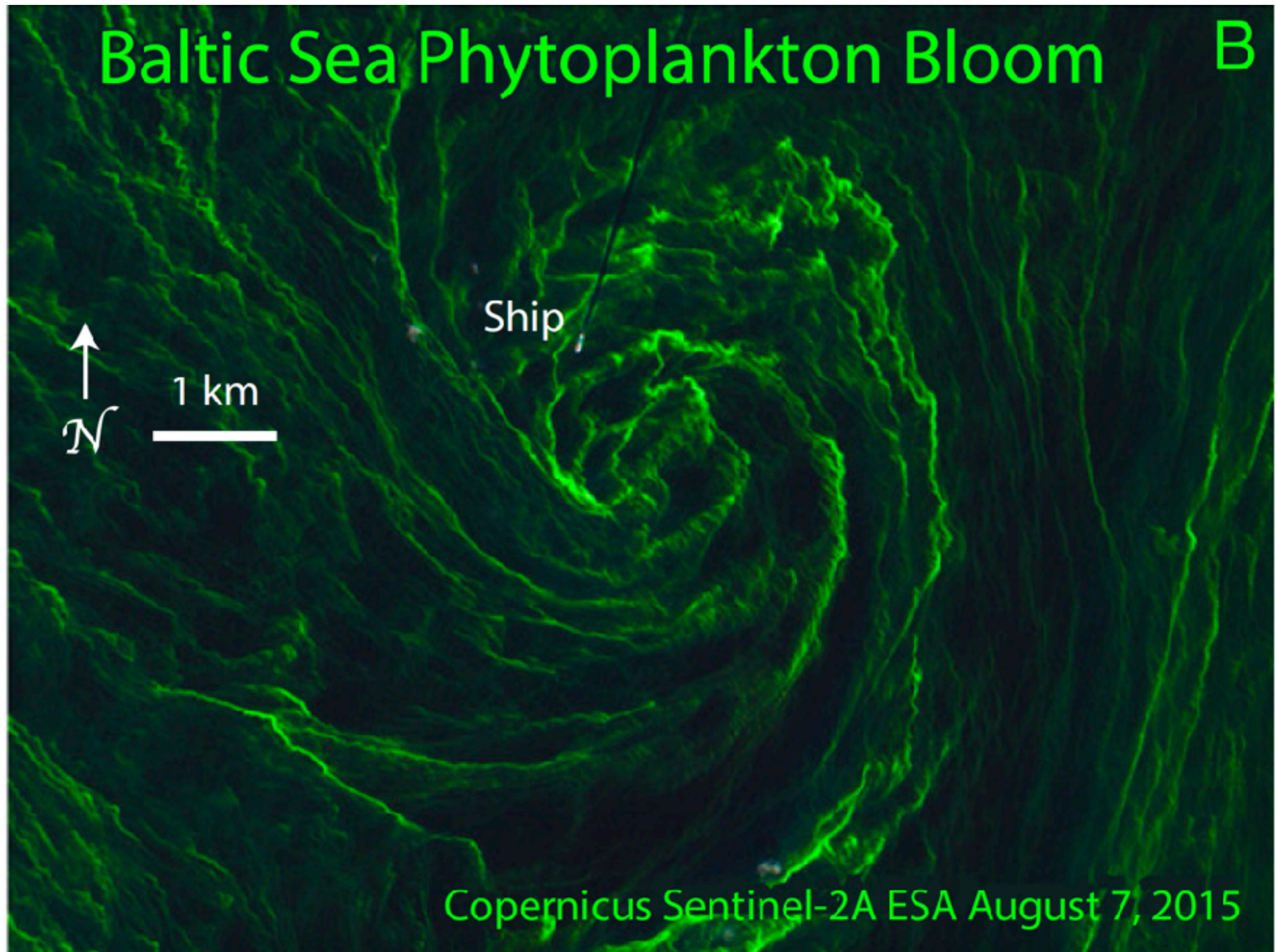


**Submesoscale Frontogenesis, Arrest, and Decay:  
With and Without Surface Waves**

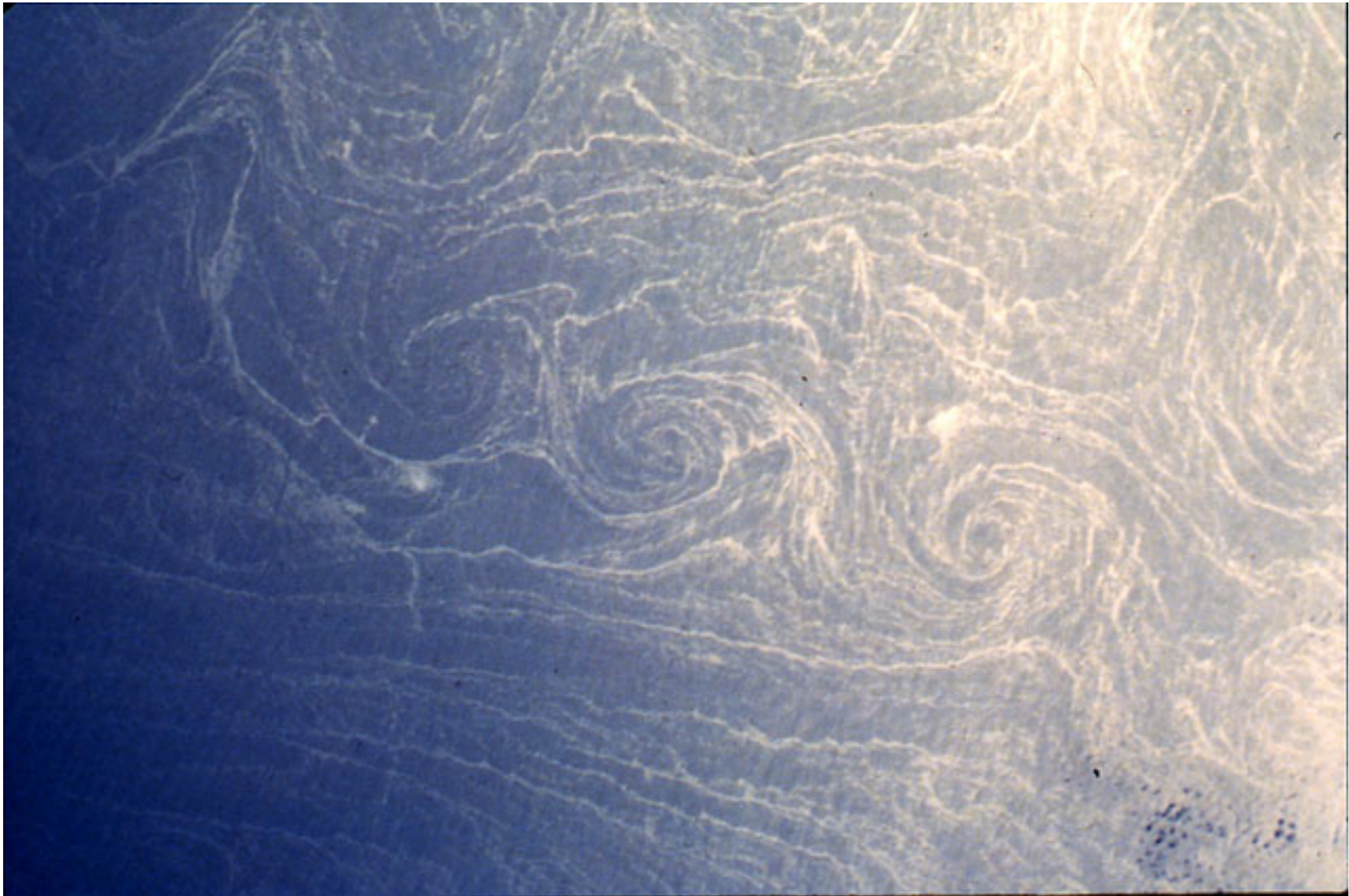
**J. McWilliams, UCLA**

The oceanic submeoscale is a bunch of lines on the sea surface; i.e., surfactants gathered into horizontal convergences above downwelling jets





# Spirals on the Sea



Sun-glint showing ~ 5 km "spirals on the sea" not far off the Mediterranean coast of Africa photographed from space (Scully-Power, 1986)

Lines are at density **filaments** (minima) or density **fronts** (steps).

lines are horizontal density gradients, along-line jets,  
and overturning secondary circulations

they arise from frontogenesis

the energy source is available potential energy,  $wb > 0$ ,  
while the processes of its release can be of several types

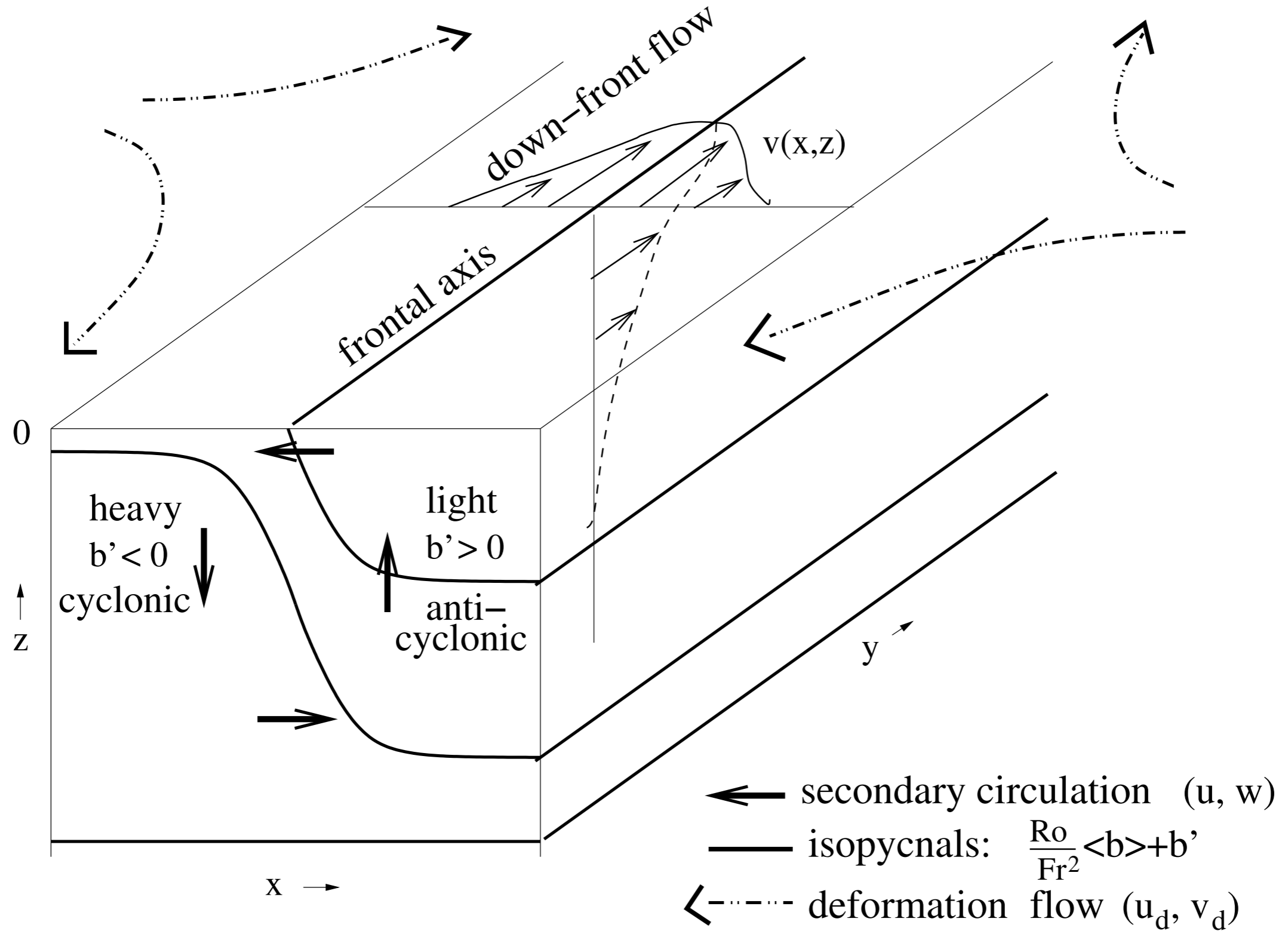
occasionally the lines roll up or tear apart into coherent vortices

$Ro, Fr, Ri \sim 1$

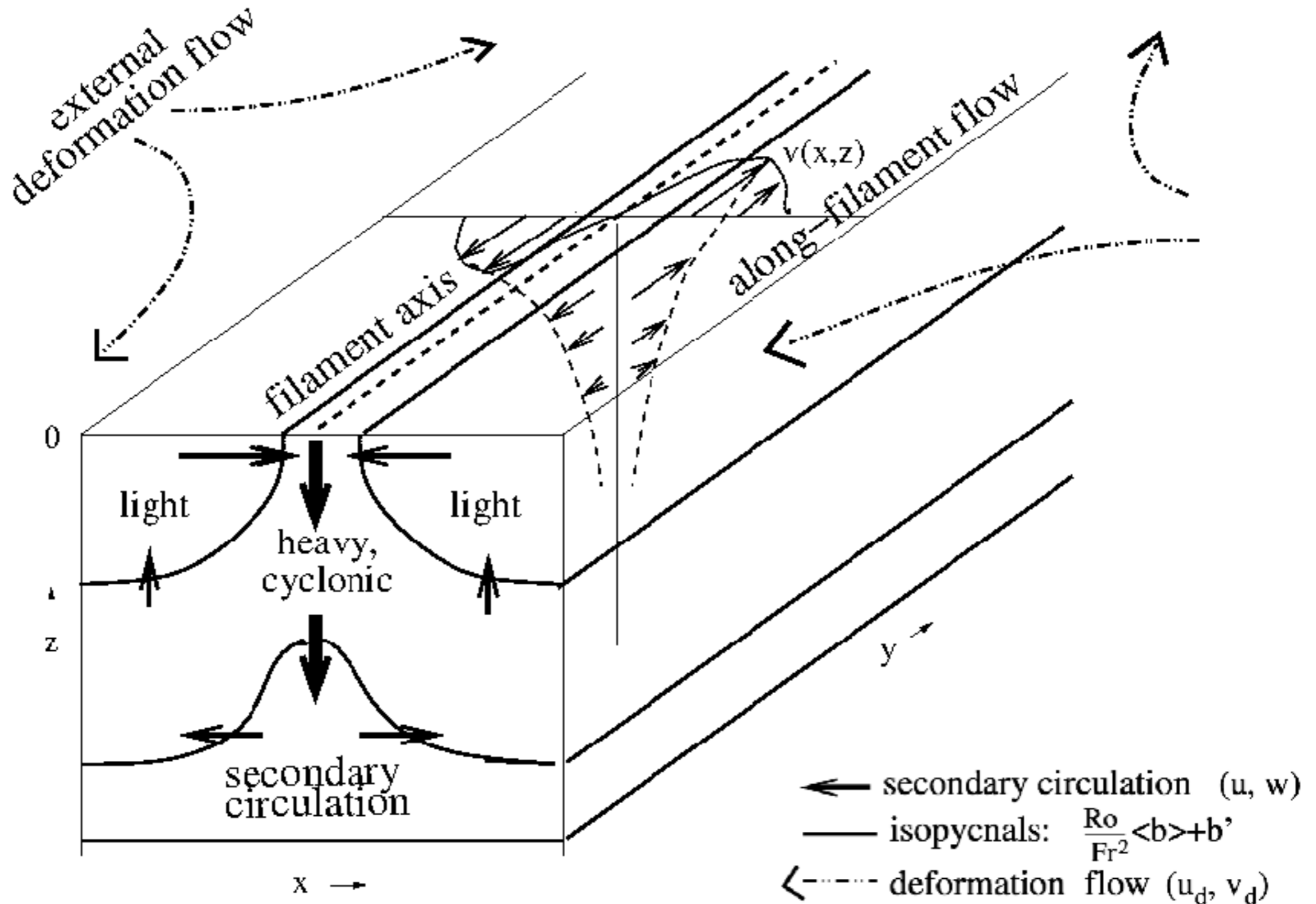


# Frontogenesis induced by an ambient horizontal strain field (a la Bergeron, Hoskins, ...)

## Density Front



# Density Filament



filaments have stronger convergence and frontogenesis per unit density gradient and strain



## Convergence vs. Strain?

As an “external” velocity, both fields imply exponential growth for any passive  $|\nabla c| \sim |\nabla \rho|$  .

As a local secondary circulation, convergence implies super-exponential growth for  $|\nabla \rho|$  .

Oceanic mesoscale and atmospheric synoptic-scale have stronger strain than convergence because  $Ro \ll 1$  .

Oceanic submesoscale fronts have both comparable strain and convergence because  $Ro \gtrsim 1$  .

# Turbulent Thermal Wind Balance (TTW):

combined effects of a geostrophic shear and vertical momentum mixing

$$-\partial_z [\nu_v \partial_z u] - fv = -\partial_x \int^z b' dz$$

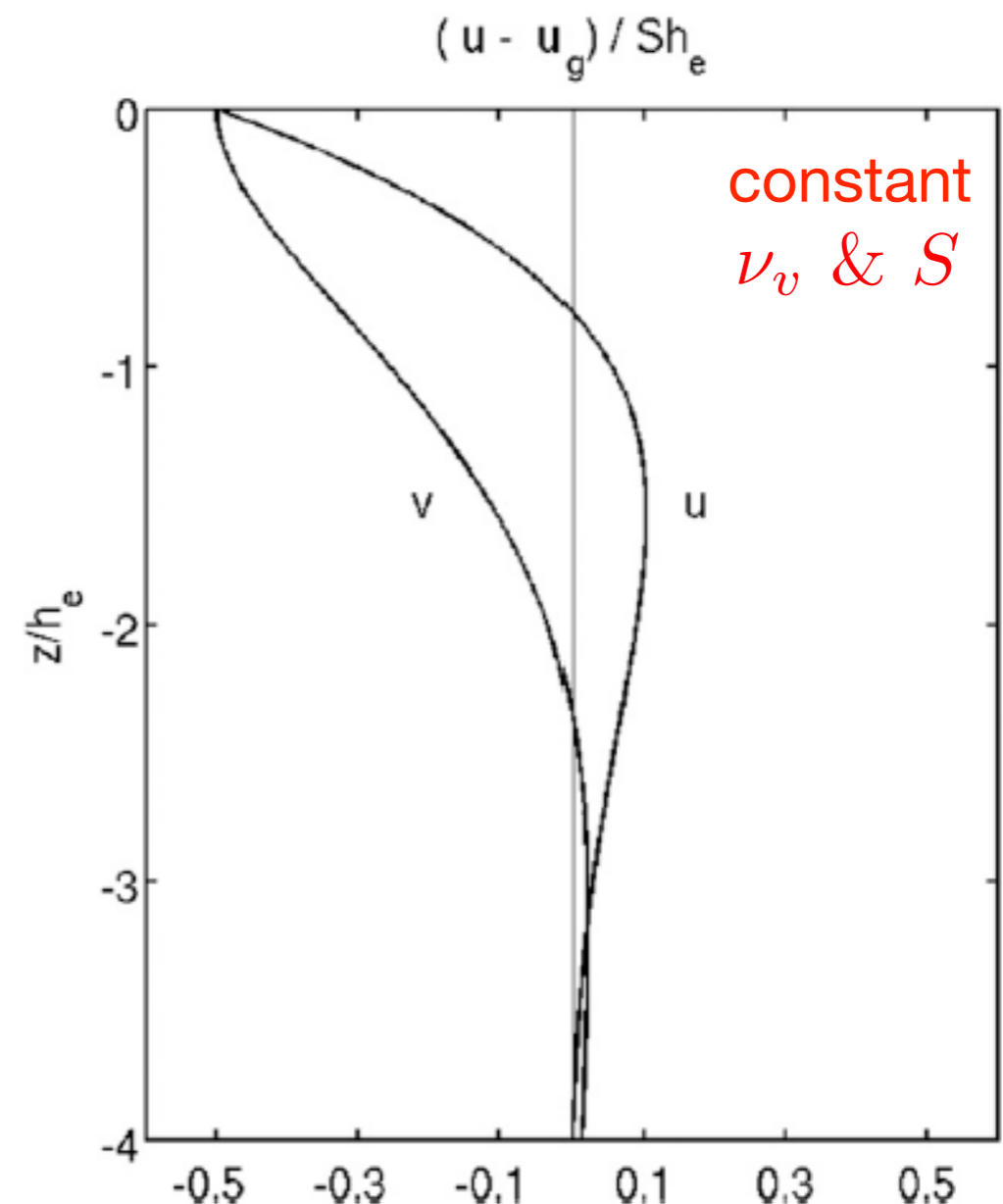
$$-\partial_z [\nu_v \partial_z v] + fu = -\partial_y \int^z b' dz$$

$$\partial_x u + \partial_y v + \partial_z w = 0$$

e.g., for  $S = \partial_z v_g(z) > 0$  in the surface boundary layer ( $z > -h$ ), TTW  $\Rightarrow$  the ageostrophic flow  $\mathbf{u}_a(z)$  is left-rearward relative to  $v_g(z)$ .

$\Rightarrow$  in 2D  $u_a(x,z)$  is a frontogenetic cross-frontal secondary circulation in the same sense as in strain-induced frontogenesis.

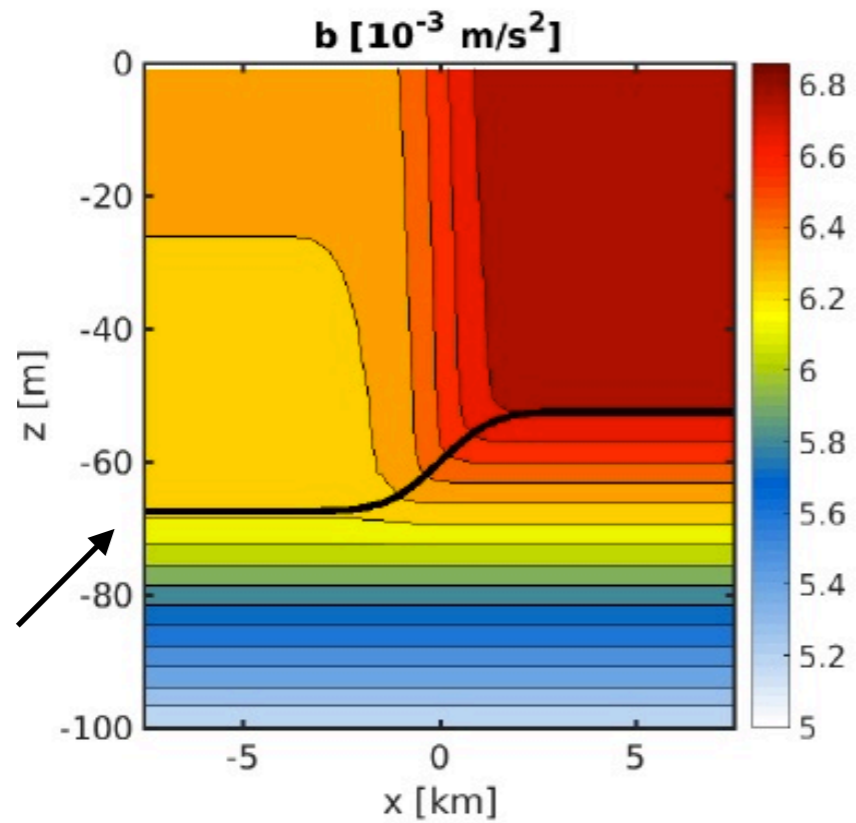
TTW is only an approximation to the balanced diagnostic model; e.g., it is not aware of stratification or ageostrophic advection.



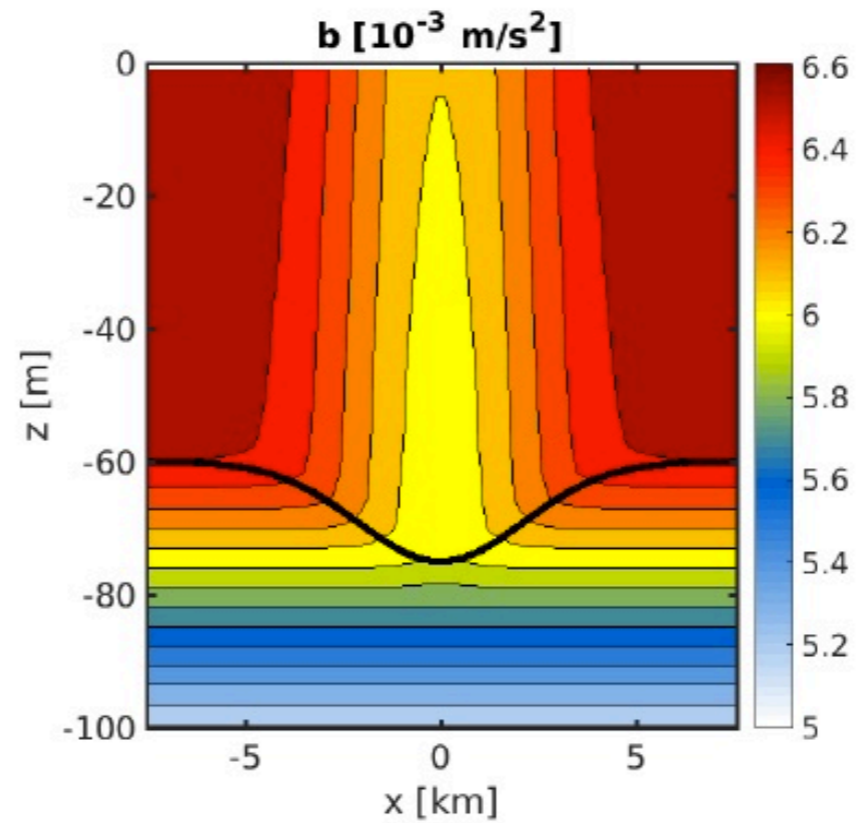


# Linear TTW without Wind or Waves

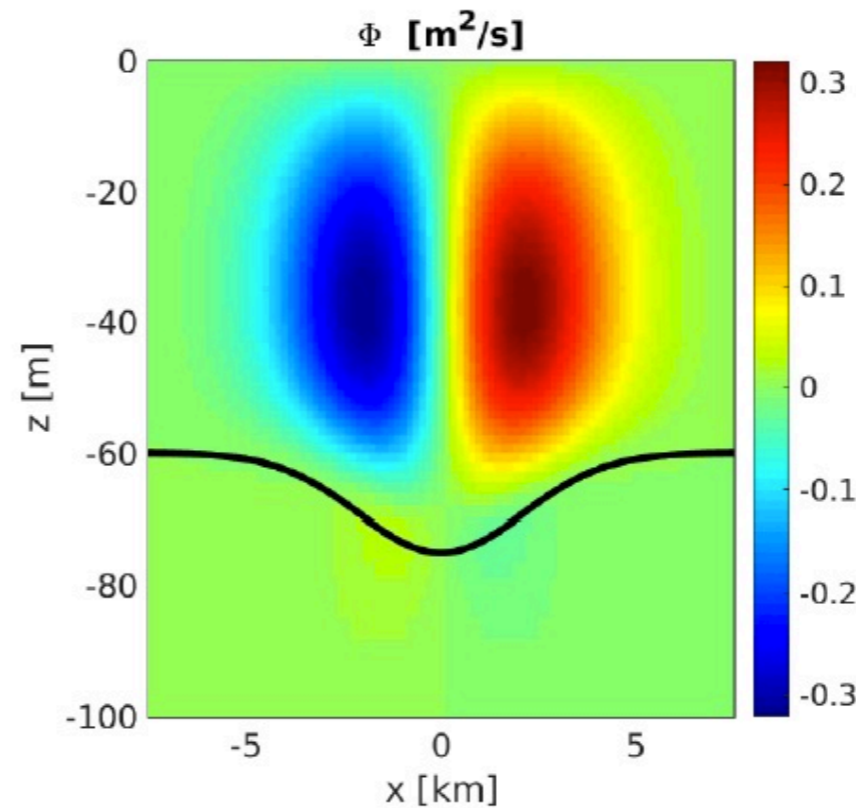
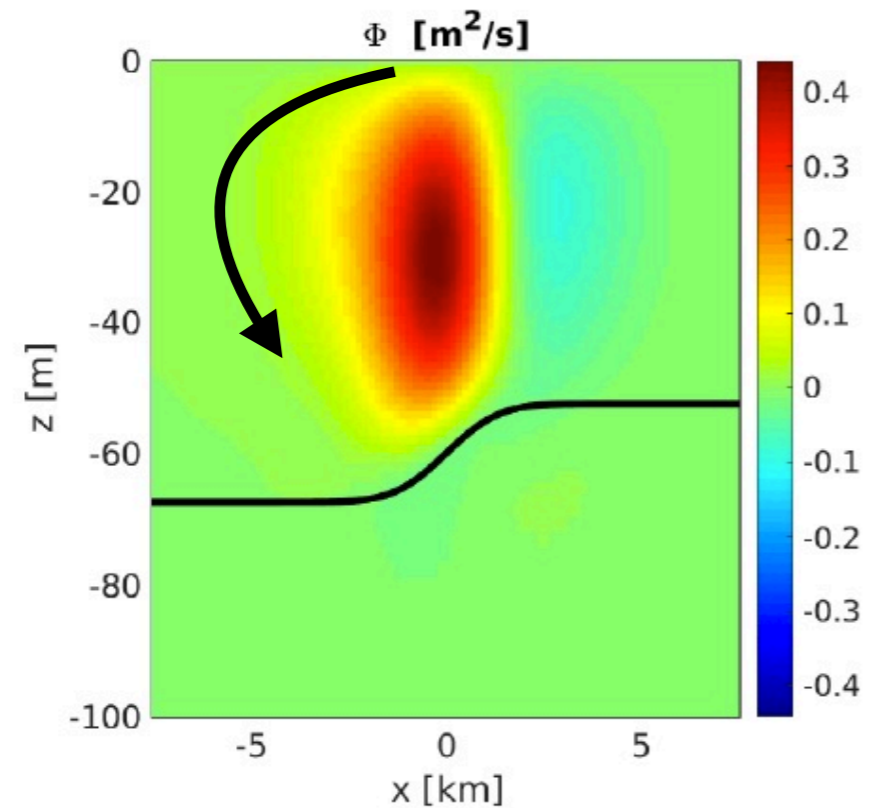
Front



Dense Filament



buoyancy field



“TTW”  
ageostrophic  
streamfunction for  
secondary circulation  
( $u, w$ ) ( $x, z$ )

# Diagnosing Secondary Circulation and Frontogenetic Tendency (SCFT)

After the circulation dynamics has created submesoscale coherent structures with only “slow” advective and boundary layer evolution rates, diagnose the instantaneous 3D

$$\begin{array}{l} \text{secondary circulation } \mathbf{u}_a \\ \text{frontogenetic tendencies } (T^b, T^u) \end{array} = \left( \frac{D|\nabla_h b|^2}{Dt}, \frac{D|\nabla_h \mathbf{u}_h|^2}{Dt} \right)$$

from  $b(x,z)$  and the turbulent forcing and mixing ( $\nu_v$ ,  $\kappa_v(x,y)$ ,  $\tau$ , &  $Q$ ) by assuming, as the only dynamical approximation to the hydrostatic, incompressible Primitive Equations with vertical mixing and wave-averaged Stokes vortex forces, the **neglect of the ageostrophic time tendency** in the horizontal momentum equations.

This is a kind of maximally inclusive Balance Equations that excludes, e.g., inertia-gravity waves; i.e., a kind of generalized Sawyer-Eliassen model, but not based on the usual  $\delta \ll \zeta = \partial_x v - \partial_y u$  approximation. Solved iteratively.

It does not preclude evolution in  $b$  and  $u_g$ , hence in  $u_a$ .

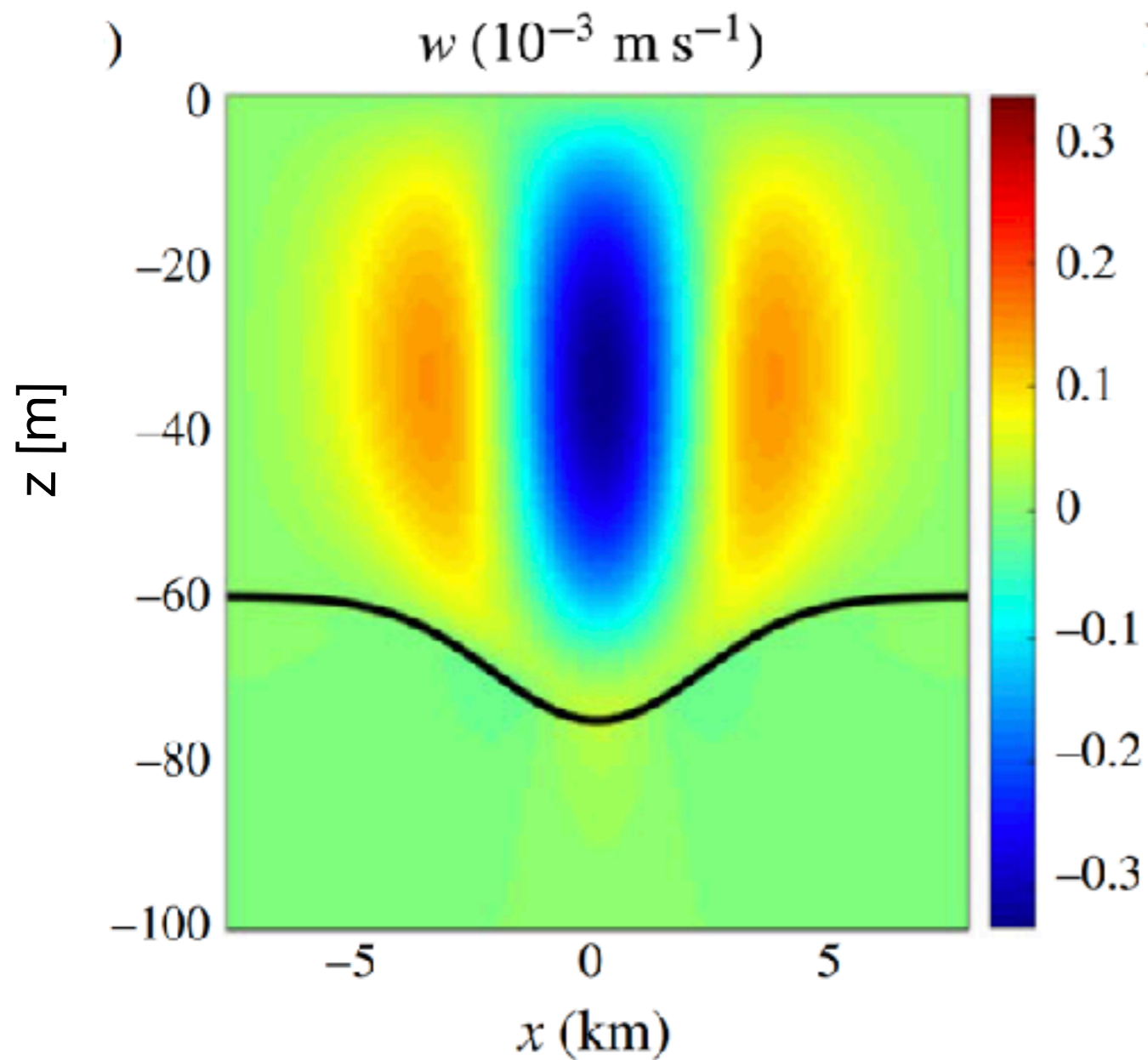
Its PDE solutions can be expected to fail to exist at some finite  $Ro > 1$ . The true evolution is presumed to stay close to this balanced state, as in realistic ROMS simulations.

Today SCFT is applied to 2D  $b(x,z)$  fronts and dense filaments.



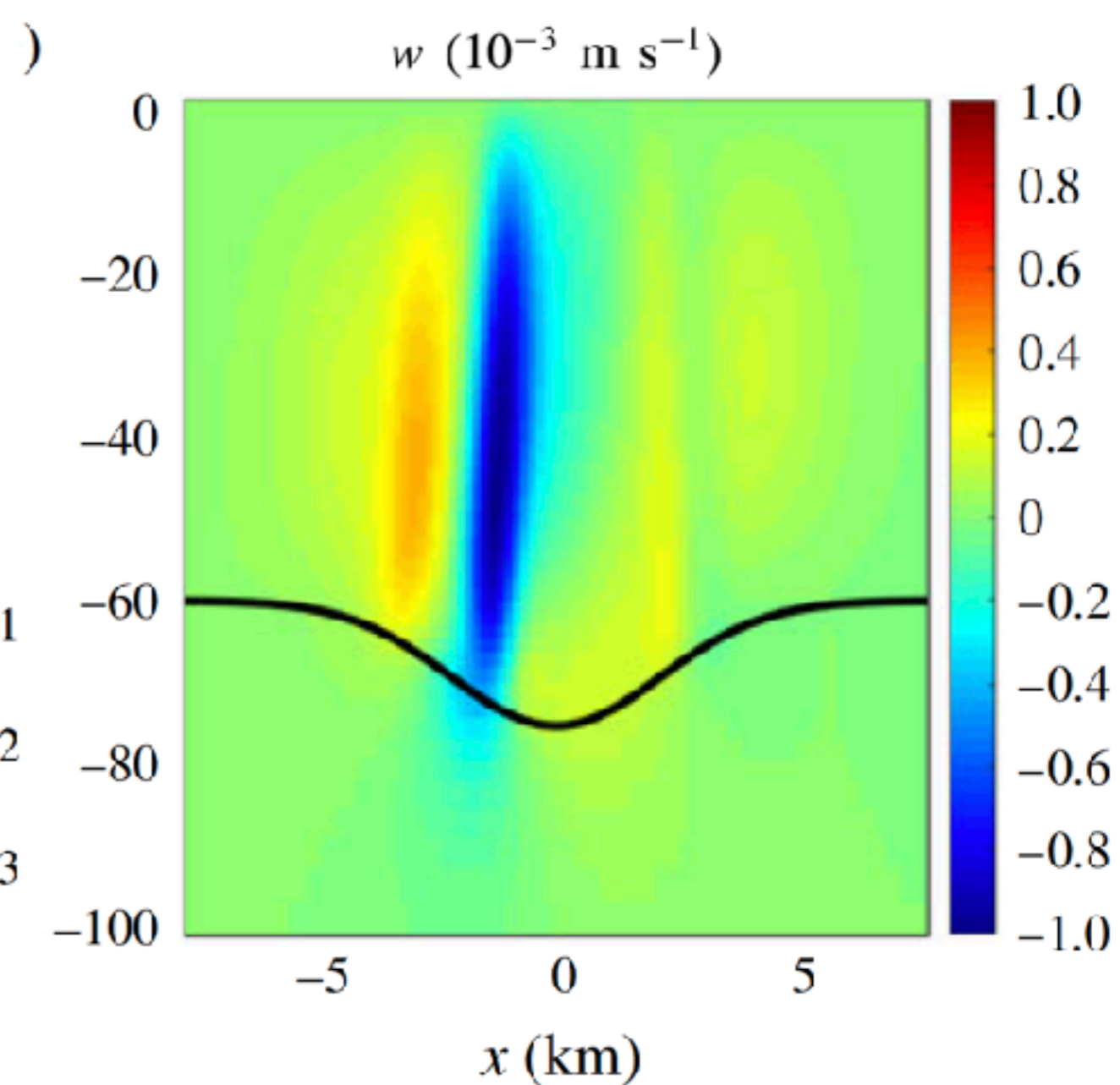
# SCFT Diagnostic Analysis: Vertical Velocity in a Dense Filament

Linear TTW without wind



Nonlinear TTW with wind

$$\theta_w = \frac{\pi}{4} \text{ (NE)}$$



# Simplified Theory of Strong Frontogenesis

## Strain Induced Frontogenesis (Semigeostrophy) Scaling

Without loss of generality we assume the anisotropic submesoscale features are aligned in the  $y$  direction. Apply semigeostrophic scaling:

cross-front	along-front	mixed-layer depth
$x \sim l,$	$y \sim L,$	$z \sim h_{ml},$
along-front $v \sim V,$	cross-front $u \sim \epsilon V,$	$w \sim u \frac{h_{ml}}{l},$
$T \sim \frac{l}{\epsilon V},$	$\Phi \sim fVl,$	$b \sim \frac{fVl}{h_{ml}},$

along-front geostrophic  
hydrostatic balance

$$Ro = \frac{V}{fl} \sim O(1)$$

$$\epsilon = \frac{l}{L} \ll 1$$

(Hoskins and Bretherton, 1972)

## Submesoscale Frontogenesis Scaling

motivated by the rapid frontogenetic time scales and comparable cross-front and along-front velocity magnitudes we use:

	cross-front	along-front	mixed-layer depth
	$x \sim l,$	$y \sim L,$	$z \sim h_{\text{ml}},$
along-front		cross-front	
$v \sim V,$	$u \sim RoV,$		$w \sim u \frac{h_{\text{ml}}}{l},$
$T \sim \frac{l}{RoV},$	$\Phi \sim fVl,$		$b \sim \frac{fVl}{h_{\text{ml}}},$

along-front geostrophic  
hydrostatic balance

$$Ro = \frac{V}{fl} \sim O(1)$$

$$\epsilon = \frac{l}{L} \ll 1$$

# Frontogenetic Tendencies and Rates

buoyancy frontal tendency

$$\frac{D}{Dt} \frac{1}{2} |\nabla_h b|^2 = \underbrace{Q \cdot \nabla_h b}_{\mathcal{F}_b} \rightarrow \underbrace{-\delta |\nabla_h b|^2}_{\mathcal{F}_b}$$

velocity frontal tendency

$$\frac{D}{Dt} \frac{1}{2} |\nabla_h \mathbf{u}_h|^2 = \mathcal{F}_u \rightarrow \underbrace{-\delta |\nabla_h \mathbf{u}_h|^2}_{\mathcal{F}_u}$$

new scaling



frontogenetic tendency rates

$$\mathcal{T}_b = \frac{\mathcal{F}_b}{|\nabla_h b|^2}, \quad \mathcal{T}_u = \frac{\mathcal{F}_u}{|\nabla_h \mathbf{u}|^2}$$

$$\boxed{\mathcal{T}_b \approx \mathcal{T}_u \approx -\delta}$$

$$\delta = u_x + v_y$$



## What about the divergence evolution?

$$\frac{D}{Dt} \frac{1}{2} |\nabla_h b|^2 \approx \underbrace{-\delta |\nabla_h b|^2}_{\mathcal{F}_b} + \dots$$

**frontogenetic rates**

$$\frac{D}{Dt} \frac{1}{2} |\nabla_h \mathbf{u}_h|^2 \approx \underbrace{-\delta |\nabla_h \mathbf{u}_h|^2}_{\mathcal{F}_u} + \dots$$

$$\frac{D}{Dt} \delta \approx -\delta^2 + \underbrace{f\zeta_{\text{ag}} + V_{\text{mix}}}_{\text{TTW balances}} + V_{\text{adv}} + H_{\text{diff}}$$

after removing  
geostrophic balance

**TTW balances**

$$\frac{D}{Dt} \zeta = -\delta \zeta + \underbrace{f\delta + V_{\text{mix}}}_{\text{TTW balances}} + V_{\text{adv}} + H_{\text{diff}}$$

→ strong frontogenesis in a Lagrangian frame with  $Ro \gg 1$  :

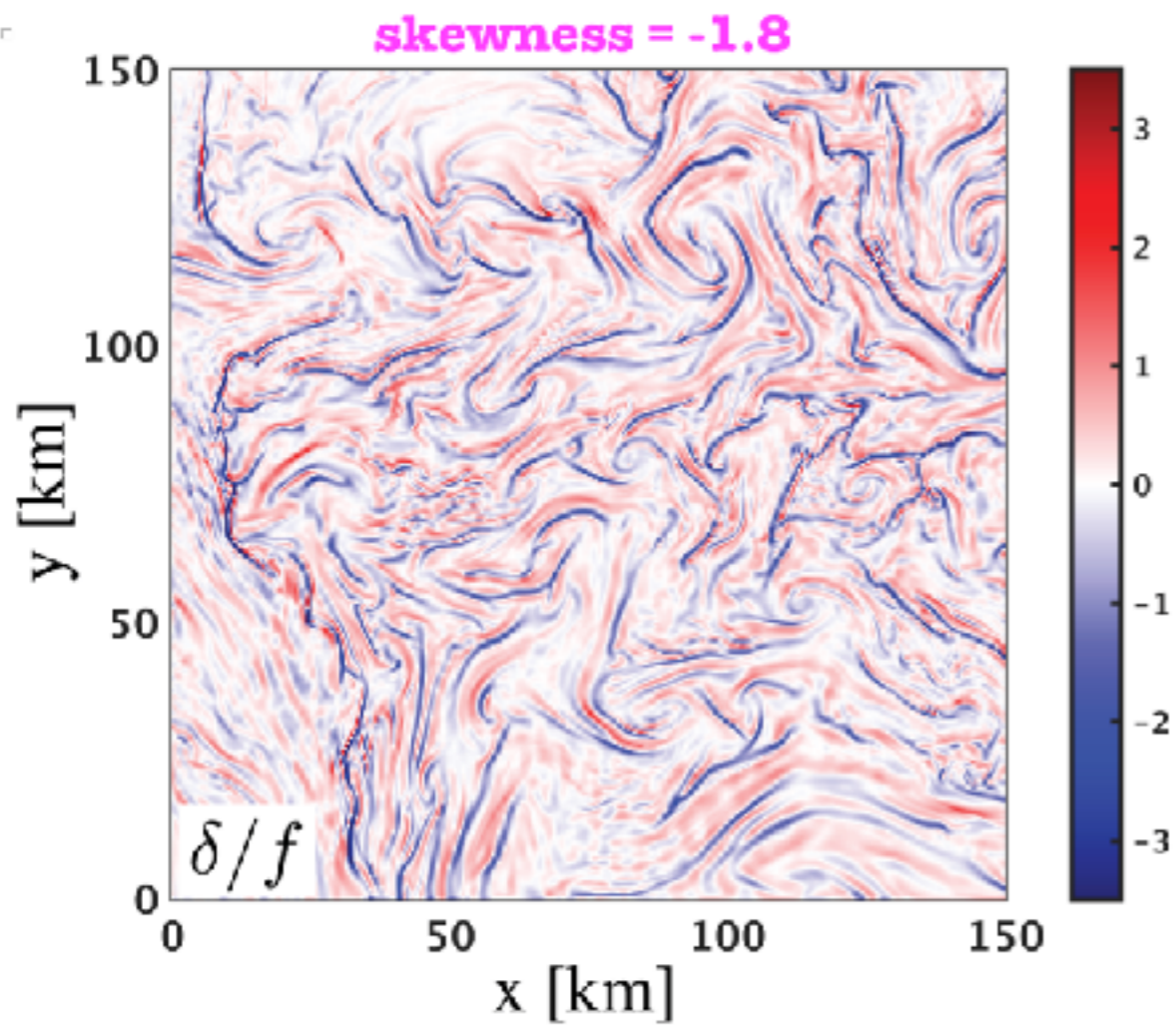
$$\dot{\delta} = -\delta^2, \quad \dot{\zeta} = -\delta\zeta.$$

with  $\delta < 0$ ,  $\zeta > 0$  at the center of the front (i.e., convergent and cyclonic).

$$\Rightarrow \delta(t) \approx -\frac{1}{t_s - t}, \quad \zeta(t) \propto +\frac{1}{t_s - t} \quad \text{as } t \rightarrow t_s^-.$$

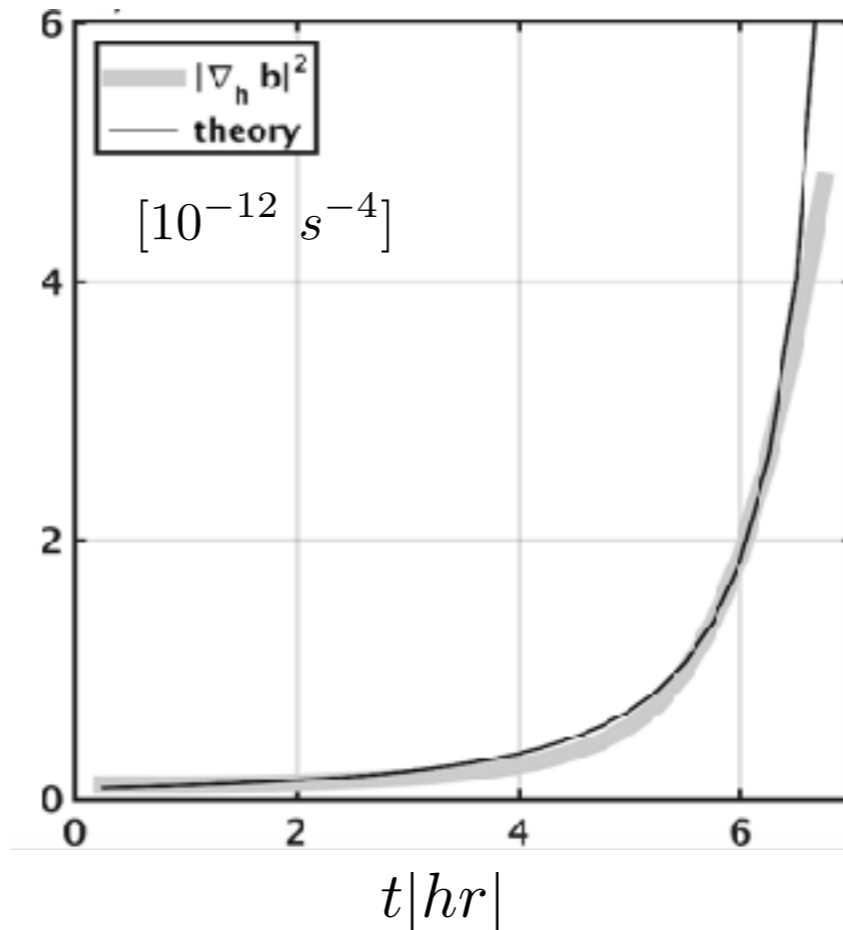
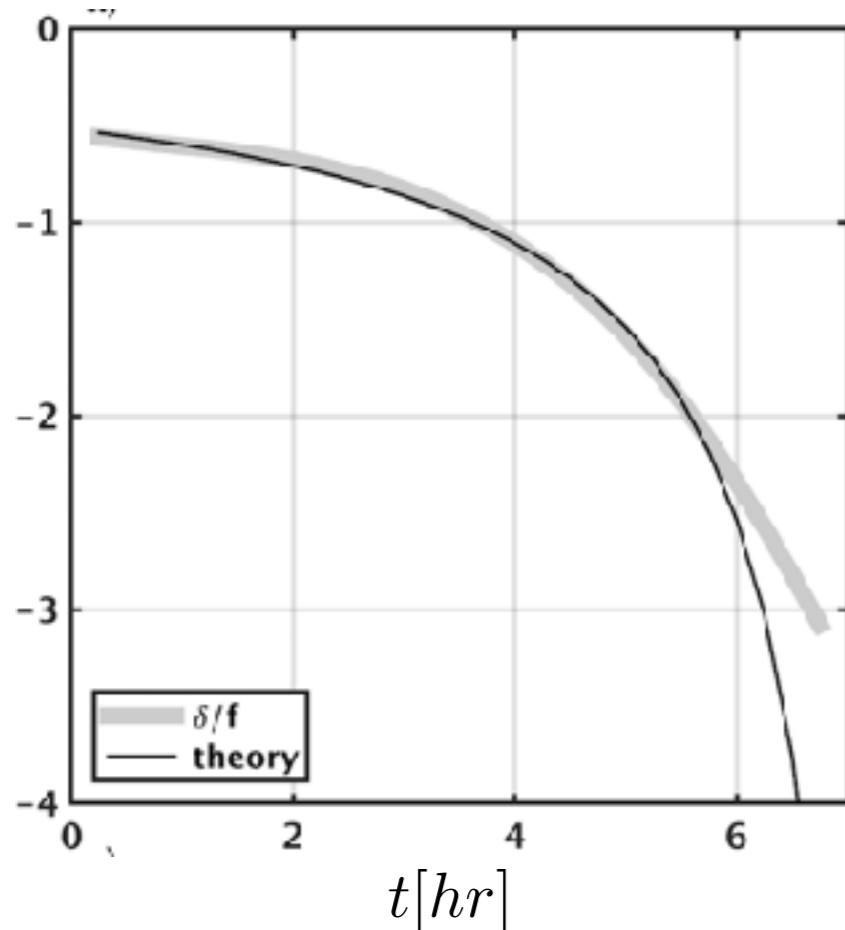
a finite time singularity with  $-\delta$  as its growth rate. Also,

$$|\nabla \dot{b}|^2 = -\delta |\nabla b|^2 \quad \Rightarrow \quad |\nabla b|(t) \propto +\frac{1}{(t_s - t)^{1/2}}.$$



snapshot of surface divergence  
in a realistic ROMS simulation  
for the Gulf of Mexico

tests of asymptotic theory:  
composite evolution over  
656 detected frontogenesis  
events in this simulation



frontogenesis slows  
at late time in model  
due to instability  
& diffusive arrest

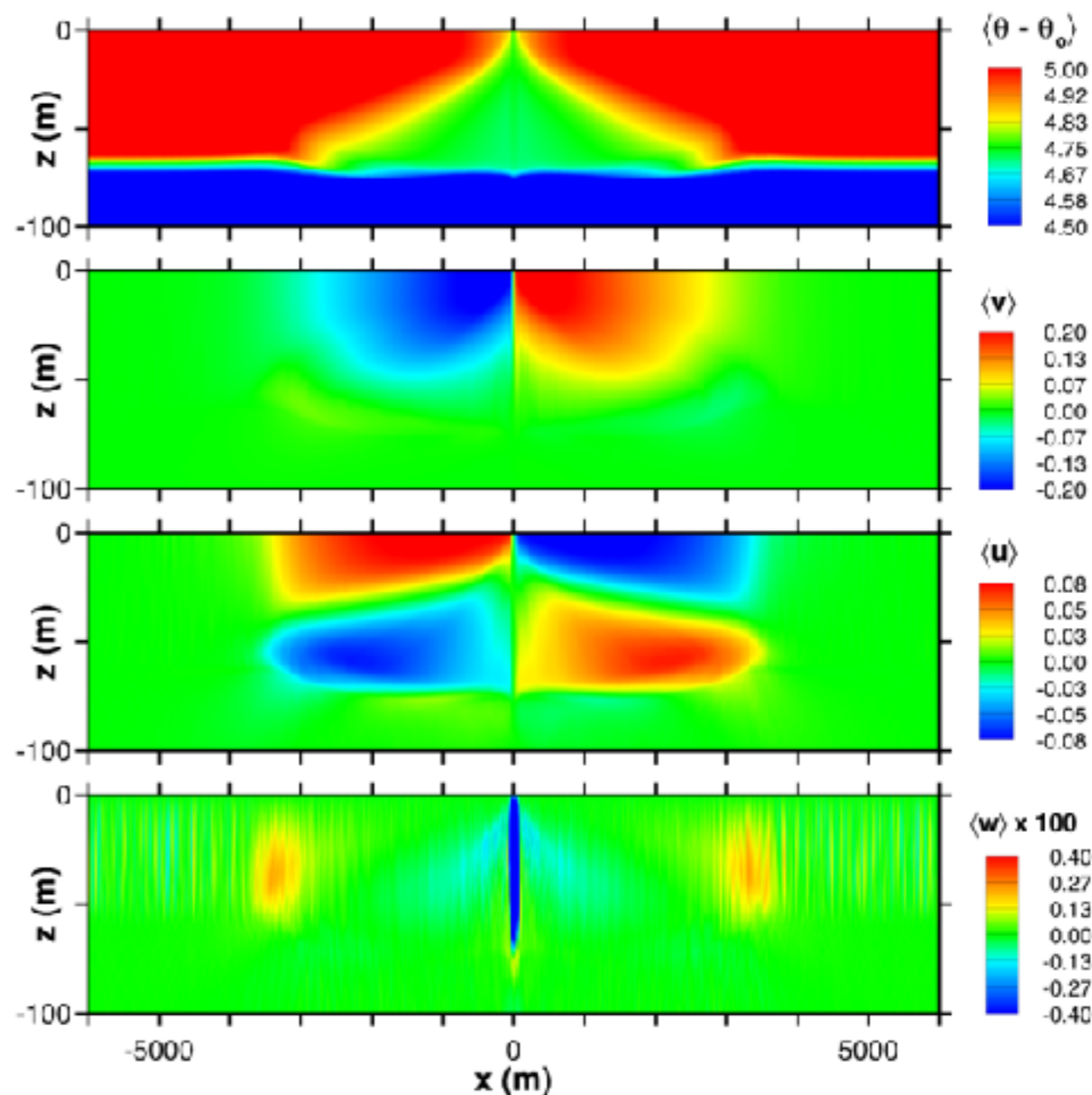
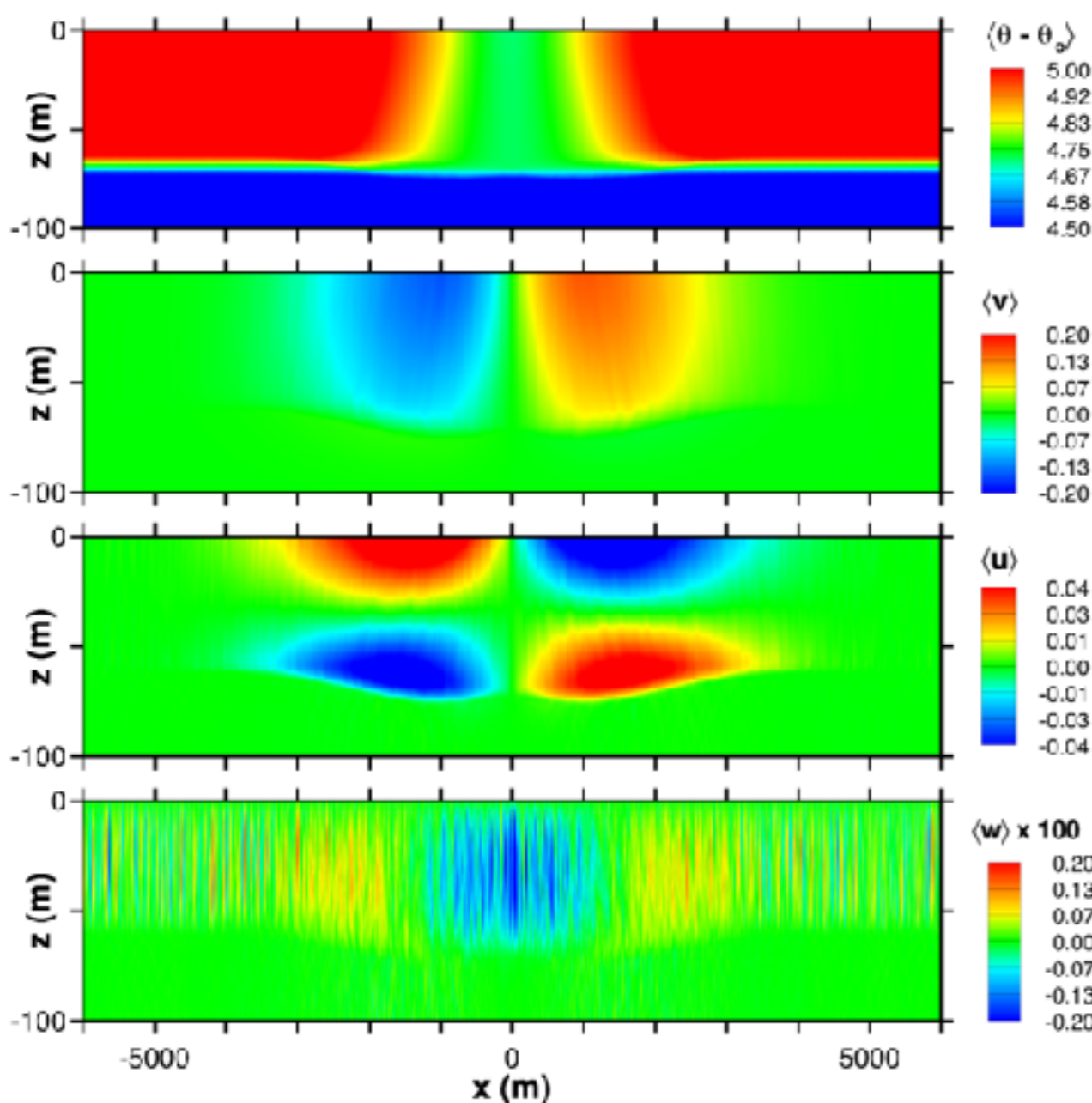
(Barkan et al, 2018)

# Large-Eddy Simulation: Filament Frontogenesis, Arrest, & Decay

boundary-layer turbulence generated by surface cooling

fully turbulent i.c.

at t= 6 hrs., peak frontal strength and arrest

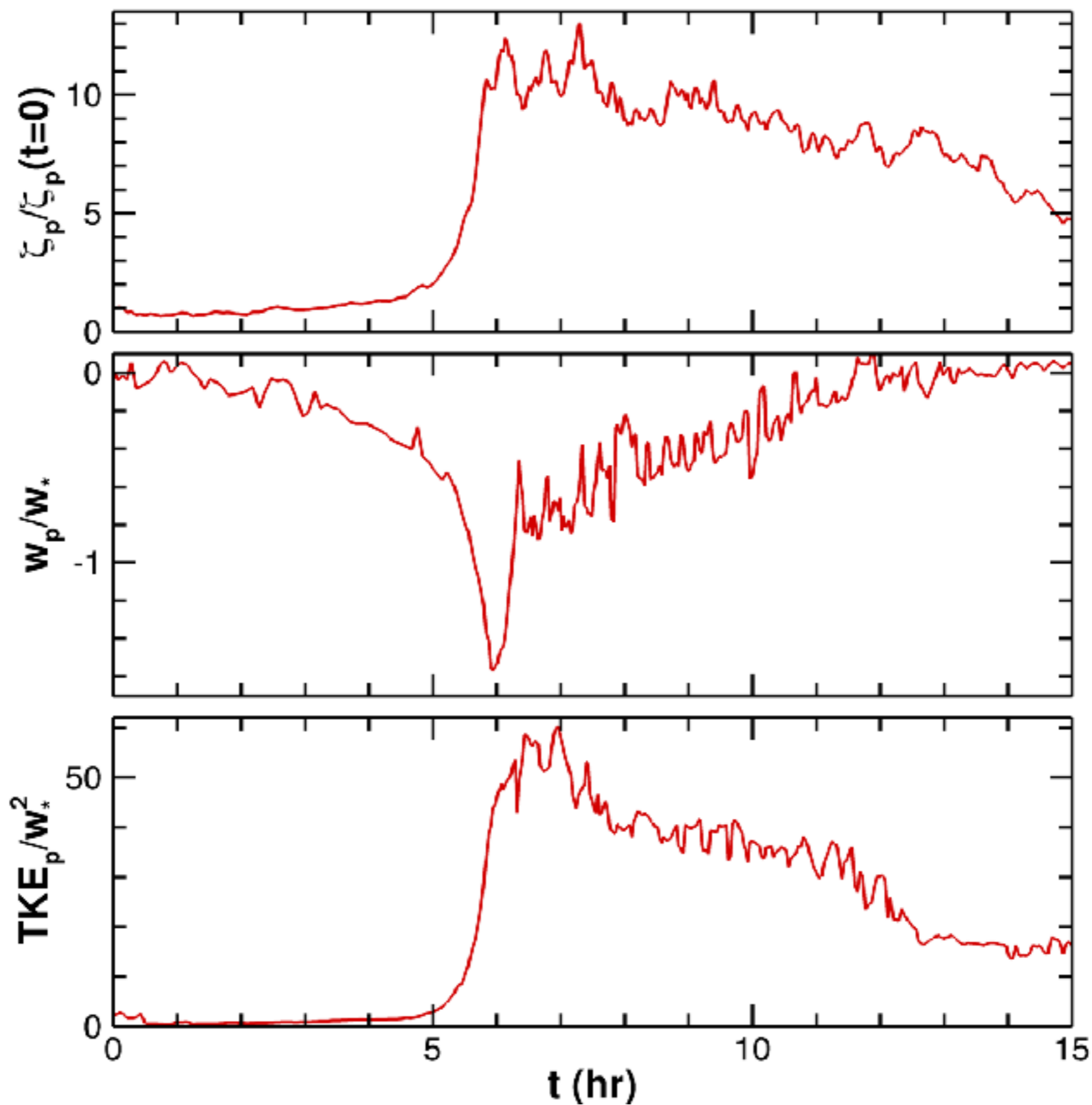


along-front averaged fields



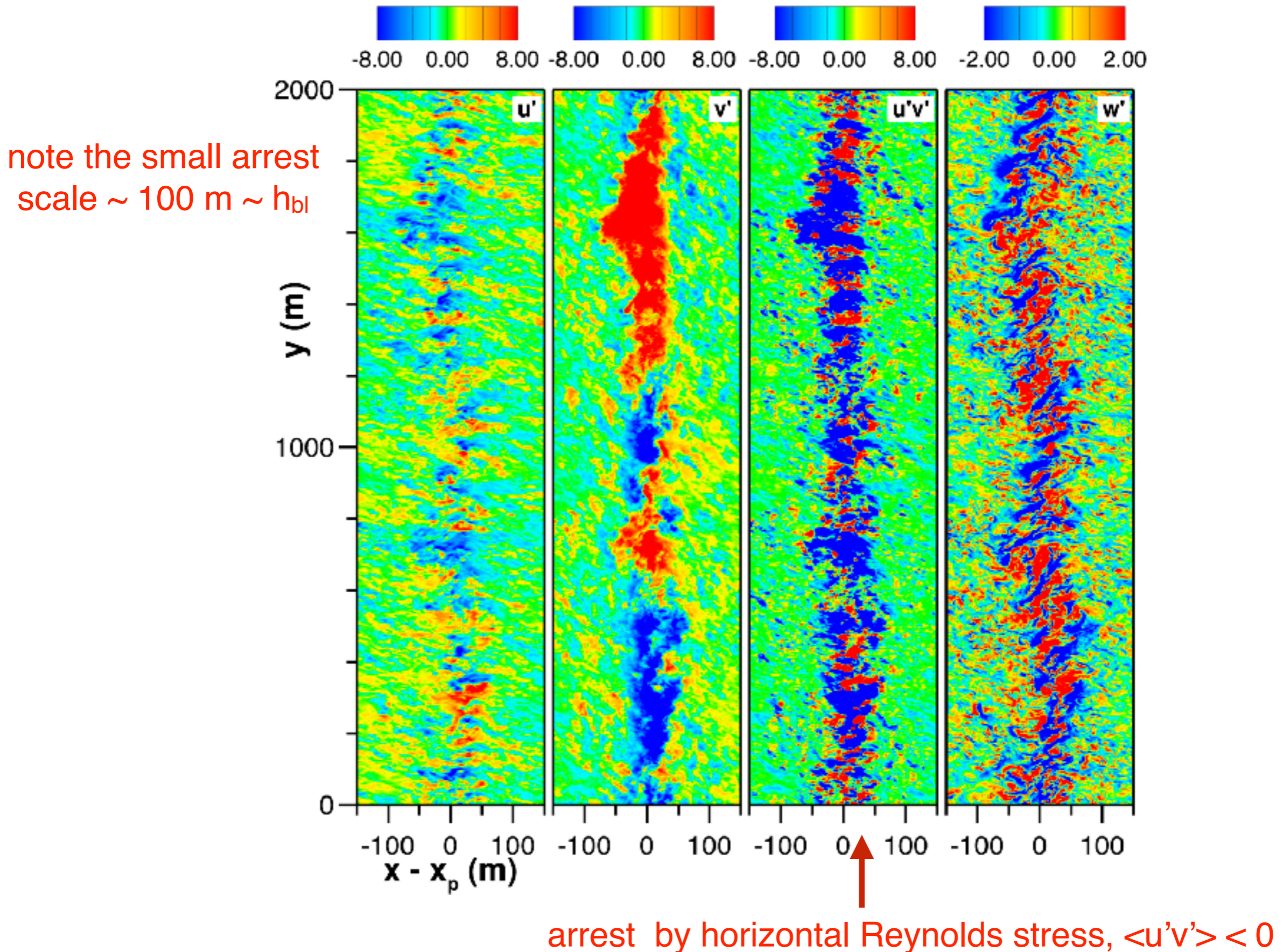
# Large-Eddy Simulation of Frontogenesis, Arrest, and Decay:

time series of y-averaged peak vorticity,  $w$ , and turbulent KE



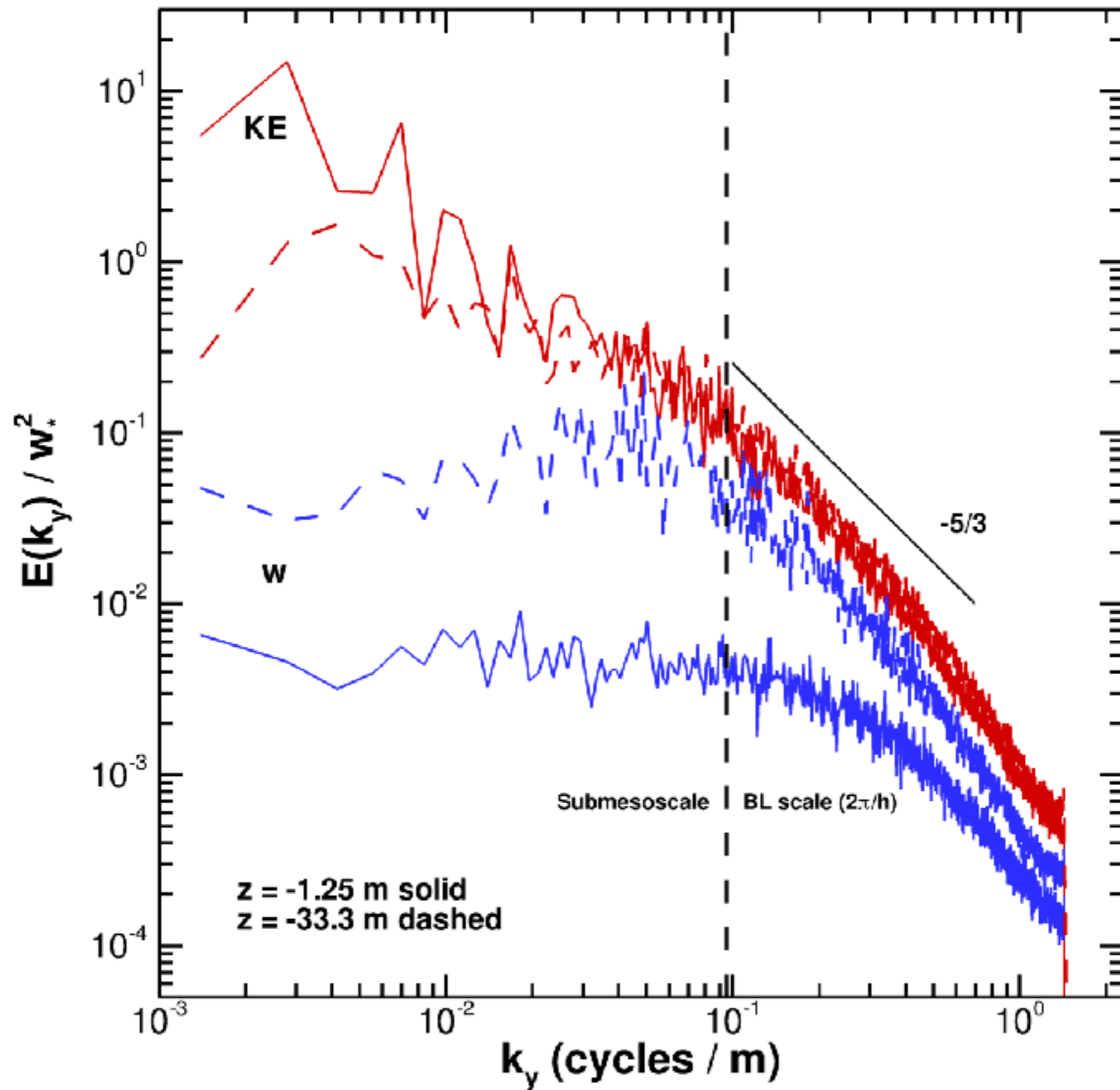
# Large-Eddy Simulation of Frontogenesis, Arrest, & Decay:

near-surface  $\mathbf{u}$  indicating arrest by horizontal shear instability of the sharp front



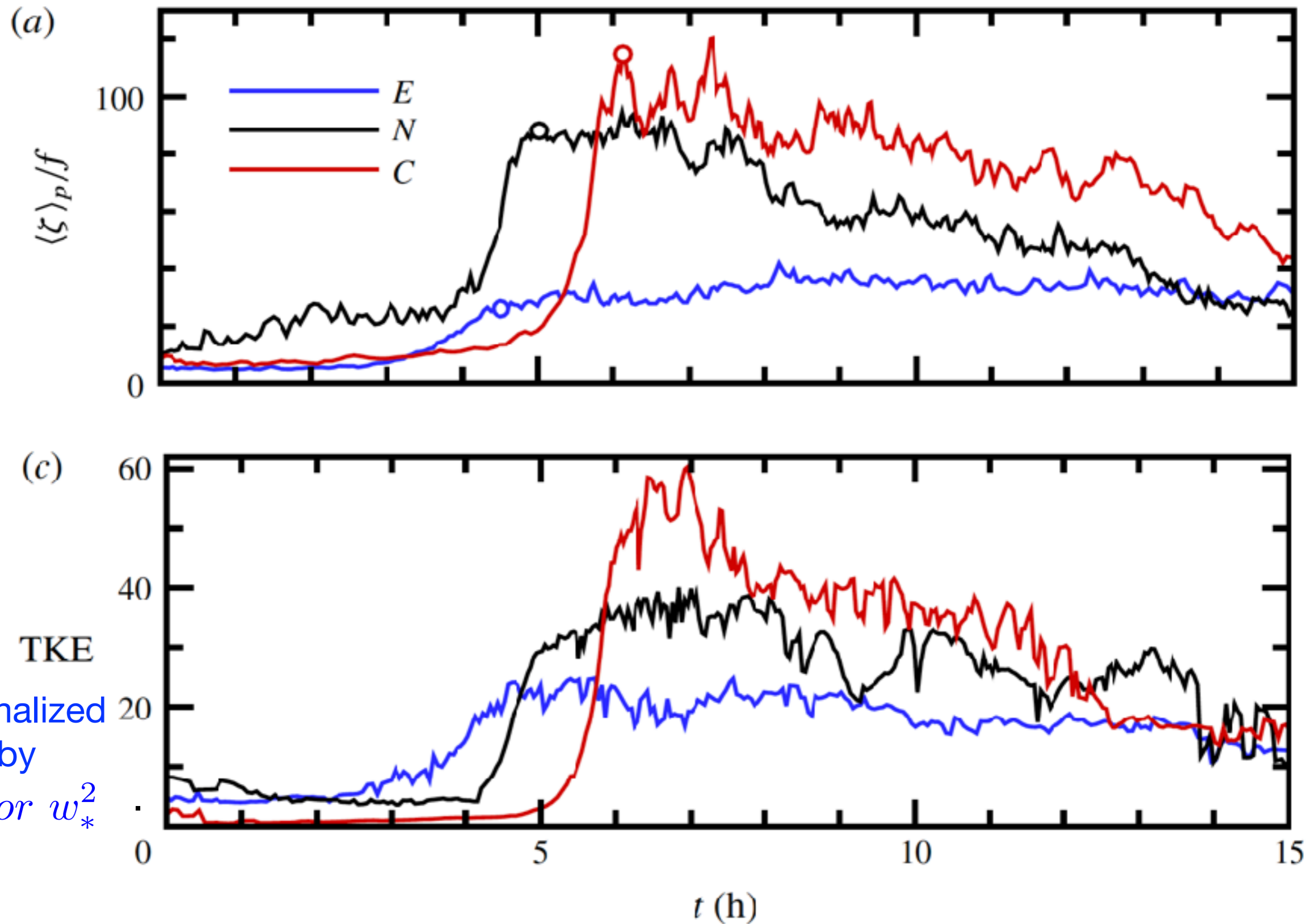


# alongfront wavenumber spectrum at time of peak arrest



# Different LES cases: E and N winds and free convection:

TTW frontogenesis, arrest, and decay always happen, but differently in detail





# Surface Gravity Wave-Averaged Effects on Currents (WEC)

$$\begin{aligned}\partial_t \mathbf{u} + \dots &= \mathbf{u}_{st} \times (f \hat{\mathbf{z}} + \nabla \times \mathbf{u}) + \mathbf{F}^w \\ \partial_t C + \dots &= -\mathbf{u}_{st} \cdot \nabla C + Q^w \\ \nabla \cdot \mathbf{u} &= \nabla \cdot \mathbf{u}_{st} = 0.\end{aligned}$$

← wave-added terms

$\mathbf{u}$  ... 3D wave-averaged velocity

$\mathbf{u}_{st}$  ... 3D Stokes drift

$C$  ... wave-averaged material tracer (including buoyancy)

$\mathbf{F}^w$  ... non-conservative wave-related force (often Radiation Stress divergence, but also added mixing)

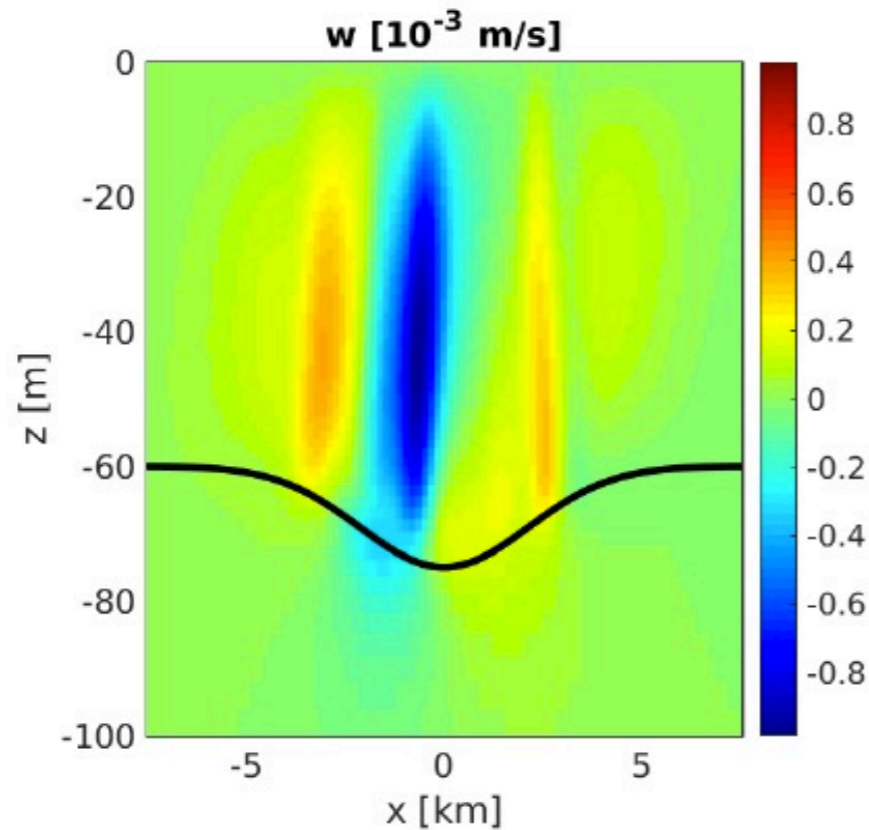
$Q^w$  ... non-conservative wave-related effects on  $C$ , mostly mixing

Now proceed to do similar SCFT diagnoses, ROMS simulations, and LES with WEC.

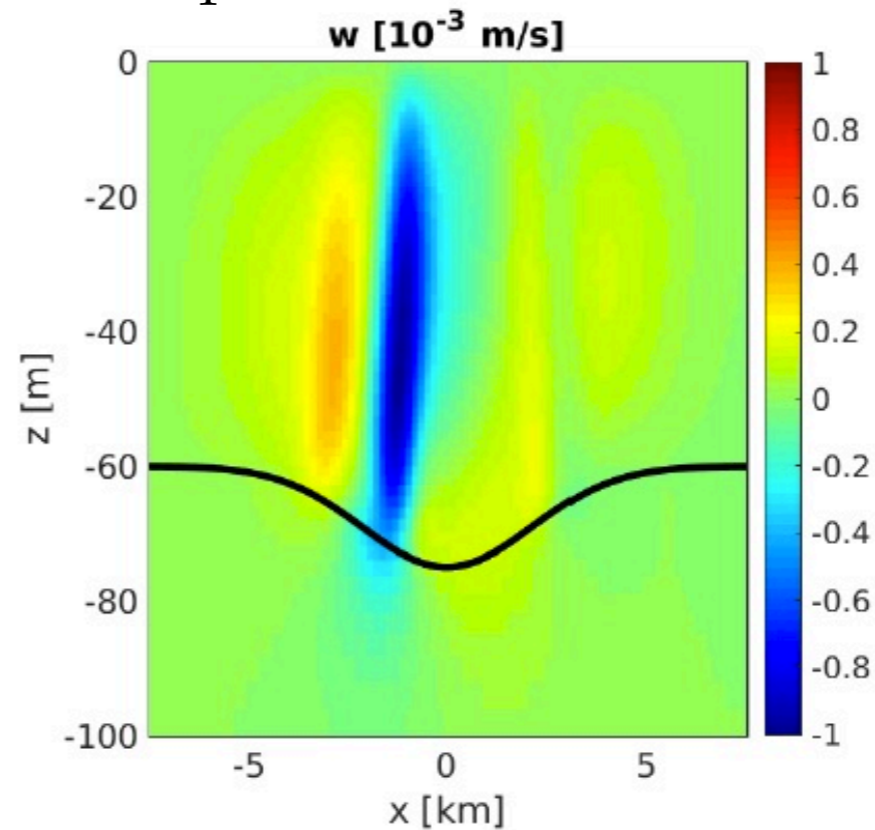
# Filament in Wind-Wave Equilibrium: Secondary Circulation

$$\theta_{wind} = \theta_{wave} = \frac{\pi}{4}$$

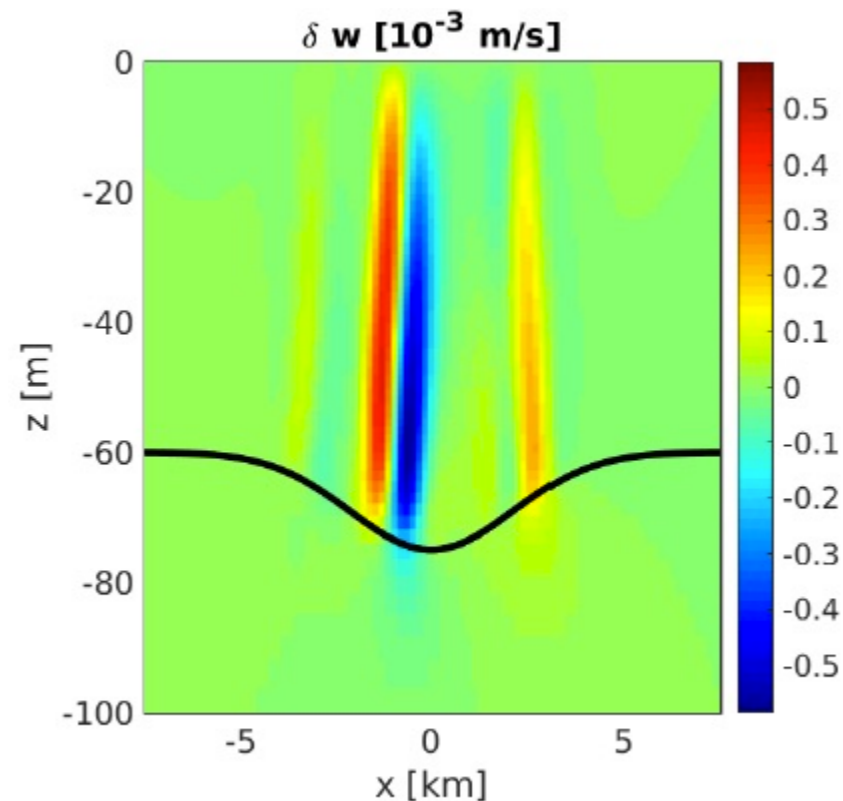
**with  
WEC**



**without  
WEC**



**difference  
field**



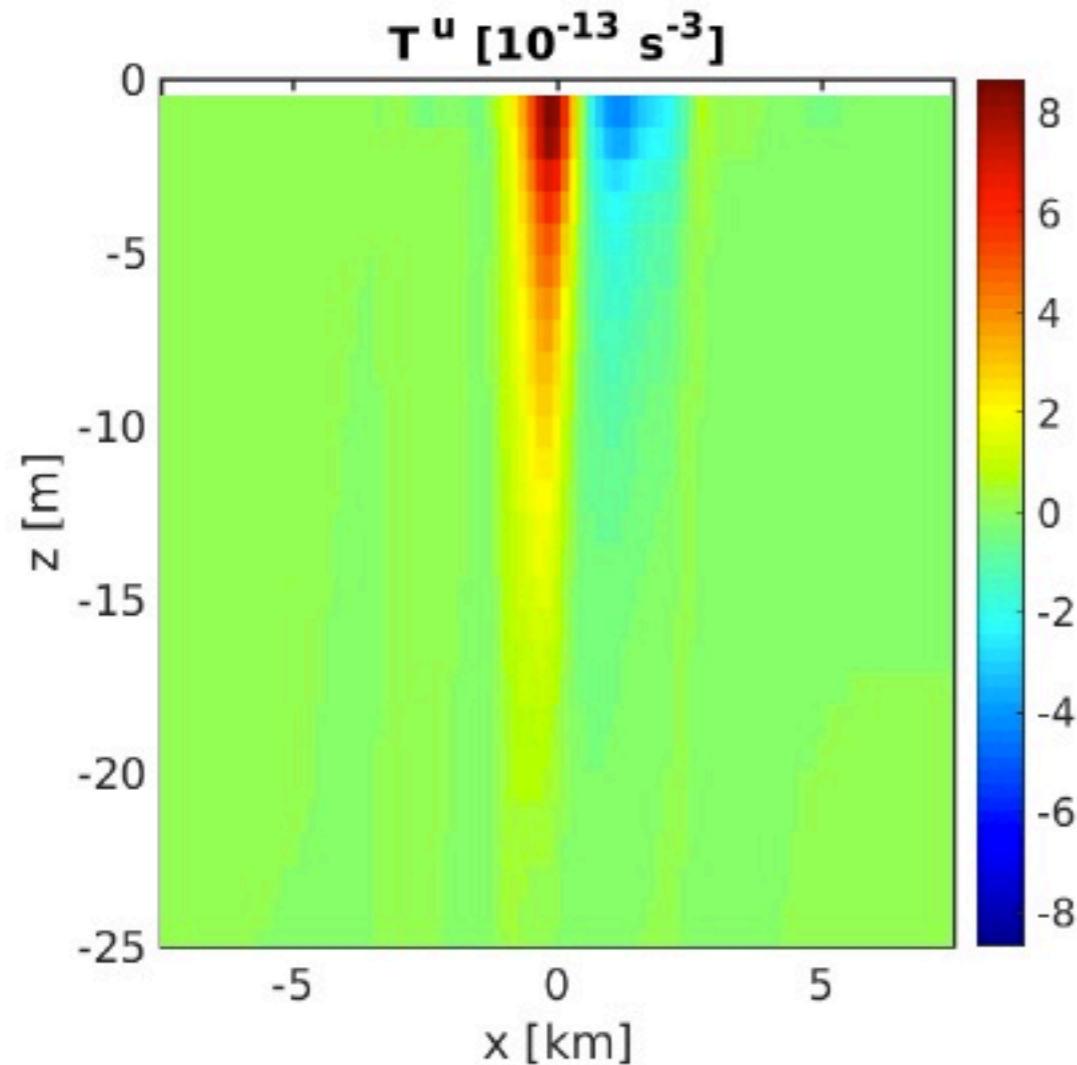
fully nonlinear SCFT  
with TTW and WEC  
and Ekman currents

w here is stronger  
than with either TTW  
or WEC alone through  
constructive alignment  
with Ekman current

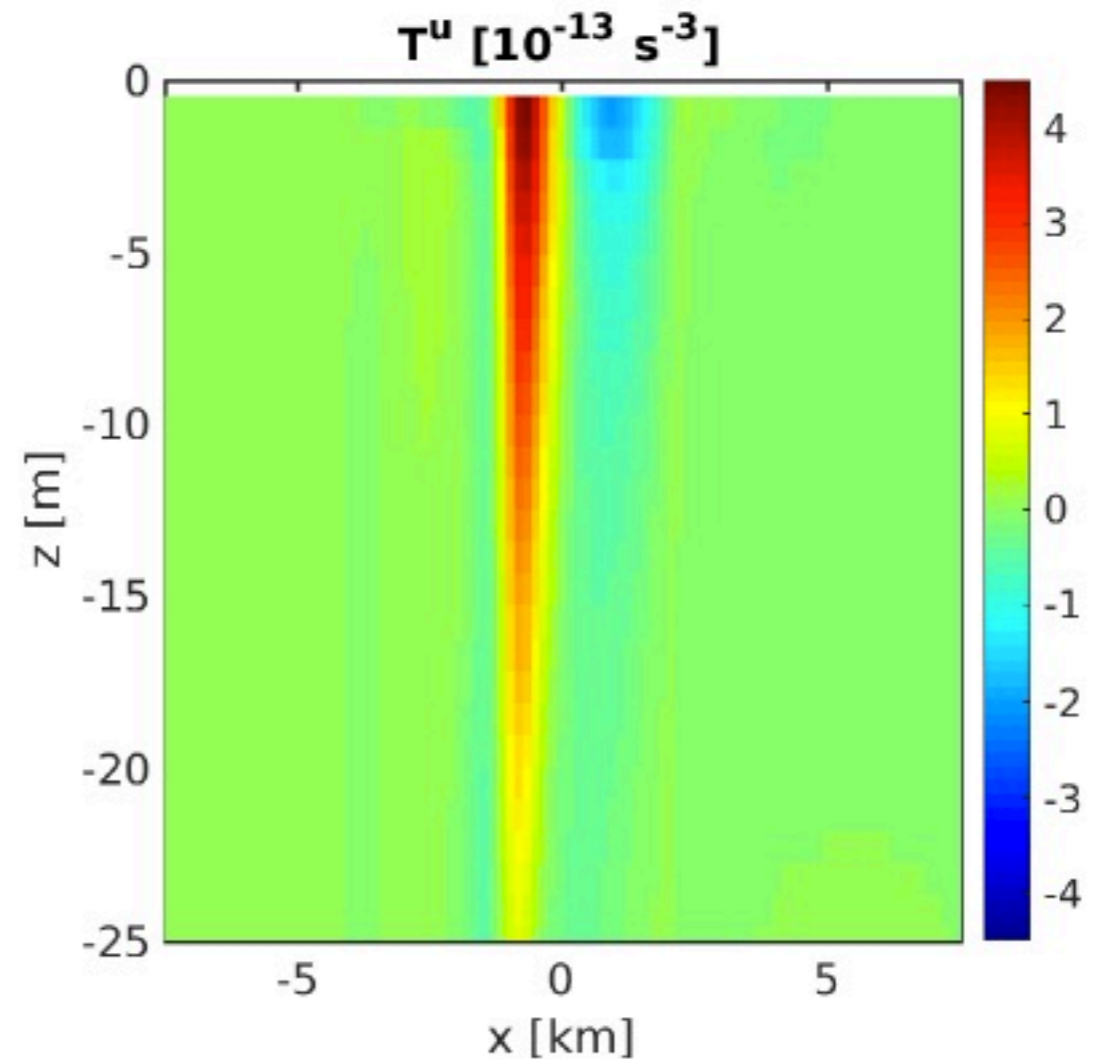
(McWilliams, 2018)

# Filament in Wind-Wave Equilibrium: Frontogenetic Tendency

$$\theta_{wind} = \theta_{wave} = \frac{\pi}{4}$$



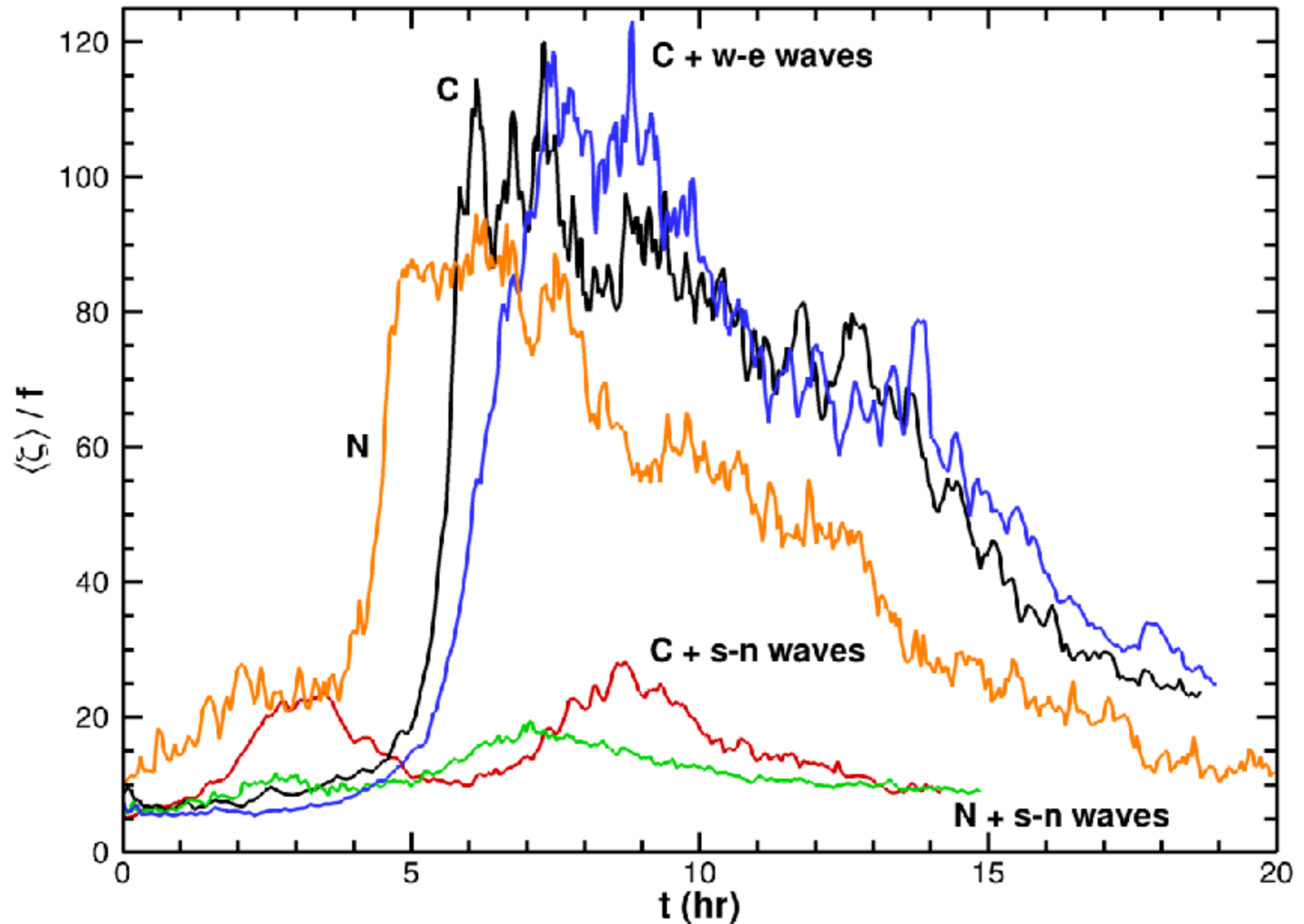
**with  
WEC**



**without  
WEC**

$T^u$  here is stronger than with either TTW or WEC alone through constructive alignments with Ekman current and ageostrophic advection.

# Filament Frontogenesis in LES with Surface Waves



Why is TTW frontogenesis made so much weaker with along-front waves?

Because the wave forces induce a shallow eastward geostrophic flow in the filament center that tears of the top of the front by eastward advection:

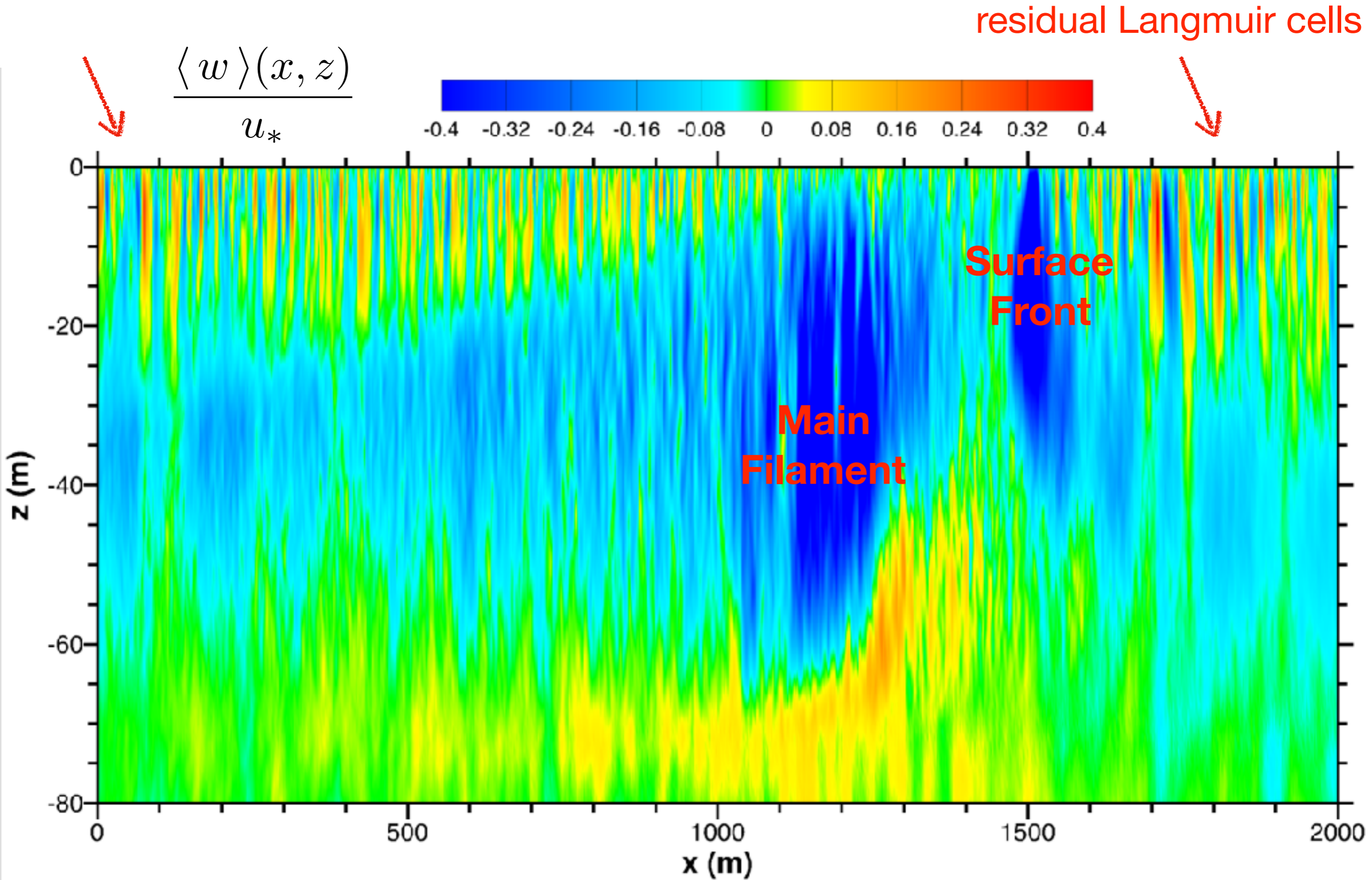
$$\partial_t u = \dots + \int_{-\infty}^z \partial_z v^{st} \zeta dz' > 0$$

with  $v^{st}, \zeta > 0$ . This has the effect of creating two east-side fronts with competing secondary circulations, hence “detuning” the frontogenesis.



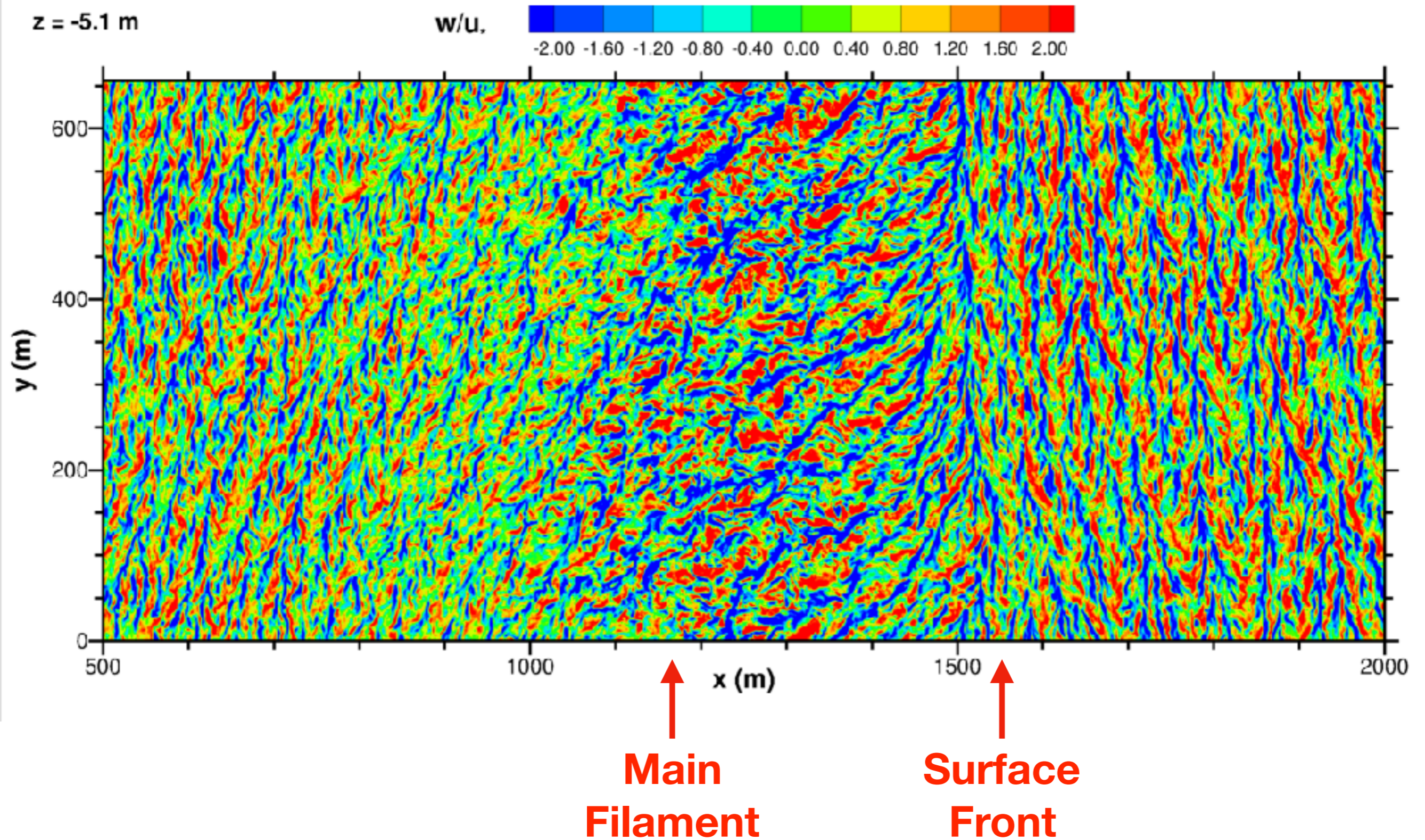


# alongfront-averaged vertical velocity after frontal rragmentation





$w(x,y)$  at  $z = -5$  m after frontal fragmentation:  
inhomogeneous Langmuir turbulence





# Summary

frontogenesis (FG) is a key process for surface submesoscale currents

in realistic simulations TTW-induced convergence is more common than mesoscale strain as a cause of FG

“balanced” diagnoses from  $b$  and  $\nu_v$  (or background strain rate)  $\rightarrow$  Secondary Circulation and Frontogenetic Tendencies (SCFT)

surface convergence  $\delta$  associated with the frontal ageostrophic secondary circulation is the cause of strong FG ( $Ro > 1$ ) over a time of a few hrs.

frontal arrest is often caused by lateral shear instability

frontal arrest set the lower size limit for the submesoscale regime, here with a width  $\sim h_{BL}$

frontal decay extends over several days with slowly weakening currents

surface waves' Stokes drift has an important influence on strong fronts

we don't yet have a phenomenological overview of submesoscale  $\leftrightarrow$  surface wave  $\leftrightarrow$  boundary-layer interactions, but vertical momentum mixing is key to FG