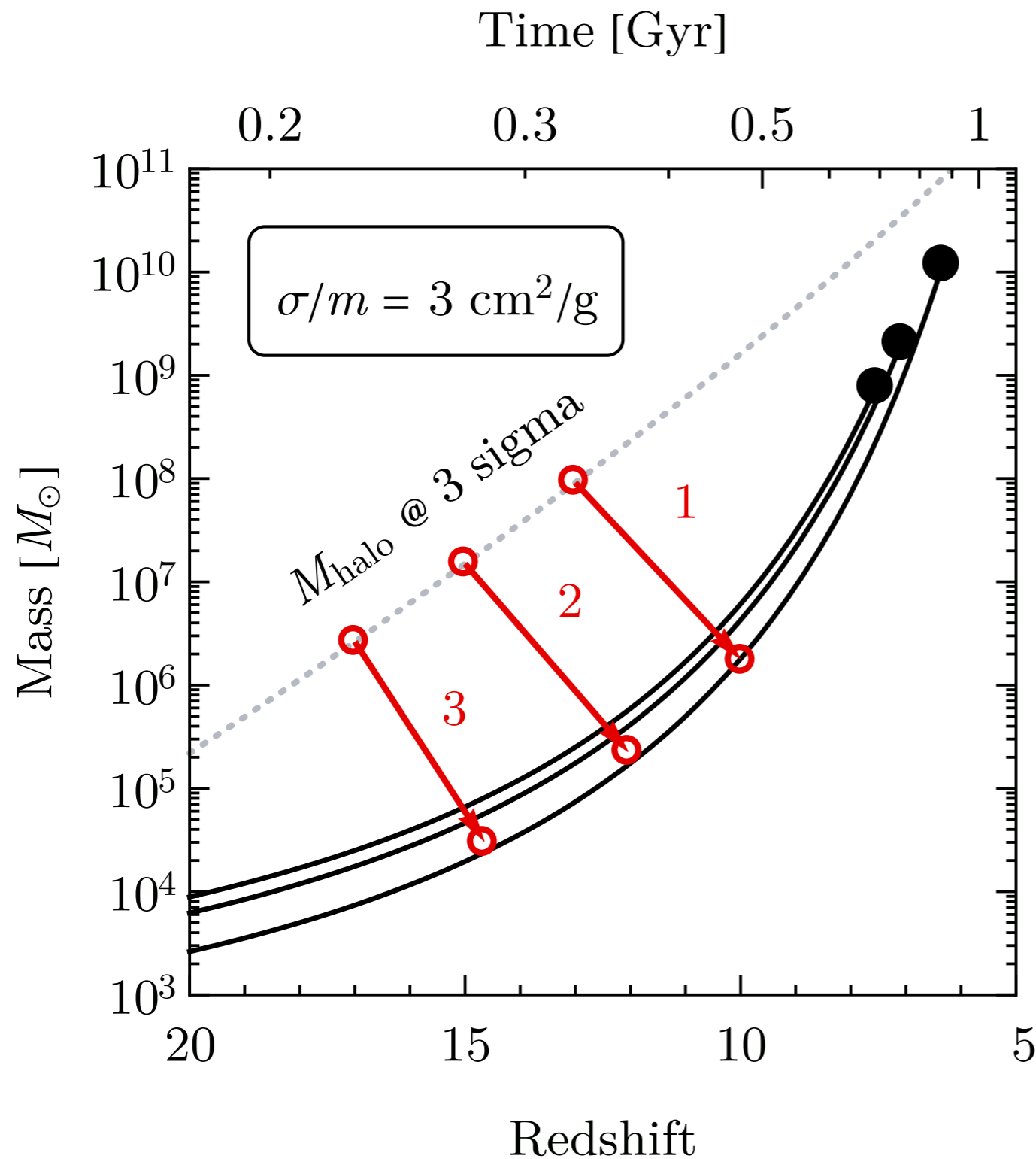


# Brief history of SMBH

3. Eddington accretion  
( $t_{\text{Edd}} = 450 \text{ Myr}$ ,  $\epsilon = 0.1$ )

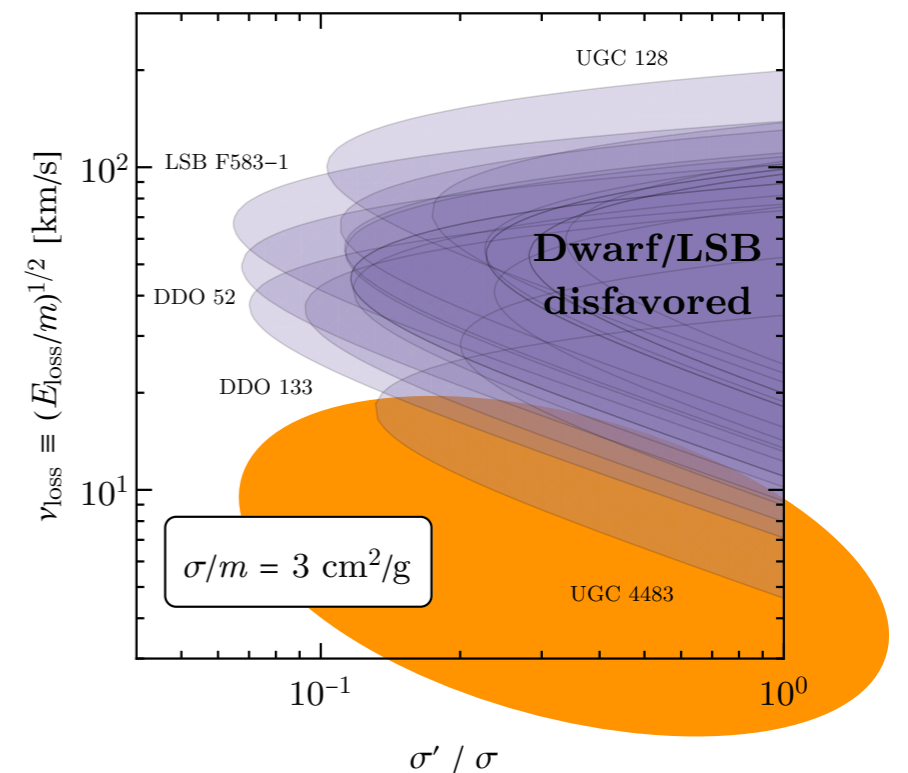


# Preliminary result



	$\sigma'/\sigma$	$\nu_{\text{loss}}$ [km/s]	$M_{200}$ [ $M_{\odot}$ ]	$c_{200}$
1	0.1	20	$9.1 \times 10^7$	7
2	0.2	10	$1.5 \times 10^7$	6
3	0.7	3	$2.6 \times 10^6$	5

prefer high  $c$



# Discussions

- Environment effects: **The lucky few??**
  - Major merger  $\Rightarrow$  re-virialize the merger halo  $\Rightarrow$  reset the clock of the evolution
  - Continuous infall/minor merger  $\Rightarrow$  heat the halo if significant
- Baryonic effects
- Spin

# Observation

- Look into the position of SMBH (at the halo center) and the inner density profile of the host halos (cuspy w/ log-slope  $\sim -2$ )  
[different from “SIDM accretion”]
- James Webb Space Telescope has the capacity to discover more SMBH's  
 $\Rightarrow$  sharpen the SMBH puzzle
- Discover SMBHs in ultra-diffuse dwarfs  
 $\Rightarrow$  strong support for SMBH from collapsed DM halo

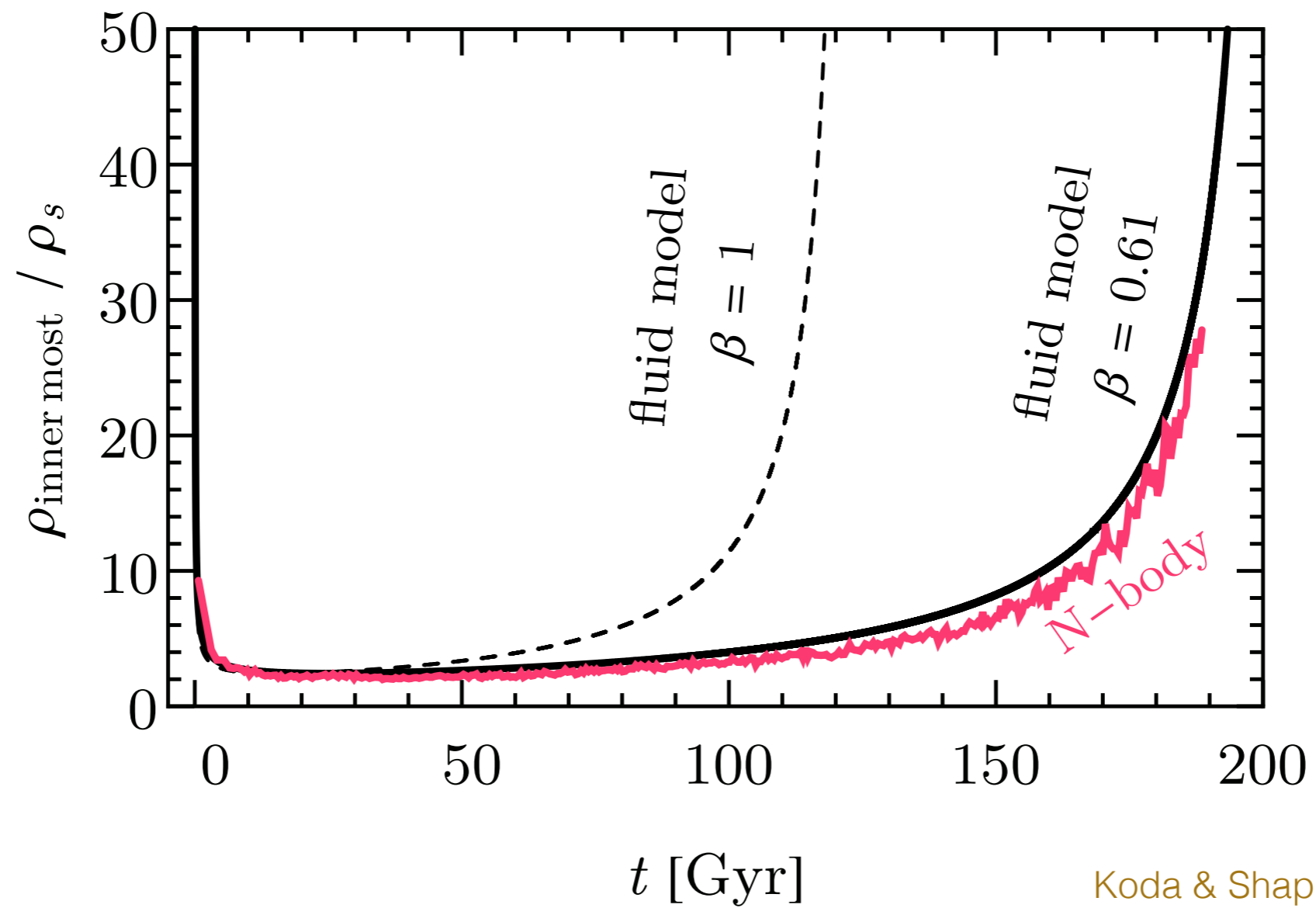
# Summary

- DM self-interactions (elastic/dissipative) may change halos' evolution. They can be probed by astronomical observations.
- The collapsed halo provide new ways to form the SMBH's. (SMBH's are likely surrounded by cuspy inner profiles.)



**Backup**

# Calibration



Koda & Shapiro, '11