

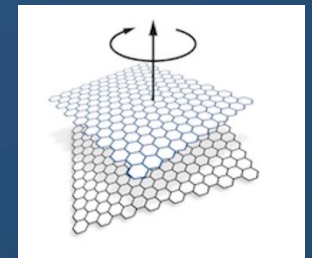
# Superconductivity in twisted graphene layers: electronic structure and interactions.

## Outline

- Superconductivity in twisted graphene bilayers.
- Electronic structure.
- Electrostatic interactions.
- Electron assisted hopping and superconductivity.
- Open challenges.



F. Guinea  
KITP, January 16th, 2019



Correlations in Moire Flat Bands

In collaboration with N. R. Walet, U. Manchester  
Acknowledgments to P. San Jose and J. Gonzalez, CSIC, Madrid.

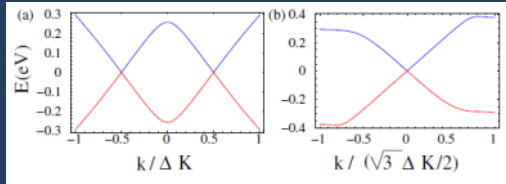


# Twisted graphene layers: theory

PRL 99, 256802 (2007) PHYSICAL REVIEW LETTERS week ending 21 DECEMBER 2007

## Graphene Bilayer with a Twist: Electronic Structure

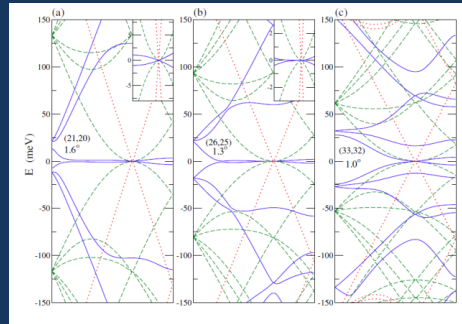
J. M. B. Lopes dos Santos,<sup>1</sup> N. M. R. Peres,<sup>2</sup> and A. H. Castro Neto<sup>3</sup>



PHYSICAL REVIEW B 82, 121407(R) (2010)

## Flat bands in slightly twisted bilayer graphene: Tight-binding calculations

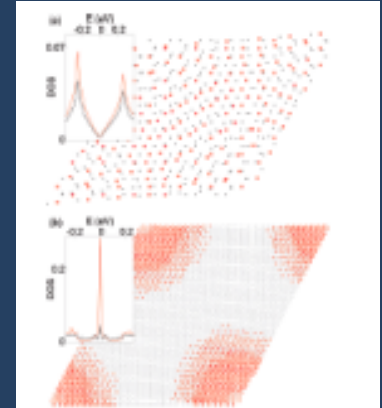
E. Suárez Morell, J. D. Correa, P. Vargas, M. Pacheco,<sup>\*</sup> and Z. Barticevic



NANO LETTERS

## Localization of Dirac Electrons in Rotated Graphene Bilayers

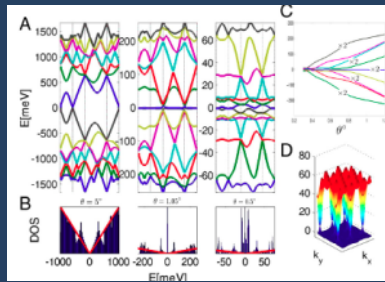
G. Trambly de Laissanière,<sup>\*,†</sup> D. Mayou,<sup>\*,†</sup> and L. Magaud<sup>\*,†</sup>



## Moiré bands in twisted double-layer graphene

Rafi Bistritzer and Allan H. MacDonald<sup>1</sup>

PNAS | July 26, 2011 | vol. 108 | no. 30 | 12233–12237

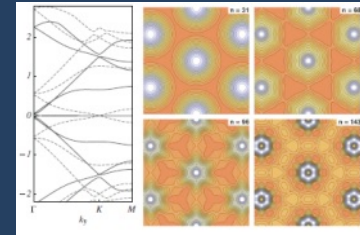


PRL 108, 216802 (2012)

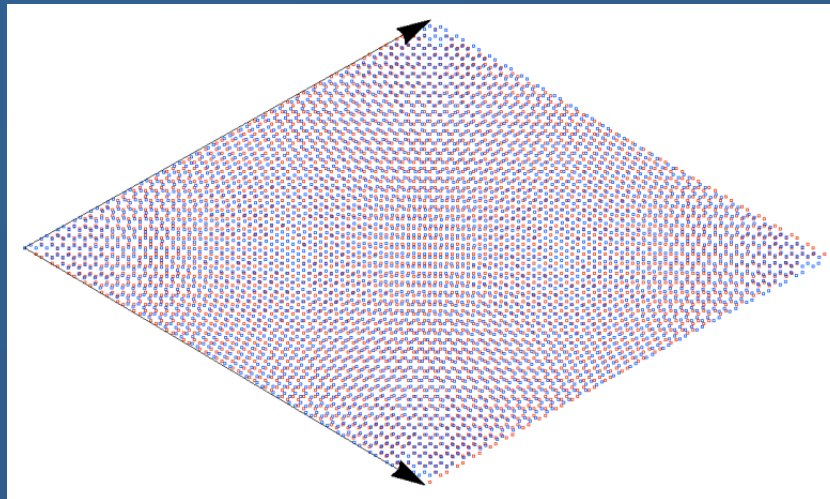
PHYSICAL REVIEW LETTERS

## Non-Abelian Gauge Potentials in Graphene Bilayers

P. San-Jose,<sup>1</sup> J. González,<sup>1</sup> and F. Guinea<sup>2</sup>

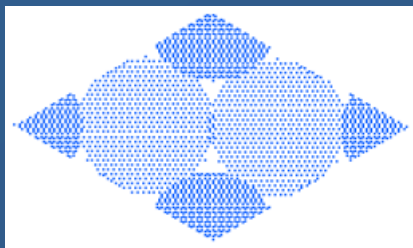


# Structure of twisted bilayers

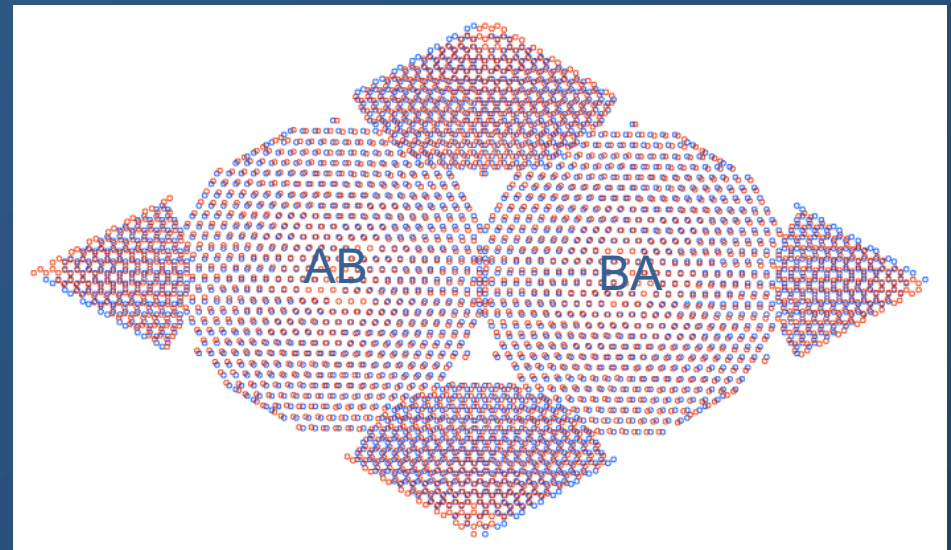
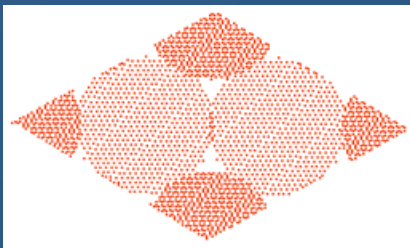


(25,24)  $\theta = 1,35^\circ$

AA



AA



AA

AA

# Hubbard interaction

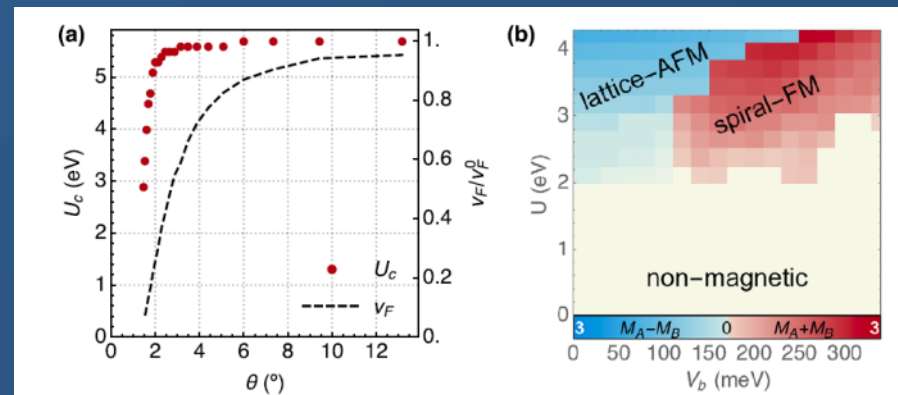
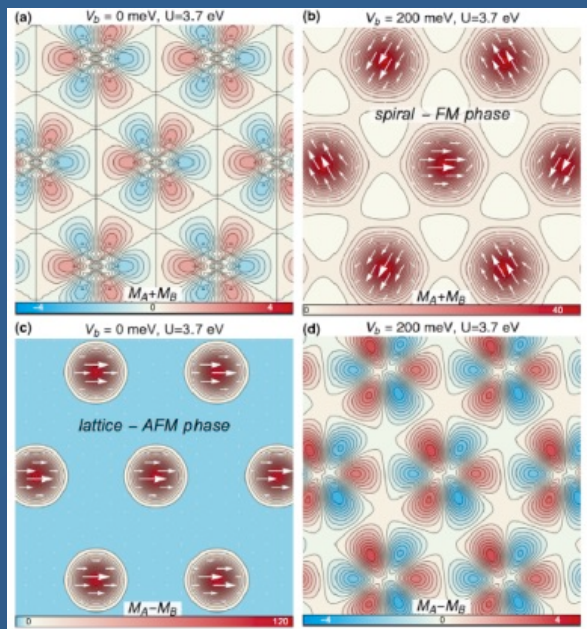
PRL 119, 107201 (2017)

PHYSICAL REVIEW LETTERS

week ending  
8 SEPTEMBER 2017

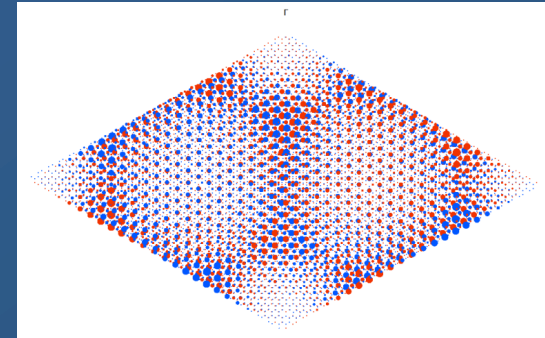
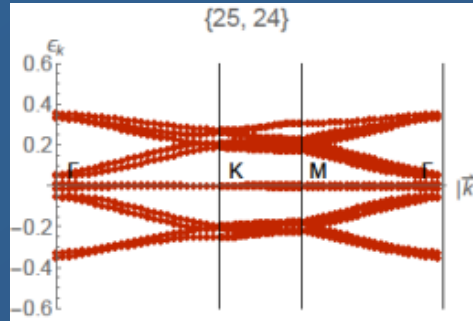
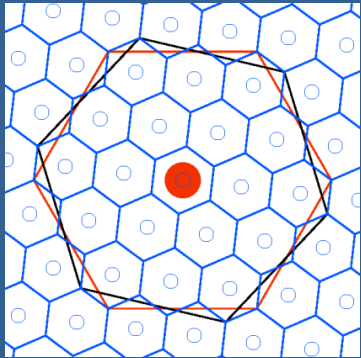
## Electrically Controllable Magnetism in Twisted Bilayer Graphene

Luis A. Gonzalez-Arraga,<sup>1</sup> J.L. Lado,<sup>2</sup> Francisco Guinea,<sup>1,3</sup> and Pablo San-Jose<sup>4</sup>

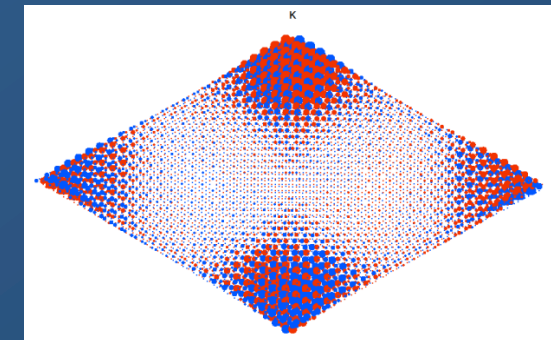
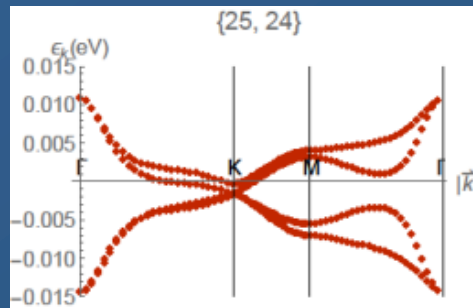
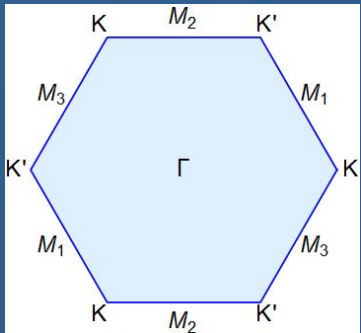


- Mean field theory. Hubbard model. Calculations done in a scaled Moiré unit cell.
- No bias: antiferromagnetism at low values of  $\frac{U_c}{t}$
- Finite bias: ferromagnetism due to the existence of flat bands. Antiferromagnetic coupling between neighboring AA regions.

# Electronic structure



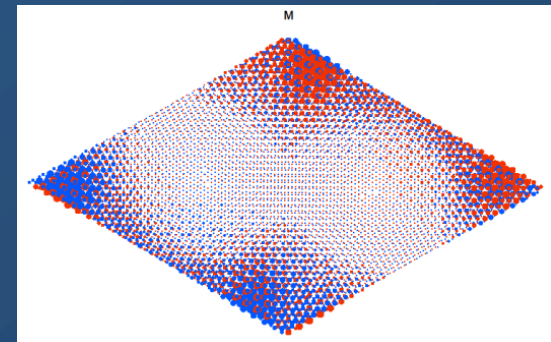
Γ



K

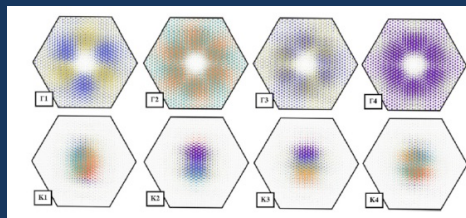
Low energy bands

Brillouin zones



M

PHYSICAL REVIEW B **98**, 235158 (2018)  
 Charge-transfer insulation in twisted bilayer graphene  
 Louk Rademaker<sup>1,2</sup> and Paula Mellado<sup>2,3</sup>



Charge density distribution

# Local orbitals and Wannier functions

PHYSICAL REVIEW B 98, 045103 (2018)  
 Editors' Suggestion  
**Model for the metal-insulator transition in graphene superlattices and beyond**  
 Noah F. Q. Yuan and Liang Fu

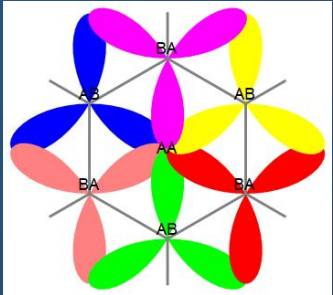
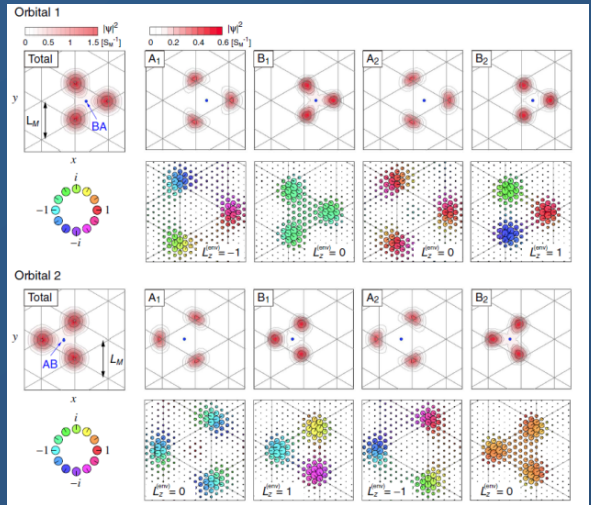
PHYSICAL REVIEW X 8, 031087 (2018)  
**Maximally Localized Wannier Orbitals and the Extended Hubbard Model for Twisted Bilayer Graphene**  
 Mikito Koshino,<sup>1,2</sup> Noah F. Q. Yuan,<sup>2</sup> Takashi Koretsune,<sup>3</sup> Masayuki Ochi,<sup>1</sup> Kazuhiko Kuroki,<sup>1</sup> and Liang Fu<sup>2</sup>

PHYSICAL REVIEW X 8, 031088 (2018)  
**Symmetry, Maximally Localized Wannier States, and a Low-Energy Model for Twisted Bilayer Graphene Narrow Bands**  
 Jian Kang<sup>1,\*</sup> and Oskar Vafek<sup>1,2,†</sup>

PHYSICAL REVIEW X 8, 031089 (2018)  
**Origin of Mott Insulating Behavior and Superconductivity in Twisted Bilayer Graphene**  
 Hoi Chun Po,<sup>1</sup> Liujun Zou,<sup>1,2</sup> Ashvin Vishwanath,<sup>1</sup> and T. Senthil<sup>2</sup>

PHYSICAL REVIEW B 98, 085435 (2018)  
 Editors' Suggestion  
**Band structure of twisted bilayer graphene: Emergent symmetries, commensurate approximants, and Wannier obstructions**  
 Liujun Zou,<sup>1,2</sup> Hoi Chun Po,<sup>1</sup> Ashvin Vishwanath,<sup>1</sup> and T. Senthil<sup>2</sup>

- The underlying structure of the superlattice is a honeycomb lattice.
- The lattice nodes are at the centers of the regions where the stacking is AB or BA.
- The Wannier functions have maxima at three lobes around the nodes, and non trivial phases.



**Electronic bands of twisted graphene layers**

1. Model for Metal-Insulator Transition in Graphene Superlattices and Beyond  
 Authors: Noah F. Q. Yuan, Liang Fu  
 arXiv:1803.09699, Phys. Rev. B 98, 079601 (2018)
2. Origin of Mott Insulating Behavior and Superconductivity in Twisted Bilayer Graphene  
 Authors: Hoi Chun Po, Liujun Zou, Ashvin Vishwanath, and T. Senthil  
 arXiv:1803.09742, Phys. Rev. X 8, 031089 (2018)
3. Symmetry, Maximally Localized Wannier States, and a Low-Energy Model for Twisted Bilayer Graphene Narrow Bands  
 Authors: Jian Kang and Oskar Vafek  
 arXiv:1805.04518, Phys. Rev. X 8, 031088 (2018)
4. Maximally-localized Wannier-orbitals and the extended Hubbard model for the twisted bilayer  
 Authors: Mikito Koshino, Kazuhiko Kuroki, Liang Fu  
 arXiv:1805.08619, Phys. Rev. B 98, 045103 (2018)
5. Band Structure of Twisted Bilayer Graphene: Emergent Symmetries, Commensurate Approximants, and Wannier Obstructions  
 Authors: Liujun Zou, Hoi Chun Po, Ashvin Vishwanath, and T. Senthil  
 arXiv:1806.07873, Phys. Rev. B 98, 085435 (2018)

Recommended with a Commentary by Francisco Guinea, *India*

**Journal Club for Condensed Matter Physics**  
 A Monthly Selection of Interesting Papers by Distinguished Correspondents

This description differs significantly from an array of mesoscopic quantum dots in a triangular lattice.

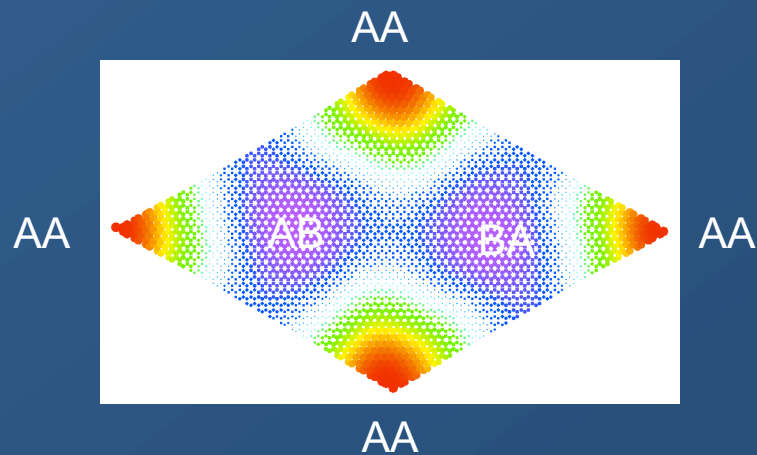
## More about interactions

Moiré lattice unit:  $\ell_M \approx 15$  nm

Radius of the charge distribution:  $\ell_C \approx 5$  nm

Coulomb energy:  $E_C \approx \frac{e^2}{\ell_C} \approx 0.1$  eV

On site repulsion:  $E_H \approx \frac{U}{N} \approx \frac{U}{(\ell_C/a_0)^2} \approx \frac{e^2 a_0}{\ell_C^2} \approx 0.01$  eV



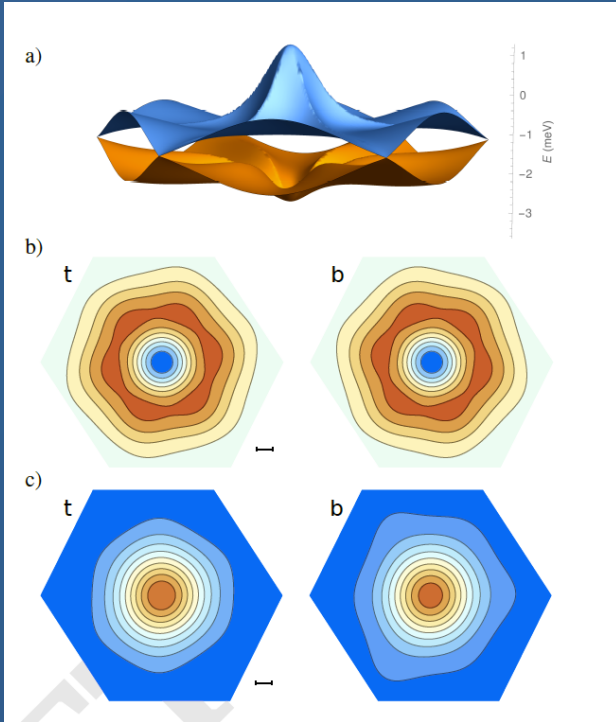
Coulomb potential,

$$V_{\max} = 0.029 \text{ eV}, V_{\min} = -0.014 \text{ eV}$$



# Coulomb interactions and screening in twisted graphene bilayers

Angle:  $\theta = 1.05^\circ$   
Moiré unit cell:  $L_M \approx 15\text{nm}$



$\Gamma$  point

K point

Bands, wavefunctions

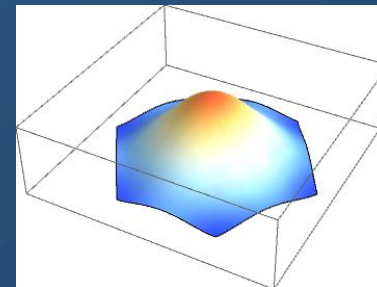
## Electrostatic effects, band distortions, and superconductivity in twisted graphene bilayers

Francisco Guinea<sup>a,b,1,2</sup> and Niels R. Walet<sup>b,1,2</sup>

arXiv:1806.05990

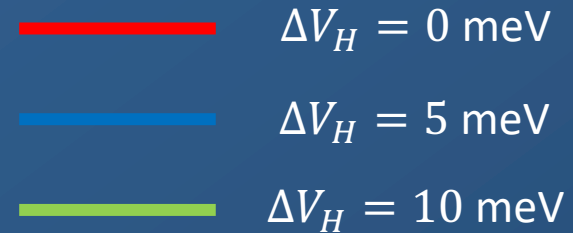
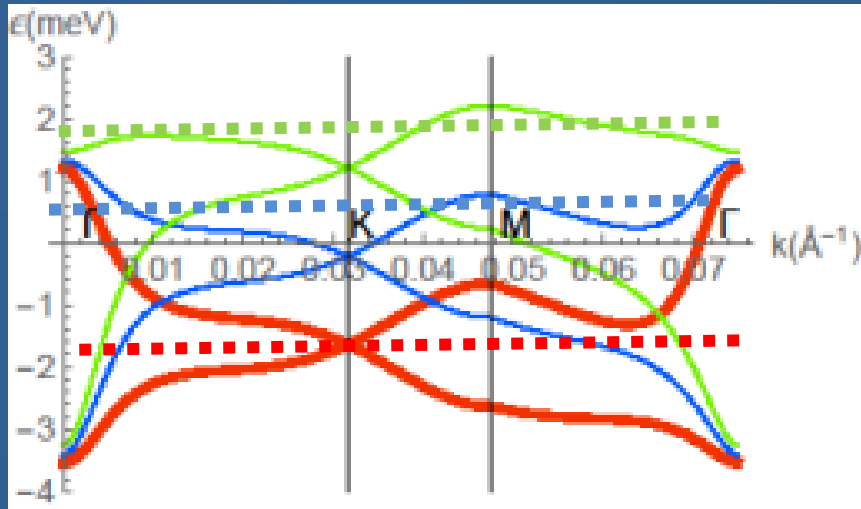
Proc. Nat. Acad. Sci. (USA) **115**, 13174 (2019)

- The charge distribution within the Moiré unit cell depends on the state.
- Away from the neutrality point, the charge is concentrated at the center of the unit cell.
- A non uniform electrostatic potential is induced.



Sketch of the electrostatic potential

## Twisted bilayers, Hartree approximation



Hartree bands, different fillings.

- The band structure is dependent on filling  $\rightarrow$  new interactions
- The bandwidth increases away from the neutrality point

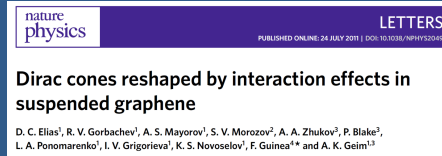
# Exchange term

## Renormalization of the Fermi velocity

$$\alpha = \frac{e^2}{\epsilon \hbar v_F} \approx 10^2$$



$$E_c \frac{d}{dE_c} v_F(E_c) = -\frac{8}{\pi^2} v_F \left( 1 + \frac{\arccos g}{g \sqrt{1-g^2}} \right) + \frac{4}{\pi} v_F \frac{1}{g}$$



$$\frac{k}{v_F} \frac{\partial v_F}{\partial k} = -\frac{e^2}{4\epsilon \hbar v_F}$$

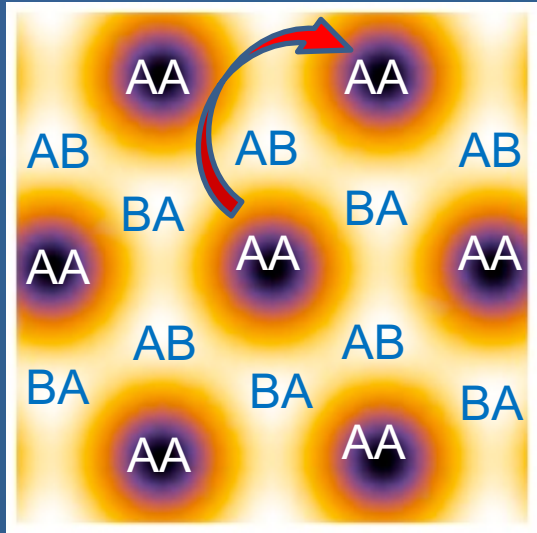
$$\frac{k}{v_F} \frac{\partial v_F}{\partial k} = -\frac{2}{\pi^2} \left[ 1 - \frac{4\hbar v_F \epsilon}{N e^2} + \frac{8\hbar v_F \arccos\left(\frac{\pi N e^2}{8\hbar v_F \epsilon}\right)}{N e^2 \pi \sqrt{1 - \left(\frac{\pi N e^2}{8\hbar v_F \epsilon}\right)^2}} \right]$$

## Shift of the occupied $\Gamma$ point

$$\delta \epsilon_{\Gamma}^{ex} \approx -\frac{1}{2\pi} \int_0^{\Lambda} \frac{e^2}{\epsilon k} k dk \approx -\frac{e^2 \Lambda}{2\pi \epsilon} \approx -0.07 \frac{e^2}{\epsilon L_M}$$

The exchange term will increase the bandwidth

# New interactions in twisted bilayers



PHYSICAL REVIEW B

VOLUME 41, NUMBER 10

1 APRIL 1990

## Hole superconductivity and the high- $T_c$ oxides

F. Marsiglio and J. E. Hirsch

41 6435

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$$\tilde{t} \sum_{i,j} (c_i^\dagger c_j + c_j^\dagger c_i) (n_i + n_j) \quad \tilde{t} \approx V_H$$

- Electron assisted hopping
- Favorable for superconductivity

See also

Strong coupling phases of partially filled twisted bilayer graphene narrow bands

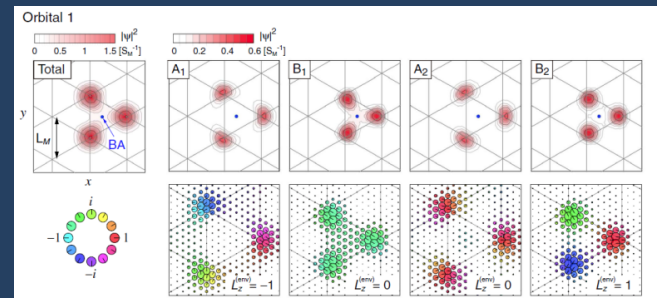
Jian Kang<sup>1,\*</sup> and Oskar Vafek<sup>1,2,†</sup>

arXiv:1810.08642

PHYSICAL REVIEW X 8, 031087 (2018)

## Maximally Localized Wannier Orbitals and the Extended Hubbard Model for Twisted Bilayer Graphene

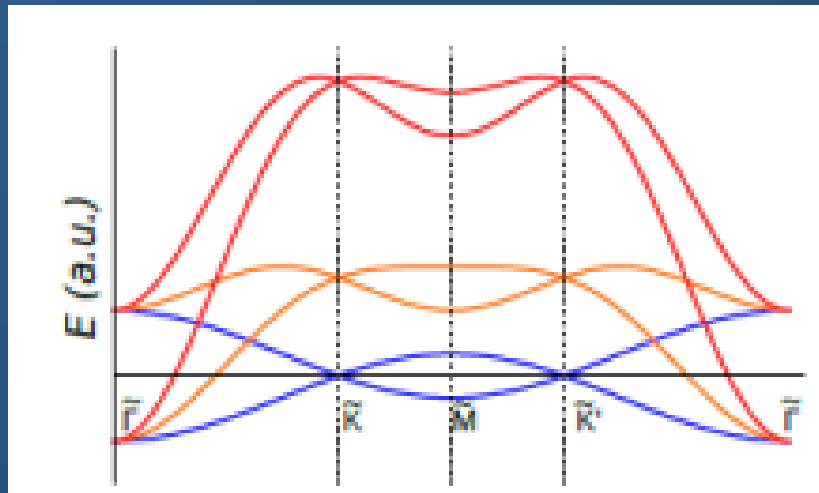
Mikito Koshino,<sup>1,\*</sup> Noah F. Q. Yuan,<sup>2</sup> Takashi Koretsune,<sup>3</sup> Masayuki Ochi,<sup>1</sup> Kazuhiko Kuroki,<sup>1</sup> and Liang Fu<sup>2</sup>



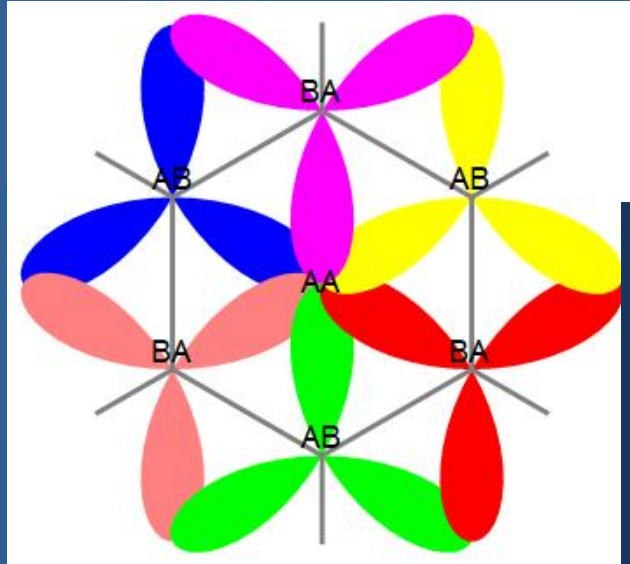
# Simple tight binding model

- Description using two orbitals, one at each inequivalent site of the honeycomb lattice.
- Long range hoppings (M. Koshino, N. Yuan, N. Koretsune, K. Kiroki, L. Fu, Phys. Rev. X **8**, 031087 (2018)).
- A simple model for the electrostatic potential describes well the results obtained more sophisticated models.

$$\mathcal{H}_{local} = \mathcal{H}_0 + \mathcal{H}_H = t_1 \sum_{\langle i,j \rangle} c_i^\dagger c_j + it_2 \sum_{\langle\langle i,j \rangle\rangle} c_i^\dagger c_j + V_H \sum_{\langle\langle i,j \rangle\rangle, \{i,j\} \in \{A,B\}} c_i^\dagger c_j + h.c.$$



# Analysis of the interactions at the Fermi level



$$\mathcal{H}_{int} = \sum_m \left[ V_{H_1} \left( \sum_{i=1, \dots, 6} c_{i,m}^+ c_{i,m} \right)^2 + V_{H_2} \left( \sum_{i=1, \dots, 6} c_{i,m}^+ c_{i,m} \right) \left( \sum_{\langle i,j \rangle} c_{i,m}^+ c_{j,m} \right) \right]$$

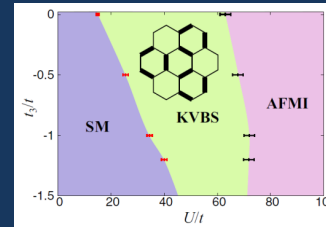
Local repulsion

Assisted hopping

PHYSICAL REVIEW B 98, 121406(R) (2018)  
 Rapid Communications Editors' Suggestion  
**Kekulé valence bond order in an extended Hubbard model on the honeycomb lattice with possible applications to twisted bilayer graphene**  
 Xiao Yan Xu,<sup>1</sup> K. T. Law,<sup>1</sup> and Patrick A. Lee<sup>2,\*</sup>

$$H_t = - \sum_{ij} \sum_{\alpha} t_{ij} c_{i\alpha}^{\dagger} c_{j\alpha} + \text{H.c.},$$

$$H_U = U \sum_{\alpha} (Q_{\alpha} - 2)^2.$$



$$\dots + e^{i\vec{k}'(\vec{a}_1 - \vec{a}_2)} \Big] V_{H_1} \sigma_+ \tau_x$$

Hamiltonian

- The gap contains many pieces
- Many superconducting gaps are possible

$$\Delta_1(\vec{k}) = V_{H_1} \sum_{\vec{k}'} f(\vec{k} - \vec{k}') \langle \mathbb{I}_{\sigma} \tau_x \rangle_{\vec{k}'}$$

$$\Delta_2(\vec{k}) = \frac{V_{H_1}}{3} \sum_{\vec{k}'} f(\vec{k} - \vec{k}') \left( 1 + e^{-i\vec{k}'\vec{a}_1} + e^{-i\vec{k}'\vec{a}_2} \right) \langle \sigma_- \tau_x \rangle_{\vec{k}'}$$

$$\Delta_3(\vec{k}) = \frac{V_{H_1}}{3} \sum_{\vec{k}'} f(\vec{k} - \vec{k}') \left( 1 + e^{i\vec{k}'(\vec{a}_1 + \vec{a}_2)} + e^{i\vec{k}'(\vec{a}_1 - \vec{a}_2)} + e^{i\vec{k}'(-\vec{a}_1 + \vec{a}_2)} \right) \langle \sigma_- \tau_x \rangle_{\vec{k}'}$$

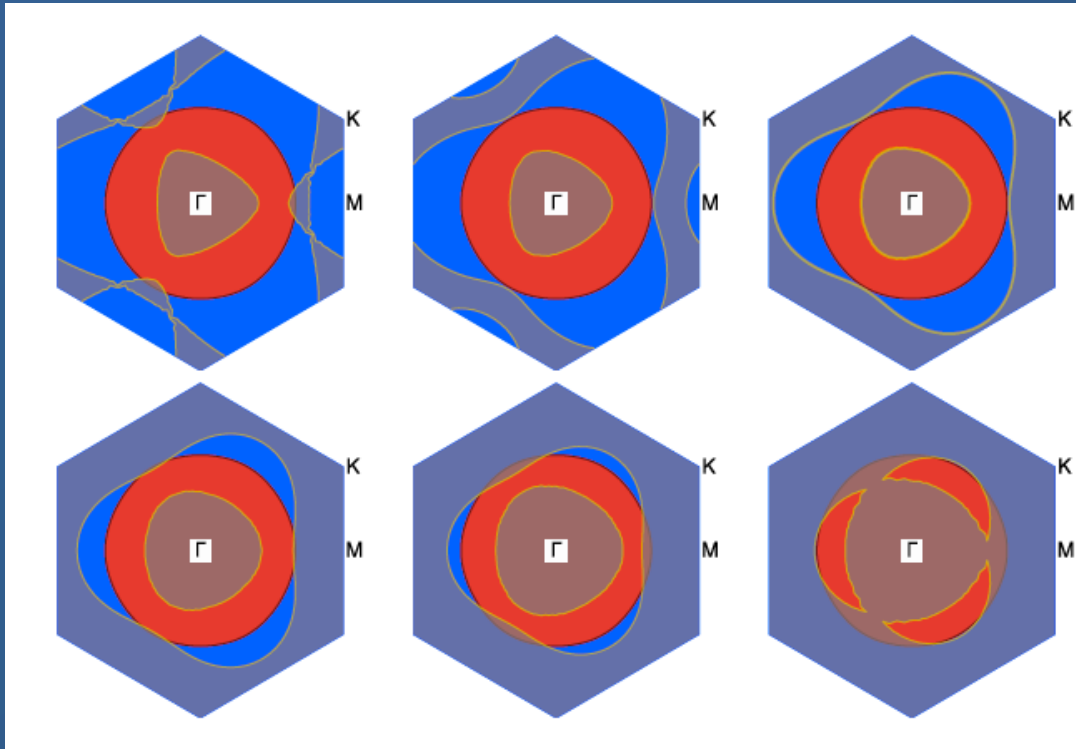
$$\Delta_4(\vec{k}) = V_{H_2} \sum_{\vec{k}'} f(\vec{k} - \vec{k}') \left[ g(\vec{k}) + g(\vec{k}') \right] \langle \mathbb{I}_{\sigma} \tau_x \rangle_{\vec{k}'}$$

$$\Delta_5(\vec{k}) = V_{H_2} \sum_{\vec{k}'} f(\vec{k} - \vec{k}') \left[ g(\vec{k}) + g(\vec{k}') \right] \langle \sigma_- \tau_x \rangle_{\vec{k}'}$$

$$f(\vec{k}) = 3 + 2 \cos(k_x) + 4 \cos\left(\frac{k_x}{2}\right) \cos\left(\frac{\sqrt{3}k_y}{2}\right)$$

$$g(\vec{k}) = 2 \cos(k_x) + 4 \cos\left(\frac{k_x}{2}\right) \cos\left(\frac{\sqrt{3}k_y}{2}\right)$$

# Superconductivity due to assisted hopping in twisted bilayers



Hartree approximation.  
Fermi surfaces for different fillings.

- Example: s-wave superconductivity.
- An attractive interaction appears in some regions of the Brillouin Zone.
- The Fermi surface has two pockets.
- Superconductivity is favored in the blue regions of the Brillouin Zone.

$$T_c \sim W e^{-(W L_M \epsilon) / e^2}$$

# Electrostatic interactions and superconductivity

- The low energy electronic states of twisted graphene layers show inhomogeneous charge distributions in the Moiré unit cell.
- Away from the neutrality point, these charge inhomogeneities lead to an electrostatic potential, with a strength comparable or larger than the bandwidth.  
This potential defines the largest interaction between the electrons.
- The electrostatic potential modifies significantly the bands. These deformations can be ascribed to the emergence of new interactions, which can be defined as assisted hopping terms.
- The presence of assisted hopping terms fits naturally with the complex structure of the Wannier functions of the system.
- Assisted hopping is an interaction that favors superconductivity.

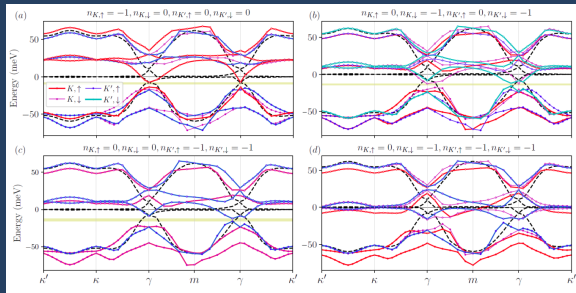


# Some recent developments

## On the Nature of the Correlated Insulator States in Twisted Bilayer Graphene

Ming Xie and A. H. MacDonald

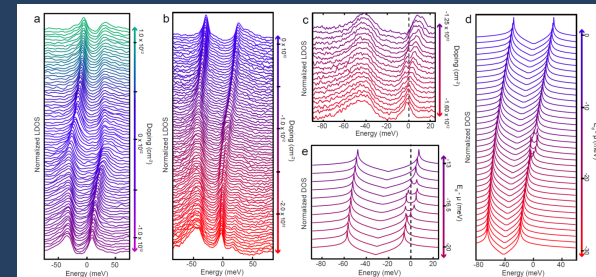
arXiv:1812.04213



## Magic Angle Spectroscopy

Alexander Kerelsky,<sup>1</sup> Leo McGilly,<sup>1</sup> Dante M. Kennes,<sup>2</sup> Lede Xian,<sup>3</sup> Matthew Yankowitz,<sup>1</sup> Shaowen Chen,<sup>1,4</sup> K. Watanabe,<sup>5</sup> T. Taniguchi,<sup>5</sup> James Hone,<sup>6</sup> Cory Dean,<sup>1</sup> Angel Rubio,<sup>3,7,\*</sup> and Abhay N. Pasupathy<sup>1,†</sup>

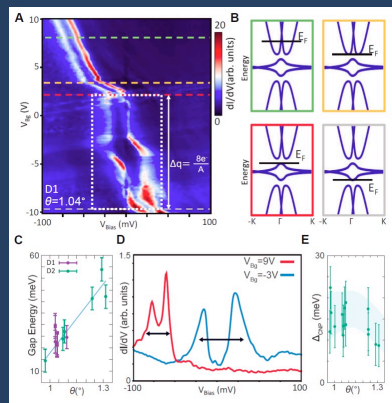
arXiv:1812.08776



## Imaging Electronic Correlations in Twisted Bilayer Graphene near the Magic Angle

Youngjoon Choi<sup>1,2,4</sup>, Jeannette Kemmer<sup>1,2</sup>, Yang Peng<sup>2,3,4</sup>, Alex Thomson<sup>2,3,4</sup>, Harpreet Arora<sup>1,2</sup>, Robert Polski<sup>1,2</sup>, Yiran Zhang<sup>1,2,4</sup>, Hechen Ren<sup>1,2</sup>, Jason Alicea<sup>2,3,4</sup>, Gil Refael<sup>2,3,4</sup>, Felix von Oppen<sup>2,5</sup>, Kenji Watanabe<sup>6</sup>, Takashi Taniguchi<sup>6</sup>, and Stevan Nadj-Perge<sup>1,2\*</sup>

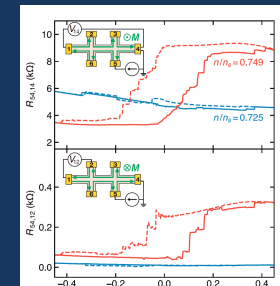
arXiv:1901.02997



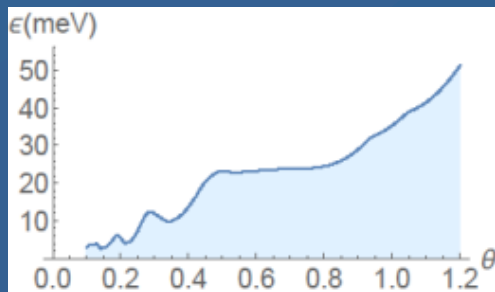
## Emergent ferromagnetism near three-quarters filling in twisted bilayer graphene

Aaron L. Sharpe,<sup>1,2\*</sup> Eli J. Fox,<sup>2,3\*</sup> Arthur W. Barnard,<sup>3</sup> Joe Finney,<sup>3</sup> Kenji Watanabe,<sup>4</sup> Takashi Taniguchi,<sup>4</sup> M. A. Kastner,<sup>3,5,6</sup> David Goldhaber-Gordon<sup>2,3†</sup>

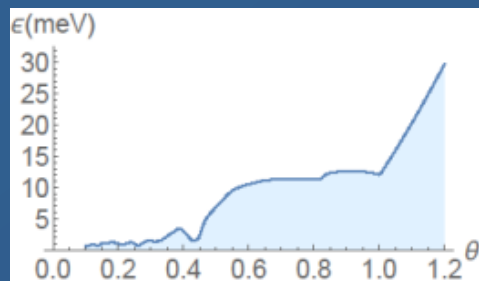
arXiv:1901.03520



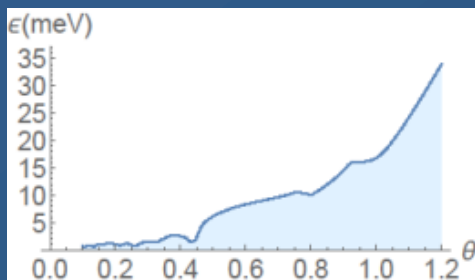
# Other narrow band combinations



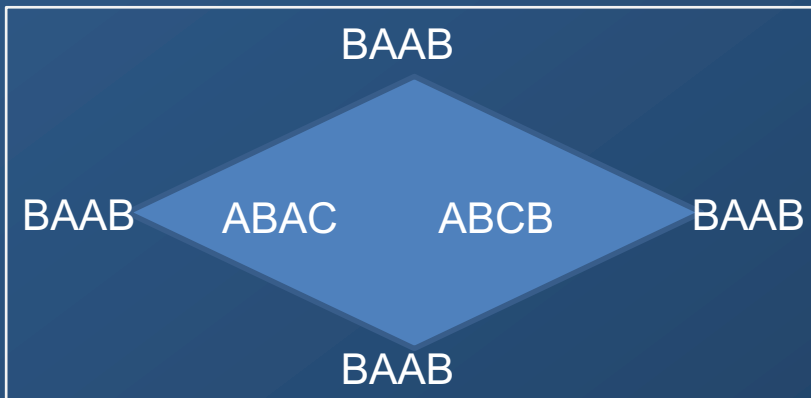
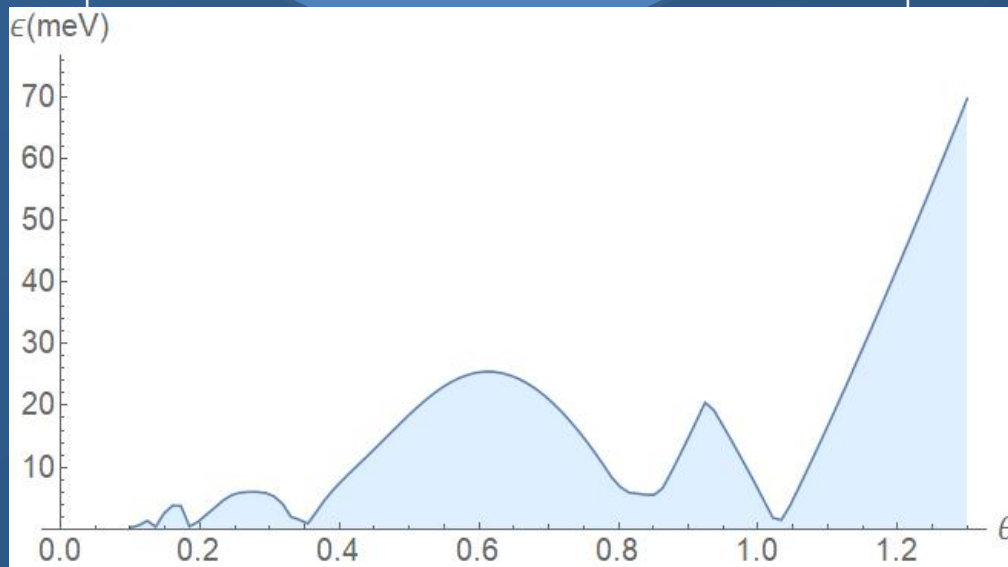
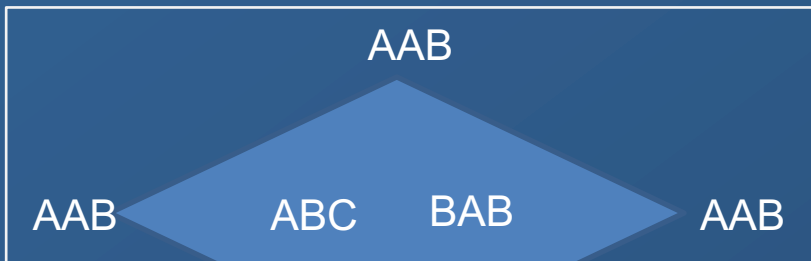
Twisted monolayer on bilayer



Twisted bilayer on bilayer

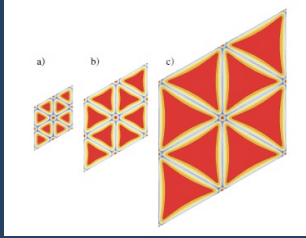


Twisted bilayer on bilayer



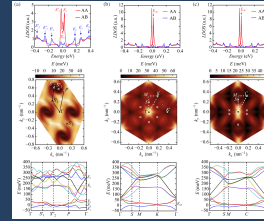
# Future work

## Strains, lattice relaxation



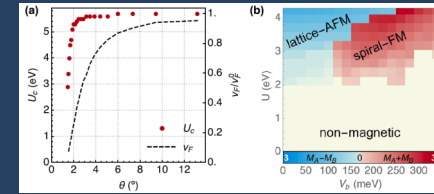
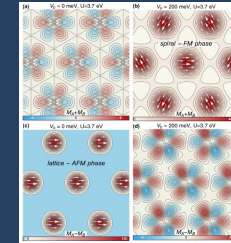
## Heterostrains

PHYSICAL REVIEW LETTERS 120, 156405 (2018)  
 Editors' Suggestion    Featured in Physics  
**Electronic Spectrum of Twisted Graphene Layers under Heterostrain**  
 Loïc Hude<sup>1</sup>, Alexandre Arnaud,<sup>1,2</sup> Toai Le Quang,<sup>1</sup> Guy Trambly de Laisantère,<sup>1</sup> Aloysius G.M. Jansen,<sup>1</sup>  
 Gérard Laporte,<sup>1</sup> Claude Chappeler,<sup>1</sup> and Vincent T. Remond<sup>1</sup>



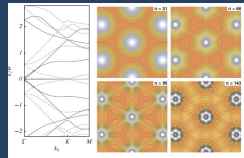
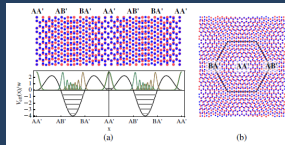
## Short range interactions

PRL 119, 107201 (2017)    PHYSICAL REVIEW LETTERS    week ending 8 SEPTEMBER 2017  
**Electrically Controllable Magnetism in Twisted Bilayer Graphene**  
 Luis A. Gonzalez-Arroya,<sup>1</sup> J. I. Lado,<sup>2</sup> Francisco Guinea,<sup>1,3</sup> and Pablo San-Jose<sup>4</sup>



## Origin of the magic angles

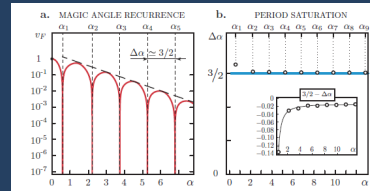
PRL 108, 216802 (2012)    PHYSICAL REVIEW LETTERS    week ending 25 MAY 2012  
**Non-Abelian Gauge Potentials in Graphene Bilayers**  
 P. San-Jose,<sup>1</sup> J. González,<sup>1</sup> and F. Guinea<sup>2</sup>



[15] To be precise, while a finite value of  $V_{AF}$  does not destroy subsequent instances of flat-band formation, it does lower their corresponding  $L$ , as compared to the case  $V_{AF} = 0$ , for which they satisfy the simple relation  $wL/v_F = 2\pi j + \beta$ , for integer  $j$ . Moreover, a finite  $V_{AF}$  renders the lowest subband with a small residual bandwidth that is nonexistent in the pure magnetic case.

## Origin of Magic Angles in Twisted Bilayer Graphene

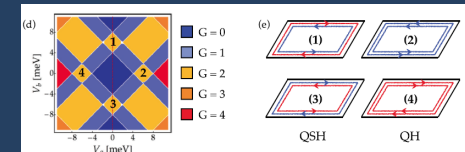
Grigory Tarnopolsky, Alex J. Kruchkov, and Ashvin Vishwanath



$$\frac{wL_M}{v_F} \approx 2\pi \left( j + \frac{1}{2} \right)$$

## Magnetic and electric fields

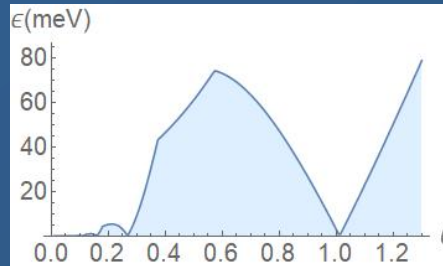
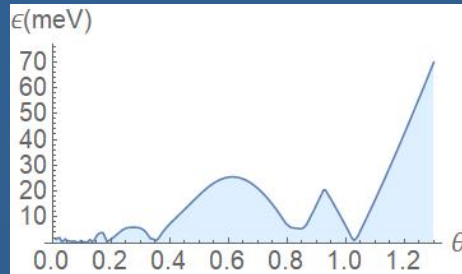
2D Materials  
 PAPER  
**Quantum spin Hall effect in twisted bilayer graphene**  
 To cite this article: F. Fauchard et al 2017 2D Mater. 4 025027



# Origin of magic angles

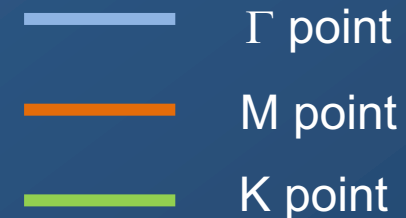
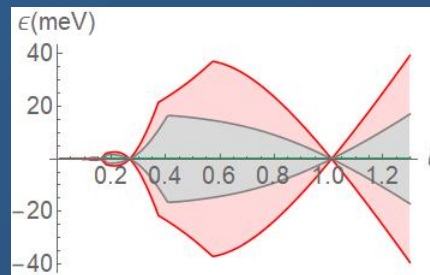
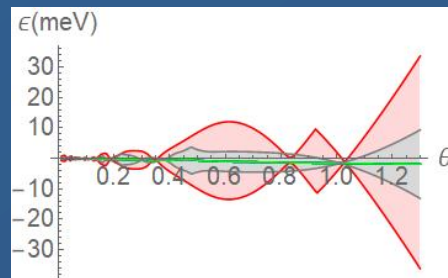
In collaboration with P. San José and J. González

No AA hopping, non Abelian gauge field



$$\mathcal{H} = v_F \vec{\sigma} (\mathbb{I}_\tau \vec{\partial} - \vec{A})$$

$$\vec{A} \equiv (A_x \tau_x, A_y \tau_y)$$



$$\frac{wL_M}{v_F} \approx 2\pi \left( j + \frac{1}{2} \right)$$

Multiple topological transitions in twisted bilayer graphene near the first magic angle

Kasra Hejazi,<sup>1,\*</sup> Chunxiao Liu,<sup>1,\*</sup> Hassan Shapourian,<sup>2,3</sup> Xiao Chen,<sup>3</sup> and Leon Balents<sup>3</sup>

arXiv:1808.0568

- Magic angles are associated to level crossings.
- Level crossings persist outside high symmetry points.
- Flat bands require non Abelian gauge fields.

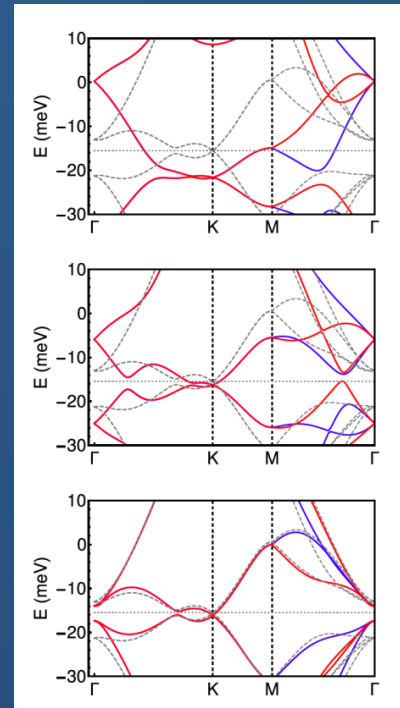
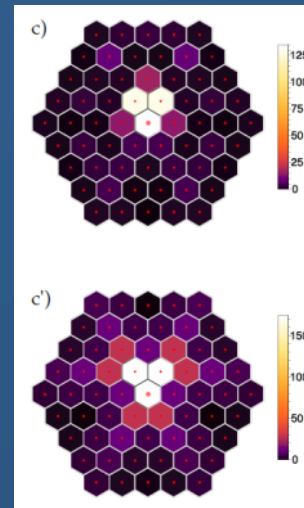
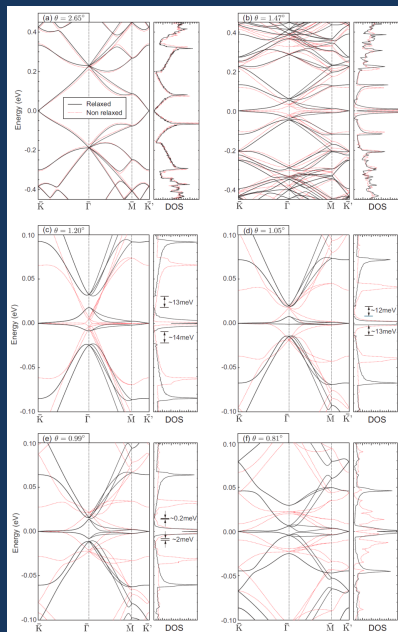
# Lattice relaxation, bands

In collaboration with N. Walet

PHYSICAL REVIEW B **96**, 075311 (2017)

Lattice relaxation and energy band modulation in twisted bilayer graphene

Nguyen N. T. Nam<sup>1</sup> and Mikito Koshino<sup>2</sup>



3 harmonics

12 harmonics

48 harmonics

Fully relaxed 32x31 Moiré,  $\theta \approx 1.05^\circ$ .  
Environment dependent interlayer hoppings.  
Comparison between tight binding and continuum models