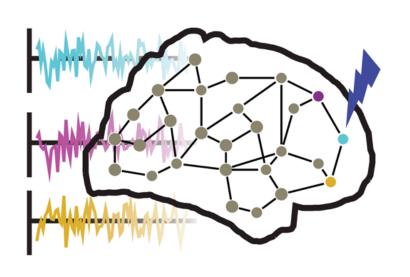
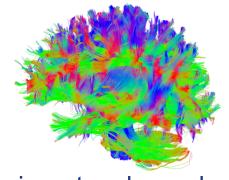
### A lens into cognition: Topology and geometry of neural systems



Evelyn Tang
Max Planck Institute
for Dynamics and Self-Organization

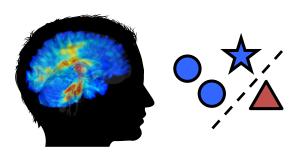
KITP Active 20 May 14, 2020

### Emergent phenomena across scales



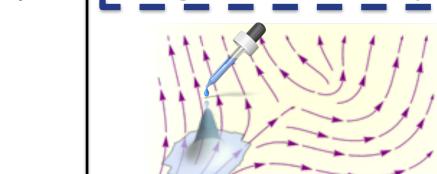
#### Brain networks and control

Tang et al., Nature Comm 2017
Tang & Bassett, Rev Mod Phys 2018



#### Effective learning

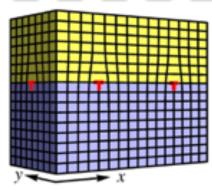
Tang et al., Nature Neuro 2019



Space

#### Information in fluid flows

Tang & Golestanian, arXiv 2019

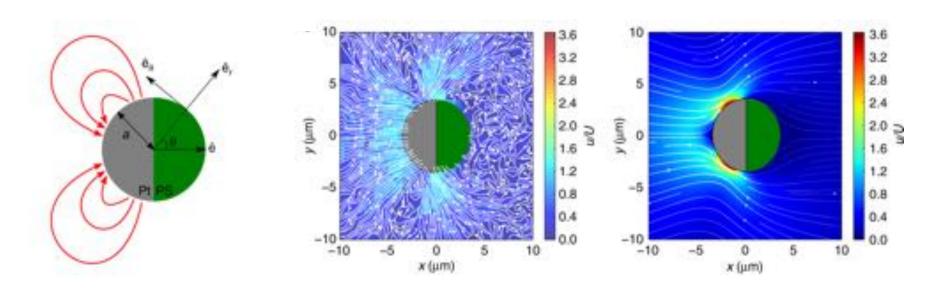


#### Topological phases of matter

Tang and Fu, *Nature Phys* 2015 Tang et al., *Phys Rev Lett* 2012

Time

### Learning: an out-of-equilibrium process



Janus sphere with controllable orientation

Golestanian & Ebbens groups, Nat Comm 2015

As we gain understanding and control of active systems, can we "teach" them what to do?

### Machine learning is successful but opaque and expensive



#### Common carbon footprint benchmarks

#### Cost to train a new model

Strubell, Ganesh & McCallum. Proc. 57th Comp. Ling. 2019

in lbs of CO2 equivalent

neural architecture search

Roundtrip flight b/w NY and SF (1 1,984 passenger) Human life (avg. 1 year) 11,023 American life (avg. 1 year) US car including fuel (avg. 1 126,000 lifetime) Transformer (213M parameters) w/

36,156

626,155

Huge number of parameters

### Biological learning is quick and efficient

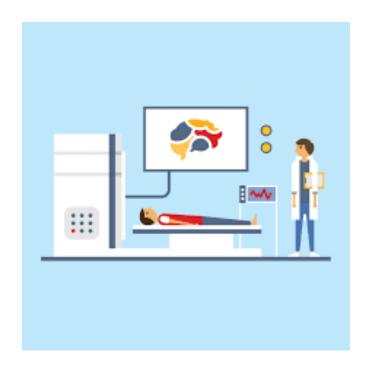


A baby who sees their parent use cell phones

What are underlying principles of learning?

### Probing learning in humans is difficult

- No ground up theory for cognition: we can model neuron dynamics, but coarse-graining methods lacking
- No controlled experiments
- Sample sizes are small
- Data is noisy; has side effects from other physiological processes



What features in neural data can distinguish between cognitive states?

### Coarse-grained feature of a multi-dimensional dataset

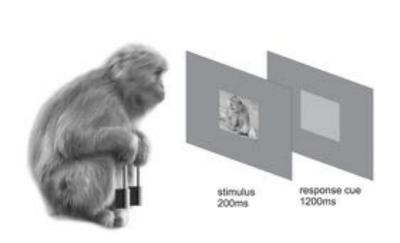
Learning engages complex dynamics: coordination over different modalities including sensory, attentional, memory

Given noisy data, won't study specific dynamics

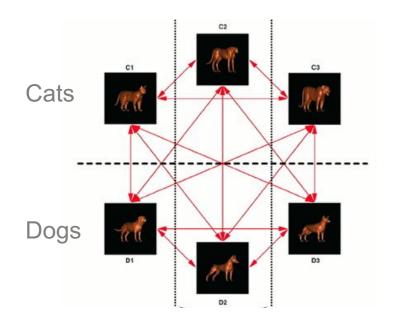


Hypothesis: there exists a suitable dimension for computational complexity

## Neural data can be separated along a dimension



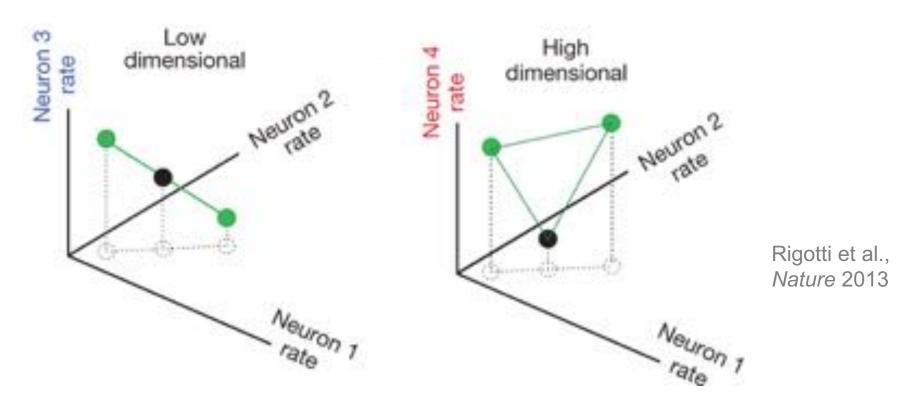
Trained to categorize cats and dogs



Freedman et al., Science 2001

Activity in lateral prefrontal cortex of monkeys could be classified according to animal type

## Effective dimension can be lower than that of measurement space



Probe the appropriate dimension for successful learning

## Combinatorial approach to estimate dimension for noisy data

Given n types of data (shapes):

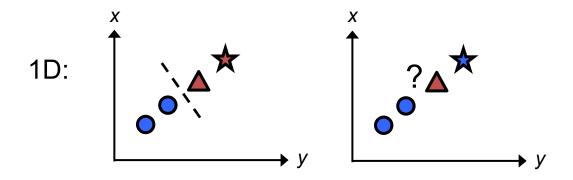
 $\bigcirc \triangle \diamondsuit$ 

n categories

Assign binary labels (blue or red):



 $2^n$  ways



Their linear separability (over different assignments) estimates the dimension

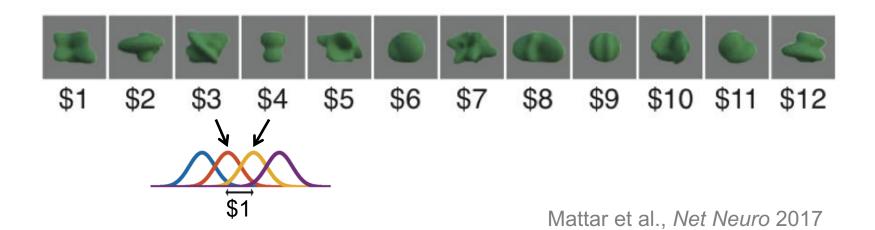


Unlike spectral analysis:
does not depend on a metric

Rigotti et al., Nature 2013

### Experiment with complex cognitive stimuli

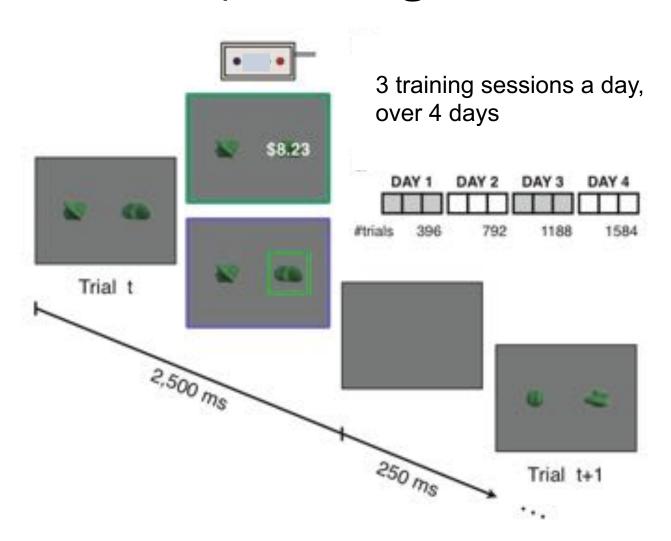
Computer-generated shapes with similar statistical properties



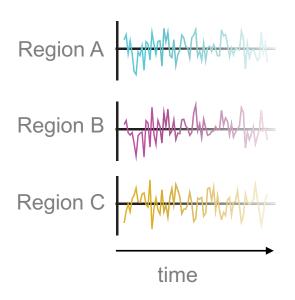
Shapes have value drawn from a Gaussian with fixed mean

Participants had to associate dollar values to each new shape

## Adult participants learned the values of these shapes through feedback

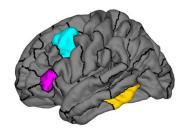


## Their neural patterns scanned using fMRI throughout the experiment



Each session: 140 pairs shown

Blood-oxygen-level dependent activation measured

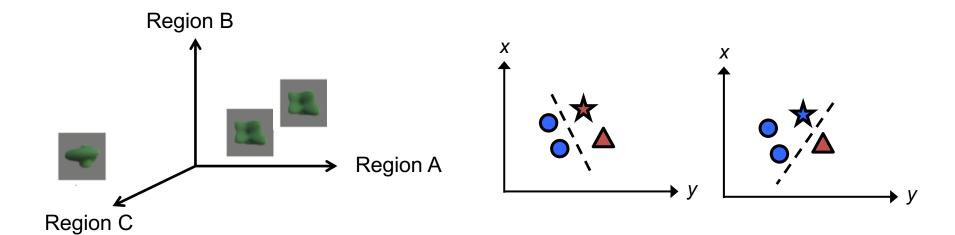


Coarse-grained approach: 83 regions parcellation of whole-brain

## Neural responses across all regions form a geometric representation

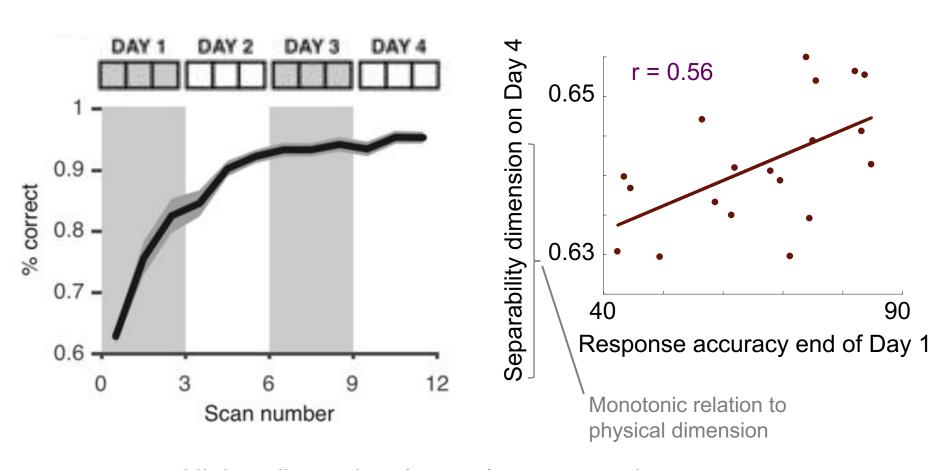
140 shapes each contribute a point in data cloud

For n categories:  $2^n$  ways to assign binary labels



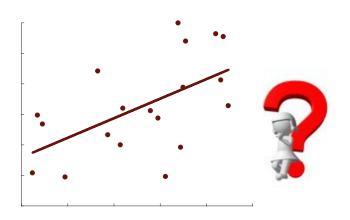
Average separability over hyperplanes is a proxy for dimension – large combinatorics allows method to be robust to noise

## Fast learners have a higher dimensional representation of neural data



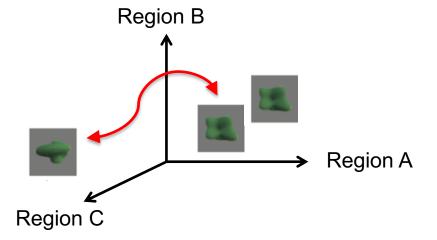
Higher dimensional neural representations are associated with effective learning on this task

### Test result reliability using a null model



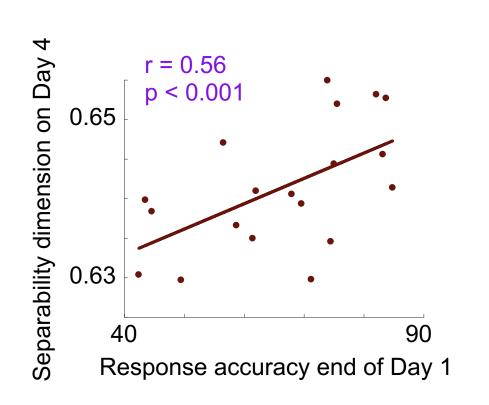
Without theory, and without repeated experiments to fit – need to identify "no result"

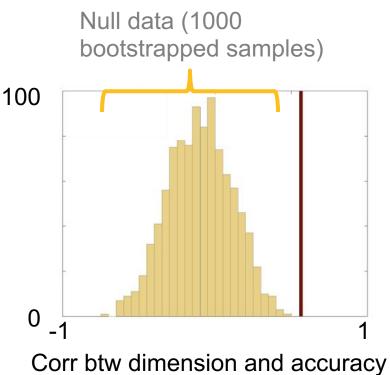
Obtain a baseline comparison from similar data without task information



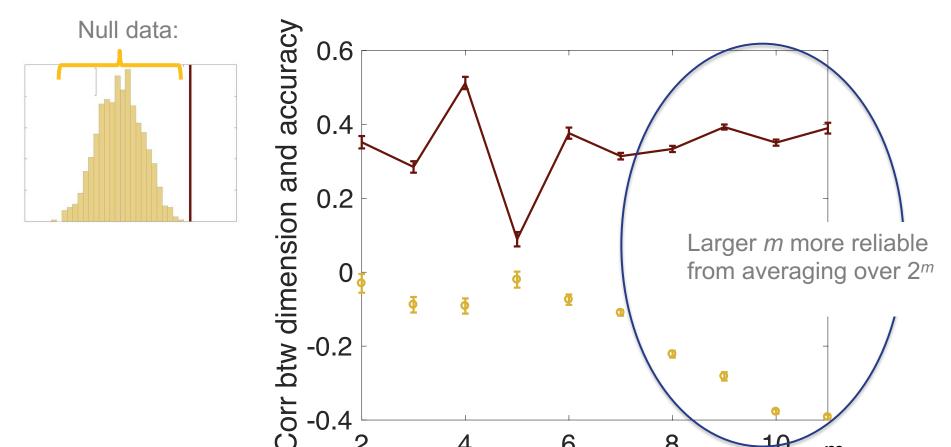
- 1. Shuffle task labels of data points
- 2. Repeat analysis

### Null model shows result is significant





### Null data also shows smaller dimension for fast learners



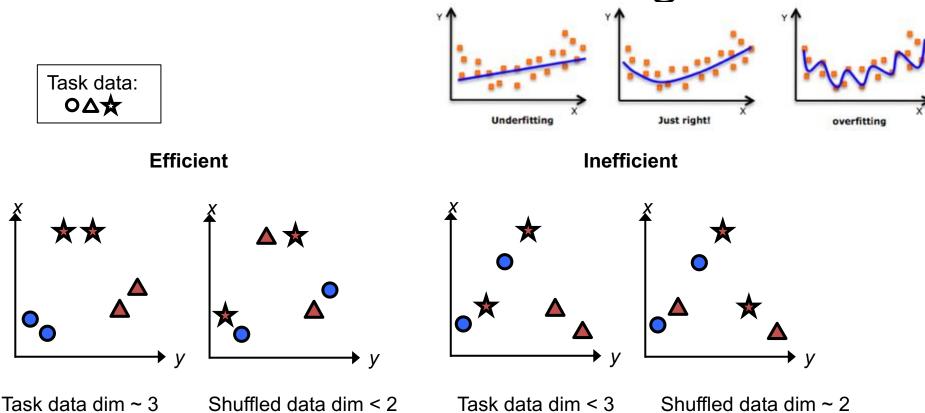
Negative correlation between null data dimension and learning accuracy

6

Number of stimuli out of 12

8

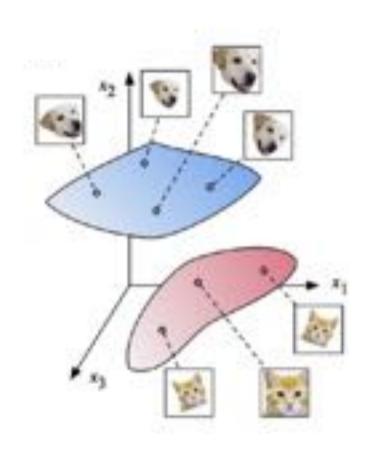
## Fast learners have higher task-based dimension and lower embedding dimension



Fast learners have an efficient representation: high ratio of information-coding to resources used

Tang, Mattar, Giusti, Lydon-Staley, Thompson-Schill & Bassett, Nature Neuroscience 2019

### Analogous results seen in data manifolds of neural networks



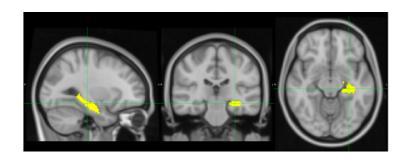
Analysis of the shape of manifold representations in neural networks

Two kinds of dimensionality that can behave in different ways with training

Chung, Lee & Sompolinsky, PRX 2018

## Virtual lesioning: data-driven approach to identify which brain regions contribute most

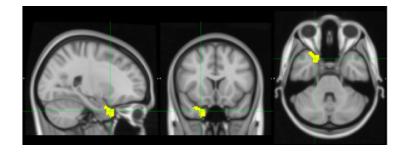
Brain regions are removed one at a time: result recalculated Largest change (in correlation of accuracy with dimension) due to:



Left hippocampus

Associated with rapid learning of stimulus associations

Squire, Psych Rev 1992



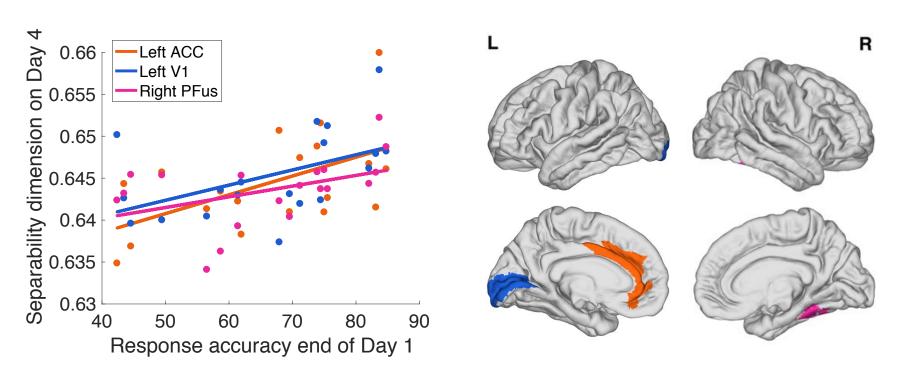
Right temporal pole

Represents information about abstract conceptual properties (such as value)

Peelen & Caramazza, J Neuroscience 2012

## Recapitulation of effect on smaller voxel-level in some regions

Study 5 regions in each hemisphere with 300 (of fewer) voxels



Left anterior cingulate cortex has strongest result and known role in reward-based learning

Bush et al., PNAS 2002

Followed by left V1 and right posterior fusiform

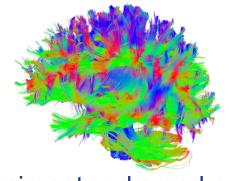
# The geometry of neural activity reflects cognitive performance

1. Fast learners have higher dimensional representations of neural activity.

2. This allows objects of different value to be more easily distinguished.

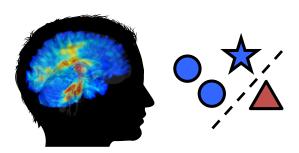
3. Fast learners also have lower embedding dimension: hence they have more efficient representations with a high ratio of information-coding to resources used.

### Emergent phenomena across scales



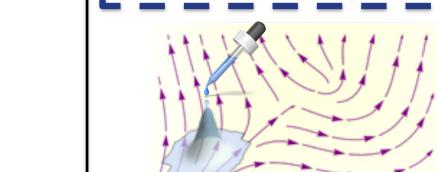
#### Brain networks and control

Tang et al., Nature Comm 2017
Tang & Bassett, Rev Mod Phys 2018



#### Effective learning

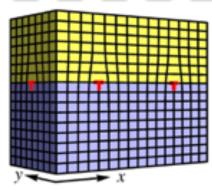
Tang et al., Nature Neuro 2019



Space

#### Information in fluid flows

Tang & Golestanian, arXiv 2019

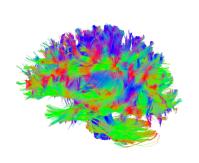


#### Topological phases of matter

Tang and Fu, *Nature Phys* 2015 Tang et al., *Phys Rev Lett* 2012

Time

# How does brain structure subserve dynamics and function?



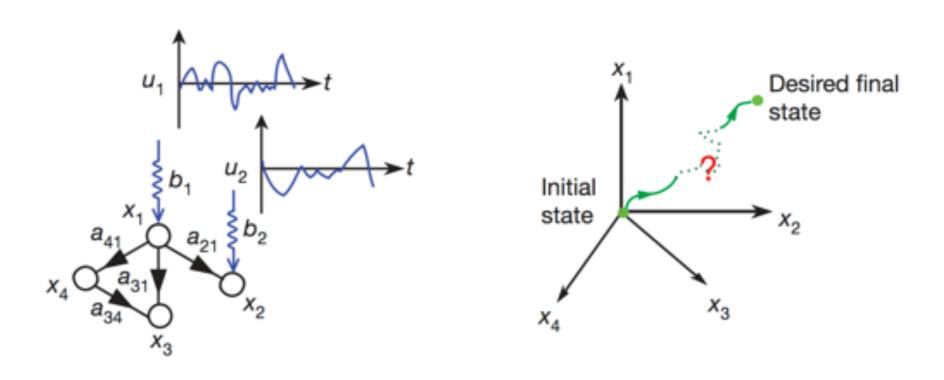


Control theory and dynamical models to probe the role of connectivity; and in changes across development



Towards understanding function: e.g. children are more spontaneous while adults are better at cognitive control

## Network control theory models dynamics in heterogeneous real-world systems



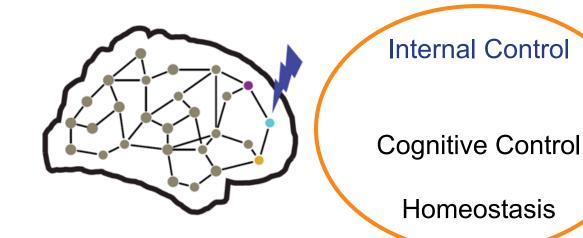
Liu et al., Nature 2011

## Models the driving of dynamical changes across neurons or neural systems

**External Input** 

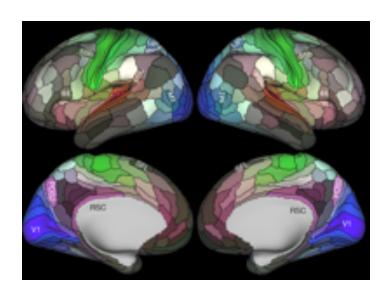
Stimulation

Neurofeedback



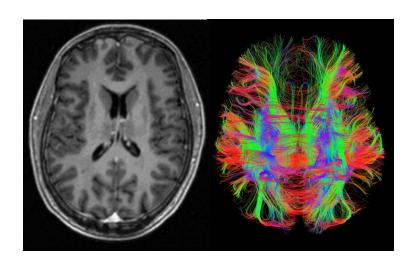
## Topology of brain connectivity mapped with non-invasive neuroimaging

Identify brain regions on the mesoscale



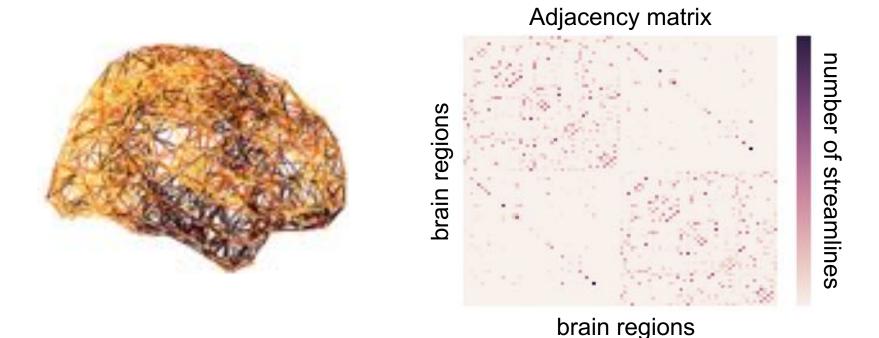
Glasser et al.. Nature 2016

White matter pathways inferred from movement of water molecules diffusing along tracts



Tuch et al., Neuroimage 1997

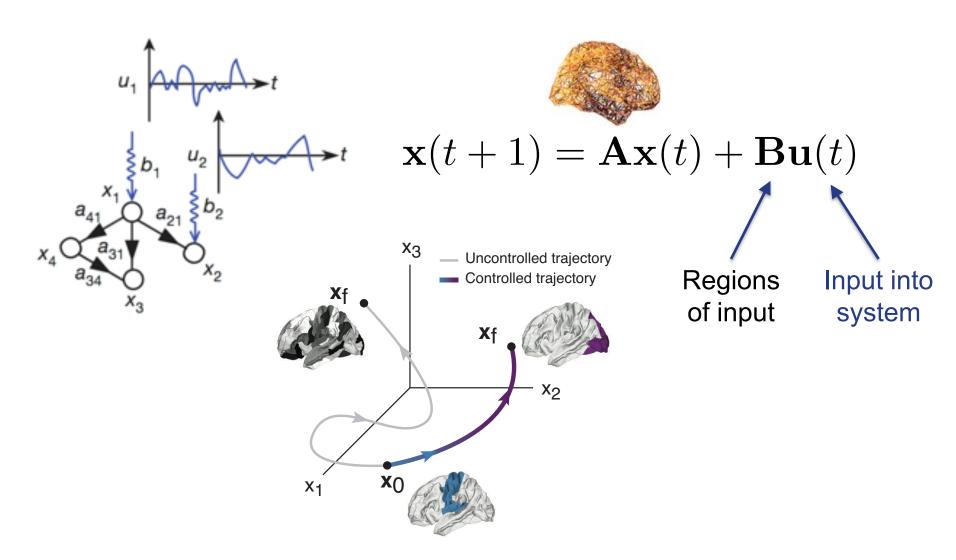
## Build brain network which estimates strength of connections between regions



We represent the pattern of white matter tracts between brain regions as an undirected, weighted adjacency matrix

Bullmore & Sporns, Nat Rev Neurosci 2009

## Linear dynamical model + input into system



### Input into systems defines an energy landscape

$$\mathbf{x}(t+1) = \mathbf{A}\mathbf{x}(t) + \mathbf{B}\mathbf{u}(t)$$

After T steps,

$$\mathbf{x}(T) = \mathbf{C}_T egin{bmatrix} \mathbf{u}(T-1) \\ \vdots \\ \mathbf{u}(0) \end{bmatrix}; \qquad \mathbf{C}_T := egin{bmatrix} \mathbf{B} & \mathbf{A}\mathbf{B} & \cdots & \mathbf{A}^{T-1}\mathbf{B} \end{bmatrix}.$$

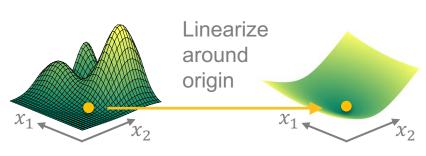
Depends on brain network and input regions (structural)

$$\mathbf{C}_T := egin{bmatrix} \mathbf{B} & \mathbf{A}\mathbf{B} & \cdots & \mathbf{A}^{T-1}\mathbf{B} \end{bmatrix}$$

Depends on brain network and input regions (structural)



$$E(\mathbf{u}, T) := \sum_{t=0}^{T-1} ||\mathbf{u}(t)||^2$$



### Structural network properties determine the minimum input energy

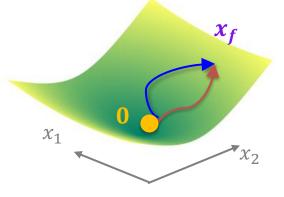
$$\mathbf{x}(t+1) = \mathbf{A}\mathbf{x}(t) + \mathbf{B}\mathbf{u}(t)$$

Minimum input energy

$$\mathbf{x}(T) = \mathbf{C}_T \begin{bmatrix} \mathbf{u}(T-1) \\ \vdots \\ \mathbf{u}(0) \end{bmatrix};$$

$$\mathbf{x}(T) = \mathbf{C}_T \begin{bmatrix} \mathbf{u}(T-1) \\ \vdots \\ \mathbf{u}(0) \end{bmatrix}; \begin{bmatrix} \mathbf{u}^*(T-1) \\ \vdots \\ \mathbf{u}^*(0) \end{bmatrix} = \mathbf{C}_T^{\mathsf{T}} (\mathbf{C}_T \mathbf{C}_T^{\mathsf{T}})^{-1} \mathbf{x}_f$$

Kailath. Linear Systems 1980



$$E^*(T) = \sum_{t=0}^{T-1} ||\mathbf{u}^*(t)||^2 = \mathbf{x}_f^{\mathsf{T}} (\mathbf{C}_T \mathbf{C}_T^{\mathsf{T}})^{-1} \mathbf{x}_f$$

Gramian 
$$\mathbf{W}_T := \mathbf{C}_T \mathbf{C}_T^\mathsf{T} = \sum_{t=0}^{T-1} \mathbf{A}^t \mathbf{B} \mathbf{B}^\mathsf{T} (\mathbf{A}^\mathsf{T})^t$$

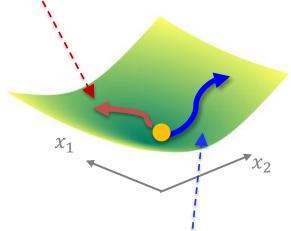
### Network connectivity and strength determine possible dynamical transitions

Minimum input energy 
$$E^*(T) = \mathbf{x}_f^\mathsf{T} \mathbf{W}_T^{-1} \mathbf{x}_f; \quad \mathbf{W}_T := \sum_{t=0}^{T-1} \mathbf{A}^t \mathbf{B} \mathbf{B}^\mathsf{T} (\mathbf{A}^\mathsf{T})^t$$

When  $\mathbf{x}_f$  is an eigenvector of  $\mathbf{W}_T$  with eigenvalue  $\lambda$ ,  $E^*(T) = \lambda^{-1}$ 

Average input energy (over all  $\{x_f\}$ ):  $Tr(\mathbf{W}^{-1})$ Ability to control with least average energy:  $Tr(\mathbf{W})$ 

$$T \to \infty$$
  
 $\operatorname{Tr}(\mathbf{W}_T^{-1}) \ge \frac{N^2}{\operatorname{Tr}(\mathbf{W}_T)}$ 



Energy E

Pasqualetti et al., IEEE TCNS 2014 Gu et al., Nat Comm 2015

 $v_i$ :  $j^{\text{th}}$  eigenvector of A with eigenvalue  $\xi_i$ . If  $v_{ij}$  is small, then  $j^{th}$  mode is poorly controllable from *i* (extension of PBH test).

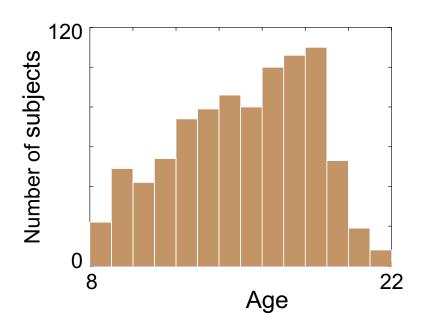
"Worst-case" energy from  $E^*(T) \leq \lambda_{\min}^{-1}(\mathbf{W}_T)$ Ability for modal control of most costly transition from i:  $\sum (1 - \xi_j^2(\mathbf{A}))$ 

## Use network control metrics on cohort of 882 youth from 8 to 22 years

Diffusion data from Philadelphia Neurodevelopmental Cohort

Roalf et al., Neuroimage 2016



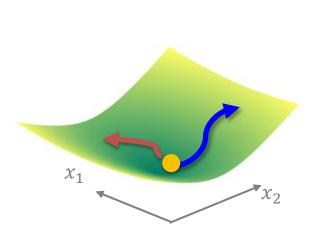




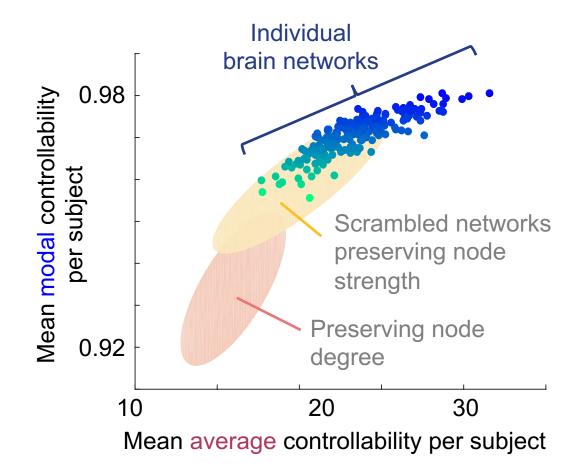
Theodore Satterthwaite

Do brain networks show increasing control with age?

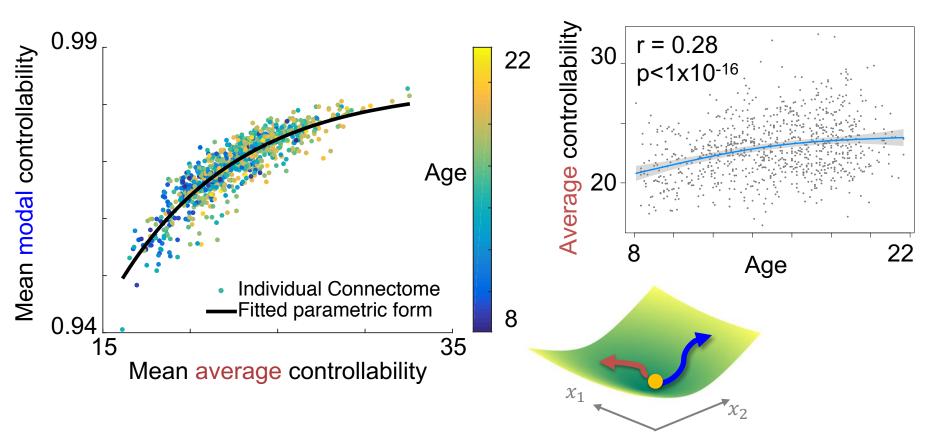
## Topology of brain networks supports more dynamical transitions



Coarse metrics across whole-brain

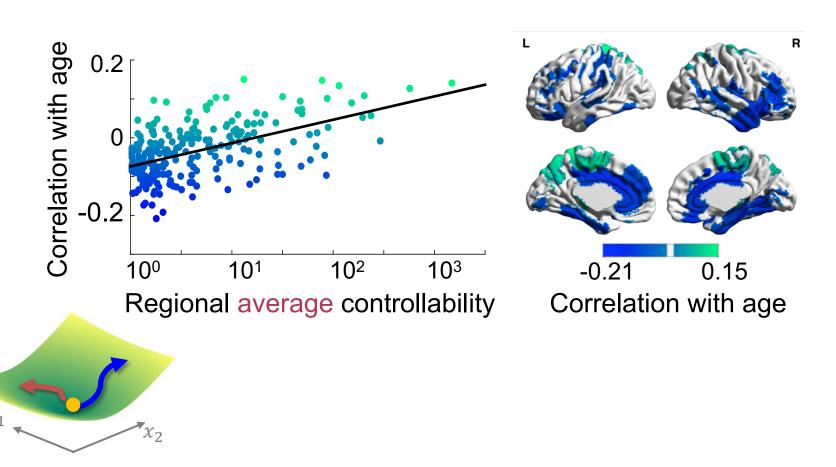


## Brain networks increasingly support diverse dynamics with age



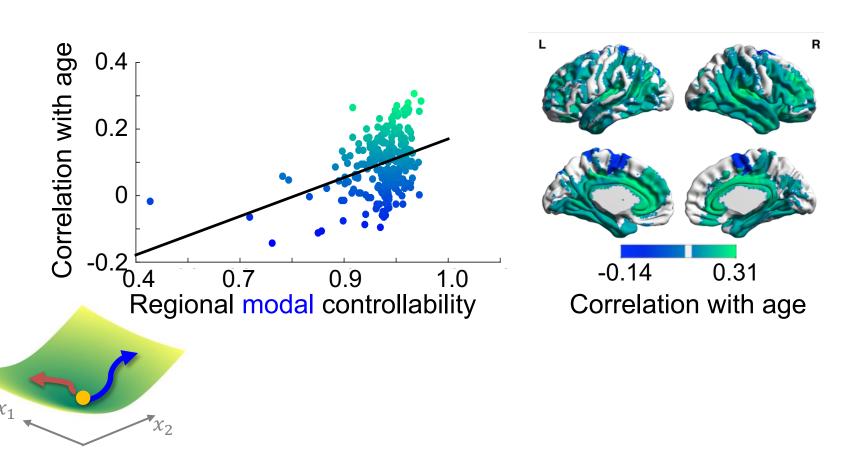
Older subjects have a larger range of possible dynamics from low to high energy transitions: increased specialization

#### What can a finer look tell us?



Regions high in average controllability increase in controllability with age

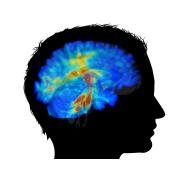
## Regional specialization of control with age or "super-controllers"



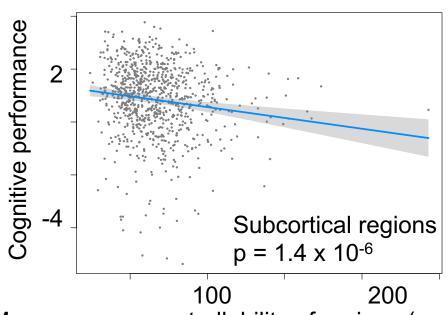
Seen in both average and modal control regions

### Which brain regions have high control for effective cognition?

Subjects with high subcortical controllability exhibit poorer cognitive performance



Subjects participated in battery of cognitive tests



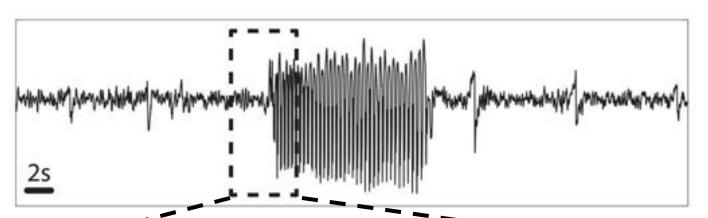
Mean average controllability of regions (per individual)

Consistent with evidence that segregation between neural systems is associated with improved cognitive ability

Wig, TICS 2017

# Synchronous neural activity is often associated with pathology

Recording from a scalp electrode during a seizure

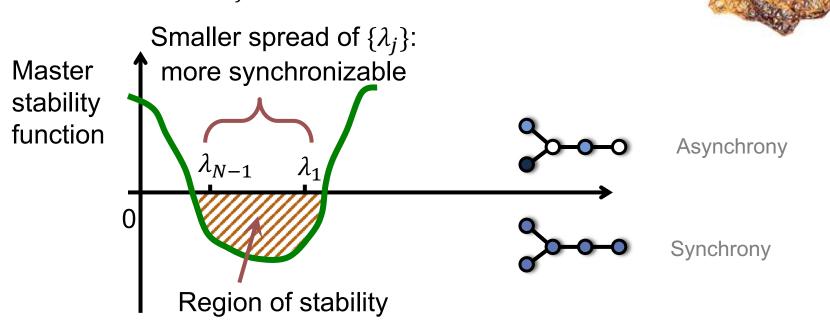


Taylor et al., Front Neurosci, 2015



# Synchronizability measures network ability to sustain globally similar dynamics

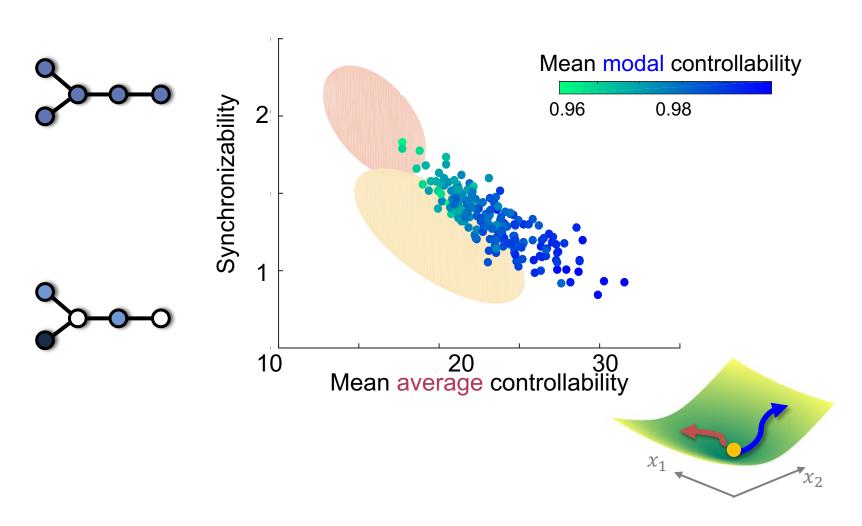
Synchronous state described by Laplacian connectivity matrix and its eigenvalues  $\{\lambda_i\}$ 



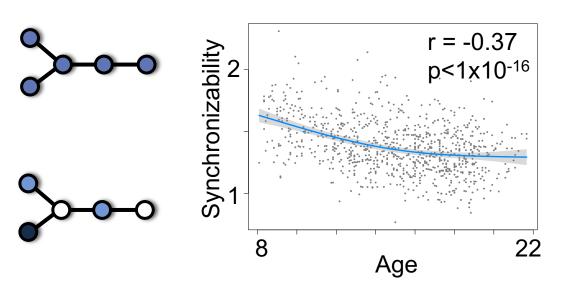
Pecora and Carroll, Phys Rev Lett 1998

Do brain networks have less susceptibility to synchronous (potentially pathological) dynamics with age?

### Topology of brain networks is less synchronizable



### Brain networks less vulnerable to synchrony with development



Older subjects show less susceptibility to synchronous dynamics

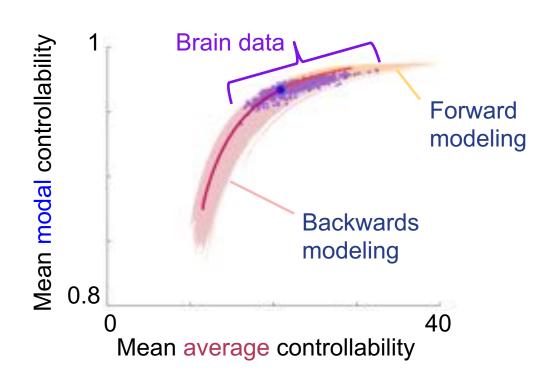


Quantifies intuitions on emerging control and decreased susceptibility to global inputs with age

# A phenomenological wiring rule that promotes healthy development?

If these findings suggest a mechanism for development

Rewire brain networks for higher controllability and lower synchronizability



# Topology of brain structure and changes across development

1. We develop dynamical models that link changes in white matter to predicted dynamics and function.

 Our results formalize intuitions about increasing specialization of brain connectivity across development, at the expense of greater flexibility.

3. We identify regional changes and drivers of cognition.

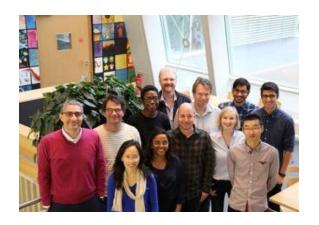
### Acknowledgements





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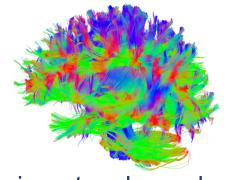




Living Matter Physics
Ramin Golestanian
Lorenzo Piro
Laura Collesano

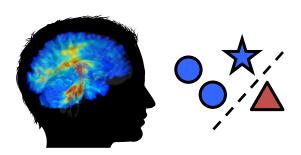


### Emergent phenomena across scales



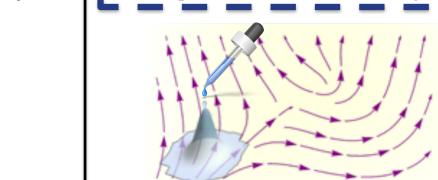
#### Brain networks and control

Tang et al., Nature Comm 2017
Tang & Bassett, Rev Mod Phys 2018



#### Effective learning

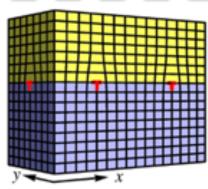
Tang et al., Nature Neuro 2019



Space

#### Information in fluid flows

Tang & Golestanian, arXiv 2019



#### Topological phases of matter

Tang and Fu, *Nature Phys* 2015 Tang et al., *Phys Rev Lett* 2012

Time