

Shape transformation of viral capsids

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Introductory slides 2, 6 and 7 were prepared by
Roya Zandi

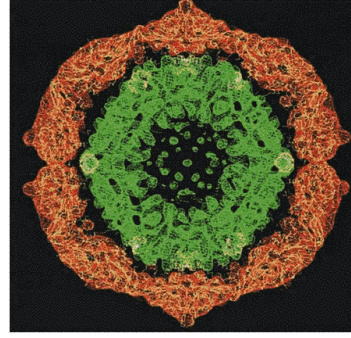
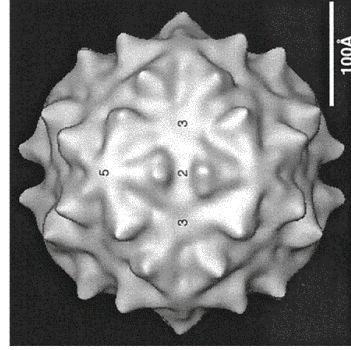
(SIMPLEST) VIRUSES ARE JUST:

A COMPOSITE OF

a nucleic acid genome:

Ribonucleic acid (RNA) or
Deoxyribonucleic acid (DNA)

AND a protein shell -- “capsid”

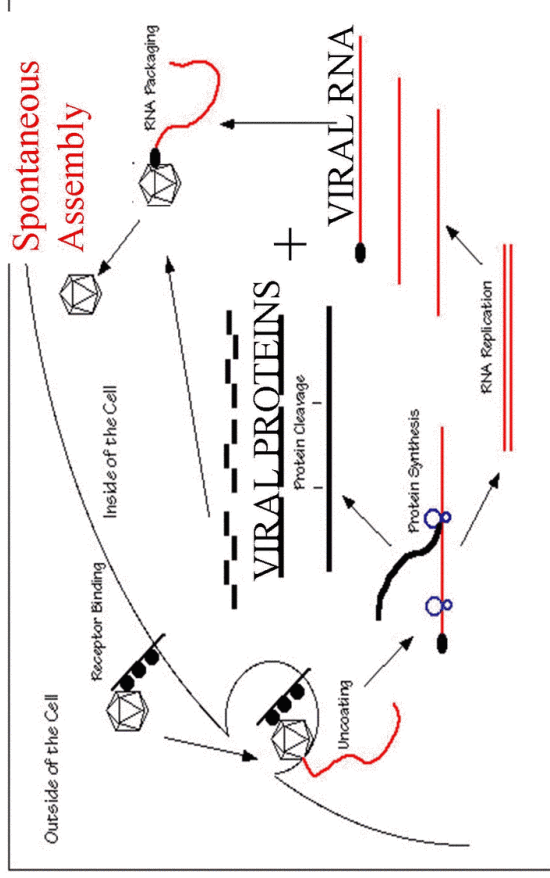


Cryo-TEM

X-ray
crystallography

Flock-House virus

Virus Life Cycle (Polio)



Viruses: no metabolism → thermal equilibrium

► **Thermodynamic** and **geometric** arguments can be applied successfully to describe their physical properties

Icosahedral symmetry of spherical viruses

Caspar, and Klug, *Cold Spring Harbor Symp. Quant. Biol.* **27**, 1 (1962)

Continuum elasticity of spherical protein capsid and buckling transition

Lidmar, Mirny, Nelson, *Phys. Rev.* **E68**, 051910 (2003)

Classification, stability of conical viral capsids

Nguyen, Bruinsma, Gelbart, *Phys. Rev. Lett.*, (2006);

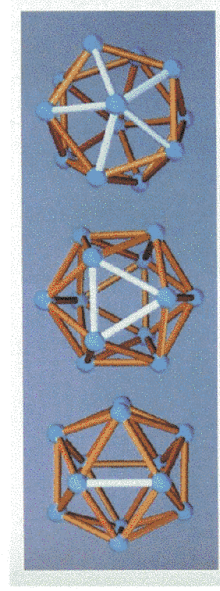
Phys. Rev. **E72**, 051923 (2005).

Capsids properties:

- Geometrical
- Energetic

Icosahedral Symmetry

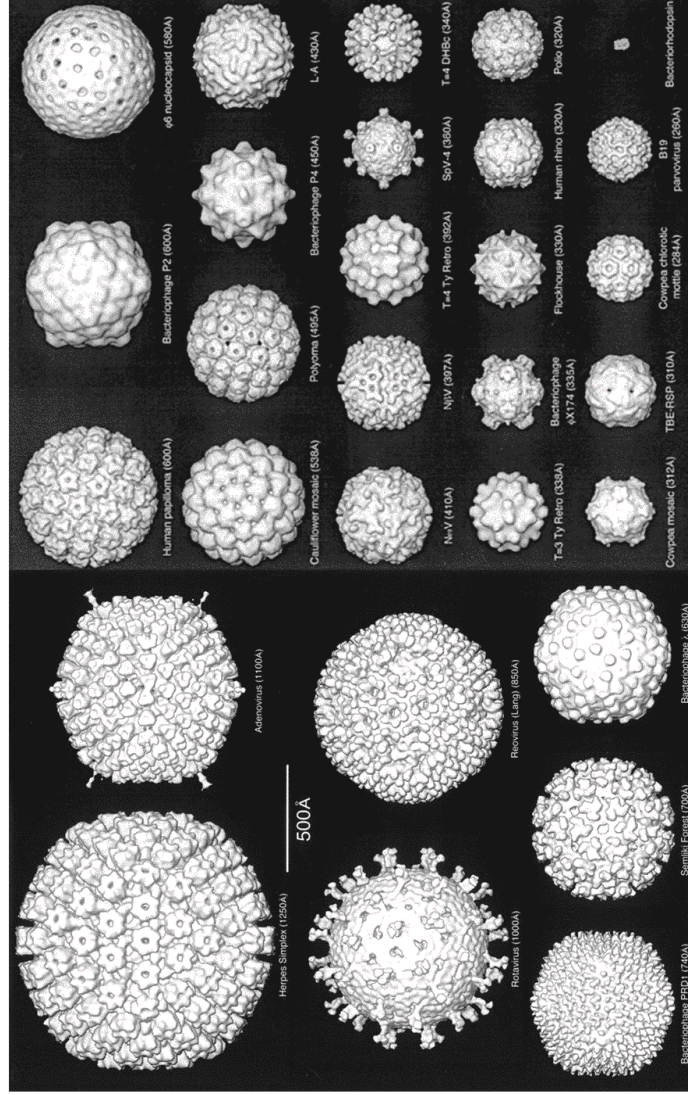
Icosahedron: 20 identical equilateral triangular faces.



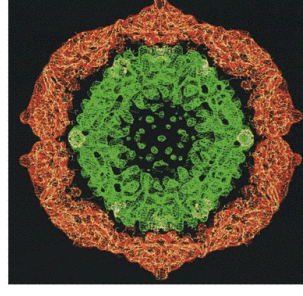
- ➔ 15 two-fold rotation axes
- ➔ 10 three-fold rotation axes
- ➔ 6 five-fold rotation axes



Icosahedral symmetry is ubiquitous among spherical viruses.



Why are viruses icosahedral?

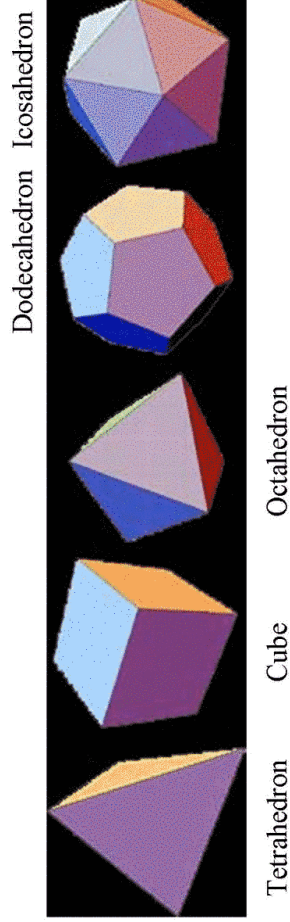


Francis Crick (1956):

- 1) The small size of the virus genome only allows only a single protein type for the coat. The capsid must be made of *many* identical units of a *single* protein type.
- 2) The viral shell should be *symmetric*, so these identical proteins can occupy *identical minimum energy environments*. (That's how crystals are organized)
- 3) The viral shell should have optimal “information storage” capacity (i.e., a low surface to volume ratio).

Mathematical Problem.

Divisions of a *Spherical Surface* into identical unit cells.



Five ‘Platonic’ Solids

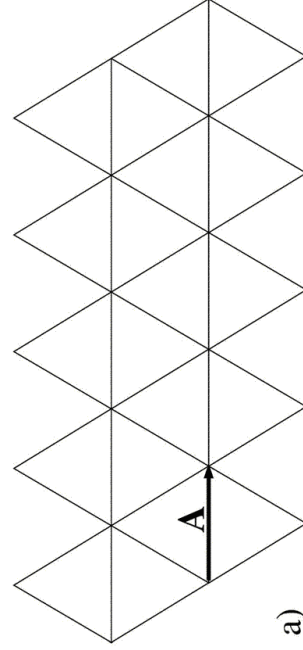
Lowest surface/volume ratio:

Icosahedron **60 proteins**

Actual capsids: # proteins equals **60 times an integer** (“T Number”)

Caspar & Klug classification of spherical capsids:

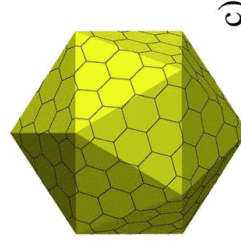
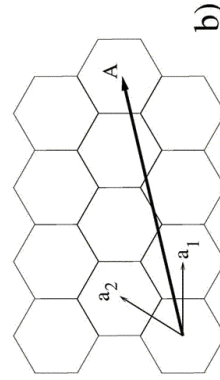
Construct icosahedron from hexagonal sheets



$$A = h a_1 + k a_2$$

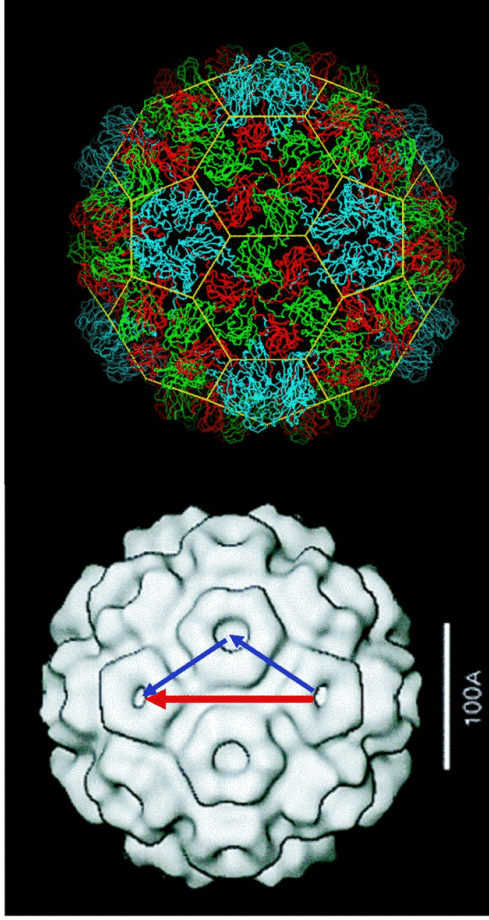
of capsomers:

$$N = 10 (h^2 + k^2 + hk) + 2$$



T-number
 $T(h, k) = h^2 + k^2 + hk$

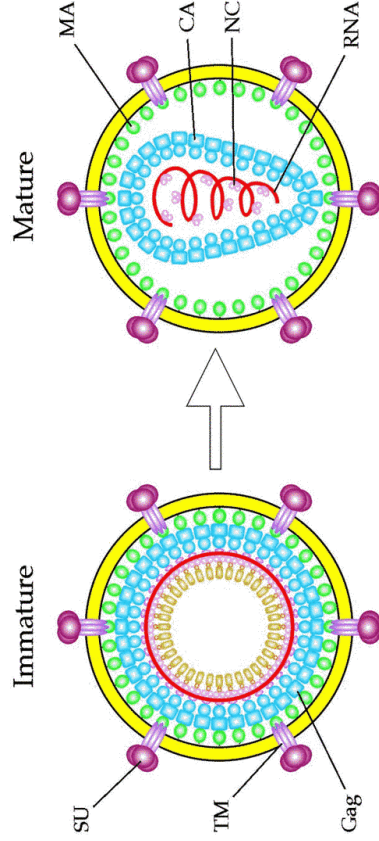
Cowpea Chlorotic Mottle Virus ("CCMV")



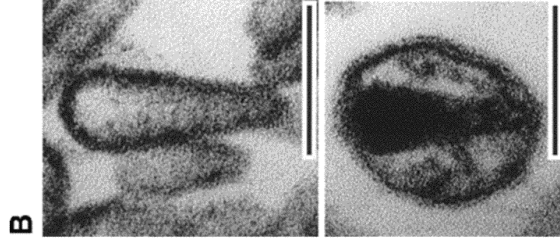
T-number: $T(1,1) = 3$

12 pentamers, 20 hexamers, 180 proteins

Human Immunodeficiency Virus (HIV) forms conical capsid upon maturation



HIV capsid assembly *in vitro*

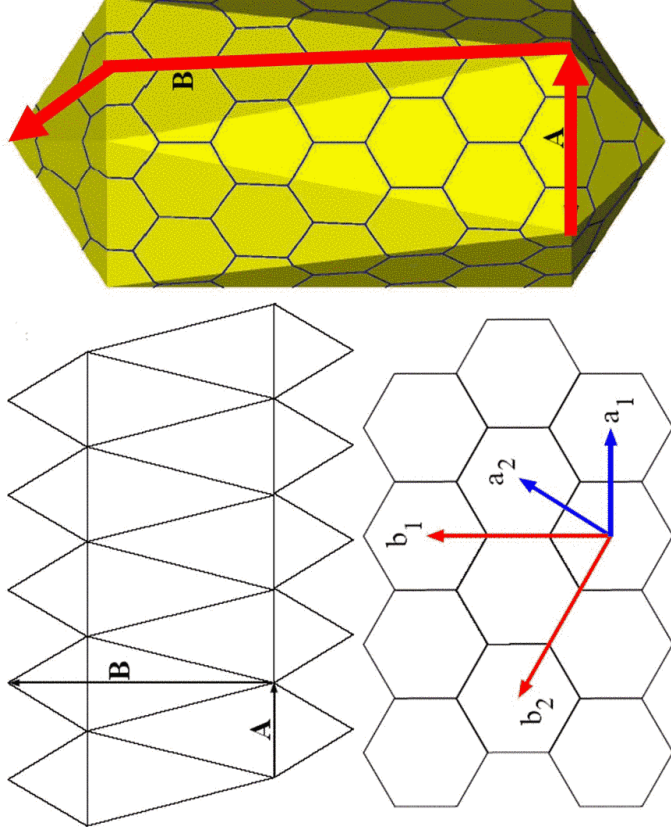


Scale bars: 100nm

Questions

- Can one construct an isometric conical capsid and correspondingly a “Caspar-Klug” classification?
- Is conical shape thermodynamically stable?

Isometric construction of spherocylindrical capsid



$$\mathbf{A} = n(h \mathbf{a}_1 + k \mathbf{a}_2)$$

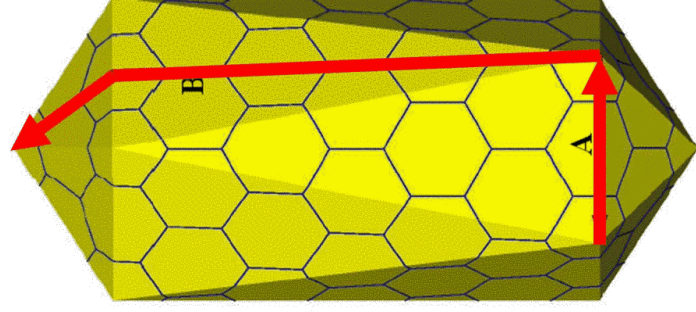
$$\mathbf{B} = m(h \mathbf{b}_1 + k \mathbf{b}_2)$$

of capsomers:

$$N = 10 mn(h^2+k^2+hk)+2$$

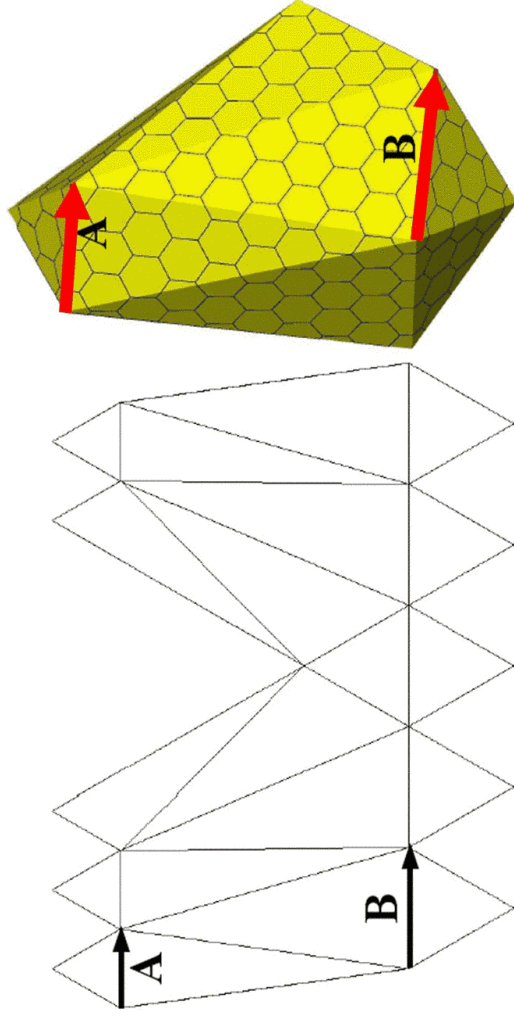
T-number: $T(m, n|h, k) = mn(h^2+k^2+hk)$

Includes the spherical capsid as a special case with $m=n$



$$(4, 2|1, 0)$$

Isometric construction
of conical capsids



$$A = n(h \mathbf{a}_1 + k \mathbf{a}_2)$$

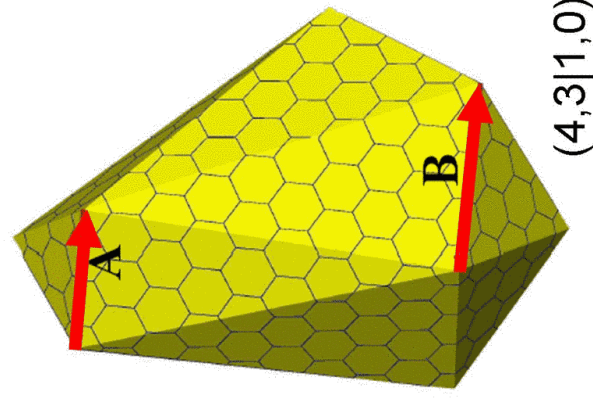
$$B = m(h \mathbf{a}_1 + k \mathbf{a}_2)$$

of capsomers:

$$N = 10 (2m^2 - n^2)(h^2 + k^2 + hk) + 2$$

T-number:

$$T(m, n|h, k) = (2m^2 - n^2)(h^2 + k^2 + hk)$$



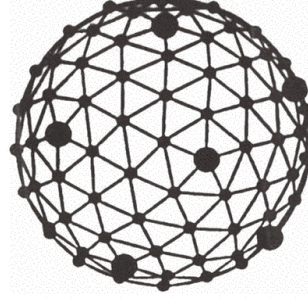
Includes the spherical capsid as a
special case with $m=n$

Continuum elastic theory

- Protein capsid = elastic shell with 2D Young modulus Y , bending rigidity K , spontaneous curvature C_0 .
- Depends on two dimensionless parameters $\gamma = YA/K$, $\alpha = C_0A^{-1/2}$ (A is the capsid area).
- Successfully explains “buckling” transition of viral shell.

Numerical solution to elastic equations

Discretize continuum shell using triangular lattice based on the isometric construction.

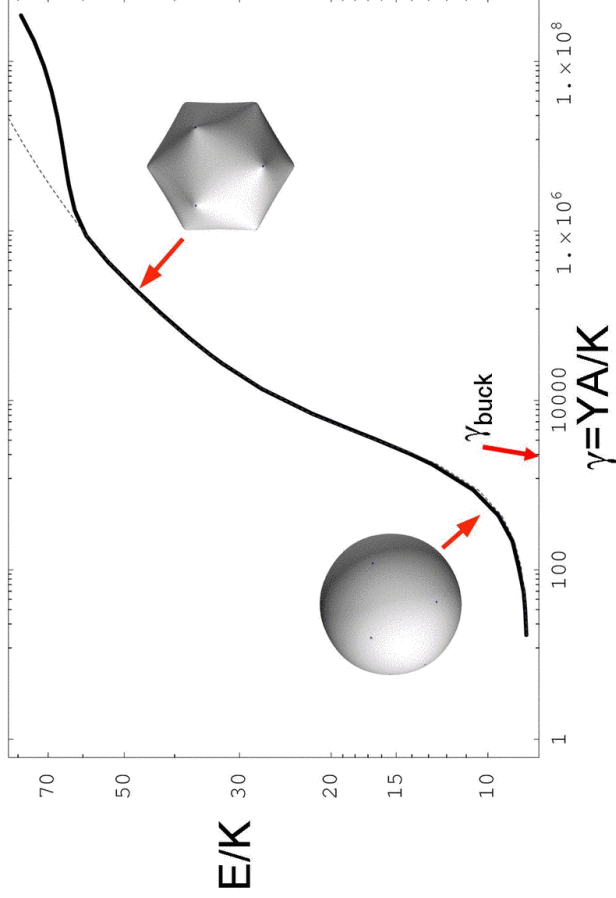


$$H = \frac{\varepsilon}{2} \sum_{\langle ij \rangle} (r_{ij} - a)^2 + \kappa \sum_{\langle ll \rangle} [1 - \cos(\theta_{ll} - \theta_0)]$$

In the continuum limit, $Y = 2\varepsilon\sqrt{3}$, $K = \sqrt{3}\kappa/2$, $C_0 = 2\theta_0/a$.

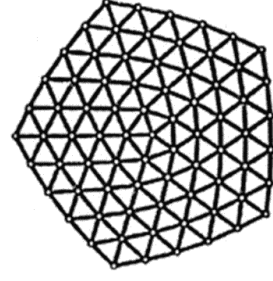
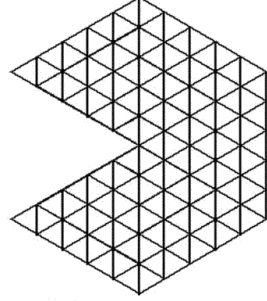
Energy minimization using conjugate gradient method to obtain optimal shape.

Lidmar, Mirny, Nelson: Spherical capsid energy approximated by sum of 12 isolated five-fold disclinations.

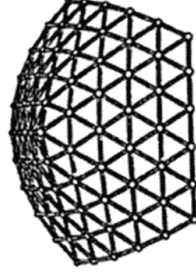


Disclination energy

$$E_{stretch} = \int Y u_{\theta\theta}^2 dS \sim YR$$

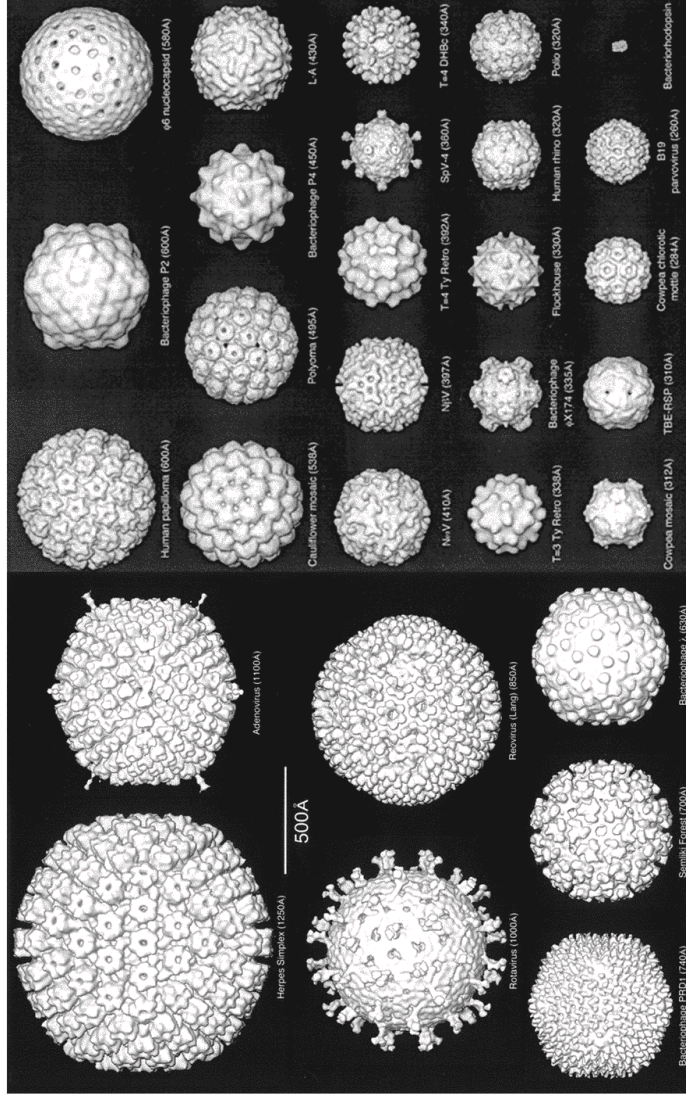


$$E_{bend} = \frac{1}{2} \int \kappa H^2 dS \sim \kappa \int_{R_b}^R \left(\frac{1}{R}\right)^2 R dR \sim \kappa \ln \frac{R}{R_b}$$

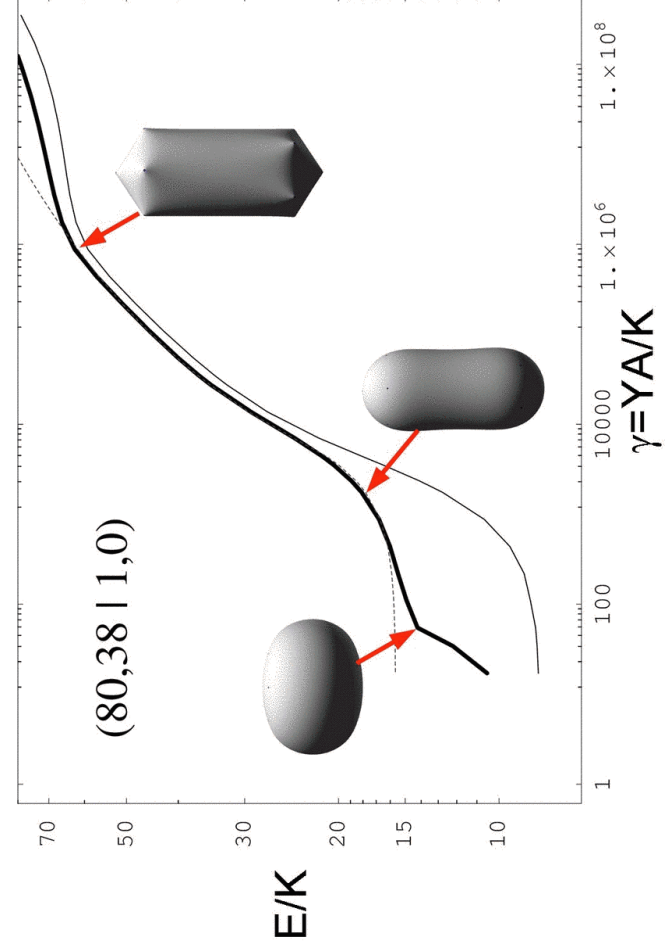


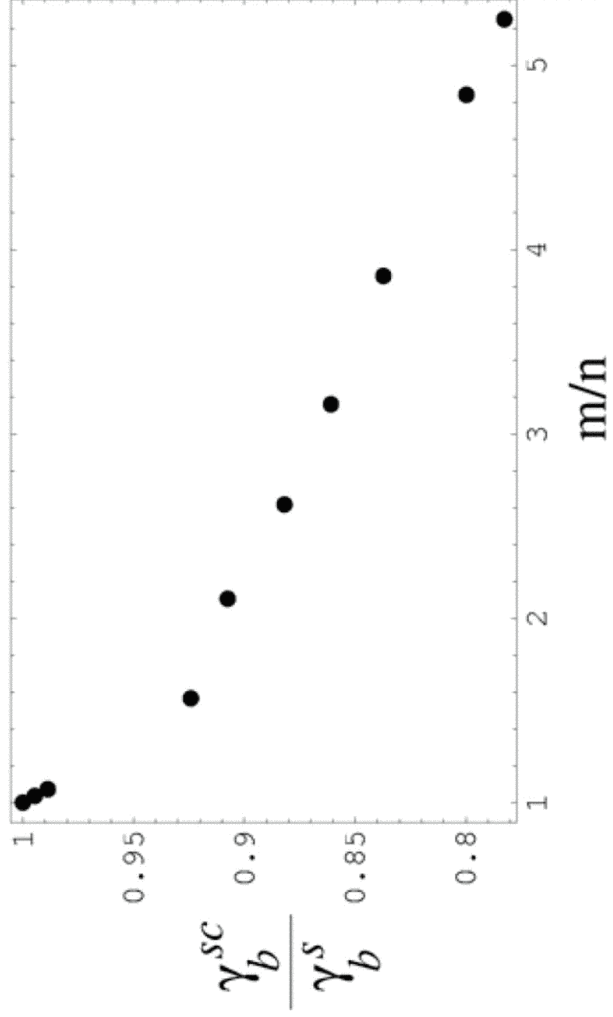
$$\gamma_{buck} = Y 2\pi R_b^2 / \kappa \sim 1000$$

Icosahedral symmetry is ubiquitous among spherical viruses.

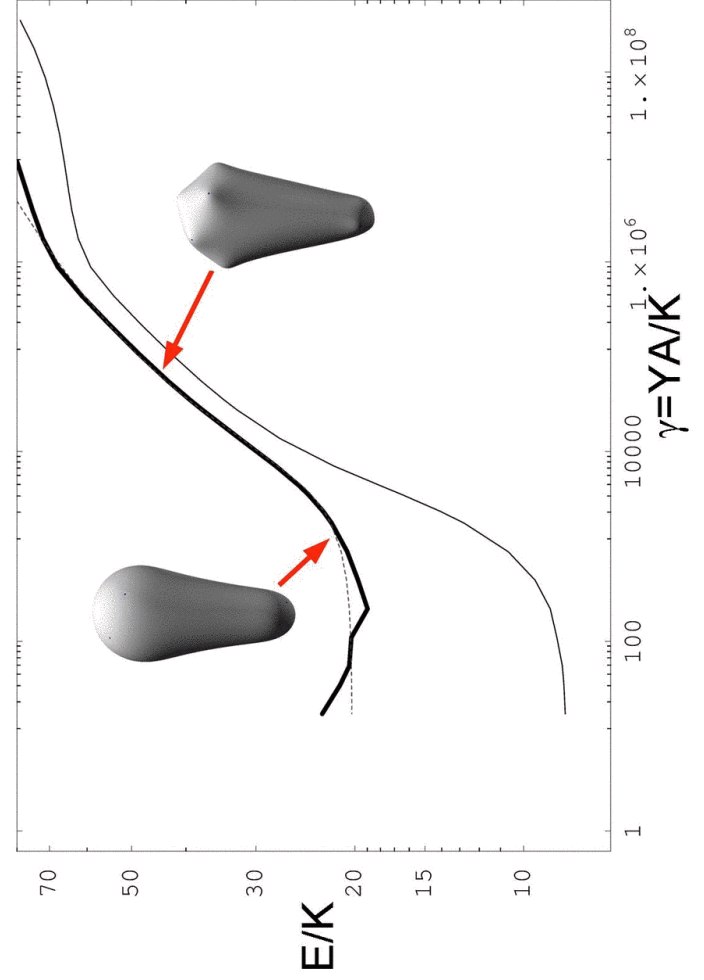


Extension to cylindrical shell

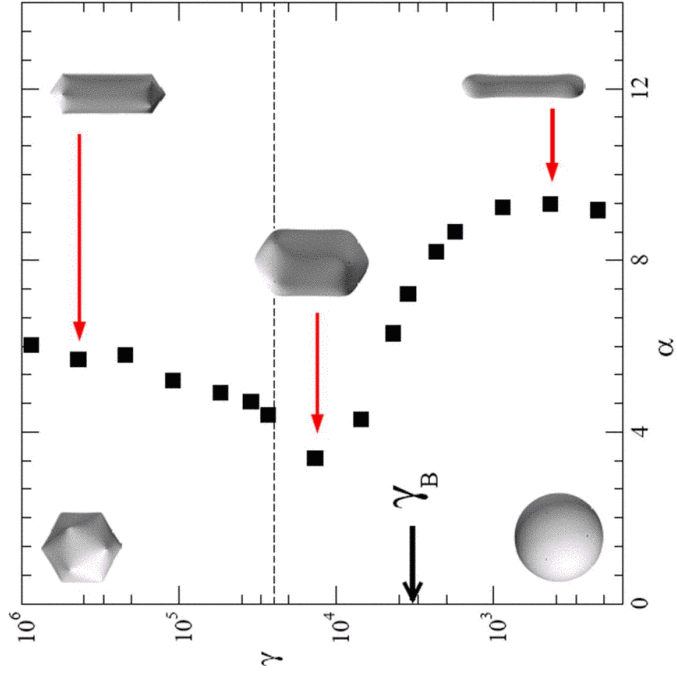




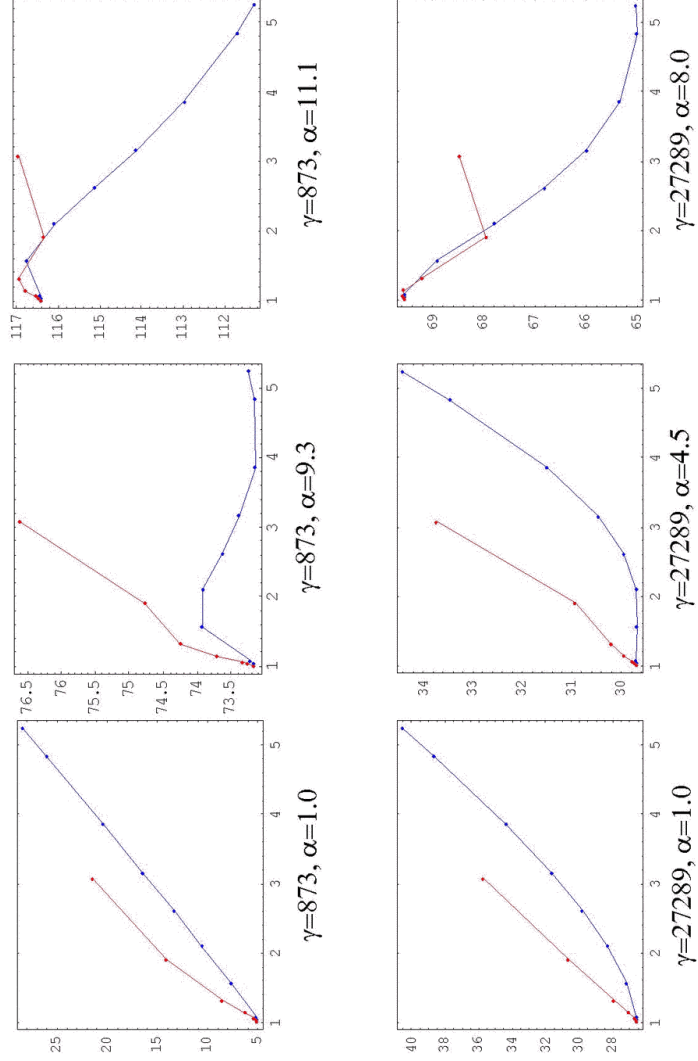
And 7-5 conical shell



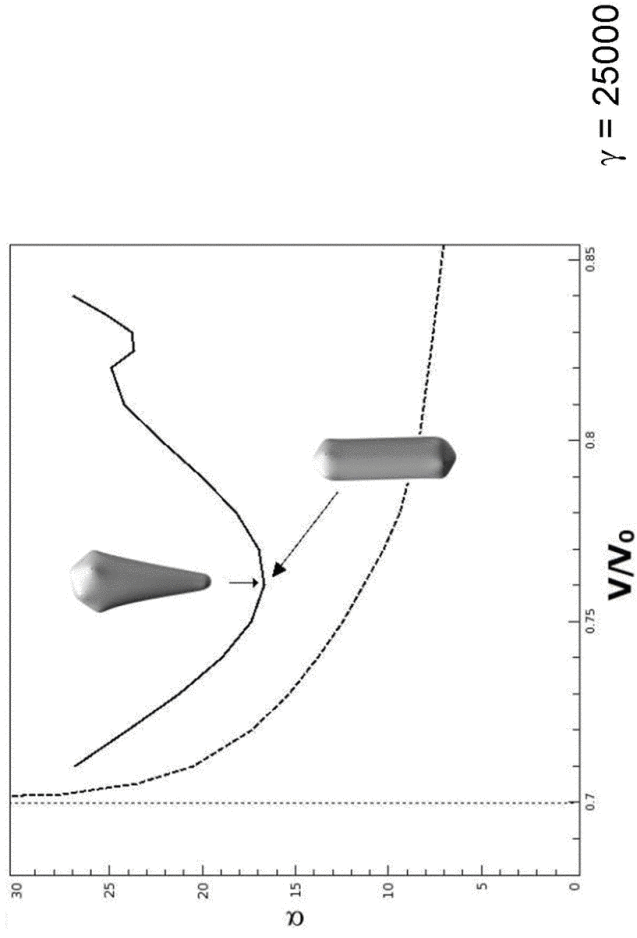
Phase diagram of empty capsids at constant area



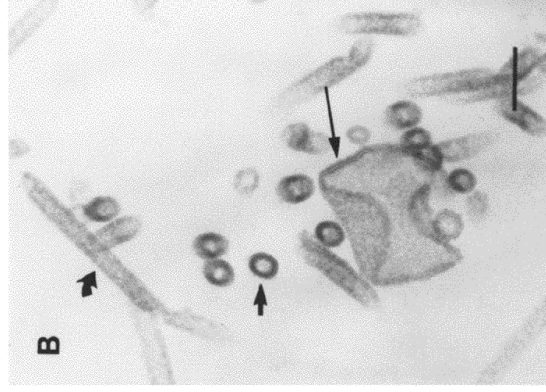
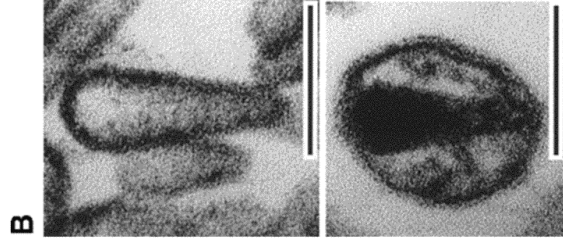
$E(\gamma, \alpha)$ vs. m/n aspect ratio



Cylindrical-Conical shape transition under volume constrain



HIV capsid assembly *in vitro*



Scale bars: 100nm

Conclusions

- Generalized “Caspar – Klug” classification suggested to describe cylindrical and conical virus capsid.
- Continuum elastic theory used to model the protein capsid shell. Among empty capsid shell with the same area, conical shells is not the shape with lowest energy.
- Conical capsids is the thermodynamically lowest energy state only if constrains on capsid assembly is imposed: assembly inside lipid vesicles or with RNA template.